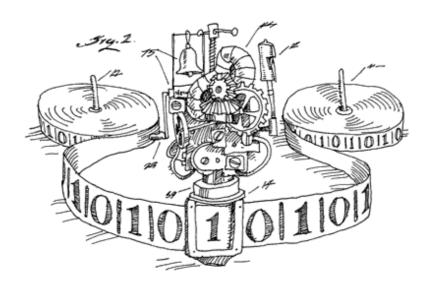
SHANNON MEETS TURING

Pei Wu

April. 2023

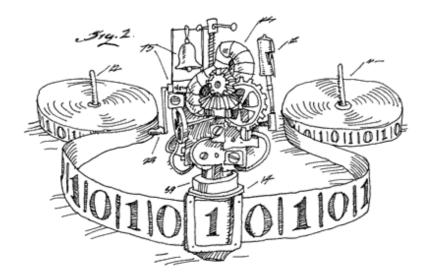


A.Turing





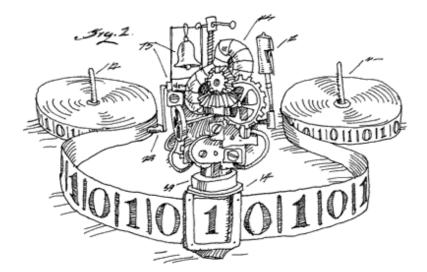




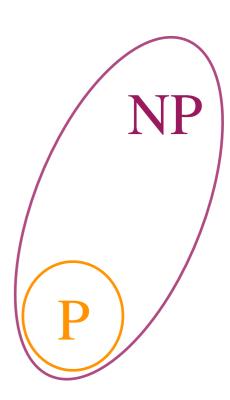
deterministic polynomial-time non-determinism



A.Turing

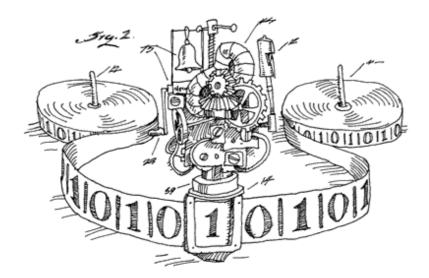


deterministic polynomial-time non-determinism

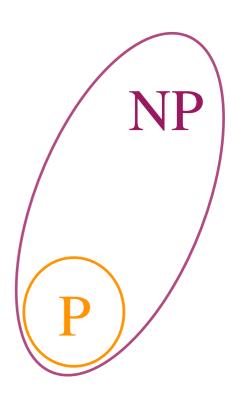






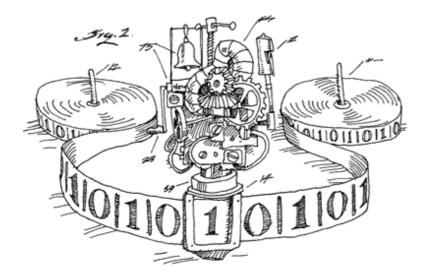


deterministic polynomial-time non-determinism randomness

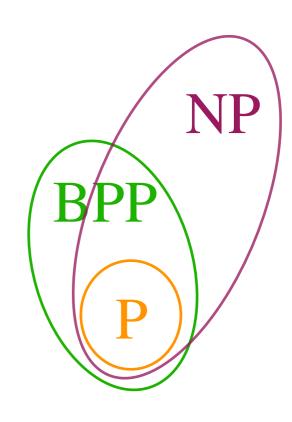




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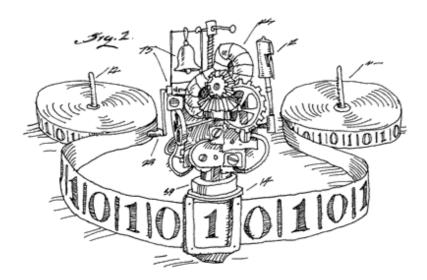


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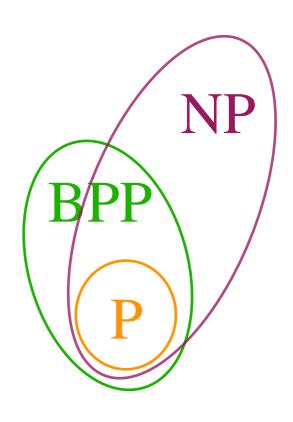




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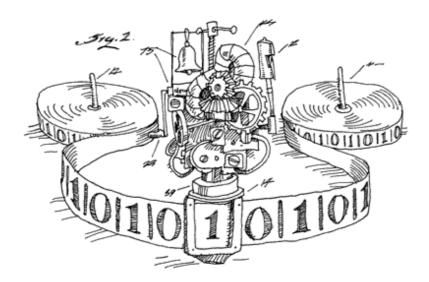


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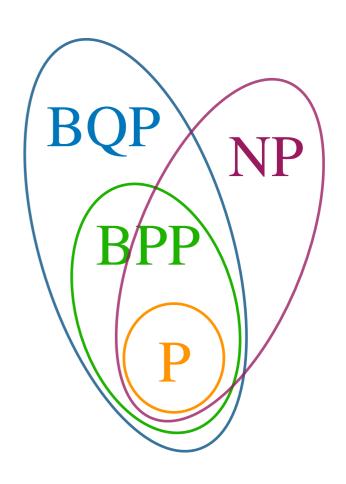




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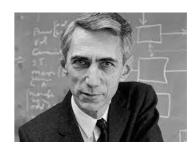


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Theory of Communication (one-way)

Reprinted with corrections from *The Bell System Technical Journal*, Vol. 27, pp. 379–423, 623–656, July, October, 1948.



A Mathematical Theory of Communication

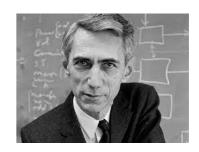
By C. E. SHANNON

INTRODUCTION

THE recent development of various methods of modulation such as PCM and PPM which exchange bandwidth for signal-to-noise ratio has intensified the interest in a general theory of communication. A

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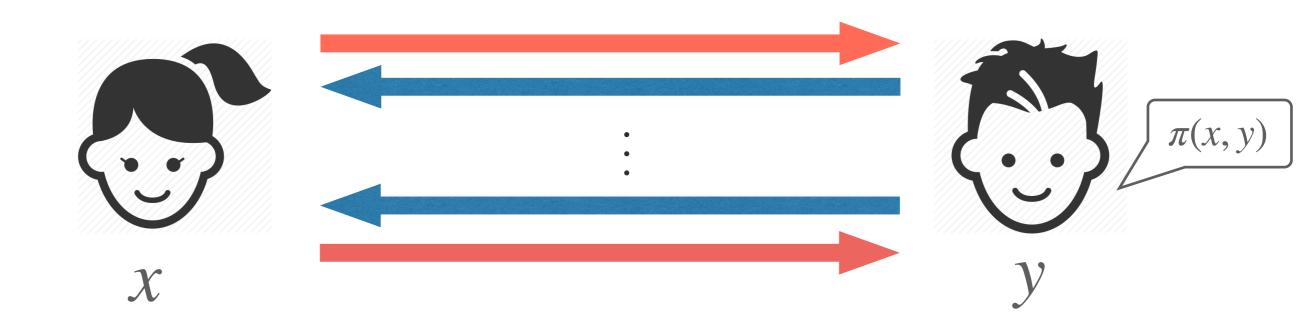
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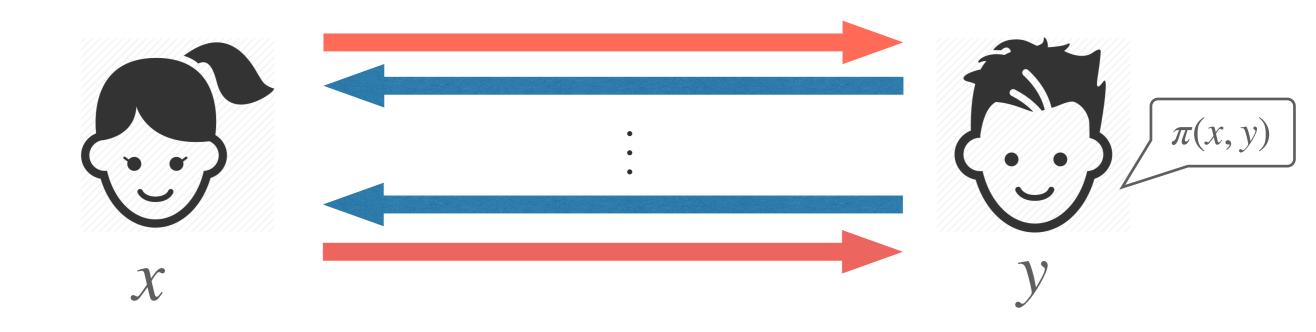






A. Yao '79

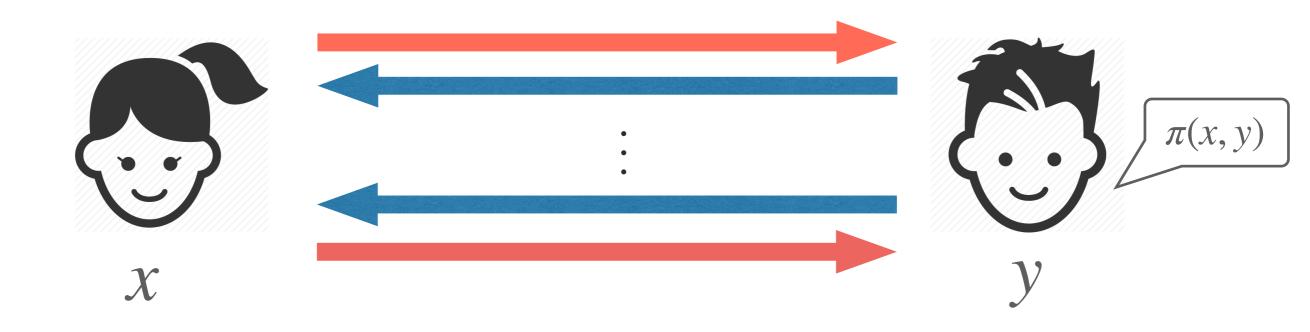
 $f: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$





A. Yao '79

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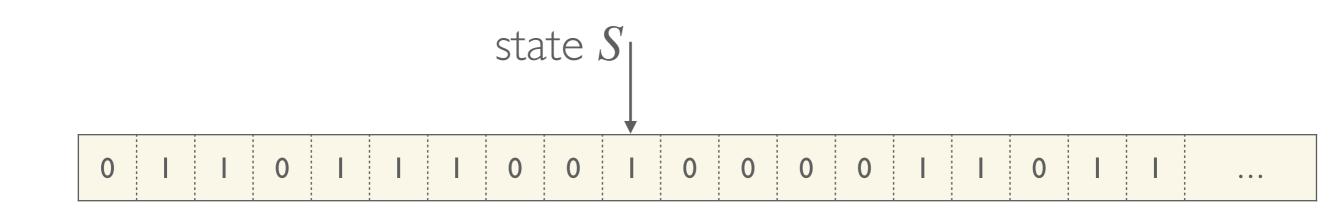


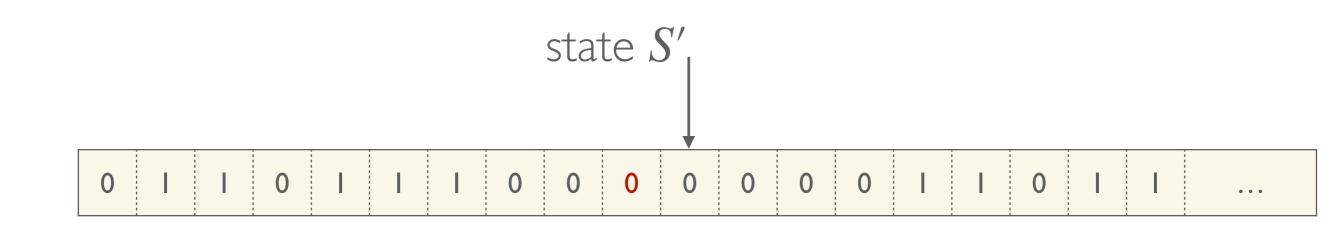
A trivial, O(n)-communication solution

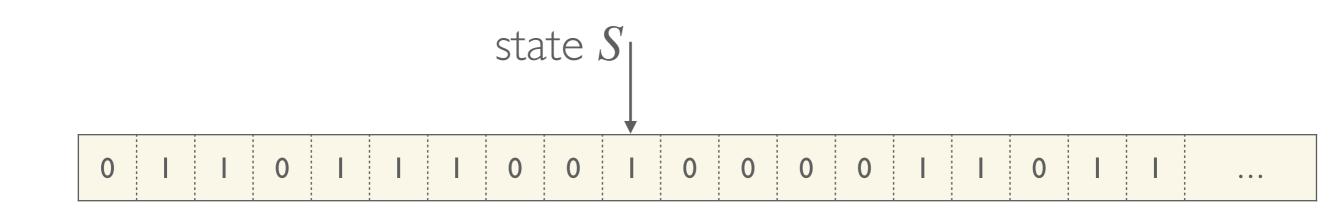
Central in cs:

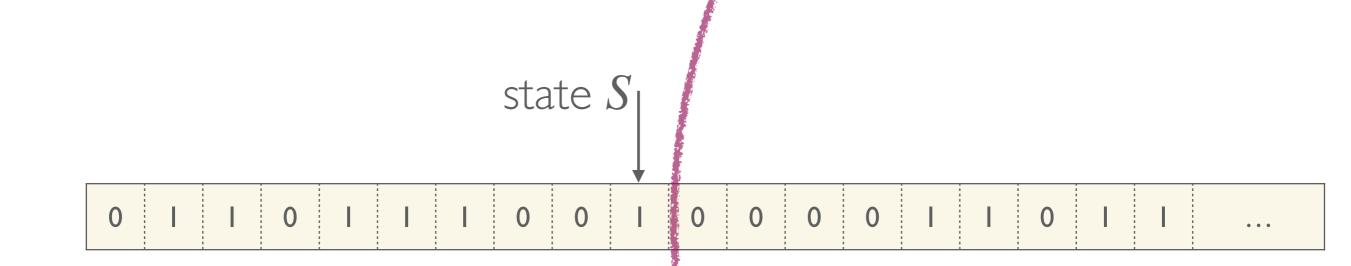
circuits complexity,
streaming algorithm,
learning theory,
differential privacy,
computational economics

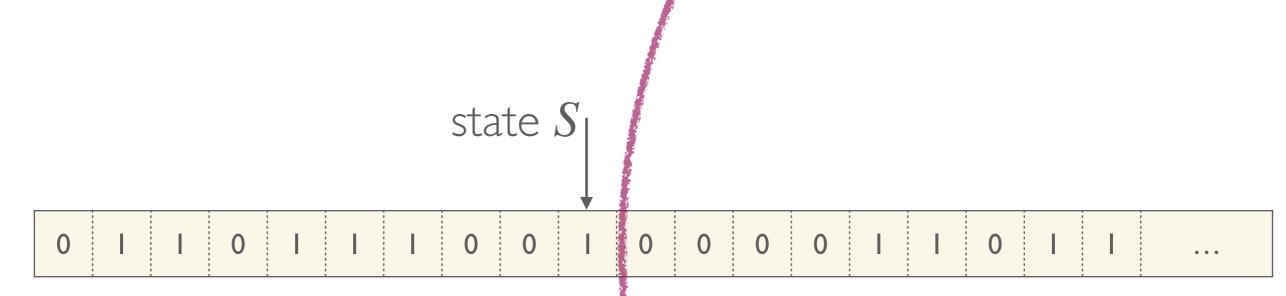
. . .





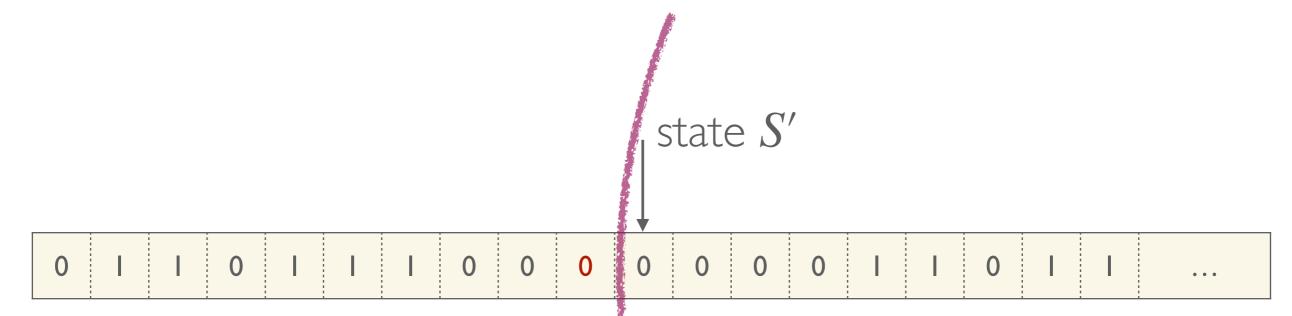






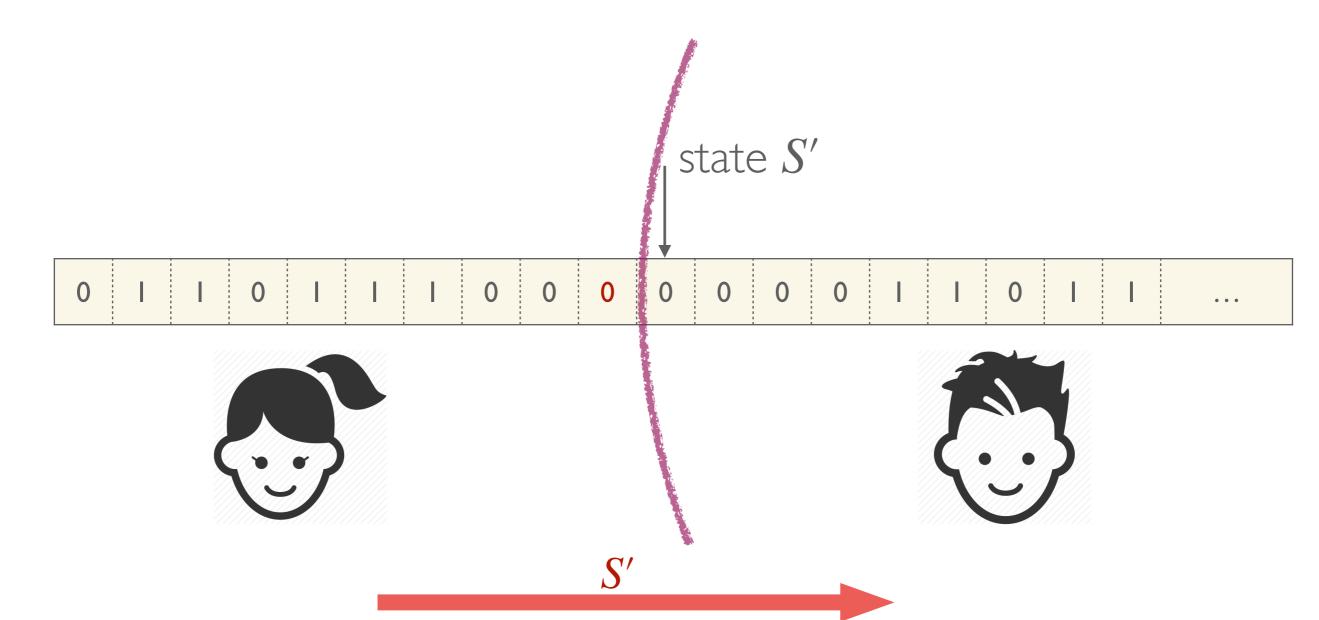


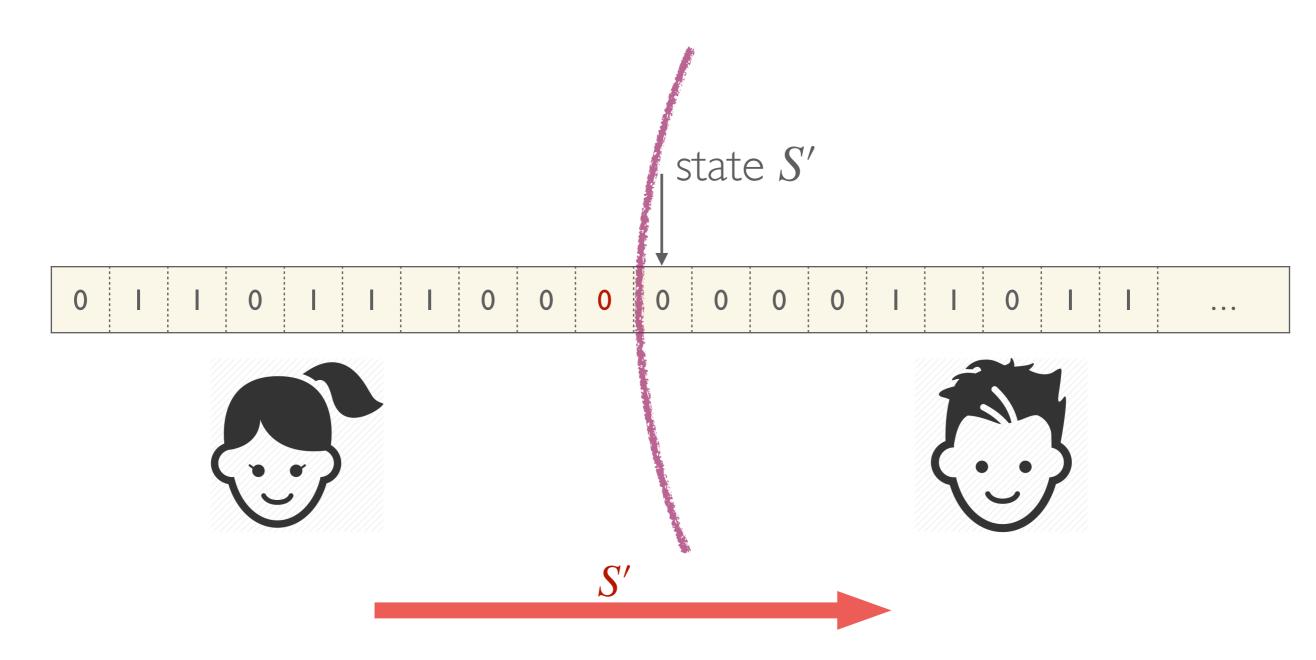












communication ≈ running time

Communication Complexity

[Babai-Frankl-Simon '86]

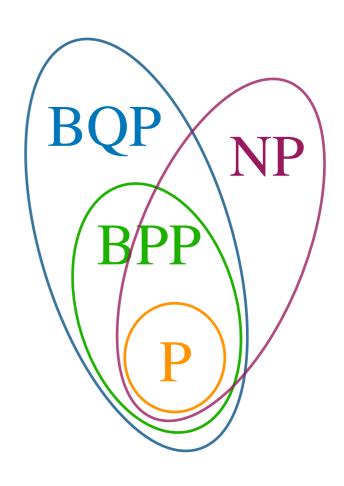
P: deterministic communication

NP: non-deterministic communication

BPP: randomized communication (bounded-error)

BQP: quantum communication

PP: randomized communication (unbounded-error)



Communication Complexity

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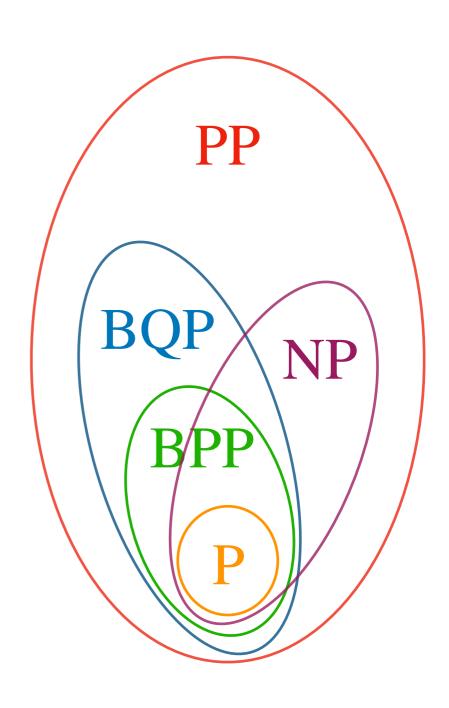
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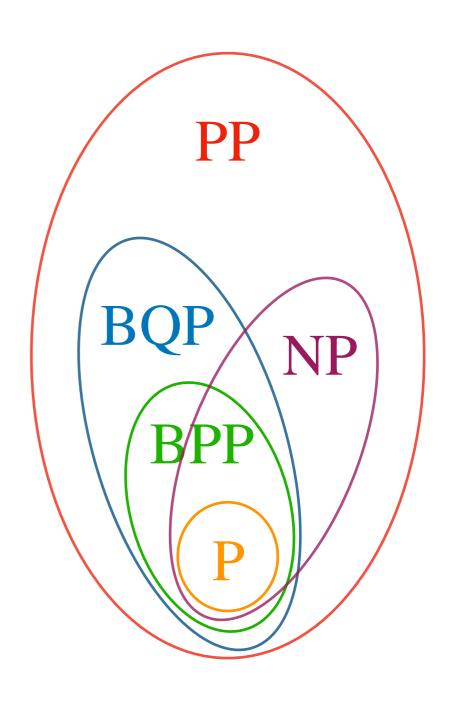
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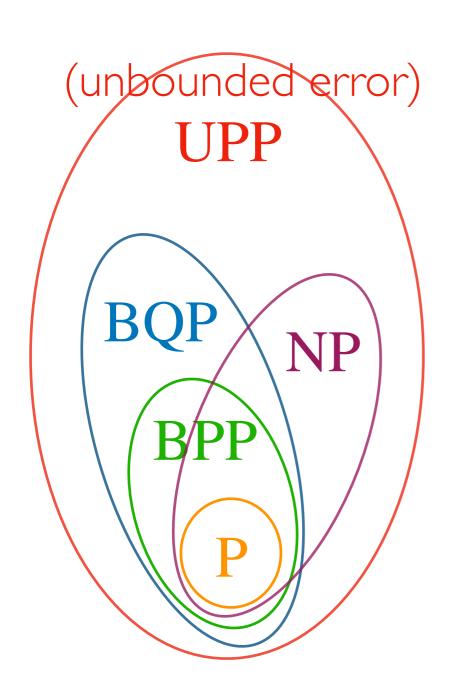
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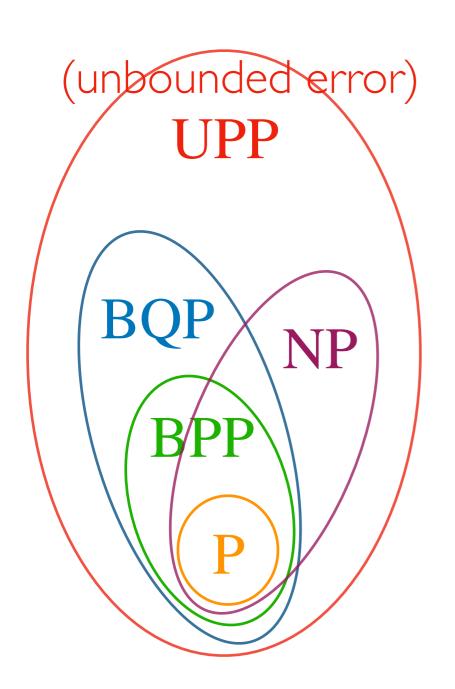
[Babai-Frankl-Simon '86]



•UPP research frontier

In communication world,
P ⊊ BPP ⊆ BQP ⊊ UPP,
P ⊊ NP ⊊ UPP.

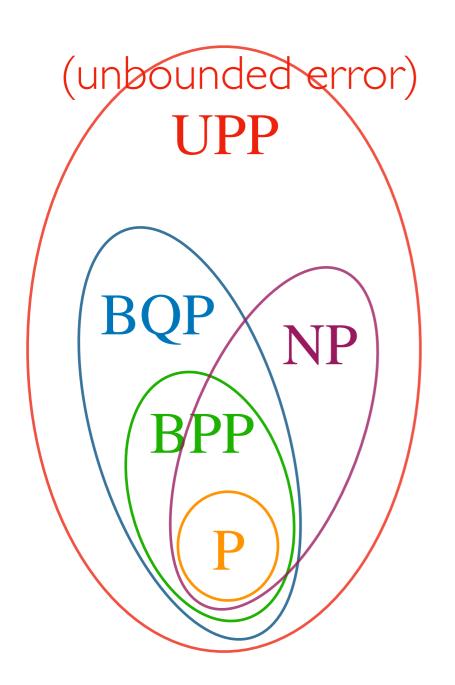
[Babai-Frankl-Simon '86]



•UPP research frontier

In communication world, $P \subsetneq BPP \subseteq BQP \subsetneq UPP$, $P \subsetneq NP \subsetneq UPP$.

[Babai-Frankl-Simon '86]



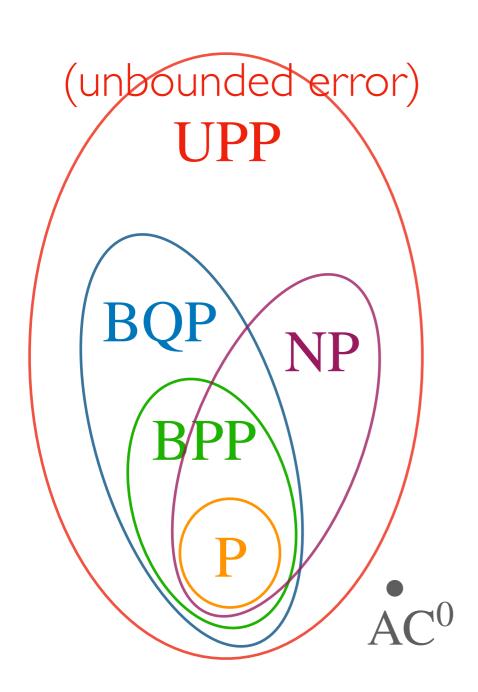
•UPP research frontier

Result I: $AC^0 \notin UPP$

In communication world,P ⊊ BPP ⊆ BQP ⊊ UPP,

 $P \subsetneq NP \subsetneq UPP$.

[Babai-Frankl-Simon '86]

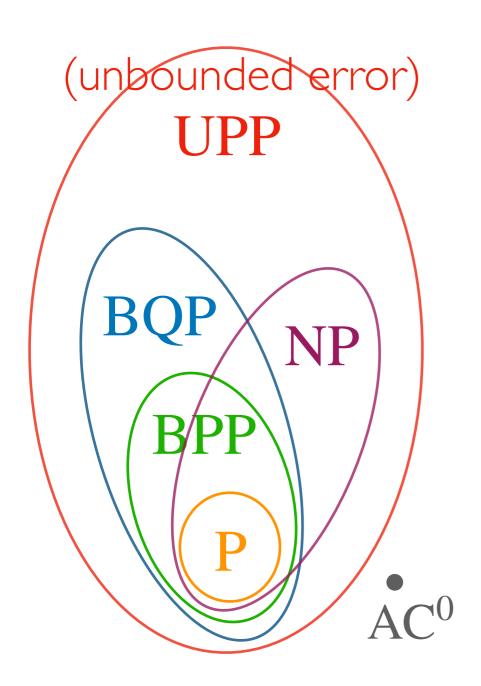


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[Babai-Frankl-Simon '86]



•UPP research frontier

Result I: $AC^0 \notin UPP$

In communication world, $P \subsetneq BPP \subseteq BQP \subsetneq UPP$, $P \subsetneq NP \subsetneq UPP$.

Result 2: quantum advantage in communication world

Roadmap

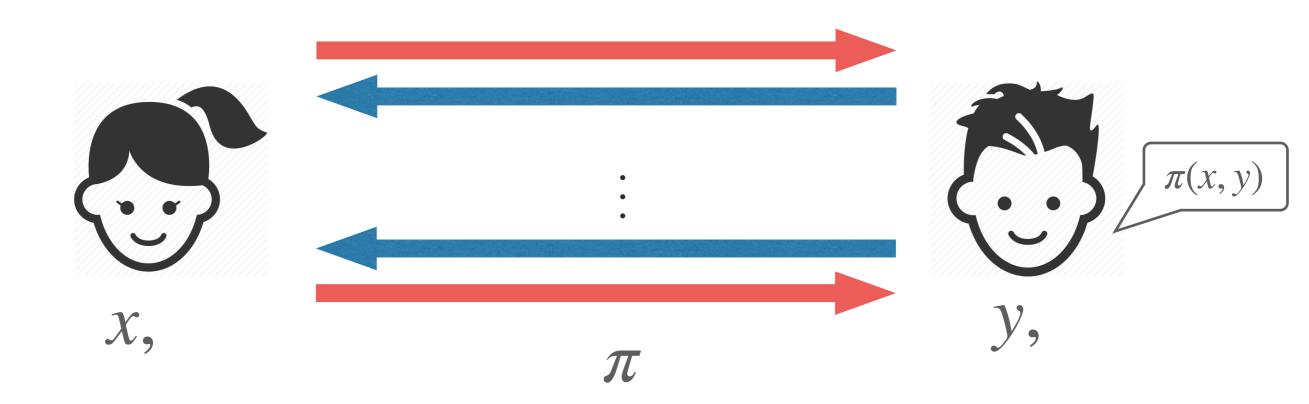
- UPP Unbounded-error comm.
- BQP vs. BPP communication

Roadmap

- UPP Unbounded-error comm.
- BQP vs. BPP communication

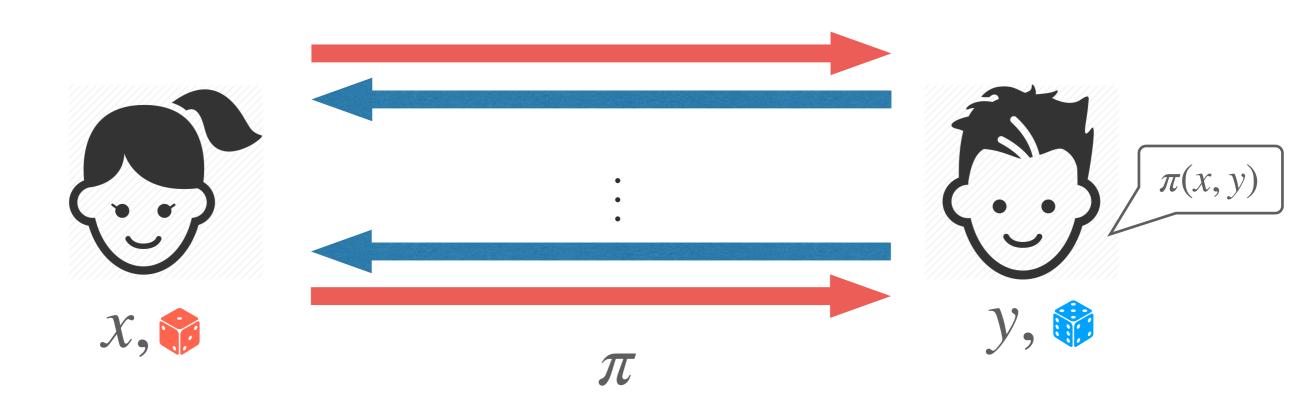
[Babai-Frankl-Simon '86]

$$f: X \times Y \rightarrow \{0,1\}$$



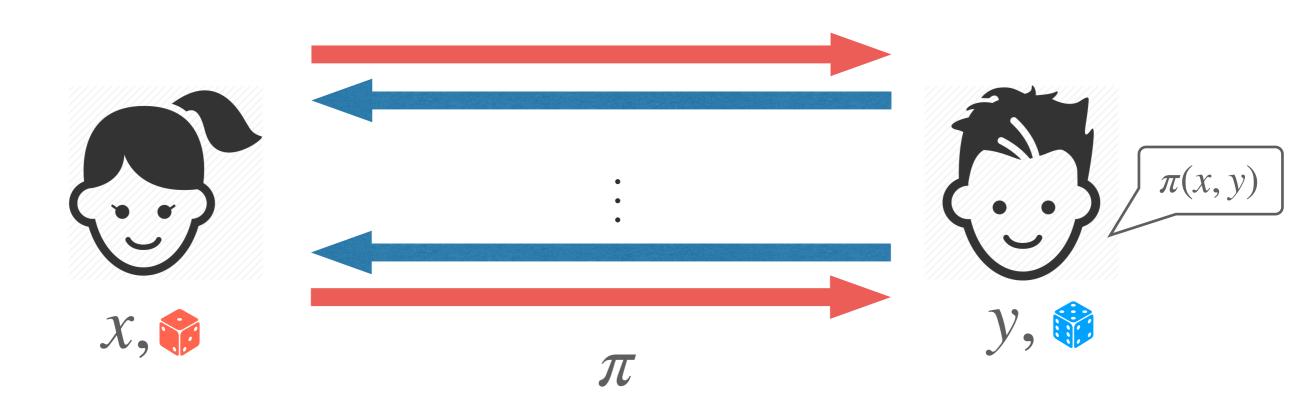
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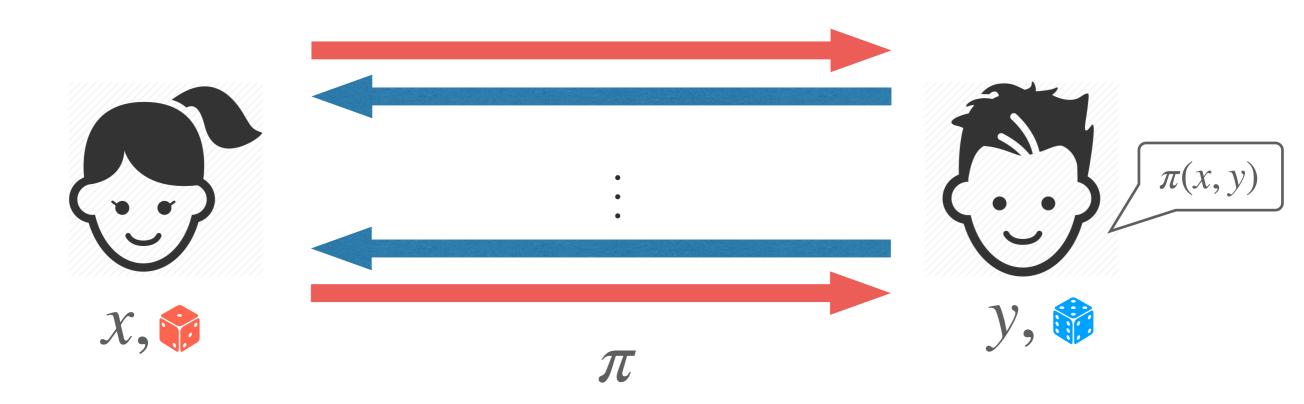
$$f: X \times Y \rightarrow \{0,1\}$$



Correctness:
$$\Pr[\pi(x, y) = f(x, y)] > \frac{1}{2}, \forall x, y$$
.

[Babai-Frankl-Simon '86]

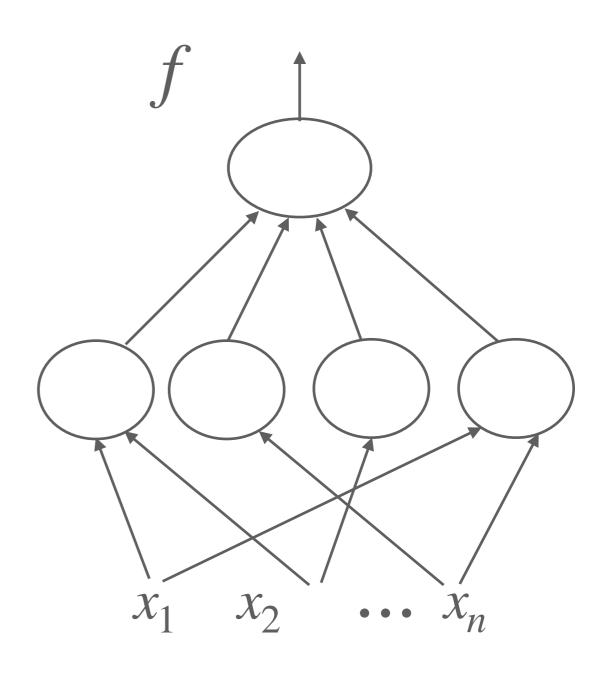
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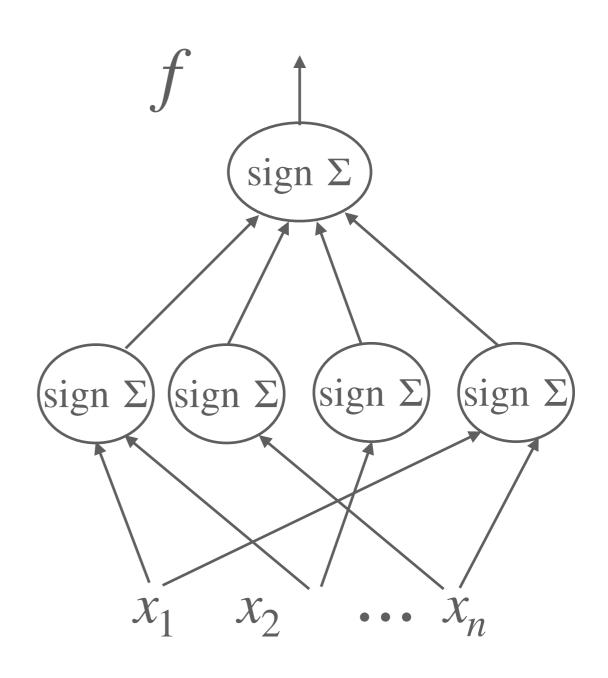
Correctness:
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Barely larger than guess

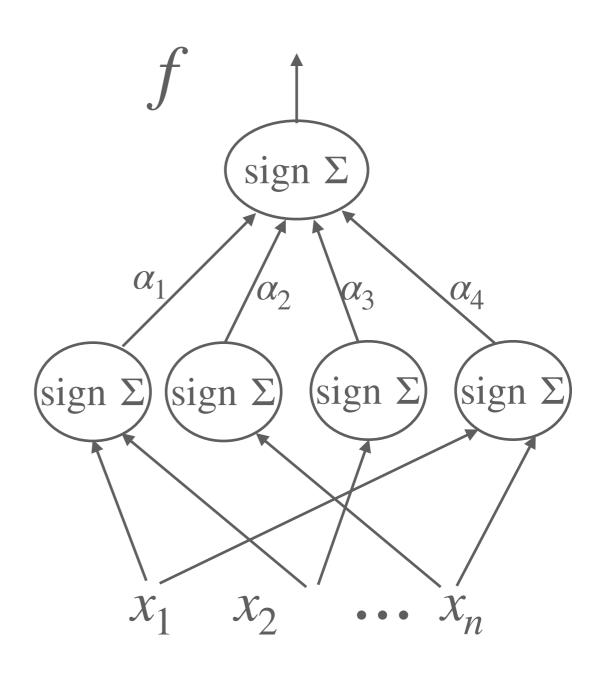
 $f: \{0,1\}^n \to \{0,1\}$



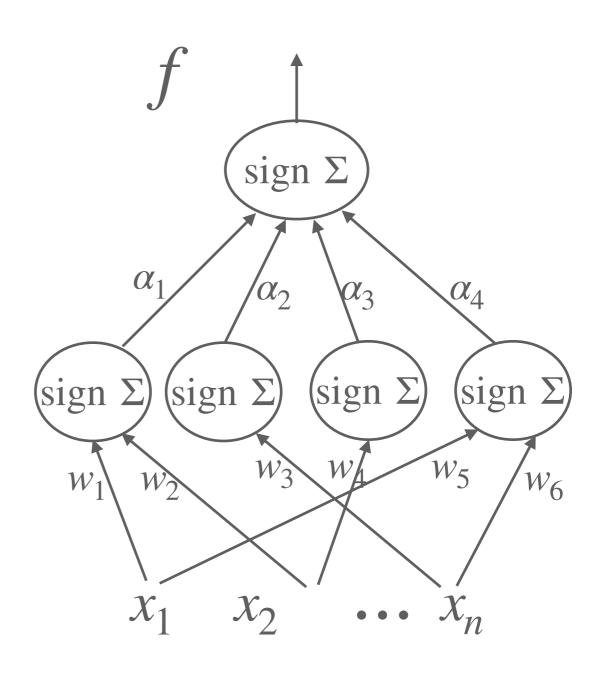
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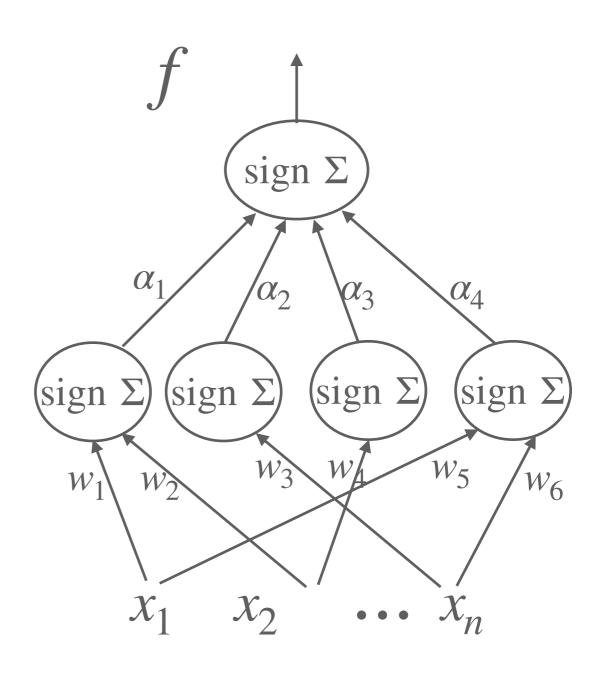
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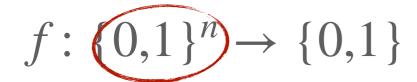


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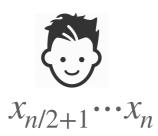


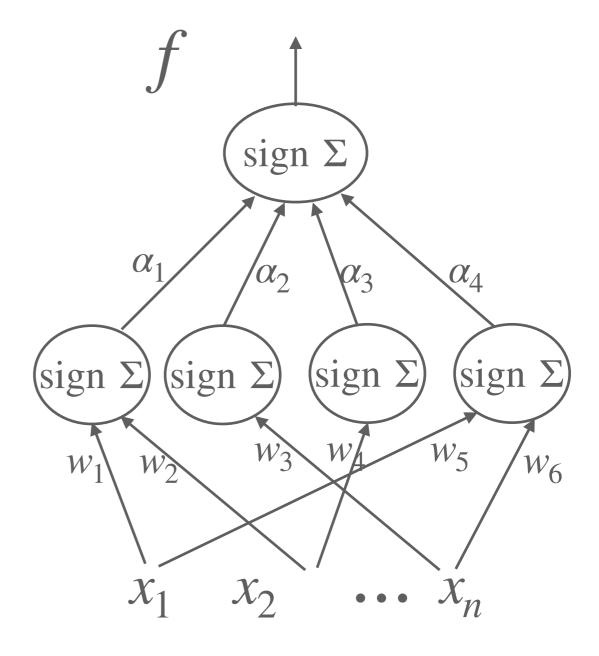
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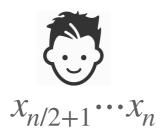






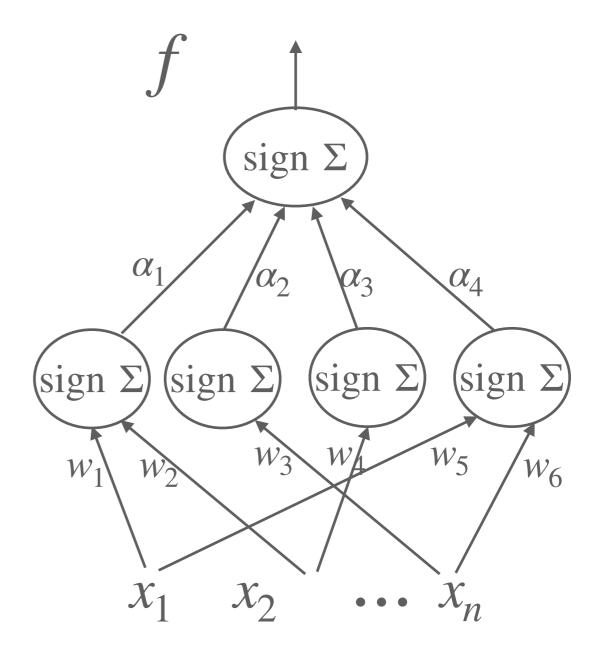
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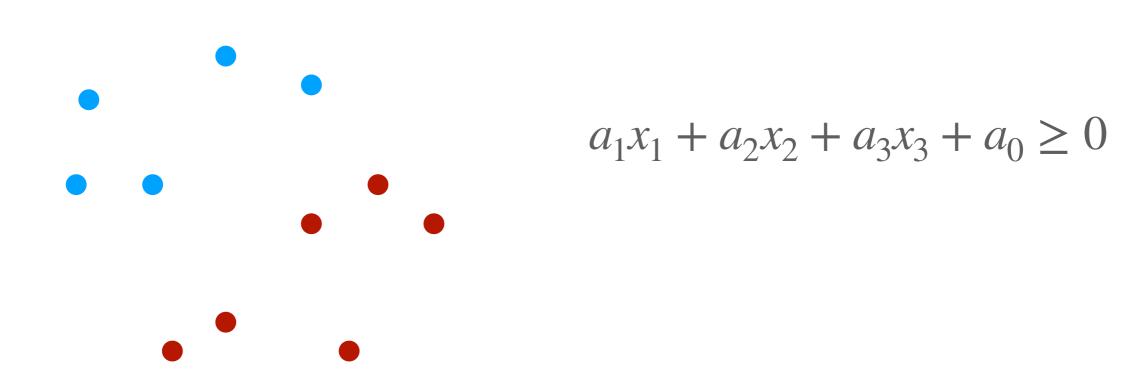


Theorem * (Forster et al. '01).

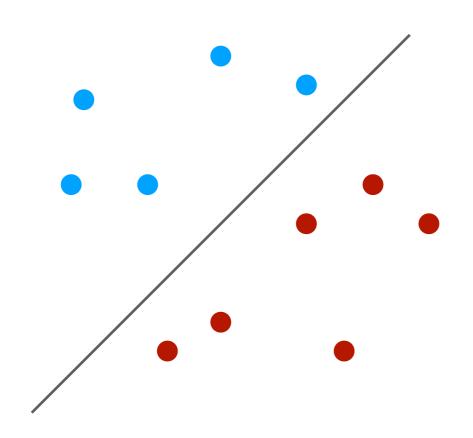
$$size(f) \gtrsim 2^{\Omega(U(f))}$$



Learn halfspaces

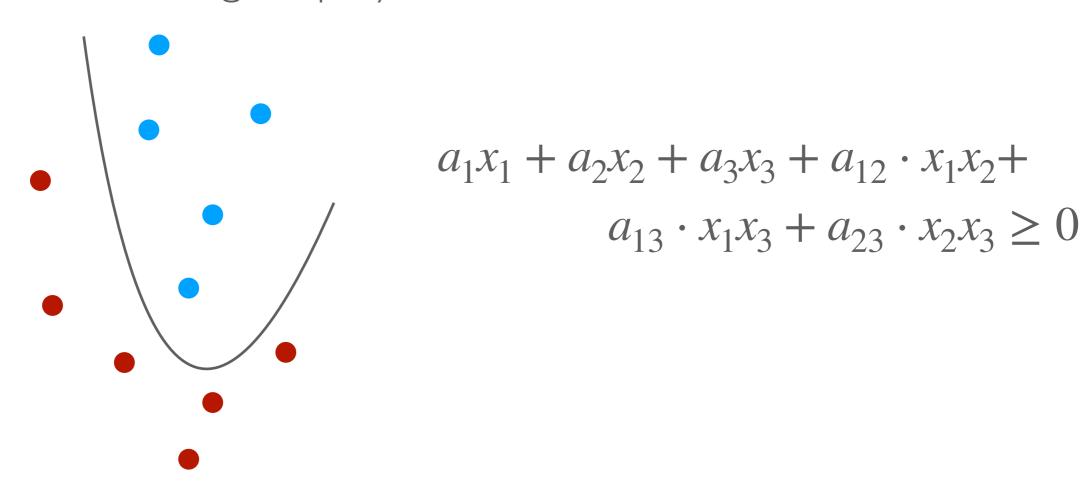


Learn halfspaces

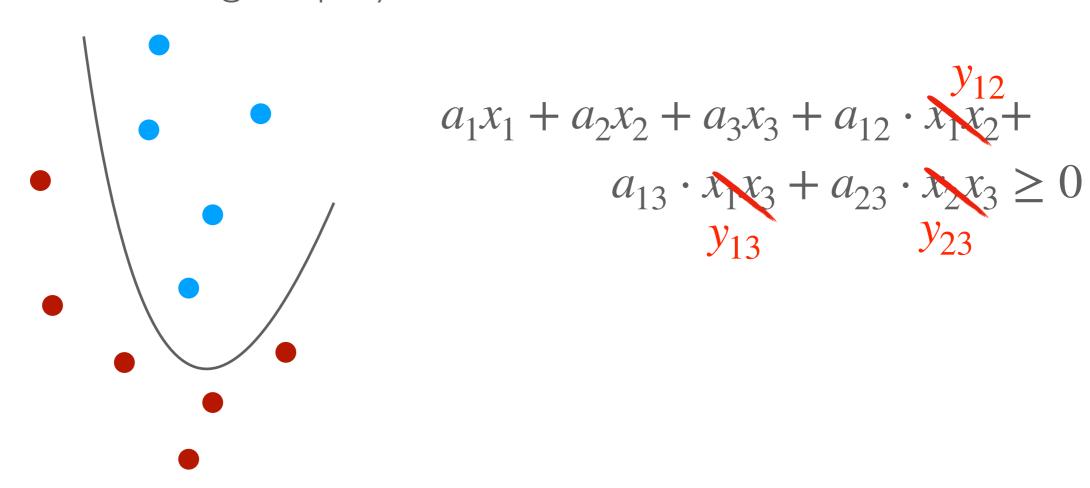


$$a_1x_1 + a_2x_2 + a_3x_3 + a_0 \ge 0$$

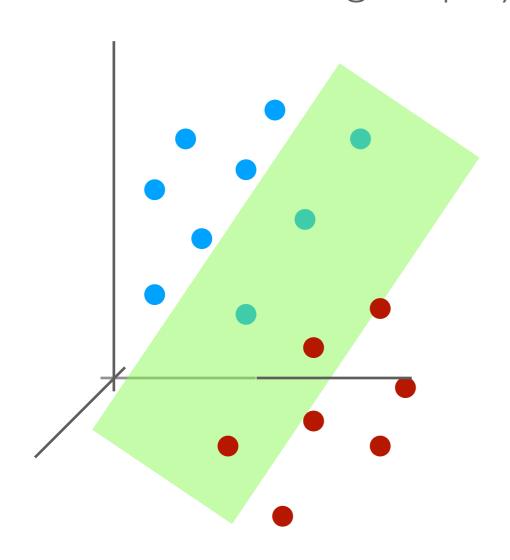
Learn low degree polynomials



Learn low degree polynomials



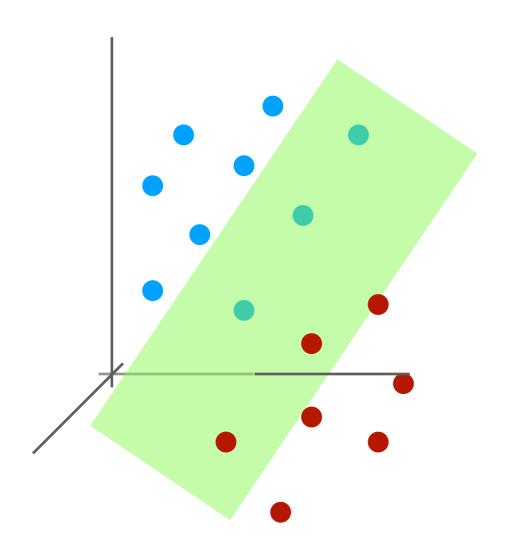
Learn low degree polynomials



$$a_{1}x_{1} + a_{2}x_{2} + a_{3}x_{3} + a_{12} \cdot x_{1}x_{2} + a_{13} \cdot x_{1}x_{3} + a_{23} \cdot x_{2}x_{3} \ge 0$$

$$y_{13} \qquad y_{23}$$

Learn low degree polynomials

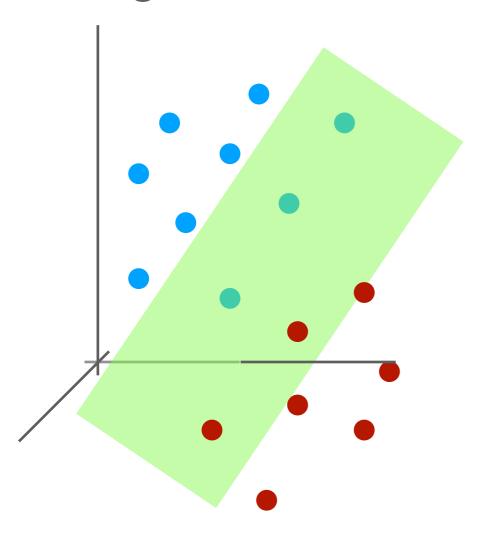


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Def.
$$f: \{0,1\}^n \to \{0,1\},$$
 $\deg_{\pm}(f)$: min degree of a separating curve

Embedding into spaces with larger dimension



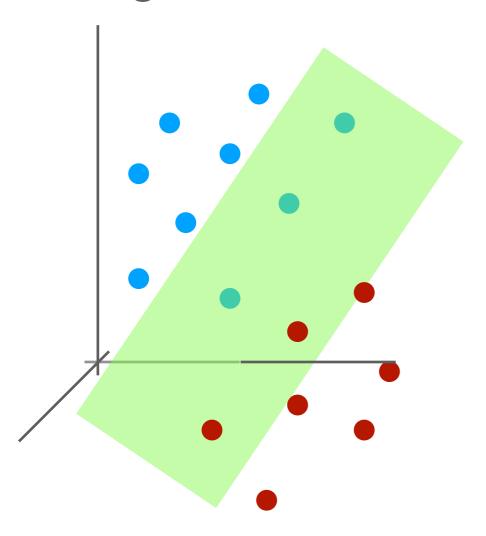
Dimension complexity

& concept class,

dc(&) minimum dimension

for such embedding

Embedding into spaces with larger dimension



Dimension complexity

& concept class,

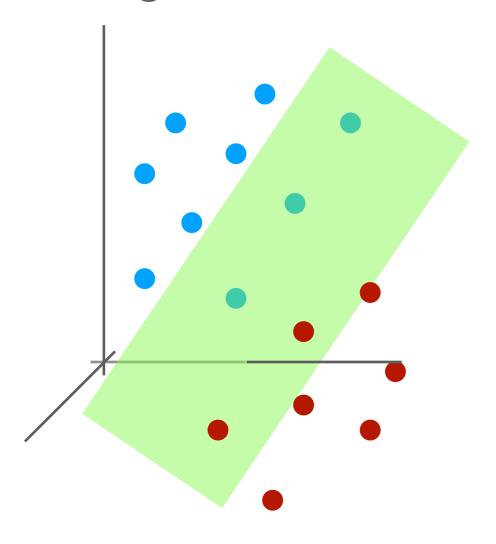
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for such embedding

Surprisingly powerful!

Captures many results in PAC learning model.

Embedding into spaces with larger dimension



Dimension complexity

& concept class,

dc(&) minimum dimension

for such embedding

Fact (folklore).

$$\label{eq:dcap} \begin{split} \operatorname{dc}(\mathscr{C}) &= 2^{\Theta(U(M_{\mathscr{C}}))},\\ \text{where } M_{\mathscr{C}}(f,x) &= f(x) \;. \end{split}$$





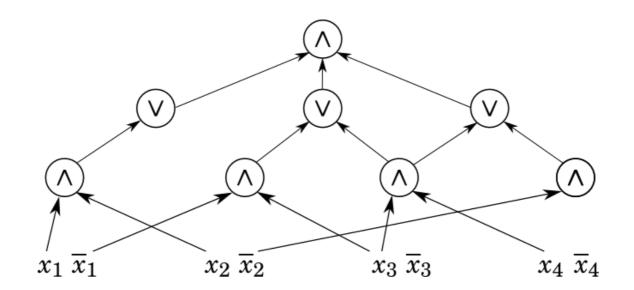
goal: output f(x)

Theorem (Sherstov-W. 19)

$$U(AC^0) \ge \Omega(n^{1-\epsilon})$$
.

Theorem (Sherstov-W. 19)

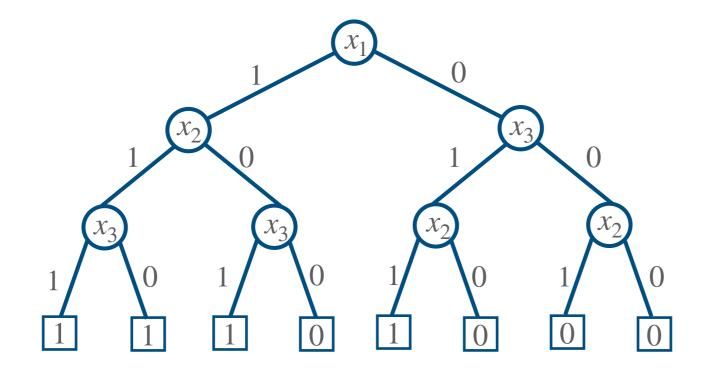
$$U(AC^0) \ge \Omega(n^{1-\epsilon})$$
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 AC^0 : constant depth, polynomial #gates (Λ , V, \neg)

Theorem (Sherstov-W. 19)

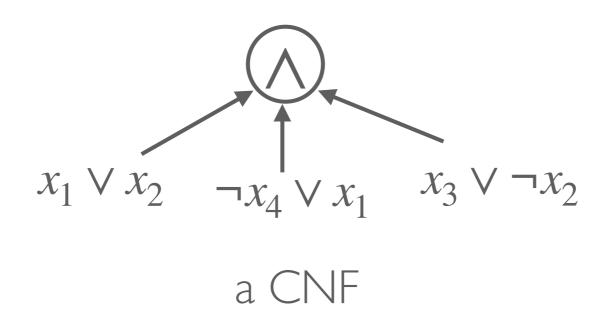
$$U(AC^0) \ge \Omega(n^{1-\epsilon})$$
.



a decision tree

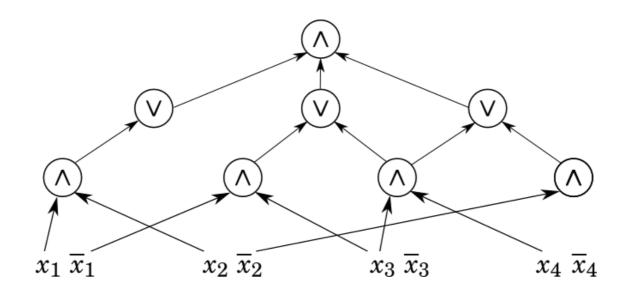
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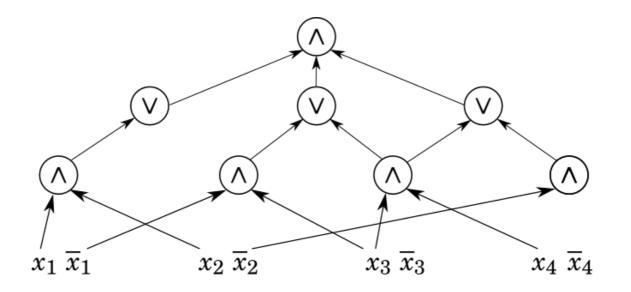


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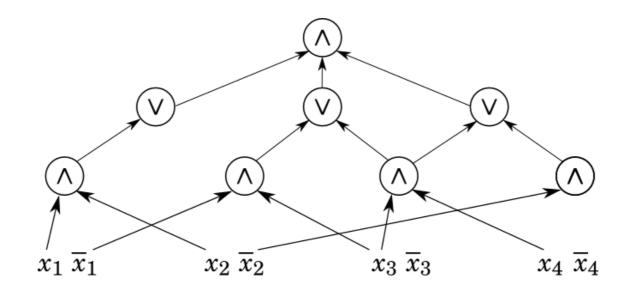


 AC^0 : constant depth, polynomial #gates (Λ , V, \neg)



Circuits
lower bound
"P vs NP"

[FSS84, Ajt83, Yao85, Has86, Aar10, RS10, LV11, BIL12, IMP12, Has14, AA15, LRR17, Ros18, Vio18]

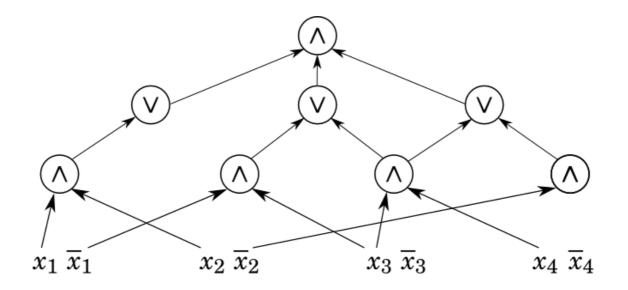


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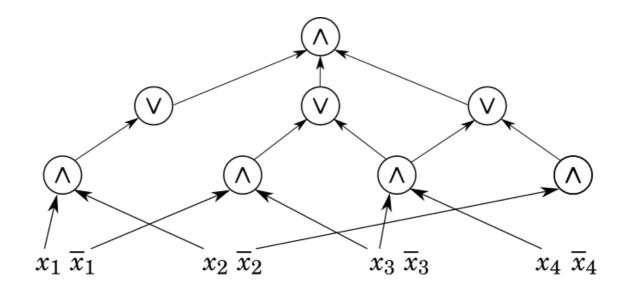
"P vs BPP"

[LN90, Nis91, Baz07, Raz08, Bra09, ETT10, GMR13, TX13, Tal14, CSV15, HS16, Tal17, ST18, DHH18, Lyu22]



Quantum advantage

[AS04, Amb07, ACR+10, BM10, Rei10, Bel12, BS13, RT19]



Quantum advantage

[AS04, Amb07, ACR+10, BM10, Rei10, Bel12, BS13, RT19]

Learning

[LMN93, Jac02, BES03, OS03, KOS04, KS04, LMSS07, AMY16, DRG17, AGS20]

.

Theorem (Sherstov-W. 19).

$$\deg_{\pm}(AC^0) = \Omega(n^{1-\epsilon})$$
.

Theorem (Sherstov-W. 19).

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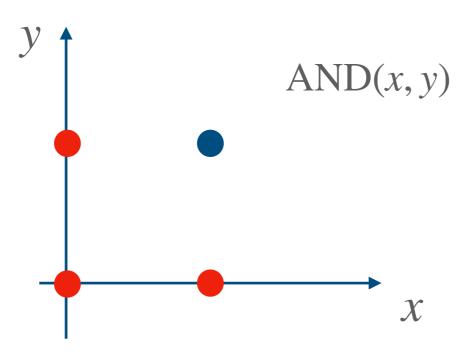
Definition.

 $\deg_{\pm}(f) = \min\{\deg p : p(x) \cdot (-1)^{f(x)} > 0, \ \forall x \in X\}.$

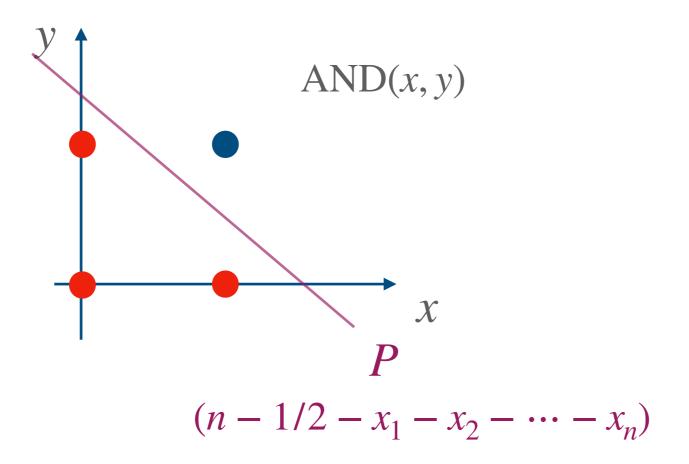
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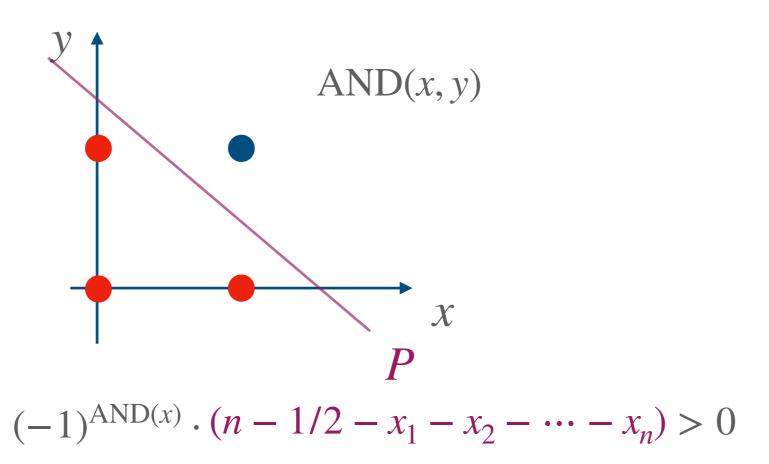
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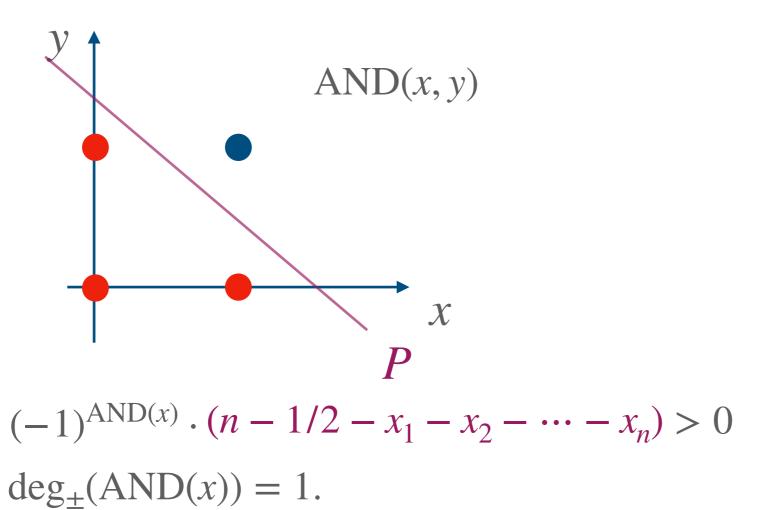
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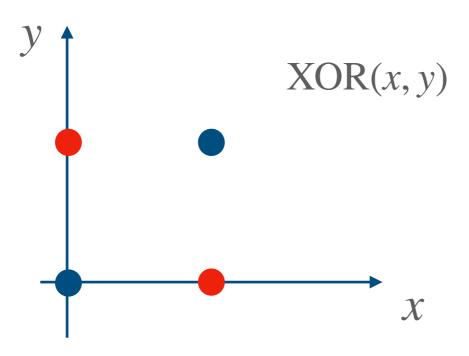
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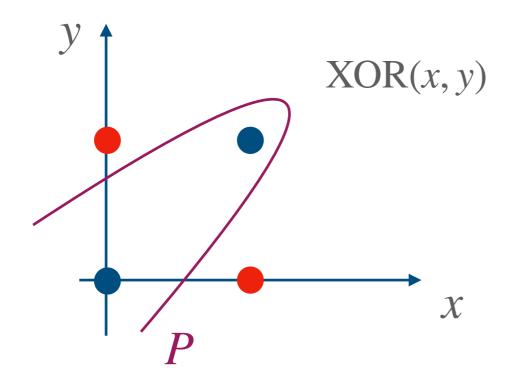
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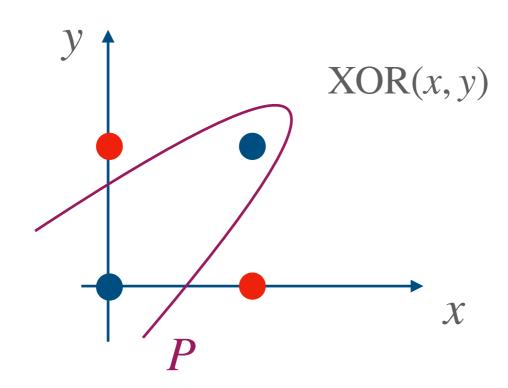
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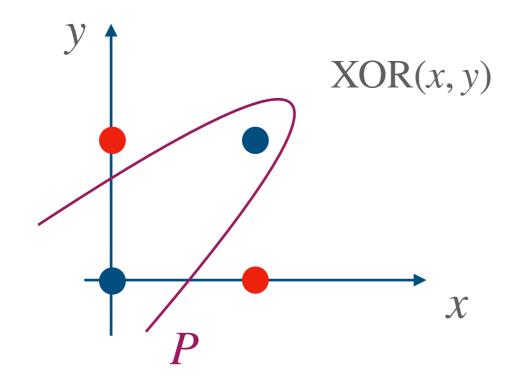


$$\deg_{\pm}(XOR(x)) = n.$$

Threshold degree of AC⁰

Definition.

 $\deg_{\pm}(f) = \min\{\deg p : p(x) \cdot (-1)^{f(x)} > 0, \ \forall x \in X\}.$



Prob. Minsky-Papert 69

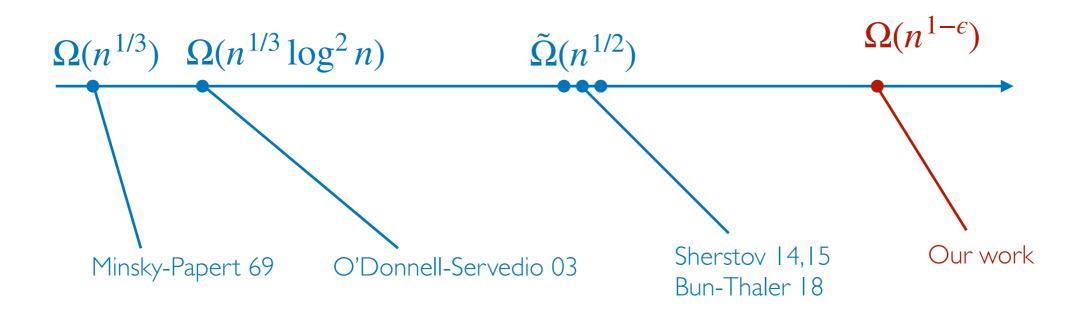
Max threshold degree of AC^0 ?

$$\deg_{\pm}(XOR(x)) = n.$$

Threshold degree of AC⁰

Theorem (Sherstov-W. 19).

$$\deg_{\pm}(AC^0) = \Omega(n^{1-\epsilon})$$
.

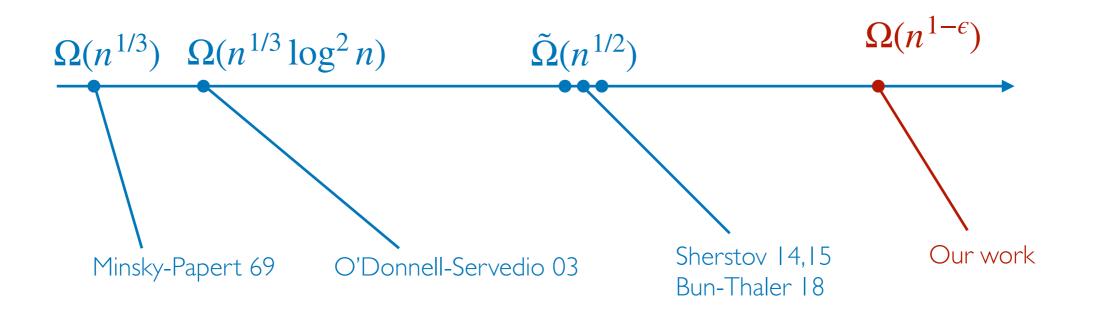


Threshold degree of AC⁰

Theorem (Sherstov-W. 19).

$$\deg_{\pm}(AC^0) = \Omega(n^{1-\epsilon}).$$

Trivial bound: $\deg_{\pm}(f) \leq n$.



Proof Sketch: Hardness amplification

Given
$$f: \{0,1\}^n \to \{0,1\}, \quad \deg_{\pm}(f) = n^{1-\epsilon}$$

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$$F = f$$

$$// \setminus$$

$$CNIF$$

$$| | | | | |$$

$$y \in \{0,1\}^{N}$$

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$$CNF$$

$$| | | | | |$$

$$y \in \{0,1\}^{N}$$

$$\deg_{\pm}(f \circ \mathrm{CNF}_m) \ge n^{1-\epsilon} \cdot m$$

Proof Sketch: Compression

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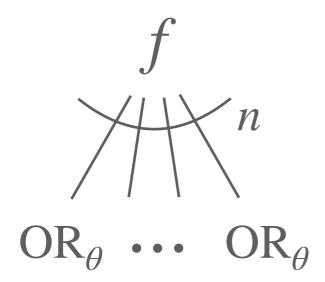
$$CNF$$

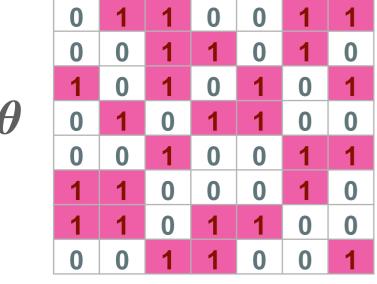
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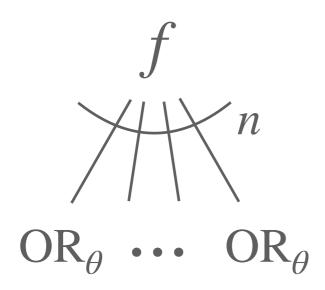
Compression: input transformation

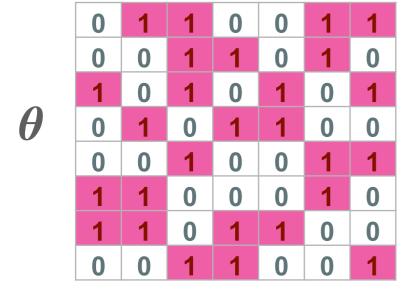


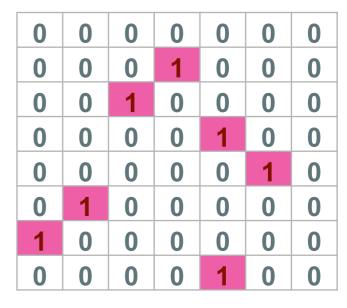


n

Compression: input transformation







n

Proof Sketch: Compression

Given
$$f: \{0,1\}^n \to \{0,1\},$$
 $\deg_{\pm}(f) = n^{1-\epsilon}$

$$\deg_+(f) = n^{1-\epsilon}$$

Then
$$F = f$$

$$// \setminus$$

$$CNF|_{\leq \theta}$$

$$| | | | | |$$

$$y \in \{0,1\}^{N}$$

$$(f \circ \text{CNF}_{\text{m}}) |_{\leq \theta}$$

 $\deg_{\pm}(f \circ \text{CNF}_{m}) \geq n^{1-\epsilon} \cdot m$

More tools from duality.

Open problems

Problem:

$$\deg_{\pm}(AC^0) \ge \Omega(n)$$
?
 $U(AC^0) \ge \Omega(n)$?

Problem:

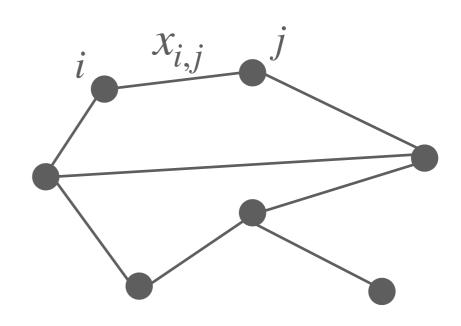
$$\widetilde{\deg}(AC^0) \ge \Omega(n)$$
?

Problem:

Understand depth-2 circuits,

$$deg(triangle) = ?$$

Triangle detection problem: Is there a triangle in the graph?



Open problems

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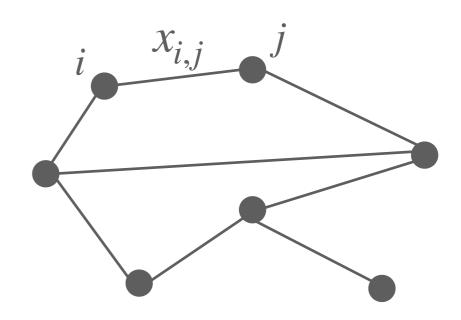
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Significant in quantum computing

Triangle detection problem: Is there a triangle in the graph?



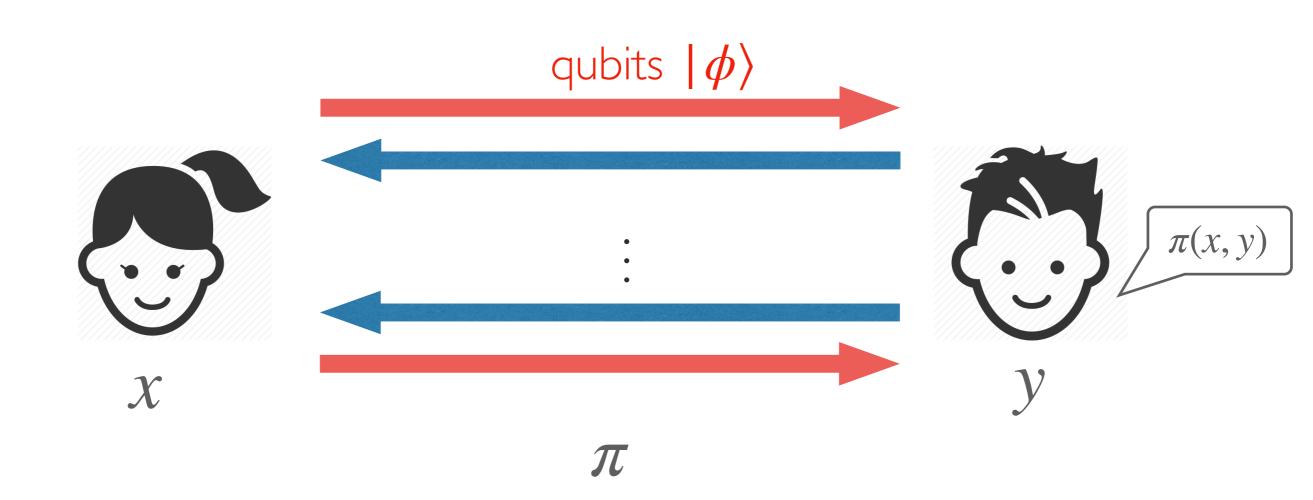
Roadmap

- UPP Unbounded-error comm.
- BQP vs. BPP communication

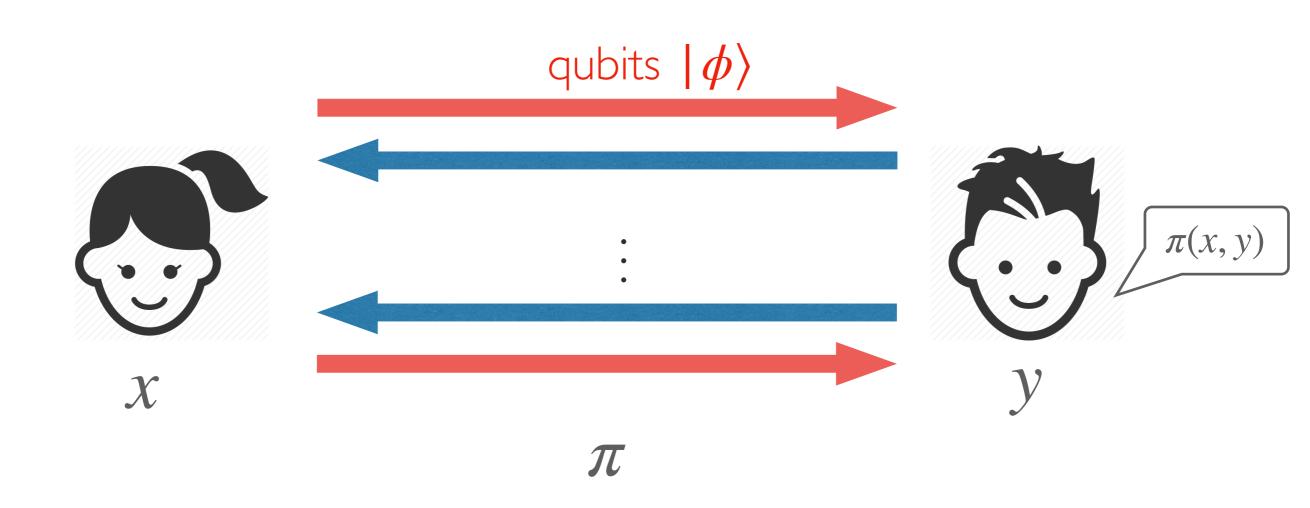
Communication complexity (Quantum)

"Quantum advantage?"

Communication complexity (Quantum)



Communication complexity (Quantum)



Correctness: $\Pr[\pi(x, y) = f(x, y)] \ge \frac{2}{3}, \ \forall x, y$.

Partial functions $f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1,\text{undef}\},$

| | Classical | Quantum |
|--------------------|--------------------|-------------|
| Buhrman et al. '98 | $D(f) = \Omega(n)$ | $O(\log n)$ |

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near-optimal

Total functions $f: \{0,1\}^n \times \{0,1\}^n \to \{0,1\},$

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Lifting

In short,

f, hard for query model

[Raz-McKenzie., '99]

lift
[Goos et al., '15]
[Chattopattyay et al., '19]

F, hard for communication model

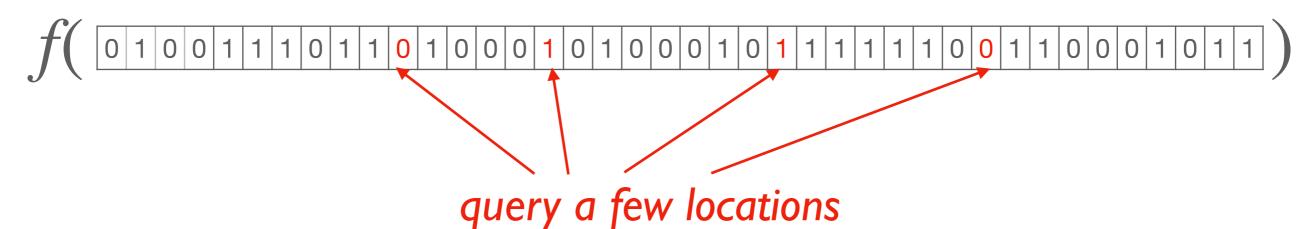
a huge unstructured database



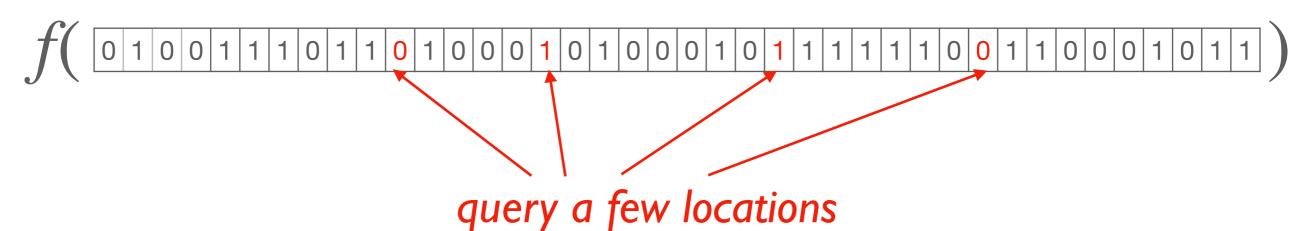
a huge unstructured database

f(0100111010100010100010111111100110001011)

a huge unstructured database



a huge unstructured database



query complexity = min queries

State any unit vector in a fixed Euclidean space

Query
$$|\phi\rangle = \sum_{i,w} a_{i,w} |i\rangle |w\rangle$$

State any unit vector in a fixed Euclidean space

Query
$$|\phi\rangle = \sum_{i,w} a_{i,w}(i)|w\rangle$$
 query index

State any unit vector in a fixed Euclidean space

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State

any unit vector in a fixed Euclidean space

Query

$$|\phi\rangle = \sum_{i,w} a_{i,w}(i)(w)$$
 $|\phi'\rangle = \sum_{i,w} a_{i,w}(-1)^{x_i} |i\rangle |w\rangle$

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Query

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can access all x_i in a single query!

Quantum speedups

Query model captures nearly all quantum breakthroughs:

Deutsch-Jozsa's algorithm Bernstein-Vazirani's algorithm

Simon's algorithm Shor's factoring algorithm

Grover's search

Largest possible separation?

Partial functions

| | Randomized | Quantum |
|-----------------------|---|-------------|
| Simon '97 | $\Omega(\sqrt{n})$ | $O(\log n)$ |
| Aaronson-Ambainis '15 | $\tilde{\Omega}(\sqrt{n})$ | 1 |
| AA '15, BGGS '21 | $O_k(n^{1-\frac{1}{k}})$ | <i>k</i> /2 |
| Tal'19 | $\tilde{\Omega}(n^{\frac{2k-2}{3k-1}})$ | <i>k</i> /2 |
| Our result | $\tilde{\Omega}(n^{1-\frac{1}{k}})$ | <i>k</i> /2 |

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| | Optimal | i |

Largest possible separation?

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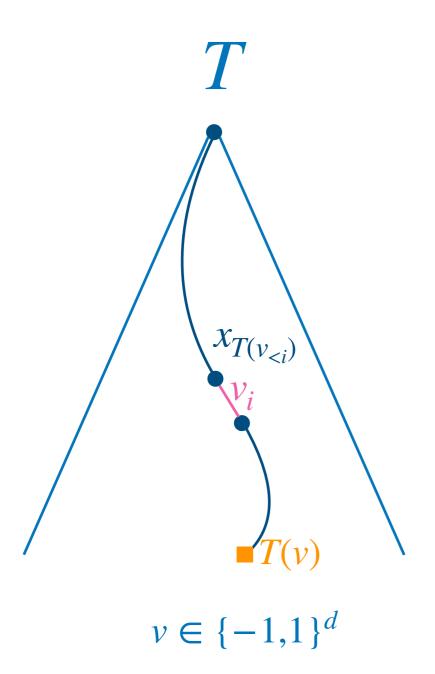
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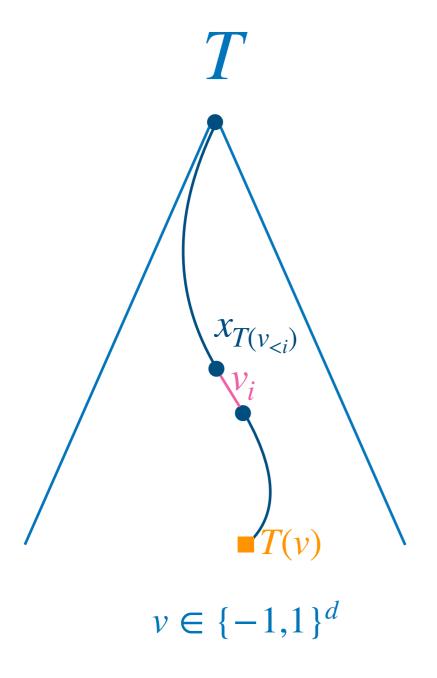
Fourier weight of decision trees

Theorem. (Sherstov-Storochenko-W.)

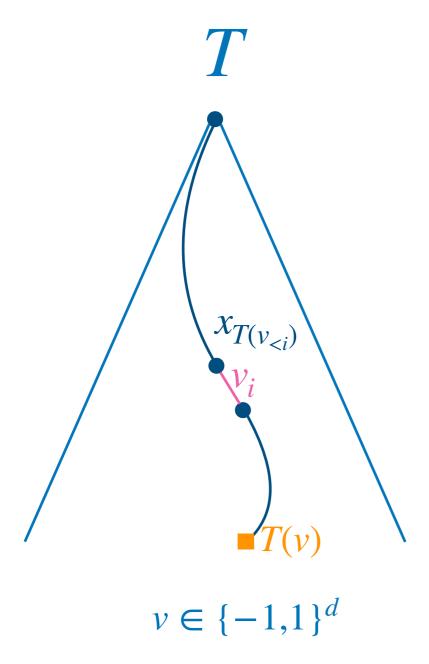
For any decision tree $T: \{-1,1\}^n \to \{0,1\}$ of depth d,

$$\sum_{\substack{S \subseteq \{1,2,\ldots,n\}:\\ |S| = \ell}} |\hat{T}(S)| \le c^{\ell} \sqrt{\binom{d}{\ell}} (1 + \log n)^{\ell-1}.$$

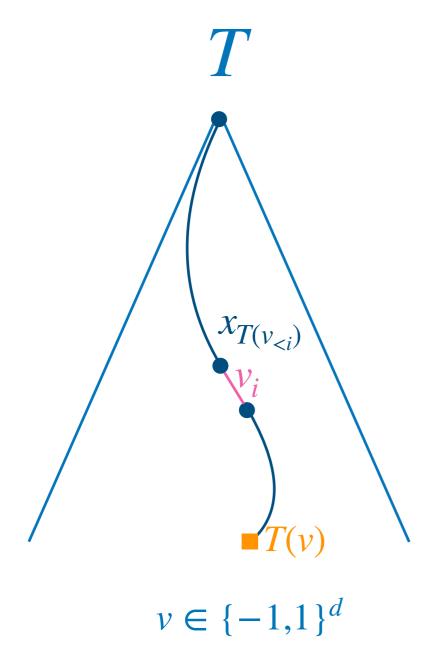




$$T(v) \prod_{i=1}^{d} \frac{1 + v_i x_{T(v_{< i})}}{2}$$

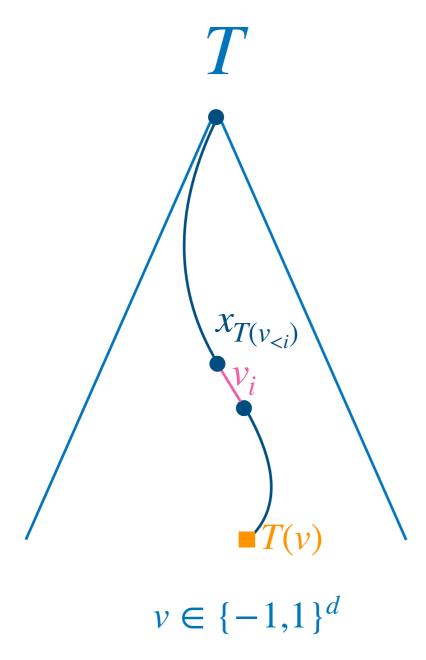


$$T = \sum_{v \in \{-1,1\}^d} T(v) \prod_{i=1}^d \frac{1 + v_i x_{T(v_{< i})}}{2}$$



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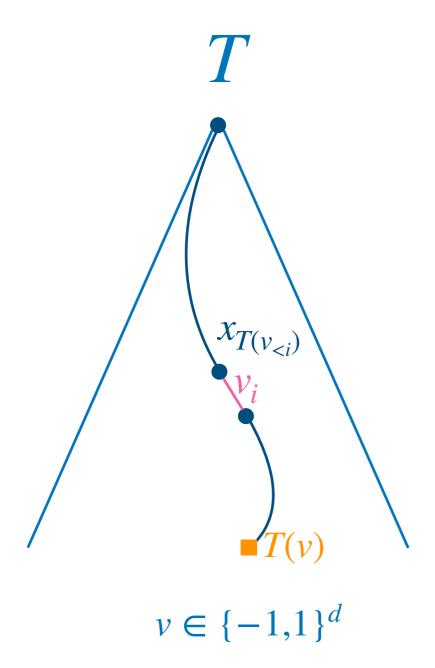
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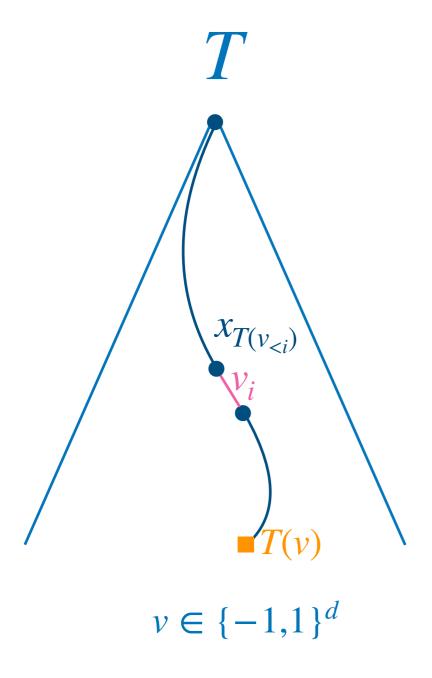


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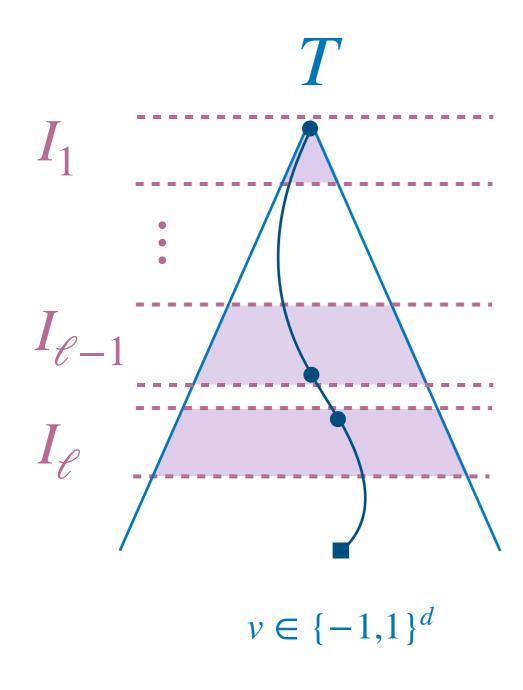
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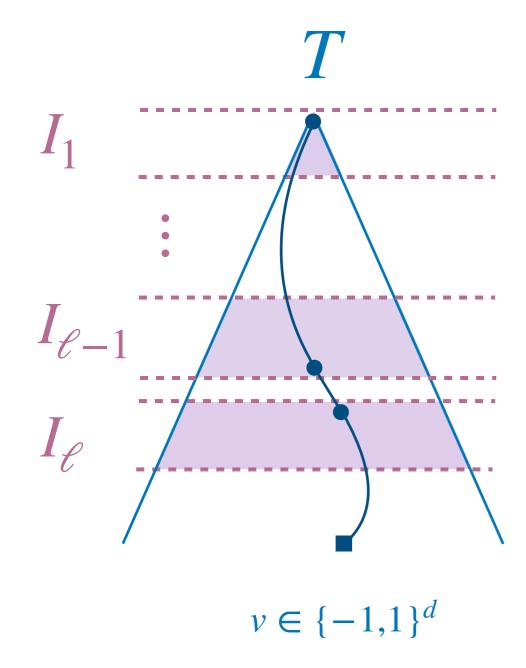
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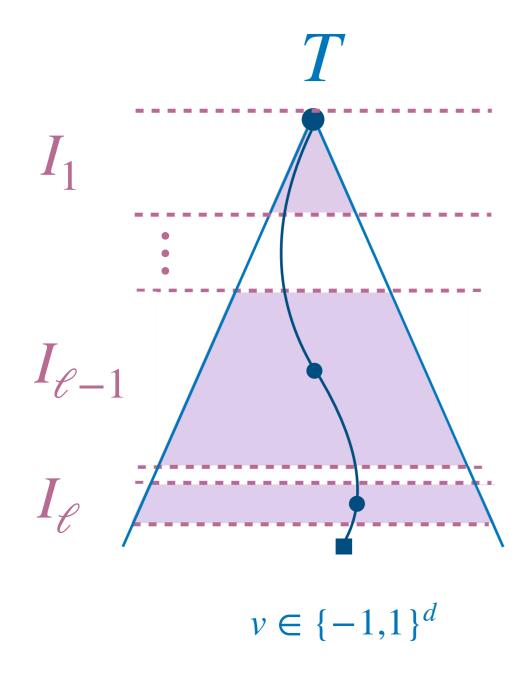
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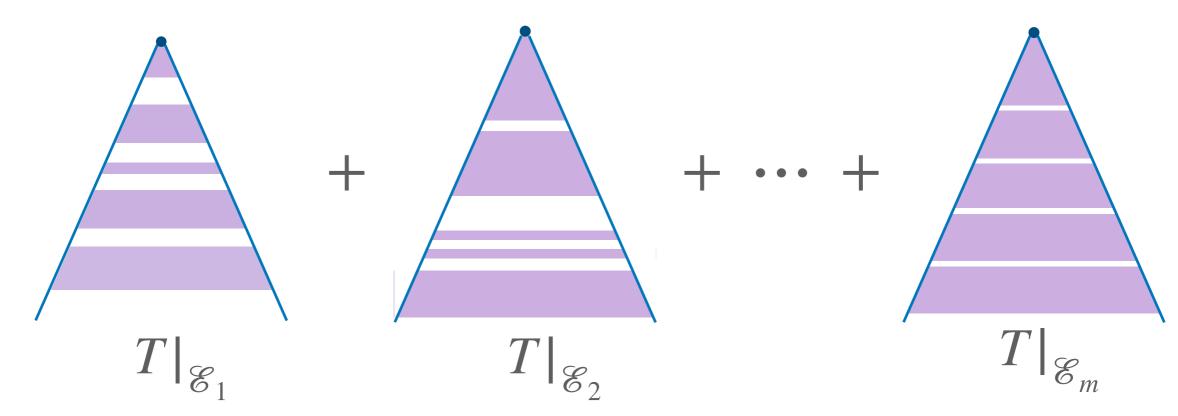
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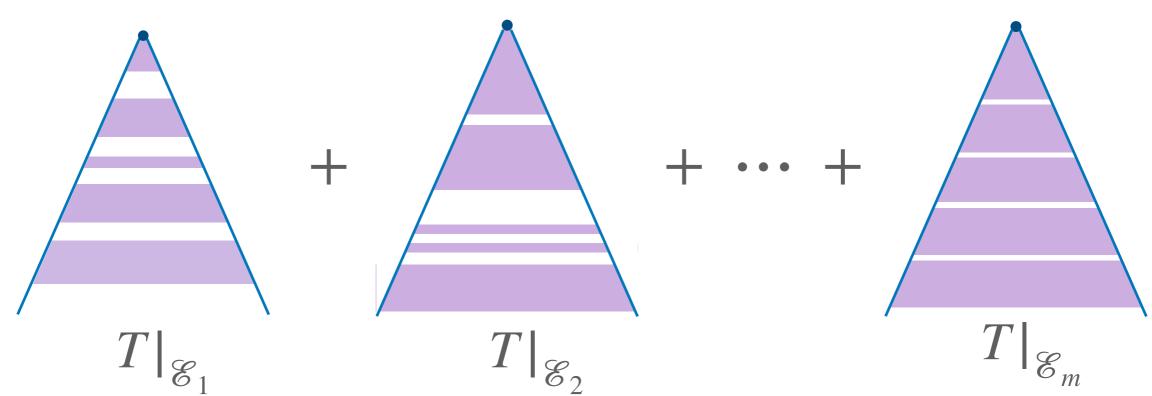
Fourier weight of decision trees

$$L_{\ell}T =$$



Fourier weight of decision trees

$$L_{\ell}T =$$



$$\|L_{\ell}T\| \leq \sum \|T|_{\mathcal{E}_i}\|$$
 . (Triangle-inequality)

Some more problems

Problem I. In the query world, for total function, R(f) v.s. Q(f)

Problem 2. In the query worlk, for total function, R(f) v.s. exact quantum algorithm (think about Monte Carlo)

Problem 3. Unified theory for partial and total functions.

Grand Challenges

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- 1. Quantum v.s. Classical Communication
- 2. Quantum Proof System

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- 2. Quantum Proof System

Thank you!