

Regulatory Reporting for Basel I, II & III

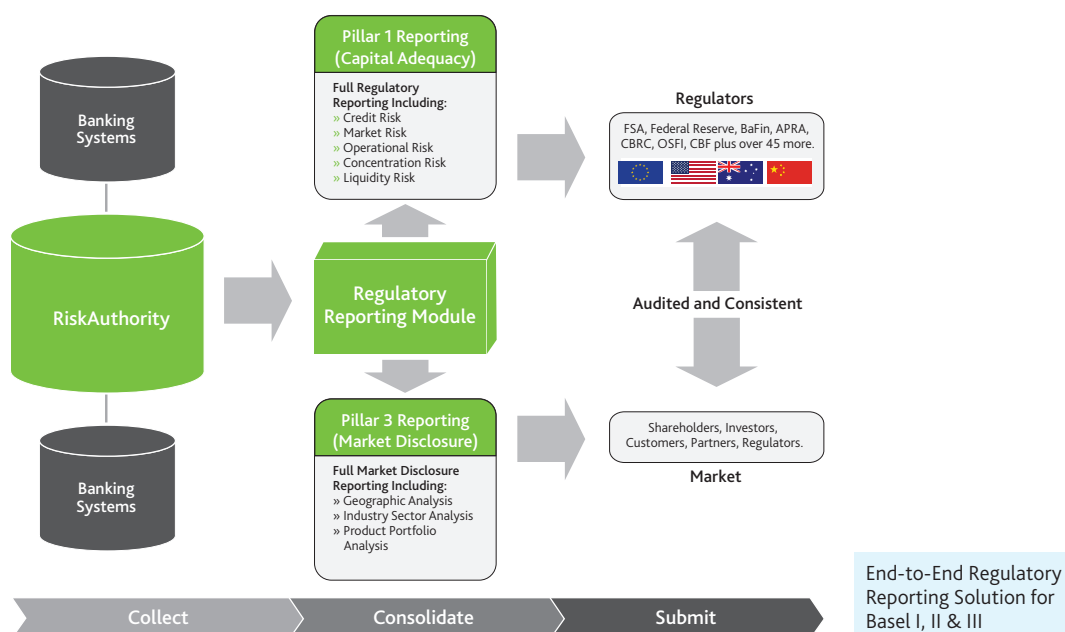
Regulatory reporting for Basel I, II & III is a vital but complex process. It requires a flexible approach that allows banks to balance the demands of regulators and key stakeholders, against the needs of the business.

Our regulatory reporting module delivers the comprehensive reporting demanded by Basel I, II and III, covering the wide range of content, formats and languages of Pillar 1 (regulatory capital) and Pillar 3 (market reporting). It seamlessly manages the differing requirements and objectives of Pillar 1 and Pillar 3 reports in one place. It delivers reports at a lower cost, putting you in control of the way you report to your supervisors and key stakeholders. It delivers easy-to-use pre-formatted reports out-of-the-box, covering multiple jurisdictions, helping you streamline the reporting process while lowering the time and cost of implementation.

The Challenge: Delivering Accurate, Cost Effective Pillar 1 & Pillar 3 Reporting

The reporting requirements of Pillar 1 and Pillar 3 are significant, and easy to underestimate. Pillar 1 and Pillar 3 reports have different data requirements and formats, and must be consistent with each other, so that they deliver accurate messages to relevant audiences. The reports must be fully auditable, and be flexible enough to deliver both group and solo reports where necessary. Risk, finance, and reporting managers are being challenged to meet these complex and demanding reporting requirements to ensure regulatory compliance and deliver added business value.

Delivering Comprehensive Regulatory Reporting for Pillar 1 and Pillar 3.



The Solution: An Enterprise-Strength Regulatory Reporting Solution

Comprehensive Pillar 1 and Pillar 3 Compliance

Our regulatory reporting solution simplifies the demands of Pillar 1 reporting by capturing, consolidating and reporting the data, based on templates that reflect the exact requirements of each regulator. It makes Pillar 3 reporting significantly easier and less time consuming by providing all the necessary templates (generic and country specific) banks need to report. Leveraging the same high-quality data for the different reports under Pillar 1 and Pillar 3, it ensures that both reports are consistent and convey the same messages to the regulator and to the market.

Multi-Jurisdictional Reporting

Our solution makes managing the global demands of regulatory reporting straightforward. Its total flexibility and ease of use means it is easy to manage the group and solo reports of the most diverse and complex global banks. Local reporting templates, covering over 50 countries, make sure your reports contain the right data, and are created in the right format, making it easy to report wherever you do business.

Confidence, Certainty, Control

Our solution gives you confidence by ensuring the right data is in the right place at the right time, to deliver accurate and timely reports to regulators and the market. Proactively manage your data and reports to deliver high quality output that delivers confidence to management, regulators and the market.

It delivers certainty by ensuring your regulatory reporting is constantly in line with Basel I, II and III. New developments in the regulations, and what local regulators need, are constantly updated so you can be certain that you are always meeting the needs of the regulator and the market, without extra cost and effort.

Our solution puts you in control of your reporting by making it easy to collect, consolidate and submit your data in the right way. Choose the right data, reports and formats you need, when you need them, to satisfy your regulators and streamline your reporting overhead.

Best in Class Regulatory Reporting

» Automated Regulatory Report Submission

Automate the collection, processing and submission of reports to regulators. Our solution supports all the reporting standards used by regulators globally including XBRL, XML, Microsoft Excel and ASCII, making it easy and pain-free to submit regulatory reports on time and in the correct format.

» Historical Reporting and Trend Analysis

Store, manage and access historical regulatory data to provide detailed trend analysis for regulators and management, and easily manage the different reporting schedules for Pillar 1 and Pillar 3 reports across the world.

» In Depth Visibility & Control

Move quickly and easily from the consolidated level to the underlying data to understand, in depth, the bank's current position. Make fully audited adjustments to the data, to ensure complete accuracy, and clarify issues with the regulator.

» Full Audit Control

Capture and report on all changes to the data before, during and after the reporting process. Audit changes made down to individual cells to maintain data quality and integrity and enforce the corporate data policy.

» Comprehensive User Access Control

The sensitivity of regulatory reporting demands tight control over who can access the systems and what they can do with the data. Deliver comprehensive, fully audited, user access control, down to cell, table and company level.

» Application Mapping

Deliver full application integration by capturing all the critical reporting values in a wide range of systems, consolidating them seamlessly into the reporting process without the need for complex systems integration cost and effort.

» Management Reporting

To support management visibility and control, it delivers pre-defined and customisable business reports. These reports can be easily exported to Microsoft Excel spreadsheets, or presented graphically in chart form, to help management make fully informed decisions.

The Optimal Solution for Regulatory Reporting

The solution's unrivalled speed and performance allows it to handle the regulatory data of any bank – large or small. Collect and consolidate complex reports from across the world to deliver reports without affecting the online banking day and disrupting the business.

An Open and Flexible Solution

It gives you significant flexibility to deliver regulatory reporting that meets your needs. Integrate it into your existing upstream architecture to deliver best in class regulatory reporting quickly and painlessly.

Alternatively, use it as part of an integrated regulatory compliance solution that encompasses capital and liquidity risk management and stress testing. This delivers the most comprehensive and market leading solution that ensures that banks have the best resource available to deliver Basel II and Basel III on time and on budget.

Comprehensive Reporting Catalogue

It delivers comprehensive global reporting by delivering templates for Pillar 1 reports in over 50 countries. Continuously updated, the reporting templates ensures that a bank's risk, finance and compliance processes are always aligned to the demands of Basel II and Basel III.

Reports Summary

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Regulatory Reports

Select Column	Select Relationship	Enter Value	Go	Clear	13 item(s)						
Supervis	Framework	Report	Time Stamp	Errors / Checks	Audi	Status	Update	Format	Asso		
CBF 400	4003R	France Repo	04/04/2011 17	233/500	● Yes	To Be App	04/04/201	xls	2		
CBF 400	4003C	France Repo	04/04/2011 17	45/100	● No	To Be App	04/04/201	xls	0		
MR	1244J	England Re	04/04/2011 17	0/45	● Yes	Approved	04/04/201	txt	0		
MR	3244XR	England Re	04/04/2011 17	65/80	● No	Sent to B	04/04/201	csv	5		
MR	4003R	England Re	04/04/2011 17	200/504	● Yes	Sent to B	04/04/201	xls	7		

Details: France Report 2

Rep. Title	Rep. Title2	Rep. Title 2	Rep. Title 3							
Zoom <input type="checkbox"/> Click on a cell to audit										

Type de protection de crédit	Bénéficiaires de protection de crédit Expositions assorties de protection de crédit par type de catégorie d'expositions	ADMINISTRATIONS CENTRALES OU BANQUE CENTRALES	ETABLISSEMENTS	ENTITES RELEVANT DU SECTEUR DES ASSURANCES	AUTRES ENTREPRISES	AUTRES FOURNISSEURS DE PROTECTION	TOTAL	BIENS IMMOBILIERS	CREANCES		
		1	2	3	4	5	6	7	8		
SOURCES PERSONNELLES	1.A. ADMINISTRATIONS CENTRALES ET BANQUE CENTRALES										
	1.B. ETABLISSEMENTS	2 500 000	97 907 727		100 000		70 507 727				
	1.C. ENTREPRISES	2 123 333	40 088 345		33 430 396	3 158	75 625 233				
	1.D. CLIENTÈLE DE DETAIL	395 700	20 140 000		1 012 000	3 100 000	24 647 700				

Making it easy to select the right report, choose the right format and submit it to the right regulator – on time, every time.

Regulatory Reporting Module: Instant Reports, Instant Visibility

Award Winning Software You Can Trust

Our reporting solution has been voted by customers and industry experts as the premier regulatory reporting solution the last two years, based on its performance, flexibility, accuracy and customer value.



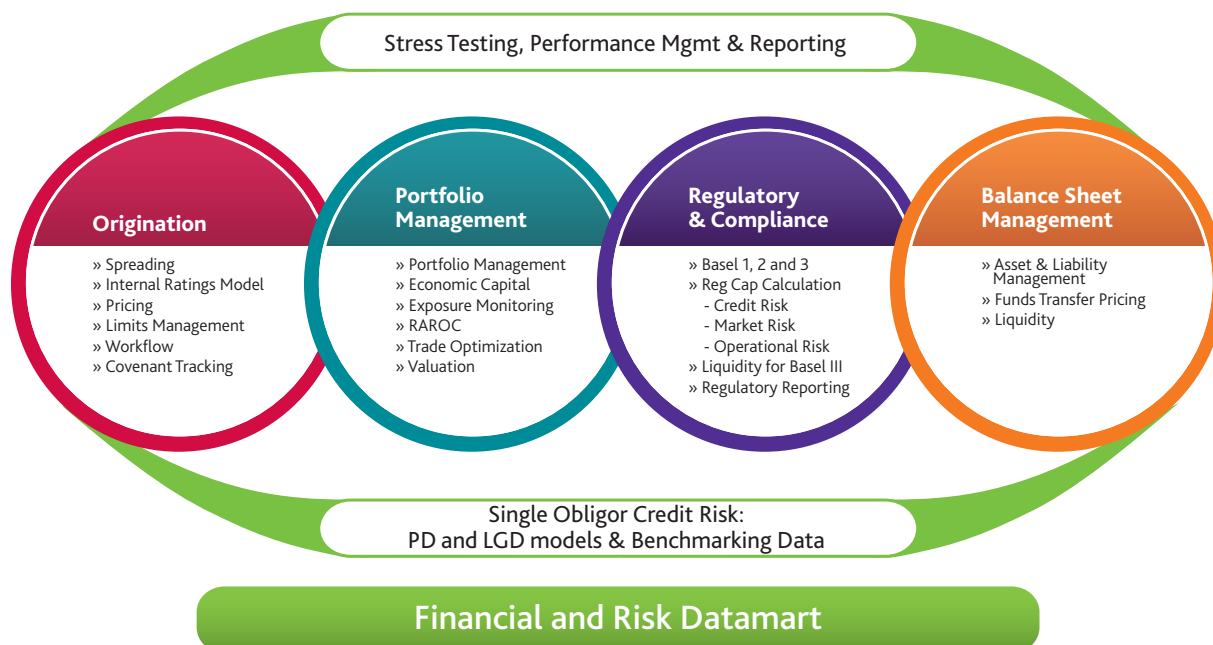
The Product Family

Our regulatory reporting solution can be integrated with other solutions to deliver end to end regulatory capital and liquidity compliance management.

- » RiskAuthority calculates and consolidates your organizations regulatory credit, market, operational, concentration and liquidity risk.
- » Scenario Analyzer predicts your regulatory capital demands in a range of different market conditions, ensuring you can meet the needs of the business and the needs of the regulators.

Moody's Analytics Delivers Comprehensive Enterprise Risk Solutions

Moody's Analytics enterprise risk management goes beyond credit, market and operational risk and delivers a solution for the entire risk life cycle, from measuring and pricing for risk in loan origination to portfolio and regulatory risk management and reporting, to managing balance sheet and liquidity risk. Our solution allows organizations to tie all credit exposures to an obligor, as well as to the entire portfolio, providing a consistent view of risk from origination to regulatory calculation and reporting to portfolio analysis.



About Moody's Analytics

Moody's Analytics helps capital markets and risk management professionals worldwide respond to an evolving marketplace with confidence. The company offers unique tools and best practices for measuring and managing risk through expertise and experience in credit analysis, economic research and financial risk management. By providing leading-edge software, advisory services, and research, including the proprietary analysis of Moody's Investors Service, Moody's Analytics integrates and customizes its offerings to address specific business challenges. Moody's Analytics is a subsidiary of Moody's Corporation (NYSE: MCO), which reported revenue of \$2 billion in 2010, employs approximately 4,500 people worldwide and maintains a presence in 26 countries. Further information is available at www.moodyanalytics.com

We encourage you to try our regulatory reporting solution for yourself. Please contact Moody's Analytics to learn more, arrange a trial or schedule a personal demonstration. E-mail us at regulatoryreporting@moody.com or use one of the numbers below:

AMERICAS
+1.212.553.1653

EMEA
+44.20.7772.5454

ASIA-PACIFIC
+85.2.3551.3077

JAPAN
+81.3.5408.4100

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