

# dOvs Eksamens Noter

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January 2020

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# 1 Compiler intro

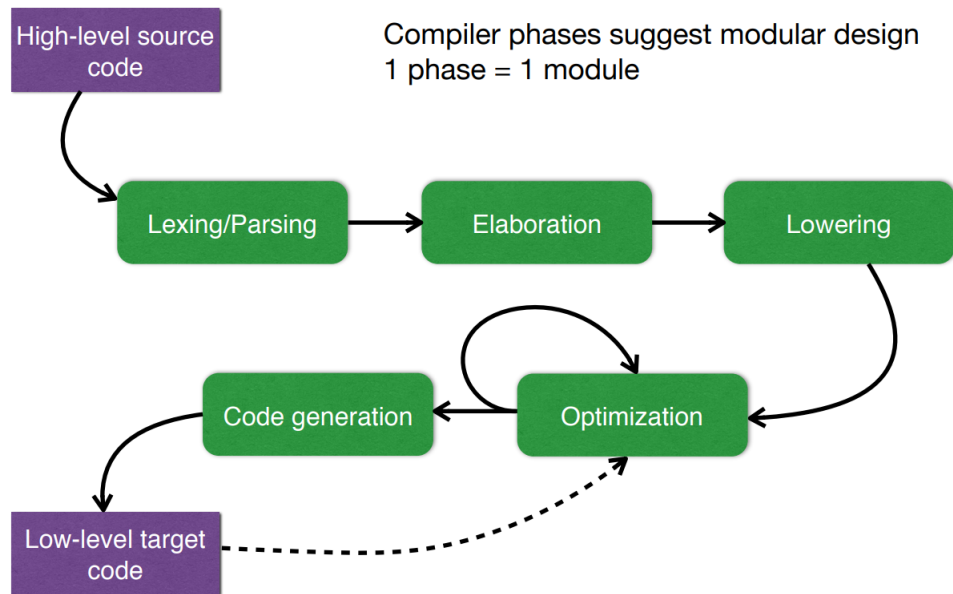


Figure 1: Compiler modular phases.

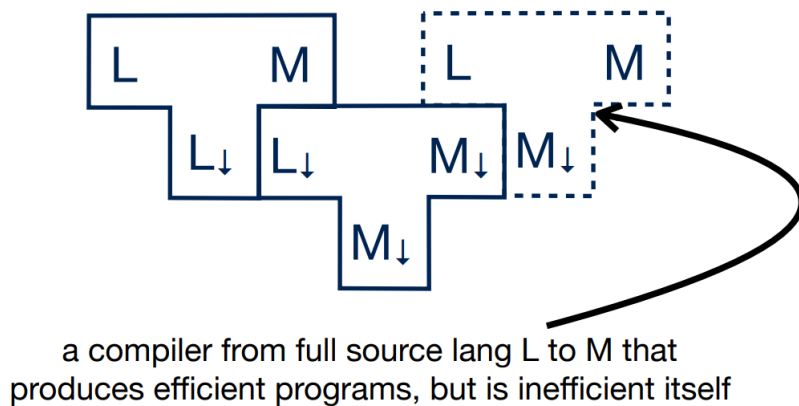


Figure 2: Bootstrap compiling

- **Lexing/Parsing:**  $\text{String} \rightarrow_{\text{lexing}} \text{Tokens} \rightarrow_{\text{parsing}} \text{Abstract Syntax Tree (AST)}$
- **Elaboration:** *Resolving scope* and *Type checking*. Most errors found here.
- **Lowering:** High-level features to target-language like constructs (e.g. assembly-like). *Intermediate representation*, LLVM.
- **Optimization:** Detect and rewrite expensive operations. Lifting invariants out of loops, parallelization.
- **Code generation:** fx LLVM to X86 (registers, instruction etc.)

- **Bootstrapping compilers:** Compile your language in your own language.

## 2 Lexical

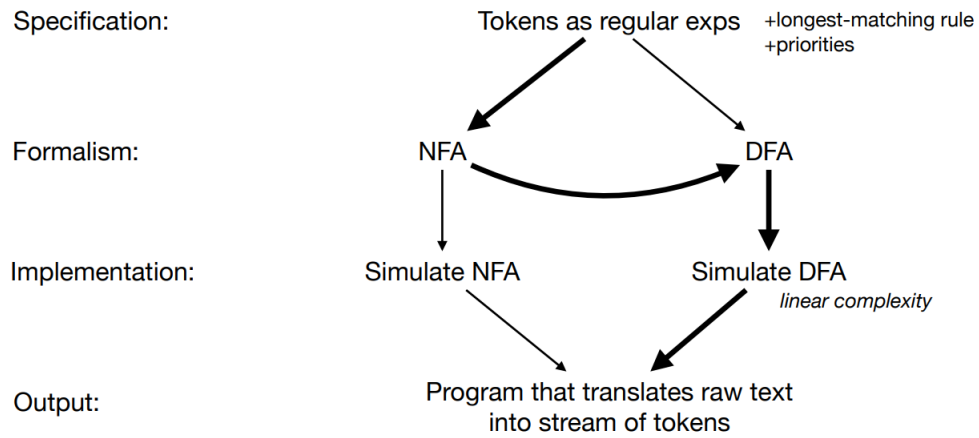


Figure 3: REG to NFA to DFA

- Tokens: E.g. ID("a"), INT, IF etc. Some tokens include metadata like names in ID.
- Non-tokens: comments, whitespace etc.
- REG  $\rightarrow$  NFA  $\rightarrow$  (closures) DFA  $\rightarrow$  Minimized DFA (more effective)
- REG: Handle priorities and longest matching string token wins.
- Ocamllex: Lexer generator

### 3 Parsing

A context-free grammar (CFG) is a 4-tuple  $G = (V, \Sigma, S, P)$

- $V$  is a finite set of *nonterminal* symbols
- $\Sigma$  is an alphabet of *terminal* symbols and  $V \cap \Sigma = \emptyset$
- $S \in V$  is a *start* symbol
- $P$  is a finite set of *productions* of the form  $A \rightarrow \alpha$ , where
  - $A \in V$ , i.e.,  $A$  is a nonterminal, and
  - $\alpha \in (V \cup \Sigma)^*$ , i.e.,  $\alpha$  is possibly empty string of nonterminals or terminals

Figure 4: CFG Definition

$S \rightarrow \text{if } E \text{ then } S \text{ else } S$   
 $S \rightarrow \text{begin } S \text{ L}$   
 $S \rightarrow \text{print } E$   
 $L \rightarrow \text{end}$   
 $L \rightarrow ; S L$   
 $E \rightarrow \text{num} = \text{num}$

- $\text{FIRST}(\alpha)$ : set of terminals that begin strings derived from  $\alpha$
- $\text{FOLLOW}(X)$ : set of terminals  $a$  that can appear immediately to the right of  $X$  in some derivable string, e.g.,  $S \Rightarrow^* \alpha X a \beta$
- Let  $\text{nullable}(X)$  be true when  $X$  can derive empty string  $\epsilon$

Nonterminal	Nullable?	First set	Follow set
S		if, begin, print	else, end, ;, \$
L		end, ;	else, end, ;, \$
E		num	then, else, end, ;, \$

Figure 5: Top-down parsing table. You do not want more than one possibility in a cell.

- Abstract Syntax Tree (AST):
- Context-Free Grammars (CFG):
  - *Terminals*  $\rightarrow$  *production rules*
  - Terminals are leafs in the tree (e.g.  $x, y$ ).
  - Non-Terminals are links in the tree (e.g. BinExp)
  - Definition see figure 4.
  - Ambiguity: You don't want ambiguity, you want determinism. *Associativity* (right/left) and *precedence* (e.g. times before plus).
- Top-down/Bottom-up parsing:
  - Top-down is predictive parsing:

- \* leftmost derivation
- \* "see whats coming"
- \* Breaks down at for example:  $S \rightarrow S + x \mid S - x \mid x$ . Here you don't know what to do when you see an  $x \dots$
- \* See figure 5 for parsing table.
- Bottom-up: **LR parsing** is rightmost reduction.
  - \* Rightmost reduction
  - \* Includes EOF "\$" symbol.

### 3.1 LR parsing

**Bottom-up:**

- Rightmost reduction
- Includes EOF "\$" symbol.

**Terms:**

- An **Item** is a hypothesis about sub-derivations:  $N$  is hypothesis,  $\alpha$  is confirmed to be parsed,  $\beta$  is to be confirmed,  $N \rightarrow \alpha.\beta$ . Notice that it looks like a production rule, but with a dot somewhere in it.
- Item is reducible if  $\beta$  is empty. The right side of the dot is empty.
- $\epsilon$ -closure of an item set: add new hypothesis to set if expecting a non-terminal. Accessible steps while doing lambda steps.
- Stack based: stack of alternating items sets and derivation trees.
- Conflicts: shift/reduce, reduce/reduce. You don't know what to do from one state, when seeing an input symbol.

**Operations:** Look up stack state, and input symbol to get action

- Reduce  $k$ : Pop stack as many times as the number of symbols on the right-hand side of rule  $k$ . Choose a grammar rule  $X \rightarrow A B C$ ; pop  $C, B, A$  from the top of the stack, and push  $X$  onto the stack. If dot is found on the right side of all symbols.
- Shift: Advance input one token; push token to stack. Go from one state to another after seeing a terminal input. Move dot one spot.
- Goto: Add hypothesis to stack - which sub-derivations we can go to. Goto state (move across edge). Go from one state to another after seeing a non-terminal. Move dot one spot.

Goto and shift must preserve the structure of the stack (item set > derivation).

**Examples:** All LR parsing examples

You can create a DFA by calculating first, the starting state and its closure. Then calculate the closures (dot in front of non-terminal) developed by shifting each terminal and non-terminal from that state (moving the dot after the shifted input symbol). Afterwards, you can develop a parsing table, *state* by terminal/non-terminal. See figure 6 for parsing table, DFA for shift reduce grammar.

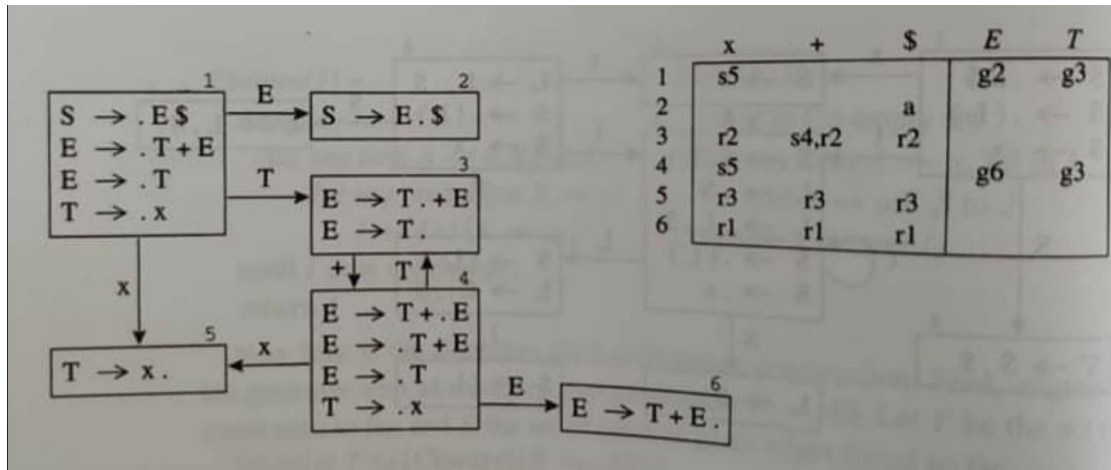


Figure 6: LR(0) shift/reduce conflict, parsing table and state DFA,  $sn$ : shift to state  $n$

Reduction based on  $k$  lookahead. The higher  $k$ , the less conflicts. However, more than 1 is not used for compilation, as the parsing table would be huge.

Since LR(0) needs no lookahead, we require one action for each state. With shift and reduce, we get a shift/reduce conflict.

LR(1) items consists of a *grammar production*, a *right-hand-side position* and a *lookahead symbol*. Choose whether or not to reduce based on stack and one lookahead on input.

Lookaheads are calculated by: Any state that contains an item of the form  $A \rightarrow x.B y \{t\}$ , where  $x$  and  $y$  are arbitrary strings of terminals and nonterminals and  $B$  is a nonterminal, you add an item of the form  $B \rightarrow \cdot w \{s\}$  for every production  $B \rightarrow w$  and for every terminal in the set  $s = FIRST(yt)$ .

### 3.2 Scoping rules

Rules of programming language to regulate how names and ID's are resolved.

**Problems:**

- Nesting: Same name for variable in nested scopes. What value should we return?
- Forward reference: Using something before it is declared. E.g. mutual recursion.

**Scoping terms:**

- Scope of declaration: Part of the program where the declaration can be referred to.
- **Static nested scopes (SML style):** Identifier scope is the smallest block (begin/end, function, or procedure body) containing the identifier's declaration. This means that an identifier declared in some block is only accessible within that block and from procedures declared within it.
  - Nearest visible: Return value of nearest declaration in the code.
  - Stack-like behavior

- JS function-level lexical scoping: Inner functions contain the scope of parent functions even if the parent function has returned.
- **Static scoping:** Inner functions can access identifiers in outer scope. Can be deduced in compile time (C).
- **Dynamic scoping:** A function  $p$  which prints  $x$ . Two functions,  $d1$  and  $d2$ , that declare  $x$  as 1 and 2 and then calls  $p$ .  $d1$  will print 1 and  $d2$  will print 2. I.e. the scope depends on the call stack and chain of function calls.

**Namespace:** Different declaration identifiers can reside in different syntactic namespaces. E.g. in Tiger: *var/function* are in the same namespace, but *type* is in another.

**Tiger scoping and namespaces:**

- Global: base types (`int`, `string`) and built-in functions (e.g. `print`).