

Data Structures and Algorithms I

8.27.24

Zybooks Reading 01:

- * Data Structure: way of organizing, storing, and performing operations on data.

Record → stores sub-items (fields) each w/ an associated name

Array → stores ordered lists of items, accessible w/ an index

Linked List → stores an ordered list of items in nodes, where each node stores data and pointer to next node

Binary Tree → each node stores data and has up to 2 children (left and right)

Hash Table → stores unordered items by mapping (hashing) each item to a location in an array

Heap → max/min-heaps are trees where a node's key is greater/less than its children's keys

Graph → represents connections among items, where a vertex represents an item and an edge represents a connection

- * Algorithm: sequence of steps to solve a computational problem or perform a calculation

longest common substring

binary search → searches a list (ex. array)

Dijkstra's shortest path → determines shortest path from a start vertex to each vertex in a graph

Efficient Algorithms: assessed by runtime and must increase no more than polynomially with respect to input size

NP-complete: set of problems where no efficient algorithm exists

- no efficient algorithm has been found
- no one has proven an efficient algorithm is impossible
- if an efficient algorithm exists for one NP-complete problem, it must exist for all?

* by knowing problem is NP-complete, focus shifts to "good enough" algorithm :-)

* specific algorithms typically exist for specific data structures :-)

Computational Complexity: amount of resources used by an algorithm

• runtime complexity: $T(N)$

$T \rightarrow$ # constant-time operations

$N \rightarrow$ # inputs

best case \rightarrow min N (cannot be 0)

worst case \rightarrow max N

• space complexity: $S(N)$

$S \rightarrow$ # fixed-size memory units

$N \rightarrow$ # inputs

$K \rightarrow$ constant representing memory for loop-counters and list pointers

• auxiliary space complexity: space complexity without input data

Lecture 01

8.28.21

Ex - Detecting Duplicates

bool has_duplicates(a, n)

{

 for each element $a[i] \in$

 for each element $a[j]$ from $i+1$ to end {

 if $a[i] == a[j]$

 return true

 return false

}

runtime complexity = $\sim N^2$

aux-space complexity = k (doesn't depend

on size of array since only needs to

hold counter integers AFTER input is processed)

Faster Approach:

data = 23, 1, 3, 23

seen = ff, f, f, f

seen = f, f, f, +

seen = f, t, f, +

runtime complexity = N

aux-space complexity = $\sim N$

What if values ranged from 0 to N^2 ?

space is $\sim N^2$

bool has_duplicates_fast(a, n) {

 allocate "seen" table

 for each element in a

 if $seen[a[i]] == \text{true}$

 return true

 seen[a[i]] = true

 return false

Zybooks Reading #23

8-29-24

VS-Code Terminal:

Manage → Themes → Color Theme

Hamburger → Terminal → New Terminal

Debugging:

- compile w/ -g flag
- click "Run and Debug"
- create a launch.json file
- click "Add Configuration"
- use gdb launch
- enter "{\$workspaceFolder}/[executable]" under "program"
- Add a Breakpoint
- Run through debug window "Start Debugging"
- Use "Step Over" to run program step-by-step
- Use "Step Into" to access function being called
- Press "Stop" to exit debugger
- Make sure to exit debugger and re-enter "Bash" terminal
- can also watch certain variables in debug window
- can also use valgrind or [executable] memory error detector

Lecture 02:

8.30.24

Compiling C Programs

foo.h header file (text)

↓
foo.c source file (text)

↓
gcc -c compile (-c means to object files, not exe)

↓
foo.o object file (library)

↓
gcc link (one or more object files)

↓
foo executable (binary, starts at main)

Automating Compilation: make

→ consists of a set of rules for actions to
compile targets from their sources

target : sources [tab] actions

Ex. compile hello.o from source hello.c

hello.o : hello.c hello.h

[tab] gcc -c hello.c

Ex. compile exe app from object files

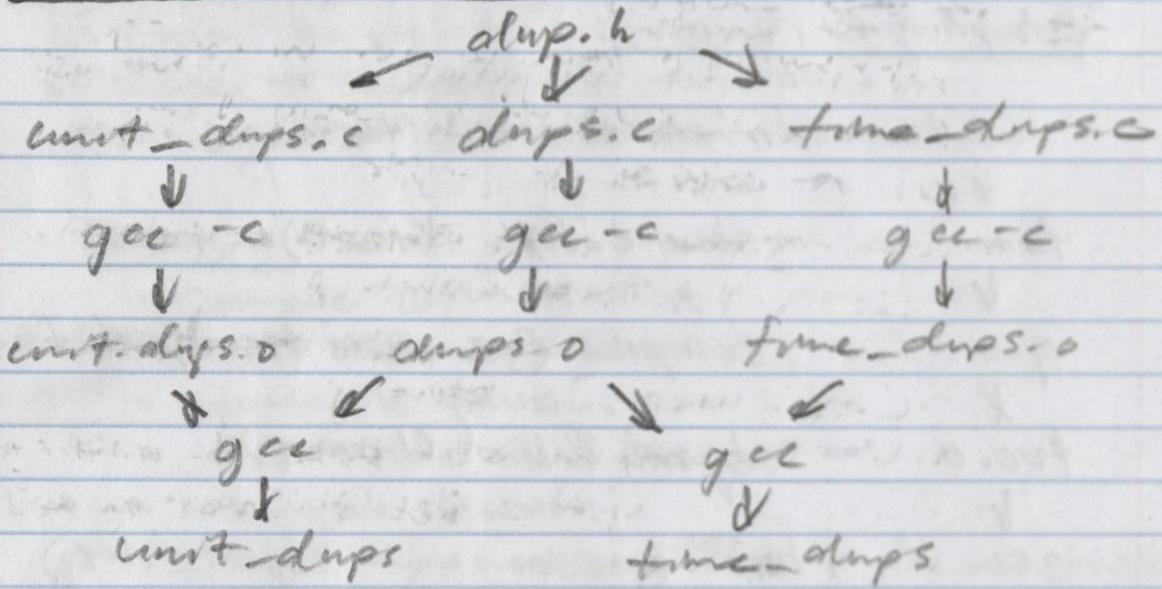
main.o ad lib.o

app : main.o lib.o

[tab] cc -o app main.o lib.o

Fun fact: makes directed acyclic graph (DAG)
data structure and performs topological sort
algorithm

Duplicator File Structure:



Assert: assert (condition)

- keeps executing if condition is true
- exits program w/ error code and prints msg w/ loc if condition is false

* we will write unit tests for every function using assert statements

* use `<i>include <assert.h>`

* return code 0 for success

* -Wall gives all warnings

* chmod +x [SHELL exec].sh
to run shell script

9.1.24

Reading 03:

2.1 Pointer Basics:

pointer is variable that holds another var's address
has a data-type which determines what kind of address it holds

reference operator (`&`): obtains a variables address

declaration: `int *p = #`

dereference operator (`*`): retrieves the data where the pointer is pointing

Null pointer: a pointer that points to nothing

* 0 on most systems b/c 0 is not an address
to anything

* be careful when declaring pointers and using

the reference/dereference operators

→ dereferencing a null pointer causes
a runtime error

→ dereferencing an unknown address
causes a runtime error

2.2 malloc and free functions

+ include `cstdlib.h` →

`malloc (bytes);` // basic use

`malloc (sizeof (datatype));` // common form

Void pointer: "universal remote" to `malloc()`

returns a void pointer

→ must be typecasted

ex. `p = (datatype *) malloc (sizeof (datatype));`

`free (pointer);` // de-allocates memory

used by a pointer

• CANNOT dereference a pointer after it has been `free()` // returns error

• calling `free()` for a pointer that hasn't been `malloc()` also returns an error

2.3 Memory leaks

Memory leak : when a program loses track / access
to previously allocated memory
→ failure to free()

garbage collection : some languages (e.g. Java)
automatically find these unnecessary memory
locations and frees them
→ not C!

2.4 String functions with pointers

* Method `cstring.h`

* arguments passed as `char*` can be modified
by string functions but `const char*` cannot

Ex. `strncpy()`, `strcpy()`

C string search functions:

`strchr()`, `strrchr()`, `strstr()`

2.5 The `malloc` function for arrays and strings

* normally, arrays and strings must be
statically allocated

* `malloc()` can create dynamically allocated arrays

Ex. `pointer = (datatype*) malloc (numElements * sizeof(datatype));`

Ex. concatenating strings dynamically

`p = (char*) malloc(strlen(str) + 8) > sizeof(char));`

* remember '\0' appended to ALL c-strings
in these examples!

Lecture 03:

9.2.24

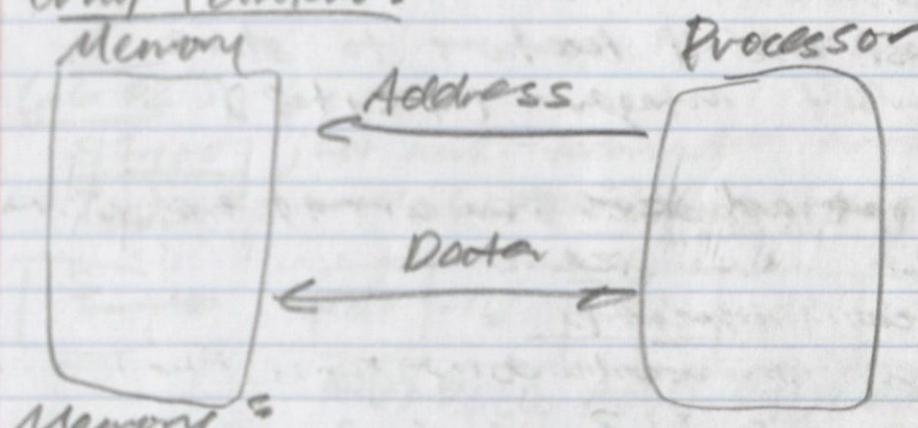
Shifting:

$$2 \ll 15 \quad \text{if 2 shifted 15 bits to the right}$$
$$= 2^{16} = 32768$$

High/Low level Programming language:

Low-level	High-Level
Assembly C "as low as you can get before binary"	Python "very abstracted"

Why Pointers?



- 64 bits of address (18,446,744,073,709,551,616)
- each address stores 8 bits / 1 byte of data

Processor:

- load/store data in memory
- perform arithmetic/logic operations on data
- figure address for next instruction

Software:

- system: esp memory management
- numerie: fast math unit / graphics
- symbolic: data structures, code and program diagnosis

* Python was written in C

Memory: base 16 : 0-a, a-f
 ↓ (16 bit system)
address (pointer) byte of data variable name
0xffff ffff ffff ffff
0x ffff ffff ffff fffe
 ...
0x0000 7ffe f146 2085 'A', 0x41, 01000001 char c
 ...
0x0000 0000 0000 0002
0x0000 0000 0000 0001
0x0000 0000 0000 0000

* you must use 4 locations to store
a 32-bit integer (4 bytes)

C Data Types and Sizes:

<u>data-type:</u>	<u>size:</u>
bool	1
char	1
short	2
int	4
float	4
double	8
char *	8
int *	8

Ex.

declaration ; int a
expression ; a
evaluates to : value of a

declaration ; int A[4];
expression A
evaluates to : address of A[0]

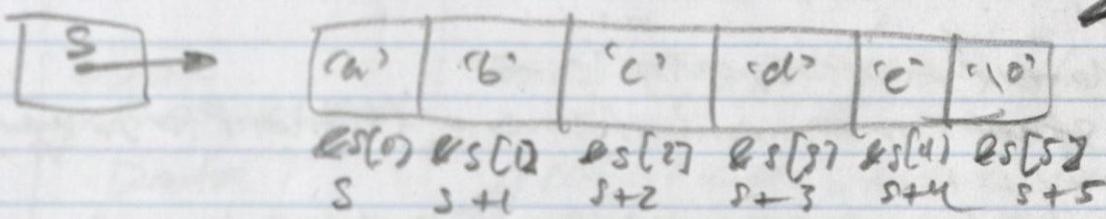
Indexing Arrays and Pointer Arithmetic :

What happens when you add 1 to a pointer?
It increments by the size of the thing it points to

Lab 02 ;

9.3.24

String's just null-terminated arrays of chars
char *s = "abcde";



If p-s is the length of the array

Pointer Variables and Arrays ; move away from concrete addresses and towards arrays and objects

p = &x; if p points to x

y = *p ; if y gets value pointed to by p

q = p ; if q gets same pointer as p
they point to the same thing

Reading 04 :

9.3.24

2.1x 11 points in long hex format

Iterating through blocks w/ pointers :

```
for (int i=0; *(s+i); i++) {  
    printf("%c", *(s+i));  
}
```

2.8 :

`malloc()` is commonly used for pointers w/ structs

Ex:

```
typedef struct myItem {
```

```
    int num1;
```

```
    int num2;
```

```
} myItem;
```

```
myItem myItemP = NULL;
```

```
myItemP = (myItem*) malloc(sizeof(myItem));
```

Member Access Operator : \rightarrow

```
structPtr->memberName ≈ (*structPtr).memberName
```

2.9 Memory regions: Heap / Stack

4-regions of program memory:

Code - where program instruction is stored

Static memory - where global variables and

static local variables are stored. They are
allocated once and remain at the same location
for the entire life of execution.

The Stack - where local variables are stored.

LIFO and automatically allocated and deallocated

The Heap - where `malloc()` and `free()` allocate

memory. Also called the free store - programmers
have explicit control over allocation / deallocation

Lecture 04:

09.04.24

Dynamic Memory Allocation:

`void *malloc(nBytes)`

- allocates uninitialized memory

`void *calloc(nObjects, bytesPerObject)`

- initializes all values to 0

`free(void *)`

* valgrind -- leak-check=full ./executable

Memory Management: most important aspect
of computer system design

Regions of Memory:

Stack
grows downward

function local
variables

Heap
grows upward

`malloc()`, `calloc()`, `free()`

Data
fixed size

global and static vars,
string constants "abc"
program machine code

Code
fixed size

Ex-

```
double G = 3.14;  
int main(int argc, char *argv[]) {  
    int x = 1;  
    int a[] = {4, 6, 6, 3, 7};  
    char *s = "string";  
    int *p = malloc(10 * sizeof(int));  
    static int t = 2;  
}
```

3

stack: &x, a, &s, &p

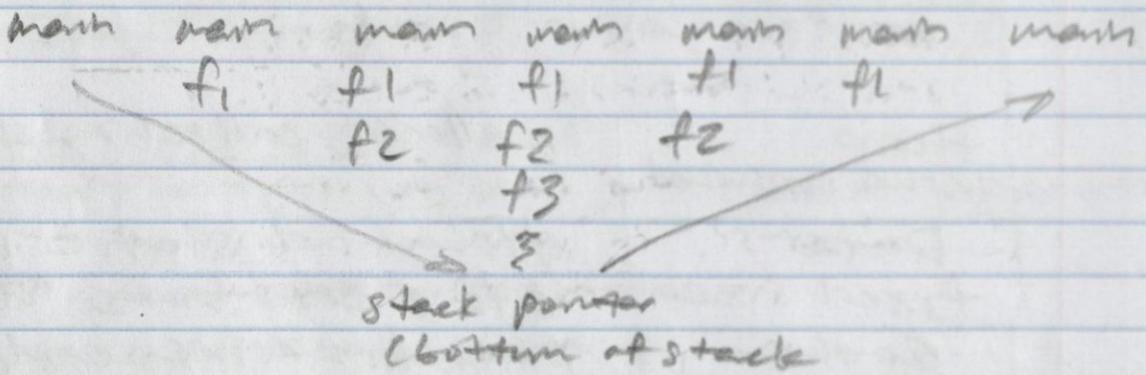
heap: p

* on mismatch *

data: s, &t, &G

code: main

Stack Frame:



lecture 05:

9.6.24

Array of char vs. String constants:

char a[] = "cat"; 4 bytes

char *p = "dog"; 4/8 bytes

x32 / x64

a : in stack, can change values but not reassign
e.g. 'a' 't' '\0'

&p : in stack, string constant in deita,
can reassign but can not change values

P → 'd' 'o' 'g' '\0'

I/O Directory strings:

char *str = "Hello"

can print with:

printf("%s\n", str);

puts(str); // much simpler

I/O Readby Input Line by Line:

use fgets() to read one line at a time
into a buffer and then process:

char buffer[BUFSIZ];

while (fgets(buffer, BUFSIZ, stdin)) {
 process(buffer)

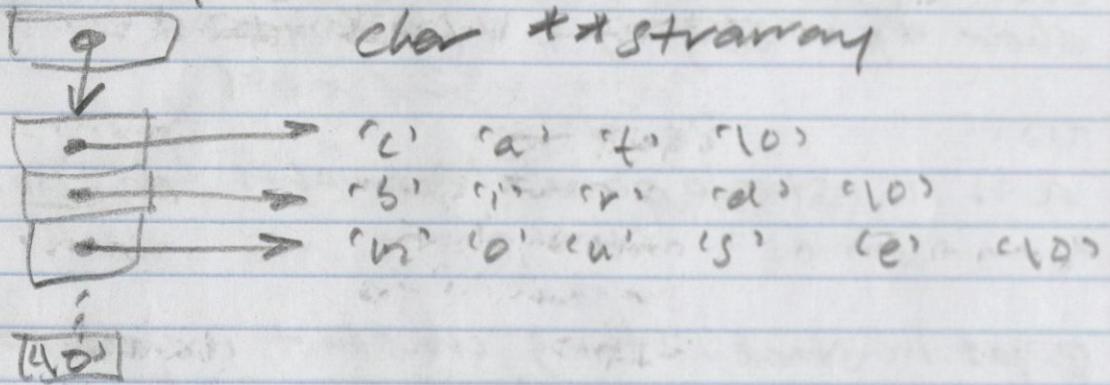
 }

I/O : Chomping Newlines:

- fgets() appends a '\n' to the end of each string
- we can chomp this by Iterating through
the buffer until reading '\0' replacing any
\n with '\0' on the way

Array of Strings:

strarray



Allocate and Free:

`calloc();` // to allocate memory at string pointers initialized to 0

`strdup();` // to allocate individual strings and assignment to successive addresses in the array

`free();` // loop over the string array to free each individual string
// free the string array steadily

Reading 05:

9.01.24

Abstract Data Types (ADTs) : data type abstracted by predefined user operations, without particularly indicating how each operation functions

ADT	Description	Common DS
List	ordered data	array, linked lists
Dynamic Array	ordered data indexed access	array
Stack	FIFO	linked list
Queue	LIFO	linked list
Deque	items can be inserted and removed LIFO/FIFO	linked list
Bag	unordered, allows duplicates	array, linked list
Set	collection of distinct items	Binary search tree Hash Table
Priority Queue	each item has a value and is ordered by priority	Heap
Dictionary (Map)	maps keys with values	hash table Binary search tree

* Allows for abstraction and optimizations

The realloc function: realloc()

- Reallocates a pointers memory block to a new size
- Can be used to increase or decrease the size of dynamically allocated arrays

`p = (type*) realloc(p, numElements * sizeof(type));`

Vector ADT

```
#include "vector.h"
void vector_create(vector *v, int size);
void vector_destroy(vector *v);
void vector_resize(vector *v, int size);
int & vector_at(vector *v, int index);
int vector_size(vector *v);
void vector_push_back(vector *v, int value);
void vector_insert(vector *v, int index, int value);
void vector_erase(vector *v, int index);
```

Lecture Notes :

9-9-24

Struct Review :

typedef struct {

int x;

int y;

}; Point;

Mem Allocation :

Point p; p.x = 1; p.y = 2;

Label	Address	Value
int y	0xF	0
	0xE	0
	0xD	0
	0xC	2
	0xB	0
	0xA	0
	0x9	0
p:	0x8	1

int y

int x

struct Point

Dynamic Array : data structure that can grow as more elements are added

Dynamic Array	$\{ [0], [1], [2], [3] \}$
data	internal fixed array w/ data
capacity	number potential elements
size	actual number of elements used

* variant of vectors

Structure:

```
typedef struct {  
    int *data;           // internal array  
    int capacity;       // total # elements  
    int size;            // # valid elements
```

3 Array;

Resizing: double the capacity when you need it

Methods: taking OOP with functions

```
array - create(); // create empty array  
array - delete(Array *array);  
array - append(Array *array, int value);  
array - at (Array *array, int index);  
array - index(Array *array, int value);  
array - insert (Array *array, int index,  
               int value);
```

Growing an Array's realloc()

```
void *realloc (*ptr, size_t size);  
* leaves memory uninitialized  
* will preserve values already there
```

Shifting Elements to the Right:
array - insert(array, 3, 34);

31 32 33 35 36

31 32 33 34 35 36
 $p \rightarrow$

* use a loop &
from : index size
to : current index (or above)
operations data[i] = data[i-1]

Computational Complexity:

Big O Notation: gives "order" of computation
 $O(1)$: constant, doesn't depend on # elements
 $O(n)$: proportional to # elements
 $O(n^2)$: proportional to square of # of elements

Dynamic Array Complexity: Average(A), Worst(W)

Function	Avg (A)	Time(W)	Space(A)	Space(W)
Append	$O(1)$	$O(n)$	$O(1)$	$O(n)$
At	$O(1)$	$O(1)$	$O(1)$	$O(1)$
Index	$O(n)$	$O(n)$	$O(1)$	$O(1)$
Insert	$O(n)$	$O(n)$	$O(1)$	$O(n)$

Pros / Cons of Dynamic Arrays

Pros:

- grows as needed
- fast random access
- good cache locality

Cons:

- sometimes slow insert/delete
- worst case approach (have more space allocated than you need)

Reading Notes:

9.10.24

Stack ADT: Items are only inserted or removed from the top of the stack

push - inserts an item

pop - removes an item

* LIFO ADT *

Push(stack, x)

Pop(stack)

Peek(stack) // returns top of stack

IsEmpty(stack) // T/F if empty

GetLength(stack) // # items in stack

Queue ADT: Items are inserted at the end of the queue and removed from the front

dequeue - removes and returns front item

enQueue - inserts item at end

* FIFO ADT *

can be implemented as linked list or array

Enqueue(queue, x)

Dequeue(queue)

Peek(queue) // returns front value

IsEmpty(queue)

GetLength(queue)

Deque ADT = items can be inserted and removed from the front and the back

push-front (deque, x)
push-back (deque, x)
pop-front (deque)
pop-back (deque)
PeekFront (deque)
PeekBack (deque)
IsEmpty (deque)
GetLength (deque)

Set ADT = unordered collection of distinct elements. elements can only be added if they do not exist

- * can have one or multiple datatypes. ~~where~~
distinguished by:
key value - primitive data value;
unique identifier for element
- * can remove element from set this way too
 \leftarrow key value

- * can search for a subset in a set as well
"a set X is a subset of set Y only if every element of X is also an element of Y"

Set Operations

$$\text{Union } (\cup) : \{54, 19, 75\} \cup \{75, 12\} = \{12, 19, 54, 75\}$$

$$\text{Intersection } (\cap) : \{54, 19, 75\} \cap \{75, 12\} = \{75\}$$

$$\text{Difference } (\setminus) : \{54, 19, 75\} \setminus \{75, 12\} \\ \subset \{54, 19\}$$

$X \cup Y$: every element from sets X and Y ,
no additional elements

$X \cap Y$: every element from both sets
 X and Y , no additional elements

$X \setminus Y$: every element in X but not
in Y , no additional elements

* \cup and \cap are commutative, \setminus is not *

filter: produce a subset of X that satisfies
a particular condition

map: operation on a set X that produces
a new set by applying a function F
to that set

Static and Dynamic Set Operations:

dynamic set: set that can be changed
after construction

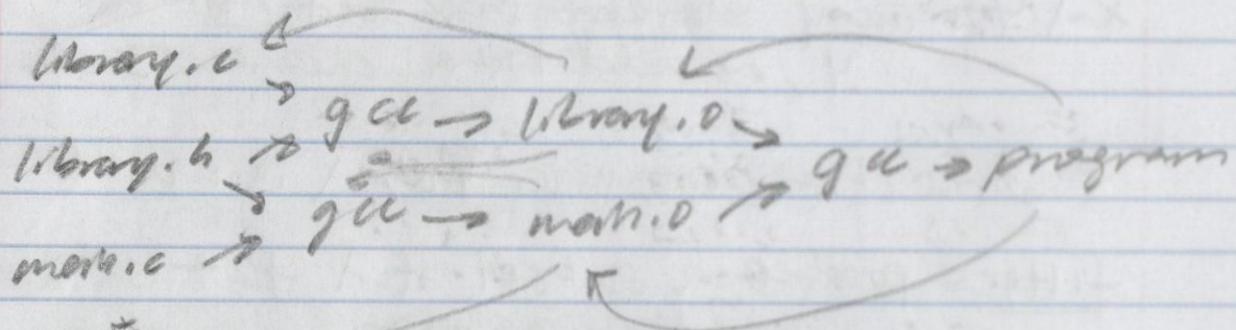
static set: cannot be changed
after construction

Lecture Notes:

9.11.24

*realloc() does not always reallocate the memory at the same location

Make Motivation: automates file compiling process



nodes are linked by dependencies
downward spectral lineage for DAGs?

Variables:

\$ (variable)

Rules:

TARGET: SOURCE
COMMAND

Macros:

\$ (wildcard *.c)
\$ (shell ls *.c)

Copy or Substring: Strndup()

char *str = "a b , c d e , f \0";

char *substr = strndup(str + 3, 3);
= c d e \0

Stack: ADT with 4 basic operations

push()

push &

pop()

top()

empty()

[top]

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PBB Match Pseudocode:

for each input character c

if c is opener

push onto stack

else if c is a closer that matches top of stack

pop stack

else

no match \rightarrow not free stack

free stack \rightarrow before returning anything

if stack is empty

match

free stack

else

not match

free stack

* do these in Python

* lots of imports and functions to automate this

Reading 7:

9.15.24

4.1. Searching and Algorithms

algorithm: sequence of steps to solve a task

Linear Search: algorithm that starts from the beginning of a list, and checks each element until the search key is found or end of list is reached
 $O(n) = n$

4.2. Binary Search: search algorithm for sorted lists

checks middle item first \rightarrow if search key $>$ middle value \rightarrow do linear search on upper half vice versa.

* can be recursived

\hookrightarrow where its power comes from
much faster than linear search

$$O(n) = \log_2 n$$

4.3. Constant time operations: an operation that, for a given processor, always operates on the same amount of time, regardless of input values

* since different processors will compute at different speeds

* a constant times a constant is still a constant
 \rightarrow any # of CTOs can be considered as 1 CTO

Common CTOs:

$\rightarrow + - / * //$ of fixed ints or floats

\rightarrow assignment of fixed size data

\rightarrow comparison of two fixed size data values

\rightarrow read/write array element at particular index

4.4. O notation

Big O notation is mathematical way of describing how runtime of an algorithm behaves in relation to input size

Rules:

1. if $f(N)$ is a sum of several terms, $O(N)$ keeps only the highest order term
2. if $f(N)$ is a product of several terms, all constants are omitted

Eg. Algorithm steps: $5 + 13N + 7N^2$
 $O(5 + 13N + 7N^2) = O(7N^2) = O(N^2)$

Composite Rules:

$$\begin{aligned}c \cdot O(f(N)) &= O(f(N)) \\c + O(f(N)) &= O(f(N)) \\g(N) \cdot O(f(N)) &= O(g(N) \cdot f(N)) \\g(N) + O(f(N)) &= O(g(N) + f(N))\end{aligned}$$

* converting from one log base to another involving a constant factor, which can be simplified in Big O notation

$$\log_b(a) = \frac{\log_c(b)}{\log_c(a)}$$

c = new log base

$O(1) \rightarrow$ constant

$O(\log N) \rightarrow$ logarithmic

$O(N) \rightarrow$ linear

$O(N \log N) \rightarrow$ linear logarithmic

$O(N^2) \rightarrow$ quadratic

$O(c^N) \rightarrow$ exponential

4.5. Algorithm analysis
& commonly focuses on worst-case complexity

worst-case runtime = runtime complexity
for an input that results in largest execution

nested loops = multiple runtime of inner-loop
+ runtime of outer loop

Ex: Summation of consecutive numbers

$$(N-1) + (N-2) + \dots + 2 + 1 = \frac{N(N-1)}{2} = O(N^2)$$

Lecture Notes:

9/16/24

ctrl-D signals end of stdin

./exe < file.txt reads in file from stdin

* creative use of data structures lowers degrees of freedom and makes problem solving easier and more elegant

staque
queue
deque
set

} all restricted versions of dynamic arrays

Staque: LIFO
"Stack of plates"

Queue: FIFO
"waiting in a queue/line"

Analysis

<u>Function</u>	<u>Time (A)</u>	<u>Space (A)</u>
push	O(1)	O(1)
Pop	O(n)	O(n)
Front	O(1)	O(1)
Empty	O(1)	O(1)

Deque: LIFO and FIFO,
"double ended queue"

* can think these ADs on other data sometimes as well

Set: unique and unordered groupings

add(value) // add value to set
contains(value) // check if value in set
remove(value) // remove value in set
(if it exists)

Ordering sets is very important to
optimize sets (think contains())

Implementations:

- store values in array in order received
- ensure values are unique
- use linear search (for now)

Functions	<u>Time(A)</u>	<u>Space(A)</u>
Add	$O(n)$	$O(1)$
Contains	$O(n)$	$O(1)$
Remove	$O(n)$	$O(1)$

$$O(\cancel{2n}) = O(n)$$

Constant Time Operations: always takes the
same amount of time regardless of
input values

Ex.

- arithmetic operations on fixed size vars
- assigning scalar variables
- loops with fixed number of iterations
- index into an array
- comparisons

Big O Notation: given $f(N)$ as the exec time
 $O(N)$ is the order of growth rate of $f(N)$

- What is N ?
 - number of data items, size of something
- Ignore?
 - coefficients
- Sum of terms?
 - take the highest order term
- Product of terms?
 - product of orders

* important concept, on exams!!

Classic Big O Complexity:

- $O(1)$
- $O(N)$
- $O(N^2)$
- $O(\log N)$
- $O(N \log N)$
- $O(C^N)$
- $O(N!)$

$O(1)$: constant time operations only

- array lookup and compare
- if ($A[i] == \text{key}$) ...

$O(N)$: time proportional to N

- linear search
- for ($i = 0$; $i < \text{SIZE}$; $i++$)
 - if ($A[i] == \text{key}$)
 - return i

$O(N^2)$ and other polynomials:

→ nested loops

Ex. for each i in N

 for each j in N

Ex. naive "finding duplicates"

constructing multiplication table

$O(1 \log N)$: divide-and-conquer, each
subproblem is constant time

→ binary search

→ range cuts in half with each iteration

$O(N \log N)$: divide-and-conquer, each

subproblem is $O(N)$

→ often an optimization of $O(N^2)$

→ various sorting algorithms
(merge sort)

$O(c^N), O(N!)$: exponential problems

complexity grows geometrically w/ size

→ breaking a password of length N

by trying all combinations

Ex. Dot Product

$O(N)$

Ex. Matrix Multiplication

$O(N^3)$

Ex. Euclidean GCD

$O(\log N)$

Lecture Notes :

9.17.24

Palindromic Permutations

- words that can be scrambled into a palindrome
- use a set

1. enter in set
2. check if in set
3. remove it in set
4. if only one item left in set at end then it is a palindrome

RPM Calculation :

- enter inputs and operators line at a time
- tokenize into a string with a space b/w each line

3
4 → "3 4 +"
+

& strtok() helps with this

- use char delmr = [" "]

Reading Notes :

Ch. 18, 24

Recursive Algorithm: an algorithm that breaks a problem into subproblems and applies itself to solve those subproblems.

Base Case: A case where the recursive alg. stops w/o applying itself to another subproblem.

Recursive Function: A fn that calls itself. Often used in recursive algorithms.

Binary Search is often implemented recursively.

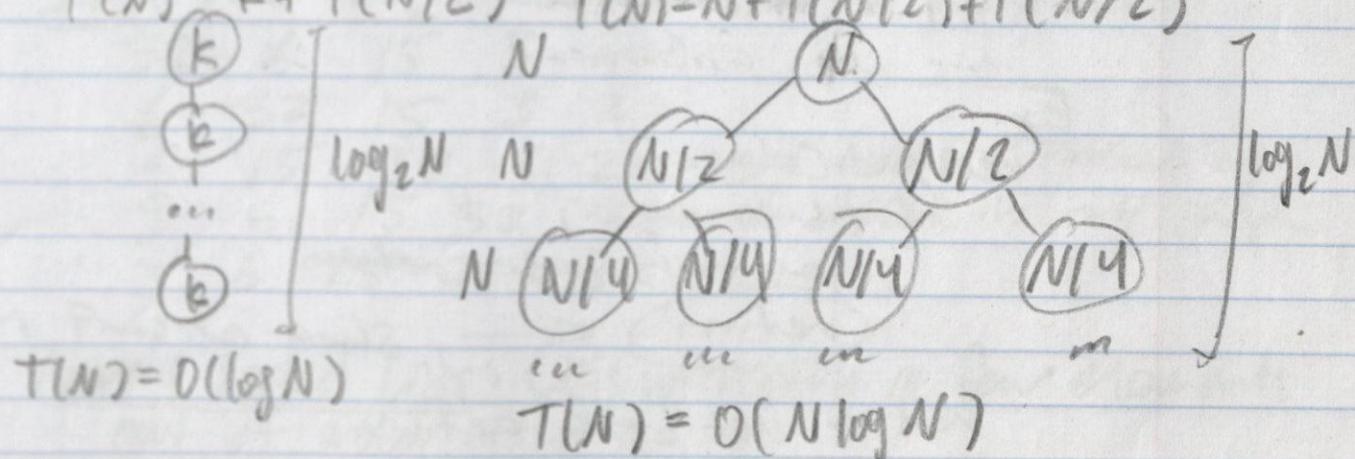
Recurrence Relations is used to calculate the time complexity of recursive algorithms.

$$T(N) = cN + T(N/2)$$

* log T(N) on both sides of equation

Recursion Tree: useful graph to help calculate the complexity of recursive algorithms

$$T(N) = k + T(N/2) \quad T(N) = N + T(N/2) + T(N/2)$$



Leeture Notes:

9.18.24

- 2^{10} - KB
- 2^{20} - MB
- 2^{30} - GB
- 2^{40} - TB

* Linear congruential random number generator

Recursion:

Why?

- Because we can
- Insights into problem solving
- Sometimes the only reasonable solution
- But you can go overboard
 - They are costly
 - (Iterative almost always faster)
 - (Recursion uses memory from the stack)

Tail recursion: recursive call is last line

in recursive function

• modern compilers will convert them
into iterative

Ex.

recursion(data)

if (base case)

perform base action

return

← short circuit return

return not-base action

recursion (new data)

Variants:

- Return data?
- forward or backward?
- More than one base case?
- Multiple recursive calls?
 - with trees

→ Examples in textbooks and slides "LO8B" →
Section 4.10

Reading Notes 3

Sortning: process of converting a list into
ascending or descending order

$$\text{Selection Sort} = f(N) = (N-1) \cdot \frac{N}{2} \Rightarrow O(N^2)$$

7 9 3 18 8
3 9 7 18 8
3 7 9 18 8
3 7 8 18 9
3 7 8 9 18

$$\text{Insertion Sort} = f(N) = (N-1) \cdot \left(\frac{N}{2}\right) \Rightarrow O(N^2)$$

32 6 15 3 20
6 32 15 3 20
6 15 32 3 20
3 6 15 32 20
3 6 15 20 32

Nearly Sorted Lists: Lists with only a few elements
out of order

Insertion Sort $O(N) = N$ in these cases

Bubble Sort = $O(N^2)$

- * considered impractical for real-world
- use of faster methods exist
- * only swaps adjacent elements
- * best and worst runtime complexity
 $\therefore O(N^2)$

Radix Sort = sorting method only for integers

uses Buckets = a collection of integer values that all share a particular digit

ex. 57, 97, 77, 17

(share 7 in the one's place)

Time Complexity: $O(N)$

Space Complexity: $O(N)$

Steps:

- elements placed into buckets based on current digit value
- - overall result by removing elements from buckets in order
(lowest bucket → highest)
- - repeat for all digits
(least significant → most)

Radix Get Max Length (array, arraysize);
→ returns max number of digits in array

Radix Get Length (value)

→ returns number of digits

* uses another bucket to deal with positive values
(must reverse the array created here)

* often also done in base 2 (only 2 buckets needed)

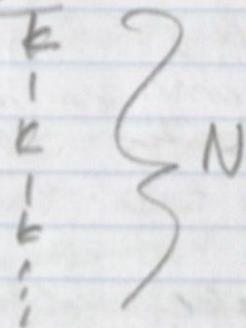
Lecture Notes:

9/20/24

& Examples of linear and binary search in 2 books, 4.10

Recurrence Relations:

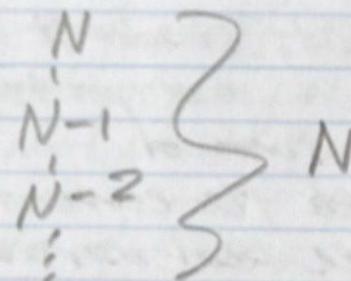
Factorial:



$$T(N) = k + T(N-1)$$

$$O(N)$$

Node Duplicates:

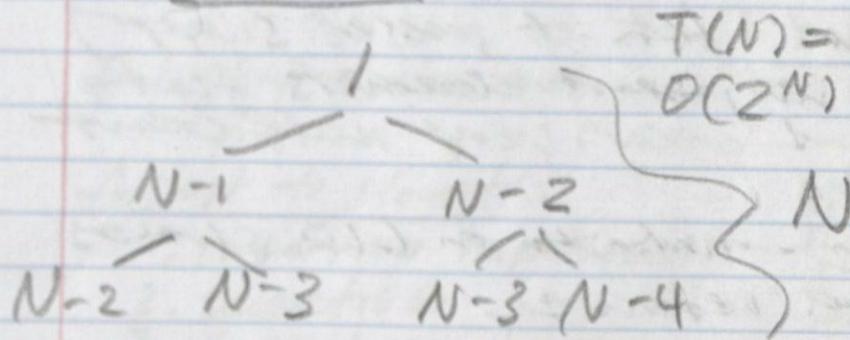


$$T(N) = N + T(N-1)$$

$$O(N^2)$$

*think $\sum_{i=0}^{20}$ *

Fibonacci:



$$T(N) = 1 + T(N-1) + T(N-2)$$

$$O(2^N)$$

Slow and Fast Sorting Algorithms:

Simplest slower ones: $O(N^2)$

- Bubble sort
- Insertion sort
- Selection sort

Fast, recursive ones: $O(N \log N)$

- Merge sort
- Quick sort
- Heap sort

$O(N^2)$ Algorithms: all take multiple linear passes through array moving elements from an unsorted to sorted regions

- Selection Sort: selects smallest element from unsorted region and puts it at the end of the sorted
- Insertion Sort: take the current first element of the unsorted region and insert it in the right place in the sorted region
- Bubble Sort: lots of passes swapping pairs of adjacent elements that are out of order, very inefficient

* Study these - websites on CIO shows very good resources

Adaptiveness and Stability:

Adaptive: take advantage of data that is already partially sorted

- bubble: yes
- insertion: yes
- selection: no

Stable: doesn't change order of elements that are already in sorted order.
Important for multi-level sorting

- bubble: yes
- insertion: yes
- selection: no

Which is fastest?

operations roughly:

$$c(N + (N-1) + (N-2) + \dots + 1) = \frac{cN(N+1)}{2}$$

2 kinds of CTDs:

- comparisons
- swaps or moves

& highly data and machine dependent

Fastest to slowest:

1. insertion improved
2. selection
3. insertion
4. bubble
5. bubble improved

All:

Time: $O(n^2)$

Space: $O(1)$

Reading Notes:

9.23.24

5.7: Merge Sort

sorting algorithm that divides a list into 2 halves, recursively sorts each half, and then merges sorted halves to produce a sorted list

+

- recursive partition continues until list is 1 element long, as that is already sorted
- uses 3 indices i, j, k
 - i : index of first element in list
 - j : divides list into 2 halves
 - k : index of last element
- $i - j$: left half
- $j + 1 - k$: right half

Time: $O(N \log N)$

Space: $O(N)$

5.8: Quick Sort

sorting alg that recursively partitions input into low and high parts (both unsorted) and recursively sorts those parts

- pivot: can be any value in the array, typically the middle value
- pivot = array[middle-point-index]
- low/high partitions \leq / \geq pivot

Time: $O(N \log N)$

worst case: $O(N^2)$

Lecture Notes:

9.23.24

- Key to getting $O(N \log N)$: divide and conquer
- divide problem into subproblems
 - solve subproblems recursively
 - combine partial solutions

* subdivision is a $\log N$ process

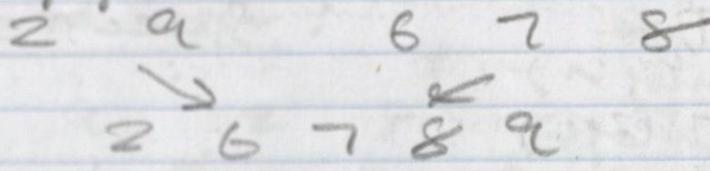
Solving Subproblems is a $O(N)$ process:

Merge sort: merge already sorted smaller arrays
Quick sort: partition values around a pivot
value according to $< \rightarrow$ the pivot

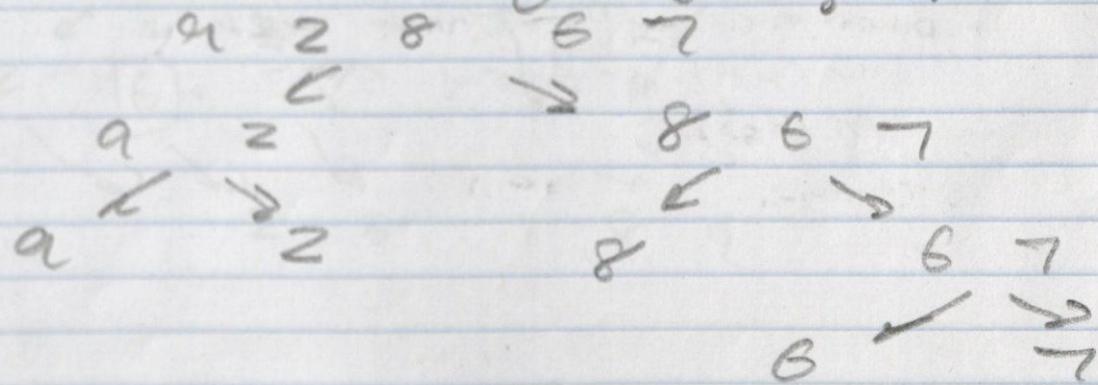
* Results in $O(N \log N)$ algorithms

Merge Sort:

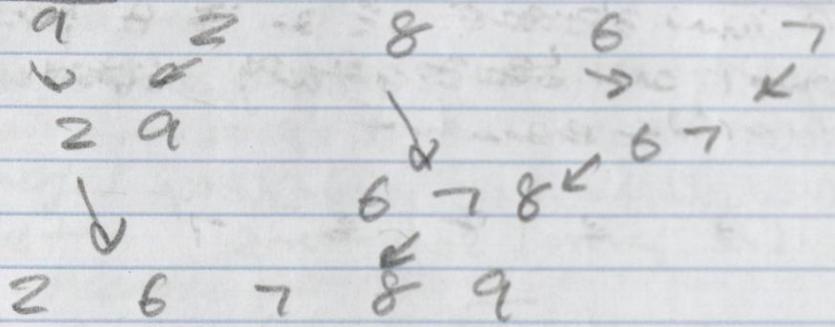
- merging two lists is $O(N)$



- Divide and Conquer ($O(\log N)$)



Combine:



- Merge left/right subsequences into an ordered sequence
- Requires $O(\log N)$ space on the stack
(since algorithm vs recursive)
- Requires $O(N)$ extra space to hold copy of data while merging

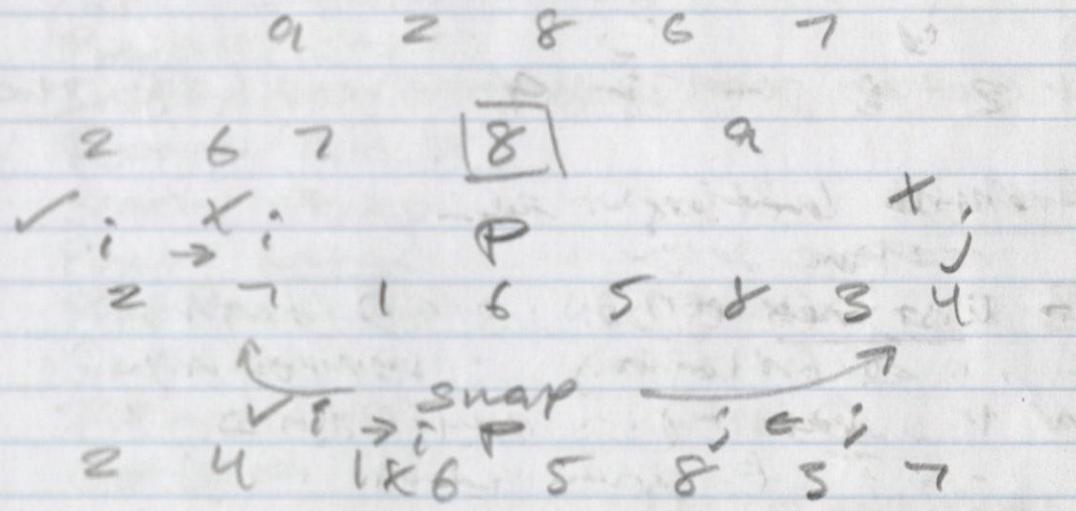
* Zifbook aid website in 11 slides

Analysis ...

Time	Space
$O(n \log n)$	
$O(n \log N)$	

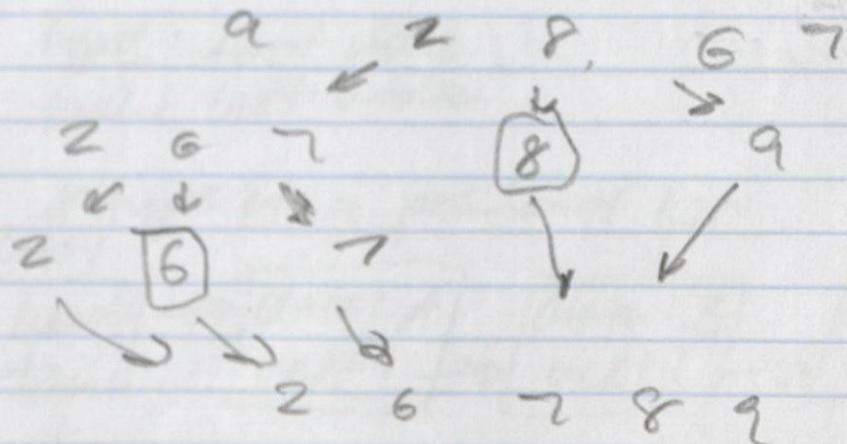
Quicksort:

partitioning elements < or \geq a pivot element
pivot can be anything, usually middle element



when i and j cross, 1st sorted
 j always on or less than P

Printed and Copy:



Weak Point: QS works terribly when pivot is max or min values

- approaches $O(N)$ recursive stages instead of $O(\log N)$
- work not divided equally

* another good animation on L12 should

Analysis

	<u>Time</u>	<u>Space</u>
B	$O(n \log n)$	$O(\log n)$
A	$O(n \log n)$	$O(\log n)$
W	$O(n^2)$	$O(n)$

Adaptive? No

Stable? No

Reading Notes:

9.24.24

6.1. List ADT

common ADT for holding ordered data

Append (list, x)

Prepend (list, x)

InsertAfter (list, w, x)

Remove (list, x)

Search (list, x)

Print (list)

PrintReverse (list)

Sort (list)

IsEmpty (list)

GetLength (list)

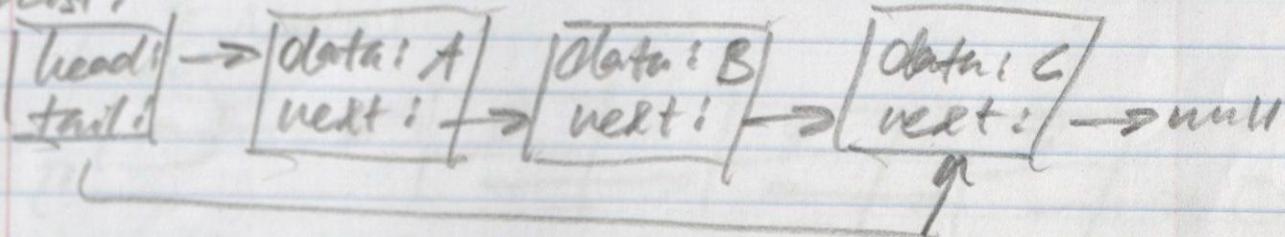
6.2. Singly-linked lists

- data structure for implementing a list ADT
- each node has data and a pointer to the next node

head: first node

tail: last node

datatype of a positional list node
dataList:



Append: add node to end of linked list

Prepend: add new node before list's head node

Insert:

InsertAfter: inserts a new node after a pre-existing list node

Remove:

RemoveAfter: removes the node after the specified list node

- if current Node is null, RemoveAfter removes first node

LinkedList Search :

search algorithms return first node whose data matches target key

- null if nothing found
- starts at first node and searches through until item found
 - linear search?

Lecture Notes:

9/25/24

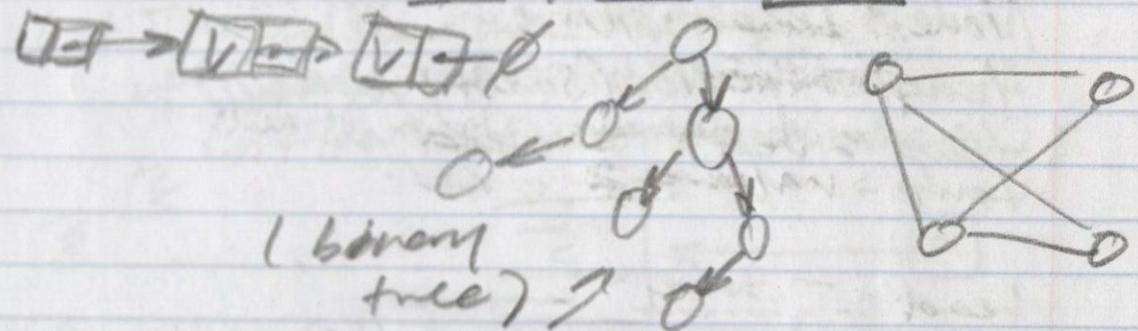
Quicksort faster than Mergesort

but both 1000x faster than N^2 sorts

Linked Lists = type of dynamic data structure

2 styles of implementation = both dynamic

- Array BASED - dynamic arrays, hash-tables
- LINKED - list, trees, graphs



* all recursive structures *

ADT = Deques, Stacks, Queues, Sets, Lists
→ were been implemented w/ dynamic arrays
→ can implement them all w/ linked lists too

Node Definition:

```
typedef struct Node Node;
```

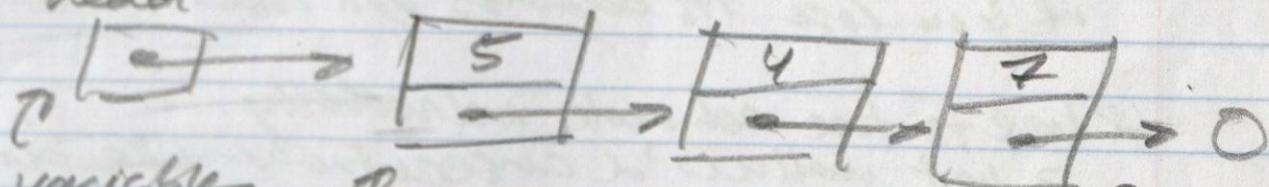
```
struct Node {
```

```
    int Value;
```

```
    Node *next;
```

```
}; Node;
```

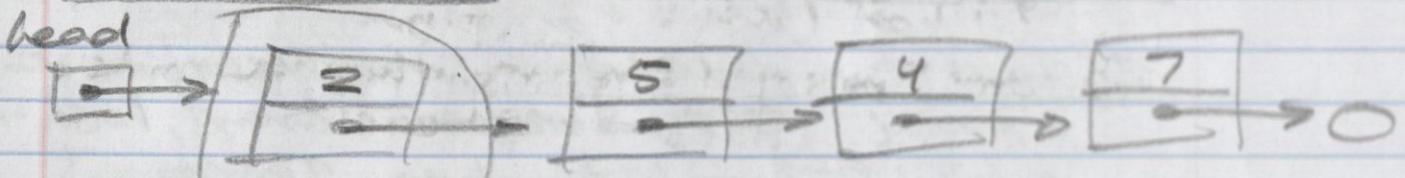
head



malloc'd from heap?
→ has no none

no name → data lost

Insert new Node = "at head"

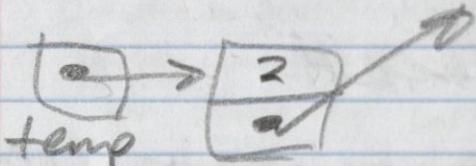
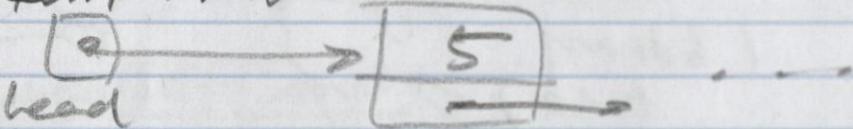


* $\ast \text{temp} = \text{NULL}$

$\text{temp} = \text{malloc}(\text{sizeof}(\text{Node}))$

$\text{temp} \rightarrow \text{next} = \text{head}$

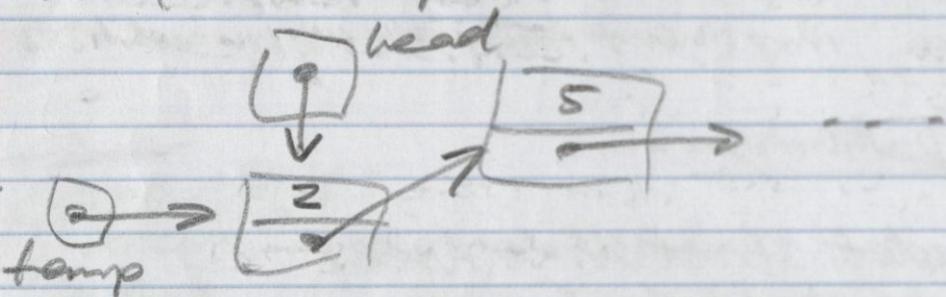
$\text{temp} \rightarrow \text{value} = 2$



How to Print 4:

$\text{printf}("%d", \text{head} \rightarrow \text{next} \rightarrow \text{value})$
* pointer clarity

$\text{head} = \text{temp}$



* all nodes on the heap

+ all variables have names

things on the heap do not lose names

if you lose its address you lose the data

* have to free each node individually

\Rightarrow not malloc' s automatically like arrays

Stack \rightarrow memory with names and addresses

Heap \Rightarrow memory with only an address

Reading Notes =

9.22.24

6.6. Linked Lists = Recursion

Forward Traversal = recursive through entire
LL with node → next calls

List Traversed Recursive (node) {

if (node not null) {

 List Traversed Recursive (node.next); } } } }

3 3
3 3

Searching = linearly and recursively searches through
LL and returns first instance of key

Reverse Traversal = same logic as forward traversal,
except visit node after recursive call
→ go backwards as recursive calls are pushed
off the stack

6.7. Stacks using linked lists

- lists head node as top of stack

6.8. Queues using linked lists

- lists head points to front of queue
- lists tail points to end of queue

Lesson Notes:

9.27.24

REXS of linked list methods in ZBooks 6-9

node-create

node-delete

list-print-iterative

list-delete-iterative

list-add-after

list-remove-after

list-add-head

list-remove-head

Reading Notes:

9.29.24

Doubly Linked Lists: each node has

- data
- pointer to next node
- pointer to previous node

* each node has two pointers or "members"
→ type of positional list

Appendix:

To empty list:

- point head to new node
- point tail to new node

Non-empty list:

- point tail → next to new node
- point new → previous to tail
- point tail to new node

Prepend:

if ($\text{list} \rightarrow \text{head} == \text{null}$) {

$\text{list} \rightarrow \text{head} = \text{newNode}$;

$\text{list} \rightarrow \text{tail} = \text{newNode}$;

} else {

$\text{newNode} \rightarrow \text{next} = \text{list} \rightarrow \text{head}$;

$\text{list} \rightarrow \text{head} \rightarrow \text{prev} = \text{newNode}$;

$\text{list} \rightarrow \text{head} = \text{newNode}$

}

Inserting: ex on ZyBooks 6.11

* uses curNode and sucNode

$\text{sucNode} = \text{curNode} \rightarrow \text{next}$

Remove: ZyBooks 6.12

```
ListRemove(list, curNode) {  
    sucNode = curNode->next;  
    predNode = curNode->prev;  
    if (sucNode != null) {  
        sucNode->prev = predNode;  
        if (predNode != null) {  
            predNode->next = sucNode;  
        }  
        if (curNode == list->head) // removed head  
            list->head = sucNode;  
        if (curNode == list->tail) // removed tail  
            list->tail = predNode;  
    }  
}
```

Linked List Traversal: algorithm that visits
and performs an operation on each
node of a linked list
while (curNode != null) {

* doubly-linked lists can do a
reverse traversal

* searching algorithms for linked lists &
→ some algorithms
 • implemented differently
→ study these
→ different alg for singly/doubly LL

Dummy Node: node with unused data
always residing at the head (or tail)

* lots of exs in ZyBooks 6.15

Lecture Notes:

9.30.24

+ more exercises of recursive methods on linked lists
in ZyBooks 6.9

list - print - recursive()

list - print - reversed - recursive()

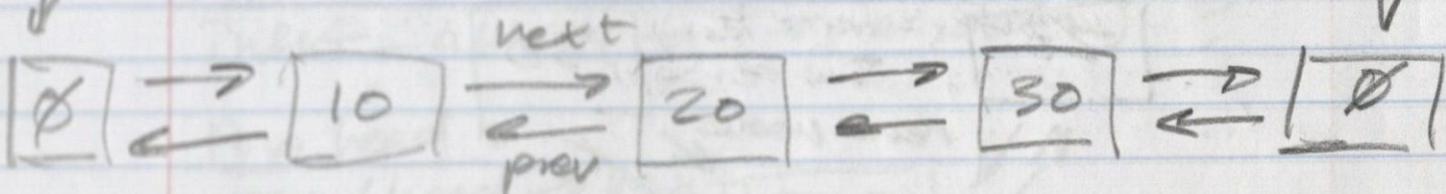
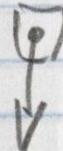
list - delete - recursive()

head



Doubly Linked Lists: w/ Dummy Elements

tail

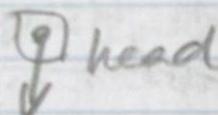


Why?

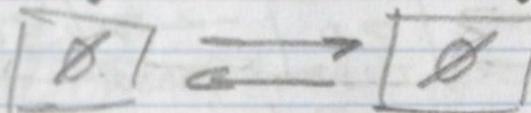
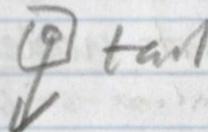
- eliminates many special cases
 - inserting always b/w 2 nodes
- solely to make programming easier

IsEmpty:

head

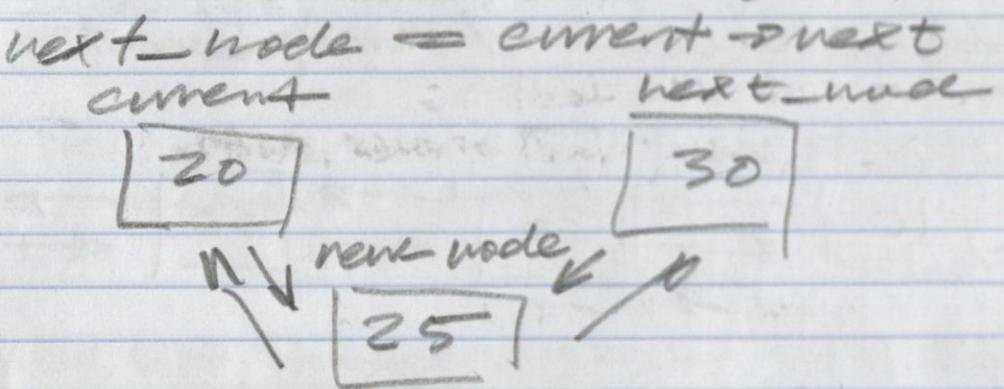
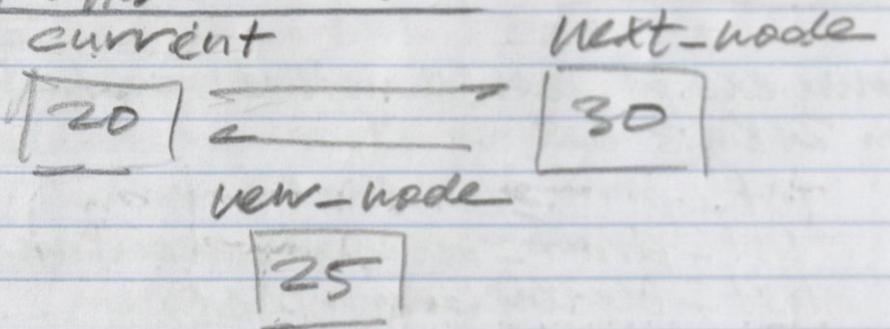


tail



if (head->next == tail {
tail->prev = head }

Insert after current node:



current->next = new-node

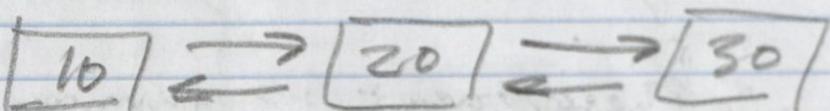
new-node->prev = current

new-node->next = next-node

next-node->prev = new-node

Pop current node: (return its value)

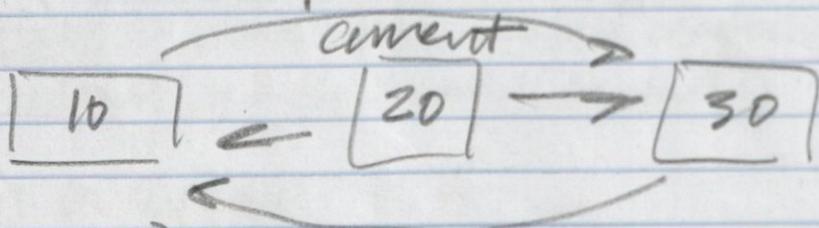
current



int data = current->data

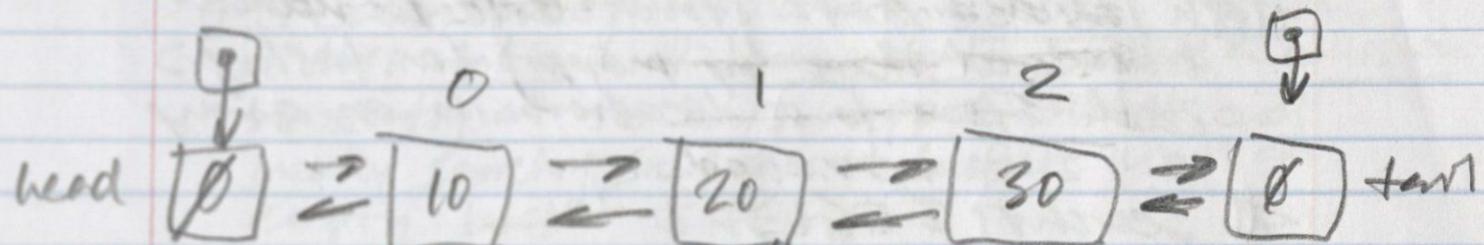
current->prev->next = current->next

current->next->prev = current->prev



free(current)
return data

Data Structure:



Prepend (at the head):
insert-after(head, data)

Append (at the tail):
insert-after(tail → prev, data) ?

Pop head:
pop(head → next +)

Pop tail:
pop(tail → prev)

Insert at Index:
→ count to index and remove after

Delete at Index:

Print List:
while(head → next != dummy)

Reading Notes

10.1.24

Hash Tables : data structure that holds
unordered items by mapping (or hashing)
each item to a location in an array

All Searching is O(1)

Key : value used to map to an index

Bucket : hash-table array element

Hash Functions : computes bucket index
from item's key

K keys should be unique

Hash Table Operations :

common hash f'n: modulo operator ($\%$)
→ computes integer remainder

Ex. for a 20 element hash table

use key $\% 20$ (maps to indices 0-19)

will map n -keys to each bucket
 n -buckets

Collision : when 2 keys are mapped to the same
bucket

open-addressing : collision resolution
technique

Chaining : handles hash table collisions by using
a list for each bucket

it has all normal functions like insert,
remove, search, etc.

Reading Notes 3

10. 3. 24

7.4. Linear Probing

a hash-table w/ linear probing handles an collision by starting at the key's mapped bucket and linearly searching subsequent buckets until an empty bucket is found

Empty Bucket types:

empty-since-start: Bucket that has been empty since the hash-table was created

empty-after-removal: Bucket that had an item removed that caused the bucket to be empty

Insert w/ Linear Probing:

1. uses item key to determine initial bucket
2. linearly probes each bucket
3. inserts at next empty bucket

Remove w/ Linear Probing:

1. use key to get initial bucket
2. linear probe until:
 - a) matching item found
 - b) empty-since-start bucket found
 - c) all buckets probed
3. if found, remove and mark empty-after-removal

Search w/ Linear Probing:

1. use the sought item's key to get initial bucket
2. linear probe until:
 - a) matching item found (return)
 - b) empty-since-start found (return null)
 - c) all buckets probed (return null)

7.5. Hash-table resizing

resize operation - increases # of buckets typically by:

$$\text{next prime } \# \geq N+2$$

complexity: $O(N)$

must reinsert all items from old array
into new one

load factor = # items in hash-table / # buckets

Resize Thresholds:

- Load factor
- Open addressing: # collisions during an insert
- chaining: size of bucket's linked list

7.6. Common Hash Functions

if a good hash function minimizes collisions it:

perfect hash function = maps with 0 collisions

search, insert, and remove all have $O(1)$ runtime

Modulo Hash Function = key % N

Mod-Square HF: squares the key, extracts R digits from the modulo of the result, and returning that % N

• For N buckets, $R \geq \lceil \log_{10} N \rceil$

Mod-Square Base 2 HF = above usually done in binary

to simply extracting substring from string

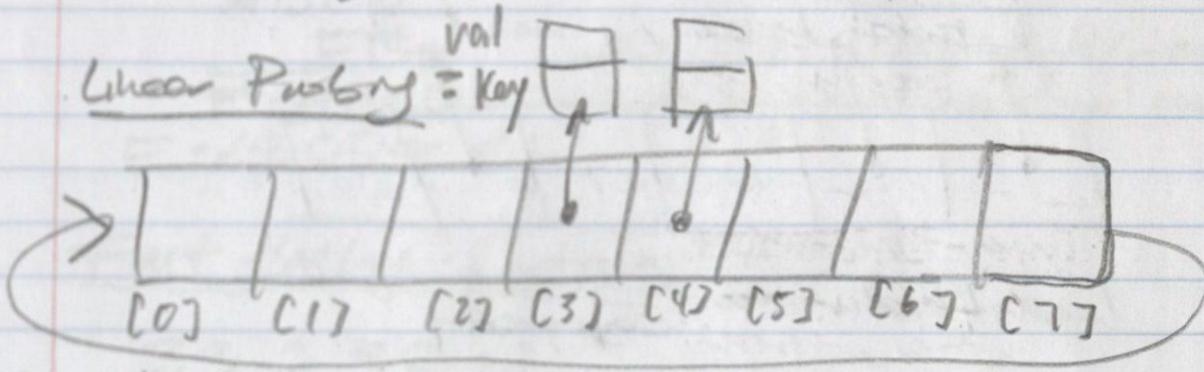
$$R \geq \lceil \log_2 N \rceil$$

Multiplicative String HF = repeatedly multiplies
hash value and adds ASCII value of each
char in the string. Returns remainder of
sum % N

Lesson Notes:

10.4.24

Key of database very a chaining hash table
2ybooks ~ 3.3k
→ has all hash-table methods

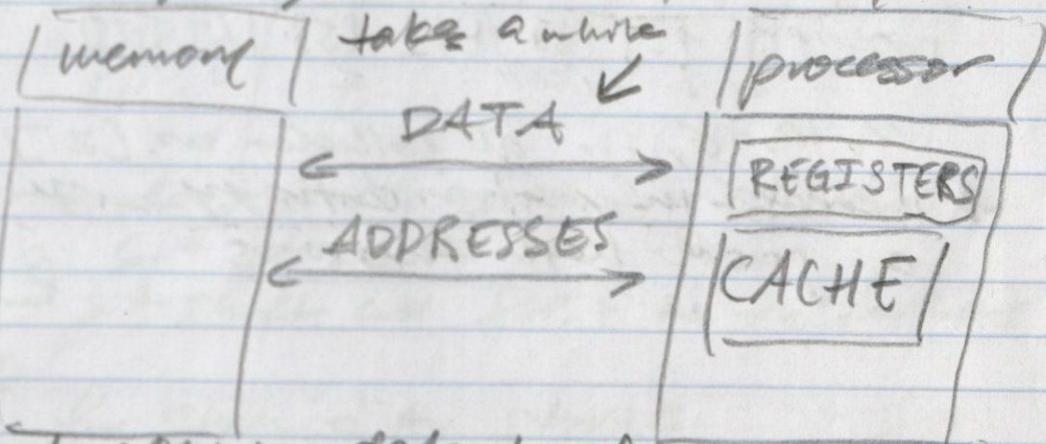


→ just puts the node at the first available bucket
→ next homework on this

- Python dictionaries use these internally
- Linear probing used were than Chaining
 - quadratic probing

Caching:

- 1 year policy very cache friendly



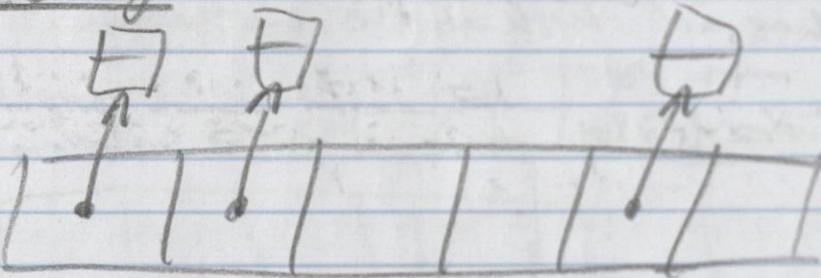
→ try to optimize data transfer
temporal locality
spatial locality

Lecture Notes:

10.7.24

More on Hash Tables

Resizing:



$$\text{load factor} = \frac{\text{size}}{\text{capacity}}$$

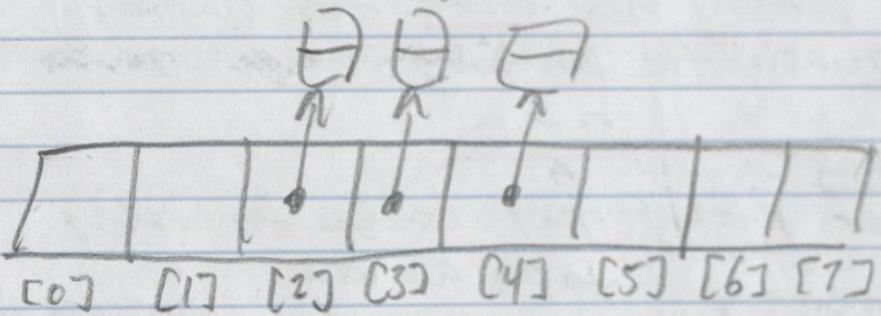
α : maximum allocable load factor (0.5)

-doubled to capacity

- `calloc()` new array

- copy over values (re-insert)

Collisions and Hashing:



2, 10, 18, ... all collide on [2]

& increase information entropy with
a good hash functions

Hash Function and Multiplication:

- multiply by by large (often prime) number
- extract bits from product

ABCD

$$\begin{array}{r} \text{EE} \\ \hline F \cdot \text{ABCD} \\ E \cdot \text{ABCDE} \end{array}$$

This shift makes high/med bits a good random number

Fast Hashing = Shift and Add:

$$33 = 32 + 1$$

→ (shift left 5) + 1
 in base 2 (binary)

* we do this because multiplication and division are slow

FOR: (sum w/o carry)

a	s	ans
0	0	0
0	1	1
1	0	1
1	1	0

* we do fast bit addition is also

a lot slow *

→ bit shifts and FORs are the fastest

Ex:

for each c in string:

$$\text{hash} = ((\text{hash} \ll 5) + \text{hash}) + c;$$

$$\text{if hash} = 33 * \text{hash} + c$$

How many buckets?

- ZyBooks says use powers
 - not in preetree
- Power of 2 buckets
- Double to regtree
- Don't need $\%_r$
 - use bitmask

Ex. mid-square vs Knuth's multiplicative
high functions

Python =

- * real OOP language
- has classes with methods
 - instead of "fake" methods in C

Built-in Datatypes :

- no types
- type(var) to see type

Lists : [] # dynamic array

Sets : { } # set ADT

Tuple : () # immutable list

* everything in Python is an object of a type
and has corresponding methods of
`dir(type)` * lists all methods
corresponding to type

regular methods = object.method()

magic methods : __method__

- can be used normally msg. __contains__ ('r')
- automatically coupled to keywords
- __contains__ → in

Lecture Notes:

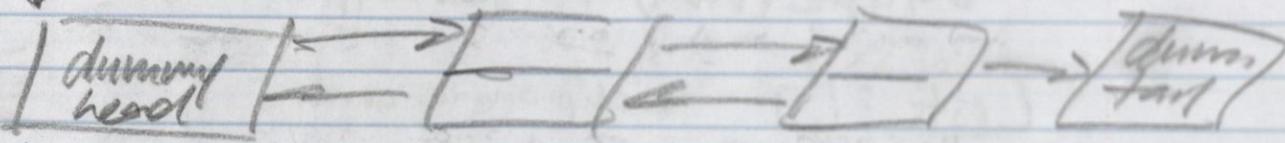
10.11.24

& went over Python debugging and unit test &
import unittest

Doubly Linked List Class:

• dummy head/tails and actual methods of tail
→ use more methods

head ↴



Parsing data from stdio

- read story from stdio
- use split() to convert story into list of strings
- use list comprehensions to convert list of strings to list of ints

& use ', '.join(list) puts list of strings back into one string &

Python Doubly Linked List Class:

Use Python list methods:

- append()
- clear()
- __str__
- __bool__
- __iter__
- __next__
- __contains__

`def __init__(self, ...)` initialization
↳ refers to its own class
constructor
internally initializes class elements

& examples of all of this in 2nd Books 8, 7 &

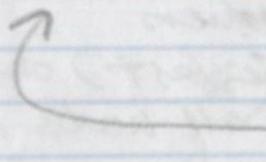
& automatic garbage collection
→ don't have to `malloc()` or `free()` anything

`-- method --` → magic methods
`_method_` → internally used methods
`.method` → externally used methods

`for - in range()`

(caused it don't need this variable)

`def clear(tail):`
 `self.head, rest = self.tail`
 `self.tail, prev, self.head`

garbage collection takes
care of everything

Reading Notes

10.14.24

9.1. Binary Trees

linked list: each node had < 1 successor

binary tree: each node has < 2 successors

- left child and right child

Definitions:

- Leaf: tree node w/ no children
- Internal node: node w/ > 1 child
- Parent: node with a child
- Ancestors: node's parent, parent's parent, ...
- Root: the only node w/o a parent
 - the top node

Structure:

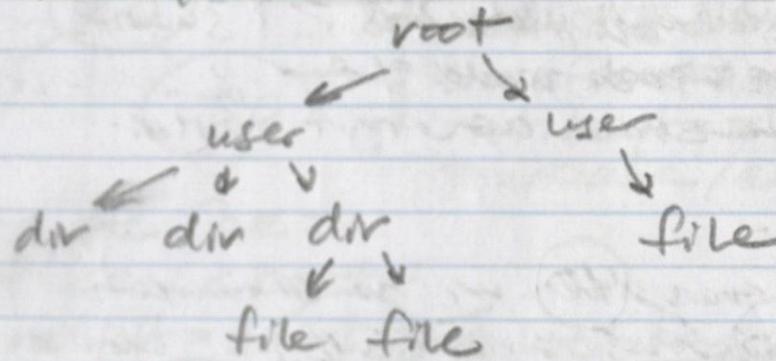
- edge: link from node to child
- depth: # of edges from root to node
- level: all nodes of the same depth
- height: largest depth of any node

Types of Binary Trees:

- full: every node has 0 or 2 children
- complete: if all levels (but the last) contain all possible nodes and all nodes in the last level are as far left as possible
- perfect: all internal nodes have 0 or 2 children and all leaf nodes are the same level

Q.2. Applications of Trees

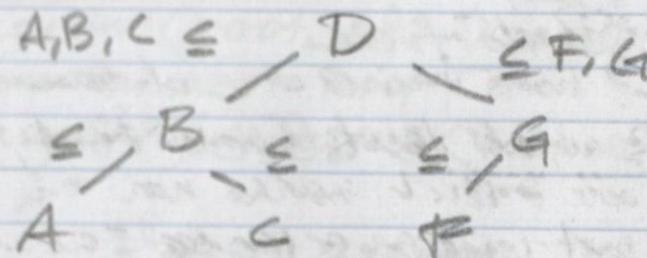
- file systems



- Binary Space Partitioning (BSP)
 - * useful for graphics rendering
- Collections of numbers

Q.3. Binary Search Trees (BST)

- type of binary tree
- any node's left subtree keys \leq node's key
- any node's right subtree keys \geq node's key



- Searching is faster than a list
 - worst case: $H + 1 \Rightarrow O(H+1)$
 - H : height of tree

$$H = \lfloor \log_2 N \rfloor \Rightarrow O(\log N)$$

- Successor: node that comes next in BST ordering
- predecessor: node that comes after in BST ordering

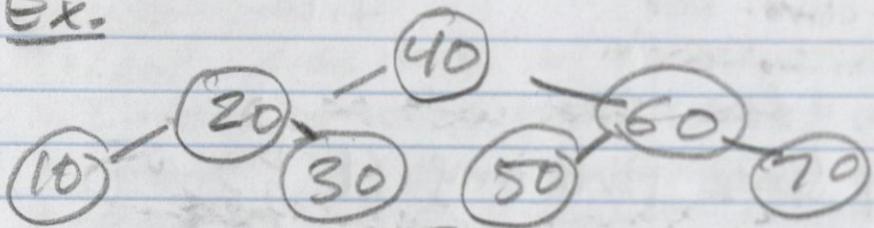
Lecture Notes

10.16.24

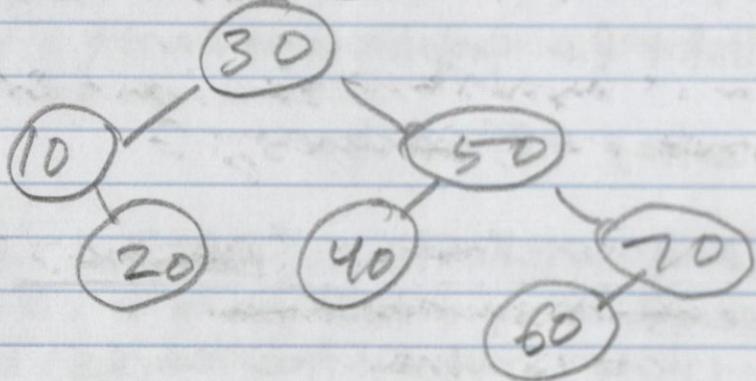
Binary Search Trees (BSTs) :

- Assumes no duplicates
- Set is a common usage

Ex.

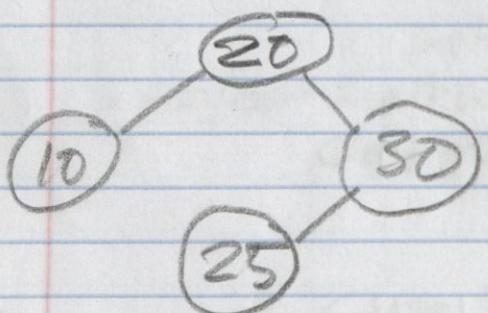


Ex.



- not full or complete
- binary tree
- binary search tree

BST Search (Recursive) : `Search(root, key)`



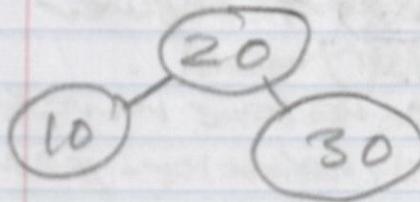
Base Case :

- if current Node is None or a match return current

Recursive Case :

if `key < current`
return `search(current.left, key)`
else `return search(current.right, key)`

BST Insert (Recursive) : $\text{root} = \text{insert}(\text{root}, \text{key})$



Base Case:

if $\text{root} == \text{None}$:

$\text{Node}(\text{key})$

if $\text{root}. \text{key} == \text{key}$:

#update / exception / ignore

Recursive Case:

if $\text{key} < \text{root}$:

$\text{root}. \text{left} = \text{insert}(\text{root}. \text{left}, \text{key})$

& examples of this on ZyBooks

& order is important for how efficient
a tree is (Balancing?)

BST Inorder Traversal (Recursive) : $\text{inorder}(\text{root})$

Base Case = empty tree

if root is None

return

Recursive Case:

$\text{inorder}(\text{root}. \text{left})$

print $\text{root}. \text{key}$

$\text{inorder}(\text{root}. \text{right})$

Lecture Notes:

10.18.24

Midterm Exam: 30 MCQs/short answer

- Basic VS-Code / Debugging
- What regions of memory are various variables in?
- Move through an array by index or pointer
- * & pointers - what does it do?
- Dynamic arrays, Stacks, Sets, ...
 - How to build stacks/sets on top of DA
 - capacity vs. size?
 - what is capacity/size after n insertions
- Note on scanf or strtok &
 - Debugging wrong code
 - How did we implement our HW problems
 - why?
- Linear Search vs Binary Search
 - pros/cons?
- $O(N)$ notation - what makes something $O(N^2)$?
 - what is a CTD - $O(1)$?
 - code snippet - what is complexity?
- What are the time and space complexity of the major sorting algorithms?
 - use of stack uses space
 - be able to identify sorting algorithms based on english descriptions
 - which work best with arrays? / LL? / DLL?
- write code for insert before/after, remove, or traversing a LL or DLL
 - no half credit
 - know where it starts and ends
- pros/cons of dynamic vs. static
- when to use a LL vs. dynamic array vs. hash table

- know what a hash function is
- what is a collision?
- linear probing vs. chaining
 - what do they do
- what are the datatypes of the hash struct?
- # of Bucket
- middle bits are the most random
- how does a hash table work?

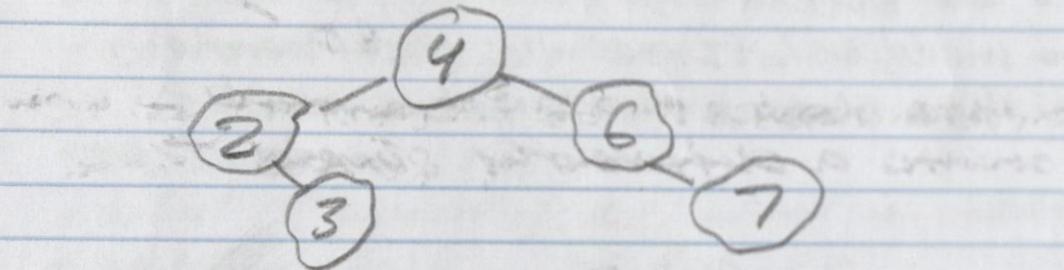
Lecture Notes:

10.28.24

Binary Search Tree in Python:

It will be done mostly recursively!

BST Search (Recursive) = NO DUPS

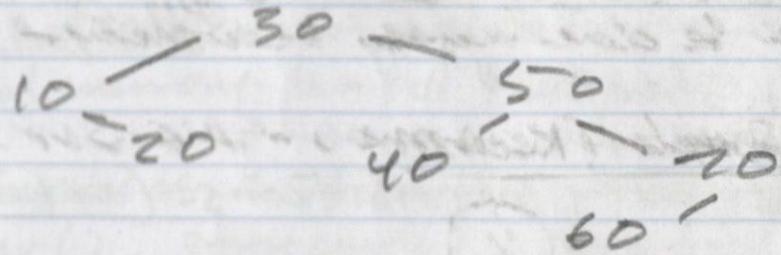


1. Base-Case: if current node is None
return false
2. if key == current value
return true
3. Recursive Case: if key < root value
return search left
4. return search right

BST Insert (Recursive):

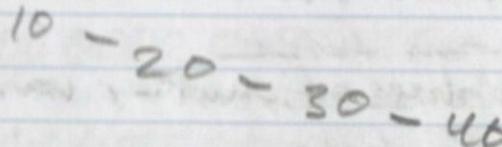
1. if root == None # no root
return Node(key) # returns root
2. if key == root.key
return root # have to return something
3. if key < root.key
root.left = insert(root.left, key)
4. else root.right = insert(root.right, key)
return root

Ex. 30, 50, 40, 10, 70, 20, 60



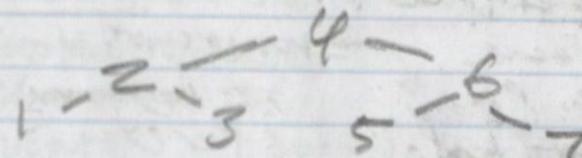
* These nodes in a different order would create a differently shaped tree

Ex. 10, 20, 30, 40



* lose $\log N$ search advantage with unbalanced trees

BST Inorder Traversal (Recursive) :



* think of tree w/ 1 node then tree w/ 3 nodes

if root is None

return

else

inorder(root.left)

print root.value

inorder(root.right)

Midterm Exam Review = 10.28.24

- VS-code terminal and debugging process

& C file structure #

→ multi-file programs

→ automation compilations (makefiles)

Assert Statement : assert(condition)

• exits program w/ error code and prints

a message w/ line # if condition is false

• used in unit-tests

Pointers :

• variable holding address of another variable

reference operator : &

dereference operator : *

null pointer : points to nothing

→ cannot be dereferenced

void pointer : universal pointer

→ typically typecasted as needed

↳ multiplication!

Remember :

malloc (numObj * bytesPerObj);

calloc (numObj, bytesPerObj);

realloc (void *p, numObj * bytesPerObj);

free (void *p);

↳ dereference
operator

& can be used to create dynamically allocated arrays! *

Memory leak: lose access to previously allocated mem

Garbage Collection: automatically frees pointers to avoid memory-leaks (not C)

String Functions = `strcmp()`, `strcpy()`,
`strchr()`, `strrchr()`, `stristr()`

Memory Structure:

Address: 0xFFFF FFFF FFFF FFFF ... 0x0000 0000 0000 0000 Byte of data: Var Name: 'A' char c

- * Address stores 64-bits
- * Ox indicates hexadecimal digit (base 16)
- * one hexadecimal digit holds 4 bits
- * 1 byte = 8 bits = 2⁸ different values

<u>Data-type</u> :	<u>Size</u> : Bytes
bool	1
char	1
short	2
int	4
float	4
double	8
char *	8
int *	8

technically a bool would only require 1 bit of data but modern computer architectures are optimized to handle data in sizes of 2 bytes or larger

Bit : smallest form of data possible
either a 0 or 1 (2 options)

Bit shifting:

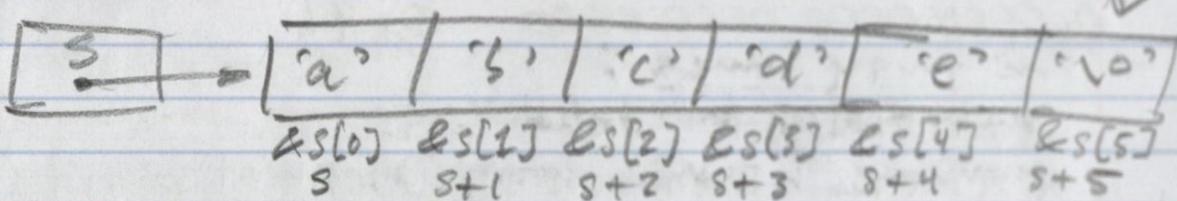
Multiply by 2^n : value $\ll n$

Divide by 2^n : value $\gg n$

shifts all bits in a binary representation of a number by $\pm n$

Indirect Arrays:

char *s = "abcde", char *p \rightarrow



* $p - s$ is the length of the array

Memory Management:

stack grows downward

function local variables

.....

heap grows upward

malloc(), calloc(), free()

Data

global and static vars

Static size

program machine code

Code

Static size

Array of char vs. String Constants :

char a[] = "cat";

char *p = "dog";

a: in stack, can change values but not reassign
'c' -> 'a' -> '\0' - 4 bytes in stack

&p: in stack, "dog" in data, can reassign
but not change values

, p → 'd' 'o' 'g' '\0'

8 bytes in stack → 4 bytes in data

I/O Printing Strings :

char *string = "hello";

printf("%s\n", string);

puts(string); // does the same thing

I/O Reading Line-By-Line :

char buffer[BUFSIZE];

while(fgets(buffer, BUFSIZE, stdin)) {

process(buffer)

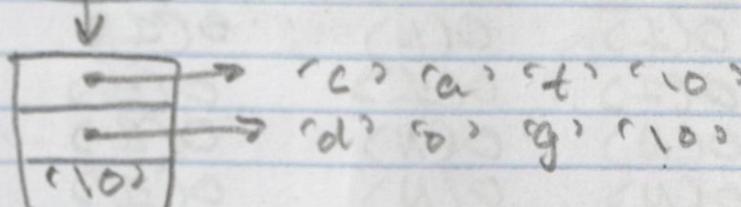
}

fgets() appends '\n' to each string

Arrays of Strings :

char **strarray

strarray



Abstract Data Types: data type whose properties are specified independent of implementation

Stack: LIFO

Queue: FIFO

Deque: both LIFO and FIFO

Set: unique/unordered groupings

Data Structures: physical data structures used to build ADTs

dynamic array

linked list

hash tables

Dynamic Array:

typedef struct {

int *data; // internal array

int capacity; // total # elements

int size; // total values elements

} Array;

* double file capacity and realloc(data, 2 * size)
when needed *

→ realloc preserves memory and leaves unused memory uninitialized

Function	Time(A)	Time(W)	Space(A)	Space(W)
Append	$O(1)$	$O(N)$	$O(1)$	$O(N)$
At	$O(1)$	$O(1)$	$O(1)$	$O(1)$
Index	$O(N)$	$O(N)$	$O(1)$	$O(1)$
Insert	$O(N)$	$O(N)$	$O(1)$	$O(N)$

Stack Functions :

	<u>Time</u>	<u>Space</u>
push()	$O(1)$	$O(1)$
pop()	$O(1)$	$O(1)$
top()	$O(1)$	$O(1)$
empty()	$O(1)$	$O(1)$

Queue Functions :

	<u>Time (A)</u>	<u>Space (A)</u>
push(A)	$O(1)$	$O(1)$
pop(A)	$O(N)$	$O(1)$
front()	$O(1)$	$O(1)$
empty()	$O(1)$	$O(1)$

Set Functions :

	<u>Time (A)</u>	<u>Space (A)</u>
add()	$O(N)$	$O(1)$
contains()	$O(N)$	$O(1)$
remove()	$O(N)$	$O(1)$

Big O Notation:

$O(1) \rightarrow$ constant time operation (CTO)

$O(\log N) \rightarrow$ logarithmic

$O(N) \rightarrow$ linear

$O(N \log N) \rightarrow$ linearithmic

$O(N^2) \rightarrow$ quadratic

$O(C^N) \rightarrow$ exponential

$O(N!) \rightarrow$ factorial

Recursive Algorithms =

algorithm that breaks a problem into subproblems and applies itself to those subproblems

Uses Recursive Functions :

a function that calls itself

Base Case : a case where the recursion stops

Recursive Case : a case where the function calls itself

Recurrence Relations =

used to calculate the time complexity of recursive algorithms

$$T(N) = xN + T(N/2)$$

& visualized w/ recursion graphs ^T refers to itself

Searching Algorithms :

Linear Search : searches from beginning to end of list for a key

Time: $O(N)$

Binary Search : searches ordered lists by recursively comparing a key to the middle value of a list

Time: $O(\log N)$

divide and conquer ^T

Sorting Algorithms :

$O(N^2)$ Algorithms : all take multiple linear passes through an array moving elements from an unsorted to a sorted region

Selection Sort : selects the smallest element from the unsorted region and puts it at the end of the sorted region

```
for (i=0; i < size-1; i++) {  
    smallest = i;  
    for (j=i+1; j < size; j++) {  
        if (array[j] < array[smallest]) {  
            smallest = j;  
        }  
    }  
}
```

```
temp = array[i];  
array[i] = array[smallest];  
array[smallest] = temp;
```

Insertion Sort: repeatedly inserts the next value from the unsorted region into the sorted region.

```
for (i=1; i < size; i++) {  
    j = i;  
    while (j > 0 && array[j] < array[j-1]) {  
        temp = numbers[j];  
        numbers[j] = numbers[j-1];  
        numbers[j-1] = temp;  
        j--;  
    }  
}
```

Bubble Sort: iterates through a list and swaps adjacent elements if the second element is less than the first element

```
for (i=0; i < size-1; i++) {  
    for (j=0; j < size-i-1; j++) {  
        if (array[j] > array[j+1]) {  
            temp = numbers[j];  
            numbers[j] = numbers[j+1];  
            numbers[j+1] = temp;  
        }  
    }  
}
```

* All have time: $O(N^2)$
* All have space: $O(1)$

Adaptive : algorithms that take advantage of data that is already partially sorted

- bubble : yes
- insertion : yes
- selection : no

Stable : does not change order of elements that are already inserted earlier

- bubble : yes
- insertion : yes
- selection : no

Speed Comparisons :

1. insertion improved
2. selection
3. insertion
4. bubble
5. bubble improved

Merge Sort: divides a list into 2 halves, recursively sorts each half, and then merges sorted halves

```
MergeSort(beg, end) {  
    if (beg < end) {  
        mid = (beg + end) / 2;  
        MergeSort(beg, mid);  
        MergeSort(mid+1, end);  
        for (i = beg; i < mid; i++) {  
            temp[i] = array[i];  
        }  
        for (i = mid+1; i < end; i++) {  
            j = end + mid + 1 - i;  
            temp[j] = array[i];  
        }  
        i = beg;  
        j = end;  
        for (k = beg; k < end; k++) {  
            if (temp[i] < temp[j]) {  
                array[k] = temp[i];  
                i++;  
            }  
            else {  
                array[k] = temp[j];  
                j--;  
            }  
        }  
    }  
}
```

Time: $O(N \log N)$

Space: $O(N)$

Adaptive: No

Stable: Yes

Quicksort: recursively partitions array into low and high parts (both unsorted) and recursively sorts those parts

```
Quicksort (beg, end) {  
    i = beg;  
    j = end;  
    pivot = array [(i+j)/2];  
    while (i <= j) {  
        while (a[i] < pivot) {  
            i++;  
        }  
        while (a[j] > pivot) {  
            j--;  
        }  
        if (i <= j) {  
            swap (a[i], a[j]);  
            i++;  
            j--;  
        }  
        if (beg < j) {  
            Quicksort (beg, j);  
        }  
        if (i < end) {  
            Quicksort (i, end);  
        }  
    }  
}
```

	<u>Time</u>	<u>Space</u>
Best	$O(N \log N)$	$O(\log N)$
Average	$O(N \log N)$	$O(\log N)$
Worst	$O(N^2)$	$O(N)$

Adaptive: No
Stable: No

List ADT: holds ordered data

Functions: append, prepend, insertafter, remove, search, print, printreverse, sort, isempty, getlength

Node:

```
typedef struct Node Node;
struct Node {
    int value;
    Node *next;
};
```

Linked-List: data structure of linked Nodes
* if link is lost data is lost forever
→ no var, no data

Methods:

```
Node *node_create(int value, Node *next) {
    Node *n = malloc(sizeof(Node));
    n->value = value;
    n->next = next;
    return n;
}
```

```
void node_delete(Node *n) {
    free(n);
}
```

```
void list_print_iterative(Node *head) {  
    for (Node *n = head; n != NULL; n = n->next) {  
        printf("%d %d", n->value);  
    }  
}
```

```
void list_delete_iterative(Node *head) {  
    while (head != 0) {  
        Node *n = head;  
        head = head->next;  
        node_delete(n);  
    }  
}
```

```
void list_add_after(Node *curr, int value) {  
    curr->next = node_create(value, curr->next);  
}
```

```
void list_remove_after(Node *curr) {  
    Node *successor = curr->next->next;  
    node_delete(curr->next);  
    curr->next = successor;  
}
```

```
void list_add_head(Node **head, int value) {  
    *head = node_create(value, *head);  
}
```

```
void list_remove_head(Node **head) {  
    Node *n = *head;  
    *head = (*head)->next;  
    node_delete(n);  
}
```

```

void list_print_recurse(Node *head) {
    if (head == NULL) return;
    printf("%d ", head->value);
    list_print_recurse(head->next);
}

```

3

```

void list_print_reversed_recurse(Node *head, Node *curr) {
    if (curr == NULL) return;
    list_print_reversed_recurse(head, curr->next);
    printf("%d ", curr->value);
}

```

3

```

void list_delete_recurse(Node *head) {
    if (head == NULL) return;
    list_delete_recurse(head->next);
    node_delete(head);
}

```

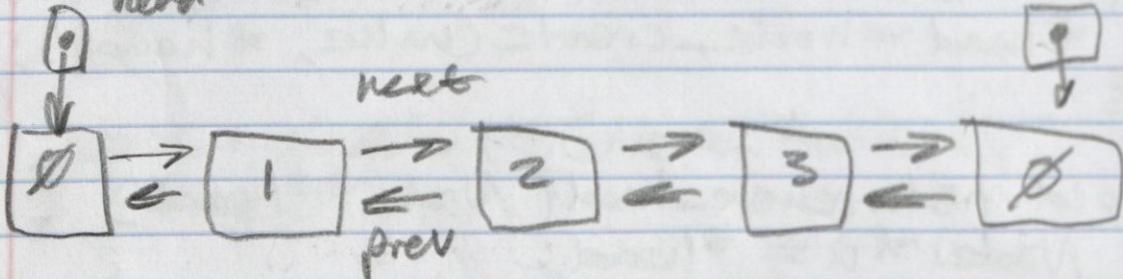
3

Doubly Linked List : also have a pointer to the previous node & must keep track of this in methods as well

Dummy Node : nodes with NULL data at the head or tail to help with programming

head

tail



& eliminates special cases - can always insert between 2 nodes &

Hash Tables : data structure that holds unordered items by mapping (hashing) each item to a location in an array

Key : value used to map to an array index

Bucket : hash table array element

Hash Function : computes bucket index from item's key

Collision : when 2 keys are mapped to the same bucket

Chaining : handles collisions by using a list for each bucket with multiple keys

Linear Probing : handles a collision by linearly searching subsequent buckets until an empty bucket is found

* has O(1) searching*

Load Factor : $\frac{\# \text{ items in HT}}{\# \text{ buckets}}$

Resize thresholds :

- Load factor

- open addressing ($\#$ collisions during an insert)

- Chaining (size of bucket \geq LL)

Resizing :

- double the capacity

- allocates new array

- copy over values (re-insert)

HashTable Struct :

```
typedef struct {  
    Pair **buckets;  
    size_t capacity;  
    size_t size;  
    double alpha;  
} Table;
```

Pair Struct :

```
typedef struct {  
    char *key;  
    long value;  
} Pair;
```

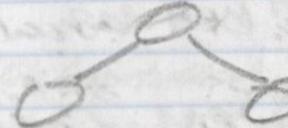
Lecture Notes:

11.1.24

Find the number of nodes = len()

NONE

0



if not root:

 return 0

 left_len = len(root.left)

 right_len = len(root.right)

 return 1 + left_len + right_len

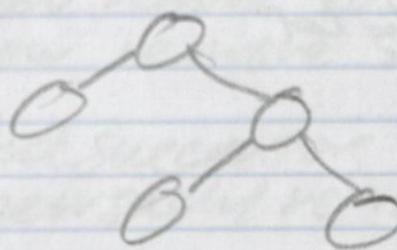
Find the height of a tree:

0

NULL

height = 0

height = -1



height = 2

if root == None:

 return -1

 left_height = height(root.left)

 right_height = height(root.right)

 return max(left_height, right_height) + 1

Remove a Node:

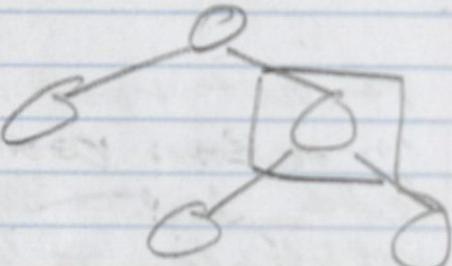
Base case :

if Node == None
return

Recursive Case :

Find Node to remove

if key < root.key
root.left = remove(key, left)
else if key > root.key
root.right = remove(key, right)



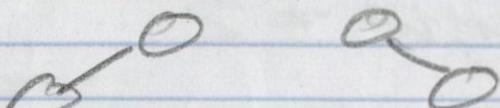
Case 1 : leaf node



(garbage collection
takes care of free()

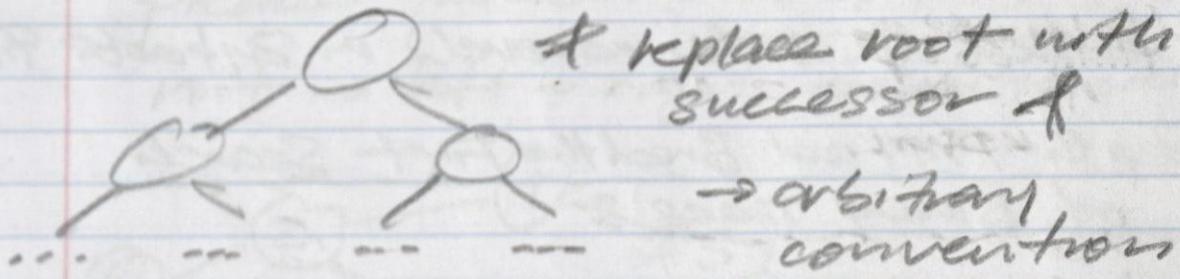
Just remove it : root = None

Case 2 : root has 1 child

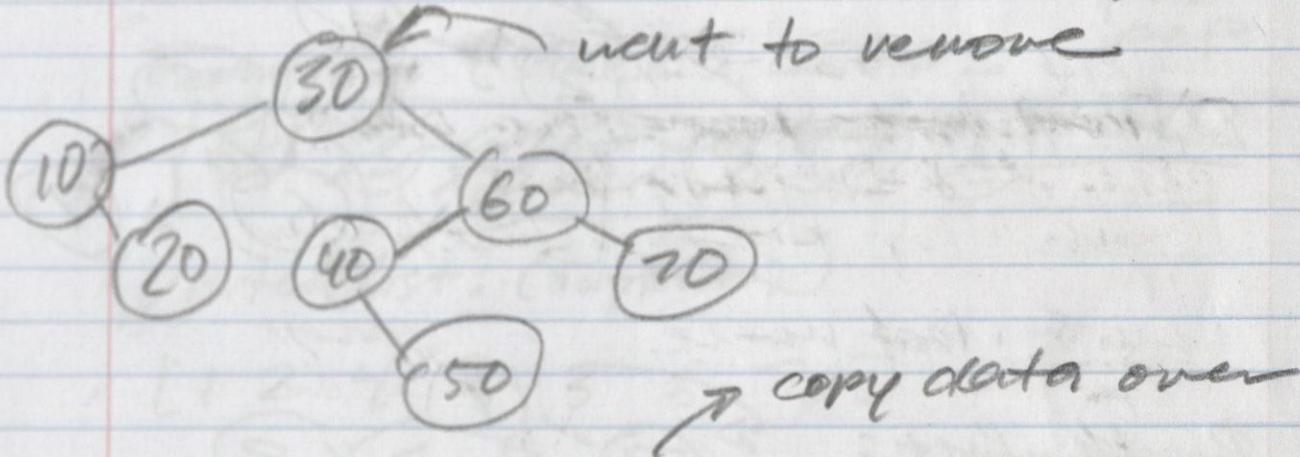


root = child

Case 3: root has 2 children



Case 3+: root has 2 children, height > 1



remove 30 → replace with 40

now remove 40 → replace with 50

now remove 50

find successor
reversely remove it

x All examples in BST code ex in
zybooks 9.11 x

Lecture Notes:

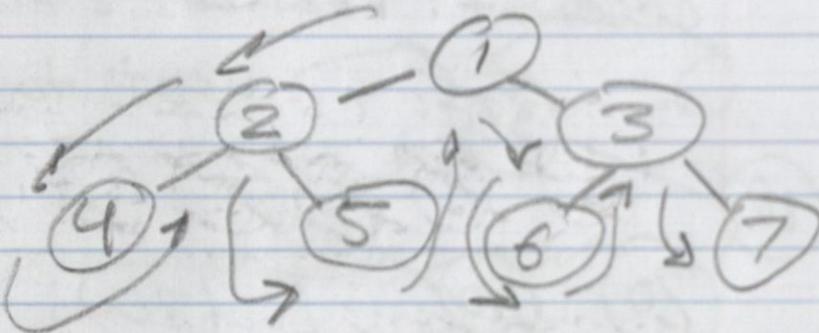
11-4-24

& finished up node removals in Extracts P11 &

Depth-First and Breadth-First Search
of Binary Trees:

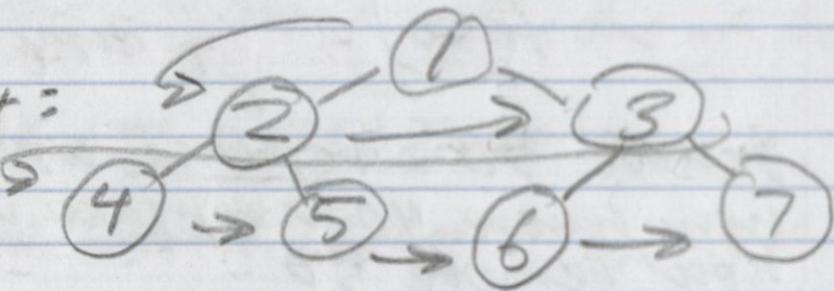
& not Binary Search Trees

Depth-first:



Breadth-first:

"level
oriented"

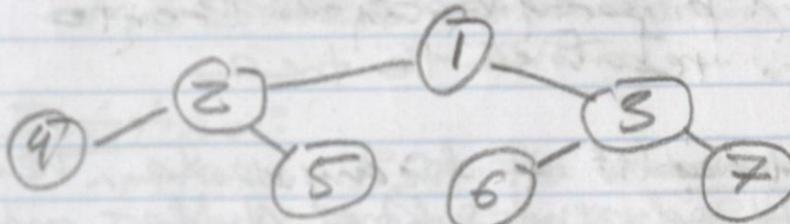


* if tree not complete put None in search

Iterative Depth-First Search:

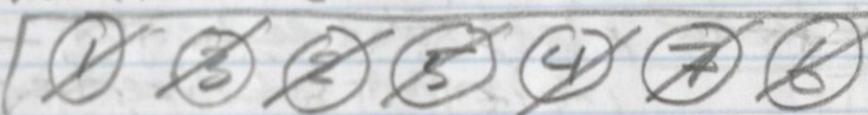
preorder-traversal: 1, 2, 4, 5, 3, 6, 7

root → left → right # reverse order



Frontier: (stack) LIFO

push
pop



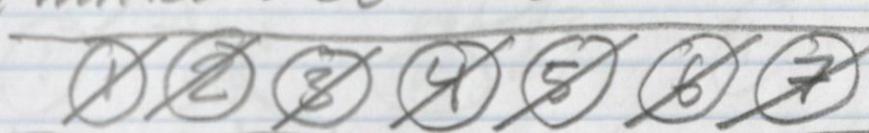
Visited List: (output)

[1 2 4 5 3 6 7]

Breadth-First Search: 1, 2, 3, 4, 5, 6, 7

Frontier: (queue) FIFO

pop



push

Visited List: (output)

[1 2 3 4 5 6 7]

left → right → root

* had to write reursively since it's
not stack-oriented.

* BFS / DFS representations of graphs *

* ex of this in 2nd book q.124

Reading Notes:

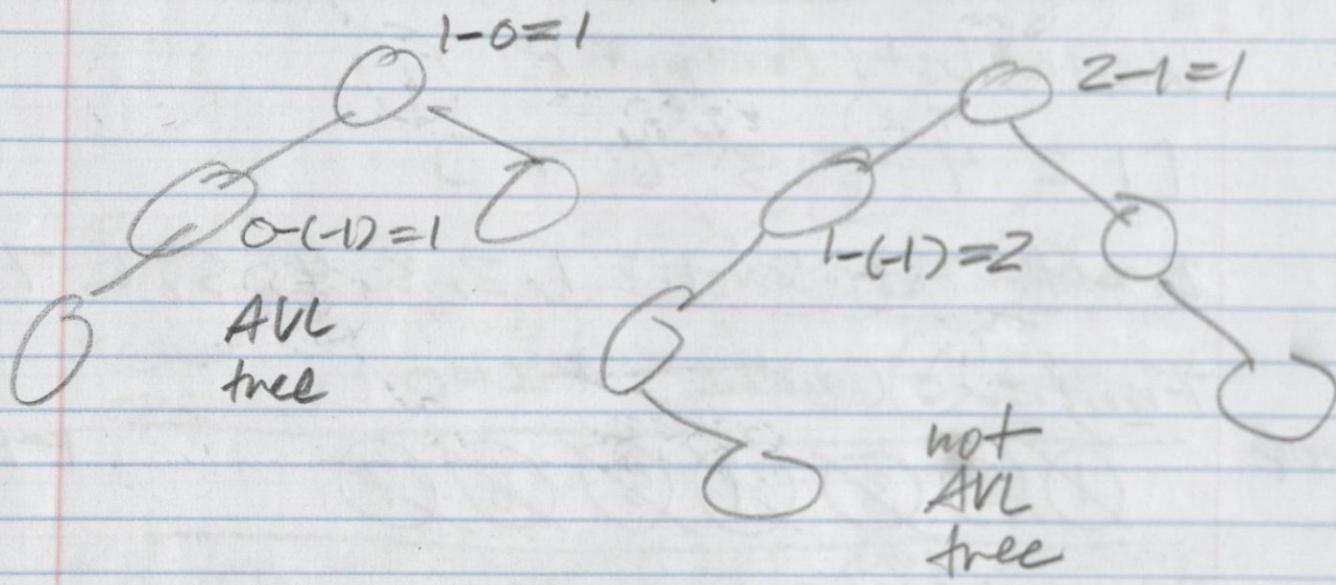
11.5.24

10.1. AVL: A balanced tree

AVL tree: BST tree with a height balanced property and operations to rebalance the tree

height balanced: if for any node, height of left and right subtree differs only by 0 or 1

balance factor: left subtree height - right subtree height



recall: height < -1

* an AVL tree does not always place nodes in perfect BST form with minimal height

→ but still $O(\log N)$ worst case

* no worse than 1.5 * minimum height

Lecture Notes =

11.6.24

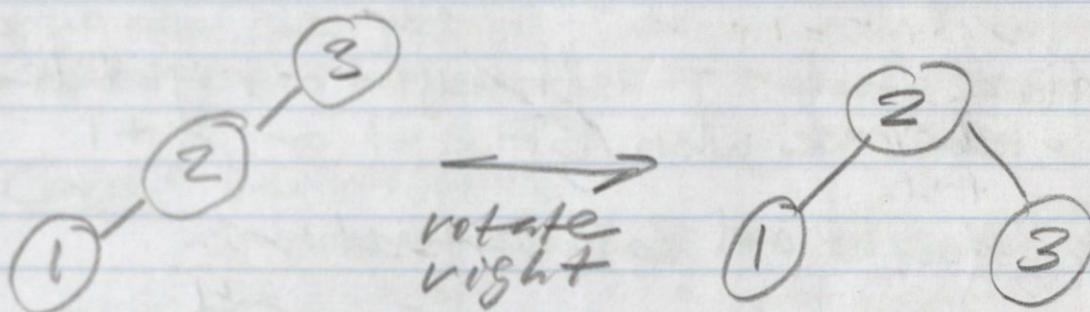
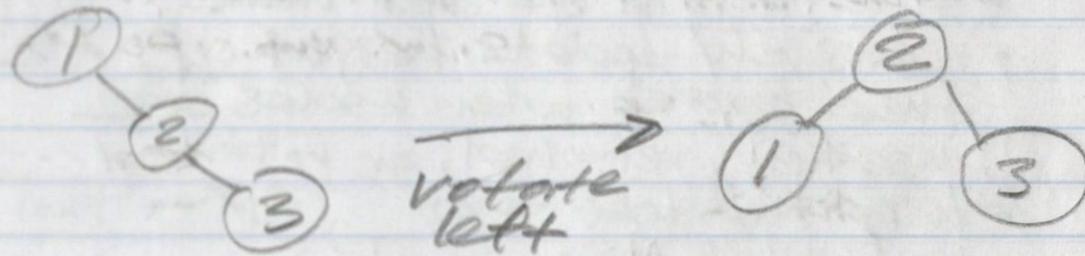
AVL Trees = self balancing trees

- regular BST insert and remove
- rebalance after each

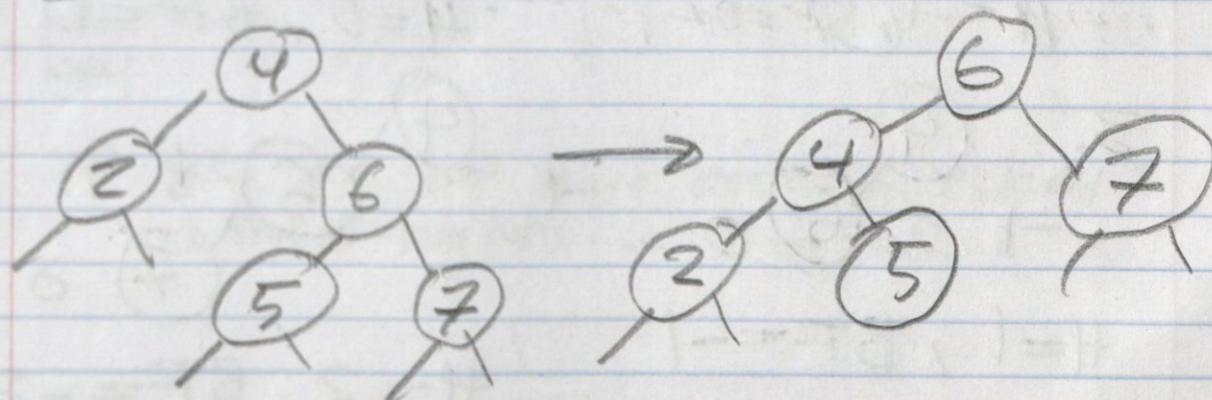
Rotations:

- rotate BST to maintain balance
- which maintains BST ordering properties

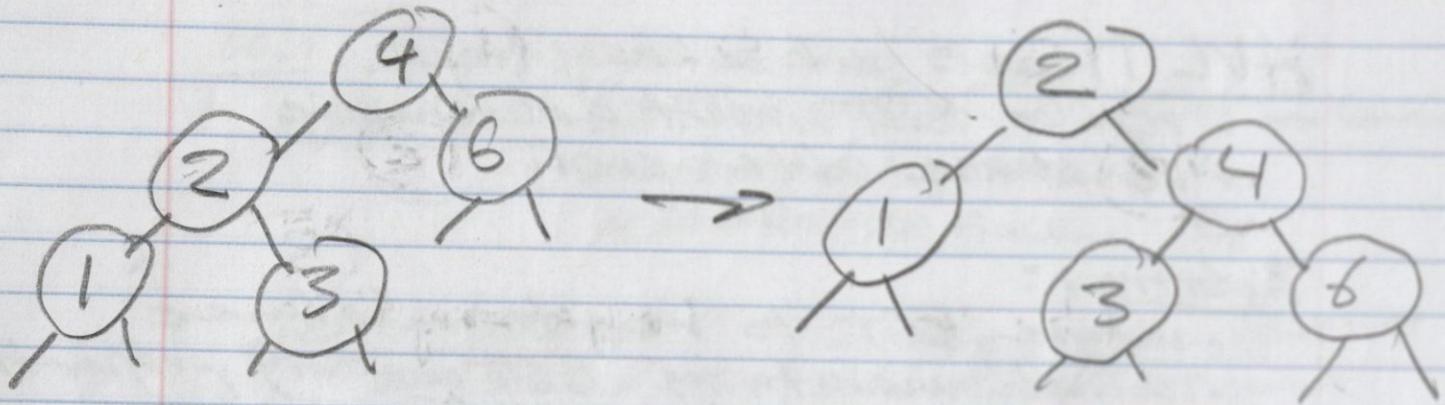
Simple Cases: rotate right and left



General Case: rotate left



General Case: rotate right



Detecting Imbalance:

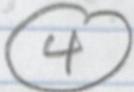
- store height at each node
- recursively update height up the tree branch when nodes are inserted, removed, or rotated
- height of leaf = 0
- height of None = -1

balance factor = left height - right height
• rebalance when $BF < -1$ or $> +1$

Ex. Height and Balance Factor

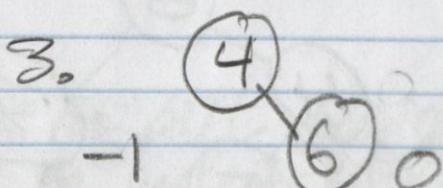
1. None

2.

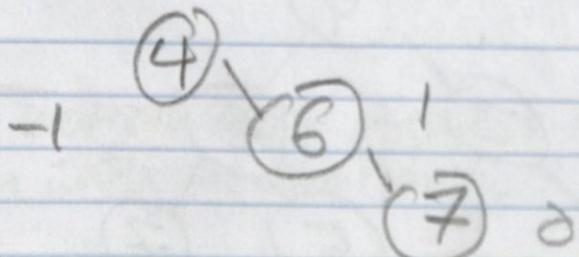


$$H = -1, BF = 0$$

$$H = 0, BF = 0$$

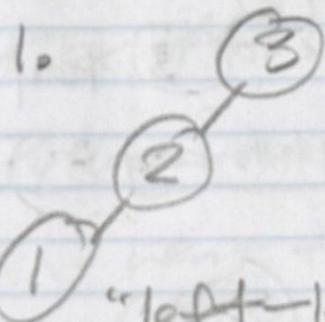


$$H = 1, BF = -1$$

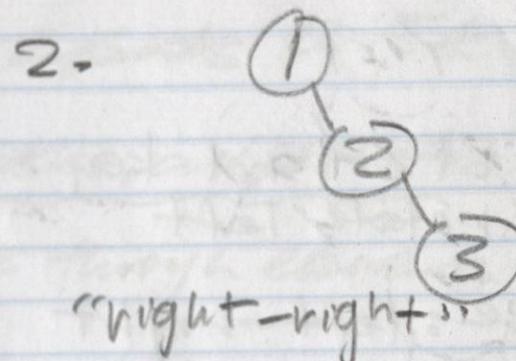


$$H = 2, BF = -2$$

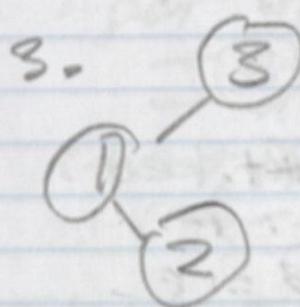
Imbalance = 4 Possible Cases



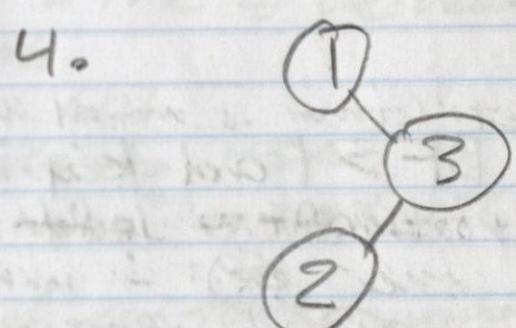
"left-left"



"right-right"



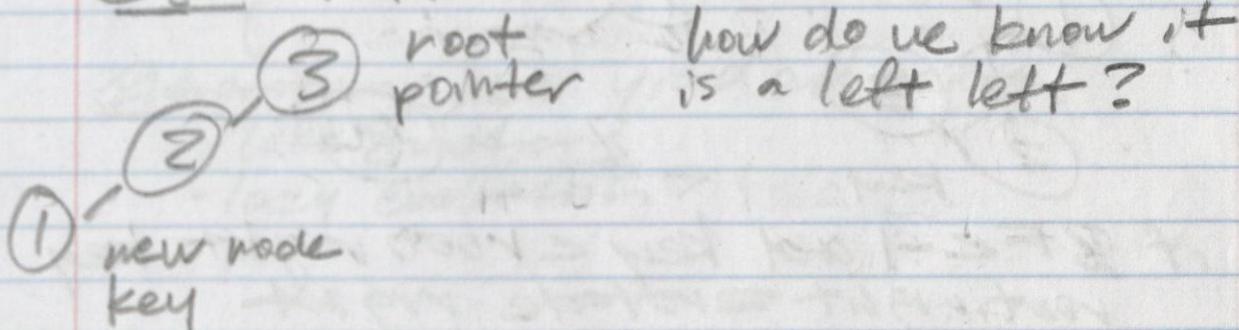
"left-right"



"right-left"

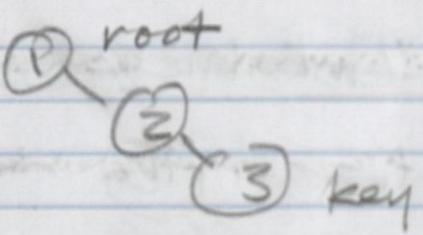
* No other cases possible because AVL's balanced after every insertion, removal, or rotate

Case: left-left



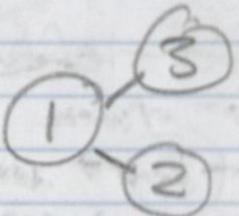
if $BF > 1$ and $key < \text{root.left.key}$ =
rotate right

Case = right-right

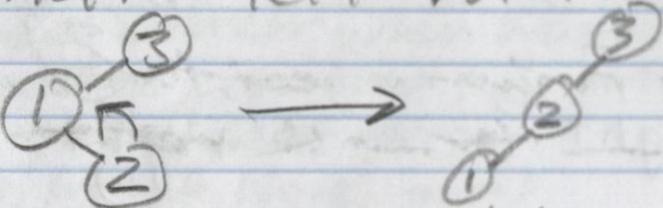


if $BF < -1$ and $key > root.right.key$:
rotate left

Case = left-right

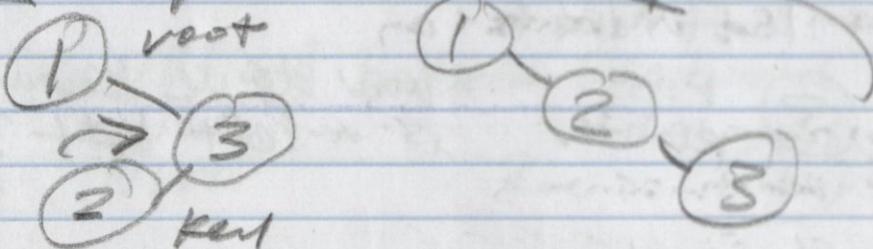


if $BF > 1$ and $key > root.left.key$:
root.left = left rotate



root = rotate right

Case = right-left



if $BF < -1$ and $key < root.right.key$:
root.right = rotate right
root = rotate left

Lecture Notes:

11.8.24

*Ex of AVL tree in ZifBoths 10.5k

Python Iterators, iterables, and generators:

- want to iterate through elements of AVL tree
- get them (at a time) as we need them
 - not pull all
 - not get full list
- want to be able to use
 - "for ... in ... " protocol

Iterables: Python object that supports

- iter--() method

Iterator: Python object that supports

- iter--() and --next--() methods

- countable # of states
- can be iterated on

Generator: uses yield and next

- like functions
- lazy evaluations

Reading Notes:

11.10.24

11.1: Heaps

max-heap: complete binary tree where a node's key is \geq its node's children keys

percolating: swapping or newly inserted node upwards until it does not violate the heap's ordering property

min-heap: same as a max heap but node's key \leq node's children's keys

* heaps are usually stored in arrays, but visualized in binary trees

Parent/Child indices for a heap:

node index parent index child indices

0	N/A	1, 2
1	0	3, 4
2	0	5, 6
3	1	7, 8
4	1	;
i	$\lfloor (i-1)/2 \rfloor$	$2i+1, 2i+2$

Reading Notes:

11-12.24

11.3: Python: Heaps

- each level of a max-heap tree grows from left to right
 - a new level is added only when the previous level fills completely
- since tree is nearly filled completely, array implementation is optimal:

root = node[0]

parent_index: (node_index - 1) // 2

left_child_index: 2 * node_index + 1

right_child_index: 2 * node_index + 2

// operator: integer division - divides and drops all decimals

*
* less of percolate_up() and percolate_down()
* methods *

* and insert() and remove() methods *

insert():

- inserts at the end of the list
- percolates_up() to restore heap property

remove():

- returns root value
- percolates_down() to restore heap property

Reading Notes:

11.14.24

Heapsort: Sorting algo that takes advantage of max-heap properties.

- repeatedly removes the max value
- builds sorted array in reverse order

heapsify: converts array into heap

- leaf nodes satisfy max-heap property
- must percolate down every non-leaf node in reverse order

Largest Internal Node:

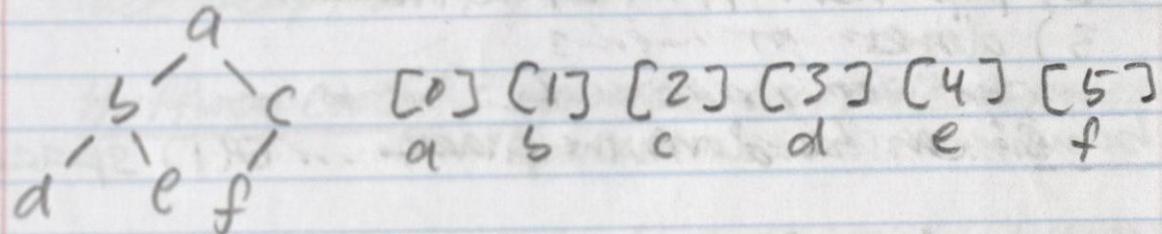
# nodes in binary heap	largest internal node index
1	-1 (no internal nodes)
2	0
3	0
4	1
5	1
i	$LN(i) - 1$

* more in Section 11.6 on Priority Queues as well *

Lecture Notes =

11.15.24

Array Representation of a Heap



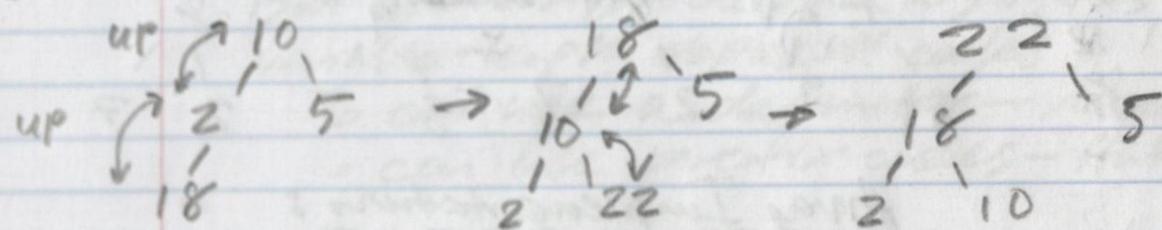
$$\text{left} = 2 \cdot i_{\text{parent}} + 1$$

$$\text{right} = 2 \cdot i_{\text{parent}} + 2$$

$$\text{parent} = (i_{\text{child}} - 1) / 2$$

MaxHeap : Push

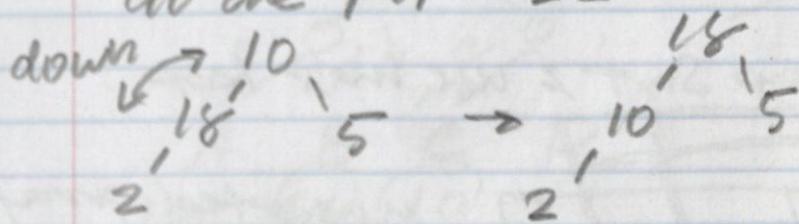
insert in order: 10, 2, 5, 18, 22



Array: [22, 18, 5, 2, 10]

MaxHeap : Pop

do one pop: 22



* ZyBooks 11.3 has good code examples!

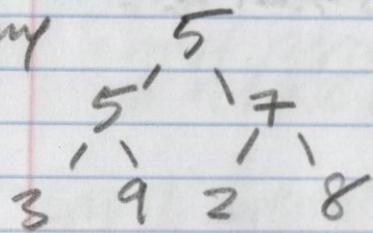
Heap Sort = $O(N \log N)$

- 1) push elements onto heap
- 2) pop them off 1 at a time
- 3) done

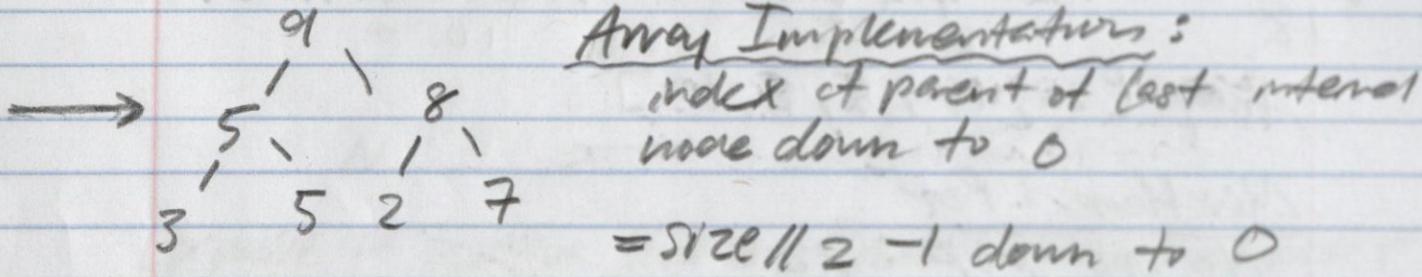
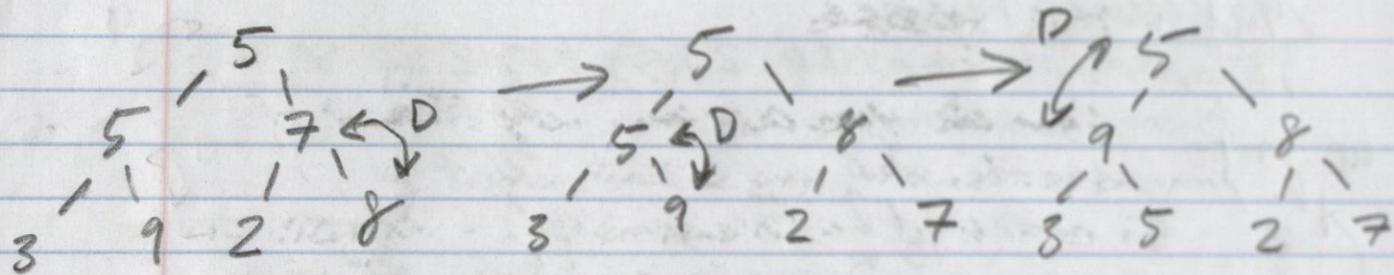
Bonus: can be done in place ... $O(1)$ space

"Heapifying" an array:

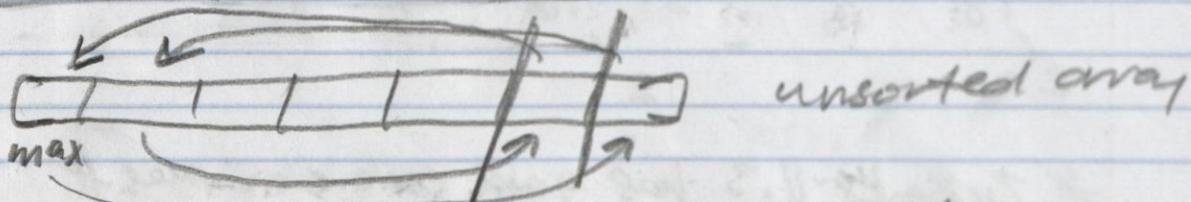
given array:



percolate all non-leaf elements down to where they belong
• start at bottom
• index of parent of last element



Array-Based Heap Sort: use max heap



percolate down after every operation

* Tybooks 11.5 has good ex. of heap sort &
→ sorts in ascending order

Lecture Notes:

11-18-24

import leapq imports new functions to use Leaps in Python

Huffman Codes: variable-length compression codes

Fixed Length Codes: ASCII, 24-bit RGB

- may be storing more bits than you need

Variable Length Codes:

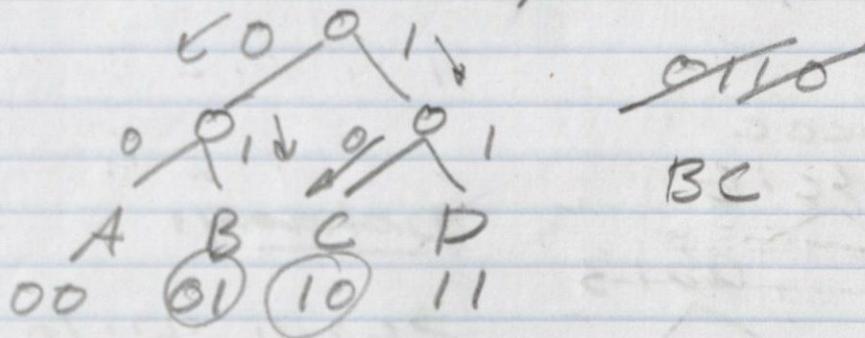
- compresses data
- fast transmission
- less space

But how do you decode long streams of variable-length compressed codes?

- can use a delimiter - Morse Code
- can use prefix codes - Huffman Code

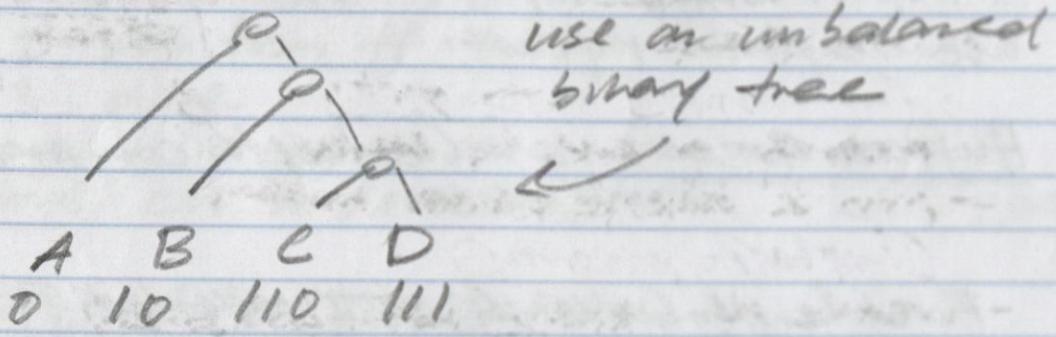
Prefix Codes: A, B, C, D

can use a binary tree



but this implementation is still
fixed length

Prefix Codes : Variable length

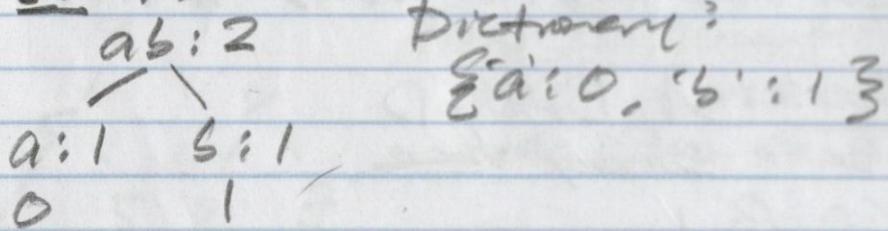


works well if letters have different frequencies of transmission

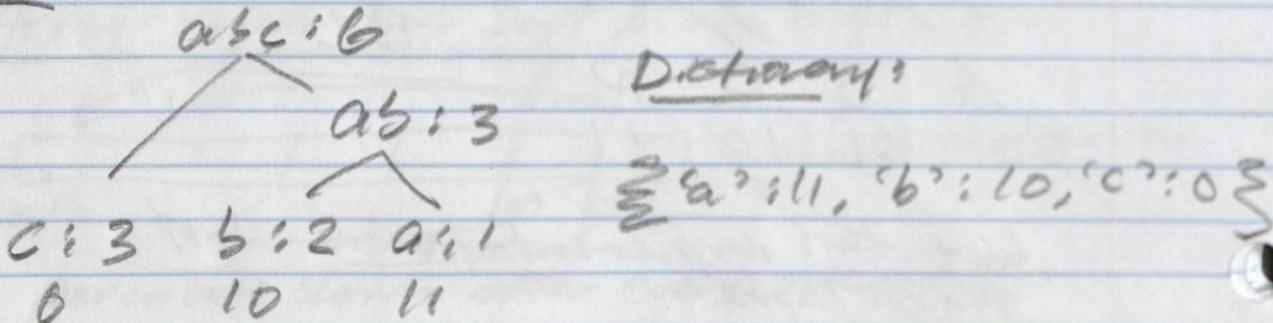
Huffman Codes : use letter frequencies to create an unbalanced tree that creates shortest path for most used letters

↳ greedy algorithm → priority queue → heap

Ex. ab

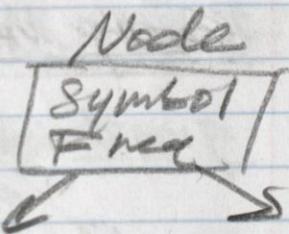


Ex. abccce



Huffman Encoding Algorithm:

- Get Frequencies
- Build tree
 - Push nodes into min priority queue by value
 - Pop 2 lowest value nodes
(highest priority)
 - create new node with combined values and keys
 - push new node with leaves
 - repeat until 1 node



Ex. aabbcccdodd

abcd:10
|
cd:6 ab:4
| \ | / | \ |
c:3 d:3 a:2 b:2

PQ:

a:2 b:2
c:3 d:3
ab:4
cd:6
abcd:10

Reading Notes: Intro to Graphs 11.19.24

graph: DS that represents connections among items

vertex: or node, item in a graph

edge: connection b/w two vertices

Adjacency and Paths:

- 2 vertices are adjacent if connected by an edge
- a path is a sequence of edges leading from a source vertex to a destination vertex
- path length: #edges in a path
- distance b/w 2 vertices is their shortest path length

Applications: geographical maps ad navigation, product recommendations, social networks

Adjacency List: represents a graph w/ each vertex having a list of adjacent vertices, each list item representing an edge

size: $O(V+E)$

Sparse Graph: similar but w/ less than maximum edges

Adjacency Matrix: each vertex has a matrix w/ ad columns; a 1 denotes a connecting edge ad a 0 means no connecting edge

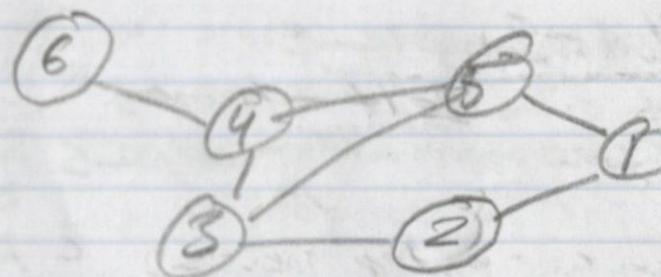
Space: $O(V^2)$; $O(1)$ access

Lecture Notes:

11.20.24

+ directed acyclic graph \rightarrow tree
trees are an application of graphs

Graph = set of Nodes (vertices) and edges



$$G \in (V, E)$$

edge:
source \rightarrow target

$$V = \{1, 2, 3, 4, 5, 6\}$$

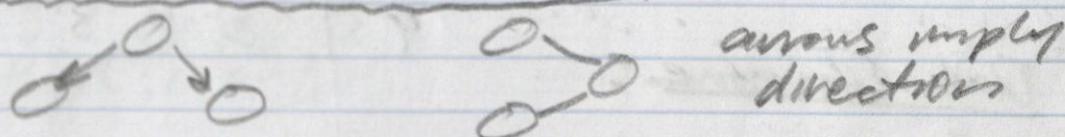
$$E = \{\{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 5\}, \{3, 5\}\}$$

Applications:

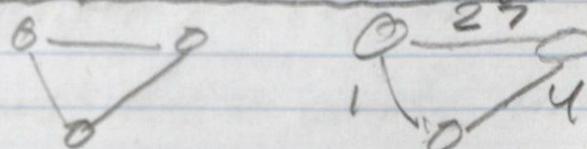
- maps, GPS, pathfinding
- recommendations
- social networks
- city infrastructure
- problem solving: cracking a code
- neural networks

Properties:

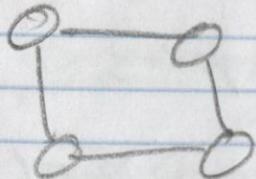
Directed vs. Undirected



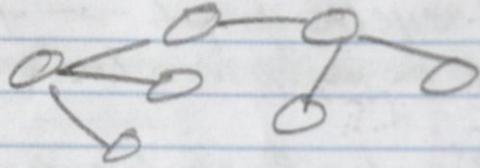
Weighted vs. Unweighted



Cyclic vs Acyclic :

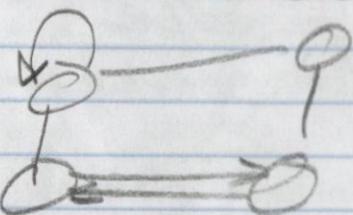


cyclic



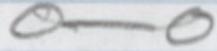
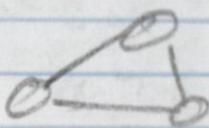
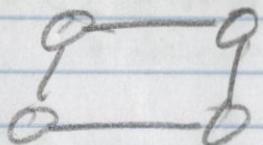
acyclic

Simple vs. Non-Simple :

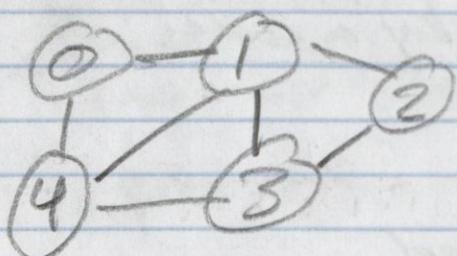


- self-loops
- multi-edges

Connected vs Disconnected :



Adjacency List Representations :



Vertex	Neighbors
0	1, 4
1	0, 2, 3, 4
2	1, 3
3	1, 2, 4
4	0, 1, 3

Data Structure :

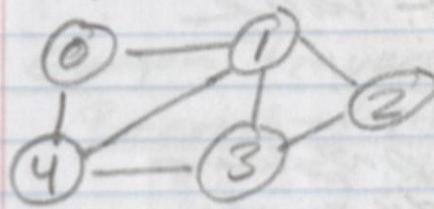
E

Vertex : []

:

3

Edge List File Format:



Ex.

5	7	num-node	num-edge
0	1	source1	target1
1	2	source2	target2
2	3	source3	target3
3	4		:
4	0		:

Adjacency List in Python:

for each edge:

```
graph[source].append(target)  
graph[target].append(source)
```

graph = {

```
0:[1, 4],  
1:[0, 4, 3, 2],  
2:[1, 3],  
3:[4, 1, 2],  
4:[0, 1, 3]
```

both for
undirected graphs

}

* sort keys and lists for readability

* must have an empty list before appending

defaultdict = Python library that
lets you define a default value
datatype

solves the `d['node']` problem
if the node doesn't already exist

`from collections import defaultdict`

↳ has a lot of unique data structures

* example of reading in a graph from an edge
list into a defaultdict in ZyBooks 12.5 #

Reading Notes =

11-21-24

Graph Traversals :

Breadth-first search (BFS) :

visits a starting vertex

then all vertices at distance 1

then distance 2

& built on a queue *

*

until all have been visited once

Applications: Social network recommendations

when BFS first encounters a Node it is discovered
the vertices in queue are called the frontier

Depth-first search : visits a starting vertex,
and every vertex in that path until its end, and then backtracks --.

& built on a stack *

Directed Graph : digraph

has directed edges (arrows)

Appl. airline flights, course registration

* nodes are only adjacent up-stream

$A \rightarrow B$

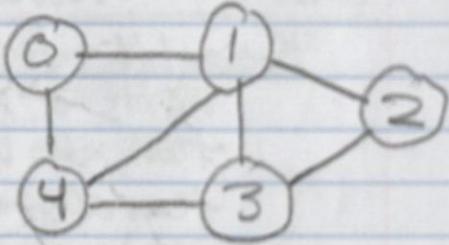
\hookrightarrow adjacent to A ; A not adjacent to B
path, cycle

Lecture Notes:

11.22.24

Adjacency Matrix Representation:

	0	1	2	3	4
0	0	1	0	0	1
1	1	0	1	1	1
2	0	1	0	1	0
3	0	1	1	0	1
4	1	1	1	0	0



* matrix operations can be used in algorithms

Adjacency Matrix in Python:

* no 2D array type unless you import numpy ect ...

```
graph = [
    [0, 1, 0, 0, 1],
    [1, 0, 1, 1, 0],
    [0, 1, 0, 1, 0],
    [0, 1, 1, 0, 1],
    [1, 1, 1, 0, 0]
]
```

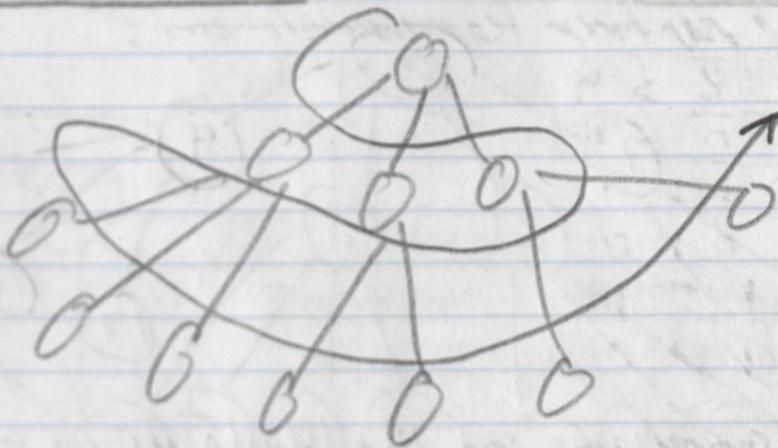
use a list of lists :
initialize matrix $[v \times v]$
for each edge :
matrix [source] [target] = 1
matrix [target] [source] = 1

* can be read in in an edge list file format

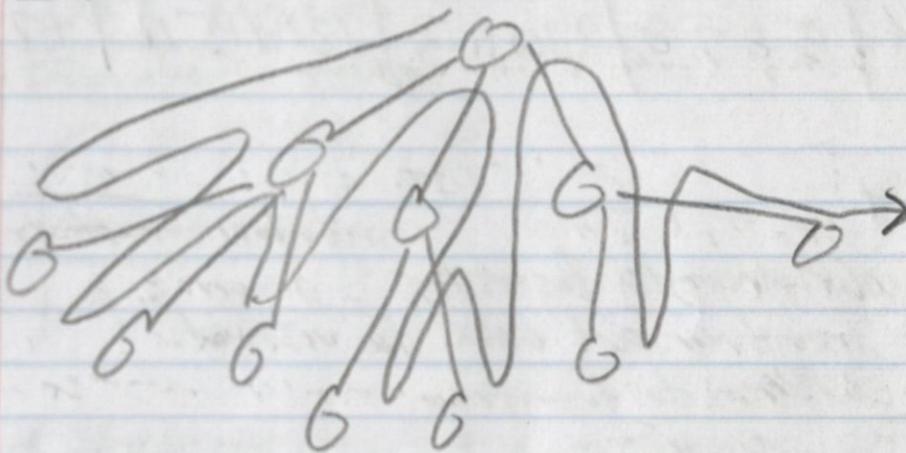
* example of this in ZyBooks 12.5

* just use matrix [source] [target] = 1 for directed graphs

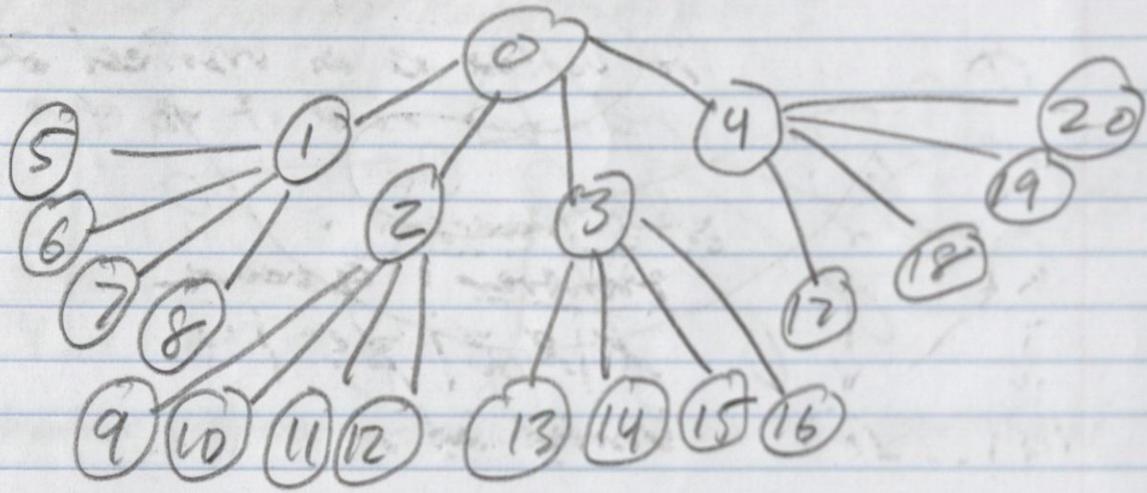
Breadth-first search:



Depth-first search:



Breadth-first search?



Frontier: queue (FIFO),

φ | 1234 | 5678 | 9101112 | 13141516 | 17181920

Visited:

01234

- add all children to frontier
 - pop off frontier and add to visited
 - add all children to frontier

Depth-first search:

Frontier : stack (LIFO)

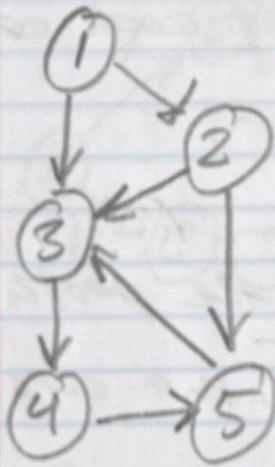
01234|17181936|

Vos Aed:

0420

same algorithm but with a stack

Dealing with Cycles : use a set



if vertex is in visited set:
do not add it to the frontier

BFS Traversal:

Frontier: queue

1 | 2 3 | 4 5 |

Visited Set:

1 2 3

DFS Traversal:

Frontier: stack

1 | 2 3 | 4 | 5

Visited Set:

1 3 4 5

* Zybooks 12.9 has a transpose of these &
→ not directed

* uses the collection library &

→ has a deque class

- highly optimized

- circular buffer

- doubly-linked lists of static blocks of memory

Reading Notes:

11.24.24

12.10: Weighted Graphs

graph with a weight or cost at each edge

* may be directed or undirected

Path Length in Weighted Graphs:

sum of the edge weights in the path

Cycle length: sum of edge weights in a cycle

Negative Edge weight cycle:

cycle w/ a cycle length less than 0

shortest path does not exist

12.11: Dijkstra's shortest path

algorithm that determines the shortest path from a start vertex to each vertex on a graph

distance: shortest path from start vertex

predecessor pointer: points to the previous

vertex along the shortest path from the

start vertex

* more detailed description of algorithm in textbook

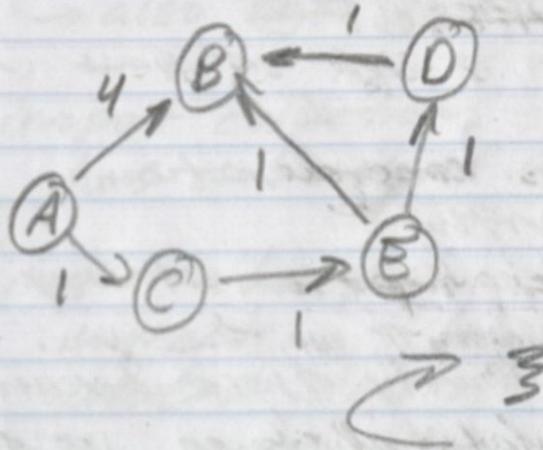
Runtime: $O(V^2)$

\hookrightarrow # of vertices in graph

* algorithm does not work w/
negative edge weights

Lecture Notes:

11-25-24



Adjacency List:

A:	$\{B:4, C:1\}$
B:	$\{A:4, D:1\}$
C:	$\{B:1, E:1, \text{self-loop:3}\}$
D:	$\{E:1\}$
E:	$\{D:1, B:1\}$

dictionary of dictionaries

Review: DFS

* weights do not matter &

1. add first node to frontier

2. pop node and add all adjacent nodes to frontier

3. add popped nodes to visited list

* DFS \rightarrow stack

* BFS \rightarrow queue

frontier: what are we visiting next?

visited: what have we already seen?

Single-Source Shortest Path:

- BFS / DFS tell us if there is a path to any other vertex
 - o tree tell us the distance

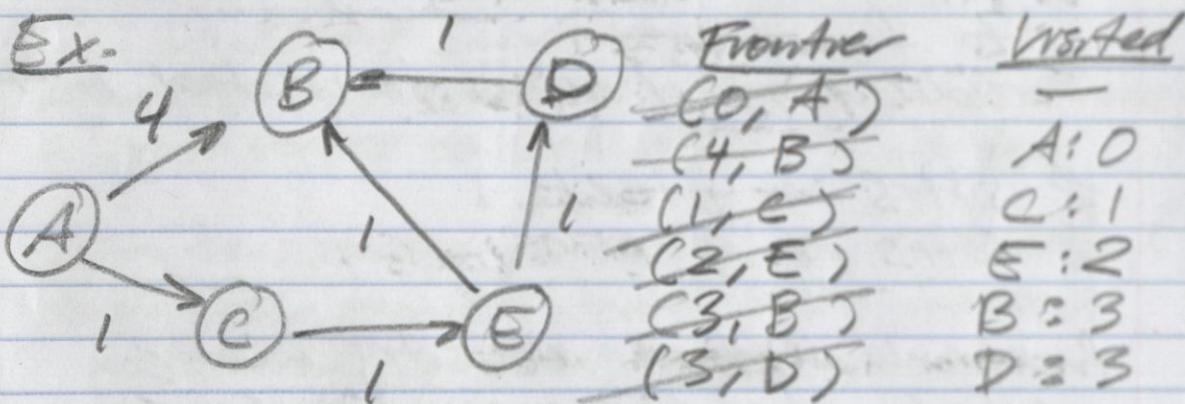
Dijkstra's Shortest Path:

- very similar to DFS/BFS
 - uses frontier
 - uses visited
- takes weights into consideration
 - uses greedy algorithm
 - take best looking option at any point

frontier = uses a priority queue by min-heap
 contains (accumulated distance, vertex)
 running sum ↗

visited = dictionary

▀ vertex = total distance ▀



Pseudocode:

while frontier is not empty;
 remove (dist, vertex) from frontier
 w/ lowest distace

if vertex not visited;

store (dist, vertex) in visited

for each non-visited neighbor

add to frontier (total dist, neighbor)

* example of this in ZyBooks 12.12 - Ex: 33
→ also has DFS/BFS

import collections

has a bunch of elementary data structures

import heapq

imports a heap, can build priority queue on top of this

Lecture Notes =

12.2.24

& comments on homework

& the of Dijkstra's Algorithm &

Dijkstra's Alg Time Complexity
of Binary Heap:

Push/Pop: $O(\log N)$

Vertex + Edge :

$O(V) + O(E \log E)$

Revised Dijkstra's Alg:

& want to return actual paths, not just distances &

→ for homework

→ change visited and frontier

Visited Before: {Vertex : Distance}

After: {Vertex : Source}

Frontier Before: {Distance : Vertex}

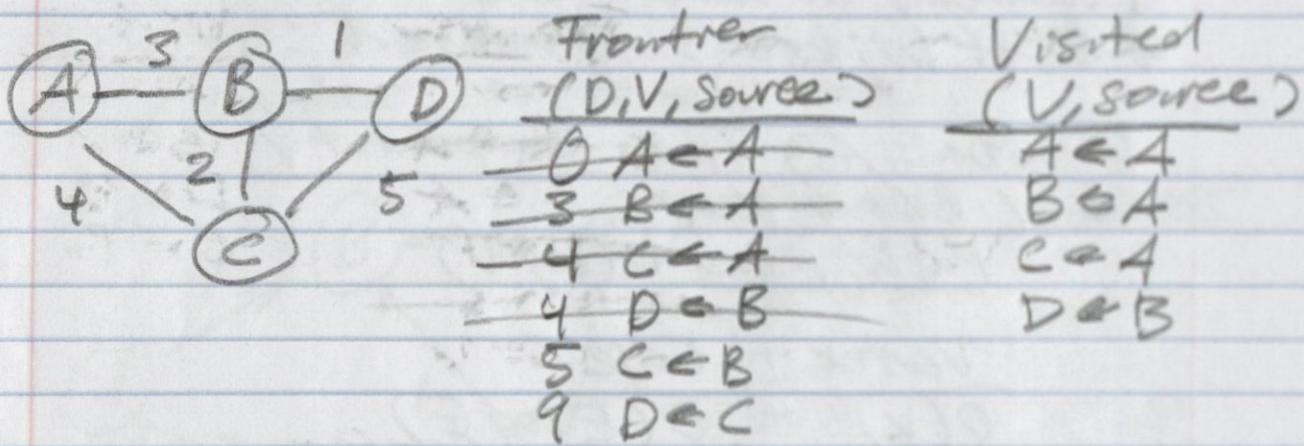
After: (Distance, Vertex, Source)

Minimum Spanning Tree (MST)

Shortest possible tree that still connects all nodes

Prim's Algorithm: alg to create a MST, exactly like Dijkstra's alg, but picks the very shortest edge every time instead of starting from the base

Ex. Dijkstra's w/ path



Reconstructing Paths: work backwards

A : $A \rightarrow A$

B : $A \rightarrow B$

C : $A \rightarrow C$

D : $A \rightarrow B \rightarrow D$

MST:

- undirected

- hits all nodes

- minimal length

Prim's Algorithm for MST:

- start w/ Dijkstra's alg w/ edges
- choose next vertex as destination of shortest overall edge

Prim's Frontier and Visited:

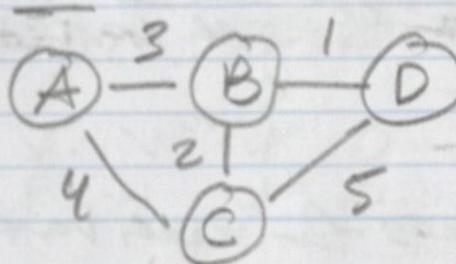
Visited: Dictionary

{ Vertex : Source }

Frontier: List

[(distance, vertex, source), ...]

Ex.



Frontier
-0 A->A
-3 B->A
-4 C->A
-1 D->B
-2 C->B
-5 C->D

Visited
A->A
B->A
D->B
C->B

Prim MST vs Dijkstra SSSP:

- Dijkstra depends on origin
- Prim does not
- path traveled will be different

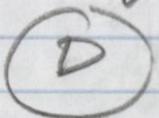
* examples of these in ZyBooks 12.15

Ex 34 *

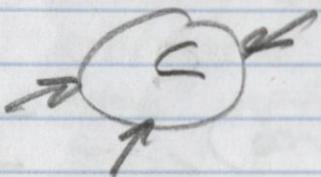
Lecture for 12/4:

12.9.24

Degree of a vertex: number of edges pointing to a vertex



degree 0



degree 3

Kahn's Topological Sort Algorithm:

- Table of the degree's of each vertex
- Frontier : set

Pseudocode:

create table of degrees for each vertex

while frontier not empty :

pop vertex from frontier

append to sorted

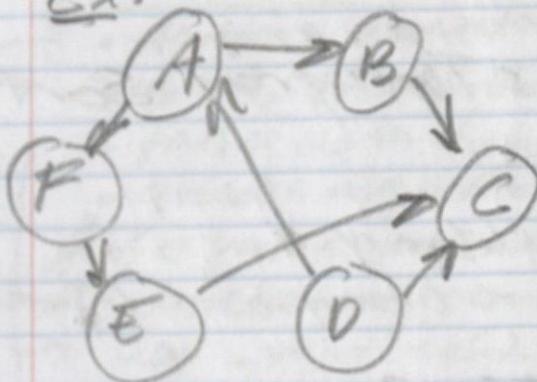
for each neighbor of vertex:

decrement degree

if degree == 0:

add to frontier

Ex.



Frontier

P
A
F
B
E
C

Visited

D
A
F
B
E
C

Degrees

	+	0
A	+	0
B	+	0
C	3	2+0
D	0	
E	+	0
F	+	0

Detecting Cycles :

if # visited < # vertices in graph :
there was a cycle

Complexity of Kahn's :

$O(V+E)$
vertices \approx # edges

& use of the default dict is common
w/ Kahn's Alg *

See in ZyBooks 12.17 Ex 35R

Lecture for 12/6:

12.9.24

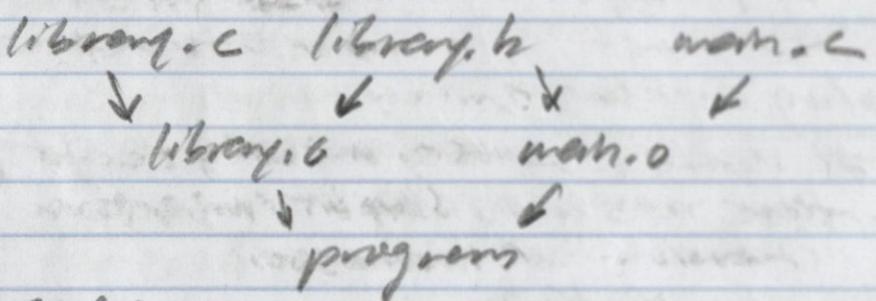
Ex. Makefile w/ Kahn's Topological Sort

library.o : library.c library.h
gcc \$₁(CC) \$₁((FLAGS)) -o library.o -c library.c

main.o : main.c library.h
gcc \$₁((CC)) \$₁((FLAGS)) -o main.o -c main.c

program : main.o library.o
ld \$₁((LDFLAGS)) -o program main.o library.o

Dependency Graph



Parsing:

for each line in Makefile

| if ":" not in line

| | split line around ":" to src, target

| | for each source:

| | | update target in adjacency list

* ex in Zybooks 12.17.1-4

Lecture Notes: Exam Review

12 - 11. 24

- iterators, generators, list comprehensions
 - yield, yieldfrom
 - parsing data from STDIN
 - greedy algorithms - cups homework
 - ↳ c was in memory - from uwtteam
 - ↳ dereferencing double pointers - from uwtteam
 - use .readline().split()
 - use map() or list comprehensions
 - --iter()-- --next()--
 - for ... in ... :
 - Python doubly-linked list
 - Binary trees, binary search trees
 - leaf node, root node, parent, child
 - height = # of edges (empty tree = -1)
 - full, complete, perfect trees
 - Heaps - must be complete to represent as an array
 - BST rules, search, insert, remove, inorder traversal, height/invariant order
 - removal - find a successor
 - go to right child and find left-most leaf node - swap
 - DFS/BFS; DFS: stack, BFS: queue
 - AVL trees
 - rotations, insertions, removals, balance factor
 - must preserve BST properties
 - left-left, left-right, right-right, right-left
 - not always faster than BST
 - Heap
 - is tree a heap? is tree a BST?
 - needs to be complete

- heaps stored as arrays
 - formula or derive from tree
- priority queue
- huffman compression
 - tree, letter frequency, greedy algorithm
 - least frequent first, priority queue

Summary of Graph Algorithms:

<u>Algorithm</u>	<u>Frontier</u>	<u>Visited after?</u>	<u>Complexity</u>
DFS	Stack	List	$O(V+E)$
BFS	Queue	List	$O(V+E)$
Dijkstra's SSSP	Priority Heap	Dict	$O(V+E\log E)$
Prim's MST	Priority Heap	Dict	$O(V+E\log E)$
Kahn's TS	Set	List Degrees	$O(V+E)$

Final Exam Review:

12.13.24

Python: true OOP language

- everything in Python is an object of a type w/ methods
- dir(type) # lists methods of a type

Regular methods: object.method()

Internal methods: object.__method__()

Magic methods: object.__method__()

Python Debugging and Unit Tests: import unittest

Doubly Linked List Class: DLL w/ dummy head and tail nodes and methods

Reading Data from STDIN:

```
def main(stream=sys.stdin):  
    for line in stream:  
        input_line = line.strip()           ➔ removes '\n'  
        input_list = [int(x) for x in input_line.split()]  
        input_string = ' '.join(input_list)  
  
    ➔ delimits by ' ', parse data into a list  
    ➔ joins list back into a string
```

Ex. clearing a Python DLL

```
def clear(self):  
    self.head.next = self.tail  
    self.tail.prev = self.head
```

➔ garbage collection takes care of everything

Binary Trees:

Vocab: leaf node, internal node, parent node, ancestor nodes, root node

Structure: edge, depth, level, height

Types: full, complete, perfect

Binary Search Trees:

- assumes no duplicate nodes
- commonly implemented recursively

Methods: search, insert, inorder, len, remove

Ex. BST Inorder Traversal

```
def inorder(root):  
    if root is None:  
        return  
    inorder(root.left)  
    print(root.key)  
    inorder(root.right)
```

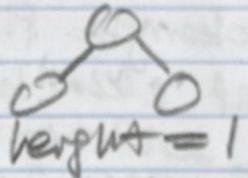
Tree length: # of nodes

Tree height: "depth" of tree

NULL



height = -1 height = 0



height = 1

Node Removal:

- different for node w/ 0, 1, or 2 children
- w/ 2 children:
 - recursively replace node with successor
 - leftmost leaf node of right child

Depth-First Traversal:

- preorder traversal: root \rightarrow left \rightarrow right
- inorder traversal: left \rightarrow root \rightarrow right
- postorder traversal: left \rightarrow right \rightarrow root

Frontier: Stack

Visited List: list

Breadth-First Search:

level-order traversal

Frontier: queue

Visited List: list

AVL Trees: BST w/ height balancing properties
and rebalancing methods

balance factor: left subtree height -
right subtree height

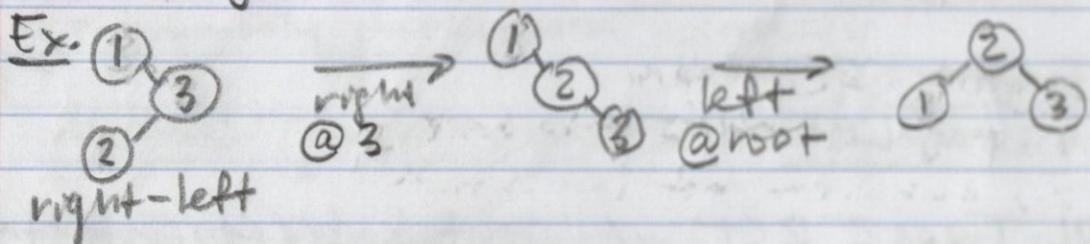
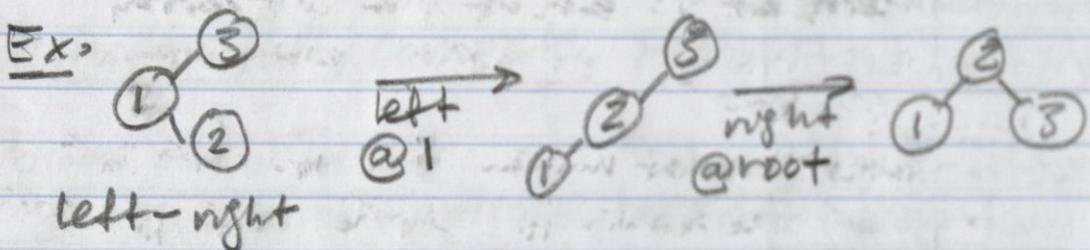
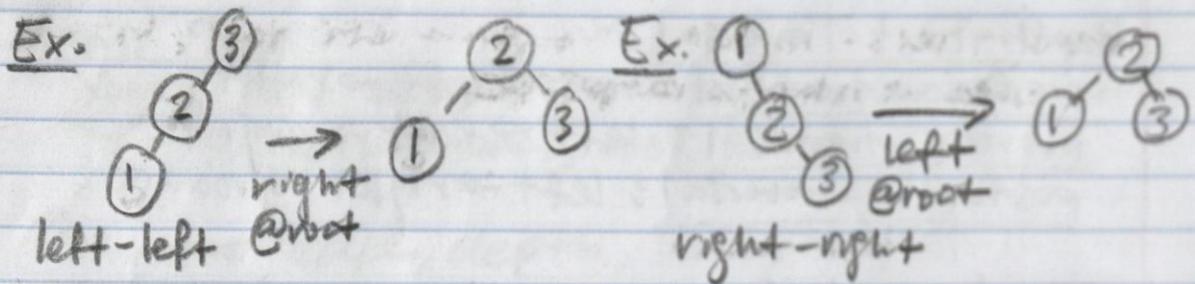
Rotations: help maintain balances

4 Cases:

- left-left
- right-right
- left-right
- right-left

Defeating Imbalances:

- store BF at each node
- recursively update BFs up the tree branch
when a node is inserted, removed, or rotated



Python Iterable: object that supports `__iter__()`

Python Iterator: object that supports

`__iter__()` and `__next__()`

Python Generator: iterator that lazily evaluates, using `yield` and `yield from` and supporting `__next__()`
`yield` - like `return`
`yield from` - `yield` value from another generator

Heaps:

min/max-heap: complete binary tree where any node's key \leq / \geq all its node's children's keys

percolating: swapping a newly inserted node upwards until it does not violate its ordering property

& stored in arrays and visualized in binary trees

<u>node_index</u>	<u>parent_index</u>	<u>child_indices</u>
i	$[i-1]/2$	$2+i+1, 2+i+2$

each level of a heap grows from left to right
- tree is always complete

methods: insert(), remove()

insert(): push

- inserts at the end of the list/array
- percolates up to restore heap property

remove(): pop

- returns root node and swaps with last val in list
- percolates down to restore heap property

Heap Sort: $O(N \log N)$

- 1) push elements onto heap
- 2) pop item off (at a time)
- 3) done

Huffman Codes: variable-length compression code

1) Get frequencies

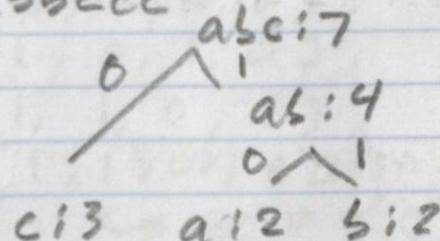
2) Build a tree

- push nodes onto a min priority queue
- pop 2 minimum values
- push combined values and keys as new node
- repeat until 1 node contains all values

Prefix Codes:

- balanced trees - fixed length
- unbalanced trees - variable length

Ex: aabbcc



Dictionary:

$\{ 'a': 11, 'b': 10, 'c': 0 \}$

Graphs:

Vocab: vertex/node, edge, adjacent edges, path, path length, distance, degree

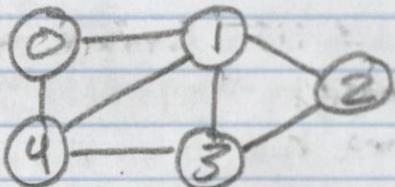
ex. $V = \{1, 2, 3, 4\}$

$E = \{\{1, 2\}, \{2, 3\}, \{2, 3\}, \{3, 4\}\}$

Properties:

- directed vs undirected
- weighted vs unweighted
- cyclic vs. acyclic
- simple vs nonsimple
 - self loops, multi-edges
- connected vs disconnected

Ex-



Edge List File Format

5	7	num_nodes	num_edges
0	1	source1	target1
1	2	source2	target2
2	3		
1	3		
1	4		
3	4		
4	0		

Adjacency List Representation:

for each edge: # from ELF

graph[source].append(target)

graph[target].append(source) ←

graph = {

0: [1, 4],

1: [0, 4, 3, 2],

2: [1, 3],

3: [4, 1, 2],

4: [0, 1, 3]

both for undirected
graphs

size: $O(V+E)$

}
type: dict(int: list)

vertex ↑ neighbors

Note. must have an empty list before appending
use defaultdict(list):

from collections import defaultdict
defaultdict(list)

Adjacency Matrix Representation:

initialize matrix [V][V]

for each edge:

matrix[source][target] = 1

matrix[target][source] = 1 ←

graph = [

[0, 1, 0, 0, 1],

[1, 0, 1, 1, 0],

[0, 1, 0, 1, 0],

[0, 1, 1, 0, 1],

[1, 1, 1, 0, 0]

both for undirected
graphs

size: $O(V^2)$

]

↑ use # of weight here if
graph is weighted

Graph Algorithms: use a frontier and visited DS

1. add first node to frontier
2. pop node and add all adjacent nodes to frontier
3. add popped node to visited list
4. repeat until frontier is empty

frontier: what are we visiting next?

visited: what have we already seen?

Breadth-First Search: $O(V+E)$

- frontier: queue
- visited set: list

Depth-First Search: $O(V+E)$

- frontier: stack
- visited set: list

Note. To catch cycles

- use a set for all visited sets
- if vertex is in visited:
 - do not add it to frontier

Dijkstra's SSSP: $O(V+E\log E)$

- frontier: greedy algorithm by priority queue by min-heap
 - contains: (accumulated distance, vertex)
- visited: dictionary
 - contains: {vertex: total distance}

Note. use:

from collections import deque
import heapq

Dijkstra's SSSP storing path is same except,
frontier: (distance, vertex, source)
visited: {vertex : source}

Minimum Spanning Tree (MST): shortest
possible tree that connects all nodes

Prim's MST Algorithm: $O(V + E \log E)$

frontier: priority queue [or min heap]
-contains: [(distance, vertex, source), ...]
visited: dictionary
-contains: {vertex : source}

Note:

- dijkstra's is always in reference to starting node
- prim's starting node is arbitrary
i.e. distances are not in reference to anything

Kahn's Topological Sort Algorithm: slightly different
create a dict [int, int] table of degrees for each vertex
while frontier (not empty):
pop vertex from frontier
append to visited
for each neighbor of vertex:
decrement degree
if degree == 0:
add to frontier

frontier: set time: $O(V+E)$
visited: list

detecting cycles:

if # visited < # vertices in graph;
there was a cycle

Programming Interviews:

12.15.24

Note. A computer can only run 10^8 operations per second, and your code is expected to run in 1 second

* This can be used to your advantage!

Input Size (N) Time Complexity Approaches

$N \leq 11$	$O(N!)$	Permutations
$N \leq 26$	$O(2^N)$	Exploring all Subsets Recursive Traversals
$N \leq 100$	$O(N^4)$	Quadruple Loops
$N \leq 500$	$O(N^3)$	Triple Loops Brute Force
$N \leq 10^4$	$O(N^2)$	Floyd-Warshall Double Loops
$N \leq 10^6$	$O(N \log N)$	Simple Sorting Efficient Sorting
$N \leq 10^8$	$O(N)$	Binary Search (N times) Heaps Linear Traversals Hashing Counting
$N > 10^8$	$O(\log N), O(1)$	Binary Search Euclidean Algorithm Basic Math

Start with working inefficient solutions :

- no partial credit
- code is never looked at

Common Patterns: Is it a graph? → yes → Is it a tree? → yes → DFS

↓ no
kth largest/smallest number?

↓ yes ↗ Heap/Sort

Linked Lists?

no ↗ 2 pointers

Small Constraints?

no ↓

Subarrays/Substrings?

no ↓

Max/Min?

no ↓

of ways...?

no ↓

Multiple Sequences?

no ↓

Find/Enumerate

Indicies → O(1)

no required

DAG?

↓ no

Shortest Path?

↓ no

Connectivity?

↓ no

Small Constraints?

↓ no

Monotonic?

↓ no

Can be split to Subproblems?

↓ yes

Multiple Sequences?

↓ yes

Greedy Alg

↓ no

Subproblems?

↓ yes

2 pointers

Dynamic Pro...

Topological Sort

↓ yes

Weighted?

↓ yes

BFS

↓ yes

Disjoint Set Union

↓ no

DFS/backtracking

↓ no

Brute Force/

Backtracking

↓ no

Dynamic Programming

↓ yes

Prefix Sums

↓ yes

Sliding Window

↓ yes

Binary Search

Useful Python Libraries:

import collections

collections.deque

d = deque() #init

append(x) #useful methods

appendleft(x)

clear()

count(x)

extend(iterable)

extendleft(iterable)

insert(i, x)

pop()

popleft()

remove(value)

reverse()

rotate(n=1) # rotates deque n steps to the right
can be negative

collections.defaultdict

d = defaultdict(list) # typically used for graphs

import heapq

h = heapq.heapify(list) # create a min heap

heappush(heap, item) #useful methods

heappop(heap)

heappushpop(heap, item)

heapreplace(heap, item)

nlargest(n, iterable, key=None)

nsmallest(n, iterable, key=None)

