### Your awesome thesis

### Your beautiful name



A thesis submitted for the degree of Doctor of Philosophy. **The University of Edinburgh**.

March 15, 2022

### Lay Summary

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### **Abstract**

# **Declaration of Originality**

I hereby declare that the research recorded in this thesis and the thesis itself was composed and originated entirely by myself in the Institute for Digital Communications School of Engineering at The University of Edinburgh.

Name

Edinburgh, UK

March 15, 2022

### Acknowledgements

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### Acronyms and Abbreviations

3GPP 3<sup>rd</sup> generation partnership project

ACF autocorrelation function

ACO asymmetrically clipped optical
ADC analog-to-digital converter
AGC automatic gain controller

AP access point

APD avalanche photodiode

ATSSS access traffic steering, switching and splitting

AWGN additive white Gaussian noise

BER bit error ratio

CAD computer-aided design
CCTV closed-circuit television

CDF cumulative distribution function

CIR channel impulse response
CLI comman-line interface

CSMA/CA carrier sense multiple access with collision avoidance

CP cyclic prefix

DASH dynamic adaptive streaming over hypertext transfer protocol

DAC digital-to-analog converter

DC direct current

DFE decision feedback equalization
DFT discrete Fourier transform

DPSS discrete prolate spheroidal sequences

DSP digital signal processor

DQO decomposed quadrature optical

eU enhanced unipolar E/O electrical-to-optical

EESM exponential effective signal-to-interference-plus-noise ratio metric

ESM effective signal-to-interference-plus-noise ratio mapping

FCC federal communications commission

FDE frequency-domain equalization

FEC forward error correction
FFT fast fourier transform
FIR finite impulse response

FoV field-of-view

FST fast session transfer FTP file transfer protocol

FWHM full width at half maximum

GTIM generalized time index modulation

HetNets heterogeneous networks

HPF high-pass filter

HTML hypertext markup language

HE high efficiency

HTTP hypertext transfer protocol IaaS infrastructure as a service

IDFT inverse discrete Fourier transform

IEC International Electrotechnical Commission

IEEE Institute of Electrical and Electronics Engineers

IID identically independently distributed

IM index modulation

IM/DD intensity modulation and direct detection

IP internet protocol

IQ in-phase and quadrature

IR infrared

ISI inter-symbol interference

ITU-T International Telecommunication Union - Telecommunication Standardization Sector

KSD Kolmogorov-Smirnov distance

LC light communications

LD laser diode

LDPC low-density parity-check

LE linear equalizer

LED light emitting diode

# List of Principal Symbols

- $||\cdot||_2$  L2-norm
- $\mathbb{1}(\cdot)$  indicator function



# Chapter 1

### Introduction

#### 1.1 Motivations

Optical wireless communications (OWC) are wireless access technologies that occupy the light spectrum, e.g., the infrared (IR), visible light (VL), or ultraviolet [Chowdhury *et al.*, 2018]. Examples of OWC are IR wireless technology [Gfeller & Bapst, 1979], visible light communications (VLC) [Komine *et al.*, 2001], optical camera communications (OCC) [Leibowitz *et al.*, 2001], and light fidelity (LiFi) [Haas *et al.*, 2016].

#### 1.2 Contributions and Thesis Layout

As previously mentioned, the main goal of this thesis is to calculate an offloading efficiency, which will be postponed until the intermediate studies are presented. In the subsequent chapters, a random orientation model, single-carrier and multi-carrier modulation techniques are presented. The following are logical structures and contributions of this thesis.

#### Chapter 2: LiFi Channel and System Models

This chapter mostly discusses channel and system models that will be used in the following chapters. The models include the frequency responses and the linear dynamic ranges of the light emitters.

#### Chapter 3: Random Orientation Model

The next contribution of this thesis is a random orientation model.

#### Chapter 4: Modulation: Single-Carrier and Multi-Carrier

Having near-realistic assumptions of LiFi channels in terms of both random orientation and random blockage models, the next contribution focuses on modulation techniques for LiFi.

#### 1.3 Publication Lists

In order to sum up the contributions of this thesis, the following are publication lists made throughout my study as well as those that are under preparation.

#### **Open Source Libraries:**

[1] **Purwita**, **A. A.** (2020). owcsimpy: a Python simulator for optical wireless communications. https://github.com/ardimasp/owcsimpy.

#### **Deliverable:**

[1] Garcia, A., Cogalan, T., **Purwita, A. A.**, Mur, D. C., Khalili, H., Sark, V., Gutiérrez, J., Hemadeh, I., Kainulainen, J., Turyagyenda, C., Frank, H., Bian, R., & Ghoraishi, M. (2020), State-of-the-Art Review and Initial Design of the Integrated 5GNR/Wi-Fi/LiFi Network Frameworks on Coexistence, Multi-Connectivity, Resource Management and Positioning. 5G-CLARITY Deliverable D3.1. https://www.5gclarity.com/wp-content/uploads/2020/09/5G-CLARITY\_D3.1.pdf.

#### **Journal Papers:**

[1] **Purwita, A. A.**, Soltani, M. D., Safari, M., & Haas, H. (2019). Terminal Orientation in OFDM- Based LiFi Systems. IEEE Transactions on Wireless Communications, 18(8), 4003-4016.

#### **Conference Papers:**

[1] **Purwita, A. A.**, Chen, C., Basnayaka, D. A., & Haas, H. (2017). Aggregate Signal Interference of Downlink LiFi Networks. In 2017 IEEE Global Communications Conference (GLOBECOM), (p. 1-6). Singapore, Singapore.

#### **Standardization:**

[1] Purwita, A., Rossius, A., Haas, H., Serafimovski, N., Afgani, M., Berner, S., ... Uysal, M. (2020). Proposals and related work on Center Frequency for the Common Mode Mandatory PHY. https://mentor.ieee.org/802.11/dcn/20/11-20-1449-03-00bb-proposals-and-related-work-on-center-frequency-for-the-common-mode-mandatory-phy.pptx.

#### **Under Preparation:**

[1] Purwita, A. A., Cogalan, T., & Haas, H. (2020). Coherence Time of Indoor LiFi Channels. to be submitted.

#### 1.4 Summary



### Chapter 2

# LiFi Channel and System Models

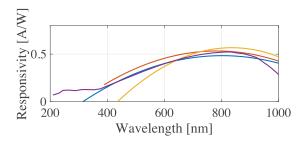
#### 2.1 Introduction

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Ut purus elit, vestibulum ut, placerat ac, adipiscing vitae, felis. Curabitur dictum gravida mauris. Nam arcu libero, nonummy eget, consectetuer id, vulputate a, magna. Donec vehicula augue eu neque. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Mauris ut leo. Cras viverra metus rhoncus sem. Nulla et lectus vestibulum urna fringilla ultrices. Phasellus eu tellus sit amet tortor gravida placerat. Integer sapien est, iaculis in, pretium quis, viverra ac, nunc. Praesent eget sem vel leo ultrices bibendum. Aenean faucibus. Morbi dolor nulla, malesuada eu, pulvinar at, mollis ac, nulla. Curabitur auctor semper nulla. Donec varius orci eget risus. Duis nibh mi, congue eu, accumsan eleifend, sagittis quis, diam. Duis eget orci sit amet orci dignissim rutrum.

At the time of writing, Institute of Electrical and Electronics Engineers (IEEE) 802.11 Light Communications Amendment - Task Group "bb" (TGbb) has agreed on the operating wavelength spectrum for IEEE 802.11bb. Based on [Serafimovski *et al.*, 2019], the related motion states the following.

"Move to adopt the 800nm - 1,000nm wavelength spectrum as the mandatory, common mode wavelength for all TGbb STAs."

The first reason is that the responsivity of silicon-based PDs is higher at those ranges compared to that in the VL spectrum as shown in Figure 2.1, which shows four samples of responsivities of different Silicon-based PDs taken from [Edmund Optics<sup>®</sup>, 2020].



**Figure 2.1.** Responsivities of four different Silicon-based PDs taken from [Edmund Optics<sup>®</sup>, 2020]. (Please refer to [Edmund Optics<sup>®</sup>, 2020] for more detailed information on the corresponding curves. Note that details are removed for clarity purposes.)

#### 2.2 LiFi Channel Model

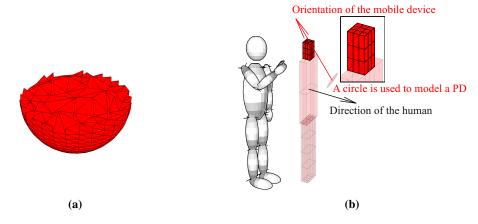
Based on [Kahn, Krause, & Carruthers, 1995; Kahn & Barry, 1997], the received optical power can be expressed as:

$$y(t) = h(t) \circledast x(t), \tag{2.1}$$

where \* denotes the convolution operation.

#### 2.2.1 Channel Model

For the sake of the illustrations, different opacities of faces in Figure 2.2(b) show different reflectivities, i.e., the head portions of the models have different reflectivities compared to the body portions of the model.



**Figure 2.2.** (a) An example of a 3D object as a collection of 2D faces, and (b) a human model as a collection of square faces.

Materials:	paint	cotton	skin	plaster	pinewood
Reflectivities (@ 940 nm):	0.04	0.65	0.70	0.85	0.92
Reflectivities (@ 850 nm):	0.04	0.64	0.66	0.83	0.92

Table 2.1. Reflectivities of materials over visible light (VL) and infrared (IR) spectrum.

#### 2.2.2 A Simple Office Environment

Summaries of the reflectivities of the materials can be found in Table 2.1.

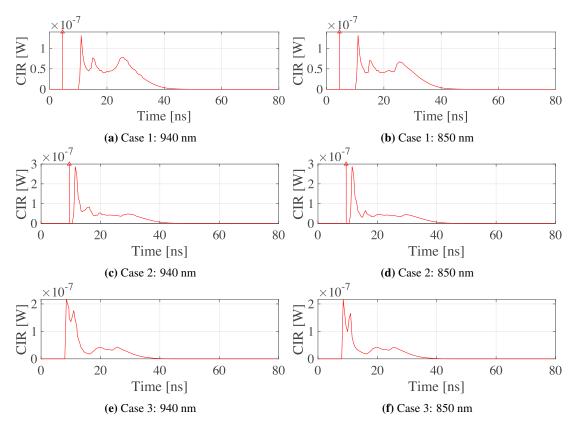


Figure 2.3. CIRs.

# Appendix A

# owcsimpy: A Library for Generating Wireless Optical CIRs

#### A.1 Introduction

```
1 cube = Cube (
      Vector(np.array([1,np.deg2rad(90),np.deg2rad(90)])),
2
3
      ctrPoint = np.array([0.5, 0.5, 0.5]),
4
      dimensions = [2,1,1],
5
      RodriguesAngle = np.deg2rad(30),
6
      reflectivities={'p0':0.5,'p1':0.5,'p2':0.5,
7
                       'p3':0.5,'p4':0.5,'p5':0.5}
8)
9 planes = cube.getPartition(2)
10 fig,ax = draw(planes=planes,alphas=0.2,
      xlim=[-2,2], ylim=[-2,2], zlim=[-2,2],
11
12
      azim=-34, elev=9
13 )
14
```

Listing A.1. A Python snippet to generate a cuboid

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