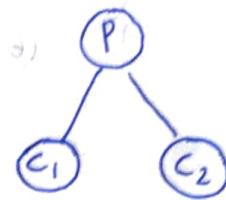


11.2022

Binary Heap

- A sp1 type of tree data structure.
- 2 types - min heap ($P < C_1, C_2$)
 - max heap ($P > C_1, C_2$)



Eg :
is a min heap or
is a max heap

or
⇒ max heap

Operations:

insert() nlogn
delete()
nlogn extract-min()
build()

| in min heap, we add from L to R

Eg: 4/5 3 2 7 9 6 8 1 4 [for insertion]

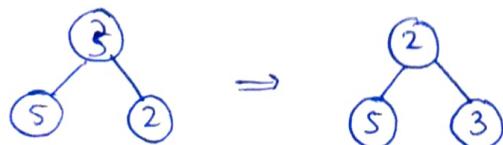
- Initially heap is empty. Add the 1st element
⑤

- Add 3.

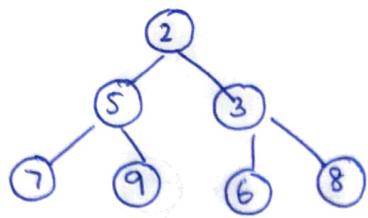


(Swapped to satisfy min property)

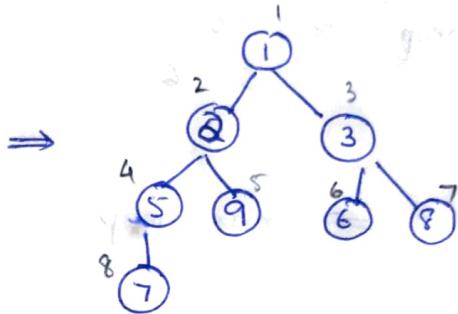
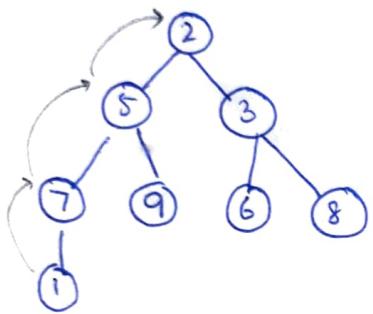
- Add 2 :



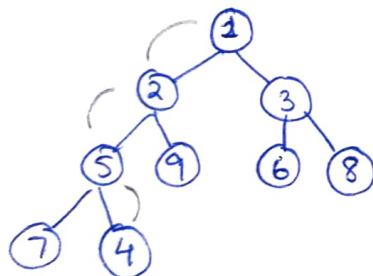
- Add 7, 9, 6, 8



- Add 1



- Add 4:



⇒

1	2	3	5	9	6	8	7
1	2	3	4	5	6	7	8

Complexity = $O(\log n)$

We do swapping along the height of tree.

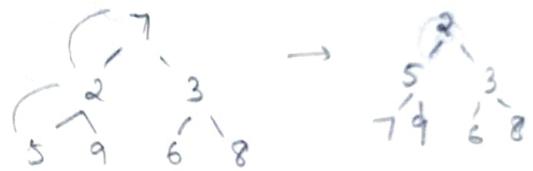
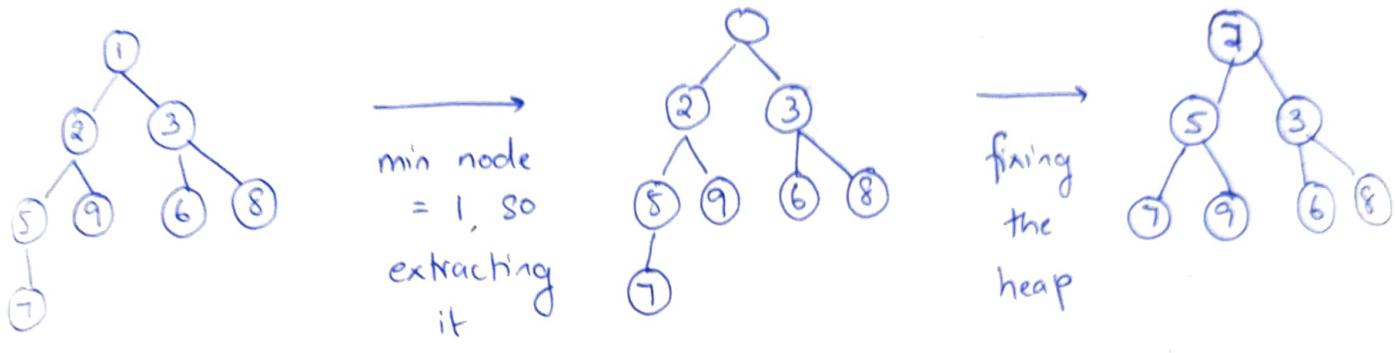
height = $\log n$

n elements

$\therefore n \log n$

extract_min()

The min node is the root. Then perform swapping (fixing property) to fix the heap.



Complexity = $O(\log n)$

* parent = i

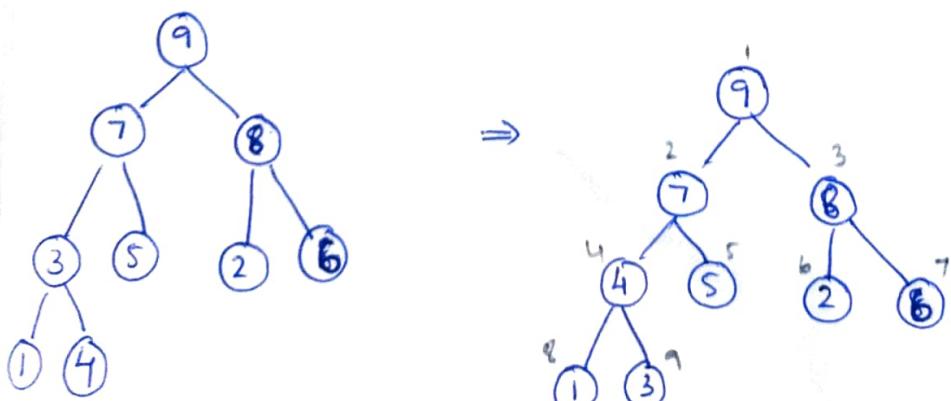
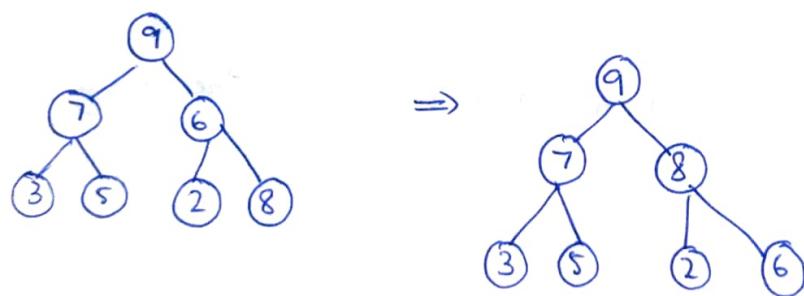
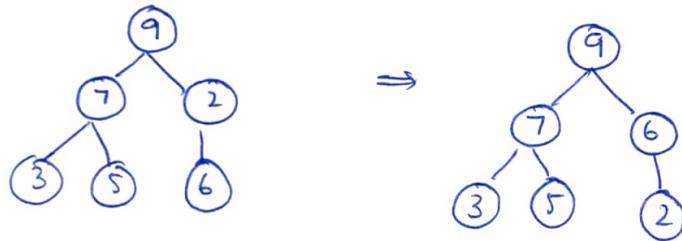
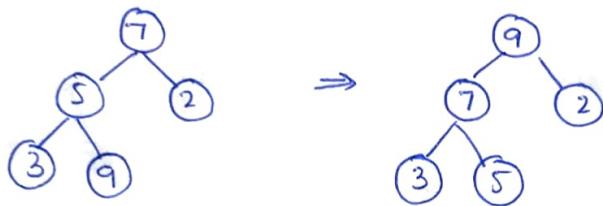
left child = ~~2i~~ $2i$

right child = $2i+1$

given a child i , its parent is at position $\lfloor \frac{i}{2} \rfloor$ of the array.

Max heap

5 3 2 7 9 6 8 1 4



Array:

1	2	3	4	5	6	7	8	9
9	7	8	4	5	2	6	1	3

Heap sort

for $i = n$ to 1

$x = \text{extract_max}()$

$H[i] = x$

Complexity = $O(n \log n)$

↳ optimal

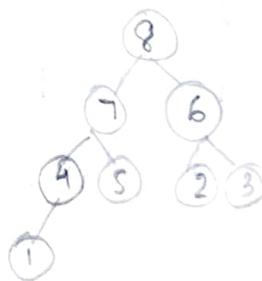
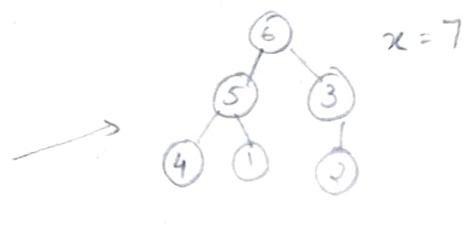
$H = [9 | 7 | 8 | 4 | 5 | 2 | 6 | 1 | 3]$

when $i=9$, 8 7 6 4 5 2 3 1 | 9

$i=8$, 7 5 6 4 1 2 3 | 8 9

$i=7$, 6 5 3 4 1 2 | 7 8 9

:



Dijkstra (G, s): $\xrightarrow{\text{small}}$ solves the problem of single source shortest path

for $i = 1 \text{ to } n$

$$d[i] = \infty$$

s^{small}

$$d[s] = 0$$

$$Q = G.V \text{ (based on } d) \quad \text{const min heap}$$

S^{big}

$$S = \{ \}$$

While $Q \neq \{ \}$:

$u = \text{Extract_min}(Q)$

for each $(u, v) \in E$

Relax $((u, v))$

$$\text{big} \quad S = S \cup u$$

Constraint: graph G

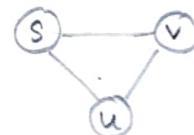
shouldn't have -ve weights, i.e.
 $w > 0$

Relax $((u, v))$

if $d[v] > d[u] + c(u, v)$

$$d[v] = d[u] + c(u, v)$$

$$v.\pi = u$$



if $d[v] > d[u] + c$ from S then we update it and state that v 's parent is now u .

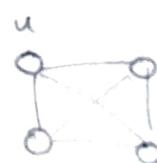
* in the worst case,

v will relax x times, where x is the # possible paths to v .

* how many paths in a complete graph?

$$A^1, A^2, A^3, \dots, A^K$$

$$1 + 2 + 3 + \dots$$



$$\dots 2(n-2)(n-1)$$

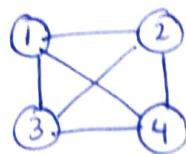
lab

heap

heapsort

Dijkstra

BS
Binary Heaps



$$(n-1) \leftarrow n(E) \rightarrow nc_2$$

close to or is
 $(n-1)$
Sparse graph

close to or is nc_2
dense graph

- * When we reduce the number of edges, the distance/length of the shortest path increases.

For a dense graph G , when we \downarrow #edges, we want to construct a new ~~graph~~ such that:

$$d^*(u,v) \leq k \cdot d(u,v) \quad \text{Multiplicative graph spanner}$$

$$d^*(u,v) \leq d(u,v) + c \quad \text{Additive graph spanner}$$

e.g.: $10 \leq 2 \times 5$

21.11.2022

Algo Design Methodology

- Brute Force: try all possibilities
- Divide and Conquer: divide the problem into subproblems, find sol to the subproblems and combine the solutions.

Eg: quicksort, mergesort

matrix multiplication [originally it takes $O(n^3)$]

↳ under divide and conquer:

- Strassen's algo: $O(n^{2.81})$

- Winogards: $O(n^{2.14})$

- theoretically proved, but no algo exists: $O(n^2)$

- Greedy method

Greedy Method:

- suitable for optimization problems (but not all of them)
- to be used, the following conditions must be satisfied:
 - optimal substructure
 - greedy choice.

→ Greedy choice

in dijkstra, relaxation is a
greedy choice

We make a choice based on local maxima or local minima
in the hope that we reach global maxima/minima.

→ Optimal substructure:

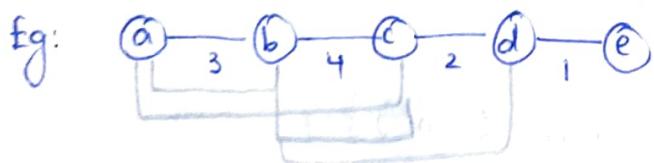
The optimal solution of a problem contains the optimal
solution of its subproblems also.

Eg: Amount = 24 Rs

coins available : 1 2 5

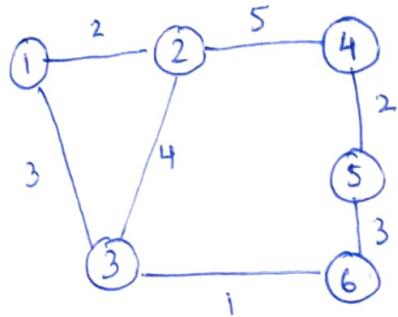
choosing 4 5Rs coins, we are left with 4 Rs

↓
We need to do the
same thing for this
amount
→ it's a subprob.



Finding the optimal path b/w \textcircled{a} and \textcircled{e} is basically finding
the optimal path b/w $\textcircled{a}-\textcircled{b}$, $\textcircled{b}-\textcircled{c}$, $\textcircled{a}-\textcircled{c}$, etc...

Minimum Spanning Tree (MST)



Aim: to ~~not~~ minimize the edge cost while finding a tree that connects all the nodes.

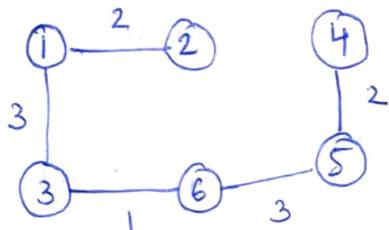
→ Kruskal's Algo [Select $n-1$ edges to ensure connectivity with m weight]

1. Sort edges in the asc. order of their weights
2. Select the edge with least possible weight and add to MST if it doesn't create cycle.

Step 1:

e	w
(3,6)	1 ✓
(1,2)	2 ✓
(4,5)	2 ✓
(1,3)	3 ✓
(5,6)	3 ✓
(2,3)	4 }
(2,4)	5 }

Step 2:



$$\text{Total weight} = 2 + 3 + 1 + 3 + 2 \\ = \underline{\underline{11}}$$

nodes 2, 3, 4
belong to
the same component
so we cannot consider
these edges. Otherwise,
a cycle will be formed.

★ Greedy choice: choose edge with min weight

Optimal Substructure:

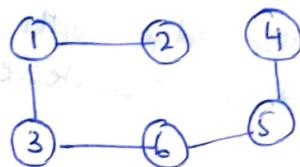


is a
subproblem.

MakeSet() : selects a node that represents a subgraph.

<u>Nodes</u>	<u>rep(r)</u>	<u>Adding (3,6) & (1,2) r</u>	<u>Adding 4,5 (r)</u>	<u>Adding 1,3 (r)</u>	<u>Adding 5,6 (r)</u>
1	1	1	1	1	1
2	2	1	1	1	1
3	3	3	3	1	1
4	4	4	4	1	1
5	5	5	4	4	1
6	6	3	3	4	1

Note: Connect 2 nodes only if their rep nodes (r) are different.



* Are MST and tree in single source shortest path the same?
No, as their objectives are different.

MST wants to minimize total cost while SSSP wants to find the shortest path from source to all other nodes.

12.12.2022

Dynamic Programming

2 properties:

- Optimal substructure
- Overlapping subproblems

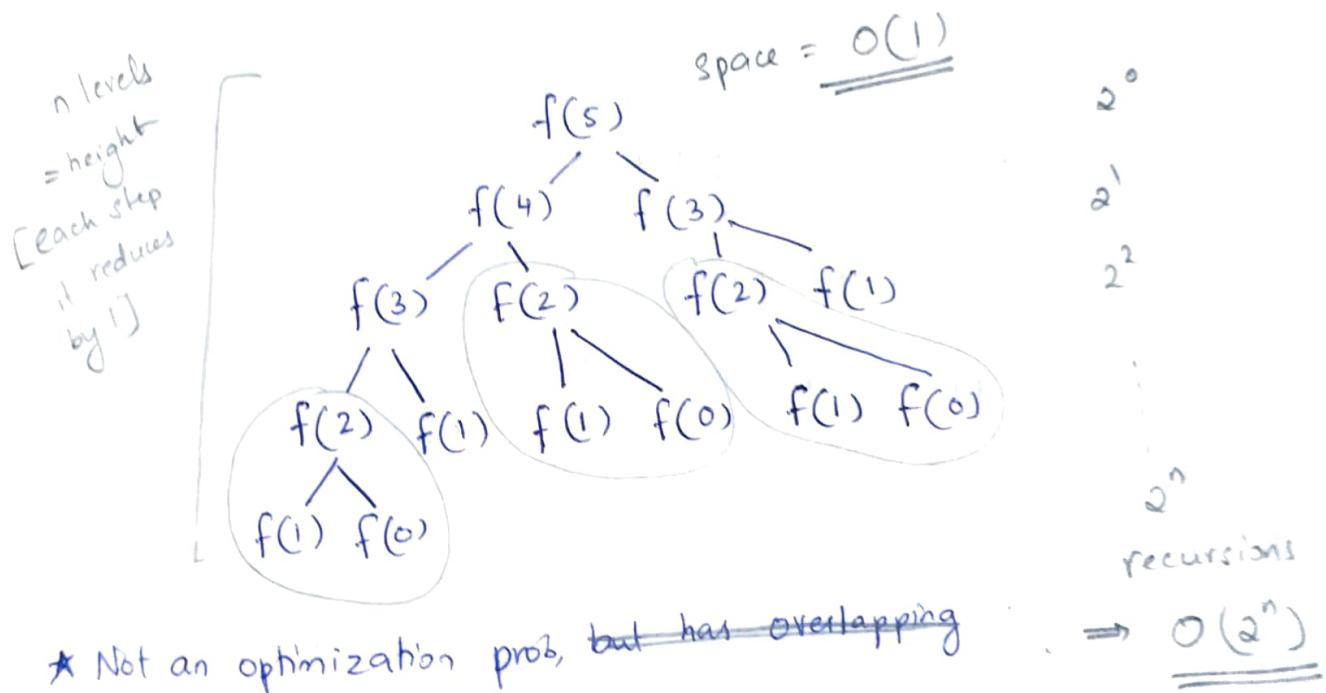
If a problem has these 2 properties, an efficient DP solution can be constructed.

* Optimal substructure: optimal sol contains optimal sol of subproblems also.
Eg:

* Overlapping subproblems:

Eg: $f(n) = 0 \ 1 \ 1 \ 2 \ 3 \ 5 \ 8 \dots$

$$f(n) = \begin{cases} 0, & n=0 \\ 1, & n=1 \\ f(n-1) + f(n-2), & \text{otherwise} \end{cases}$$



* Not an optimization prob, but has overlapping

The above problem has repetition of subproblems that are solved again and again.

→ Overlapping subproblems

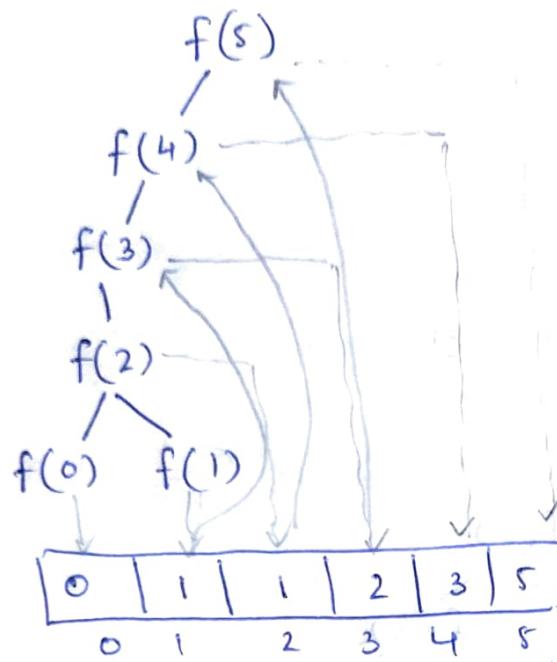
* This is not efficient, so we store the result to be retrieved later.

→ Time complexity ↓ space complexity ↑ (Trade-off to make it more efficient)

0	1	1	2	3	5
0	1	2	3	4	5

→ Store the values of each subproblem.

Then:



Only 5 recursions
 $\Rightarrow \underline{\underline{O(n)}}$

method

This is called
Memoization.

This is a memoization table.

- * We went from an exponential TC to linear TC at the cost of space.
 \Rightarrow Space = $O(n)$
- * Sorting isn't DP because sorting one part of the array isn't the same as sorting another part.

~~DP fib(n):~~

```
fib(n)
{
    arr[n], i=0
    while (i <= n)
    {
        if (i == 0)
            arr[i] = 0
        else if (i == 1)
            arr[i] = 1
        else
            arr[i] = arr[i-1]
                +
            arr[i-2]
```

3 3 i+1

DP-fib(n):

mem.add(0,0), mem.add(1,1)

if mem.lookup(n) ≠ NULL

return mem.lookup(n)

else

x = mem.lookup(n-1)

if x == NULL then return DP-fib(n-1)

y = mem.lookup(n-2)

if y == NULL then return DP-fib(n-2)

mem.add(n, x+y)

return x+y

13.12.2022

Knapsack Problem

2 variants: fractional knapsack → greedy algo possible (self study)
0/1 knapsack → DP algo

Eg 0/1 Knapsack:

Items	1	2	3	4
Weight	2	3	4	5
Value	3	4	5	6



$$C = 5$$

0/1 Knapsack

Write in asc order

Let M be the table:

items	weight	value	knapsack capacity (C)					
			0	1	2	3	4	5
0	0	0	0	0	0	0	0	0
1	2	3	0	0	3	3	3	3
2	3	4	0	0	3	4	7	
3	4	5	0	0	3	4	7	
4	5	6	0	0	3	4	7	

$M[i, j]$ denotes $\max \underline{\text{values}}$ possible when capacity is j and we consider i items.

- * if there are 2 approaches that completely fill the sack, choose the one with greater value.
- * Consider i items and choose the items that completely or almost completely fill the knapsack. Fill the (i, j) cell with the sum of the values of those items.

Eg: $(2, 5) \rightarrow$ we can choose items 1 and 2, so

$$M[2, 5] = 3 + 4 = 7$$

★ $M[i, j] = \max (M[i-1, j], M[i-1, j - w(i)] + v(i))$

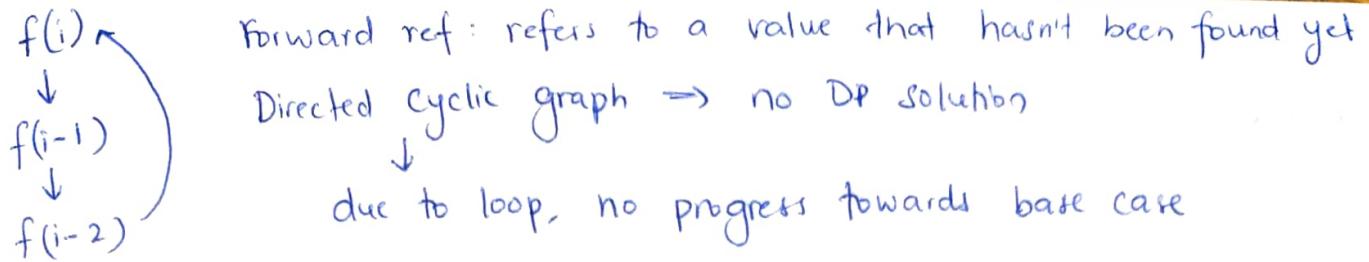
\downarrow
Subproblem

Where $w(i)$ = weight of i th item

$v(i)$ = Value of i th item.

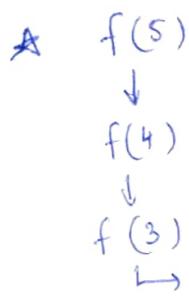
- * This is bottom-up approach (just DP) \rightarrow we solve subprobs that might not be needed in the future.

Q. $f(i) = \begin{cases} f(i-1) + f(i-2), & \text{if } i \text{ is odd} \\ f(i-1) + f(i-2) + f(i), & \text{if } i \text{ is even.} \end{cases}$



* DP solution exists only if the graph is DAG

~~* We need all values required for the current subprob to have been already be computed already.~~



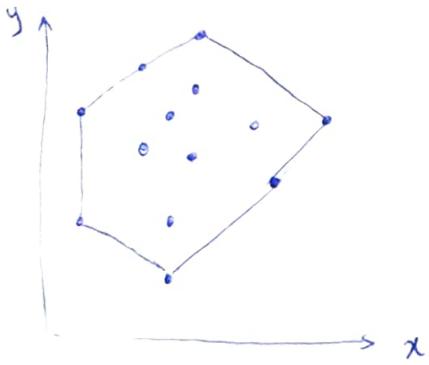
DP = memoization + recursion

at each recursive step,
we progress towards
the base case

↓
progressive
recursion.

15.12.2022

Convex Hull



points are rep by $p(x, y)$

How do we construct this convex hull, given a set of points?

2 methods:

1) Grahams Scan

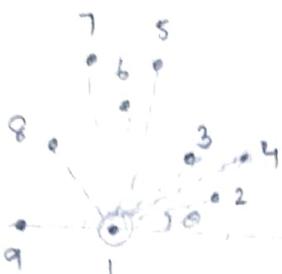
2) Jarvis method

Given: a set of points:



Steps in Graham's Scan:

1. Find the point with the smallest y component.



- Find the angle b/w this point and the other points.

$$\max \theta = 180^\circ$$

2. Sort the points in \uparrow order of angles θ

1
2
4
3
5
6
7
8
9

Add 1st point to stack. Keep adding the other points to the stack, and ensure we are traversing in the counter-clockwise direction. If we encounter a point in the clockwise direction, remove the prev point and add the next.

9
8
7
6
5
4
3
2
1

Add 1, 2, 4, 3

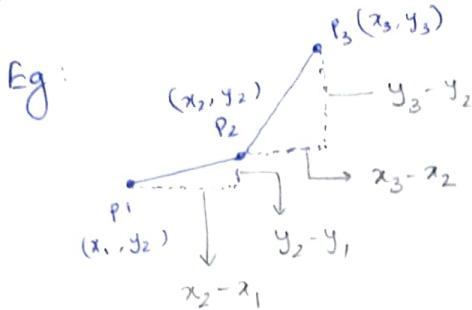
4 to 3 = clockwise \rightarrow remove 3, add 5

Add 6, *

5 to 6 = clockwise \rightarrow removed 6, add 7

Add 8, 9

* To determine direction, we need to find the slope



$$\text{slope of } P_1 = \frac{y_2 - y_1}{x_2 - x_1} \quad \text{slope of } P_2 = \frac{y_3 - y_2}{x_3 - x_2}$$

if $SP_1 > SP_2$,



clockwise

if $SP_1 = SP_2$,



if $SP_1 < SP_2$,



anti-clockwise

lab
graham
jarvis

Jarvis Method

For each point, find the θ it makes with the other points. Choose the point with the min θ .

$$\text{Complexity} = O(nh)$$

n = total # points

h = # points in the hull.

For each point in the hull that we have discovered, we are finding the angle it makes with the other $(n-1)$ points. [We choose the point with min $\theta \rightarrow$ belongs to the hull, so we do this process with h points]

Q. Show that 2 points that ~~are~~ have max distance b/w them is in the hull, given the convex hull.

We have to show that the line passing through them is a dia. line. Prove using Δ

if one of the points lie on the boundary, collinear \rightarrow proved
if not, it will lie on the height ($h^2 = b^2 + \underline{a^2}$) \rightarrow proved

19.12.2022

(if specified)

Z Algorithm \rightarrow used to check if a particular pattern is present, else

	1	2	3	4	5	6	7	8	9	10
a	a	b	b	α	a	b	b	a	b	y
$z[i]$	0	0	0	0	③	0	0	2	0	0

if not specified, used to find the longest substr that matches the prefix

\uparrow
tells us there is a match of len 3 at this index 5

element at i

$z[i] =$ tells how many elements including and after it match the prefix

	1	2	3	4	5	6	7	8	9	10	11	12	13	14
a	a	a	b	α	a	a	b	y	a	a	b	a	a	b
z	0	1	0	0	3	1	0	0	3	1	0	3	1	0

Q. find out whether a string P is present in another string T.

Logic: Create a new str $S = P \& T$ and use the z algorithm

* if str is specified, $Tc = \frac{|P|}{m} \cdot |T| = O(mn)$

not specified, $Tc = O(n^2)$

Q.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
a	a	b	y	a	a	b	y	d	a	a	b	y	a	a	b	y	a	z	
z[i]	0	1	0	0	4	1	0	0	0	8	1	0	0	5	1	0	0	1	0

- We find the repetition of z values.

can we exploit this to make the algo more efficient?

using z-box:

substring ~~substituting~~ at index i, where $z[i] > 0$

$$|z\text{-box}| = i + z[i] - 1$$

$13+4 > 16$ so we stop at ind 12

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	
a	a	b	y	a	a	b	y	d	a	a	b	y	a	a	b	y	a	z	
zbox	0	1	0	0	4	1	0	0	0	8	1	0	0	5	1	0	0	1	0

\downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow

$$\begin{aligned} 12+1-1 &= 11 \\ 4+4-1 &= 7 \\ 12+8-1 &= 16 \\ &= 17 \end{aligned}$$

but $17+1 > 17$

so we
stop at
ind 16

from ~~compute~~ 4 to 7
there is repetition of
z values so we don't
have to compute z again.
We can just copy

* This is a DP

* Used a lot in compression algorithms.

21.12.2022

Rabin-Carp Algo

(n) Text: c a b c b a b b a c b c a

Pattern: a b c
(P)

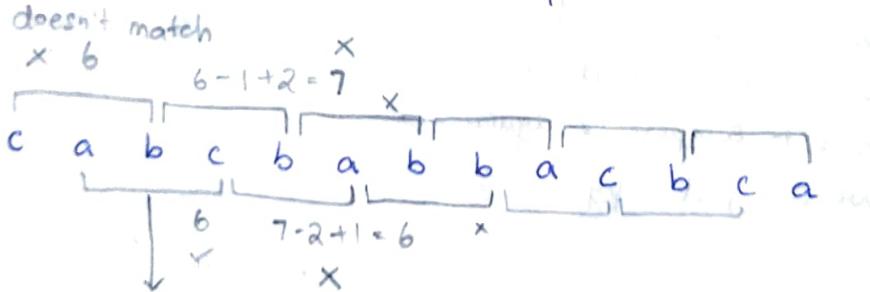
goal: to see how many times the pattern occurs in the string/text.

Step 1: find the hashcode for the pattern

apply some numerical code like ASCII, then sum.

$$\begin{array}{l}
 a=1 \\
 b=2 \\
 \vdots \\
 z=26
 \end{array}
 \quad
 \begin{array}{c}
 1 \quad 2 \quad 3 \\
 a \quad b \quad c \rightarrow \underline{\underline{6}}
 \end{array}$$

Step 2: Check for the hashcode in the text. If it occurs, check if the substr and the pattern match.



Old hash value - code (1st char) + code (new char)
↓
excluded
char

* 5 false matches, to avoid this we can use:

$$\begin{aligned}
 * \text{hash fn} & \text{ code pattern } |P| - 1 \\
 * h(P) &= c(P[0]) * 10^{|P| - 1} + c(P[1]) * 10^{|P| - 2} + c(P[2]) * 10^{|P| - 3} \\
 &= 1 \times 10^2 + 2 \times 10^1 + 3 \times 10^0 = \underline{\underline{123}} \text{ (for a b c)}
 \end{aligned}$$

$$\text{for } c \neq b: h(p) = 3 \times 10^2 + 1 \times 10 + 2 \times 10^0 = \underline{\underline{312}}$$

* Worst case:

We get a false match, but the mismatch only happens at the last character

$$\text{Eg: } \begin{array}{l} a \ a \ a \ a \ a = \text{substr} \\ a \ a \ a \ a \ b = \text{pattern} \end{array}$$

* Time complexity = $O(n p)$

if we didn't use the sliding window, then $O(n^2)$

* Any number can be used in $h(p)$ other than 10, but ~~the~~ the number should be greater than the ^{max} numerical code, otherwise a lot of false matches will occur.

$$\text{Eg: } \begin{array}{l} a = 1 \\ b = 2 \\ c = 3 \\ d = 4 \\ \vdots \\ h = 8 \end{array} \quad \text{Let } h(p) = p[0] \times 4^{|p|-1} + p[1] \times 4^{|p|-2} + \dots + \dots$$

Consider $\underbrace{a \ b \ c}_{\downarrow}$ and $\underbrace{a \ a \ g}_{\downarrow}$

$$1 \times 4^2 + 2 \times 4 + 3 \times 4^0 = 27$$

$$1 \times 10^2 + 2 \times 10 + 3 \times 10^0 = 123$$

$$1 \times 4^2 + 1 \times 4 + 7 \times 1 = 27 \rightarrow \text{base} = 4$$

$$1 \times 10^2 + 1 \times 10 + 7 = 117 \rightarrow \text{base} = 10$$

Knuth Morris Pratt Algo (KMP)

Avoids the reinitialization of i and j in brute force approach to check if pattern occurs in text.

Checking condition: $T[i] == P[j]$, then $i++$ and $j++$.
Otherwise $j = P[\text{prefix value at } j+1]$
Initially, $i=1$ and $j=0$

Step 1: ~~check~~ perform prefix matching of the pattern with itself

Eg $P: \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ a & b & c & a & b & c & a \\ 0 & 0 & 0 & 1 & 2 & 3 & 4 \end{matrix}$

Step 2: use the checking condition

Q. $T: \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ a & b & c & a & a & b & c & a & b & b \end{matrix}$
 $P: \begin{matrix} 1 & 2 & 3 & 4 & 5 \\ a & b & c & a & b \\ 0 & 0 & 0 & 1 & 2 \end{matrix}$

initially: $j=0$, $i=1$

matches occur until $j=4$ and $i=5$

then: $j = \text{prefix}[j] = 1$

Now: $j=1$, $i=5$

mismatch occurs, so $j = \text{prefix}[j] = 0$

Now: $j=0$, $i=5$

4.1.2023

Computability

Polynomial time complexity: $O(n^k)$, $k < n$

Such problems $\in \underline{P}$ class

↳ problems for which there exists a polynomial time algo that gives exact solution.

* There are some problems that can't be solved in P time.

Brute Force

1 2 3 4 5

1 2 3 5 4

1 2 4 3 5

1 2 4 5 3

1 2 5 3 4

1 3 2 4 5

1 3 2 5 4

1 3 4 2 5

1 3 4 5 2

1 3 5 2 4

1 4 2 3 5

1 4 2 5 3

1 4 3 2 5

1 4 3 5 2

1 4 5 2 3

1 5 2 3 4

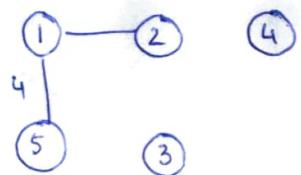
1 5 2 4 3

1 5 3 2 4

1 5 3 4 2

1 5 4 2 3

1 5 4 3 2



$$O(n!)$$

$$\sim O(n^n)$$

time complexity is not $n!$ ways

P, but exponential

exponential growth with

number of nodes

exponentially increasing

difficulty of finding

NP class

Non-deterministic polynomial class

finding the solution isn't deterministic

or is unclear

↳ if you are given a solution instance, then you can design a

polynomial time algo to verify it.

* automata: ans to q: what is a computable/non problem?

It's a theoretical machine.

- finite automata
- push down
- Turing machine

} types

Consider:

$$\Sigma = \{a, b\}$$

$$\begin{aligned}\Sigma \cdot \Sigma &= \Sigma^2 = (a+b)(a+b) = \{aa, ab, ba, bb\} \\ &= \text{Set of all strings of length 2}\end{aligned}$$

Similarly, $\Sigma^0, \Sigma^1, \dots$

Σ^* = Set of all possible strings

$$\Sigma^+ = \Sigma^* - \Sigma^0$$

$$\Sigma^0 = \{\} = \text{null set}$$

$$\Sigma^1 = \{aaa, \dots\}$$

Now let $\Sigma = \{a, b, c\}$

$$\begin{aligned}\text{Then: } L_1 &= \{x \in \Sigma^* \mid x \text{ ends with } (a)\} \\ &= \{aa, ba, ca, aaa, aba, \dots\}\end{aligned}$$

Now assume that c represents int/char/float,
b represents identifier,
and a represents ;

Then L_1 represents a set of statements that ends with ;

$$L_2 = \{y \in \Sigma^* \mid y \text{ starts with } c\}$$

$L_1 \cap L_2$ = Set of all strings that start with int/float/char
and ends with ;

We are slowly moving towards variable declaration

$$L_3 =$$

$$L_1 \cap L_2 \cap L_3 = \{\text{Valid Variable declaration in C language}\}$$

This becomes a membership checking problem.

↳ such algos are called parsing algos

★ All computable problems can be reduced to membership ~~prob~~ checking problems

★ A language contains inf strings

↳ abstract machines to accept strings in a given language L

This machine will have:

states: $\{q_0, q_1, \dots, q_f\}$

$\Sigma = \{a, b, c\} \rightarrow$ possible symbols in alphabet

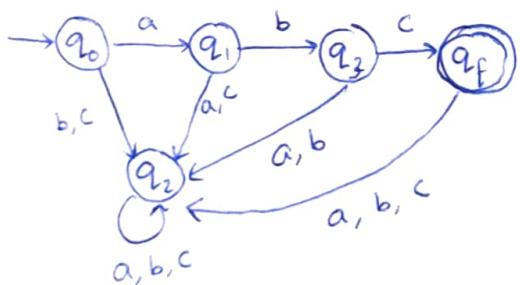
transitions: $S(q_i, a) \rightarrow q_j$

q_0 = start state

q_f = final state

} components

Eg: Strings that end with c



will accept abc but not acb.

This machine is called deterministic finite automata (DFA)



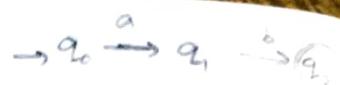
finite # states

from every state, all possible transitions are defined (no confusions)

★ Language L = problem

$Str \in L$ = instance of problem

★ Can DFA ~~solve~~ all problems?



No.

Eg: $L \subseteq \{\Sigma^+ | a^n b^n\} = \{ab, aabb, \dots\}$



→ We cannot create an automata for this as it's not possible to remember the number of a's and b's.

→ If we did create, there would be infinite states which isn't possible for a DFA.

* If $a = ($ and $b =) \Rightarrow$ DFA cannot solve a simple parenthesis matching problem.

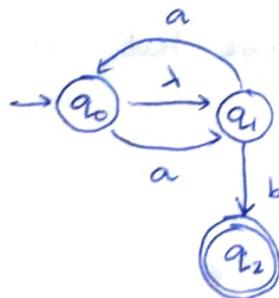
★ When does non-determinism come in?

- Unspecified transitions
- λ transitions ($\lambda = \text{null stat}$)

Regular language



q_0 can transition to q_1 without reading anything.



$$\{\lambda b, ab, \text{aaab}, aa\lambda b, \dots\}$$

all strings with any number of a's that ends with one b.

This is called NFA

possible to convert NFA to DFA

* To make the machine more powerful, they added a memory component.

Automata definition becomes:

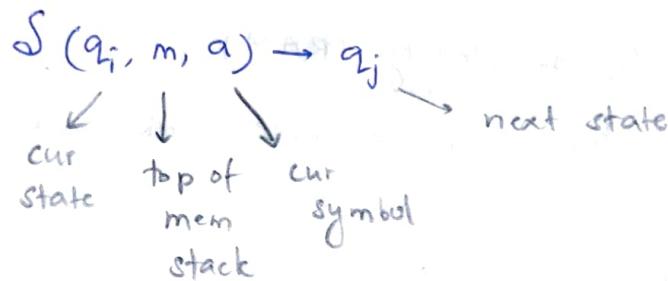
$$Q = \{q_0, \dots, q_f\} \rightarrow \text{states}$$

$$M = \{\quad\} \rightarrow \text{memory}$$

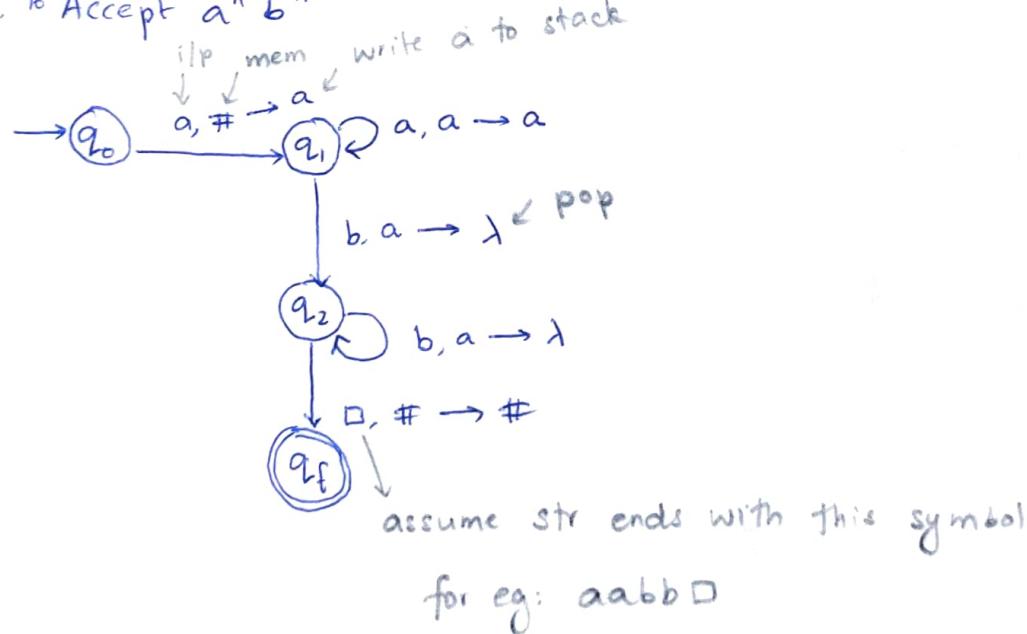
$$\Sigma = \{\quad\}$$

configuration

transitions: ~~$s(q_i, m) \rightarrow q_j$~~



Q. To Accept $a^n b^n$



* $a^n b^n c^n$ cannot be accepted by the above machine.

i.e. we cannot design a machine for $a^n b^n c^n$

Push Down Automata (PDA)

- Context free languages are accepted by PDA
↳ all programming languages are CFL

Sanskrit is
CFL

- types: deterministic
non-deterministic (if λ transitions are used)

Turing Machine (TM)

consists of Random Access Memory (RAM)

TOC by
Michael
Zipser

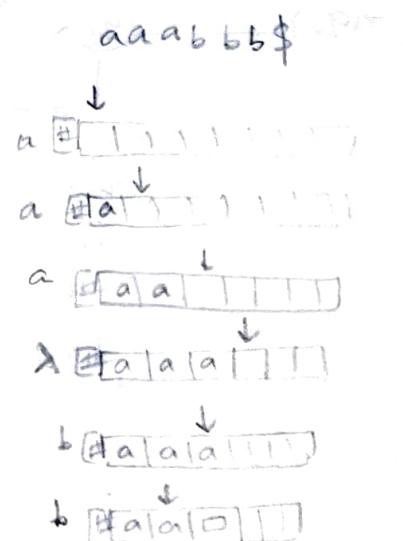
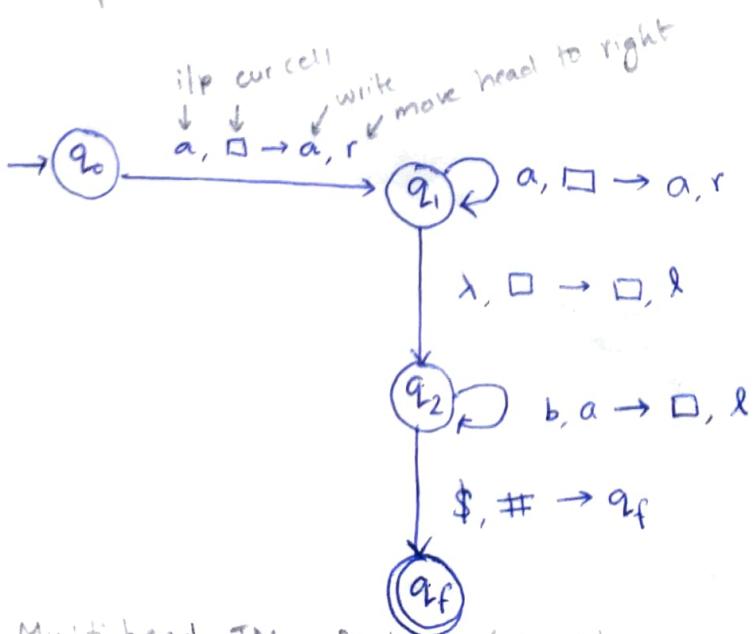
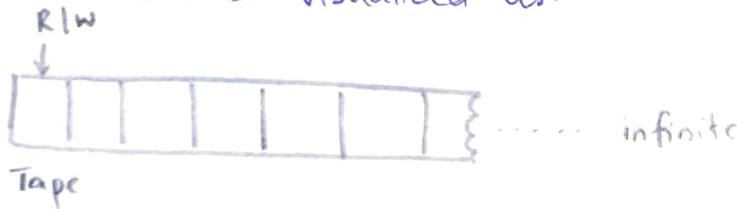
We need some special transitions to move back and forth in the memory.

consists of Q, T, M

5.1.2023

Q. Create TM for $a^n b^n$

* TM can be visualized as:



After parsing all the b's, we will reach # which denotes that we have parsed the entire string → accepted by TM

* Multi-head TM: faster. (like multithreading), but similar to TM

* TM \equiv Algorithm

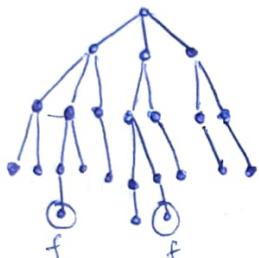
This means that if we can create a TM for a language, an algorithm exists.

\Rightarrow problem is computable, so algorithm is guaranteed to exist.

aabb



aabb



deterministic

non -
deterministic

complexity is given by the height of the tree.
(# transitions made by TM)

this is dependent on the encoding scheme used
(for eg, binary, \Rightarrow refer to 1st class)
on i/p size



In unary encoding:

magnitude = k , n bits, $n=k$

$$O(k) = O(n)$$

In binary, $n = \log k$

$$O(k) = O(2^n)$$

} As the memory increased,
complexity became linear
(this is wrong?)
of algo

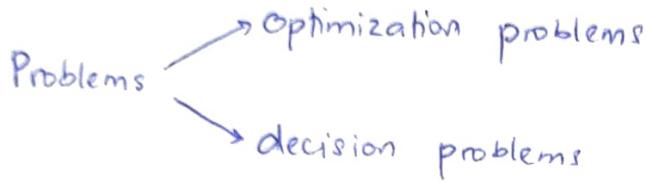
Here, mem \downarrow , TC \uparrow but this
correct info

Hence, we don't use unary

9.1.2023

P: all problems with polynomial time algorithm

NP: all problems for which a solution (certificate is given, verification of certificate can be done in PT)



Optimization: given a weighted graph, find a shortest tour that starts from ①

decision: given a weighted graph, and k, does there exist a tour of length k?

* NP consists of all decision problems for which a solution certificate is given.

Subset Sum Problem

$$A = \{5, 3, 1, 10, 20, 14, 7, 11\}$$

Does there exist a subset of A, such that the sum of the elements in the subset is k?

→ Brute Force Approach:

We have 2^n possibilities:

$$\begin{matrix} S = & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{matrix} \rightarrow \text{some description of solution}$$

1 1 1 1 1 1 1

2^n possibilities

\therefore complexity = $O(2^n)$

Given a solution, it can be verified in polynomial time.

? NP

NP complete (NPC)

If a problem π is NP Complete, if $\pi \in NP$ and all problems in NP is PT reducible to π .

$\pi \in NP$

Known NPC $\gamma \leq_p \pi \rightarrow$



Reduction

$A \leq_p B$

A is a polynomial time reducible to B

$A \leq_p B$

* If I know how to solve B and want to find how to solve A , just reduce A to B .

* $A \leq_p B \leq_p C$

If A is reducible to B , B is reducible to C , then A must be reducible to C .

1st problem: SAT problem

SAT is NP complete.

* Cook-Levitin Theorem: 1st problem that is NP complete

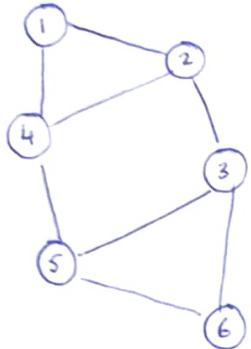
* SAT problem is called Boolean-Satisfiable Problem.

$a \vee \bar{a} \wedge \bar{b} \vee b \vee \bar{c} \vee c$ if this evaluates to true, it is satisfiable.

11.1.2023

Q. P.T. the problem of computing hamiltonian path is NPC.

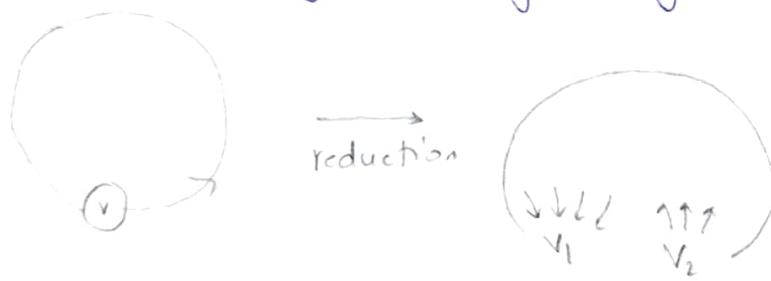
* Ham. a path that covers all vertices exactly once.



- needed to show NPC.
- $\text{P} \in \text{NP}$
 - Known NPC $\text{P} \leq \text{P}$
 - reduction
 - reverse & forward proofs

* Ham cycle: ~~is a tour that~~ a tour that covers all vertices (such a graph is a Ham graph) exactly once.

Consider a Ham. cycle passing through vertex V .



split V into V_1 and V_2

↳ We get a Ham. path

* steps.

- Take a vertex in HC
 - Split it into 2
 - cond 1, cond 2
- } PT reduction

forward proof

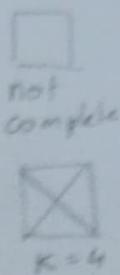
* If \exists a HC in graph G , then \exists a HP in G' . (vertices are split)

If \exists a HP in G' , \exists a HC in G (to get this, vertices are merged)

Reverse proof

Q. Clique is NPC. all vertices are connected

* Clique / k-clique: a complete subgraph



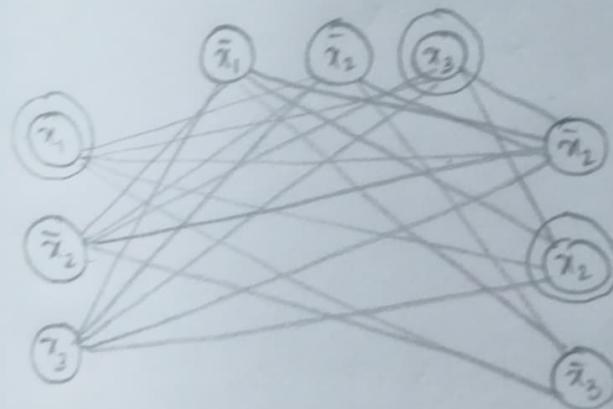
3 CNF SAT is used for reduction. \rightarrow this is NPC

$$\phi = (x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_2 \vee x_2 \vee \bar{x}_3)$$

For this to be satisfiable, $x_1, x_2, 2x_3$ should be true.

Convert this into a graph. (this construction is done in PT \Rightarrow atmost n^2 edges)

- Consider each variable in a term as a vertex
- don't connect variables in the same term
- Connect variables which aren't complements and belong to diff terms.



x_1, x_2, x_3 are true, then a 3-clique is formed
 \rightarrow Forward

Forward proof: \exists a satisfiable assignment, then \exists a $\overset{3}{K}$ -clique.

Reverse proof: \exists $\overset{3}{K}$ -clique, then \exists a satisfiable assignment ϕ

Consider a 3-clique

Assign \bar{x}_2, \bar{x}_3 and \bar{x}_1 as false, then ϕ evaluates to true.

$\therefore \phi$ is satisfiable.

* NP: proof a clique is done in PT