

### INFORMATICS INSTITUTE OF TECHNOLOGY

In Collaboration with

UNIVERSITY OF WESTMINSTER

# **QualityCheck AI: Detecting Code Quality Issues in AI**generated code using deep learning

A dissertation by
Mr Muhammad Areeb Niyas
W1809939 / 20200129

Supervised by

Mr Jihan Jeeth

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## **Abstract**

The current tech era is rapidly evolving due to generative AI. The increase in AI- generated code in the industry raises questions about the nature and quality of AI-assisted programming. Especially when the future is headed towards; the majority of code in the world being AI-generated. Currently developed code analysis tools are built using object-oriented metrics and templates that check against a pre-set of coding rules and guidelines. Majority of the code quality tools developed still have limitations and constraints in their ability and they are built on top of code that is not AI-generated.

To adapt to the era of generative AI, recent research has demonstrated effectiveness in using deep learning techniques to detect code smells and software vulnerabilities accurately. So the author explores a novel approach to design and execute a CNN trained on AI-generated code. This project would be the first in its nature to apply deep learning in identifying code quality issues particularly with AI-generated code.

The test results indicated that the proposed system successfully detected code quality issues providing accurate predictions with an accuracy of 95% and 94% for the code quality issues 'AvoidReassigningParameters' and 'ForLoopCanBeForEach' respectively. 82% and 80% accuracy were obtained for 'MultipleVariableDeclarations' and the no issues classification along with other well-performing evaluation metrics. This suggests that the proposed architecture achieves outstanding performance in detecting code quality issues in AI-generated code.

#### **Subject Descriptors:**

- Computing methodologies → Artificial intelligence
- Computing methodologies → Machine learning → Machine learning approaches → Neural networks
- Software and its engineering→ Software notations and tools→ Software maintenance tools
- Security and privacy→ Software and application security→ Software security engineering

**Keywords:** Code Quality, AI-generated code, AI, Deep Learning, Convolutional Neural Network

## **Declaration**

I sincerely declare that this research project and everything associated alongside has not been previously submitted and is my own work. Any external information gathered have been given due accrediation to initial authors using citations.

Student Name: Areeb Niyas

Registraiton Number: w1809939/20200129

Signature: Date: April 4<sup>th</sup> 2024

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## **List of Abbreviations**

AI Artificial Intelligence

**DL** Deep Learning

ML Machine Learning

**ANN** Artificial Neural Networks

**RNN** Recurrent Neural Networks

**CNN** Convolutional Neural Networks

**LLM** Large Language Model

**SCA** Static Code Analysis

## **CHAPTER 01: INTRODUCTION**

## 1.1 Chapter Overview

This chapter provides an overview of the project that has been undertaken by the author. First, the author will be diving into the project background in detail and discuss the problem. Then the existing work and its limitations will be discussed which leads to the research motivation along with the challenges faced, aims and objectives of this research project.

In this research project, the author tries to identify a novel deep learning methodology for the code quality issues that can be highlighted in AI-Generated code and to introduce a novel system to detect these issues prior to a developer's implementation.

## 1.2 Problem Background

The current tech era is rapidly evolving, especially with generative AI. This is mainly because of the potential and ability of AI to carry out tasks that require high skill more reliably and quickly than humans (Harding et al., 2023).

Code generation is being widely used and spread with many generative tools which can be accessed and integrated seamlessly into the daily lives of a programmer. With the widespread and ease of use due to the outstanding performance, code generating models are released into the market swiftly in this era (Barke, James and Polikarpova, 2023). Therefore, the increase in AI-generated code in the industry gives rise to questions about the nature and quality of AI-assisted programming. Especially when the future is headed towards; the majority of code in the world being AI-generated.

## 1.2.1 AI-generated Code

ChatGPT and generative AI experienced a quick rise in daily use and popularity since its release in November 2022. Consequently, it has helped a lot of people with its amazing ability with human-like responses. The GPT 3.5 has shown significant potential for redefining a number of research domains including code generation.

The productivity of developers increases with automatic code generation by producing or completing the source/executable code automatically based on programming patterns or specifications.

However, issues concerning potential hazards are prevalent with the widespread and adoption of AI-Generated code. This is due to the lack of studies which formally investigate the reliability and quality of the code generated (Y Liu et al., 2023).

### 1.2.2 Code Quality Issues

AI-generated code especially ChatGPT commonly contains quality issues such as incorrect outputs, underperforming, maintainability and compilation errors (Y Liu et al., 2023). While many existing tools attempt to solve code quality issues and highlight common mistakes, current code quality tools in the industry do not use datasets from AI-generated code and code quality tools have not been built to target AI-generated code. Some common code quality issues include: syntax errors, duplicates, unsecure code, incorrect output and overly complex code.

#### 1.2.3 Deep Learning

Machine Learning (ML) is a vital component in today's world of artificial intelligence which uses algorithms to imitate and find patterns in data, similar to how humans learn. Deep Learning (DL) is a subset of machine learning which uses multi layered neural networks which ideally tries to imitate the way a human brain functions. It has become very popular over the years due to the increase and widespread of big data and performing better than traditional ML techniques (Alzubaidi et al., 2021).

While ML algorithms are becoming popular in identifying code quality issues, recent studies that use DL methods have proved to be more promising. This includes the use CNNs, ANNs and RNNs which stand for convolutional neural network, artificial neural network and recurrent neural networks respectively. These algorithm have produced higher accuracy in detecting code Areeb Niyas | w1809939 | 20200129

smells better than their predecessors which are ML algorithms (Ho et al., 2023). However, code quality detectors targeting AI-generated code or built on top of less natural language text LLMs to avoid biases are not available. So, the author mainly considers using deep learning techniques to identify code quality issues in AI-generated code in this research as the risk of attacks and maintainability of code is a rising concern in the near future as developers enter an era of AI-generated code.

### 1.3 Problem Definition

There is a gap between an experience coder compared to generated code and current LLMs cannot entirely replace professional developers. In a prominent level, LLMs currently have a gap in identifying requirements and context of a problem clearly (Wu, 2023).

AI-generated code is frequently used in modern software development to automate repetitive operations and increase productivity. However, AI-generated code may experience a number of quality problems that result in bugs, inefficiencies, and difficulties (Liu et al., 2023). Therefore, consequently there is an increasing demand for automated tools that can evaluate the quality of code produced by AI models like ChatGPT.

A deep learning process that provides a solution for the breakdown of above-mentioned problems is required to ensure a novelty prototype. This study therefore would aim to create a tool that can be used by developers seamlessly to identify code quality issues in AI-generated code prior to deployment.

#### 1.3.1 Problem Statement

The majority of code written in the future will be AI-generated but there are code quality issues in such code and the current tools are not accurate analyzers therefore new novel systems are required to detect code quality issues catered to AI-specific code.

## 1.4 Aims and Objectives

### 1.4.1 Aims

The aim of this research is to design, develop and evaluate a novel analysis tool that will provide relevant, up-to-date, and accurate highlighting of code quality issues in AI-generated code by using machine learning and deep learning techniques.

To further elaborate, this research project will aim to provide a system that can be used by developers where they can input the AI-generated code and test for any code quality issues in the generated code. The use of data mining techniques, deep learning (DL) methods, data analysis, machine learning techniques will be researched to make the best possible analysis.

Further investigation to provide a prompt based on feedback from the analysis tool will also be explored. The required knowledge and expertise will be researched and the tool will be thoroughly tested to evaluate the accuracy.

## 1.4.2 Research Objectives

Research	Explanation	Learning	Research
Objectives		Outcome	Questions
Identification	Carry out thorough preliminaryresearch.  RO1: To conduct thorough research on previous work to get a proper understanding ofthe problem.	,	RQ1, RQ3

	<b>RO2</b> : To evaluate current methodologies used to solve theproblem.		
Literature Review	Do an in-depth study on existing solutions and how deep learning and machine learning can be used to build a more accurate system.		RQ1, RQ2,RQ3
	RO3: To learn about current existing analysis tools and solutions.		
	<b>RO4</b> : To compare and evaluate current tools with AI-generated code.		
	RO5: To discover limitations of existing analysis tools.		
Data Gathering and Analysis	RO6: To gather information about the need for analysis toolsfor AI-Generated code.	LO2,LO3	RQ1, RQ2,RQ3
	RO7: To collect requirements for user expectations for an analysis system that would detect code quality issues.		
	<b>RO8:</b> To get feedback and opinions from domain experts to build a good overall system.		
Research Design	<b>RO9:</b> To state and identify the design objectives.	LO1,LO5, LO8	RQ1, RQ3

	<b>R10:</b> To create a high-level architecture diagram to analyzethe structure.		
	<b>R11:</b> To create a data flow diagram to evaluate the flow.		
Implementation	<b>R12:</b> To select the most suited technologies and tools for implementation.	LO1, LO5, LO7, LO8	RQ1, RQ2,RQ3
	<b>R13:</b> To identify and create an accurate model that would detect multiple code quality issues in AI-generated code.		
	<b>R14:</b> To make a user interface that would have good UX and integrate the core functionality		
Testing and Evaluation	<b>R15:</b> To create appropriate testplans for the user interface andthe model.	LO5,LO8	RQ2
	<b>R16:</b> To utilize appropriate methods, evaluate the model and the user interface and document any limitations		
Publish Findings	Create well-organized documentation, reports, and articles that analyze the research findings critically.	· ·	RQ1, RQ2,RQ3
	<b>R17</b> : To publish a research paper based on findings.		

<b>R18</b> : To publish analysis and test result identified through the research.	S
<b>R19</b> : To make the code or model open source so that furtherresearch may be pursued.	

Table 1: Research Objectives

## 1.5 Novelty of the Research

### 1.5.1 Problem Novelty

Even though code generations tools are starting to become widely used, the quality of the nature of AI-assisted programming have risen rapidly(Yetiştiren et al., 2023). Multiple code quality issues and code smells were reported from code generated through ChatGPT, Copilot and other generative AI tools. Current static analysis tools are built on top templates where the source code is checked against a pre-set of defined coding rules and guidelines and not built on top of AI-generated code (Pecorelli et al., 2019).

Existing tools and implementations face limitations and constraints. In some cases, it was found that even the defined templates has issues when it was tested against online programming tasks (Birillo et al., 2023). Recent studies have proven that deep learning methods produce a higher accuracy in detecting code smells compared to traditional ML approaches and there is space for improvement (Ho et al., 2023).

However, detecting code smells has a comparatively less validity threat compared to detecting code quality issues (Mamun et al., 2019). Therefore, it is essential to detect code quality issues prior to deployment and the novelty of using DL to accurately detect code quality issues remains. Moreover, no studies as of yet focusses on the code quality issues generated by AI (Liu et al., 2023) taking it a step further in ensuring novelty.

### 1.5.2 Solution Novelty

There has been almost no work to identify code quality issues using DL methods (Sengamedu and Zhao, 2022). Deep learning has been explored in other areas such as software vulnerabilities and code smells (Das, Yadav and Dhal, 2019), however it is yet to be explored in the purpose of detecting code quality issues in AI-generated code.

## 1.6 Research Gap

Current code analysis tools analyze code against a pre-set of coding rules and validates according to given guidelines. This helps identify subtle defects or vulnerabilities. Low quality code can cause many problems in software development due to maintainability problems, security issues and inefficient code. Therefore, developers need to pay attention and take precautions about code quality prior to deployment (Vable, Diehl and Glymour, 2021). Recently, LLMs which contain much more natural language text than executable code (industry standard code) is used in code generation systems. This leads to biases which can reduce the quality of generated code (Mouselinos, Malinowski and Michalewski, 2023).

There has been almost no work to identify code quality issues using deep learning methods (Sengamedu and Zhao, 2022) which emphasizes the gap and the need to bridge it. While state-of-the-art code generation tools such as ChatGPT can generate effective code, the AI-generated code commonly contains quality issues such as incorrect outputs, underperforming, maintainability and compilation errors (Y Liu et al., 2023). So, code quality issues in AI-generated code is a critical issue in the field of code generation and no studies have been conducted to detect code quality issues specific to AI-generated code. Thus, a deep learning perspective can be developed to fill this gap.

## 1.7 Contribution to the Body of Knowledge

The contributions to the body of knowledge will be achieved by creating a novel solution which is a deep learning-based model to identify code quality issues in AI-generated code before the code goes into deployment.

#### 1.7.1 Contribution to Research Domain

While machine learning (ML) methods have been used extensively to identify issues in code, recent research using deep learning methods, such as convolutional neural networks (CNNs), artificial neural networks (ANNs), and recurrent neural networks (RNNs), has shown a higher accuracy rate in detecting code smells when compared to traditional ML methods (Ho et al., 2023). Therefore, it is proposed to explore a deep learning based approach in order to identify code quality issues similarly to how it was applied to detecting code smells accurately and additionally targeting AI-generated code.

#### 1.7.2 Contribution to Problem Domain

Currently available solutions are primarily focused and built on top of code which is not AI-generated. Majority of the code quality tools developed still have limitations and constraints in their ability (Das, Yadav and Dhal, 2019). Therefore, a tool that highlights code quality issues targeting AI-generated code that hasn't been done before in previous research will be investigated so that developers can detect such issues prior to deployment.

## 1.8 Research Challenge

This research project's primary objective is to improve the accuracy and widespread use of deep learning techniques in code quality tools while overcoming the limitations in literature in this new code generation domain. The following is a list of research challenges:

- 1. **Finding research materials** Due to the constant changes in the code generation domain it will be highly difficult to stay up to date on new case studies and constant changes. New techniques for data preparation should also be incorporated in order to raise the standard of publicly available datasets (Sharma, Sinha and Sharma, 2022). It is highly difficult to foregather datasets that successfully produce results in the domain of using DL to detect code quality issues in code (Raychev, 2021).
- 2. Developing and improving accuracy of deep learning model Using deep learning is a new approach in the domain of code quality. Even though machine learning has great potential in solving the issue of code quality, there has not been many successful outcomes as of yet (Raychev, 2021). The volume of data that specific ML algorithms can handle as well as specific data type limitations that some ML algorithms impose is a key challenge (Juddoo and George, 2020).
- 3. Code quality analysis for AI-generated code At the point of initiation of this research project, there is no research that standardly investigates the quality of code and reliability of AI-generated code (Y Liu et al., 2023). Current static analysis tools in the industry are built using a variety of different object-oriented metrics and templates that check against a preset of coding rules and guidelines.

According to these challenges, it will be complex to construct a prototype that uses artificial intelligence to detect issues in code quality in AI-generated code.

## 1.8.1 Research Questions

**RQ1**: How can a DL approach be used to detect code quality issues in AI-generated code?

**RQ2**: How effective would a DL approach be in detecting code quality issues in AI-generated code compared to other tools?

**RQ3**: What are the latest advancements in deep learning that can be used to perform code analysis?

## 1.9 Chapter Summary

This chapter covered a comprehensive overview of the problem domain and background of the proposed solution which is to build a novel code analysis tool catered to address issues in AI-generated code. The research gap, aims and objectives being addressed along with its upcoming challenges were discussed in detail. The methodologies used will be discussed in the following chapter.

## **CHAPTER 02: LITERATURE REVIEW**

## 2.1 Chapter Overview

This chapter aims to dive into the overall literature of the project and get thorough insights about the background and domain. Also, the existing work will be explored further to critically analyze its strengths and limitations gaining a deeper understanding of generative-AI and how it is related to code quality.

## 2.2 Concept Map

The concept map was maintained and illustrated throughout the LR. It helps visually present the path taken amongst the various possibilities for this project. It also covers the metrics used for evaluation and key technologies in this domain of research. It can be viewed in **APPENDIX A**.

### 2.3 Problem Domain

#### 2.3.1 Rise of Generative AI

Generative AI has taken the world by storm and is being widely used in everyone's day to day lives. This is because it has exponentially evolved with the modern equipment and provides efficiency for all types of users (Lawlor and Chang, 2024).

The projected users for Generative AI is illustrated in the graph below which shows a rapid rise and implies an urgent need of adaption.

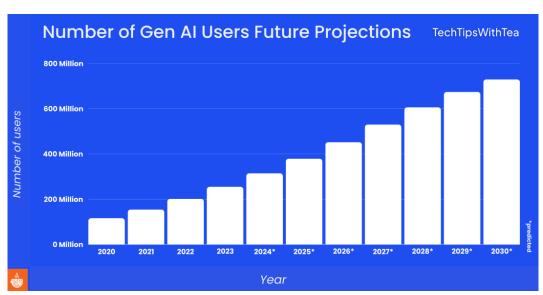


Figure 1: Usage statistics estimation for Generative AI (Singh, 2024)

Tools like ChatGPT that are generative AI helps people learn by centralizing the information and resources. Through the form of prompting, people across all age groups can educate themselves on various domains from school-level context to advanced topics that may not be accessible easily. The future is definitely heading towards AI-assisted lifestyles and all people are adapting rapidly. (Chang and Kidman, 2023). However, with the rapid adoption of tools like ChatGPT, rises potential risks and issues as it has been proved to have significant errors and challenges such as invalid answers, modified responses out of context are some among many (Murugesan and Cherukuri, 2023).

## 2.3.2 Challenges of Generative AI

Currently majority of users find that ChatGPT has the answer all, if not most of their problems including questions asked about their academics in their degrees and masters programs (Lock, 2022). It also takes it a step further by solving coding solutions and problems (Scharth, 2022). This in turn raises concerns about academic validity and integrity and the need to put academic policies in place to maintain this particular concern by working together with academic institutions to make it a responsible by addressing the current concerns (Eke, 2023). Some of the main challenges are discussed below:

### 1. Privacy and ethics

Privacy involves the information of sensitive data which someone would not want it to be exposed and traditional approaches top solve these issues are not applicable when it comes to large volumes of data in LLMs (Fang et al., 2017). There has been incidents where ChatGPT conversations have been leaked due to data leakage incidents from ChatGPT which raises questions about the everyday operations and activities that some users find personal or important (Porter, 2023).

#### 2. Misuse

As discussed previously, academic integrity is often questioned and the concerns for students where they can cheat in examinations and write content using ChatGPT which is considered plagiarism all with an access to a tech device for a short period of time (Thorp, 2023). It also goes further than academics to gain knowledge and execute illicit activities because generative AI tools have a thin line in which is considered appropriate and inappropriate (Susarla et al., 2023).

### 3. Transparency

Currently used algorithms and mechanisms in Generative AI tools are often considered as black

boxes because the algorithms and internal workings are hidden (Fui-Hoon Nah et al., 2023). This non-explainability of systems that power AI tools threatens the validity and comprehensiveness of such tools because the reasoning behind how the model arrives at its decision is not explained and therefore users sometimes may find it difficult to understand potential issues with such systems (Dwivedi et al., 2023)

#### 4. Influence/bias

Responses and outputs from generative AI could be inclining towards a particular direction and topic. It could also favour an individual or a group (Ntoutsi et al., 2020). This is when the data used to train models and data in general represents a certain area of issues and context only instead of the overall consensus. Therefore, the data used in training these code generation models can be biased or influenced (Zhuo et al., 2023).

So, in this particular research the author focusses on the aspect of the AI-generated code produced by such generative AI and the bias leading to code quality issues.

### 2.3.3 AI-generated code

According to the 2023 report from Sonatype, 97% developers and leads use generative AI tools. GitHub also mentioned that developers are not well educated on insecure code and ChatGPT generated a significant amount of vulnerabilities (Hamer, d'Amorim and Williams, 2024). Another recent survey from GitHub, in collaboration with Wakefield research found that 92% of developers from 500 non-student employees who work in companies with over a workforce of 1000+ people use code generation tools for both personal use and work (Staff, 2023).

This leads to believe that the future of developers having majority of their code generated and existing systems and tools are not adapting this change. There are no existing studies on ChatGPT-generated code in context to code quality issues derived from prompts by real developers (Siddiq et al., 2024).

Eventhough code generation tools can be very helpful with problem solving and code generation based on prompts, the output accuracy or results with quality can not be guaranteed. This is because the may use old built-in functions which are vulnerable and old code with exploits (Taeb, Chi and Bernadin, 2024). This means existing systems need to update their data and algorithms to cater to this evolving field.

### 2.3.4 Code Quality

Code generation tools are being widely developed and used in the industry. However, the output is not very reliable and contains multiple issues in context to code smells and severe issues according to the level of code quality (Yetiştiren et al., 2023). This includes common tools such as ChatGPT, GitHub Copilot and Code Whisperer from Amazon. AI-generated code especially ChatGPT commonly contains quality issues such as incorrect outputs, underperforming, maintainability and compilation errors (Y Liu et al., 2023).

Current ways in identifying code quality issues include extracting data from Json files which contain ChatGPT-generated code and run it against static analyzer tools such as CodeQL, Pylint and Bandit. This way, highlighted issues are recorded which is not very efficient (Siddiq et al., 2024).

There is no work regarding research based on code quality issues in generative AI tools. ChatGPT maybe able to tackle some of the challenges it faces using prompting to improve code quality however there are so many challenges and limitations (Liu et al., 2023).

## 2.5 Existing work

### **Static Analysis Tools**

When code is written by a developer, a static code analyzer (SCA) will check against predefined custom rules and defined coding rules from the tool. It will then identify whether the code abides with the set of rules. Static analysis tools in the industry these days are essentially bug finding tools that's widely available, incorporated into practically all major integrated development environments (IDEs), and capable of locating a wide variety of defects and issues with code quality. Even while these bug finding tools are quite good at monitoring the quality of the code, most of them rely on custom rules that are constructed on top of basic analyses, such patterns on the abstract syntax tree or data flow inside a single function in the code, rather than being accurate or sound analyzers (Raychev, 2021).

Some recent trends involve using scripts to interact and obtain results from ChatGPT which returns a Json file with the output. This contains information regarding the prompts and code generated. Then the extracted code from json files is run against static analysis tools such as Pylint, Bandit and CodeQL. This process is considered time consuming and are not efficient in

obtaining code quality information (Siddiq et al., 2024)

## **Reflection on Static Analysis Tools**

Current tools are built on top of pre-defined templates and rules which check for specific code quality issues and standards. With the current increase in the number of users in terms with generative Ai which was discussed earlier, the tools need to adapt and counter new issues faced.

Some of the main challenges that the static analysis tools according to (Vassallo et al., 2020) include:

### 1. Comprehensibility of Alerts

The developers sometimes find it difficult to understand the alert message addressed from reported issues as they are sometimes misleading and not easy to understand.

## 2. Configuration

It is time-consuming to setup such tools especially when it comes to configuring custom rules and templates. This is further supported by deciding which rules can be applicable or inapplicable to the scope of the project.

#### 3. Effectiveness

Some static analysis tools are said to be incompetent in finding the bugs in some types of the problem and that is why the developers overlook them at first. Moreover, vague generalized rules are used which makes it difficult to generalize and it is prone to subjectivity.

#### Machine learning based approaches

ML based SCA for Software QA (Sultanow et al., 2018): This paper improved to aim the current approach of static analysis tools which typically use basic analyzing such as identifying patters in ASTs (Abstract Syntax Trees) or the flow patterns within a function or method. This paper proposed incorporating ML algorithms and techniques which identify patterns, deviations and standardization from irregularities to improve their accuracy because current SCA tools commonly output false positives which is a significant challenge.

The author makes use ARFF files to generate models based on the patterns identified in the code Areeb Niyas | w1809939 | 20200129

updating the rule set and the model constantly through reinforcement learning. The paper highlights the fact that the author has tested the performance of some machine learning classifiers such as "MultiLayerPerceptron", "Ibk" and "ADABoost" on a "BFTree" Furthermore, the author of this study also explains the role of feature selection, which acts like a process of selecting more relevant features from the source code in order to help classify an instance as either faulty or bogus. The author also speaks about the parser as a prerequisite fin order to constantly update the model which is capable of detecting faults. Therefore, this paper proposes a feasible solution to improve SCA tools, however there is no proper testing criteria or thresholds which can be used for benchmarking.

**Detecting Code Smells using ML** (Di Nucci et al., 2018): This paper aims to bridge the subjectivity gap of tools where existing tools are prone to bias and influence. The authors look into and critically analyze six ML techniques such as Random Forest and J48, Naive bayes, Support Vector Machine, B Splines, Bayesian networks and Decision Trees where 4 of them were taken to be evaluated to produce a solution where the grid search algorithm was applied for e4ach ML technique. Then the dataset preparation and preprocessing was done to identify 4 types of code smells and was trained.

The findings however proved to be 90% less accurate that previous studies in terms of F1-score this was mainly due to the reality and nature of the configured dataset may not have reflected real world scenarios. Therefore, threatening the validity and generalizability of the results obtained.

## Deep learning based approaches

In today's world, Machine Learning (ML) is an important element which shows how artificial intelligence is doing similar to what humans think and learn. Deep Learning (DL) is one of the major components of Machine Learning which mimics the multi layered architecture and the operations conducted by the human brain. DL techniques are more and more widespread recently due to rise of big data and having performance better than traditional ML techniques is the factor which makes it a really famous method nowadays (Alzubaidi et al., 2021).

**Detecting Code Smells using DL** (Das, Yadav and Dhal, 2019): The significance of this research is that it is a new approach to detecting code smells using DL which achieved a high accuracy. It includes multiple layers such as convolution, flattening and dense layers that use Areeb Niyas | w1809939 | 20200129

feature metrics which are then fed into a CNN model for training and classification.

However, there could be other metrics which can be used which may provide more accurate results. The authors don't mention how the metrics that they chose were the best approach. This study is limited to detecting only two code smells (Brain Class and Brain Method) and it addresses only Java projects which may not be generalizable to other datasets and findings for other programming languages. It also relies on a specific set of metrics to represent the code whereas other metrics may be more effective in detecting code smells. Therefore, these could be considered as some of its limitations.

Fusion of deep convolutional and LSTM recurrent neural networks for automated detection of code smells (Ho et al., 2023): Combines deep CNNs and long short-term memory networks (LSTM) to capture both structural and semantic features of code fragments for automatically detecting code smells which is an innovative approach. The final classification is conducted by deep neural networks with a weighted loss function to reduce the impact of skewed data distribution. The authors also have conducted an empirical study against benchmark datasets which validate their findings.

Four types of code smells were targeted where 4 different datasets were used in order to tackle the 4 different types of code smells. Using different datasets for predicting the different code smells could introduce bias. Moreover, the findings of this study maybe valid only for the considered datasets as it may not be generalizable for a real-world solution as it targets only specific smells.

## 2.6 Technological Review

#### 2.6.1 Metric-based code quality issue detection

Multiple approaches to detect issues in code have been proposed from early times so lets start with one of the most initial methods which is still being used today. This includes traditional ways by using heuristics which is by creating templates that include rules that the expected code should follow and will be compared and run against (Pecorelli et al., 2019).

It works by following two main steps: (i) Includes identifying the root cause or symptom which is mapped to certain thresholds based on metrics determined by structure. (LOC  $\geq$  k, i.e. when

lines of code are greater than or equal to k). (ii) Combining the root cause with the structure to identify the most suitable rule template which the code then runs against (Moha et al., 2010) which have been followed throughout a long period of time that lead to 3 major issues such as subjectiveness, no proper benchmarking between these tools and difficulty to identify common thresholds to compare results (Pantiuchina, Lanza and Bavota, 2018).

### 2.6.2 Machine learning based code quality issue detection

Majority of the current detection systems use metric based systems on structural code and existing machine learning techniques are not configured correctly meaning there is no standard way to preprocess data, select features and no availability of a standard dataset (Azeem et al., 2019).

Moreover, there is no to little work in applying deep learning approaches to detect code quality issues. Recent deep learning approaches to identify brain method and class code smells have been promising to be accurate (Das, Yadav and Dhal, 2019).

In order to eliminate code quality issues, it roughly took around 540 seconds using Copilot and 340 seconds using Amazon CodeWhisperer and around 520 seconds using ChatGPT through prompting (Yetiştiren et al., 2023). So the author aims to tackle these timings with a novel approach.

#### 2.6.3 Data preprocessing

Refers to the initial stage of data analysis where the data is explored, cleaned, filtered and only the essential features are a kept for further processing. This helps solve many challenges such as null/missing values, outliers, scaling, transformation and data imbalance (Fan et al., 2021). This would help researchers to explore their data in depth and obtain insights prior to training a model

#### 2.6.4 Tokenization

Tokenization involves breaking down larger volumes of text into smaller parts or essentially its subwords or 'tokens'. This is usually done by separating any prefixes and suffixes prior to the training process. This is an important steps to allow machines to learn without getting overwhelmed by large volumes of data and understand meaning (Alkaoud and Syed, 2020)

#### 2.6.5 Feature Selection

Feature selection involves identifying the most appropriate and relevant inputs(features) for the model removing any unnecessary and redundant features. Getting stable and high accurate results directly corrlates to the feature selection algorithm followed so it is a crucial step for the researches in this domain (Khaire and Dhanalakshmi, 2022).

### 2.6.6 Hyperparameter tuning

Hyperparameter tuning has not been used in prior techniques and implementation for previous works. So, the author would explore various possibilities by themselves.

Most parameters in machine learning algorithms are configured by default. The manual change of these parameters for optimal performance is known as the tuning procedure which may involve research, trial and error. Applying and assessing the right hyperparameters enable to achieve significant optimal results depending on the context of studies (Probst, Boulesteix and Bischl, 2019)

### 2.6.7 Dataset challenges

The author is the first to explore code quality issues in AI-generated code, therefore there is a scarcity of data. Only the dataset published by (Liu et al., 2023) including around 2000 programming tasks from leetcode which contained information about their conversation with ChatGPT and issues in them seemed ideal. However, it has to go through a strenuous data preprocessing to extract data from Json files and convert it into a format that can be used for model training.

## 2.7 Evaluation and benchmarking

#### 2.7.1 Evaluation Metrics

<b>Evaluation Metric</b>	Description
Accuracy	Accuracy measures how correctly the model classifies the code
	quality issues from the code quality issue sample. Higher values indicate better performance for the model
F1 Score	From 0 being the worst to 1 being the best, it is a balanced
	measure which takes the average of recall and precision. It is a

	more acceptable measure that just taking precision and recall
	individually as it proves the robustness and reliability of the
	model
Precision	Used to evaluate the instances where the model is predicting the
	positive labels. In the context of this project, how correct were the
	predictions from all the positively predicted values.
Recall	Recall is used to evaluate the positively predicted labels. This is
	used to identify how much of the identified true positives are
	actually true.

Table 2: Evaluation metrics

Previous work suggest that using precision, recall and F1-score is a ideal way to evaluate deep learning models in this domain as it helps identify the overall performance of a mode from all viewpoints (Ho et al., 2023).

## 2.7.2 Benchmarking challenges

Since the author is the first to explore code quality issues in AI-generated code, there is no standard benchmarking dataset to compare findings. Existing benchmarks in similar domains are outdated in context to AI code generation as they were released before 2021(Chen et al., 2021). These lacked subsequent and appropriate metadata for taks so they are not ideal for the domain of this research because modern tools with the rapid increase of generative AI after the release of ChatGPT provide a more realistic user relatability (Liu et al., 2023)

## 2.8 Chapter summary

This chapter dived deeply into the overall literature of the research area. The author explored the problem domain in depth followed by the current mechanism used in the industry. Afterwards, exiting work was critically evaluated and inspected. Towards the end of the chapter, technologies were reviewed along with evaluations and benchmarking for this domain were covered. The next chapter will traverse through the methodologies for the project

## **CHAPTER 03: METHODOLOGY**

# 3.1 Chapter Overview

This chapter will cover the research, design, development, evaluation, solution and project management methodologies chosen by the author for this research project along with its available options and justifications as to why the author chose particular methodologies. Additionally, the project scopes will be discussed along with the planned schedule and deliverables. Later in the chapter, the hardware, software, data and skill requirements required for the project will be explored along with the risks and mitigations to be anticipated.

## 3.2Research Methodology

Research Philosophy	Out of the 4 research philosophies: positivism, interpretivism, pragmatism and realism, <b>Pragmatism</b> was chosen. This is because the author will evaluate both quantitative and qualitative methodologies to experiment and determine which would produce best performance in achieving the research goal.
Research Approach	As this research aims to comprehensively apply a variety of theories and evaluate the hypothetical statement – the <b>deductive</b> approach was chosen out of the two available research approaches which are inductive and deductive.
Research Choice	From the given options: mono method, multi method and mixed method  - the <b>mixed method</b> was picked. This is because both methods – qualitative and quantitative are used through the intended use of interviews, experiments and surveys to collect data throughout the research process and evaluate.
Research Strategy	Surveys, experiments and interviews will be conducted amongst potential candidates based on performance and evaluation metrics. The

	research strategy would help address and provide insights into answering
	the research questions of the project.
Time Horizon	Amongst the available time horizons namely: longitudinal and cross-sectional, the <b>cross-sectional</b> approach was chosen because evaluations and data collection would be carried out on one point in time during the evaluation phase of the project.
Data Collection and	Interviews, surveys, trial and error observations along with multiple
Analysis	other techniques would be used in order to collect and analyze data.
	Also, insights gained from similar work and literature will be applied.

Table 3: Research methodologies

## 3.3Development Methodology

The **prototype** development methodology was chosen amongst the various software lifecycle development methodologies because this project aims to develop, design and evaluate the prototype as needed and accordingly to new findings and evaluations in the industry as it's an emerging and rapidly growing research area.

### 3.3.1 Design Methodology

**Object Oriented Analysis and Design** (OOAD), out of the available design methodologies, was chosen. In order to adapt to frequent changes and updates in the industry, especially with LLMs and AI-generated code, new information will be gathered and updated regularly throughout this research project. Therefore, in order to accommodate the need for constant changes and requirements, this method was chosen for its adaptable and iterative aspects.

### 3.3.2 Evaluation Methodology

The following evaluation metrics were chosen by the author from the deep learning and code analysis domain in order to quantitively evaluate the performance:

- o Precision and Recall
- o F1 Score
- Classification Accuracy

#### o ROC curve and AOC

## 3.3.3 Solution Methodology

The dataset that contains only AI-generated code needs to be gathered during the primary and secondary data collection process in order to identify code quality issues specific to AI-generated code. Then the data should move into the data processing stage where the data cleaning and handling of missing data is done. After the feature engineering and model training, the algorithm is chosen after fine tuning through evaluation, the model is then deployed.

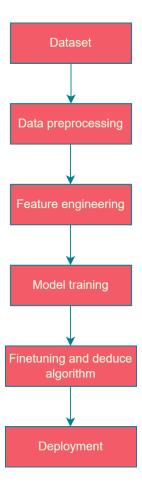


Figure 2: Workflow diagram

## 3.4 Project Management Methodology

From the available options **Agile Prince 2** methodology was chosen for project management. Agile Prince 2 allows the author to be more open and flexible to improvements and swift changes which is a crucial aspect to the execution of this research project.

## 3.4.1 Project Scope

#### **3.4.1.1 In-scope**

- Developing an algorithm to detect code quality issues in AI-generated code.
- A method to classify different types of code quality issues.
- A web interface to display and evaluate the proposed deep learning solution.

#### **3.4.1.2 Out-scope**

- The proposed solution will only detect code quality issues.
- Built for only limited programming languages.
- Produce a prompt that would automatically resolve the issues in the code.

#### 3.4.2 Schedule

#### **3.4.2.1 Gantt Chart**

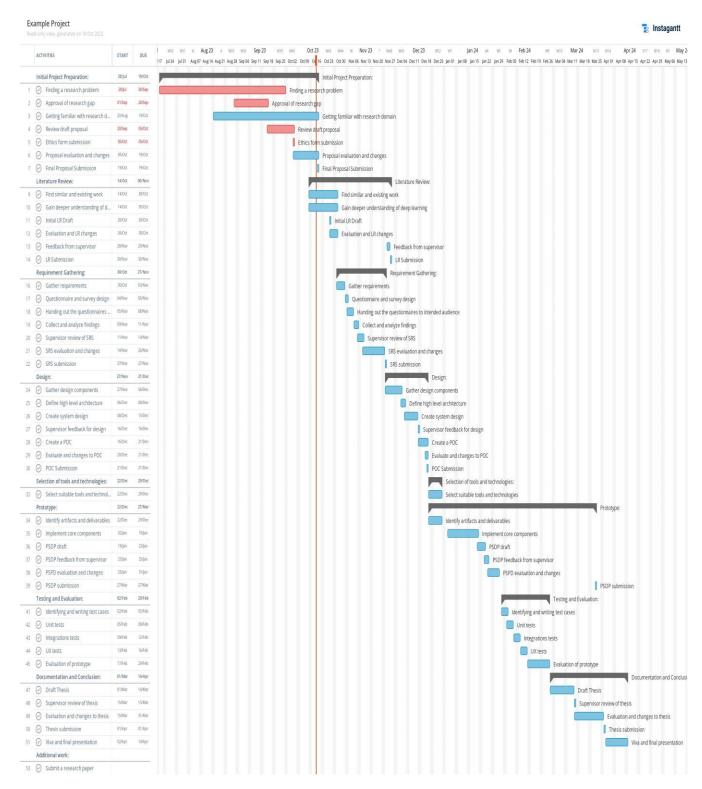


Figure 3: Gantt Chart

#### 3.4.2.2 Deliverables

Deliverable	Date
Final Project Proposal	19 <sup>th</sup> October 2023
Literature Review	30 <sup>th</sup> October 2023
Thorough analysis and critical evaluation of existing and related	
work in the domain.	
System Requirement Specification	27 <sup>th</sup> November 2023
Specifies the requirements to be met by the proposed prototype.	
Proof of Concept Submission	21st December 2023
An initial draft implementation of the proposed solution.	
Final Project Specifications Design and Prototype (PSDP)	1 <sup>st</sup> February 2024
Documentation and prototype of the implemented solution along	
with its core features.	
Minimum Viable Product Submission	7 <sup>th</sup> March 2024
Final Thesis Submission	1 <sup>st</sup> April 2024

Table 3: Deliverables

## **3.4.3** Resource Requirements

In order to meet the expectations and requirements of the aforementioned methodologies and data gathering techniques, the skills, software, hardware and data requirements are as follows:

## 3.4.3.1 Hardware Requirements

- Intel I5 or I7 CPU To handle high processing power to train and test deep learning models.
- **8GB RAM or above** To control and manage huge datasets

• **50GB free disk space** – To store datasets and models prior and post training along with application data.

If hardware requirements are not met or sufficient, the recommended approach of using cloud technologies such as google collab would be used.

#### 3.4.3.2 Software Requirements

- **Python** Efficient to use for machine learning and deep learning projects as it allows effective training of models.
- **React** To build the front end of the proposed prototype and provide good user experience.
- **Zotero** To organize, build and manage research papers and citations.
- **GitHub** Sufficient and organized version control.
- **Google Drive** To keep regular backups of the project.
- MS Word/Google Docs To provide clear documentation.
- **Jupiter Notebook/PyCharm IDE** To develop and maintain code sufficiently.
- **Figma** To create and design UI prototypes to display the prototype.

#### 3.4.3.3 Data Requirements

In order to build a successful model, datasets that contain AI-generated code would be required which is readily available from Codex and figshare. This can be used to test and evaluate the proposed prototype.

#### 3.4.3.4 Skill Requirements

- Machine Learning/Deep Learning expertise A thorough understanding of ML and DL techniques and theory are required. Domain knowledge of code analysis and its link to ML and DL is also a vital aspect for this research project.
- Ability to design user friendly interfaces to display the prototype.
- Presentation skills to pitch and present the project adequately.
- Documentation skills to create and maintain proper standard documentation for the prototype and provide the project deliverables.

## 3.4.4 Risks and Mitigation

Risk Item	Se	Fre	Mitigation Plan
	ve rit	que ncy	
	y	ne y	
No sufficient knowledge on	5	5	Read and gain insights on domain area
technical and domain areas.			from experts.
Losing	4	2	Take regular backups and maintain a
documentation, data			GitHub repository.
and code.			
Lack of hardware	4	3	Switch to cloud-based solutions and
resources			development environments such as
			Google Colab.
Project requirements	4	5	Stay updated on the latest changes by
changing due to the project			staying in touch with domain experts
being a part of a rapidly			and latest research papers.
growing domain.			
growing domain.			
Hypothesis turning out to be	4	3	Continue and keep exploring the
contradicting or invalid.			research field as any strong output
			would be a contribution.

Table 4: Risks and mitigation

## 3.5 Chapter Summary

In this chapter, the methodologies followed by the author for this project were discussed. Diving into the research methodologies and the prototype development along with the OOAD design approaches were chosen amongst the available options. The evaluation metrics used for assessing the project were outlined. Moreover, the solution methodology used for a DL approach was introduced with the integration of Agile Prince-2 project management with the justifications as to why they were chosen. Additionally, this chapter explored the project scopes covered, schedules,

deliverables, required skills, data and resource needs and the risks and mitigations that should be anticipate.

## **CHAPTER 04: SOFTWARE REQUIREMENTS SPECIFICATION**

## **4.1 Chapter Overview**

This chapter focuses on the identification and steps of gathering requirements. Specifically, potential stakeholders along with their interaction points and roles are captured in a rich picture diagram and a stakeholder onion model. In addition, the requirement-gathering techniques and the insights acquired in order to analyze and generate functional and non-functional requirements, use case diagrams, and prototype descriptions are described.

## 4.2 Rich Picture Diagram

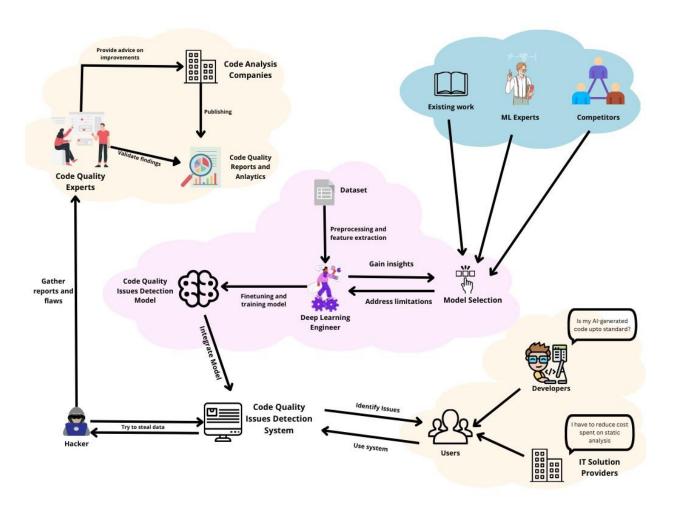


Figure 4: Rich Picture Diagram

The above diagram shows the identified structure, process and concerns of the proposed system which are visualized in the rich picture diagram. It is a way of seeing an overview of the project using a panoramic view and can be used to identify the stakeholders of the project.

## 4.3 Stakeholder Analysis

#### 4.3.1 Stakeholder onion model

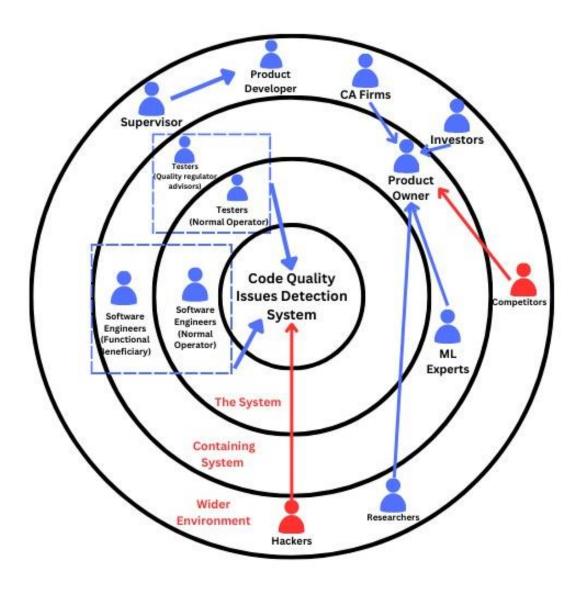


Figure 5: Stakeholder Onion Model

# 4.3.2 Stakeholder viewpoints

Stakeholders	Roles	Description
Software Engineers	Normal Operator	Perform code analysis using the system and
		identify code quality issues prior to deployment.
Testers	Quality regulator	Evaluate the output and functionality of the
	advisors/Normal	system. On the other hand, be a normal operation
	operator	when detecting code quality issues prior to
		deployment.
Product Owner	Operational	Manage and oversee business processes and base
	beneficiary	product decisions on input from other
		stakeholders.
ML Experts	Functional	Provide insights and feedback on the research
	beneficiary	domain and improve the system further by
		suggesting enhanced approaches of the core
		functionalities.
Code Analysis		Provide insights and feedback on common code
Firms		quality issues and give insights on current ways
		of implementation and gaps.
Product Developer	Maintenance	Develop and maintain the functionalities of the
		system.
Supervisor	Quality	Evaluate and provide constant feedback for useful
	regulator/Advisor	suggestions and improvements.
Researchers	Functional	Use the system to identify gaps and provide
	beneficiary	literature insights.
Competitors	Negative	Other parties that build similar products that may
	Stakeholder	outperform the proposed system.
Hackers		Try to infiltrate and compromise the system

making	it	vulnerable	through	unethical
approach	es.			

Table 5: Stakeholder Analysis

## 4.4 Selection of Requirement Elicitation Methodologies

Requirements for a research project can be established with the requirement elicitation methodologies. The identified methodologies for this project: LR, interviews, and brainstorming are shown below along with the descriptive justifications applicable.

#### **Method 1: Literature Review**

Literature review is a technique for obtaining information about existing solutions which is used in research. It involves looking and studying research papers, articles and publications regarding AI-generated code and existing systems to evaluate and identify gaps. It is one of the crucial steps during code quality detection and creating well informed approaches that work. Therefore, the author carried out a comprehensive research and analyzed existing solutions and evaluated technologies to solve current limitations.

#### **Method 2: Interviews**

Carrying out interviews with experts such as technical leads and machine learning experts is a methodology which is crucial to identify the frequency of code quality issues and figure out the best practices for detection. This allows the author to gain insights from technical experts qualitatively which is crucial for this project more than quantitative data because the individuals in charge of code reviews and code analysis in organizations are usually leads and experts. Therefore, getting qualitative data from them to offload their workload would be one of the main objectives of this project.

#### **Method 3: Brainstorming**

Alternatively, brainstorming with other researchers and software developers involves a set of researchers and developers created to participate in the process of information sharing and detection of code quality issues in a software system and the development of mitigative measures. The aim is to produce a number of ideas and solutions along with identifying any possible issues in code quality detection that could have been missed during the early stages.

Table 6: Requirement Elicitation Methods

## 4.5 Discussion of Findings

#### 4.5.1 Literature Review

Citation	Findings
(Yetiştiren et al.,	Even though code generation tools are starting to become widely
2023)	used, their output remains unreliable and undetermined. It was
	noticed that there were code quality issues in code generated through
	ChatGPT, Amazon CodeWhisperer and GitHub Copilot in different
	levels of severity which resulted in technical debt.
(Sengamedu and Zhao,	There has been almost no work to identify code quality issues in AI-
2022)	generated code using deep learning. Neural language models can be
	built to detect code quality issues with less false positives.
(Ho et al., 2023)	LSTMs and BiLSTMs can be added to neural networks to improve
	the accuracy of neural networks when detecting code quality issues.
(Das, Yadav and Dhal,	Deep learning can be used to identify code smells. Code smells
2019)	detection such as brain method and brain class identification using
	CNN models have shown to be accurate
(Kanan, Sherief and	DL approaches produce a higher accuracy in detecting code smells
Abdelmoez, 2022)	when compared to traditional ML approaches.

Table 7: Literature Review Findings

## **4.5.2** Interviews

The findings of the interview have been shown below where a thematic analysis was conducted. The list of interviewees and questions for the interview along with its purpose and mapped research questions are shown in Appendix B.

Codes	Theme	Analysis
Analysis tools	Current trends	The majority of participants use
		only in-built static analysis tools of
		the IDE for detecting code quality
		issues. Some use Sonar and
		Checkstyle plugins.
Issues,	Common Code Quality	The most common code quality
Majority	Issues	issues that the respondents
		mentioned are syntax errors, logic
		errors and runtime errors.
Challenges/Limitations	Research gap	Almost all the participants agreed
		that code generation tools have
		multiple code quality issues. Also,
		they stated that generated code had
		different types of code quality
		issues compared to generic syntax,
		logic and runtime errors. Hence,
		they thought that the current static
		analysis tools could use an update
		to adapt to the new issues being
		raised.
Detection,	Satisfaction	Majority of the respondents are
Satisfaction		mostly satisfied with the current
		tools as they detect most issues.
		However, respondents believe that
		they need to be updated to cater to
		generated code for the future.
Techniques	Trending techniques and	Most respondents mentioned that
	room for improvement	AI tools are becoming very useful

in detecting code related issues.
There is a huge room for
improvement and potential in
using DL in detecting code quality
issues.

Table 8: Thematic Analysis for Interviews

# 4.5.3 Summary of Findings

Findings	LR	Interviews	Brainstorming
AI-generated code especially commonly contains quality issues such as syntax, runtime and logic errors.	<b>/</b>	<b>\</b>	
Code quality issues are prevalent with the widespread adoption of AI-Generated code.	<b>✓</b>		<b>✓</b>
Lack of studies which formally investigate the reliability and quality of generated code.	<b>/</b>	<b>✓</b>	
Code quality issues is a vital problem and existing tools need improvement.	<b>&lt;</b>		
There is almost no work to detect code quality issues using DL methods.	<b>✓</b>		
Evaluation metrics such as TP, FP, recall precision can be used to evaluate the model	<b>✓</b>	<b>✓</b>	<b>✓</b>

Table 9: Summary of Findings

# 4.6 Context Diagram

A context diagram also known as a level 0 data flow diagram, is used to grasp the requirements and demonstration of how a system interacts to its related surroundings.



Figure 6: Context Diagram

# 4.7 Use Case Diagram

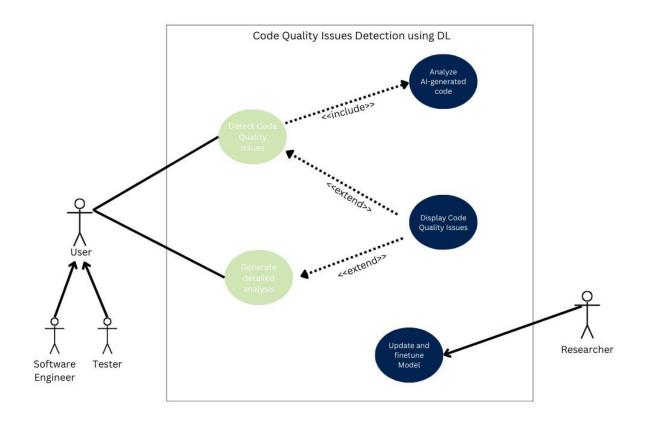


Figure 7: Use Case Diagram

## **4.8** Use Case Descriptions

Use Case	Detect Code Quality Issues
ID	UC01
Description	Detect code quality issues from provided code by user.
Primary actor	User
Secondary actor	None
Preconditions	The user must input the AI-generated code within the character limit
	and in supported language.
Main flow	MF1 – User opens the application.
	MF2- User inputs AI-generated code.
	MF3- The system runs an analysis based on the input.
	MF4- Display output highlighting code quality issues in the AI-
	generated code given.
Alternative flow	None
Exceptional flow	EF1 – User did not input code – code quality analysis won't be
	executed.
	<b>EF2</b> - User exceeded character limit – display error message.
	<b>EF3</b> - Language not supported – display error message.
Extended use case	Display Results.
Included use case	Analyze the code quality issues in the AI-generated code.
Postconditions	User is displayed a result with the detected code quality issues in the
	AI-generated code.

Table 10: Use Case 1 Description

# 4.9 Requirements

MoSCoW method was used to manage and determine the priority levels of the identified functional and non-functional requirements.

Priority Level	Description
Must have (M)	Requirements that are core and essential to develop a successful system.
Should have (S)	Requirements that could be considered important but not a necessity to develop the prototype.
Could have (C)	Requirements that are desirable and voluntary to implement.
Will not have (W)	Requirements that are not part of the scope for the system.

Table 11: MoSCoW Prioritization Levels

# **4.9.1 Functional Requirements**

FR ID	Requirement	Priority
		Level
FR01	The system must be able to identify code quality issues in the	M
	inputted AI-generated code.	
FR02	The system must be able to detect multiple code quality issues.	M
FR03	Users must be allowed to paste the AI-generated code in a	M
	commonly used programming language.	
FR04	The GUI should display the type of code quality issues in a	S
	meaningful way.	
FR05	The GUI should allow user to use different versions of the model	С
	for comparison.	
FR06	The system could have validation checks to handle user errors	С
	and display specific error messages (ex: missing input, runtime	
	errors)	
FR07	The GUI could highlight where the code quality issue is being	С

	thrown and view a detailed description.	
FR08	User could be allowed to download a detailed report of the	С
	analysis performed and get the results in a PDF or a known file	
	format.	
FR09	User could get information about the accuracy and other	С
	evaluation metrics of the performed prediction	
FR10	The system will fix all the code quality issues in the inputted AI-	W
	generated code automatically.	

Table 12: Functional Requirements

# **4.9.2 Non-functional Requirements**

NFR ID	Requirement	Description	Priority Level
NFR01	Reliability	The system should be reliable and accurate when	M
		detecting code quality issues in AI-generated	
		code.	
NFR02	Usability	The system should be effective in a way that the	M
		user can get information about the code quality	
		issues in the inputted code.	
NFR03	Performance	The system should not take too much time to	S
		generate the detected code quality issues.	
NFR04	Scalability	The system must be deployed to cloud and handle	С
		large lengths of input data.	
NFR05	Compatibility	The system must be compatible on multiple	С
		platforms and support multiple programming	
		languages.	
NFR06	Maintainability	The system must be well documented in case of	С
		future references and improvements.	
NFR07	Security	The system must have defense mechanism to	W
		counter harmful and illegal attacks or requests.	
·		T-1.1. 12. N f	

Table 13: Non-functional Requirements

## 4.10 Chapter Summary

This chapter a rich picture diagram and a stakeholder onion model was presented to help identify the stakeholders. The author arranged all key users interacting with the system and showed how interactions would be maintained. Moreover, requirement elicitation methods and their rationales as well as respective conclusions were given. The use cases combined with descriptions and the functional and non-functional requirements were discussed towards the latter part of the chapter.

# CHAPTER 05: SOCIAL, LEGAL, ETHICAL AND PROFESSIONAL ISSUES (SLEP)

## **5.1 Chapter Overview**

This chapter explores the SLEP issues that may have raised concerns throughout the course of this project and how the author countered and mitigated these issues.

#### **5.2 Social Issues**

- The project is free from any illicit and political agendas. It also does not affect or imply any emotional bias towards the results.
- The project proposal and PSDP documents were presented any evaluators or interviewees
  who requested more context to the study. A thorough explanation of the ideologies,
  methodologies and process of the entire research project was explained to them as well.

## 5.3 Legal issues

- The code entered by the data is not stored and is discarded after the analysis therefore
  maintaining anonymity and avoiding data leaks. Moreover, no data related to the user's
  identity or any user related information is gathered.
- The dataset gathered for the project was published with an open-source license and is available for public use.
- The interviewees mentioned in the thesis gave consent prior to the interviews conducted to use their name and designation for this research purpose.

#### 5.4 Ethical Issues

 The thesis does not contain any plagiarized material and all external information was given accreditation through proper citations throughout the thesis. • The evaluators and interviewees were given a brief overview of their contribution in this study.

## **5.5 Professional Issues**

- Best practices and professional standards were maintained wherever applicable to follow industry standard guidelines. The code proposed follows best practices and guidelines and no illegal tools were used.
- This project does not replace jobs. It is just adapting to the change of generative AI and is
  a proposed novel approach to update existing systems. The primary focus contributing
  research in the field of AI-generated code.

## **5.6 Chapter Summary**

The SLEP issues were addressed in this chapter exploring each factor (social, legal, ethical, professional and ethical) in depth and the potential and implemented mitigation approaches were discussed.

## **CHAPTER 06: DESIGN**

## **6.1 Chapter Overview**

In this chapter, the author focusses on the design of the system according to the design specifications and goals. A high-level diagram for the architecture will be shown to get an overview of the system alongside low-level design to dive into the architecture further. The desired UI, wireframes and further design diagrams and justifications will also be covered.

## **6.2 Design Goals**

Design Goal	Description
Reliability	The output of the system should be accurate and reliable in
	identifying code quality issues in AI-generated code.
Usability	According to the requirement gathering period, it would be
	essential that new users can efficiently use the system without
	overcomplicating the input. Therefore, the system should be
	user friendly and efficient to use.
Performance	The system should ensure it does not take too long to perform
	the analysis and display the result
Maintainability	The goal should be that developers can use the proposed system
	in their everyday practices and researchers need to be able to
	build on top of the system. A well-maintained structure must be
	followed and documented.
Extendibility	The system should follow best software practices and structure
	to make sure expanding the system to support multiple
	languages would not cause major problems.

Table 14: Design Goals

## 6.3 High level Design

## **6.3.1** Architecture Diagram

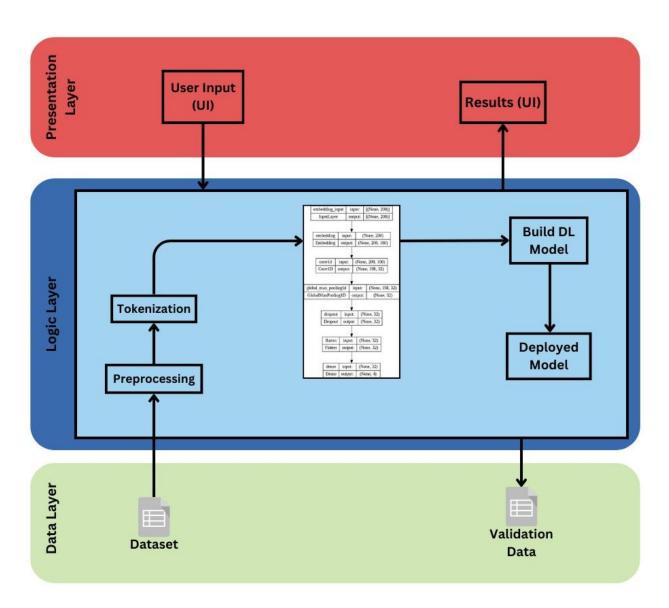


Figure 8: Layered Architecture Diagram

#### **6.3.2** Discussion of layers of the Architecture

## **Presentation Layer**

The interface that the user interacts with is illustrated in this layer. The input field which is connected to the logic layer which carries out the code quality issues detection and the results component which presents the findings.

#### Logic Layer

This layer is where the processing and core implementation happens. This layer contains pre processing so that the data is transformed into a format that can be used as input for a machine learning model and includes tokenization, layering and model building. It is connected to the UI layer to get both inputs and display the result to the user

#### **Data Layer**

This consists of the dataset and the validation dataset. The model uses the dataset to train and therefore its connected to the logic layer as it provides the training data for the model to preprocess. After the training of the data, the validation dataset is used to validate the model.

## 6.4 System Design

#### 6.4.1 Choice of Design Paradigm

Object Oriented Analysis and Design (OOAD) and Structured Systems Analysis and Design Method (SSADM) are two commonly used design paradigms in software development. This project is inclined to alterations towards betterment along with the latest research as it is a new field of research. Accordingly, SSADM is regarded as the optimum selection for the present work. So, using the **SSADM** approach due to its precision and simplicity as it's a growing system would be the most optimal.

## **6.5 Detailed Design Diagrams**

## 6.5.1 Data Flow Diagram

The level 1 DFD (Data Flow Diagram) has been illustrated below which shows a clear presentation of the broken-down components of the system and the relationships and dataflow between them.

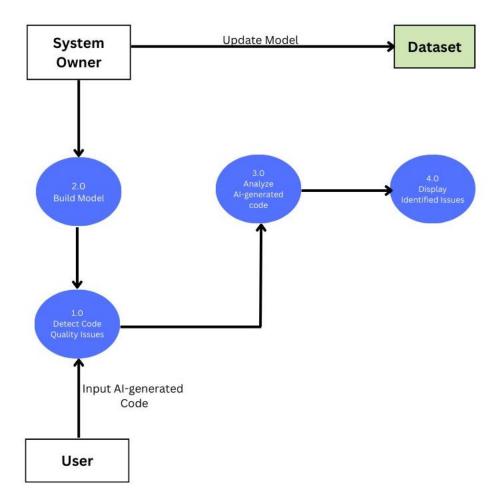


Figure 9: Level 1 data flow diagram

A level 2 DFD (Data Flow Diagram) is illustrated below. It shows a deeper understanding of the system compared to a level 1 DFD. It breaks down the components of the system further into subcomponents and processes showing the data flow between them.

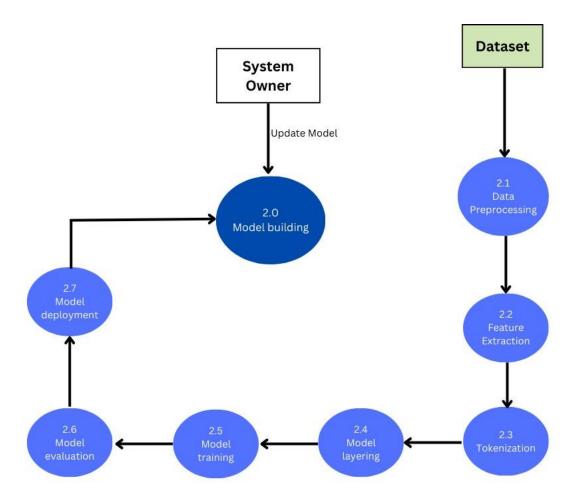


Figure 10: Level 2 data flow diagram

## **6.5.2 System Process Flow Chart**

The below illustrated diagram shows the flow of the proposed system. It also shows the order of steps taken to get the desired outcome. This gives a clear visualization to understand the process from start to end in a system.

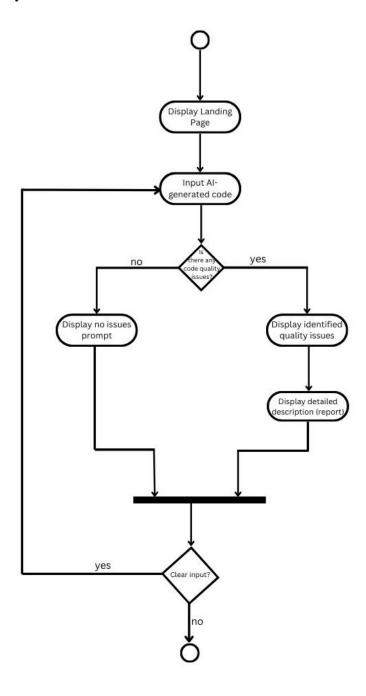


Figure 11: Activity Diagram

## 6.5.3 User Interface Design

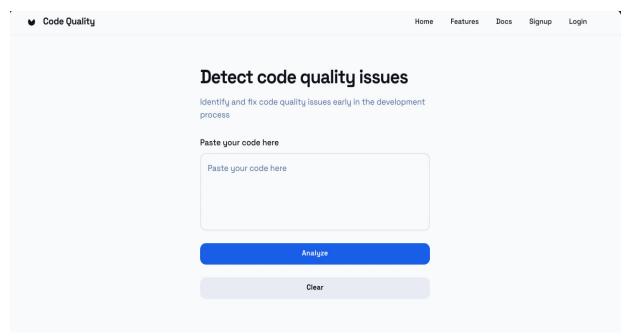


Figure 12: UI for Input Page (Main Page)

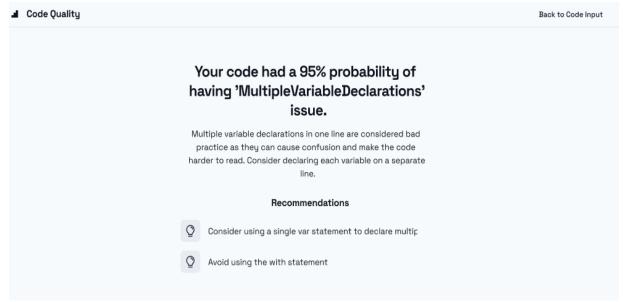


Figure 13: UI for Output Page (Displaying Results)

## **6.6 Chapter Summary**

The conceptualized system design, goals and associated approaches were deeply explained and illustrated in this chapter for the system. The related design diagrams which have been catered to organize and depict high and low-level architectural components according to the design objectives have been illustrated. After that, the selected pattern of software design has been well described. The diagrams that support each architecture component, data flow and user interface were explored.

# **Chapter 07: IMPLEMENTATION**

## 7.1 Chapter Overview

This chapter provides the initial implementation for the proposed system. It also covers the tools used, programming languages, libraries and the overall technology stack along with their justifications. According to the design and requirement elicitation, the initial core functionalities of the system will be provided with code snippets to demonstrate the POC.

## 7.2 Technology Selection

## 7.2.1 Technology Stack

The figure below illustrates the tools and technologies selected for the implementation of the project. The technology for each layer has been presented.

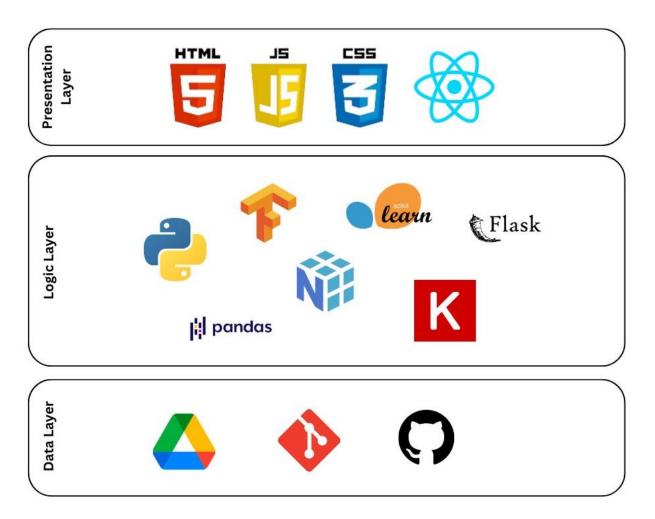


Figure 14: Technologies Used

#### 7.2.2 Dataset Selection

The dataset used for this project contains 2000+ scenarios of leetcode questions and the attempted solution by AI. The fields contain the code quality information if any code quality issues exist in the generated code. It was recently published in a research paper by Monash University Australia and the dataset was published under the MIT license to support the open source initiative and continue the exploration of AI-generated code (Liu et al., 2023).

## 7.2.3 Development Frameworks

<b>Development Framework</b>	Justification
Tensorflow	Tensor flow is an updated and widely used framework for
	building ML and DL models. It is highly flexible, scalable
	and efficient for the purpose of this project.
Flask	Flasks' lightweight nature is easy to learn and allows to
	flexibility. The ease of learning makes it a popular framework
	to build API's and for integration purposes.

Table 15: Framework Selection Justifications

#### 7.2.4 Programming Languages

<b>Programming Language</b>	Justification
Python	Python was selected due to the updated libraries and
	frameworks that allows to seamlessly build ML and DL
	models. It is specialized and has a lot of community support
	and examples for the nature of this project.
JavaScript	JavaScript was selected as it is a recommended and ideal
	choice for developing responsive web pages It also has
	extensive library support and cross -platform compatibility.

Table 16: Programming Languages Justifications

#### 7.2.5 Libraries

Library	Justification
Pandas	A powerful library that allows preprocessing such as cleaning,
	filtering and working with structured data using data frames
	efficiently and time effective.

NumPy	A powerful and widely used library for mathematical	
	computations. Allows to manipulate arrays and work with	
	multi-dimensional data structures. One of the most important	
	libraries to build deep learning models.	
Matplotlib	Allows to visualize and understand the data for detecting code	
	quality issues. Supports a variety of visualization tools and	
	techniques.	
Scikit-learn	Efficient library in splitting data seamlessly and useful for	
	feature scaling and evaluating a model's performance.	

Table 17: Libraries Selection Justifications

## **7.2.6 IDE**

IDE	Justification
Google Colab	Allows access to powerful computational resources which
	may not be accessible for a local machine. Also, has pre
	installed libraries saving the time and effort to manually
	install each library locally. Efficient for the nature of this
	project and supports Python extensively.
VSCode	This IDE is user friendly and used widely by developers for
	front end and backend projects. The rich eco system of
	extensions and built in git integration allows developers to
	seamlessly write code efficiently.

Table 18: IDE Selection Justifications

# 7.2.7 Summary of Technology Selection

Component	Tools
Development Frameworks	Tensorflow, Flask
Programming Languages	Python, JavaScript
Libraries	Pandas, Numpy, Matplotlib, Scikit-learn
IDE	Google Colab, VSCode
Version Control	Git, GitHub

Table 19: Summary of Technology Selection

## 7.3 Implementation of the Core Functionality

The author initially had to preprocess the data by cleaning the code which involved removing leading and trailing spaces from code, remove comments (single-line and multi-line) and remove unnecessary extra spaces within the code. This is to ensure that the model is not influenced by irrelevant formatting details and focus more on the code itself and its logic. The core and thorough preprocessing steps prior to this core phase to gather required data have been included in GitHub.

```
def clean_code(code):
    # Remove leading and trailing spaces
    code = code.strip()
    # Remove comments
    code = re.sub(r'//.*?(\n|$)', '', code) # Remove single-line comments
    code = re.sub(r'/*.*?\*/', '', code, flags=re.DOTALL) # Remove multi-line comments
    # Remove extra spaces within the code
    code = re.sub(r'\s+', ' ', code)
    return code

# Apply the cleaning function to the 'generated_code' column
filtered_df['cleaned_code'] = filtered_df['generated_code'].apply(clean_code)
```

Figure 15: Data Cleaning

Then the input and target variables are defined. In this case, in our dataset we have a 'generated\_code' column which contains AI-generated code. The target variables were extracted using the data extraction phase and the data was split into train, test and validation sets respectively and can be visible in the repository link shared below.

Figure 16: Splitting and defining target variables

Tokenization was done because the input require consistent shape and length. This Python code is used to preprocess text data for use in a machine learning model. By converting the text into sequences of integers and padding these sequences, the text data is transformed into a format that can be used as input for a machine learning model.

```
# Tokenizer with word-level
tokenizer = Tokenizer(lower=False, char_level=False)

# Tokenize the text in X_train, X_valid, and X_test
tokenizer.fit_on_texts(X_train)
X_train_seq = tokenizer.texts_to_sequences(X_train)
X_valid_seq = tokenizer.texts_to_sequences(X_valid)
X_test_seq = tokenizer.texts_to_sequences(X_test)

max_sequence_length = 200
X_train = pad_sequences(X_train_seq, maxlen=max_sequence_length)
X_valid = pad_sequences(X_valid_seq, maxlen=max_sequence_length)
X_test = pad_sequences(X_test_seq, maxlen=max_sequence_length)

print('Number of tokens: ',len(tokenizer.word_counts))
sorted(tokenizer.word_counts.items(), key=lambda x:x[1], reverse=True)[0:10]
tokenizer.num_words = 1500
```

Figure 17: Tokenization

For this prototype, a CNN model was created with an input layer, embedding layer, a convolution layer, an average pooling layer, a dropout layer, a flatten layer and finally the 4 output layer binary split. Callbacks such as early stopping were defined to counter overfitting and the model was trained with 10 epochs and batch size 32.

```
# Define input layer.
inputLayer = tf.keras.layers.Input(shape=(max_sequence_length))
# Add middle layers starting with embedding layer.
middleLayers = tf.keras.layers.Embedding(input_dim = 4000,
                                    output_dim = 4)(inputLayer)
middleLayers = tf.keras.layers.Convolution1D(filters=32, kernel size=(6))(middleLayers)
mid_layers = tf.keras.layers.GlobalAveragePooling1D()(middleLayers)
middleLayers = tf.keras.layers.Dropout(0.2)(middleLayers)
# Add a flatten laver.
middleLayers = tf.keras.layers.Flatten()(middleLayers)
outputLayer1 = tf.keras.layers.Dense(2, activation='softmax')(middleLayers)
outputLayer2 = tf.keras.layers.Dense(2, activation='softmax')(middleLayers)
outputLayer3 = tf.keras.layers.Dense(2, activation='softmax')(middleLayers)
outputLayer4 =tf.keras.layers.Dense(2, activation='softmax')(middleLayers)
# Create model
model = tf.keras.Model(inputLayer,[outputLayer1,outputLayer2,outputLayer3, outputLayer4])
model.compile(optimizer='adam', loss='categorical_crossentropy', metrics=['accuracy'])
model.summary()
```

Figure 18: Building and Training Model

The entire implementation along with the thorough preprocessing steps and approach to solve data imbalance will be available on <u>GitHub</u> on a private repository and access will be given if requested.

### 7.4 Chapter Summary

This chapter covered the main areas of the initial implementation. The anticipated technology stack, libraries, IDE's, frameworks and the overall technology selection along with their justifications were mentioned. Code snippets were provided which covered the core implementation and functionalities of the initially proposed prototype. The following chapter will cover the areas of initial testing results and evaluation, it will further highlight the necessary steps required to further improve the POC to ensure a remarkable MVP.

**CHAPTER 08: TESTING** 

8.1 Chapter Overview

This chapter explores all the testing aspects for this project. Starting by rewinding through the goals and objectives set previously and moving forward with the determined testing criteria.

Later, the model testing results, and the confusion matrix will be discussed and evaluated.

Moving on to functional and nonfunctional requirements testing and mentioning any limitations

faced.

8.2 Objectives and Goals of Testing

The ultimate aim for testing would be to adhere and make sure that your prototype functions and performs as expected. The testing objectives and goals for QualityCheck AI is mentioned below:

• To make sure that all components and modules of the QualityCheck AI system are

working as expecting producing accurate results.

• Ensure that the functional requirements mentioned in the SRS with priority levels ("Must

Have" and "Should Have") according to the MoSCoW principle is met.

• Ensure that the non-functional requirements mentioned in the SRS with priority levels

("Must Have" and "Should Have") according to the MoSCoW principle is met.

• Document or fix any errors/fixes in the system through testing.

Carry out steps and testing to make it a benchmark and produce this system as a baseline

for future researches in this domain.

8.3 Testing Criteria

In order to categorize the testing criterion, two particular ways have been distinguished:

1. Functional Testing

This is where the focus is on the system meeting its intended use which are the functional

requirements and how well the systems perform in achieving these.

2. Non-functional Testing

This is where the focus is on the system meetings its structural and non-functional use cases and how well the system performs in achieving these.

### 8.4 Model Testing

The dataset was split into training, test and validation test in the standard ration of 80:10:10 where all the records went through data preprocessing and tokenization before training the model.

#### **8.4.1 Confusion matrix**

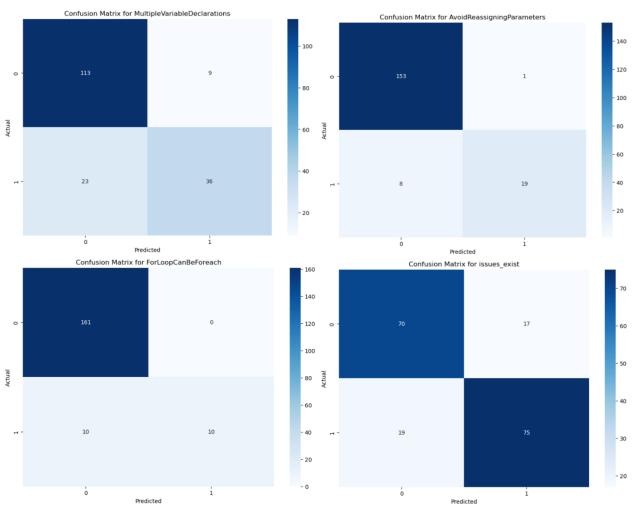


Figure 19: Confusion Matrix for each Code Quality Issue

Overall, the module performs well in terms of classification. Even with ain imbalaneed dataset,

the model seemed to accurately classifiy most of the cases. The model performs the best for MultipleVariableDeclarations issue, AvoidReassigningParameters issue and for classyfing no issues in the code with less false positives and false negative making it a very reliable prediction. However, the ForLoopCanBeForEach issue is not as strong but is still considered decent considering the other evaluation metrics discussed below. Overall, it is a good benchmark produced and a novel identification where other reseraches could build on top of and comapre with.

#### **8.4.2** Evaluation metrics

Evaluation Metric	Accuracy	Precision	Recall	F1 Score
Code Quality Issue				
MultipleVariableDeclarations	0.82	0.80	0.61	0.69
AvoidReassigningParameters	0.95	0.95	0.70	0.81
ForLoopCanBeForEach	0.94	1.0	0.50	0.67
No Issues	0.80	0.82	0.80	0.81

Also, the no issues classification which had an accuracy of 0.80, precision of 0.82, recall of 0.8 and f1 score of 0.81 was obtained successfully proving the success of detecting code quality issues in AI-generated code. This is an extra classification used to get the confidence level.

### 8.5 Benchmarking

As previously discussed during the literature review, the author is the first to explore code quality issues in AI-generated code. Therefore, there is no standard benchmarking dataset to compare findings. In fact, there is not much data or datasets available for this domain at all.

# **8.6 Functional Testing**

FR03 Type or paste Java code to test for code quality issues  FR01 User predicts for issues clicking 'Analyze' button Probabilities of identified issues.  FR02 FR04 Check for analysis results for context meaningful way  FR05 Select different versions of the models and predict an analysis  FR06 Try clicking analyze without inputting any code  FR07 Try inputting FR08 FR09 Code with specific code quality issue and display in meaningful display in meaningful way predicts and display in meaningful way predicts and display in meaningful way predicts and and display in meaningful way predicts and display in meaningful display in meaningful way predicts and display in meaningful way predicts and display in meaningful way predicts and display in meaningful predict and quality issue and display in meaningful way predicts and display in meaningful display in meaningful predicts and displa	Test Case	FR ID	Action	Expected Result	Actual Result	Status
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issues    FR01, User predicts for system predicts and results displayed with probabilities of identified issues.			Java code to test	code visible in the text	successfully displayed	
FR01, User predicts for issues clicking results displayed with probabilities of identified issues.  FR02 Check for analysis results of issues identified in a for context meaningful way  FR05 Select different versions of the models and analysis  FR06 Try clicking analyze without inputting any code  FR07 Try inputting FR08 System does not allow code  FR08 FR09 Try inputting System does not allow code with proceed  FR09 Try inputting System does not allow to proceed  FR01, Try inputting System accurately FR02 code with specific code quality issue in it quality issue and			for code quality	area box	in text area box	
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quality issue in it quality issue and quality issue and		FR02	code with	predicts and identify	predicts and identify	
			specific code	the specific code	the specific code	
display in meaningful display in meaningful			quality issue in it	quality issue and	quality issue and	
				display in meaningful	display in meaningful	
way way				way	way	

### 8.7 Module and Integration Testing

Module	Input	<b>Expected Result</b>	<b>Actual Result</b>	Status
Tokenizer Module	Java code block	Code tokenized into	Code tokenized into	Pass
		a sequence or list of	a sequence or list of	
		tokens	tokens	
Code Quality Issue	Java code block	Code quality issue	Code quality issue	Pass
Detection Module	with code quality	identified along	identified along	
	issues	with confidence	with confidence	

### 8.8 Non-Functional Testing

#### **8.8.1** Accuracy Testing

Covered in section 8.4.2 where an average of 90.3% accuracy was achieved for the 3 types of code quality issues.

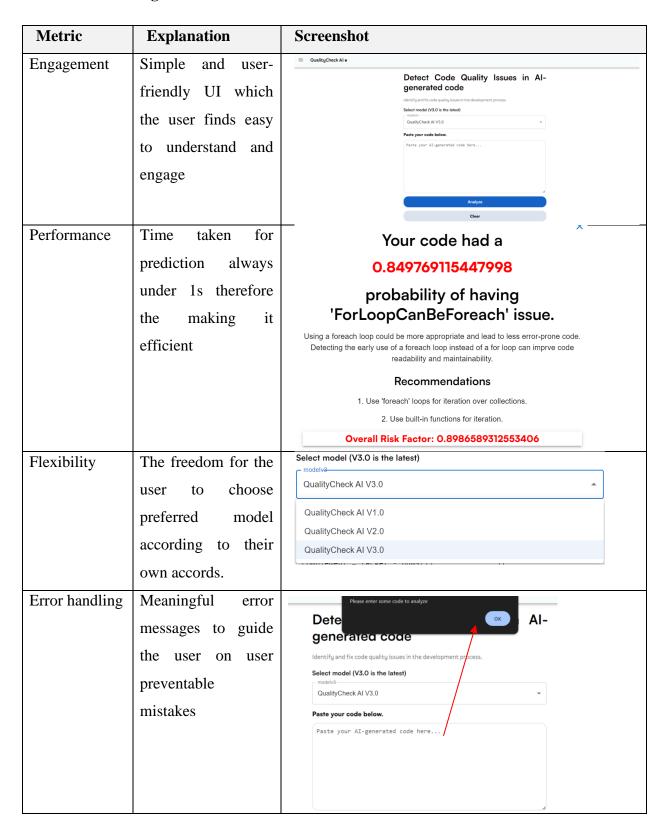
#### 8.8.2 Performance Testing

In exhaustive testing of the prediction duration, it was noted that all predictions took under 1s despite the length of the code block inputted. This makes it scalable and efficient.

### **8.8.3 Reliability Testing**

The reliability of the results and cliassfications can be proven by the results of the confusion matrix. A breakdown and discussion was explained in section 8.4.1

#### 8.8.4 UI/UX Testing



#### **8.9 Limitation of the Testing Process**

**No standard benchmark: s**ince this was the first project of its nature targeting AI-generated code, there was not standard benchmark dataset or results to compare against.

No standard dataset and limited resources: since the field and rise of code generation tools are new, there were not much data readily available. Also, resources and understanding of deep learning applied in this less. Making it a less resourceful area in context of deep learning and code quality issues.

### 8.10 Chapter Summary

This covered all the testing aspects for this project. The goals and objectives set previously were recapped along with the determined testing criteria. Later, the model testing results, and the confusion matrix were be discussed and evaluated. Then the functional and nonfunctional requirements testing were covered mentioning any limitations faced.

### **CHAPTER 09: EVALUATION**

#### 9.1 Chapter Overview

This chapter focuses on a structured evaluation of the system that has been developed – Detecting Code Quality Issues from AI – Generated code. The areas under this section include the Methodology, Evaluation Criteria then moving on to the strict self-evaluation, selection of the evaluators and the results of it. The limitations of the evaluation is what the chapter would be ended with.

### 9.2 Evaluation Methodology and Approach

The plan used both qualitative and quantitative ways. Quantitative looks at numbers like Accuracy, Precision, Recall and the F1 score to judge performance. On the other hand, qualitative includes expert reviews, user interviews and surveys. This covers looking at both how well the model works and how users feel.

#### 9.3 Evaluation Criteria

Selected evaluation criteria and their purposes were discussed in the table below.

Criteria	Purpose		
Innovation	Assess the research gap that was addressed and the		
	technology used to meet it		
Complexity and Scope	Evaluate from end to end of the scope of research and		
	the problems that can arise		
Technology Suitability	Evaluate whether the correct tools, technologies and		
	practices have been applied		
Code Quality Assessment	Evaluate how accurate and reliable the output of the		
	model is		
Performance Metrics	Evaluate the relevance and effectiveness of the		
	performance metrics that have been selected		
User Interface and Experience	Evaluate Usability and the Satisfaction of the User with		
	the interface that has been developed from end to end		
Feedback and Future Direction	Evaluating the feedback that was given and fostering it		
	for future development		

Table 17: Evaluation Criteria

### 9.4 Self Evaluation

Self-evaluation of QualityCheck AI has been discussed in the table below

Criteria	Evaluation		
Novelty	Software development faces issues with the go to methods		
	of detecting code quality issues, with the AI driven		
	approach of this model, it aims to bridge that gap and acts		
	as mitigation for the code quality issues		
Challenge and Scope	The domain that is being explored proves challenging due		
	to the limited exploration in this area. The challenges also		
	include the inherent issues with pre-written programming		
	templates and the lack of labeled data is also one to		
	consider.		
Technology Selection and	The model has been built using the knowledge gathered		
Development Approaches	from the industry and industry standard best practices in		
	development. However, the ever-evolving technologies and		
	expert evaluation needs to be considered as well		
Code Quality Assessment	The model shows promise in terms of identifying code		
	quality issues and detecting anomalies, the issues to be		
	considered stem from the lack of labeled data.		
Performance Metrics	The model has good performance showing high accuracy		
	rates, good classification capability and minimizing false		
	positives and negatives to ensure reliability.		

Table 18: Self Evaluation

### 9.5 Selection of the Evaluators

The evaluators of this project are categorized as displayed in the table below.

Category ID	Description
CAT1	Domain experts such as Quality Assurance experts and
	senior developers in the industry, who endorse the need

	for secure systems and checks for quality and review	
	code.	
CAT2	Technical Experts including graduates, researchers, and	
	lecturers - with knowledge in related areas like machine	
	learning, data engineering, and software were also	
	consulted.	
CAT3	Targeted Users - Developers, students and QA	
	engineers were considered.	

Table 20: Evaluator Categories

## 9.6 Evaluation Result

The thematic analysis findings for each criterion and categories were presented in the table below.

Criteria	Category ID	Theme	Summarized Opinion
Novelty	CAT1,	Originality in the selected	There is originality as it deals
	CAT2	problem domain and what	with problems of missing
		was conducted as being	labeled data and using deep
		innovation in research	learning for identifying code
			quality issues of AI generated
			code. Also using 4 binary
			output stream CNN sounds like
			a novel contribution.
Challenge	CAT1,	Overcoming obstacles and	Main hardships faced include
and Scope	CAT2	defining project boundaries	the lack of data for deep
			learning models. Also, since it
			is a ever evolving field, there
			are not many research material
			on this domain and approach.
Code	CAT1	Severity of code quality	The code quality issues selected
Quality		issues and system accuracy	are adequate and some
Issues			avoidable issues, the model has
Detection			detected majority of the issues

and Range			in AI generated code but certain
			issues still remain in AI-
			generated code.
Technology	CAT2	Technology stack choice	To ensure efficiency and
stack and		and coding methodology	scalability, the research project
approach			used the best possible
			technology stack, utilizing
			React for frontend development
			and Python Flask for backend
			development. Version control
			was managed through Git and
			for the scope of this project its
			viable.
Performance	CAT2	Evaluation metrics analysis	There have been performance
Metrics		and proficiency	metrics that have been
			generated for the model to get
			an idea of the models
			performance which include the
			confusion matrix, accuracy,
			recall, precision and F1 score
			which is the ideal stack for this
			kind of problem.
UI/UX	CAT3	User interface and user	Users can access previous
		experience	models and choose. The
			interface has simple and
			intuitive design. Even though
			input fields are meant to prevent
			errors, some evaluators thought
			there could be more to the
			application.
Future work	CAT1,	Future work and feedback	Issues that can be considered to

and	CAT2,	be overcome is the dataset
feedback	CAT3	imbalance that can improve the
		model performance, turning the
		model into an IDE plugin can
		be looked at in the long run or
		even multi language support as
		well.

Table 21: Results of Evaluation (Thematic)

#### 9.7 Limitations of Evaluation

One big problem that arose during the thesis project's evaluation was the difficulty in finding specialists who had firsthand knowledge of projects close to the thesis. A number of experts in directly relevant fields were contacted but were unable to take part because of their busy schedul es, that resulted in having a smaller number of evaluators for that project.

### 9.8 Evaluation of Functional Requirements and Non-Functional Requirements

Section 8.6 provides a thorough evaluation of the functional requirements, and Section 8.8 assesses the non-functional requirements. The results of the analysis demonstrated that every requirement had been successfully implemented in accordance with the suggested plan, guaranteeing the project's successful completion of its functional and non-functional components.

### 9.9 Chapter Summary

This chapter offers a thorough analysis of the created model, outlining the methods and standards used in the evaluation process. It comprises the evaluation of the author as well as the classification and display of the reviewer's comments. The chapter also describes the evaluation process's constraints and provides advice on where to find the functional and non-functional requirements needed for additional research and development.

### **CHAPTER 10: CONCLUSION**

### **10.1 Chapter Overview**

This chapter concludes the thesis and highlights test results. It further explores any deviations made to the project based on previously set timelines. Improvements that are required and essential will be covered towards the end of the chapter along with a demo video of the prototype explaining the problem, solution and improvements. A link to access the codebase will also be provided.

### 10.2 Achievements of Research Aims & Objectives

Research Objectives	<b>Learning Outcome</b>	Status
Problem Identification	LO1, LO2	Completed
Literature	LO1, LO4, LO8	Completed
Data Gathering and Analysis	LO2,LO3	Completed
Research Design	LO1,LO5, LO8	Completed
Implementation	LO1,LO5,LO7,LO8	Completed
Testing and Evaluation	LO5,LO8	Completed

Table 22: Achievements of Research Aims & Objectives

### 10.3 Utilization of Knowledge from the Course

Utilized Knowledge	
Simple coding to advanced object-oriented concepts is a	
necessary requirement to any developer which is always	
utilized wherever and whenever applicable.	
The algorithmic knowledge gained from this module was	
very valuable. Especially learning the fundamentals of	
machine learning and basics of deep learning helped as a	
stepping stone.	
This module provided a reality into the industry. Research	
principles and best practices gained in this played a crucial	
role. From documentation to writing code whether it be for	
the frontend and backend in high professional standard	
An introduction to creating a display page and the entire	
web development process helped understand the necessary	
fundamentals required for a frontend.	
This module gave a strong understanding on how to design	
and the best practices to consider when designing and	
developing an application in context to the user's	
experience.	

Table 23: Utilization of Knowledge

### 10.4 Use of Existing Skills

The completion of the placement year at WSO2 as a software engineering intern gave the author an invaluable experience. The industry standard, best practices and supportive mentors made it extremely handy to complete this project on time.

Also, the author's research skills developed through leading the team during the SDGP module and working on R&D projects during the internship played a vital role in exploring the research domain and write proper reports. The DL and ML knowledge gained from the second year onwards helped understand and grasp the theoretical concepts of main algorithms and techniques

used in ML and DL which increased understanding and played as a key asset.

#### 10.5 Use of New Skills

- Code Quality in Generative AI: During the start of the project, the author lacked understanding in the domain of code quality and how generative AI works. Therefore, the author gained invaluable insights in this domain and where the future is headed with generative AI.
- **Deep Learning (CNN)**: The QualityCheck AI architecture and system uses a CNN with multiple different types of parameters and layer architectures. This made the author gain a deep understanding of CNNs and how to customize certain layers for specific problems.

### 10.6 Achievement of Learning Outcomes

Description	Learning Outcome(s)
A challenging project scope was defined and	L01
tackled using appropriate methodologies and	
techniques.	
The schedule was followed and updated in the	L02
form of a GANTT chart to meet milestones and	
deadlines.	
Relevant data gathering and requirement	L03
elicitation was conducted.	
LR, brainstorming and interviews were	L04
conducted to retrieve findings and information	
Literature review was constantly updated and	L05, L07, L08
reviewed till the end of the project to gain a	
thorough and latest understanding.	
Brainstorming and interviews were conducted to	
retrieve more findings.	
Social, Legal, Ethical and Professional issues	L06
were always monitored and ensured to stay	

within the boundaries of the research.	
Presentation and review for viva to publish the	L09
submitted thesis.	

Table 24: Achievement of Learning Outcomes

### 10.7 Problems and Challenges Faced

Challenge encountered	Counter
Data scarcity(imbalanced) and limited resources	No readily available data due to the
	newness of the generative AI domain.
	The data for the project had to be
	extracted from a Json file and be
	converted into processable format.
Hardware constraints	Assinging and using GPU resources
	were power consuming and needed more
	GPU. Virtual enviroenments such as
	Google Colab, Code Spaces by Github
	were used to counter and deal with
	heating issues.
Finding research materials	Since the author is the first to explore
	applying deep learning in this domain,
	there were no prior work in this domain.
	Similar work in other domains had to be
	compared and test if applicable.

Table 25: Challenges Encountered

#### 10.8 Deviations

#### **10.8.1 Scope Related Deviations**

According to the scopes mentioned in the project proposal, there have been no deviations from both in-scope and out-scope categories. There was no reason to add or remove any additional functionalities as the in scope covers the problem and solution novelty effectively.

#### 10.8.2 Schedule Related Deviations

There are no schedule related deviations as of April 2024, the below tables shows the expected tasks of this project along with their status and deadlines.

Deliverable	Deadline	Status
Final Project Proposal	October 2023	Completed
Initial Literature Review  Thorough analysis and critical evaluation of existing and relatedwork in the domain.	October 2023	Completed
System Requirement Specification Specifies the requirements to be met by the proposed prototype.	November 2023	Completed
Final Project Specifications Design and Prototype (PSDP)  Documentation and POC of the implemented solution alongwith its core features.	February 2024	Completed
Minimum Viable Product Submission	March 2024	Completed
Testing and Evaluation	March 2024	Completed
Final Thesis Submission	April 2024	Completed

Table 26: Schedule Related Deviations

#### 10.9 Limitation of the Research

Limitations identified for QualityCheck AI are discussed below:

- Model performance in classification achieved an overall good result achieving good scores in accuracy, precision, recall and f1 score. However, some code quality issues can improve its performance because some measures can be considered as not good.
- Dataset imbalance would be the cause for loss and potential poor performance in real world scenarios. Since it is a new field, there will always be a data scarcity and researches can use this as a benchmark or gather more data to get more accurate results.

• QualityCheck AI has been built only to analyze issues in Java source code which can be considered a limitation as it does not have multi language support.

#### **10.10 Future Enhancements**

- Gathering more data for code quality issues with less data or maybe even curating a new dataset which can be a standard for this domain. Having balanced data can lead to extraordinary results.
- Identifying more range of code quality issues which can be considered as a worthful aspect of this system.
- Include multi-language support. The existing system could be used to cater more programming languages or ensemble model techniques could be explored to implement multi language support.
- Frontend or UI could be included to have more features such as downloading the analysis as a report and other innovative features.

#### 10.11 Achievement of the Contribution to Body of Knowledge

The contribution made to the body of knowledge in context to theory and technicality can be summarized as follows:

#### 1. Contribution to Deep Learning Domain (Research and Theoretical)

Prior work have only used basic deep learning mechanisms with sequential approaches and one output stream for normal human code snippets. One the other hand, the system by QualityCheck AI proposes a novel deep learning model which uses a 4 output stream binary split which accurately detects code quality issues have been implemented. Further, it has been built targeting AI-specific code.

#### 2. Contribution to Code Quality Domain (Technical and Problem)

Currently available solutions are primarily focused and built on top of code which is not AI-generated. Majority of the code quality tools developed still have limitations and constraints in their ability. The proposed system and test results with outstanding evaluation metric scores prove that QualityCheck AI can perform well and serve as a benchmark for future research in this domain. This helps improve current static analysis procedures and adapt static analysis and code quality security in terms of the future where its headed towards majority of the code being AI-generated.

#### **10.12 Concluding Remarks**

QualityCheck AI is a system which is the first of its kind. It proposes a novel deep learning approach of using a CNN with 4 output stream binary split for code analysis. Quality Check AI proves the ability of deep learning in context to code analysis and accurately detecting multiple code quality issues where it can be considered more effective than existing code analysis tools and techniques. This research project opens various possibilities in the emerging field of generative AI. Being the first research in applying deep learning for detecting code quality issues in AI-generated code, this could be considered a dawn for a new era by using this as a steppingstone.

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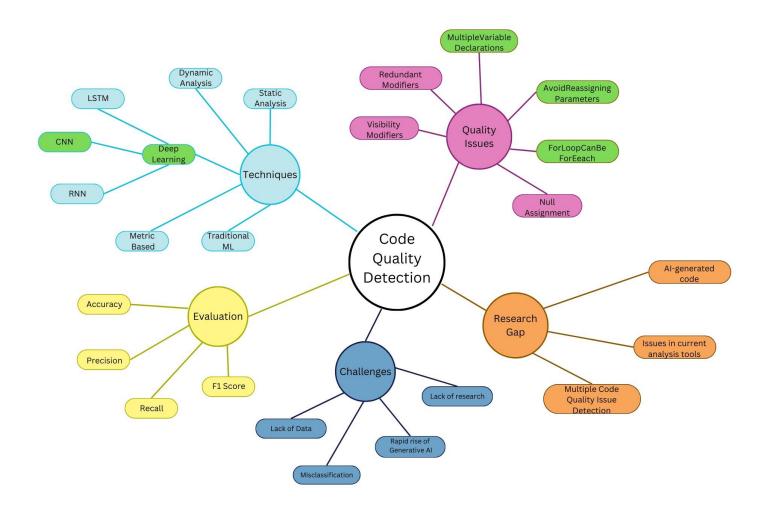
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# Appendix A – Concept Map



# Appendix B – SRS

# **Interview Questions**

Question	Purpose	Research
	i.e. how it is linked to the research?	Question
What code analysis tools do you	To identify widely used code analysis	RQ2
currently use?	tools in the industry.	
If you use any, does it accurately	To evaluate the need for a more accurate	RQ1
detect code quality issues?	tool.	
What are the challenges or	To identify current gaps and limitations in	RQ1
limitations of using existing tools	current tools.	
or methods to detect code quality		
issues in AI-generated code?		
What are the common code	To identify the common code quality	RQ1
quality issues that are detected	issues that needs to be covered in the	
from the current tool you use?	prototype.	
How satisfied are you with the	To understand if the developers are	RQ2
current code analysis tools you	satisfied with the current code analysis	
use?	tools they use.	
Do you know any trends or	To explore existing work and potential	RQ3
systems that are using or planning	trends.	
to use deep learning for code		
analysis?		

# **Interviewee List**

Interviewee	Category	Name	Designation
ID	ID		
1	2	Mr Mohamed Fazal	Software Engineer/Full Stack
			Developer, Tecciance (Pvt) Ltd.
2	1	Mr Ihthisam Rasheed	Senior QA
			Innovative-e (Pvt) Ltd
3	1	Mr Ayyoob Rifdhi	Senior Systems Engineer
			WSO2
4	2	Mr Farwaiz Firthouse	Associate Software Engineer,
			Innovative-e (Pvt) Ltd
5	3	Anonymous	QA Intern,
			WSO2