

WARSAW UNIVERSITY OF TECHNOLOGY  
DEVELOPMENT PROGRAMME

Functional and Approximate  
Dependencies

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HUMAN CAPITAL  
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DEVELOPMENT PROGRAMME

## Functional Dependencies (FDs)

Let  $D = (O, AT)$  and  $A, B \subseteq AT$ .

- $A \rightarrow B$  is defined as a *functional dependency* if  $\forall x \in O, [x]_A \subseteq [x]_B$ .
- A functional dependency  $A \rightarrow B$  is called *minimal* if  $\forall C \subset A, C \rightarrow B$  is not functional.
- A dependency  $A \rightarrow B$  is called *trivial* if  $B \subseteq A$ .

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## Example: FDs

$D = (O, AT)$ , where  
 $O = \{1, 2, 3, 4, 5, 6\}$ ,  
 $AT = \{abcd\}$ .

Id	a	b	c	d
1	1	1	2	2
2	1	1	2	2
3	2	2	2	2
4	3	3	3	3
5	5	5	6	6
6	5	5	6	6

- $\{cd\} \rightarrow \{ab\}$  is not a functional dependency.
- $\{ab\} \rightarrow \{cd\}$  is a functional dependency but is not minimal.
- $\{a\} \rightarrow \{cd\}$  is a minimal functional dependency.
- $\{ab\} \rightarrow \{a\}$  is trivial.

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Id	a	b	c	d
1	1	1	2	2
2	1	1	2	2
3	2	2	2	2
4	3	3	3	3
5	5	5	6	6
6	5	5	6	6

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- $\{ab\} \rightarrow \{cd\}$  is a functional dependency, but is not minimal.
- $\{a\} \rightarrow \{cd\}$  is a minimal functional dependency.
- $\{ab\} \rightarrow \{a\}$  is trivial.

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## Example: FDs

$D = (O, AT)$ , where  
 $O = \{1, 2, 3, 4, 5, 6\}$ ,  
 $AT = \{efgh\}$ .

Id	e	f	g	h
1	1	1	1	2
2	1	1	1	2
3	1	1	2	2
4	1	2	3	3
5	5	5	5	5
6	5	5	5	5

- $\{e\} \rightarrow \{h\}$  is not a functional dependency.
- $\{f\} \rightarrow \{h\}$  is a minimal functional dependency.
- $\{ef\} \rightarrow \{h\}$  is a functional dependency, but is not minimal.
- $\{efg\} \rightarrow \{h\}$  is a functional dependency, but is not minimal.

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## Properties of FDs

- Theorem (Basic rules for the TANE algorithm).** Let  $a \in X$  and  $b \notin X$ .

- **r1:** If  $X \setminus \{a\} \rightarrow \{a\}$  is functional, then  $\forall Y \supset X, Y \setminus \{a\} \rightarrow \{a\}$  is a functional, but not minimal, dependency.
- **r2:** If  $X \setminus \{a\} \rightarrow \{a\}$  and  $X \rightarrow \{b\}$  are functional, then  $\forall Y \supset X, Y \setminus \{b\} \rightarrow \{b\}$  is a functional, but not minimal, dependency.

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## Illustration of property r1

**Theorem.** Let  $Y \supset X, a \in X$  and  $b \notin X$ .

- **r1:** If  $X \setminus \{a\} \rightarrow \{a\}$  is functional then  $Y \setminus \{a\} \rightarrow \{a\}$  is functional, but not minimal.

**Example (illustrating a method of deriving rule r1).**

Let  $X = \{acde\}$  and  $Y = \{abcde\}$ . Then,  $a \in X, b \notin X, Y \supset X$ .

- **r1:**  $X \setminus \{a\} \rightarrow \{a\}$  is functional  $\Rightarrow$   
 $\{cde\} \rightarrow \{a\}$  is functional  $\Rightarrow$   
 $\{bcde\} \rightarrow \{a\}$  is functional, but not minimal  $\Rightarrow$   
 $\{abcde\} \rightarrow \{a\}$  is functional, but not minimal  $\Rightarrow$   
 $Y \setminus \{a\} \rightarrow \{a\}$  is functional, but not minimal.

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## Proof of Properties of FDs...

- Theorem (Basic rules for TANE).** Let  $a \in X$ .

- **r1:** If  $X \setminus \{a\} \rightarrow \{a\}$  is functional, then  $\forall Y \supset X, Y \setminus \{a\} \rightarrow \{a\}$  is a functional, but not minimal, dependency.

**Proof:**

Since  $a \in X$ , then  $Y \supset X \Leftrightarrow Y \setminus \{a\} \supset X \setminus \{a\}$ .

Hence, as  $X \setminus \{a\} \rightarrow \{a\}$  is functional,

then  $\forall Y \setminus \{a\} \supset X \setminus \{a\}, Y \setminus \{a\} \rightarrow \{a\}$  is functional.

Thus,  $\forall Y \supset X, Y \setminus \{a\} \rightarrow \{a\}$  is functional, but not minimal. 9



## Illustration of property r2

**Theorem.** Let  $Y \supset X, a \in X$  and  $b \notin X$ .

- **r2:** If  $X \setminus \{a\} \rightarrow \{a\}$  and  $X \rightarrow \{b\}$  are functional, then  $Y \setminus \{b\} \rightarrow \{b\}$  is functional, but not minimal.

**Example (illustrating a method of deriving rule r2).**

Let  $X = \{acde\}$  and  $Y = \{abcde\}$ . Then,  $a \in X, b \notin X, Y \supset X$ .

- **r1:**  $X \setminus \{a\} \rightarrow \{a\}$  and  $X \rightarrow \{b\}$  are functional  $\Rightarrow$   
 $\{cde\} \rightarrow \{a\}$  i  $\{acde\} \rightarrow \{b\}$  are functional  $\Rightarrow$   
 $\{cde\} \rightarrow \{acde\}$  i  $\{acde\} \rightarrow \{b\}$  are functional  $\Rightarrow$   
 $\{acde\} \rightarrow \{b\}$  is functional, but not minimal  $\Rightarrow$   
 $\{abcde\} \rightarrow \{b\}$  is functional, but not minimal  $\Rightarrow$   
 $Y \setminus \{b\} \rightarrow \{b\}$  is functional, but not minimal. 10



## Proof of Properties of FDs

- Theorem (Basic rules for TANE).** Let  $Y \supset X, a \in X, b \notin X$ .

- **r2:** If  $X \setminus \{a\} \rightarrow \{a\}$  and  $X \rightarrow \{b\}$  are functional, then  $\forall Y \supset X, Y \setminus \{b\} \rightarrow \{b\}$  is a non-minimal fun. dependency.

**Proof:**

Since  $X \setminus \{a\} \rightarrow \{a\}$  and  $X \rightarrow \{b\}$  are functional dependencies, then  $X \setminus \{a\} \rightarrow X$  and  $X \rightarrow \{b\}$  are functional dependencies, so  $X \rightarrow \{b\}$  is a functional dependency, but not minimal.

As  $b \notin X$ , then  $X \setminus \{b\} = X$ .

Hence,  $X \setminus \{b\} \rightarrow \{b\}$  is a non-minimal functional dependency.

Therefore,  $\forall Y \supset X, Y \setminus \{b\} \rightarrow \{b\}$  is a non-minimal functional dependency. 11



## Discovering FDs with TANE

- TANE discovers *minimal functional non-trivial dependencies with one dependent attribute*.
- In iteration  $i$ , TANE builds candidate dependencies  $X \setminus \{a\} \rightarrow \{a\}$  from attribute sets  $X$  of cardinality  $i$ .
- New attribute sets of cardinality  $i + 1$  are built from attribute sets of cardinality  $i$  in an Apriori-like way.
- Basic rules r1 and r2 are applied to avoid creation of attribute sets of cardinality  $i + 1$  from which no minimal functional dependency could be created. 12

Example: FDs...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

i=1	{a}	{b}	{c}	{d}
C+	{abcd}	{abcd}	{abcd}	{abcd}
cand.	$\emptyset \rightarrow \{a\}$	$\emptyset \rightarrow \{b\}$	$\emptyset \rightarrow \{c\}$	$\emptyset \rightarrow \{d\}$

i=2	{ab}	{ac}	{ad}	{bc}	{bd}	{cd}
C+	{abcd}	{abcd}	{abcd}	{abcd}	{abcd}	{abcd}
cand.	$\{a\} \rightarrow \{b\}$	$\{a\} \rightarrow \{c\}$	$\{a\} \rightarrow \{d\}$	$\{b\} \rightarrow \{c\}$	$\{b\} \rightarrow \{d\}$	$\{c\} \rightarrow \{d\}$
	$\{b\} \rightarrow \{a\}$	$\{c\} \rightarrow \{a\}$	$\{d\} \rightarrow \{a\}$	$\{c\} \rightarrow \{b\}$	$\{d\} \rightarrow \{b\}$	$\{d\} \rightarrow \{c\}$

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Example: FDs...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

i=2	{ab}	{ac}	{ad}	{bc}	{bd}	{cd}
C+	{abcd}	{abcd}	{abcd}	{abcd}	{abcd}	{abcd}
cand.	$\{a\} \rightarrow \{b\}$	$\{b\} \rightarrow \{a\}$	$\{a\} \rightarrow \{d\}$	$\{b\} \rightarrow \{c\}$	$\{b\} \rightarrow \{d\}$	$\{c\} \rightarrow \{d\}$
	$\{b\} \rightarrow \{a\}$	$\{c\} \rightarrow \{a\}$	$\{d\} \rightarrow \{a\}$	$\{c\} \rightarrow \{b\}$	$\{d\} \rightarrow \{b\}$	$\{d\} \rightarrow \{c\}$

i=3	{abc}	{abd}	{acd}	{bcd}
C+	{abcd}	{abcd}	{abcd}	{abcd}
cand.	$\{ab\} \rightarrow \{c\}$	$\{ab\} \rightarrow \{d\}$	$\{ac\} \rightarrow \{d\}$	$\{bc\} \rightarrow \{d\}$
	$\{ac\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{c\}$	$\{bd\} \rightarrow \{c\}$
	$\{bc\} \rightarrow \{a\}$	$\{bd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{b\}$

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Example: FDs...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

i=3	{abc}	{abd}	{acd}	{bcd}
C+	{abcd}	{abcd}	{abcd}	{abcd}
cand.	$\{ab\} \rightarrow \{c\}$	$\{ab\} \rightarrow \{d\}$	$\{ac\} \rightarrow \{d\}$	$\{bc\} \rightarrow \{d\}$
	$\{ac\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{c\}$	$\{bd\} \rightarrow \{c\}$
	$\{bc\} \rightarrow \{a\}$	$\{bd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{b\}$

delete **b** by rule r1      delete **d** by rule r2

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Example: FDs

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

i=3	{abc}	{abd}	{acd}	{bcd}
C+	{ac}	{ad}	{ad}	{d}
cand.	$\{ab\} \rightarrow \{c\}$	$\{ab\} \rightarrow \{d\}$	$\{ac\} \rightarrow \{d\}$	$\{bc\} \rightarrow \{d\}$
	$\{ac\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{b\}$	$\{ad\} \rightarrow \{c\}$	$\{bd\} \rightarrow \{c\}$
	$\{bc\} \rightarrow \{a\}$	$\{bd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{a\}$	$\{cd\} \rightarrow \{b\}$

i=4	{abcd}
C+	$\{ac\} \cap \{ad\} \cap \{ad\} \cap \{d\} = \emptyset$
cand.	-

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Example: FDs and Partitions

$D = (O, AT)$ , where

- $O = \{1, 2, 3, 4, 5, 6\}$
- $AT = \{abcd\}$

Id	a	b	c	d
1	1	1	2	2
2	1	1	2	2
3	2	2	2	2
4	3	3	3	3
5	5	5	6	6
6	5	5	6	6

- $\Pi_{\{ab\}} = \{\{1, 2\}, \{3\}, \{4\}, \{5, 6\}\}$
- $\Pi_{\{cd\}} = \{\{1, 2, 3\}, \{4\}, \{5, 6\}\}$
- $\{ab\} \rightarrow \{cd\}$  is a functional dependency.
- $\{cd\} \rightarrow \{ab\}$  is not a functional dependency.

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FDs and Partitions

**Theorem.**

$X \rightarrow Y$  is functional iff  $\Pi_X = \Pi_{X \cup Y}$  iff  $|\Pi_X| = |\Pi_{X \cup Y}|$ .

Id	a	b	c	d
1	1	1	2	2
2	1	1	2	2
3	2	2	2	2
4	3	3	3	3
5	5	5	6	6
6	5	5	6	6

- $\Pi_{\{ab\}} = \{\{1, 2\}, \{3\}, \{4\}, \{5, 6\}\}$ .
- $\Pi_{\{cd\}} = \{\{1, 2, 3\}, \{4\}, \{5, 6\}\}$ .
- $\Pi_{\{abcd\}} = \{\{1, 2\}, \{3\}, \{4\}, \{5, 6\}\}$ .

Hence:

- $|\Pi_{\{ab\}}| = 4 = |\Pi_{\{abcd\}}|$ , so  $\{ab\} \rightarrow \{cd\}$  is a functional dependency.
- $|\Pi_{\{cd\}}| = 3 \neq 4 = |\Pi_{\{abcd\}}|$ , so  $\{cd\} \rightarrow \{ab\}$  is not functional.

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## Calculation of Partitions in TANE

### • Property.

$$\Pi_{X \cup Y} = \Pi_X \cap \Pi_Y.$$

### • In TANE:

If  $X = \{x_1, \dots, x_{n-1}, x_n\}$ , then

$$\Pi_X = \Pi_{X \setminus \{x_n\}} \cap \Pi_{X \setminus \{x_{n-1}\}}.$$

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## TANE: Determination of Partitions in Detail...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	I	I	II	I	II	III
S	I	II	III					
	1, 4	7						

- $\Pi_{\{cd\}} = \{\{1,4\}, \{7\}\}$

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## TANE: Determination of Partitions in Detail...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	I	I	II	I	II	III
S	I	II	III					
		2						

- $\Pi_{\{cd\}} = \{\{1,4\}, \{7\}, \{2\}\}$

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## TANE: Determination of Partitions in Detail

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	I	I	II	I	II	III
S	I	II	III					
			8					

- $\Pi_{\{cd\}} = \{\{1,4\}, \{7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}$

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## TANE: Alternative Determination of Partitions in Detail...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	III	I	IV	V	I	VI
S	I	II	III	IV	V	VI		
	1, 4		3		6			

- $\Pi_{\{cd\}} = \{\{1,4\}, \{3\}, \{6\}\}$

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## TANE: Alternative Determination of Partitions in Detail...

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	£	R

- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	III	I	IV	V	I	VI
S	I	II	III	IV	V	VI		
	7	2		5				

- $\Pi_{\{cd\}} = \{\{1,4\}, \{3\}, \{6\}, \{2\}, \{5\}, \{7\}\}$

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**TANE: Alternative Determination of Partitions in Detail**

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	€	R

- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\Pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\Pi_{\{d\}}$	I	II	III	I	IV	V	I	VI
$\Pi_{\{c\}}$	I	II	III	IV	V	VI		
						8		

- $\Pi_{\{cd\}} = \{\{1,4\}, \{3\}, \{6\}, \{2\}, \{5\}, \{7\}, \{8\}\}$

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**Stripped Partitions**

- $\pi_X$  is called a *stripped partition* if it contains all and only non-singleton blocks in  $\Pi_X$ .
- Example.**  
For  $\Pi_{\{ab\}} = \{\{1,2\}, \{3\}, \{4\}, \{5,6\}\},$   
 $\pi_{\{ab\}} = \{\{1,2\}, \{5,6\}\}.$

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**Functional Dependencies and Stripped Partitions**

**Theorem.**  $X \rightarrow Y$  is a functional dependency  $\Leftrightarrow$

$$|\Pi_X| = |\Pi_{X \cup Y}| \Leftrightarrow \|\pi_X\| - |\pi_X| = \|\pi_{X \cup Y}\| - |\pi_{X \cup Y}|.$$

**Proof:** Let:

- $|D|$  - denote the number of objects,
- $n$  - denote the number of singleton blocks in  $\Pi_X$ .

Then,  $|\Pi_X| = |\pi_X| + n$  and  $n = |D| - \|\pi_X\|.$

Thus,  $|\Pi_X| = |\pi_X| + |D| - \|\pi_X\|.$

and  $|\Pi_{X \cup Y}| = |\pi_{X \cup Y}| + |D| - \|\pi_{X \cup Y}\|.$

Hence,  $|\Pi_X| = |\Pi_{X \cup Y}| \Leftrightarrow$

$$|\pi_X| + |D| - \|\pi_X\| = |\pi_{X \cup Y}| + |D| - \|\pi_{X \cup Y}\| \Leftrightarrow$$

$$\|\pi_X\| - |\pi_X| = \|\pi_{X \cup Y}\| - |\pi_{X \cup Y}|. \square$$

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**FDs and Stripped Partitions**

**Theorem.**  $X \rightarrow Y$  is functional iff

$$|\pi_X| - |\pi_X| = |\pi_{X \cup Y}| - |\pi_{X \cup Y}|, \text{ where } |\pi_X| = \sum_{c \in \pi_X} |c|.$$

Id	a	b	c	d
1	1	1	2	2
2	1	1	2	2
3	2	2	2	2
4	3	3	3	3
5	5	5	6	6
6	5	5	6	6

- $\pi_{\{ab\}} = \{\{1,2\}, \{5,6\}\}, \pi_{\{cd\}} = \{\{1,2,3\}, \{5,6\}\}.$
- $\pi_{\{abcd\}} = \{\{1,2\}, \{5,6\}\}.$

Hence:

- $\|\pi_{\{ab\}}\| - |\pi_{\{ab\}}| = 4 - 2 = \|\Pi_{\{abcd\}}\| - |\Pi_{\{abcd\}}|,$   
so  $\{ab\} \rightarrow \{cd\}$  is functional.
- $\|\pi_{\{cd\}}\| - |\pi_{\{cd\}}| = 5 - 2 \neq$   
 $4 - 2 = \|\Pi_{\{abcd\}}\| - |\Pi_{\{abcd\}}|,$   
so  $\{cd\} \rightarrow \{ab\}$  is not functional.

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**TANE: Determination of Stripped Partitions in Detail...**

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	€	R

- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\pi_{\{d\}} = \{\{1,4,7\}\}.$
- $\pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}\}.$
- $\pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\pi_{\{d\}}$	I			I			I	
$\pi_{\{c\}}$	I							
	1, 4							

- $\pi_{\{cd\}} = \{\{1,4\}\}$

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**TANE: Determination of Stripped Partitions in Detail**

Id	a	b	c	d
1	1	A	\$	F
2	1	A	€	T
3	2	A	\$	D
4	2	A	\$	F
5	2	B	€	L
6	3	B	\$	O
7	3	C	€	F
8	3	C	€	R

- $\Pi_{\{d\}} = \{\{1,4,7\}, \{2\}, \{3\}, \{5\}, \{6\}, \{8\}\}.$
- $\Pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}, \{8\}\}.$
- $\pi_{\{d\}} = \{\{1,4,7\}\}.$
- $\pi_{\{c\}} = \{\{1,3,4,6\}, \{2,5,7\}\}.$
- $\pi_{\{cd\}} = ?$

T	1	2	3	4	5	6	7	8
$\pi_{\{d\}}$	I			I			I	
$\pi_{\{c\}}$	I							
	7							

- $\pi_{\{cd\}} = \{\{1,4\}\}$

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**Error of a Dependency**

- $err(X \rightarrow Y) = \sum_{c \in \Pi_X} (|c| - \max\{|c'| \mid c' \subseteq c \wedge c' \in \Pi_{X \cup Y}\})$ .

Id	fName	gender
1	Mary	F
2	Mary	F
3	Mary	M
4	Chris	M
5	Chris	M
6	Chris	F
7	Charlie	M
8	Charlie	F
9	Rob	M

$\Pi_{\{fName\}} = \{\{1,2,3\}, \{4,5,6\}, \{7,8\}, \{9\}\}$   
 $\Pi_{\{fName, gender\}} = \{\{1,2\}, \{3\}, \{4,5\}, \{6\}, \{7\}, \{8\}, \{9\}\}$   
 $err(fName \rightarrow gender) =$   
 $(|\{1,2,3\}| - \max\{|\{1,2\}|, |\{3\}|\}) +$   
 $(|\{4,5,6\}| - \max\{|\{4,5\}|, |\{6\}|\}) +$   
 $(|\{7,8\}| - \max\{|\{7\}|, |\{8\}|\}) +$   
 $(|\{9\}| - \max\{|\{9\}|\}) =$   
 $(3-2) + (3-2) + (2-1) + (1-1) = 3$  <sup>31</sup>

**Approximate Dependencies (ADs)**

- $X \rightarrow Y$  is defined as an *approximate dependency* if  $err(X \rightarrow Y) \leq \varepsilon$ .

Id	fName	gender
1	Mary	F
2	Mary	F
3	Mary	M
4	Chris	M
5	Chris	M
6	Chris	F
7	Charlie	M
8	Charlie	F
9	Rob	M

Let  $\varepsilon = 3$ . Then:  
 $fName \rightarrow gender$  is an approximate dependency as  $err(fName \rightarrow gender) = 3 \leq \varepsilon$ .

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**Error of a Dependency in Terms of Stripped Partitions**

- Property.**  $err(X \rightarrow Y) = \sum_{c \in \pi_X} (|c| - \max\{|\{c'\} \mid c' \subseteq c \wedge c' \in \pi_{X \cup Y}\} \cup \{1\})$ .

Id	fName	gender
1	Mary	F
2	Mary	F
3	Mary	M
4	Chris	M
5	Chris	M
6	Chris	F
7	Charlie	M
8	Charlie	F
9	Rob	M

$\pi_{\{fName\}} = \{\{1,2,3\}, \{4,5,6\}, \{7,8\}\}$   
 $\pi_{\{fName, gender\}} = \{\{1,2\}, \{4,5\}\}$   
 $err(fName \rightarrow gender) =$   
 $(|\{1,2,3\}| - \max\{|\{1,2\}|, 1\}) +$   
 $(|\{4,5,6\}| - \max\{|\{4,5\}|, 1\}) +$   
 $(|\{7,8\}| - \max\{1\}) =$   
 $(3-2) + (3-2) + (2-1) = 3$  <sup>33</sup>

**Adapting TANE to Calculation of ADs**

- Checking if  $X \rightarrow Y$  is functional is replaced by checking if  $err(X \rightarrow Y) \leq \varepsilon$ .
- Rule r2 is used only when  $err(X \rightarrow Y) = 0$ ; that is, when  $X \rightarrow Y$  is functional.

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**References**

– Ykä Huhtala, Juha Kärkkäinen, Pasi Porkka, Hannu Toivonen: TANE: An Efficient Algorithm for Discovering Functional and Approximate Dependencies. [Comput. J.](#) **42**(2): 100-111 (1999)

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