

Part Four

AWK: columnar data and
mathematical functions

What is AWK?

- AWK is a full programming language
 - variables and arrays (like Perl hashes)
 - loops and conditional statements
 - math, string processing, and user defined functions
- Sed-like addressing and regular expressions
- Automatically splits lines into words

Terms

- ❖ **record** - usually a line of input
- ❖ **field** - records are split into fields
- ❖ **command** - a **condition/procedure** pair
- ❖ **condition** - a logical test
- ❖ **procedure** - code block that is run if the condition is TRUE

AWK Pseudocode

```
BEGIN { do initial stuff }  
for each record in input  
    split record into fields  
    for each command  
        if condition is TRUE  
            do procedure  
END { do final stuff }
```

Outline

- ❖ Condition statements
 - condition only calls
 - fields and conditional logic
 - field separator
- ❖ Procedure statements
 - print
 - mathematical operators

1. Condition Statements

Condition only calls

AWK Rule 1: If the *command* consists only of a *condition*, the *procedure* defaults to print *record*.

Sample Data

Navigate to [section-4](#)

`diamonds.tab` - Borrowed from Hadley

`d.tab` - 25 row subset of `diamonds.tab`

Examples 4.1

```
awk '/Ideal/' d.tab
```

```
awk '/Fair/,/Good/' a.tab
```

```
awk '1,5' a.tab # fyi doesn't work
```

```
awk '/[GH]/' a.tab
```

AWK Fields

AWK breaks lines into fields

By default, fields are separated by whitespaces, e.g

0.7		Fair		G		VS1		56.2
\$1		\$2		\$3		\$4		\$5

A field can be accessed by prefixing '\$' to the field number, e.g. \$2 is 'Fair', \$3 is 'G'

```
awk '$3 == "G"' d.tab # print if 3rd field is G
```

Comparison Operators (1)

<code>~</code>	Regular expression match
<code>!~</code>	Regular expression non-match
<code>==</code>	Equals (don't use '=')
<code>!=</code>	Not equals
<code><</code>	Less than
<code>></code>	Greater than
<code>>=</code>	Greater than or equal to
<code><=</code>	Less than or equal to
<code>/a/,/b/</code>	TRUE between matches (like in sed)

Examples 4.2

Now we can test against a single column

```
$ awk '$2 == "Ideal"' d.tab
```

```
$ awk '$2 != "Ideal"' d.tab
```

```
$ awk '$3 ~ /[GFI]/' d.tab
```

```
$ awk '$5 > 60' d.tab
```

Logical Operators

`||` Logical OR

`&&` Logical AND

`!` Logical NOT

These are used to string conditions together

`(<condition1> || <condition2>) && ! <condition3>`

Conditional examples

```
$ awk '$1 > 1 && $7 < 5000' d.tab
```

```
$ awk '$2 == "Premium" || $3 == "E"' d.tab
```

```
$ awk '!/^#/ && ($1 > 1 || $2 == "Premium")' d.tab
```

Try a few other combinations

You can also use the full dataset, `diamonds.tab`

Resetting Field Separator

You may reset the separator with option (-F)

```
# set field separator to comma  
$ awk -F, '/Ideal/' d.csv
```

Warning about quotes

```
awk "$1 > 1" d.tab    # WRONG
```

Here AWK gets the ***shell** variable* \$1 instead of a literal string '\$1'

This shell variable, will usually be undefined

Procedures

Syntax

```
condition { procedure }
```

When condition is TRUE, do procedure
(implicit IF statements)

```
$2 == "Fred" { print $3 }
```

print command

```
awk '{print $2, $1}'
```

- Prints 2nd and 1st fields
- Commas are special, they are field separators
- Procedures can be used alone
- '{' and '}' are **NOT** optional

Comparison to sed

Problem: Print 2nd and 1st fields of input

solution in awk

```
$ awk '{print $2, $1}'
```

solution in sed

```
$ sed -r 's/([ ^ ]+) ([ ^ ]+).*/\2 \1/'
```

Mathematical Operators

AWK will interpret variables as numbers if you perform mathematical operations on them.

`+` `-` `*` `/` normal plus, minus, times, div
`^` `**` exponentiation

`%` modulo operator - returns remainder after division

Math examples

```
echo '1.1 _ 4' | awk 'print $1, $2, $1 + $2'
```

1.1 _ 4 _ 5.1

```
echo '2 _ 8' | awk 'print $1 ** $2'
```

128

```
echo '1 _ 2 _ 5' | awk 'print ($1 + $2) **  
$3'
```

243

String concatenation

- Adjacent strings are concatenated
- Spaces are ignored
- Mathematical operations have precedence over string concatenation

```
$ echo "1 5" | awk '{print $1 "+" $2 "=" $1 + $2}'
```

1+5=6

AWK as a language

```
pi = 4 * atan2(1,1)
# Box-Muller transform: produces two normal random variables
function rnorm(pi, a, b){
    r1 = rand(); r2 = rand() # all variables are global
    a = sqrt(-2 * log(r1)) * cos(2 * pi * r2)
    b = sqrt(-2 * log(r1)) * sin(2 * pi * r2)
    return # return takes no arguments
}
{rnorm(pi, a, b); print a "\n" b}
```


Final Exercise

Follow the instructions in `script.sh`

Supplementary

AWK builtin variables (1)

AWK has several special, builtin variables

NR - current line number

Conditional examples (2)

```
# print the 5th line
```

```
$ awk 'NR == 5' a.tab
```

```
# like `head -5` or `sed 1,5`
```

```
$ awk 'NR == 1, NR == 5' a.tab
```

```
# fastq to fasta converter
```

```
$ awk 'NR % 4 ~ /[12]/' a.fq | tr '@' '>'
```

AWK Variables

On each line, add \$1 to **x**



```
awk '{x = x + $1} END {print x}'
```


**Prints the sum
of column 1**




At the end, print **x**

AWK Arrays

Add \$1 to the \$2
array category



```
awk '{a[$2] += $1}  
     END{ for(v in a){ print v, a[v] } }'
```



For each \$2 category,
print the \$1 sums

Practice

Write an awk command to sum a column

Write a command to sum \$7 across \$2 in *a.tab*