

# Part Four

AWK: columnar data and  
mathematical functions

# What is AWK?

- AWK is a full programming language
  - variables and arrays (like Perl hashes)
  - loops and conditional statements
- Sed-like addressing and regular expressions
- Automatically splits lines into words
- Optimized for text parsing

# Terms

- ❖ **record** - usually a line of input
- ❖ **field** - records are split into fields
- ❖ **command** - a **condition/procedure** pair
- ❖ **condition** - a logical test
- ❖ **procedure** - code block that is run if the condition is TRUE

# AWK Pseudocode

```
BEGIN { do initial stuff }  
for each record in input  
    split record into fields  
    for each command  
        if condition is TRUE  
            do procedure  
END { do final stuff }
```

# Outline

- ❖ Condition statements
  - condition only calls
  - fields and conditional logic
  - field separator
- ❖ Procedure statements
  - print
  - mathematical operators

# 1. Condition Statements

# Condition only calls

**AWK Rule 1:** If the *command* consists only of a *condition*, the *procedure* defaults to print *record*.

# Sample Data

Navigate to 4th folder

*a.tab* - adapted from *m.tab* in 3rd



# DYI (1)

```
awk '/Feb/' a.tab
```

```
awk '/Apr/,/Sep/' a.tab
```

```
awk '1,5' a.tab # fyi doesn't work
```

```
awk '/Jan/' a.tab
```

```
awk '/[RB]ob/' a.tab
```

# AWK Fields

AWK breaks lines into fields

By default, fields are separated by whitespaces, e.g

Mike		leprechaun	7	415	201
\$1		\$2	\$3	\$4	\$5

A field can be accessed by prefixing '\$' to the field number, e.g. \$2 is 'leprechaun', \$3 is '7'

```
awk '$3 == 7' a.txt # print if 3rd field equals 7
```

# Comparison Operators (1)

<code>~</code>	Regular expression match
<code>!~</code>	Regular expression non-match
<code>==</code>	Equals (don't use '=')
<code>!=</code>	Not equals
<code>&lt;</code>	Less than
<code>&gt;</code>	Greater than
<code>&gt;=</code>	Greater than or equal to
<code>&lt;=</code>	Less than or equal to
<code>/a/,/b/</code>	TRUE between matches (like in sed)

# DYI (2)

Now we can test again a single column

```
$ awk '$4 ~ /Jan/'
```

```
$ awk '$1 !~ /^[^0-9]+$/'
```

```
$ awk '$3 < 1e-6'
```

# Logical Operators

`||` Logical OR

`&&` Logical AND

`!` Logical NOT

These are used to string conditions together

`(<condition1> || <condition2>) && ! <condition3>`

# Conditional examples

```
$ awk '$1 > 50 && $4 < 1e-3' # both true
```

```
$ awk '$6 > .5 || $2 < 1e-6' # either true
```

```
# group conditionals with parentheses
```

```
$ awk '!/^#/ && ($2 > 7 || $3 == "VIP")'
```

# DIY (3)

# Don't worry about the print for now ...

```
$ awk '$1 < $2 {print "TRUE"}'
```

# Can \$2 be a regular expression?

```
$ awk '$1 ~ $2 {print "TRUE"}'
```

# Does this work for numbers and strings?

```
$ awk '$1 == $2 {print "TRUE"}'
```

# Resetting Field Separator

You may reset the separator with option (-F)

```
# set field separator to comma
```

```
$ awk -F, '/waldo/'
```

```
# or to TAB
```

```
$ awk -F'\t' '/waldo/'
```



# AWK builtin variables (1)

AWK has several special, builtin variables

**NR - current line number**

# Conditional examples (2)

# print the 5th line

\$ awk 'NR == 5' a.tab

# like `head -5` or `sed 1,5`

\$ awk 'NR == 1, NR == 5' a.tab

# fastq to fasta converter

\$ **awk** 'NR % 4 ~ /[12]/' a.fq | **tr** '@' '>'

# Warning about quotes

```
awk "{print $1}" # WRONG
```

Here AWK gets the *shell variable* \$1 instead of a literal string '\$1'

This shell variable, will usually be undefined

# Procedures

# Syntax

```
condition { procedure }
```

When condition is TRUE, do procedure  
(implicit IF statements)

```
$2 == "Fred" { print $3 }
```

# *print* command

```
awk '{print $2, $1}'
```

- Prints 2nd and 1st fields
- Commas are special, they are field separators
- Procedures can be used alone
- '{' and '}' are **NOT** optional

# Comparison to sed

Problem: Print 2nd and 1st fields of input

# solution in awk

```
$ awk '{print $2, $1}'
```

# solution in sed

```
$ sed -r 's/([ ^ ]+) ([ ^ ]+).*/\2 \1/'
```

# Mathematical Operators

AWK will interpret variables as numbers if you perform mathematical operations on them.

`+` `-` `*` `/` normal plus, minus, times, div  
`^` `**` exponentiation

`%` modulo operator - returns remainder after division



# Math examples

```
echo '1.14' | awk 'print $1, $2, $1 + $2'
```

1.145.1

```
echo '28' | awk 'print $1 ** $2'
```

128

```
echo '125' | awk 'print ($1 + $2) ** $3'
```

243

# String concatenation

- Adjacent strings are concatenated
- Spaces are ignored
- Mathematical operations have precedence over string concatenation

```
$ echo "1 5" | awk '{print $1"+"$2 "=" $1+$2}'
```

1+5=6

# DIY (4)

Try out AWK mathematical function:

```
awk '{print $1 + $2}'
```

```
awk '{print $1 + ($2 ** $3)}'
```

etc.

How does AWK handle non-numeric fields?

Non-integers? Very large numbers?

# AWK Variables

On each line, add \$1 to **x**



```
awk '{x = x + $1} END {print x}'
```


**Prints the sum  
of column 1**




At the end, print **x**

# AWK Arrays

Add \$1 to the \$2  
array category



```
awk '{a[$2] += $1}  
     END{ for(v in a){ print v, a[v] } }'
```



For each \$2 category,  
print the \$1 sums

# DIY (5)

Write an awk command to sum a column

Write a command to sum \$1 across \$2 in *a.tab*

# AWK as a language

```
pi = 4 * atan2(1,1)
# Box-Muller transform: produces two normal random variables
function rnorm(pi, a, b){
    r1 = rand(); r2 = rand() # all variables are global
    a = sqrt(-2 * log(r1)) * cos(2 * pi * r2)
    b = sqrt(-2 * log(r1)) * sin(2 * pi * r2)
    return # return takes no arguments
}
{rnorm(pi, a, b); print a "\n" b}
```