

Resilience of Nuclear Fuel Cycle Scenarios



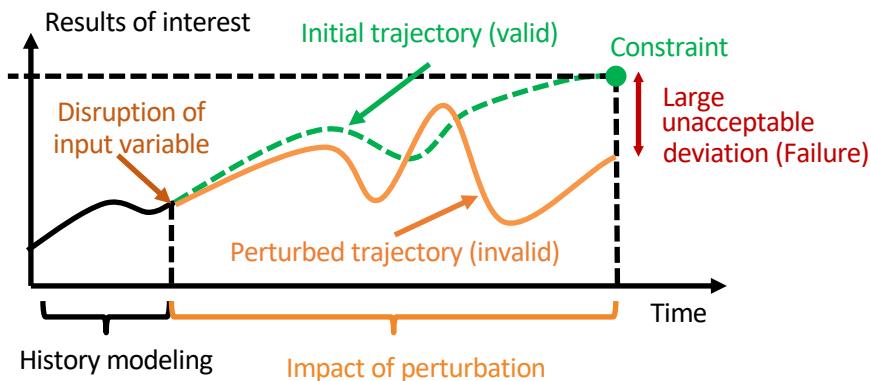
DE LA RECHERCHE À L'INDUSTRIE

Weifeng Zhou, Guillaume Krivtchik, Patrick Blaise

Atomic Energy and Alternative Energies Commission
CEA, DEN, Cadarache, DER, SPRC

TW on FCS, University of Urbana-Champaign, USA – June 26- 28, 2019

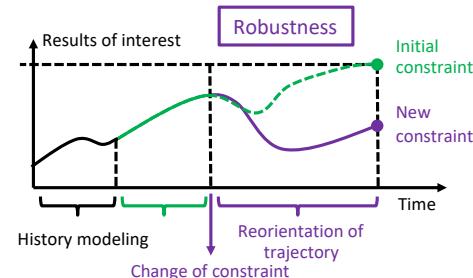
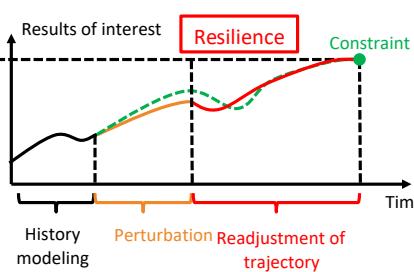
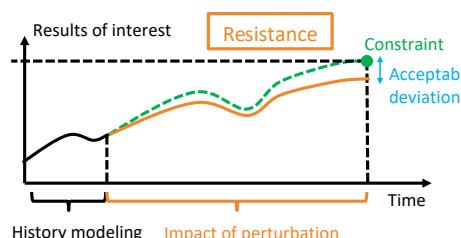
- Uncertainty degrades the results!



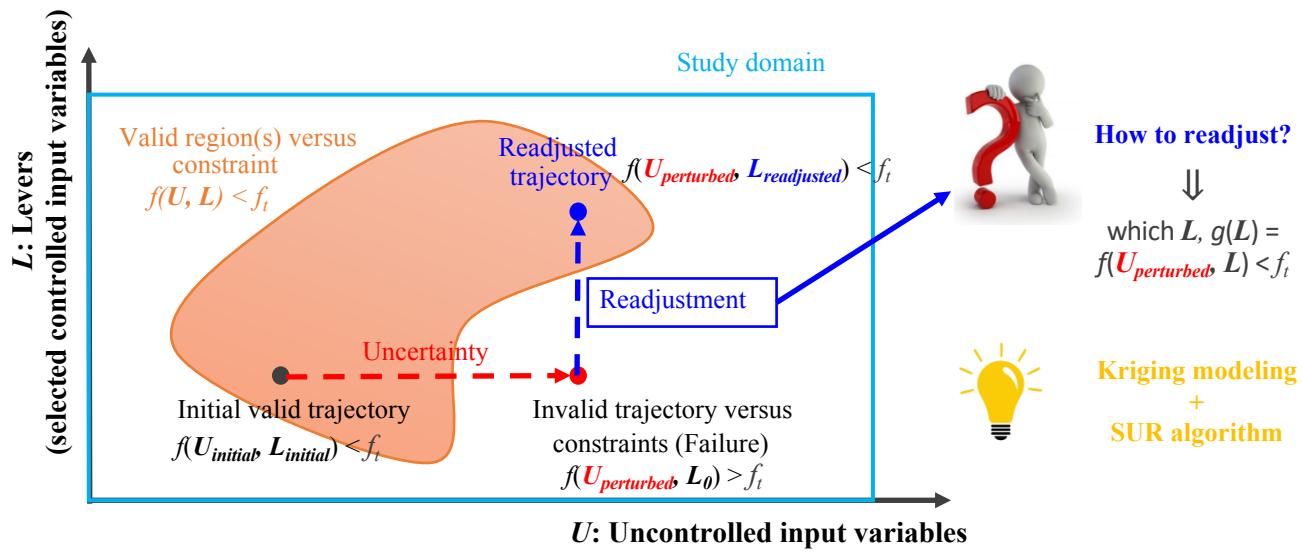
- Questions

- Can one readjust failed scenario trajectories?
- If yes, how to readjust?
- How to produce the scenario trajectories that can be readjusted after disruption?

- ❑ **Resistant** – A resistant trajectory can identify one or more trajectories that remain valid versus initial constraints after disruption on one of input variables
- ❑ **Resilient** – A resilient trajectory can identify one or more trajectories that remain valid versus initial constraints by **readjusting the controlled input variables** after disruption on uncontrolled input variables
- ❑ **Robust** – A robust trajectory can identify one or more trajectories that remain valid after a **disruptive change on the constraints** by adapting input variables after the disruption.

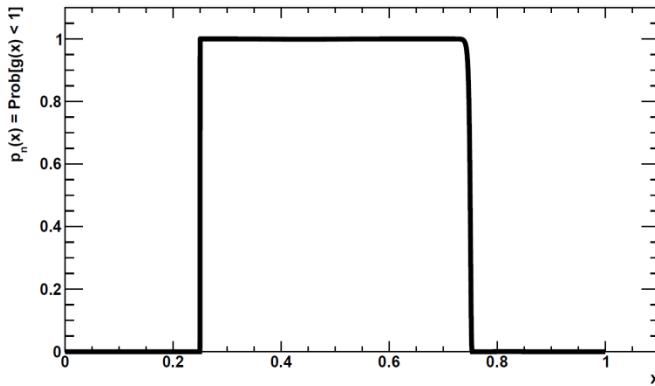
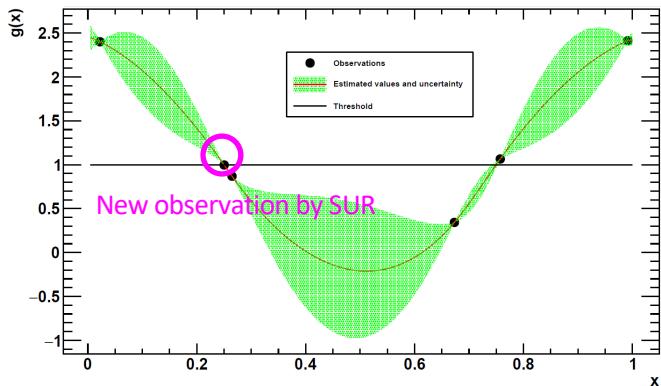


- The general idea of resilience is to readjust some selected controlled input variables, i.e. **levers**, to counterbalance the impact of perturbation caused by uncertainties so as to avoid the failure of trajectories.



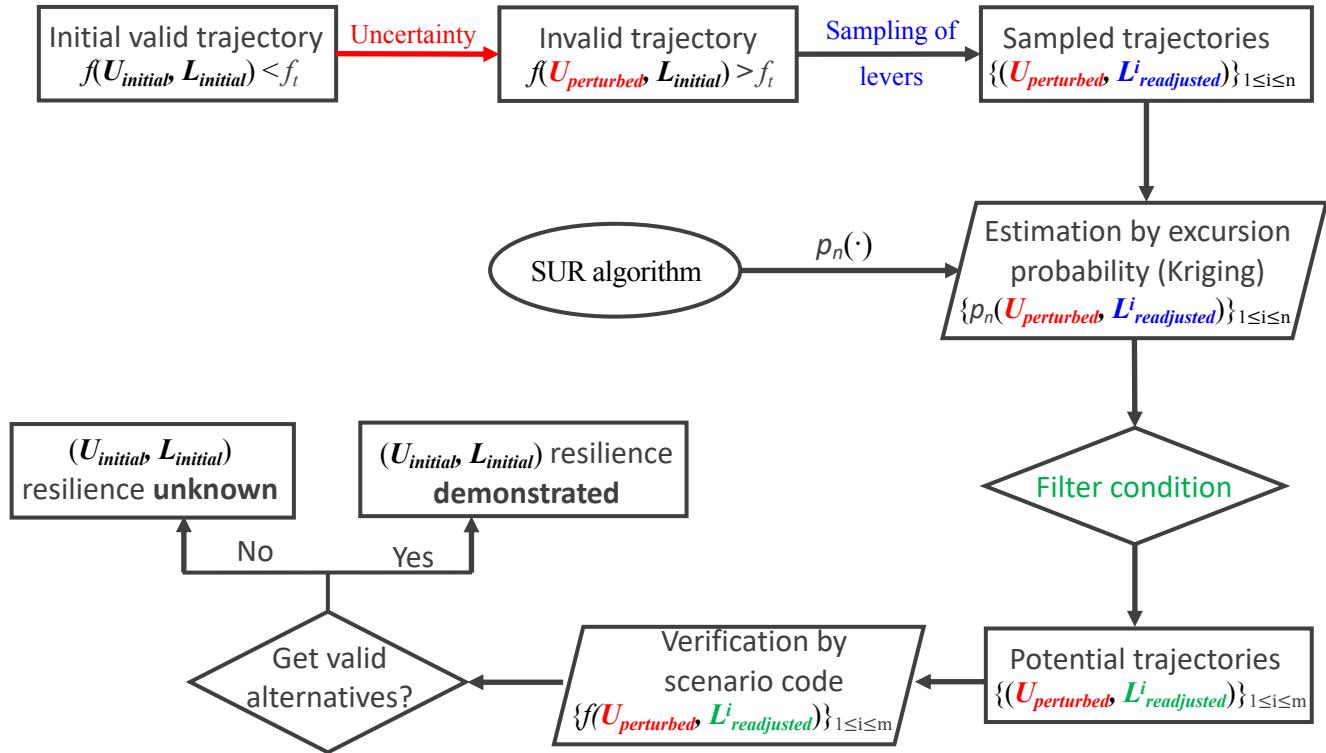
Remarks: $f(\mathbf{U}, \mathbf{L})$ observable; f_t target value

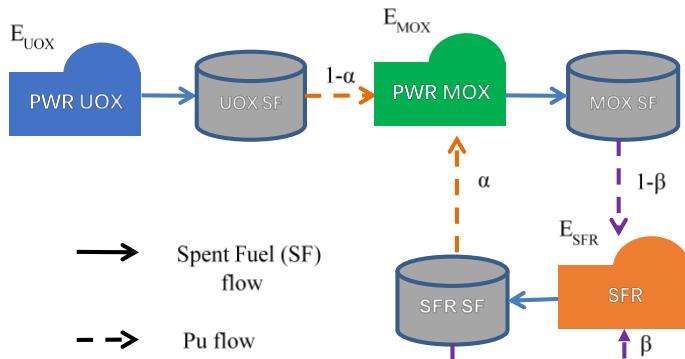
- ❑ Example: which x , $g(x) < g_t = 1$
- ❑ Interpolation by Kriging modeling
 - Predictor: $g_n(x)$
 - Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$
- ❑ SUR (Stepwise Uncertainty Reduction) algorithm provides “efficient” observations (around contour line) to construct excursion probability estimator.



[1] J-B. CLAVEL et al., “Sensitivity and optimization methods applied to the dynamic fuel cycle”, Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER “Fast uncertainty reduction strategies relying on Gaussian process models”, PhD thesis, 2013, University of Bern





❑ Assumption

- PWR UOX / PWR MOX / SFR fleet
- Constant total electrical production

❑ Controlled variables (**levers**)

- Reprocessing strategy (2 variables): α and β (ratio of SFR spent fuel over the SFR and UOX (or MOX) spent fuel mix in Pu extraction to feed the fabrication of new PWR MOX (or SFR) fuel)

❑ Uncontrolled parameters (**uncertainty**)

- UOX burnup

❑ Constraints

- Pu equilibrium in spent fuel:
I(Equilibrium), sum of the absolute values of the asymptotic slopes of the Pu inventory in the different spent fuel inventories
I(Equilibrium)<1 kg/(TWe·h)
- Feasibility:
No Pu shortage in reprocessing
I(Feasibility) ∈ {Yes, No}

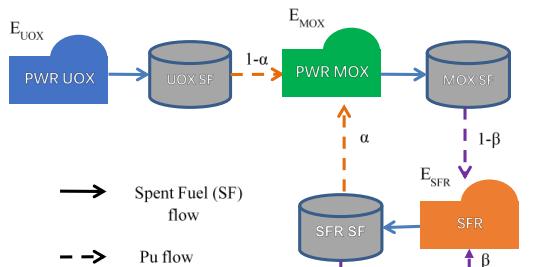
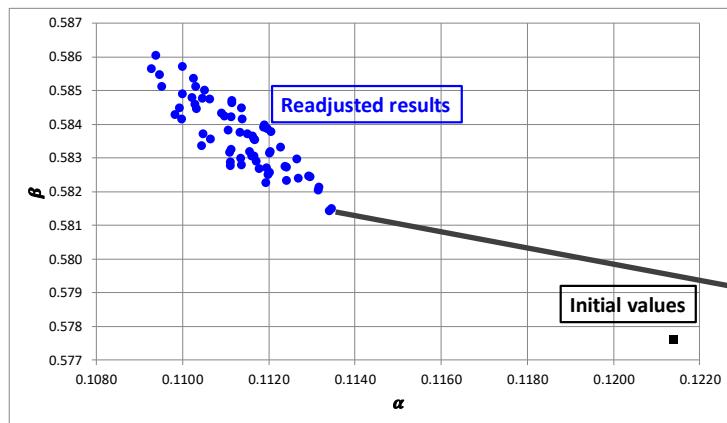
Application with single trajectory

- Failure of initial valid trajectory by uncertainty ($E_{MOX} = 0.1227$ and $E_{SFR} = 0.4949$ fixed)

Parameters	α	β	BU_{UOX} (GW·d/tHM)	$I(\text{Feasibility})$	$I(\text{Equilibrium})$ (kg Pu/(TWe·h))
Valid traj.	0.1214	0.5776	45	Yes	0.67
Invalid traj.	0.1214	0.5776	41	Yes	1.57 (>1, Failure)

- Application results of resilience evaluation method

66 valid alternatives trajectories

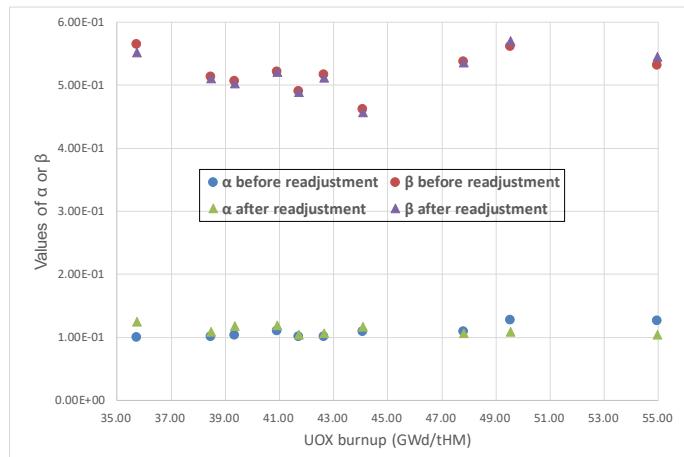
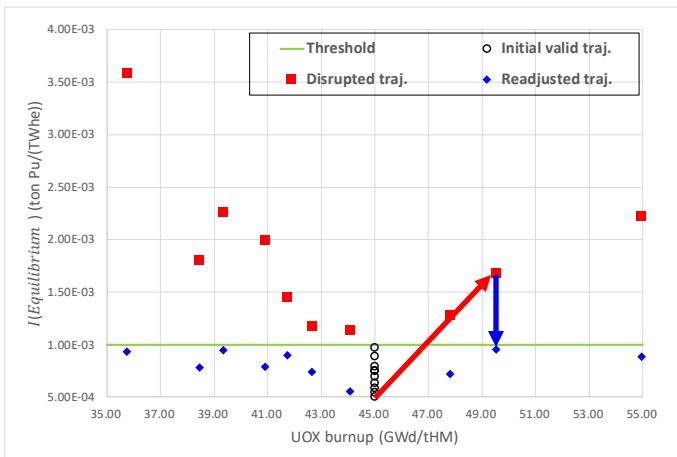


$(\alpha, \beta, BU_{UOX}) =$
 $(0.1134, 0.5814, 41.0 \text{ GW}\cdot\text{d}/\text{tHM})$
 \rightarrow
 $I(\text{Feasibility}) = \text{Yes}$
 $I(\text{Equilibrium}) = 0.84 \text{ kg Pu/(TWe}\cdot\text{h}) (\text{OK})$

- The initial trajectory is resilient
- There are many different ways to readjust levers

Application with several trajectories

- 10 invalid trajectories with different UOX burnups ($35 \text{ GWd/tHM} \sim 55 \text{ GWd/tHM}$)
- Resilience evaluation method is applied to every invalid trajectory
 - 10 invalid trajectories are successfully readjusted
 - The readjusted values of α and β are not far away from their corresponding initial ones



□ Conclusions

- A definition of resilience for nuclear fuel cycle scenario is given
- A resilience evaluation method for scenario is developed based on Kriging modeling and SUR algorithm
- A new SUR algorithm adapted for multi-constraint case is developed (W. Zhou, Global 2019 in Seattle, September 22-26)

□ Perspectives

- Use methods to reduce dimension of problem and visualize high dimensional data
- Apply the developed resilience definition and methods to French nuclear fuel cycle scenarios



THANK YOU FOR YOUR
ATTENTION



Backup

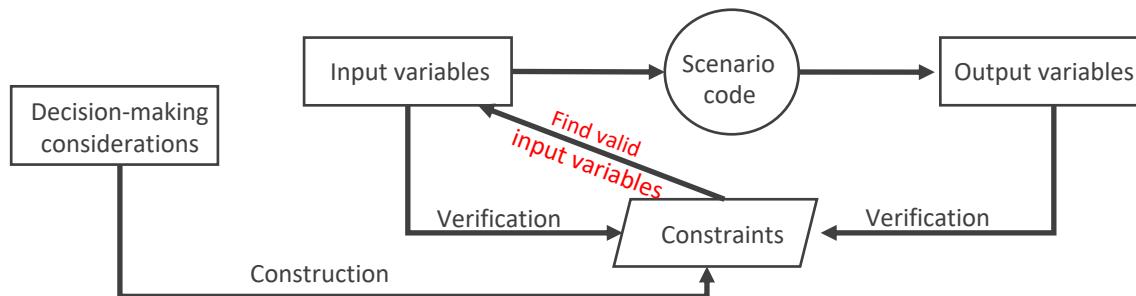
DE LA RECHERCHE À L'INDUSTRIE

- ❑ **Why** – evaluate the potential evolutions of nuclear fleet and provide decision supports
 - Identify strengths and weaknesses of a nuclear fuel cycle system
 - Analyze different fuel cycle strategies and help to choose an adaptive one
- ❑ **For whom** – decision-makers of nuclear energy (e.g. industry and government)
- ❑ **What** – tool to characterize the nuclear fleet and fuel cycle (e.g. performance and cost)
- ❑ **How** – evaluate the mass flows and inventories by physical modeling

- ❑ **Input variable** – Input simulation parameter whose value can vary within a given interval. A variable can be
 - **controlled**, whose values can be chosen with a level of satisfaction according to the requirements of decision-makers, or
 - **uncontrolled**, which includes uncertainties and whose values change according to the circumstances without this being the result of a desired choice of decision-makers.
- ❑ **Trajectory** – A perfectly identified, concrete and determined evolution history of a nuclear fuel cycle system with a specific value set of scenario input variables.
- ❑ **Output variable** – Quantity calculated by the simulation code (mass of plutonium, electrical production per year ...)

(*) Collaboration with CNRS nuclear fuel cycle scenario group

- ❑ **Constraint** – Condition that a input or output variable must check for the trajectory to be valid (e.g. Pu stabilization). It integrates considerations of decision-makers and usually expressed by equality or inequality.
- ❑ **Scenario problem** – A study problem to propose some possible prospective valid trajectories of nuclear industry according to considerations of decision-makers



- ❑ **Disruption** – Sudden and unplanned change in input variables or constraints

(*) Collaboration with CNRS nuclear fuel cycle scenario group

❑ Uncertainty of model error

- Result of abstraction and simplification of current problems studied
- Possible sources: physical models, equivalence model, simplification of modeled objects (e.g. fabrication plant)

❑ Uncertainty of nuclear data

- Uncertainty related to physical measurements
- Described by matrices of covariance

❑ Uncertainty of historical scenario parameters

- Need of history modeling to get a good starting simulation point
- Difficult to completely obtain historical scenario parameters (difficulty of measurement, lack of information, etc.)

❑ Uncertainty of prospective scenario parameters

- Prospective scenario parameters characterize a potential fuel cycle system, obtained through trends or prospective technical studies
- Due to the lack of knowledge about the future, they may be very different from the actual parameters of the future, hence the uncertainty

❑ Uncertainty of scenario assumptions

- Resulting from industrial decisions, scenario assumptions define the scenario structure (choice of fuel management strategy, introduction of new technologies, etc.)

❑ Uncertainty of economic, social and political context

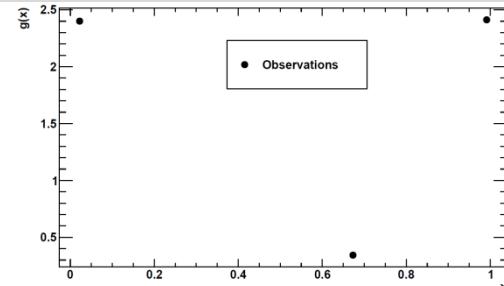
- Economic, social and political context determines the development direction of the nuclear energy industry.

- Example: which x , $g(x) < g_t = 1$

- Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$



[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern

- Example: which x , $g(x) < g_t = 1$

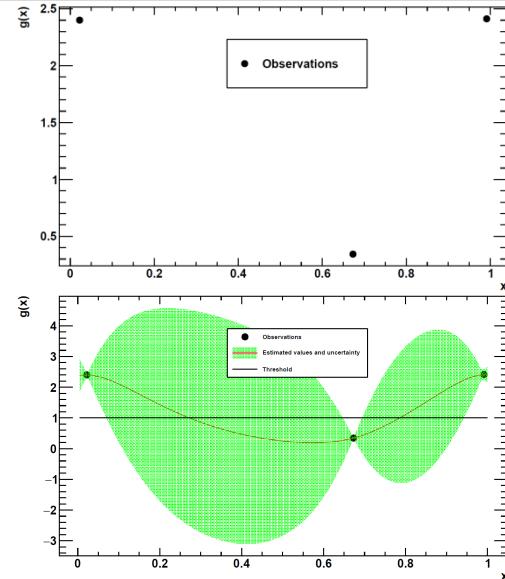
- Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$



[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

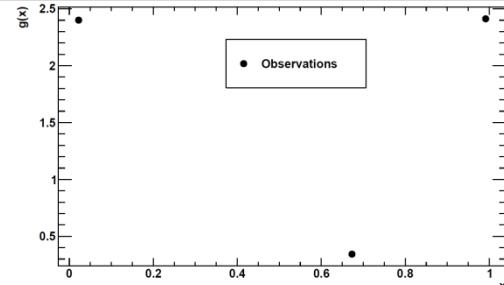
[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern

- Example: which x , $g(x) < g_t = 1$

- Calculation schema

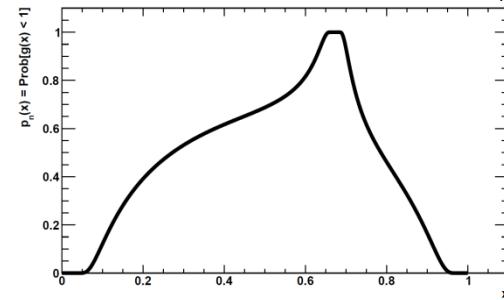
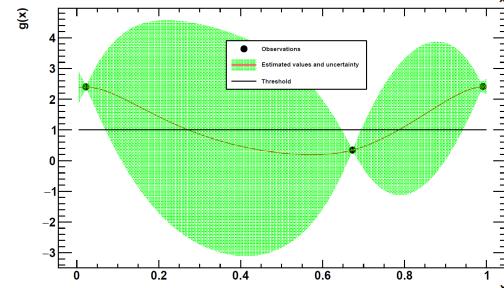
1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$



2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$



[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern

- Example: which x , $g(x) < g_t = 1$

- Calculation schema

1. Initial design of experiments

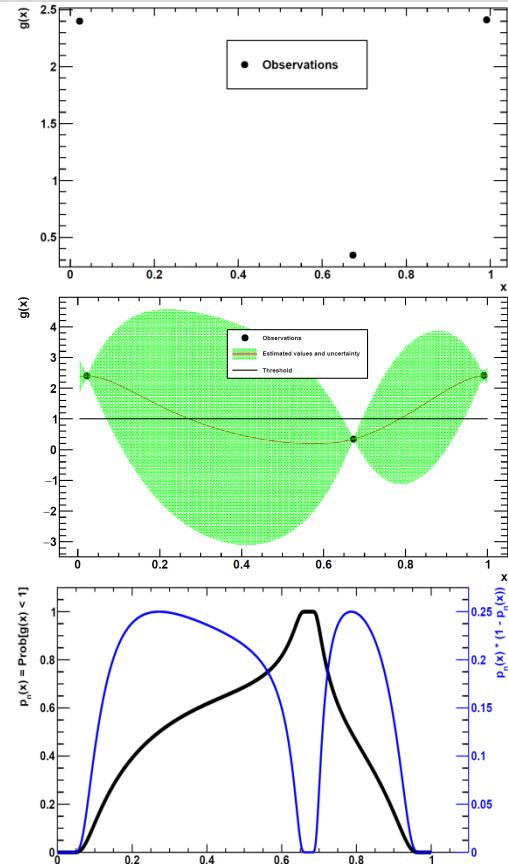
- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

$$\min \left[\int_{\Omega} p_{n+1}(x)(1 - p_{n+1}(x)) dx \right]$$



[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern

- ❑ Example: which x , $g(x) < g_t = 1$

- ❑ Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

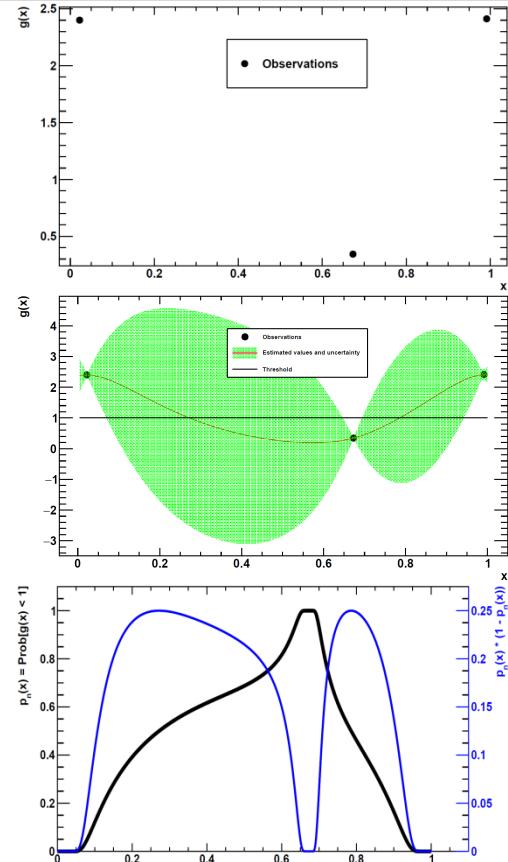
2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

$$\min[\int_{\Omega} p_{n+1}(x)(1-p_{n+1}(x))dx]$$

4. Launch code to get $g(x_{n+1})$



[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern

- ❑ Example: which x , $g(x) < g_t = 1$

- ❑ Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

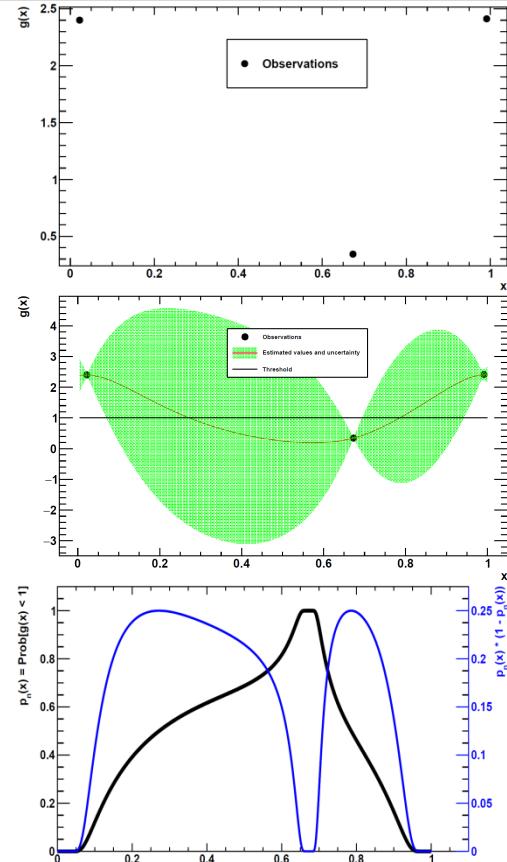
$$\min[\int_{\Omega} p_{n+1}(x)(1-p_{n+1}(x))dx]$$

4. Launch code to get $g(x_{n+1})$

5. Repeat steps 2, 3 and 4

[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern



- ❑ Example: which x , $g(x) < g_t = 1$

- ❑ Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

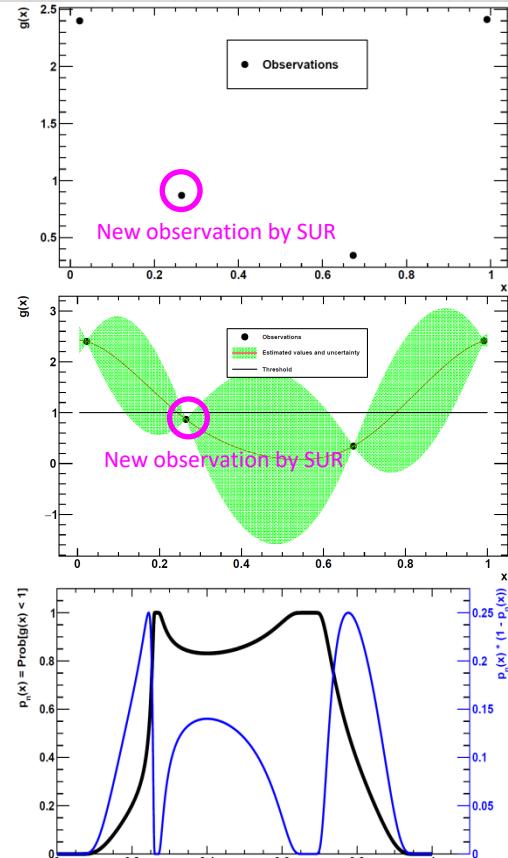
$$\min[\int_{\Omega} p_{n+1}(x)(1-p_{n+1}(x))dx]$$

4. Launch code to get $g(x_{n+1})$

5. Repeat steps 2, 3 and 4

[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern



- ❑ Example: which x , $g(x) < g_t = 1$

- ❑ Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

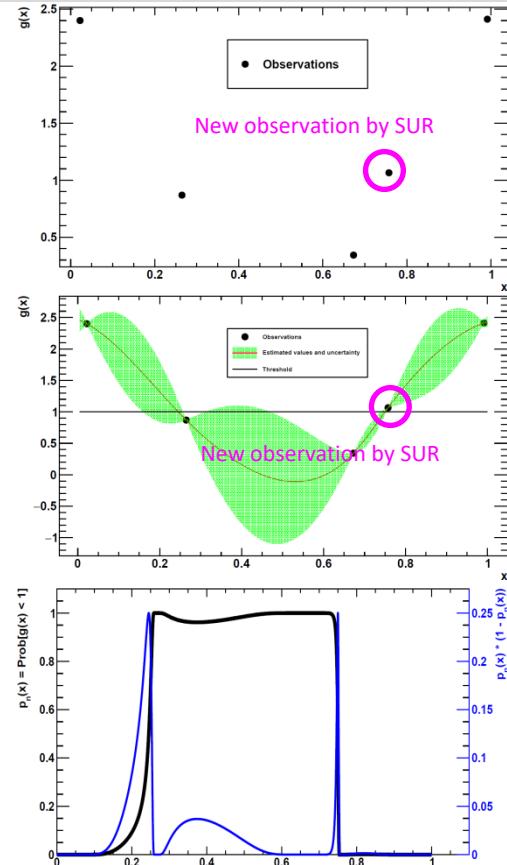
$$\min[\int_{\Omega} p_{n+1}(x)(1-p_{n+1}(x))dx]$$

4. Launch code to get $g(x_{n+1})$

5. Repeat steps 2, 3 and 4

[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern



- ❑ Example: which x , $g(x) < g_t = 1$

- ❑ Calculation schema

1. Initial design of experiments

- Get with random sampling 1st set of observation points $(x_i)_{1 \leq i \leq n}$
- Launch code to get observation results $(g(x_i))_{1 \leq i \leq n}$

2. Interpolation by Kriging modeling

- Predictor: $g_n(x)$
- Statistic information: $s_n^2(x) = \text{standard deviation } (g_n(x))^2$
- Excursion probability: $p_n(x) = \text{Prob}[g(x) < 1]$

3. Find next observation point x_{n+1} that minimize SUR criterion (uncertainty of contour line):

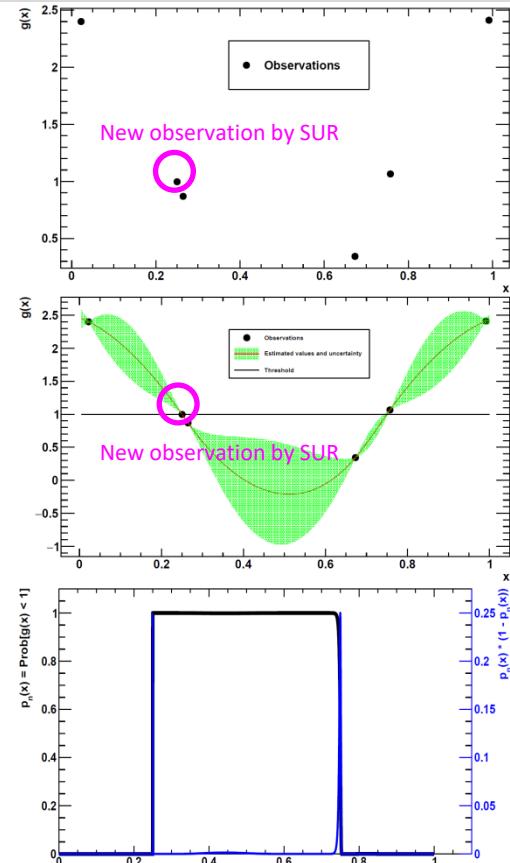
$$\min[\int_{\Omega} p_{n+1}(x)(1-p_{n+1}(x))dx]$$

4. Launch code to get $g(x_{n+1})$

5. Repeat steps 2, 3 and 4

[1] J-B. CLAVEL et al., "Sensitivity and optimization methods applied to the dynamic fuel cycle", Technical workshop on nuclear scenarios, 2016 6–8th July, Paris

[2] C. CHEVALIER "Fast uncertainty reduction strategies relying on Gaussian process models", PhD thesis, 2013, University of Bern



Application with several trajectories

- ❑ 10 invalid trajectories with different UOX burnups ($35 \text{ Gwd/tHM} \sim 55 \text{ Gwd/tHM}$)
- ❑ Resilience evaluation method is applied to every invalid trajectory
 - 10 invalid trajectories are successfully readjusted
 - The readjusted values of α and β are not far away from their corresponding initial ones

