# Simplicial Homotopy Type Theory An introduction to the Category Theory of Segal types

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How to think about an ∞-category



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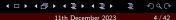
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The challenge in defining  $\infty$ -categories has to do with giving a precise mathematical meaning of the notion of a weak composition law, not just for the 1-morphisms but also for the morphisms in higher dimensions. While proving theorems about  $\infty$ -categories, we need to first pick a specific definition, like choosing coordinates and prove theorems with reference to that definition, thereby providing a translation problem.

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Our goal is to give a model independent definition of an  $\infty$ -category by extending the theory obtained by adjoining bunch of new axioms and techniques to type theory, called homotopy type theory (HoTT).

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Homotopy type theory (HoTT) is a new subject that augments Martin-Löf intensional dependent type theory (MLTT) with additional rules and axioms enabling it to be used as a formal language for reasoning about homotopy theory. HoTT provides a synthetic framework that is suitable for developing the theory of mathematical objects with natively homotopical content.

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#### But, where does this homotopy perspective come from?

In HoTT, we regard the types as spaces (as studied in homotopy theory) or higher groupoids (as studied in higher category theory), and the logical constructions (such as the product  $A \times B$ ) as homotopy-invariant contructions on these spaces.

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Now, the key idea of the homotopy interpretation is that the logical notion of equality, identity type  $a =_A b$  of two terms a, b : A of the same type A can be understood as the existence of a path  $p : a \leadsto b$  from point a to point b in the space A.

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Thus the groupoid semantics validates a certain truncation principle, stating that all higher identity types are trivial, a form of extensionality one dimension up. The groupoid laws for the identity types are strictly satisfied in these models, rather than holding only up to propositional equality.

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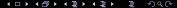
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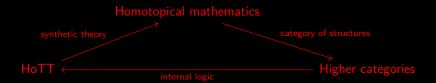
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As a result, HoTT serves as a presentation of  $\infty$ -groupoids in different homotopy theoretic models. One such classic model is the Voevodsky's simplicial set model in which types are regarded as Kan complexes in the Quillen model structure. In this interpretation, the identity type  $a=_K b$  of any two points a,b in a Kan complex K is itself a Kan complex.

# Homotopical trinitarianism?





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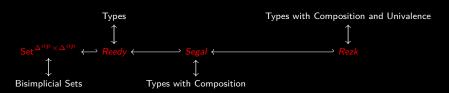
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## Weighted limit

#### Definition 3.1 (Weighted limit)

Given a simplicial object X in a locally small category  $\mathcal M$  and a simplicial set S, define the weighted limit  $\{S,X\}$  to be an object in  $\mathcal M$  equipped with an isomorphism

$$\operatorname{Hom}_{\mathcal{M}}(\ \_, \{S, X\}) \cong \operatorname{Hom}_{\operatorname{sSet}}(S, \operatorname{Hom}_{\mathcal{M}}(\ \_, X))$$

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$$\begin{split} \operatorname{Hom}_{\operatorname{sSet}}(S, \{\Delta^m, X\}) &\cong \operatorname{Hom}_{\operatorname{sSet}}(\Delta^m, \operatorname{Hom}_{\operatorname{sSet}}(S, X)) \\ \operatorname{Hom}_{\operatorname{sSet}}(S, \{\Delta^m, X\}) &\cong \operatorname{Hom}_{\operatorname{sSet}}(S, X(\ \_, [m])) \\ &\qquad \qquad \{\Delta^m, X\} \cong X(\ \_, [m]) \end{split}$$

We denote  $X(\underline{\ },[m])$  by  $X_m$ , the mth column of X.

### Fibration vs Fibrant

#### Definition 3.2 (Reedy fibration)

A morphism  $X \to Y$  is a Reedy fibration if and only if for all  $m \geqslant 0$  the induced map

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#### Definition (Reedy fibrant)

A bisimplicial set X is Reedy fibrant just when the unique map  $X \to 1$  is a Reedy fibration, which is the case when

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In the bisimplicial sets model, a type is modeled by a Reedy fibrant bisimplicial set.

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## Pullback power axiom

### Lemma 3.4 (Pullback power axiom)

If  $i:U\to V$  is a cofibration and  $p:X\twoheadrightarrow Y$  is a Reedy fibration then the map  $\langle X^i,p^V\rangle:X^V\to X^U\times_{Y^U}Y^V$ ,

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**Proof.** The key here is to use the equivalence of pullback power axiom and the pushout product axiom. We show that if  $i:U\to V$  and  $j:A\to B$  are cofibrations of bisimplicial sets, then  $i\widehat{\times} j$  is cofibration that is trivial if j is.

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## Segal space

#### Definition 3.5 (Segal space)

A Reedy fibrant bisimplicial set X is a Segal space if and only if for all  $m \ge 2$  and 0 < i < m the induced map,

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#### Theorem 3.6 (Shulman)

The Reedy model structure on bisimplicial sets defined relative to the Quillen model structure on simplicial sets models intensional type theory with dependent sums, dependent products, identity types, and as many univalent universes as there are inaccessible cardinals greater than  $\aleph_0$ .

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But these equalities are then data, which have to be carried around everywhere. This is quite tedious, and the technicalities become nearly insurmountable when we come to define commutative triangles and commutative squares.

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However, since  $2 \to 2$  is a cofibration and  $A \to 1$  is a fibration, we obtain that the pullback corner map  $A^2 \to A \times A$  is a fibration, which represents the the desired type family  $\hom_A : A \times A \to \mathcal{U}$ .

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We instead use a more refined approach where we have a judgemental notion of cofibration, and a new type former called an extension type: if  $i:A\rightarrowtail B$  is a cofibration and  $C:B\to \mathcal U$  is a type family with a section  $d:\prod_{x:A}C(i(x))$ , then there is a type  $\left\langle\prod_{y:B}C(y)\right|_d^i\right\rangle$  of dependent functions  $f:\prod_{y:B}C(y)$  such that  $f(i(x))\equiv d(x)$  for all x:A.

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### The cube layer

Concretely, sHoTT is built as a three layer type theory: The first layer is the coherent theory of cubes with finite products of cubes and an axiomatic cube 2 with no other data.

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So here is our first layer: the layer of cubes

Here  $\Xi$  is a context of variables belonging to cubes, and  ${\bf 1}$  denotes the empty product.

## The tope layer

The second layer is an intuitionistic logic over the layer of cubes. We refer to its types as **topes** admitting finite conjunction and disjunction, but not negation, implication, or either quantifier.

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Here is our second layer: the layer of topes

## The tope layer

$$\begin{array}{c|c} \Xi \mid \Phi \vdash \psi \\ \hline \Xi \mid \Phi \vdash \phi \lor \psi \end{array} \qquad \begin{array}{c|c} \Xi \mid \Phi, \phi \vdash \chi & \Xi \mid \phi, \psi \vdash \chi & \Xi \mid \Phi \vdash \phi \lor \psi \\ \hline \Xi \mid \Phi \vdash \phi \lor \psi \end{array} \qquad \begin{array}{c|c} \Xi \vdash s : I & \Xi \mid \Phi \vdash \chi \end{array}$$
 
$$\begin{array}{c|c} \Xi \vdash s : I & \Xi \vdash t : I \\ \hline \Xi \vdash (s \equiv t) \text{ tope} \end{array} \qquad \begin{array}{c|c} \Xi \vdash s : I & \Xi \mid \Phi \vdash (s \equiv t) \\ \hline \Xi \mid \Phi \vdash (s \equiv s) \end{array} \qquad \begin{array}{c|c} \Xi \mid \Phi \vdash (t \equiv s) \end{array}$$
 
$$\begin{array}{c|c} \Xi \mid \Phi \vdash (s \equiv t) & \Xi \mid \Phi \vdash (t \equiv v) \\ \hline \Xi \mid \Phi \vdash (s \equiv v) \end{array} \qquad \begin{array}{c|c} \Xi \vdash t : I \\ \hline \Xi \mid \Phi \vdash t \equiv \star \end{array}$$
 
$$\begin{array}{c|c} (s \equiv t) & \Xi, x : I \vdash \psi \text{ tope} & \Xi \mid \Phi \vdash \psi[s/x] \end{array} \qquad \begin{array}{c|c} \Xi \vdash s : I & \Xi \vdash t : J \\ \hline \Xi \mid \Phi \vdash \psi[t/x] \end{array} \qquad \begin{array}{c|c} \Xi \vdash s : I & \Xi \vdash t : J \\ \hline \Xi \mid \Phi \vdash \pi_1(\langle s, t \rangle) \equiv s \end{array}$$

Here  $\Phi$  is a list of topes, and  $\equiv$  is an equality tope.



## Shapes as special types

A shape will mean a cube together with a tope in the corresponding singleton context:

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# Shapes as special types

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Some most relevant shapes for us will be the n-simplices, their boundaries and their horns.

```
\begin{array}{l} \Delta^0 := \{t:1 \mid \top\} \\ \Delta^1 := \{t:2 \mid \top\} \\ \Delta^2 := \{\langle t_1, t_2 \rangle : 2 \times 2 \mid t_2 \leqslant t_1\} \\ \Delta^3 := \{\langle t_1, t_2, t_3 \rangle : 2 \times 2 \times 2 \mid t_3 \leqslant t_2 \leqslant t_1\} \\ \partial \Delta^1 := \{t:2 \mid (t \equiv 0) \lor (t \equiv 1)\} \\ \partial \Delta^2 := \{(t_1, t_2) : \Delta^2 \mid (0 \equiv t_2 \leqslant t_1) \lor (t_1 \equiv t_2) \lor (t_2 \leqslant t_1 \equiv 1)\} \\ \Lambda^2_1 := \{\langle t_1, t_2 \rangle : 2 \times 2 \mid t_1 = 1 \lor t_2 = 0\} \end{array}
```

$$\begin{array}{c|c} \{t:I\mid\phi\} \text{ shape } & \{t:I\mid\psi\} \text{ shape } & t:I\mid\phi\vdash\psi\\ \hline \Xi\mid\Phi\vdash\Gamma\text{ ctx } & \Xi,t:I\mid\Phi,\psi\mid\Gamma\vdash A \text{ type } & \Xi,t:I\mid\Phi,\phi\mid\Gamma\vdash a:A\\ \hline & \Xi\mid\Phi\mid\Gamma\vdash\left\langle\prod_{t:I\mid\psi}A\right|_a^\phi\right\rangle \text{ type} \end{array}$$

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$$\frac{\Xi, t: I \mid \Phi, \psi \mid \Gamma \vdash b: A \qquad \Xi, t: I \mid \Phi, \phi \mid \Gamma \vdash b \equiv a}{\Xi \mid \Phi \mid \Gamma \vdash \lambda t^{I \mid \psi}.b: \left\langle \prod_{t: I \mid \psi} A \right|_{a}^{\phi} \right\rangle}$$

$$\frac{\Xi \mid \Phi \mid \Gamma \vdash f : \left\langle \prod_{t:I \mid \psi} A \right|_a^{\phi} \right\rangle \qquad \Xi \vdash s : I \quad \Xi \mid \Phi \vdash \psi[s/t]}{\Xi \mid \Phi \mid \Gamma \vdash f(s) : A}$$

$$\frac{\Xi \vdash s : I \qquad \Xi \mid \Phi \vdash \phi[s/t]}{\Xi \mid \Phi \mid \Gamma \vdash f(s) \equiv a[s/t]}$$

$$\frac{\Xi \vdash s : I}{\Xi \mid \Phi \mid \Gamma \vdash (\lambda t^{I \mid \psi}.b)(s)} \equiv b[s/t]$$

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We can think of  $\{t:I\mid\phi\}$  as a sub-shape of  $\{t:I\mid\psi\}$  and read the judgment  $\Xi,t:I\mid\Phi,\phi\mid\Gamma\vdash a:A$  as a function  $\phi\to A$ , we could represent a point in an extension type with a dashed arrow in the commutative diagram:

$$\frac{\Xi \vdash s : I \qquad \Xi \mid \Phi \vdash \phi[s/t]}{\Xi \mid \Phi \mid \Gamma \vdash f(s) \equiv a[s/t]}$$

$$\frac{\Xi \vdash s : I \qquad \Xi \mid \Phi \vdash \psi[s/t]}{\Xi \mid \Phi \mid \Gamma \vdash (\lambda t^{I|\psi}.b)(s) \equiv b[s/t]}$$

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Just like for ordinary and dependent function types, where we have,

$$\left(\prod_{x:X}\prod_{y:Y}Z(x,y)\right)\simeq\left(\prod_{y:Y}\prod_{x:X}Z(x,y)\right)$$

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The following theorem is an analogue for extension types.

### Theorem 5.1 (Commutation and currying)

If  $t: I \mid \phi \vdash \psi$  and  $X: \mathcal{U}$ , while  $Y: \{t: I \mid \psi\} \to X \to \mathcal{U}$  and

 $f:\prod_{t:I|\phi}\prod_{x:X}Y(t,x)$ , then

$$\left\langle \prod_{t:I|\psi} \left( \prod_{x:X} Y(t,x) \right) \Big|_f^{\phi} \right\rangle \simeq \prod_{x:X} \left\langle \prod_{t:I|\psi} Y(t,x) \Big|_{\lambda t.f(t,x)}^{\phi} \right\rangle.$$

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$$\Big\langle \prod_{t:I\mid \psi}\Big(\prod_{x:X}Y(t,x)\Big)\Big|_f^\phi\Big\rangle \simeq \prod_{x:X}\Big\langle \prod_{t:I\mid \psi}Y(t,x)\Big|_{\lambda t.f(t,x)}^\phi\Big\rangle.$$

**Proof**. From left to right  $g\mapsto \lambda x.\lambda t.g(t,x)$ , and from right to left  $h\mapsto \lambda t.\lambda x.h(x,t)$ . If  $g(t)\equiv f(t)$  assuming  $\phi$ , then  $g(t,x)\equiv f(t,x)$  assuming  $\phi$ . For the reverse direction, using  $\eta$ -conversion, if  $h(x,t)\equiv f(t,x)$  assuming  $\phi$ , then  $\lambda t.\lambda x.h(x,t)\equiv \lambda t.\lambda x.f(t,x)\equiv f$  assuming  $\phi$ .

11th December 2023

### Theorem 5.2 (Pushout product)

If  $t:I\mid \phi \vdash \psi$  and  $s:J\mid \chi \vdash \zeta$ , while  $X:\{t:I\mid \psi\} \to \{s:J\mid \zeta\} \to \mathcal{U}$  and  $f:\prod_{< t, s>:I\times J\mid (\phi \land \zeta)\lor (\psi \land \chi)} X(t,s)$ , then  $\left\langle \prod_{t:I\mid \psi} \left\langle \prod_{s:J\mid \zeta} X(t,s) \right|_{\lambda s.f(t,s)}^{\chi} \right\rangle \right|_{\lambda t.\lambda s.f < t, s>}^{\phi}$   $\simeq \left\langle \prod_{< t, s>:I\times J\mid \psi \land \zeta} X(t,s) \right|_{f}^{(\phi \land \zeta)\lor (\psi \land \chi)} \right\rangle$   $\simeq \left\langle \prod_{s:J\mid \zeta} \left\langle \prod_{t:I\mid \psi} X(t,s) \right|_{\lambda s.f(t,s)}^{\phi} \right\rangle \right|_{\lambda t.\lambda s.f < t.s>}^{\chi}.$ 

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The shape  $\{\langle t,s \rangle: I \times J \mid (\phi \wedge \zeta) \vee (\psi \wedge \chi)\}$  may be called the pushout product of the two inclusions  $\{t:I\mid \phi\}\subseteq \{t:I\mid \psi\}$  and  $\{s:J\mid \chi\}\subseteq \{s:J\mid \zeta\}$ . The dashed arrow in the diagram may be called the pushout product map.

Consider the pushout square,



Consider the pushout square,



The first and the third is just application and re-abstraction. For the first with the second, we perform currying, i.e., from left to right  $\lambda t.\lambda s.f\langle t,s\rangle\mapsto\lambda\langle t,s\rangle.f\langle t,s\rangle$  and right to left  $\lambda\langle t,s\rangle.f\langle t,s\rangle\mapsto\lambda t.\lambda s.f\langle t,s\rangle$ . Similarly, the second and the third are equivalent.

### Theorem 5.3 (Principle of choice)

If  $t:I\mid \phi \vdash \psi$ , while  $X:\{t:I\mid \psi\} \to \mathcal{U}$  and  $Y:\prod_{t:I\mid \psi}(X\to \mathcal{U})$ , while  $a:\prod_{t:I\mid \phi}X(t)$  and  $b:\prod t:I\mid \phi Y(t,x(t))$ , then  $\Big\langle \prod_{t:I\mid \psi} \Big(\sum_{x:X(t)}Y(t,x)\Big)\Big|_{\lambda t.(a(t),b(t))}^{\phi}\Big\rangle \simeq \sum_{f:\Big\langle \prod_{t:I\mid \psi}X(t)\Big|_{-}^{\phi}\Big\rangle} \Big\langle \prod_{t:I\mid \psi}Y(t,f(t))\Big|_{b}^{\phi}\Big\rangle.$ 

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, while  $X:\{t:I\mid \psi\} \to \mathcal{U}$  and  $Y:\prod_{t:I\mid \psi}(X\to \mathcal{U})$ , while  $a:\prod_{t:I\mid \phi}X(t)$  and  $b:\prod t:I\mid \phi Y(t,x(t))$ , then 
$$\Big\langle \prod_{t:I\mid \psi} \Big(\sum_{x:X(t)}Y(t,x)\Big)\Big|_{\lambda t.(a(t),b(t))}^{\phi}\Big\rangle \simeq \sum_{f:\Big\langle \prod_{t:I\mid \psi}X(t)\Big|_a^{\phi}\Big\rangle} \Big\langle \prod_{t:I\mid \psi}Y(t,f(t))\Big|_b^{\phi}\Big\rangle.$$

**Proof.** As in the ordinary case, this is just composing the introduction and elimination rules. Again, from left to right,  $h \mapsto (\lambda t.\pi_1(h(t)), \lambda t.\pi_2(h(t)))$  and from right to left,  $(f,g) \mapsto \lambda t.(f(t),g(t))$ . The  $\beta$ -reduction and  $\eta$ -expansion rules make these inverse equivalences.

### Theorem 5.4 (Composition)

Suppose  $t:T\mid \phi \vdash \psi$  and  $t:I\mid \psi \vdash \chi$ , and that  $X:\{t:I\mid \chi\} \to \mathcal{U}$  and  $a:\prod_{t:I\mid \phi}X(t)$ . Then,  $\left\langle \prod_{t:I\mid \chi}X\right|_a^\phi \right\rangle \simeq \left(\sum_{f:\left\langle \prod_{t:I\mid \chi}X\right|_a^\phi \right\rangle \left\langle \prod_{t:I\mid \chi}X\right|_f^\psi \right)$ .

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*Proof.* From left to right,  $h \mapsto (\lambda t.h(t), \lambda t.h(t))$  and from right to left,  $(f,g) \mapsto \lambda t.g(t)$ .

### Theorem 5.4 (Composition)

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*Proof.* From left to right,  $h \mapsto (\lambda t.h(t), \lambda t.h(t))$  and from right to left,  $(f,g) \mapsto \lambda t.g(t)$ .

### Theorem 5.5 (Union)

Suppose  $t: I \vdash \phi$  tope and  $t: I \vdash \psi$  tope, and that we have  $X: \{t: I \mid \phi \lor \psi\} \to \mathcal{U}$  and  $a: \prod_{t: I \mid \psi} X(t)$ . Then,

$$\left\langle \prod_{t:I|\phi\vee\psi} X\Big|_a^{\psi}\right\rangle \simeq \left\langle \prod_{t:I|\phi} X\Big|_{\lambda t.a(t)}^{\phi\wedge\psi}\right\rangle.$$

### Theorem 5.4 (Composition)

Suppose 
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 and  $t:I\mid \psi \vdash \chi$ , and that  $X:\{t:I\mid \chi\} \to \mathcal{U}$  and  $a:\prod_{t:I\mid \phi}X(t)$ . Then,  $\left\langle \prod_{t:I\mid \chi}X\right|_a^\phi \right\rangle \simeq \left(\sum_{f:\left\langle \prod_{t:I\mid \chi}X\right|_f^\phi \right\rangle }\left\langle \prod_{t:I\mid \chi}X\right|_f^\psi \right)$ .

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### Theorem 5.5 (Union)

Suppose  $t: I \vdash \phi$  tope and  $t: I \vdash \psi$  tope, and that we have  $X: \{t: I \mid \phi \lor \psi\} \to \mathcal{U}$  and  $a: \prod_{t: I \mid \psi} X(t)$ . Then,  $\Big\langle \prod_{t: I \mid \phi \lor \psi} X \Big|_{a}^{\psi} \Big\rangle \simeq \Big\langle \prod_{t: I \mid \phi} X \Big|_{\lambda t \mid a(t)}^{\phi \land \psi} \Big\rangle.$ 

*Proof.* Again, frpm left to right, we re-package,  $h\mapsto \lambda t.h(t)$ . From right to left,  $g\mapsto \lambda t.rec^{\phi,\psi}_{\vee}(g(t),a(t))$ , is well defined since  $g(t)\equiv a(t)$  for t:I satisfying  $\phi\wedge ab$ 

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• For f,g:\prod_{x:A}B(x) if \prod_{x:A}(fx=gx), then f=g.
• For f,g:\prod_{x:A}B(x), the canonical map (f=g)\to\prod_{x:A}(fx=gx) is an equivalence.
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- For  $f,g:\prod_{x:A}B(x)$ , the canonical map  $(f=g)\to\prod_{x:A}(fx=gx)$  is ar equivalence.
- If each B(x) is contractible, then so is  $\prod_{x:A} B(x)$

#### Axiom 6.5 (Relative functional extensionality)

Supposing  $t:I\mid \phi\vdash \psi$  and that  $A:\{t:I\mid \psi\}\to \mathcal{U}$  is such that each A(t) is contractible, and moreover  $a:\prod_{t:I\mid \phi}A(t)$ , then  $\left\langle \left.\prod_{t:I\mid \psi}a(t)\right|_a^\phi\right\rangle$  is contractible.

### Proposition 5.6 (Homotopy extension property)

Let  $t:I\mid \phi \vdash \psi$ . Assuming the relative function extensionality, if we have  $A:\{t:I\mid \psi\} \to \mathcal{U}$  and  $b:\prod_{t:I\mid \psi}A(t)$ , and moreover  $a:\prod_{t:I\mid \phi}A(t)$  and  $e:\prod_{t:I\mid \psi}a(t)=b(t)$ , then we have  $a':\langle\prod_{t:I\mid \psi}A(t)|_a^{\phi}\rangle$  and

 $e:\prod_{t:I|\phi}a(t)=b(t)$ , then we have  $a:\langle\prod_{t:E|\phi}a'(t)=b(t)|_{a}^{\phi}\rangle$ .

### Proposition 5.6 (Homotopy extension property)

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*Proof.* The extension type  $\left\langle \prod_{t:I|\psi} \left( \sum_{y:A(t)} (y=b(t)) \right) \right|_{t=0}^{\phi}$ contractible by the axiom of relative function extensionality, hence inhabited.

### Proposition 5.6 (Homotopy extension property)

Let  $t:I\mid \phi \vdash \psi$ . Assuming the relative function extensionality, if we have  $A:\{t:I\mid \psi\} \to \mathcal{U}$  and  $b:\prod_{t:I\mid \psi}A(t)$ , and moreover  $a:\prod_{t:I\mid \phi}A(t)$  and  $e:\prod_{t:I\mid \phi}a(t)=b(t)$ , then we have  $a':\left\langle\prod_{t:I\mid \psi}A(t)\right|_a^\phi\right\rangle$  and  $e':\left\langle\prod_{t:I\mid \psi}a'(t)=b(t)\right|_e^\phi\right\rangle$ .

**Proof.** The extension type  $\left\langle \prod_{t:I|\psi} \left( \sum_{y:A(t)} (y=b(t)) \right) \right|_{\lambda t.(a(t),e(t))}^{\phi}$  is contractible by the axiom of relative function extensionality, hence inhabited. Finally, we obtain a' and e' by applying Theorem 5.3.

# Arrows as terms of extension type

### Definition 6.1 (Directed hom-type)

Given x,y:A, determining a term [x,y]:A in context  $\partial \Delta^1$ , we define,

$$\mathsf{hom}_A(x,y) := \left\langle \Delta^1 \to A \middle|_{[x,y]}^{\partial \Delta^1} \right\rangle$$

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We refer to an element of  $\hom_A(x,y)$  as an arrow from x to y in A. Every  $f: \hom_A(x,y)$  is a kind of function from 2 to A, with the property that  $f(0) \equiv x$  and  $f(1) \equiv y$ .

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#### Definition 6.2 (Composition type)

Given x,y,z:A and  $f:\hom_A(x,y),\ g:\hom_A(y,z)$  and  $h:\hom_A(x,z)$  we have an induced term [x,y,z,f,g,h]:A in context  $\partial\Delta^2$ , and an extension type that we denote,

$$\hom_A^2 \left( \begin{array}{cc} f_{\nearrow} & y \\ x & \longrightarrow z \end{array} \right) := \left\langle \Delta^2 \to A \middle|_{[x,y,z,f,g,h]}^{\partial \Delta^2} \right\rangle$$

# Segal types are special

#### Definition 6.3 (Segal type)

A **Segal type** is a type A such that for all x, y, z : A and  $f : hom_A(x, y)$  and  $g : hom_A(y, z)$  the type,

$$\sum_{h: \hom_A(x,z)} \hom_A^2 \left( \begin{array}{cc} f & y & g \\ x & \xrightarrow{h} z \end{array} \right)$$

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In particular, the above type is inhabited, and the first component of this inhabitant is denoted by  $g \circ f : \hom_A(x,z)$ , the composite of g and f. The second component of this inhabitant is a 2-simplex in  $\hom_A^2(f,g,g\circ f)$ , denoted by  $\mathrm{comp}_{g,f}$ .

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$$\Lambda_1^2 = \{ \langle s, t \rangle : 2 \times 2 \mid s \equiv 1 \lor t \equiv 0 \}$$

#### Theorem 6.4 (Filling of 2-dimensional horns)

A type A is  $\mathbf{Segal}$  if and only if the restriction map,

$$(\Delta^2 \to A) \to (\Lambda_1^2 \to A)$$

is an equivalence.

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*Proof.* By theorem 5.5, to extend a map  $\Lambda_1^2 \to A$  to  $\partial \Delta^2$  is equivalent to extending its restriction to  $\partial \Delta_1^1$  to  $\Delta_1^1 := \{\langle s, t \rangle : 2 \times 2 \mid s = t \}$ .

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$$\sum_{h:\left\langle \Delta^{1} \rightarrow A|_{[x,z]}^{\partial \Delta^{1}}\right\rangle} \left\langle \Delta^{2} \rightarrow A \Big|_{[x,y,z,f,g,h]}^{\partial \Delta^{2}} \right\rangle \simeq \sum_{l:\left\langle \partial \Delta^{2} \rightarrow A|_{[x,y,z,f,g]}^{\Lambda_{1}^{2}}\right\rangle} \left\langle \Delta^{2} \rightarrow A \Big|_{l}^{\partial \Delta^{2}} \right\rangle \simeq \left\langle \Delta^{2} \rightarrow A \Big|_{[x,y,z,f,g]}^{\Lambda_{1}^{2}} \right\rangle.$$

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$$\begin{split} \sum_{h:\left\langle \Delta^1 \to A \big|_{[x,y,z,f,g]}^{\partial \Delta^1} \right\rangle} \left\langle \Delta^2 \to A \bigg|_{[x,y,z,f,g,h]}^{\partial \Delta^2} \right\rangle &\simeq \sum_{l:\left\langle \partial \Delta^2 \to A \big|_{[x,y,z,f,g]}^{\Lambda_1^2} \right\rangle} \left\langle \Delta^2 \to A \bigg|_{l}^{\partial \Delta^2} \right\rangle &\simeq \\ \left\langle \Delta^2 \to A \bigg|_{[x,y,z,f,g]}^{\Lambda_1^2} \right\rangle. \text{ Using 5.4, } (\Delta^2 \to A) &\simeq \sum_{k:\Lambda^2 \to A} \left\langle \Delta^2 \to A \big|_{k}^{\Lambda_1^2} \right\rangle. \end{split}$$

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$$\begin{split} \sum_{h:\left\langle \Delta^1 \to A|_{[x,y,z,f,g]}^{\partial \Delta^1} \right\rangle} \left\langle \Delta^2 \to A \Big|_{[x,y,z,f,g,h]}^{\partial \Delta^2} \right\rangle &\simeq \sum_{l:\left\langle \partial \Delta^2 \to A|_{[x,y,z,f,g]}^{\Lambda_1^2} \right\rangle} \left\langle \Delta^2 \to A \Big|_{l}^{\partial \Delta^2} \right\rangle &\simeq \\ \left\langle \Delta^2 \to A \Big|_{[x,y,z,f,g]}^{\Lambda_1^2} \right\rangle. \text{ Using 5.4, } (\Delta^2 \to A) &\simeq \sum_{l:\Lambda^2 \to A} \left\langle \Delta^2 \to A \Big|_{k}^{\Lambda_1^2} \right\rangle. \end{split}$$

Since the projection from a total space is an equivalence exactly when all the fibers are contractible, the result follows.

#### Corollary 6.5

If X is either a type or a shape and  $A:X\to\mathcal{U}$  is such that each A(x) is a Segal type for all x:X, then the dependent function type  $\prod_{x:X}A(x)$  is a Segal type.

#### Corollary 6.5

If X is either a type or a shape and  $A:X\to \mathcal U$  is such that each A(x) is a Segal type for all x:X, then the dependent function type  $\prod_{x:X}A(x)$  is a Segal type.

**Proof.** By the rearrangement of function types, we have  $(\Delta^2 \to \prod_{x:X} A(x)) \simeq \prod_{x:X} (\Delta^2 \to A(x))$  and similarly for  $\Lambda_1^2$ . Finally, relative function extensionality axiom and the theorem 6.4 does the trick.

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#### Definition 6.6 (Identity)

For any x:A, define a term  $\mathrm{id}_x: \mathrm{hom}_A(x,x)$  by  $\mathrm{id}_x(s) \equiv x$  for all s:2.

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#### Definition 6.6 (Identity)

For any x:A, define a term  $id_x: hom_A(x,x)$  by  $id_x(s) \equiv x$  for all s:2.

#### Proposition 6.7 (Unit law)

If A is a Segal type with terms x,y:A, then for any  $f:\hom_A(x,y)$  we have  $\operatorname{id}_y\circ f=f$  and  $f\circ\operatorname{id}_x=f$ .

**Proof**. For any  $f: hom_A(x,y)$  we have a canonical 2-simplex:

$$\lambda s, t. f(s) : \left( \begin{array}{c} f & y & \mathrm{id}_y \\ x & \searrow & y \end{array} \right)$$

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$$\lambda s, t. f(s) : \left( \begin{array}{c} f & y & \mathrm{id}_y \\ x & f & y \end{array} \right)$$

To check that this has the right boundary, we see that  $(s,0)\mapsto f(s)$  and  $(s,s)\mapsto f(s)$ , while  $(1,t)\mapsto f(1)=y$ . Thus, by uniqueness of composites,  $\mathrm{id}_y\circ f=f$ . Similarly,  $f\circ\mathrm{id}_x=f$ .

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#### Proposition 6.8 (Associativity)

If A is Segal type with terms x,y,z,w:A, then for any  $f:\hom_A(x,y)$ ,  $g:\hom_A(y,z)$ ,  $h:\hom_A(z,w)$  we have  $(h\circ g)\circ f=h\circ (g\circ f)$ .

**Proof**. For any  $f: hom_A(x, y)$  we have a canonical 2-simplex:

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$$Proof.$$
 The type  $\sum_{p: \hom_{A^2}(f,h)} \hom_{A^2}^2 \left( \stackrel{\mathsf{comp}_{g,f}}{f} \underset{p}{\nearrow} \stackrel{g}{\searrow} \underset{h}{\mathsf{comp}_{h,g}} \right)$  is contractible,

hence inhabited.







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This edge defines an inhabitant  $m': \hom_A(x,w)$ , while the pair of 2-simplices define witnesses that m' is the composite of  $h \circ g$  and f, and that m' is the composite of h and  $g \circ f$ , respectively. In particular,  $(h \circ g) \circ f = h \circ (g \circ f)$ .

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#### Proposition 6.9

For any  $f: \hom_A(x,y)$  and  $g: \hom_A(y,z)$  and  $h: \hom_A(x,z)$  in a Segal type A, the natural map

$$(g \circ f = h) \to \text{hom}_A^2 \left( \begin{array}{cc} f & y \\ x & \searrow \\ x & \longrightarrow y \end{array} \right)$$

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The homotopies between arrows in a Segal type behave like a 2-category up to homotopy. For instance, given  $p: f=_{\hom_A(x,y)} g$  and  $q: g=_{\hom_A(x,y)} h$ , we can vertically compose them to get  $p\cdot q: f=_{\hom_A(x,y)} h$ .

### Proposition 6.10 (Horizontal composition)

Given  $p: f=_{\hom_A(x,y)} g$  and  $q: h=_{\hom_A(y,z)} k$  in a Segal type A, there is a concatenated equality  $q\circ_2 p: h\circ f=_{\hom_A(x,z)} k\circ g$ .

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#### Proposition 6.11 (Whiskering)

Given  $p: f =_{\hom_A(x,y)} g$  and  $h: \hom_A(y,z)$  and  $k: \hom_A(w,x)$  in a Segal type A, we have

$$\begin{split} \operatorname{refl}_h \circ_2 p &= \operatorname{ap}_{(h \circ \_)}(p) \\ p \circ_2 \operatorname{refl}_k &= \operatorname{ap}_{(\_ \circ k)}(p). \end{split}$$

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#### Proposition 6.12

We have the following equality in a Segal type whenever it makes sense:

$$(q' \cdot p') \circ_2 (q \cdot p) = (q' \circ_2 q) \cdot (p' \circ_2 p).$$

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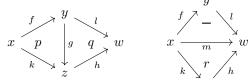
#### Proof. Path induction.

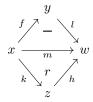
#### Proposition 6.13 (Fillings of 3-dimensional horns)

In a Segal type A, suppose given arrows f, g, h, k, l, m and equalities

$$p:g\circ f=_{\mathrm{hom}_A(x,z)}k\quad q:h\circ g=_{\mathrm{hom}_A(z,w)}l\quad r:h\circ k=_{\mathrm{hom}_A(x,w)}m$$

corresponding to 2-simplices that fill out the following horn  $\Lambda_2^3 \to A$ :





Then the horn has a filler  $\Delta^3 \to A$  corresponding to the concatenated equality  $l \circ f \stackrel{q}{=} (h \circ a) \circ f = h \circ (a \circ f) \stackrel{p}{=} h \circ k \stackrel{r}{=} m.$ 

where p and q are whiskered by h and f respectively.

**Proof.** Trick is to do path induction on p and q. Then the 2-simplices corresponding to p and q are now  $\mathsf{comp}_{g,f}$  and  $\mathsf{comp}_{h,g}$  while the above chain of equalities reduces to  $(h \circ g) \circ f = h \circ (g \circ f) \stackrel{\mathrm{r}}{=} m$ .

**Proof.** Trick is to do path induction on p and q. Then the 2-simplices corresponding to p and q are now  $\mathsf{comp}_{g,f}$  and  $\mathsf{comp}_{h,g}$  while the above chain of equalities reduces to  $(h \circ g) \circ f = h \circ (g \circ f) \stackrel{\mathsf{T}}{=} m$ . By contractibility, we have (m',r') = (m,r). Also we have the decomposition of 3-2-horns,

$$(\Lambda_2^3 o A) \simeq \sum_{\alpha: \Delta^2 \cup_{\Delta^1} \Delta^2 o A} \left\langle \Delta^2 o A \Big|_{\alpha}^{\Lambda_1^c} \right\rangle$$

where  $\Delta^2 \cup_{\Delta^1} \Delta^2$  denotes the pushout of  $\operatorname{comp}_{g,f}$  and  $\operatorname{comp}_{h,g}$ , with  $\Lambda^2_1$  being  $g \circ f$  and h. Thus, equality (m',r') = (m,r) in  $\left\langle \Delta^2 \to A \Big|_{[h,g \circ f]}^{\Lambda^2_1} \right\rangle$  yields  $[\operatorname{comp}_{g,f}, \operatorname{comp}_{h,g}, r'] = [\operatorname{comp}_{g,f}, \operatorname{comp}_{h,g}, r]$ .

**Proof.** Trick is to do path induction on p and q. Then the 2-simplices corresponding to p and q are now  $\mathsf{comp}_{g,f}$  and  $\mathsf{comp}_{h,g}$  while the above chain of equalities reduces to  $(h \circ g) \circ f = h \circ (g \circ f) \stackrel{\mathsf{T}}{=} m$ . By contractibility, we have (m',r') = (m,r). Also we have the decomposition of 3-2-horns,

$$(\Lambda_2^3 \to A) \simeq \sum_{\alpha: \Delta^2 \cup_{\Delta^1} \Delta^2 \to A} \left\langle \Delta^2 \to A \Big|_{\alpha}^{\Lambda_1^*} \right\rangle$$

where  $\Delta^2 \cup_{\Delta^1} \Delta^2$  denotes the pushout of  $\operatorname{comp}_{g,f}$  and  $\operatorname{comp}_{h,g}$ , with  $\Lambda^2_1$  being  $g \circ f$  and h. Thus, equality (m',r') = (m,r) in  $\left\langle \Delta^2 \to A \middle|_{[h,g \circ f]}^{\Lambda^2_1} \right\rangle$  yields  $[\operatorname{comp}_{g,f},\operatorname{comp}_{h,g},r'] = [\operatorname{comp}_{g,f},\operatorname{comp}_{h,g},r]$ .

The 3-simplex in 6.8 is a term of  $\left\langle \Delta^3 \to A \right|_{[\mathsf{comp}_{g,f},\mathsf{comp}_{h,g},r']}^{\Lambda_2^3}$ , we transport this term across the equality to get a term of  $\left\langle \Delta^3 \to A \right|_{[\mathsf{comp}_{g,f},\mathsf{comp}_{h,g},r]}^{\Lambda_2^3}$ , our desired 3-simplex.

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Theory of Segal types

Thank you for being an attentive and morphically coherent audience, as your participation enriches the categorical landscape of this presentation.

11th December 2023

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