

## Lecture 8: Maximal ideals.

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Let  $R$  be a comm ring with unity. Recall:

- 1)  $I \subseteq R$  is a prime ideal if  $I \neq R$ ,  $ab \in I \Rightarrow a \in I$  or  $b \in I$ .
- 2)  $I \subseteq R$  is a prime ideal  $\Leftrightarrow R/I$  is an integral domain.  $\leftarrow$
- 3)  $m \subseteq R$  is a maximal ideal if  $\nexists$  any ideal  $m \subsetneq I \subsetneq R$

Observation:  $I \subseteq R$  an ideal.  $I = R$  iff  $1_R \in I$ .

Prop: Let  $R$  be comm ring with unity and  $I$  be an  $R$ -ideal.  
Then  $I$  is a maximal ideal iff  $R/I$  is a field.

Lemma: Let  $R$  be a comm ring with unity. Then  $R$  is a field iff <sup>the</sup> only ideals in  $R$  are  $0$  and  $R$ .

Pf:  $(\Rightarrow)$ : Let  $I \subseteq R$  be an ideal.  $I \neq (0) \Rightarrow$   
 $\exists a \in I$  &  $a \neq 0 \Rightarrow 1 = \bar{a}^{-1}a \in I \Rightarrow I = R$ .  
 $\uparrow$   
Since  $R$  is a field  $\bar{a}^{-1} \in R$

$(\Leftarrow)$ : Let  $a \in R$  &  $a \neq 0$  then  $aR \neq 0 \Rightarrow$   
 $aR = R \Rightarrow \exists b \in R$  s.t.  $ab = 1_R$ .  
Hence  $R$  is a field.

Proof of the proposition:  $I \subseteq R$  is maximal ideal  
 $\Leftrightarrow$  the only ideals in  $R/I$  are the  $0$  ideal &  $R/I$ .  
 $\left( \because \text{ideals in } R/I \text{ are in bijection with ideals of } R \text{ containing } I, \text{ and } I \text{ being maximal the two such ideals are } I \text{ \& } R \text{ whose images under } \nu: R \rightarrow R/I \text{ are } 0 \text{ } R/I\text{-ideal and } R/I. \right)$

Lemma  
 $\Leftrightarrow R/I$  is a field.

Cor:  $R$  a comm ring with unity &  $I \subseteq R$  a maximal ideal then  $I$  is a prime ideal of  $R$ .

Pf:  $I$  is a maximal ideal of  $R \Rightarrow R/I$  is a field  
 $\Rightarrow R/I$  is an int domain  $\Rightarrow I$  is a prime ideal.

Another proof of the cor: Let  $I \in R$  be a maximal ideal. Then  $I \neq R$ . Let  $ab \in I$  for  $a, b \in R$ .

$Ra + I$  is an  $R$ -ideal containing  $I$ .

By maximality of  $I$ ,  $Ra + I = I$

or  $Ra + I = R$

$\Downarrow$   
 $a \in I$

$\Downarrow$   
 $\exists x \in R \ \& \ x \in I$  s.t.

$$xa + x = 1$$

$$\Rightarrow \underbrace{xa}_{\in I} + \underbrace{x}_{\in I} = 1 \Rightarrow 1 \in I$$

$(\because ab \in I, x \in I)$



Converse is not true:  $(0) \subseteq \mathbb{Z}$  is a prime ideal but not maximal.

Question: Is every nonzero prime ideal of a ring  $R$  maximal?

Ex:  $(2), (X)$  in  $\mathbb{Z}[X]$

$$\frac{\mathbb{Z}[X]}{(2)} \cong \frac{\mathbb{Z}}{(2)}[X]$$

$I = X\mathbb{Z}[X]$ , let  $f(x)g(x) \in I \Rightarrow$

$$f(x)g(x) = xh(x)$$

$$f(0)g(0) = 0 \Rightarrow f(0) = 0 \text{ or } g(0) = 0$$

$\{f \in \mathbb{Z}[X] \mid f(0) \text{ even}\}$

$$\Downarrow$$

$$\Downarrow$$

$$I \subseteq (2X) \subsetneq \mathbb{Z}[X]$$

$$f(x) = x \left( \sum_{i=1}^n a_i x^{i-1} \right)$$

$$\Downarrow$$

$$\Downarrow$$

$$\text{or check } \frac{\mathbb{Z}[X]}{(X)} \cong \mathbb{Z}$$

$$\frac{\mathbb{Z}[X]}{(2, X)} \cong \mathbb{Z}/(2)$$

Thm: Every nonzero comm ring with unity  $R$  contains a maximal ideal.

Zorn's lemma: Let  $(\Omega, \leq)$  be a nonempty partially ordered set. Assume that every chain in  $\Omega$  has an upper bound in  $\Omega$  then  $\Omega$  has a maximal element.

partially ordered means  $\leq$  relation is reflexive  
anti-symmetric

$$(a \leq b \text{ \& } b \leq a) \Rightarrow a = b$$

and transitive.

A chain  $C$  in  $\Omega$  is a totally ordered subset  
i.e.  $\forall a, b \in C \quad a \leq b \text{ or } b \leq a.$

$C$  has an upper bound in  $\Omega$  means  $\exists m \in \Omega$  s.t.  $\forall a \in C \quad a \leq m.$

$m$  is a maximal element of  $\Omega$  means  
if  $m \leq a$  for some  $a \in \Omega$  then  $a = m.$

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Zorn's lemma is equivalent to Axiom of choice

AC: Let  $I$  be a set and  $\{A_x\}_{x \in I}$  be a collection of set.

Then  $\exists$  a set  $A$  s.t.  $A$  contains exactly one element from each  $A_x$   
 $\forall x \in I.$

Pf of the thm: Let  $R$  be a nonzero comm ring with unity. Let  $\Omega = \{I \subseteq R \mid I \text{ is a proper } R\text{-ideal}\}$ .

Then  $\Omega \neq \emptyset$ .  $\Omega$  is a partially ordered by inclusion.  ~~$R$~~   $R$  is not the zero ring.  $I \leq I'$  if  $I \subseteq I'$ .

Let  $C = \{I_\alpha\}_{\alpha \in J}$  be a chain in  $\Omega$ .  $J$  is an indexing set.

Let  $I = \bigcup_{\alpha \in J} I_\alpha$ . Claim:  $I$  is proper  $R$ -ideal.

Pf: Let  $a, b \in I$  then  $a \in I_{\alpha_0} \Delta b \in I_{\beta_0}$  for some  $\alpha_0, \beta_0 \in J$ . Since  $\{I_\alpha\}_{\alpha \in J}$  is totally ordered

$I_{\alpha_0} \subseteq I_{\beta_0}$  or  $I_{\beta_0} \subseteq I_{\alpha_0} \Rightarrow a, b \in I_{\beta_0}$  or  $I_{\alpha_0}$ .

$\Rightarrow a+b \in I_{\alpha} \text{ or } I_{\beta}$  for any  $\alpha \in R$  &  $ra$

$\Rightarrow a+b \Delta ra \in I$  " "  $ra \in R$

$\Rightarrow I$  is an  $R$ -ideal

If  $I = R \Rightarrow 1 \in I \Rightarrow 1 \in I_\alpha$  for some  $\alpha \in J$   
 $\Rightarrow I_\alpha = R$ , which contradicts  $I_\alpha \in \Omega$ .

Hence the claim. i.e.  $I \in \Omega$  and  $I$  is an upper bound of  $\{I_\alpha\}_{\alpha \in J}$ .

Hence by Zorn's lemma  $\Omega$  has a maximal element say  $M$ . Then  $M$  is a maximal ideal of  $R$  by definition.

