

Combinatorics

Lecture 4. Factoring $X^n - 1$ over $GF(p^r)$.

Review :- Galois Theory.

Algebraic field extensions.

$F \subset E$ two fields. $\{\theta: E \rightarrow E \mid \theta \text{ is field auto.} \& \theta(\alpha) = \alpha \forall \alpha \in F\}$

$\text{Perm}(E) \supset \text{Gal}(E/F) \rightarrow \text{group}$

If extension is normal & separable, then this group "describes" the field extension very well.

$$\{F \subset K \subset E \mid K \text{ a field}\} \longleftrightarrow \{e \subseteq H \subseteq G \mid H \text{ a subgroup}\}$$

\downarrow
field theory

\downarrow
group theory

$$K \longmapsto \text{Gal}(K/F)$$

the field fixed of H $= E^H = \{\alpha \mid \theta(\alpha) = \alpha \forall \theta \in H\} \longleftarrow H$

E^H is \longleftrightarrow H is normal
normal & separable.

$$|\text{Gal}(E/F)| = [E:F]. \quad \boxed{\text{In general } |\text{Gal}(E/F)| \leq [E:F]}$$

• Apply this to finite fields.

Thm: $\forall p$ -prime, $r \in \mathbb{N}$, $\exists!$ $GF(p^r)$.

$\&$ $GF(p^r) \subset GF(p^s)$ iff $r|s$.

Frobenius map: $\alpha \mapsto \alpha^p$. $\alpha^{p-1} \equiv 1 \pmod{p}$ in \mathbb{Z} .
 \Rightarrow Fixed field

$$\begin{aligned} (\alpha + \beta)^p &= \alpha^p + \beta^p \\ (\alpha \beta)^p &= \alpha^p \beta^p \end{aligned} \Rightarrow \text{Frobenius is a field homo. of } GF(p^r)$$

whose fixed field contains $\mathbb{Z}/p\mathbb{Z}$

Since $X^p = X$ has at most p roots, The fixed field of the Frobenius is precisely $GF(p) = \mathbb{Z}/p\mathbb{Z}$.

$$\Rightarrow \text{Frob} \in \text{Gal}(GF(p^r)/GF(p)).$$

We know that every $x \in GF(p^r)$ satisfies $\overline{X^p = X}$.

$$(\text{Frob})^2(x) = (\text{Frob}(\text{Frob}(x))) = \text{Frob}(x^p) = x^{p^2}$$

$$(\text{Frob})^s(x) = x^{p^s} \quad \forall s.$$

$$\Rightarrow \text{the order of Frob in } \text{Gal}(GF(p^r)/GF(p)) = r.$$

$$= [GF(p^r) : GF(p)].$$

$\therefore GF(p^r)/GF(p)$ is Galois & its Galois group is cyclic,

a gen. given by Frob.

$$\left\{ \begin{array}{l} \text{Gal}(GF(p^r)/GF(p)) \ni \text{Frob} \end{array} \right.$$

$$GF(p) \ni \text{Frob}$$

$$GF(p^r) \ni \text{Frob}$$

$$GF(p)$$

$$x \mapsto x^p$$

$$\begin{array}{ccc} GF(p^r) & & \text{Gal}(GF(p^r)/GF(p)) \\ \wr \downarrow & & \cap \\ GF(p) & = & p. \quad \bigcap_{p^r} \end{array}$$

Q. Factor $X^{p^r} - X$ (or $\underline{X^{p^r} - 1}$) over $GF(p)$.

Idea. Its Splitting field is $GF(p^r)$; $GF(p^r)^*$ is cyclic, say generated by α .

$$\therefore \text{If } X^{p^r} - X = f_1 \cdot f_2 \cdots f_l \quad f_i \in GF(p)[X] \text{ irr.}$$

$$(X - \alpha_{1,1})(X - \alpha_{2,1})(X - \alpha_{k_1,1})$$

$$(X - \alpha_{1,i_1})(X - \alpha_{2,i_2}) \cdots (X - \alpha_{k_1,i_{k_1}})$$

$$= X^{k_1} - \left(\sum_{i=1}^{k_1} \alpha_{i,i} \right) X^{k_1-1} + \cdots + (-1)^{k_1} \left(\prod_{i=1}^{k_1} \alpha_{i,i} \right)$$

$$\prod_{i=1}^{k_1} \alpha_{i,i}$$

$$x_1, x_2, \dots, x_n$$

$$x_1, x_2, \dots, x_n$$

$$\sum_{i=1}^n x_i x_j, \sum_{i=1}^n x_i^2 x_j^2$$

\Rightarrow every coeff of f_i is invariant under Frobenius map.

$$\boxed{\text{Frob}(f_i) = \prod_{t=1}^{k_i} (x - \text{Frob}(\alpha_i^{t_i}))}$$

\Downarrow

$\Theta: F \rightarrow F$. field homo.

\Downarrow

$$\Theta: F[x] \rightarrow F[x]$$

$$\sum a_i x^i \mapsto \sum \Theta(a_i) x^i$$

$\Rightarrow \alpha_i^{j_i}$ is a root of f_i then
so is $\alpha_i^{p^{j_i}}$.

Order powers so that $i_1 \neq i_2 < \dots < i_t$

look at $\alpha_i^{i_1}, \alpha_i^{p^{i_1}}, \dots, \alpha_i^{p^{r-1} i_1}$ with $(\alpha_i^{i_1})^{p^{r+1}} = \alpha_i^{i_1}$

$$o(\alpha) = p^r - 1$$

$o(\alpha^{i_1}) \mid o(\alpha)$ & \therefore is coprime to p . $\Rightarrow \exists r$ s.t. $p^{r+1} \equiv 1 \pmod{n}$.

$$\underset{\parallel}{n} \quad g_i = (x - \alpha^{i_1})(x - \alpha^{p^{i_1}}) \dots (x - \alpha^{p^{r-1} i_1}) \quad \text{invariant under Frob.}$$

$\stackrel{(1)}{GF(p)[x]} \Rightarrow g_i = f_i$. If we can find all roots $f_i \neq 1 \leq i \leq l$.

— α — α^p — α^{p^2} —

Algorithm

Start with any number i from 0 to $p^r - 1$.

\downarrow
 α^i α -gen. of $GF(p^r)^*$

What is the order α^i in $\mathbb{Z}/p^r - 1 = \langle \alpha \rangle$.

$$= \frac{p^r - 1}{(i, p^r - 1)} = m. \quad \text{let } s \text{ be the first integer s.t. } p^{s+1} \equiv 1 \pmod{n}$$

$(\alpha^i, \alpha^{p^i}, \alpha^{p^2 i}, \dots, \alpha^{p^{s-1} i})$ are the roots of the irr. poly of α^i in $GF(p^r)$.

To factor $x^{p^r-1} - 1$ we partition the set $\{0, 1, \dots, p^r - 1\}$

as $(i, p^i, p^2 i, \dots, p^{s-1} i)$ s.t. $p^{s+1} \equiv 1 \pmod{\frac{p^r-1}{(i, p^r-1)}}$
 \hookrightarrow reduce mod $(p^r - 1)$

Explicit computation over $GF(16)$ $p=2, r=4$.

ex. 1 $S = \{0, 1, \dots, 15\}$

$$S = \{0\} \sqcup \{1, 2, 4, 8\} \sqcup \{7, 14, 13, 11\} \sqcup \{3, 6, 12, 9\} \sqcup \{5, 10\}.$$

$(x+1)$
 \sqrt{x}

$\Rightarrow \boxed{x^{15}-1}$ is a product of
 1 poly of deg 1
 3 poly of deg 4
 1 poly of deg 2.

ex. 2 $\boxed{x^{63}-1}$ $\{0, 1, \dots, 63\}$

is a product of nine irr. poly of deg 6
 two poly of deg 3
 one poly of deg 2
 & one poly of deg 1

over $GF(2)$
 $\mathbb{Z}/2\mathbb{Z}$

Defⁿ :- A cyclotomic coset is a subset of $\{0, 1, \dots, p^r-1\}$
 such that it is generated by its least element in the follo-
 wing way $\{s, ps, p^2s, \dots, p^{t-1}s\}$ with $p^t \equiv 1 \pmod{(p^r-1)}$

— x — x — x —

Q. What happens when we want
 to factor x^n-1 for $n \neq p^r-1$ for some r .

$$1 \pmod{\frac{p^r-1}{(s, p^r-1)}}$$

Assume that $(n, p) = 1 \Rightarrow \exists r$ s.t. $p^{r+1} \equiv 1 \pmod{n}$

$$\Rightarrow n \mid p^{r+1} - 1.$$

$$\Rightarrow (x^n-1) \mid (x^{p^{r+1}}-1)$$

Same technique can be applied. (Exercise - Find out how).

— x — x — x —