

Discrete Structures (Monsoon 2021)

Ashok Kumar Das

Associate Professor IEEE Senior Member

Center for Security, Theory and Algorithmic Research International Institute of Information Technology, Hyderabad (IIIT Hyderabad)

E-mail: ashok.das@iiit.ac.in

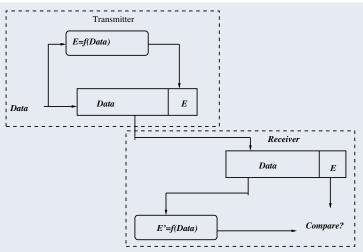
URL: http://www.iiit.ac.in/people/faculty/ashokkdas
 https://sites.google.com/site/iitkgpakdas/



Coding Theory (Group Codes)

Error Detection





E, E': Error detecting codes f: Error detecting code function

Figure: Error detection

Error Detection



- For a given frame of bits, additional bits that constitute an error-detecting code are added by the transmitter. This code is calculated as a function of the other transmitted bits.
- The receiver performs the same calculation and compares the two results. A detected error occurs if and only if there is a mismatch.



Definition

Let x and y be binary n-tuples, i.e., $x = \langle x_1, x_2, \dots, x_n \rangle$ and $y = \langle y_1, y_2, \dots, y_n \rangle$, where $x_i, y_i \in \{0, 1\}$. The Hamming distance between x and y denoted as H(x, y) is the number of co-ordinates (components) in which they differ.

- Example: The Hamming distance between $\langle 1, 0, 1 \rangle$ and $\langle 1, 1, 0 \rangle$ is $H(\langle 1, 0, 1 \rangle, \langle 1, 1, 0 \rangle) = 2$.
- The Hamming distance between two *n*-tuples is equal to the number of independent single errors needed to change one *n*-tuple into the other.



Properties

- $H(x, y) \ge 0$, $\forall x, y \in C$, where C is the set of code words which are n-tuples $c_i = \langle c_{i,1}, c_{i,2}, \dots, c_{i,n} \rangle$, $c_{i,i} \in \{0, 1\}$.
- H(x, y) = 0 if and only if x = y.
- $\bullet \ \ H(x,y)=H(y,x), \, \forall x,y\in C.$
- $H(x,z) \leq H(x,y) + H(y,z), \forall x,y,z \in C.$

Definition

The minimum distance (or minimum Hamming distance) of an n-coordinate code, C is $H_c = min_{c_i,c_i \in C}H(c_i,c_j)$.



Theorem

A code C can detect all combinations of d or fewer errors if and only if its minimum distance is at least (d + 1).

In other words,

C can detect ≤ d errors

if and only if

 $H_c = minimum \ distance \ of \ C = min_{c_i,c_i \in C} H(c_i,c_j) \geq (d+1).$



Theorem

A code C can correct every combination of t or fewer errors if and only if its minimum distance is at least (2t + 1).

Proof. Let *C* be a code of *n*-tuple code words c_i , where

 $c_i = \langle c_{i,1}, c_{i,2}, \ldots, c_{i,n} \rangle, c_{i,j} \in \{0,1\}.$

The Hamming distance H(x, y) between two n-tuple code words x and y, where $x, y \in C$, is H(x, y) = number of coordinates in which they differ.

The minimum Hamming distance is given by $H_c = min_{c_i,c_j \in C}H(c_i,c_j)$.

(⇒): Given C can correct ≤ t errors.

RTP: $H_c = 2t + 1$, that is, $\forall x, y \in C, H(x, y) \ge (2t + 1)$.

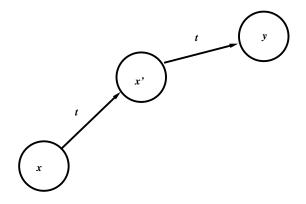
If possible, let $\exists x, y \in C$ such that H(x, y) = 2t.

Let l_1, l_2, \ldots, l_{2t} be the coordinates (positions) where x and y differ.

Select $l_1, l_2, ..., l_t$ and change x to another n-tuple x' by changing x in these positions. Therefore, H(x, x') = t.



Proof (Continued . . .)





Proof (Continued . . .) But, then from the property of Hamming distance, we have:

$$H(x,y) \leq H(x,x') + H(x',y)$$

$$= t+t$$

$$H(x,y) \leq 2t.$$

There exists some *n*-tuple x' that satisfies H(x, x') = t and $H(x', y) \le t$.

This is a contradiction. Hence, $H_c = 2t + 1$, that is, $\forall x, y \in C$, H(x, y) > (2t + 1).



Proof (Continued ...)

 (\Leftarrow) : Given $H_c = 2t + 1$, that is, $\forall x, y \in C$,

$$H(x,y) \geq 2t+1. \tag{1}$$

Let x' be a received n-tuple that is corrupted by NOT more than t errors and x be a code word. x' has thus changed from x by t or fewer errors. Hence,

$$H(x,x') \leq t.$$
(2)

From the properties of Hamming distance, we have

$$H(x,y) \le H(x,x') + H(x',y)$$

 $H(x',y) \ge H(x,y) - H(x,x')$
 $\ge t+1$, using Eqns. (1) and (2).

Therefore, every code word y is farther than x' than is x, and x can be correctly decoded.



Definition

A *group code* is a code from which *n*-tuple code words forms a group with respect to the operation \oplus (modulo-2 or bitwise XOR), where $x \oplus y = \langle x_1 \oplus y_1, x_2 \oplus y_2, \dots, x_n \oplus y_n \rangle$.

Definition

The weight of a code word x, denoted by w(x), is the number of its coordinates (or components) that are 1s, that is, w(x) = number of 1s in x.

Example: $w(\langle 1, 1, 1, 1 \rangle) = 4$ $w(\langle 1, 1, 0, 0 \rangle) = 2$. We denote the *n*-tuple $\langle 0, 0, \dots, 0 \rangle$ by 0. Note that w(x) = H(x, 0), $H(x, y) = H(x \oplus y, 0) = w(x \oplus y)$.



Lemma

The minimum distance of a group code, C is equal to the minimum weight of its non-zero code words.

Definition (Null Space)

Let H be an $r \times n$ binary matrix. Then the set of binary n-tuples x that satisfies $x.H^t = 0$ is called the *null space* of H, N(H). In other words,

$$N(H) = \{x | x.H^t = 0, x \in C\},\$$

where C is the group code and H^t the transposition of the matrix H.



Theorem

The null space N(H) of an $r \times n$ binary matrix H is a group under \oplus , component-wise addition modulo-2 (XOR).

Proof. Let H be an $r \times n$ binary matrix (parity-check matrix) and C a group code of n-tuples code words. Then the null space of H, N(H) is

$$N(H) = \{x | x.H^t = 0, x \in C\},\$$

where *C* is the group code and H^t the transposition of the matrix *H*. RTP: $\langle N(H), \oplus \rangle$ is a group.

• Closure: Let $x, y \in N(H)$. Then, $x.H^t = 0$ and $y.H^t = 0$. Therefore, $x.H^t \oplus y.H^t = 0 \Rightarrow (x \oplus y).H^t = 0. \Rightarrow (x \oplus y) \in N(H)$. Hence, closure axiom holds.



Proof (Continued ...). .

- Associativity: Since $((x \oplus y) \oplus z).H^t = (x \oplus (y \oplus z)).H^t$, $\forall x, y, z \in N(H)$, we have $(x \oplus y) \oplus z = x \oplus (y \oplus z)$. Associativity under \oplus holds.
- Existence of Identity: We have:

$$(0 \oplus x).H^t = (x \oplus 0).H^t = x.H^t$$
, $\forall x \in N(H)$. Thus, $0 \oplus x = x = x \oplus 0$, $\forall x \in N(H)$. This implies that $0 = \langle 0, 0, \dots, 0 \rangle$ is the identity in $N(H)$.

Existence of Inverse: It is noted that (x ⊕ x).H^t = 0.H^t = 0
⇒ x ⊕ x = 0, ∀x ∈ N(H).
It shows that every element x ∈ N(H) is its own inverse.

As a result, N(H) forms a group under \oplus .

Corollary

 $\langle N(H), \oplus \rangle$ is an abelian (commutative) group.



Theorem

Let c_1, c_2, \ldots, c_d be d distinct columns of the parity check $r \times n$ matrix H. Then the r-tuple sum $c_1 \oplus c_2 \oplus \cdots \oplus c_d$ is 0 if and only if the null space of H, N(H) has a code word of weight d.

Theorem

H is a parity-check matrix for a code of minimum weight at least 3 if and only if

- (i) no column of H is all 0s; and
- (ii) no two columns are identical.
- (iii) there exists three columns, whose sum is 0, that is, $\exists C_i, C_j, C_k$ such that $C_i \oplus C_j \oplus C_k = 0$.

Error detection/correction capability



Theorem

Let H be an $r \times n$ binary parity-check matrix of the form $[P|I_r]$, where I_r is an $r \times r$ identity matrix, and P an arbitrary $r \times (n-r)$ matrix. Then the code defined by H has 2^{n-r} code words. H is called the canonical parity-check matrix.

Error detection/correction capability of N(H), the null space of a parity-check matrix H of a code, C

- = minimum weight of C
- = minimum number of columns, d of H that sum to 0
- = d.



Let $H = [P|I_r]$ be a canonical parity-check matrix, where I_r is an $r \times r$ identity matrix, and P an arbitrary $r \times (n-r)$ matrix.

Let
$$k = n - r$$
.
Let

$$H = \begin{pmatrix} h_{11} & h_{12} & \cdots & h_{1k} \\ h_{21} & h_{22} & \cdots & h_{2k} \\ \vdots & \vdots & \vdots & \vdots \\ h_{r1} & h_{r2} & \cdots & h_{rk} \\ & P & & I_r \end{pmatrix} \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 \\ & I_r & & \end{pmatrix}.$$

Encoding Procedure:

- Given a k-tuple message $x = \langle x_1, x_2, \dots, x_k \rangle$, we need to compute the corresponding n-tuple code word (frame = message + error code) $y = \langle y_1, y_2, \dots, y_k, y_{k+1}, \dots, y_n \rangle$, where k = n r, that is, n = k + r.
- Set $y_i \leftarrow x_i$, for all $1 \le i \le k$.



• Compute y_{k+i} for $1 \le i \le r$ as the modulo-2 sum:

$$y_1 h_{11} \oplus y_2 h_{12} \oplus \cdots$$

$$\oplus y_k h_{1k} \oplus y_{k+1} h_{1,k+1} = 0, \text{ since } h_{1,k+1} = 1$$

$$\Rightarrow y_{k+1} = y_1 h_{11} \oplus y_2 h_{12} \oplus \cdots \oplus y_k h_{1k}.$$
Similarly,
$$y_{k+2} = y_1 h_{21} \oplus y_2 h_{22} \oplus \cdots \oplus y_k h_{2k}.$$
In general,
$$y_{k+i} = \bigoplus_{i=1}^k y_i h_{i,i}.$$



Decoding Procedure:

- Let C be a group code with individual code words c_i .
- Assume that the true code word is the *n*-tuple *x*, but the observed *n*-tuple is *x'*, which is *x* after it has been corrupted by errors.
- Note that Hamming code is a single-error correcting code since H
 generates a code of minimum weight at least 3.
- Let ϵ be the error *n*-tuple that satisfies

$$\begin{array}{rcl}
\mathbf{X}' & = & \mathbf{X} \oplus \mathbf{\epsilon} \\
\Rightarrow \mathbf{X} & = & \mathbf{X}' \oplus \mathbf{\epsilon}.
\end{array}$$

• We now show that the problem of finding ϵ reduces the problem of finding the coset to which x' belongs.



Decoding Procedure (Continued...):

- For each c_i , let us find the error vector ϵ_i that satisfies $x' = c_i \oplus \epsilon_i$, that is, $\epsilon_i = c_i \oplus x'$.
- The error vectors ϵ_i s form the set $E = C \oplus x'$. Because C is a subgroup of the group, $G = \langle \{ \text{ all } n\text{-tuples } \}, \oplus \rangle$, $C \oplus x'$ is a coset (right) of the group G.
- Thus, we wish to find ϵ , the *n*-tuple of least weight in the coset that contains x' (by the Maximum Likelihood method). This ϵ is called the "coset leader" for that coset.
- In summary,
 - (i) Determine the coset to which the observed n-tuple x' belongs;
 - (ii) Find the coset leader ϵ for that coset; and
 - (iii) Decode x' as the n-tuple $x = x' \oplus \epsilon$.



Definition

For any observed *n*-tuple x', the *syndrome* of x' is the *r*-tuple $x'.H^t$, where r is the number of parity-check bits.

Theorem

Two n-tuples are in the same coset if and only if they have the same syndrome.



Problem:

Given the following 4×9 parity-check matrix H.

- (a) Does its null space N(H) have single-error correcting capability? Justify your answer.
- (b) Encode the message tuple (1 1 0 1 0).
- (c) Find the error, if any, in the tuple (0 1 0 1 1 1 0 0 1) and hence show that its syndrome is same as that of error tuple.



Solution:

Here r = 4, n = 9, k = n - r = 5.

- (a) N(H), the null space of H has single-error correcting capability, because H satisfies the following properties:
- (i) No column of H is all 0's;
- (ii) No two columns of H are identical;
- (iii) at least three columns sum is 0, i.e., minimum weight is at least 3, since \exists

$$c_1=\left(egin{array}{c}1\1\0\0\end{array}
ight),\,c_4=\left(egin{array}{c}1\1\0\1\end{array}
ight),\,c_9=\left(egin{array}{c}0\0\0\1\end{array}
ight)$$
 such that $c_1\oplus c_4\oplus c_9=0.$



Solution (Continued...):

b) Here the message tuple is (1 1 0 1 0) = $\langle x_1, x_2, x_3, x_4, x_5 \rangle$. H is of the form $[P|I_r]$, where P is an 4 \times 5 matrix and I_4 is the identity matrix. Let the encoded message tuple be $y = \langle y_1, y_2, y_3, y_4, y_5, y_6, y_7, y_8, y_9 \rangle$.

```
Set y_1 = x_1 = 1;

y_2 = x_2 = 1;

y_3 = x_3 = 0;

y_4 = x_4 = 1;

y_5 = x_5 = 0.

The parity-check equations are given by

y_1 \oplus y_2 \oplus y_4 \oplus y_6 = 0 \Rightarrow y_6 = 1;

y_1 \oplus y_4 \oplus y_5 \oplus y_7 = 0 \Rightarrow y_7 = 0;

y_2 \oplus y_3 \oplus y_5 \oplus y_8 = 0 \Rightarrow y_8 = 1;
```

 $y_3 \oplus y_4 \oplus y_9 = 0 \Rightarrow y_9 = 1.$



Solution (Continued...):

(c) The observed received tuple is $x'=\langle\ 0\ 1\ 0\ 1\ 1\ 1\ 0\ 0\ 1\rangle$. The error syndrome is $x'.H^t=\langle\ 1\ 0\ 0\ 0\ \rangle$. Thus, there is a single error at $(1\ 0\ 0\ 0)_2=8$ -th position of x'. Hence, the decoded tuple is $x=x'\oplus\epsilon=\langle\ 0\ 1\ 0\ 1\ 1\ 1\ 0\ 1\ 1\rangle$, by simply flipping the 8-th bit position of x'.



Problem: Let H be an $r \times (2^r - 1)$ parity-check matrix for a Hamming code for which the i-th column is the binary representation of the integer i. Let H' be created from H by appending a row of all 1s. Show that the null space of H' is a group code with minimum distance 4.

Solution: Here *H* has the following form

$$H = \left(\begin{array}{cccccc} 1 & 0 & 1 & 0 & 1 & \cdots & 1 \\ 0 & 1 & 1 & 0 & 0 & \cdots & 1 \\ 0 & 0 & 0 & 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & 0 & \cdots & 1 \end{array}\right),$$

where i-th column of H is the binary representation of the integer i.



Solution (Continued...): Now, H' will have the following form

$$H' = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & \cdots & 1 \\ 0 & 1 & 1 & 0 & 0 & \cdots & 1 \\ 0 & 0 & 0 & 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & 0 & \cdots & 1 \\ 1 & 1 & 1 & 1 & 1 & \cdots & 1 \end{pmatrix},$$

where the last row of *H* is appended with all 1s.



Solution (Continued...): N(H') is a group code with minimum distance 4, since

- No column of H' is all 0s;
- No two columns are identical;
- There does not exist three columns of H', whose sum is 0; and
- There exists four columns C_2 , C_3 , C_4 , C_5 such that $C_2 \oplus C_3 \oplus C_4 \oplus C_5 = 0$.



End of this lecture