

## GEN AI ASSIGNMENT 1 – 22K-4080

### TASK 1:

Video focuses primarily on the standard Variational Autoencoder (VAE) and then introduces the concept of **Disentangled Variational Autoencoders**, noting that the primary change to achieve this disentanglement is the addition of a hyperparameter to the loss function.

#### **Difference between VAE and $\beta$ -VAE (Disentangled VAE)**

##### Objective:

- Standard VAE Prioritizes accurate **data reconstruction** while ensuring the latent distribution roughly follows a standard normal prior.
- While  $\beta$ -VAE, prioritizes **disentanglement** and **interpretability** of the latent factors by imposing a stronger constraint on the latent distribution.

##### Latent Space:

- In Standard VAE, the latent variables might be highly correlated and difficult to interpret (not "disentangled").
- While in  $\beta$ -VAE, the latent variables are forced to become **uncorrelated** and interpretable, with each variable corresponding to a single, distinct generative factor (e.g., rotation, scale, or color).

Both the standard VAE and the  $\beta$ -VAE loss functions are based on the same two fundamental components: the **Reconstruction Loss** and the **KL Divergence**.

#### **1. Total VAE Loss (ELBO)**

The total loss for a VAE (which the video covers from [06:16]) is the sum of the reconstruction loss and the KL divergence term.

$$\mathcal{L}_{\text{VAE}}(\theta, \phi) = \underbrace{\mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\theta}(\mathbf{x}|\mathbf{z})]}_{\text{Reconstruction Loss}} - \underbrace{D_{\text{KL}}(q_{\phi}(\mathbf{z}|\mathbf{x})||p(\mathbf{z}))}_{\text{KL Divergence}}$$

#### **2. Total $\beta$ -VAE Loss (Disentangled VAE)**

The  $\beta$ -VAE modifies the standard VAE loss by introducing the  $\beta$  hyperparameter, which explicitly weights the KL divergence term (as mentioned around [09:31]).

$$\mathcal{L}_{\beta\text{-VAE}}(\theta, \phi) = \underbrace{\mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\theta}(\mathbf{x}|\mathbf{z})]}_{\text{Reconstruction Loss}} - \underbrace{\beta \cdot D_{\text{KL}}(q_{\phi}(\mathbf{z}|\mathbf{x})||p(\mathbf{z}))}_{\text{KL Divergence Term}}$$

- × If  $\beta=1$ , the formula reverts to the standard VAE loss.
- × If  $\beta>1$  (which is typical for  $\beta$ -VAE), the importance of the KL divergence is amplified, leading to stronger pressure for disentanglement.

## **TASK 2:**

**Given Inputs:**  $x_1=0.34$  and  $x_2=0.55$ . **Activation Function:**  $\text{ReLU}(z)=\max(0,z)$ .

Assumed Weights ( $W(1)$ ):

1.0, 0.5, 1.0, -0.5, 1.0, 1.0

**W(2):**

0.5, 0.5, 0.5

**W(3):**

1.0, 0.8, 1.2

**W(4):**

0.4, 0.3, 0.3, 0.4, 0.3, 0.3

**Forward Pass:**

**Input Layer (L0)**

**Initial State:**  $a(0)=[0.34,0.55]$

**1.Hidden Layer L1**

$z_1(1)=(1.0 \cdot 0.34)+(-0.5 \cdot 0.55)=0.34-0.275=0.065$

$z_2(1)=(0.5 \cdot 0.34)+(1.0 \cdot 0.55)=0.17+0.55=0.72$

$z_3(1)=(1.0 \cdot 0.34)+(1.0 \cdot 0.55)=0.34+0.55=0.89$

-  $\text{ReLU}(z)=a(1)$

$a_1(1)=\max(0,0.065)=0.065$

$a_2(1)=\max(0,0.72)=0.72$

$a_3(1)=\max(0,0.89)=0.89$

**2.Bottle-Neck Layer L2 (Node 4)**

- **Weighted Sum ( $z_4(2)$ ):**

$$z_4(2) = (0.5 \cdot 0.065) + (0.5 \cdot 0.72) + (0.5 \cdot 0.89)$$

$$z_4(2) = 0.0325 + 0.36 + 0.445 = 0.8375$$

- **Activation ( $a_4(2)$ ):**

- o  $a_4(2) = \text{ReLU}(0.8375) = 0.8375$

### **3. Hidden Layer L3 (Nodes 5, 6, 7):**

$$z_5(3) = 1.0 \cdot 0.8375 = 0.8375$$

$$z_6(3) = 0.8 \cdot 0.8375 = 0.670$$

$$z_7(3) = 1.2 \cdot 0.8375 = 1.005$$

- $\text{ReLU}(z) = a(3)$

$$a_5(3) = 0.8375$$

$$a_6(3) = 0.670$$

$$a_7(3) = 1.005$$

### **4. Output Layer L^N (Nodes 8, 9):**

$$(x^1) \rightarrow z_8(4) = (0.4 \cdot 0.8375) + (0.3 \cdot 0.67) + (0.3 \cdot 1.005) \rightarrow z_8(4) = 0.335 + 0.201 + 0.3015 = 0.8375$$

$$(x^2) \rightarrow z_9(4) = (0.3 \cdot 0.8375) + (0.4 \cdot 0.67) + (0.3 \cdot 1.005) \rightarrow z_9(4) = 0.25125 + 0.268 + 0.3015 = 0.82075$$

- $\text{ReLU}(z) = x^$

$$x^1 = \text{ReLU}(0.8375) = 0.8375$$

$$x^2 = \text{ReLU}(0.82075) \approx 0.8208$$

### **Final Answer:**

$$x^{\wedge} \approx (0.8375, 0.8208)$$

### **Task 3:**

The architecture is now modified at the L2 Bottleneck Layer (Node 4) to output the Mean ( $\mu$ ) and Log Variance ( $\log \sigma^2$ ) for the latent distribution.

### Assumed Weights and Inputs:

- **Inputs:**  $a(0)=[0.34,0.55]$
- **L1 Activations (from previous calculation using  $W(1)$ ):**  $a(1)=[0.065,0.72,0.89]$
- **$W\mu(2)$  and  $W\log\sigma^2(2)$  (Weights  $L1 \rightarrow L2$ ):** All values are fixed at 0.5 as requested.

### 1.Encoder Output: $\mu$ and $\log\sigma^2$ :

$$z=0.5 \cdot a1(1)+0.5 \cdot a2(1)+0.5 \cdot a3(1)$$

$$z=0.5 \cdot (0.065+0.72+0.89)=0.5 \cdot (1.675)=0.8375$$

$$\mu=[0.8375]$$

$$\log\sigma^2=[0.8375]$$

### 2. Standard Deviation ( $\sigma$ ):

$$\sigma=\sqrt{\exp(\log\sigma^2)} = \sqrt{\exp(0.8375)} \approx \sqrt{2.3094} \approx 1.5197$$

### 3. Reparameterization Trick and Sampling

$$\text{Let } \epsilon=[0.1]$$

$$z=0.8375+1.5197 \cdot 0.1 \approx 0.8375+0.1520=0.9895$$

$$a4(2) \approx 0.9895$$

### 4. Decoder Layers L3 and LN:

#### ➤ **Layer L3 Activations $a(3)$ :**

$$\circ \quad a5(3)=\text{ReLU}(1.0 \cdot 0.9895)=0.9895$$

$$\circ \quad a6(3)=\text{ReLU}(0.8 \cdot 0.9895) \approx 0.7916$$

$$\circ \quad a7(3)=\text{ReLU}(1.2 \cdot 0.9895) \approx 1.1874$$

#### ➤ **Layer LN Output $x^{\wedge}$ :**

$$\circ \quad x^{\wedge}1: (0.4 \cdot 0.9895)+(0.3 \cdot 0.7916)+(0.3 \cdot 1.1874) \approx 0.9895$$

$$\circ \quad x^{\wedge}2: (0.3 \cdot 0.9895)+(0.4 \cdot 0.7916)+(0.3 \cdot 1.1874) \approx 0.9697$$

## 2) Backward Pass Requirements

The primary challenge in the VAE backward pass is calculating the gradient through the sampling operation. The **Reparameterization Trick** solves this by expressing the stochastic node  $z$  as a deterministic function of  $\mu$ ,  $\sigma$ , and the random variable  $\epsilon$ .

The overall VAE loss (LVAE) has two components: LRec (Reconstruction Loss) and LKL (KL Divergence Loss). The goal is to find the change in weights  $\Delta W(2)$  for the mean ( $W\mu(2)$ ) and log variance ( $W\log\sigma^2(2)$ ) layers.

- 1) The total gradient for the mean ( $\mu$ ) and log variance ( $\log\sigma^2$ ) is the sum of contributions from both the Reconstruction and KL terms:

$$\frac{\partial \mathcal{L}_{\text{VAE}}}{\partial \mu} = \frac{\partial \mathcal{L}_{\text{Rec}}}{\partial \mathbf{z}} \cdot \frac{\partial \mathbf{z}}{\partial \mu} + \frac{\partial \mathcal{L}_{\text{KL}}}{\partial \mu}$$

$$\frac{\partial \mathcal{L}_{\text{VAE}}}{\partial \log \sigma^2} = \frac{\partial \mathcal{L}_{\text{Rec}}}{\partial \mathbf{z}} \cdot \frac{\partial \mathbf{z}}{\partial \log \sigma^2} + \frac{\partial \mathcal{L}_{\text{KL}}}{\partial \log \sigma^2}$$

- 2) Once  $\partial \mathcal{L}_{\text{VAE}}/\partial \mu$  and  $\partial \mathcal{L}_{\text{VAE}}/\partial \log \sigma^2$  are found using the derivatives above, the gradient is propagated back to the weights  $W(2)$  using the output activations of the L1 layer ( $a(1)$ ):

$$\frac{\partial \mathcal{L}_{\text{VAE}}}{\partial W_{\mu}^{(2)}} = \frac{\partial \mathcal{L}_{\text{VAE}}}{\partial \mu} \cdot a^{(1)}$$

$$\frac{\partial \mathcal{L}_{\text{VAE}}}{\partial W_{\log \sigma^2}^{(2)}} = \frac{\partial \mathcal{L}_{\text{VAE}}}{\partial \log \sigma^2} \cdot a^{(1)}$$

- 3) These calculated gradients are then used by the optimizer (e.g., Gradient Descent) to determine the weight update.