

اصول پردازش تصویر

*Principles of Image Processing*

مصطفی کمالی تبریزی

۱۷ آبان ۱۳۹۹

جلسه چهاردهم

# *Normalized Cuts for Image Segmentation*

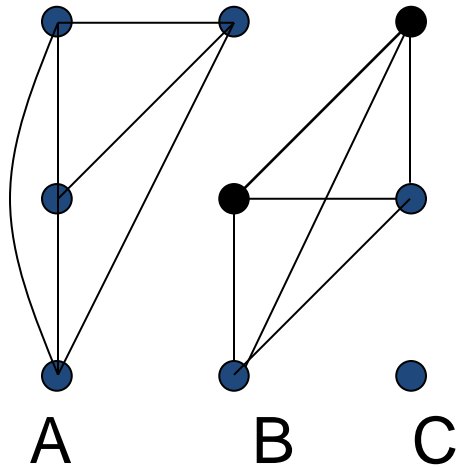


Jianbo Shi and Jitendra Malik

***Normalized cuts and image segmentation***

Pattern Analysis and Machine Intelligence (PAMI), 2000

# *Segmentation by Graph Partitioning*



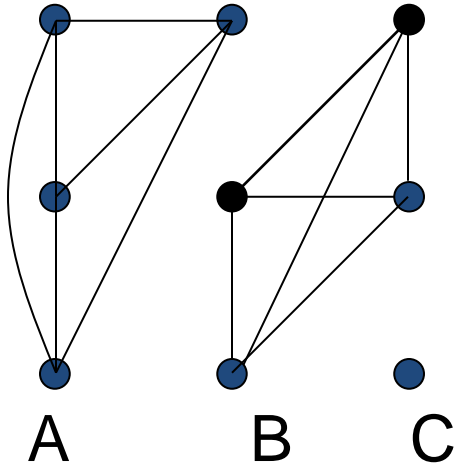
Graph:  $G = (V, E)$

Nodes: All pixels of the image

Edges: Between every pair of nodes

Weights: Representing similarity between two nodes

# *Segmentation by Graph Partitioning*



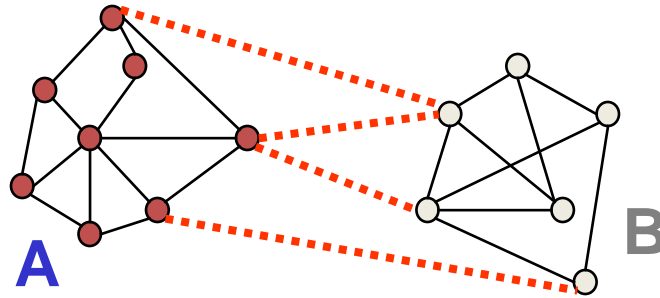
- Break Graph into Segments
  - Delete links that cross between segments
  - Easiest to break links that have low affinity
    - similar pixels should be in the same segments
    - dissimilar pixels should be in different segments

# *Measuring Affinity*

- Suppose we represent each pixel by a feature vector  $\mathbf{x}$ , and define a distance function appropriate for this feature representation
- Then we can convert the distance between two feature vectors into an affinity with the help of a generalized Gaussian kernel:

$$e^{-\frac{\text{dist}(\mathbf{x}_i, \mathbf{x}_j)^2}{2\sigma^2}}$$

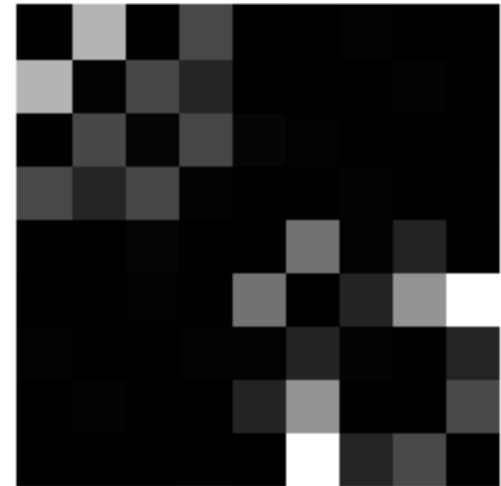
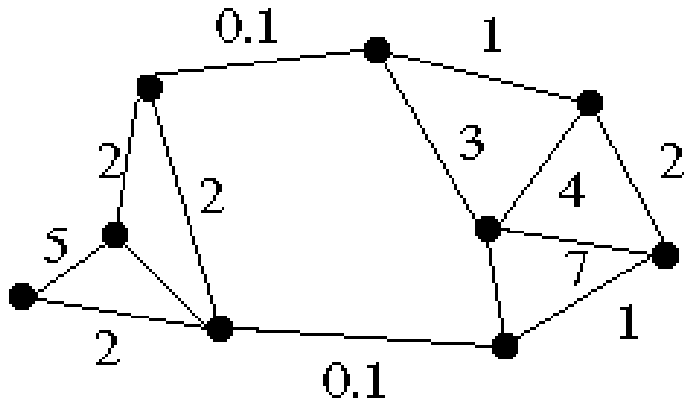
# Graph Cut



- **Cut:** Set of edges whose removal makes a graph disconnected
- **Cost of a cut:** sum of weights of cut edges
- A graph cut gives us a segmentation
  - What is a “good” graph cut and how do we find one?

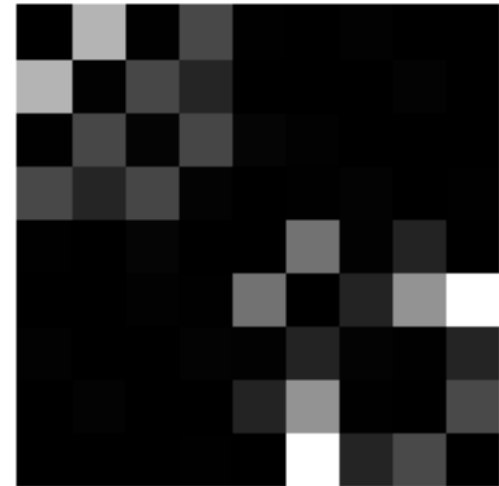
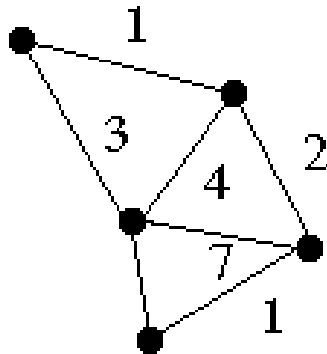
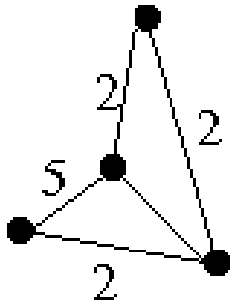
# *Minimum Cut*

- We can do segmentation by finding the *minimum cut* in a graph
  - Efficient algorithms exist for doing this



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- We can do segmentation by finding the *minimum cut* in a graph
  - Efficient algorithms exist for doing this

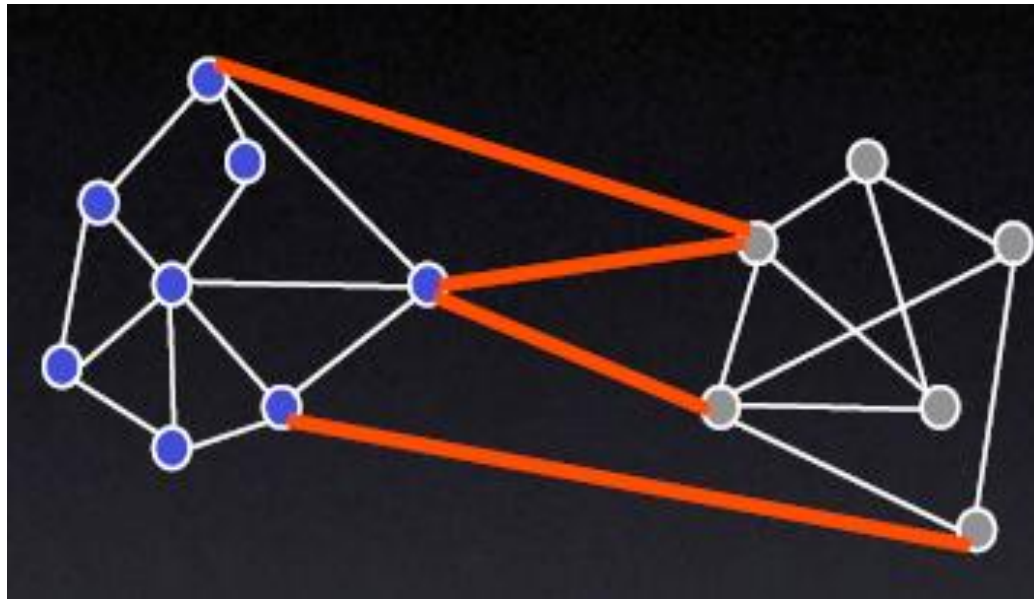




# *Minimum Cut Algorithm*

$$\text{Cut}(A, B) = \sum_{u \in A, v \in B} W(u, v)$$

$\text{Cut}(A, B)$  is a measure of similarity between the two groups.

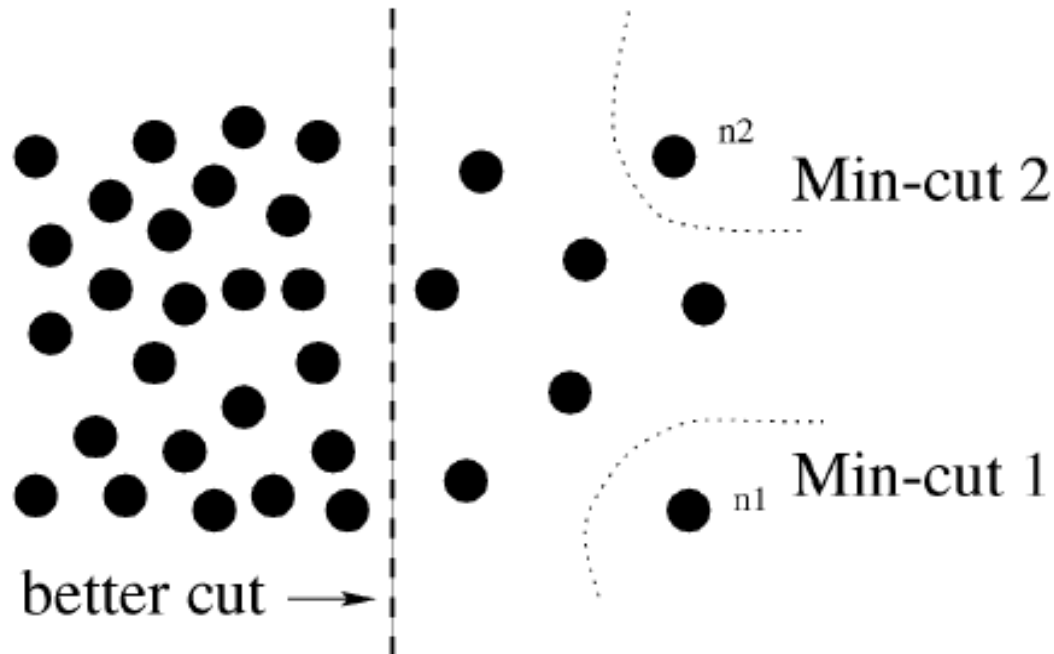


The advantage of Min Cut:

Well-studied problem and efficient algorithms

The disadvantage of Min Cut:

Favors cutting **small sets** of isolated nodes in the graph



- Graph:  $G = (V, E)$ 
  - Nodes: All pixels of the image
  - Edges: Between every pair of nodes
  - Weights: Representing similarity between two nodes
- Objective:
  - Partition  $V$  into two disjoint sets  $A$  and  $B$ .

- Minimize similarity between two sets:

$$Cut(A, B) = \sum_{u \in A, v \in B} W(u, v)$$

- Maximize similarity within each set:

$$Assoc(A, A) = \sum_{u \in A, v \in A} W(u, v)$$

## Normalized Cut

$$Cut(A, B) = \sum_{u \in A, v \in B} W(u, v)$$

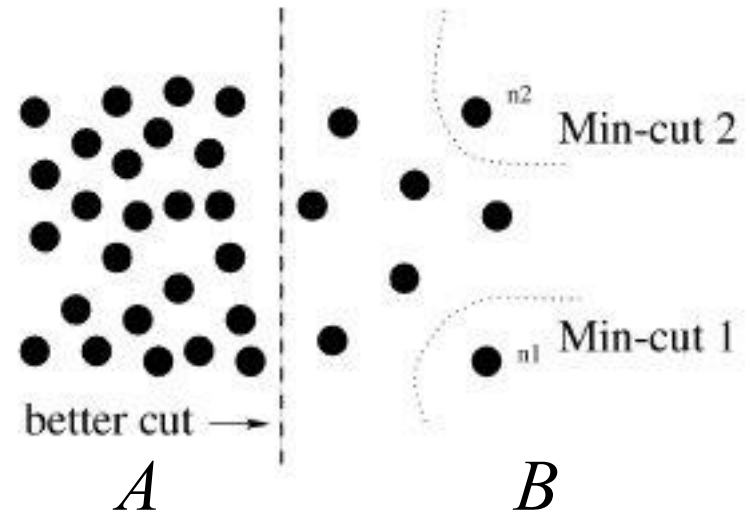
$$Assoc(A, A) = \sum_{u \in A, v \in A} W(u, v)$$

$$Ncut(A, B) = \frac{Cut(A, B)}{Assoc(A, V)} + \frac{Cut(A, B)}{Assoc(B, V)}$$

$$Nassoc(A, B) = \frac{Assoc(A, A)}{Assoc(A, V)} + \frac{Assoc(B, B)}{Assoc(B, V)}$$

Objective: Find  $A$  and  $B$  so that simultaneously  $Ncut(A, B)$  is minimized and  $Nassoc(A, B)$  is maximized.

$$Ncut(A, B) + Nassoc(A, B) = \frac{Cut(A, B) + Assoc(A, A)}{Assoc(A, V)} + \frac{Cut(A, B) + Assoc(B, B)}{Assoc(B, V)} = 2$$



$$Ncut(A, B) = \frac{Cut(A, B)}{Assoc(A, V)} + \frac{Cut(A, B)}{Assoc(B, V)}$$

NP-complete

$$\operatorname{argmin}_y \frac{y^t (D - W) y}{y^t D y}$$

$$y(i) \in \{1, -b\}$$

$$y^t D \mathbf{1} = \mathbf{0}$$

Generalized  
Rayleigh  
Quotient

$$W(i, j) = w_{i, j} \quad D(i, i) = \sum_j w(i, j) \quad d_i = D(i, i)$$

$$k = \frac{\sum_{x_i > 0} d_i}{\sum_i d_i}$$

$$b = \frac{k}{1 - k}$$

$$y = (1 + x) - b(1 - x)$$

## Rayleigh Quotient:

$$\frac{x^t A x}{x^t x} \longrightarrow \min \left( \frac{x^t A x}{x^t x} \right) = \min_{x^t x = 1} x^t A x \longrightarrow \begin{aligned} \min(x^t A x) &= \lambda_{\min} \\ A x_{\min} &= \lambda_{\min} x_{\min} \end{aligned}$$

## Generalized Rayleigh Quotient:

$$\frac{x^t A x}{x^t B x} = \frac{x^t A x}{\underset{\text{Cholesky}}{x^t L L^t x}} = \frac{x^t L L^{-1} A L^{-t} L^t x}{(L^t x)^t (L^t x)} = \frac{(L^t x)^t (L^{-1} A L^{-t}) (L^t x)}{(L^t x)^t (L^t x)} = \frac{y^t C y}{y^t y}$$

$$\min \left( \frac{x^t A x}{x^t B x} \right) = \min \left( \frac{y^t C y}{y^t y} \right) = \min_{y^t y = 1} y^t C y = \min(y^t C y) = \lambda_{\min}$$

$$C y_{\min} = \lambda_{\min} y_{\min}$$

$$L^{-1} A L^{-t} L^t x_{\min} = \lambda_{\min} L^t x_{\min}$$

$$A x_{\min} = \lambda_{\min} L L^t x_{\min}$$

$$A x_{\min} = \lambda_{\min} B x_{\min}$$

Eigenvalue Problem:  $Ax = \lambda x$

Generalized Eigenvalue Problem:  $Ax = \lambda Bx$

$$Ncut(A, B) = \frac{Cut(A, B)}{Assoc(A, V)} + \frac{Cut(A, B)}{Assoc(B, V)}$$

NP-complete

$$\operatorname{argmin}_y \frac{y^t(D - W)y}{y^t D y}$$

$$y(i) \in \{1, -b\}$$

$$y^t D \mathbf{1} = 0$$

Generalized  
Rayleigh  
Quotient

*y relaxed to take  
on real values*

Generalized  
Eigenvalue  
Problem  
 $(D - W)y = \lambda D y$

$$W(i, j) = w_{i, j} \quad D(i, i) = \sum_j w(i, j) \quad d_i = D(i, i)$$

$$k = \frac{\sum_{x_i > 0} d_i}{\sum_i d_i}$$

$$b = \frac{k}{1 - k}$$

$$y = (1 + x) - b(1 - x)$$

$$(D - W)y = \lambda Dy$$

$$y^t D \mathbf{1} = 0$$

$$z \leftarrow D^{\frac{1}{2}} y$$

$$D^{-\frac{1}{2}}(D - W)D^{-\frac{1}{2}}z = \lambda z$$

$$z^t D^{\frac{1}{2}} \mathbf{1} = 0$$

$$z_0 = D^{\frac{1}{2}} \mathbf{1} \quad \lambda_0 = 0$$

$$D^{-\frac{1}{2}}(D - W)D^{-\frac{1}{2}}D^{\frac{1}{2}} \mathbf{1} =$$

$$D^{-\frac{1}{2}}(D - W) \mathbf{1} = \mathbf{0}$$



$$\lambda_0 = \text{eigenvalue}$$

$$z_0 = \text{eigenvector}$$

$$(D - W)$$

Positive Semidefinite



$$D^{-\frac{1}{2}}(D - W)D^{-\frac{1}{2}}$$

Symmetric Positive Semidefinite



$\lambda_0 = 0$  is the smallest eigenvalue  
&, eigenvectors are perpendicular



$$\lambda_0 = \lambda_{\min} = 0$$

$$z_0 = z_{\min}$$

$$\mathbf{1}^t D \mathbf{1} \neq 0$$

$$z_{\text{opt}}^t z_{\min} = 0$$



Let  $A$  be a real symmetric matrix.

Let  $x$  be orthogonal to  $n - 1$  smallest eigenvectors of  $A$ .



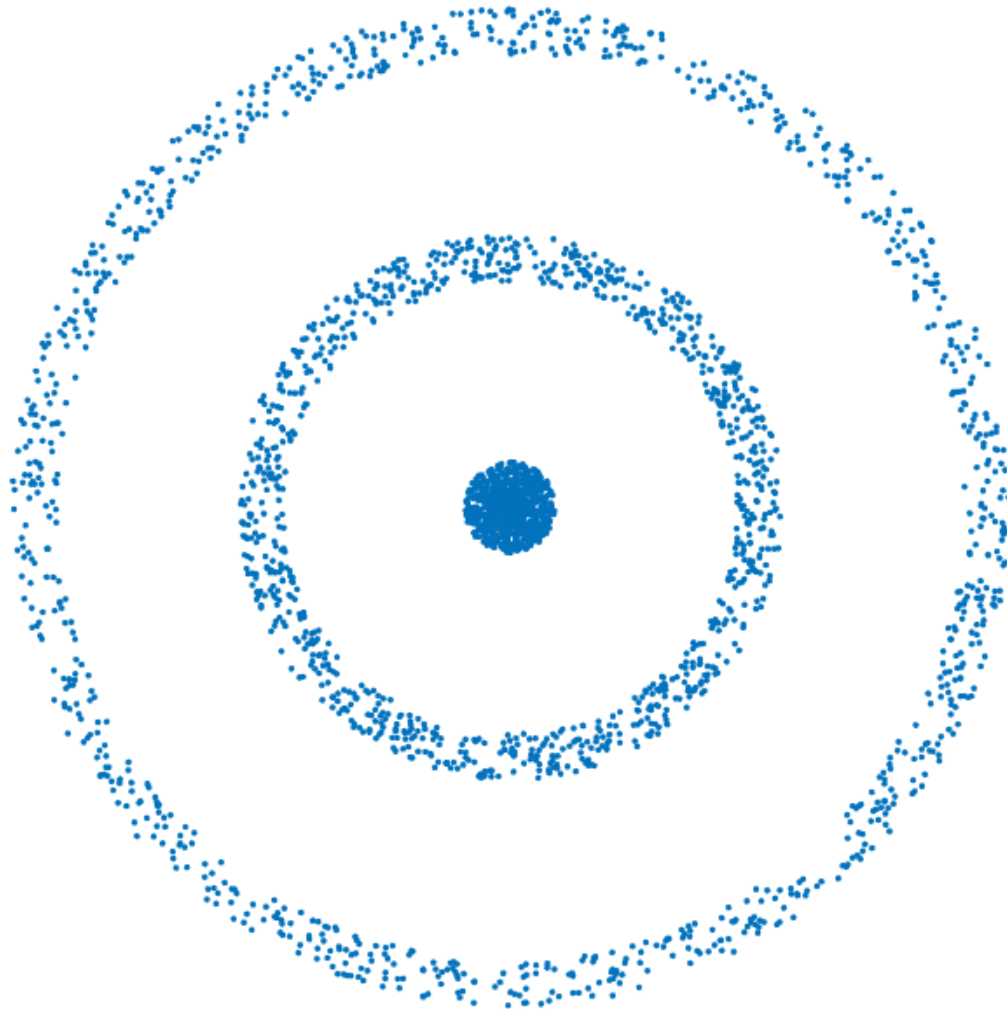
Quotient  $\frac{x^t Ax}{x^t x}$  is minimized by the  $n$ -th smallest eigenvector and, the minimum value is the corresponding eigenvalue.



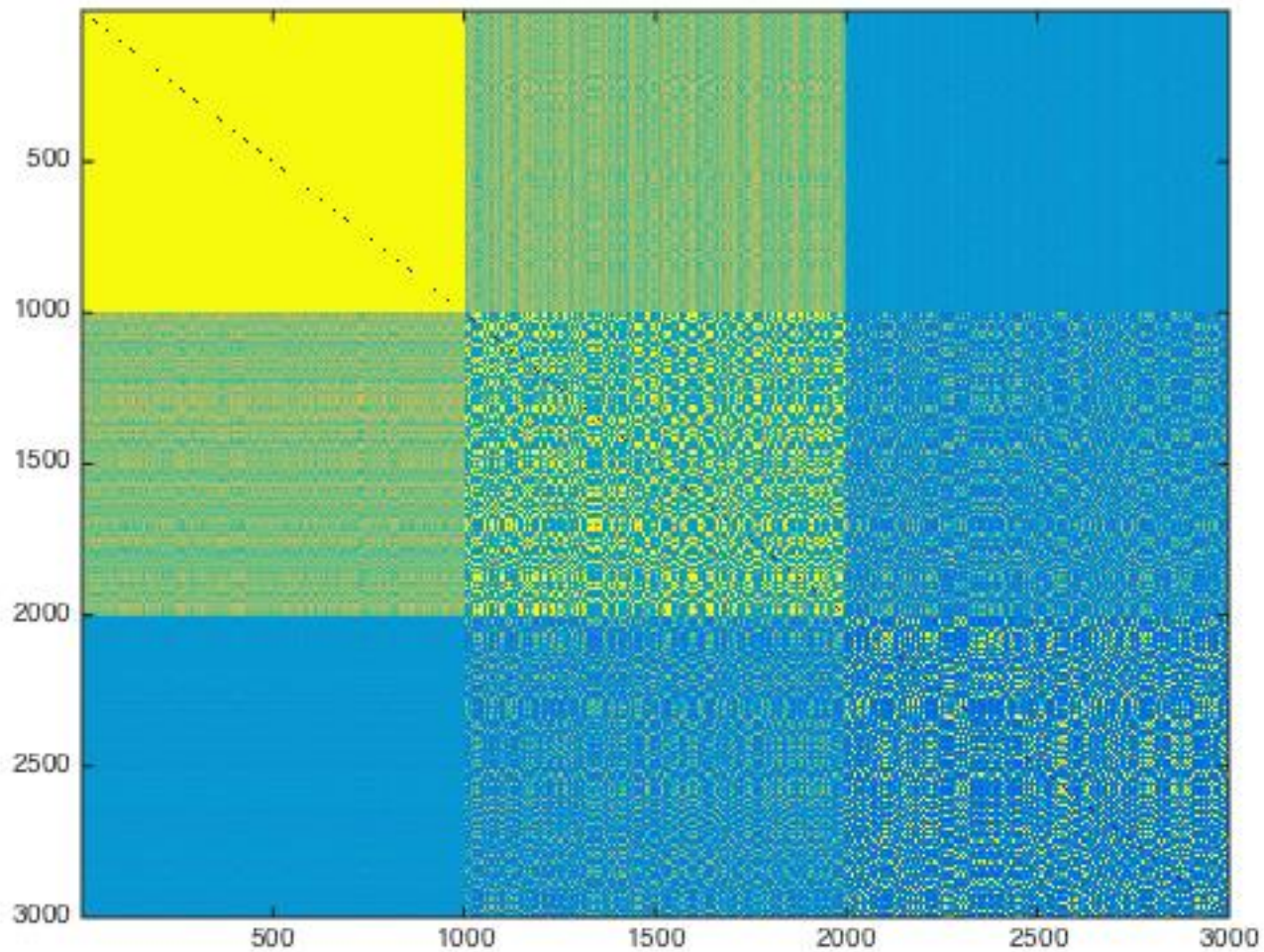
The answer of segmentation:  
Second smallest eigenvector of  
 $(D - W)y = \lambda Dy$

Efficient method to obtain the  $n$ -th eigenvector:  
Lanczos Method

# Example

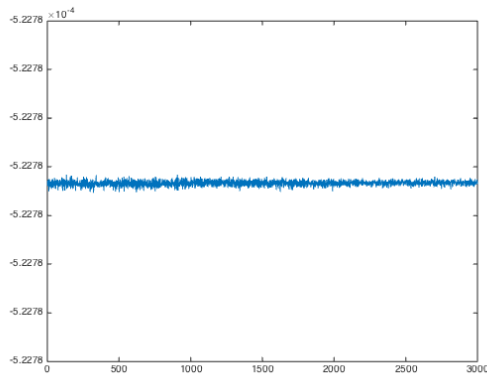


# Affinity Matrix

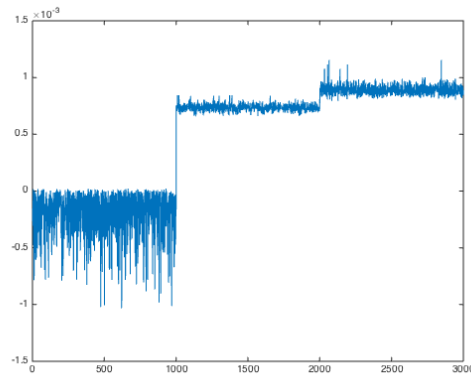


# 5 Smallest Eigenvalues & Eigenvectors

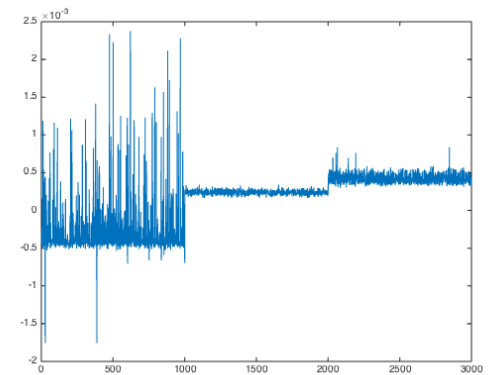
Smallest



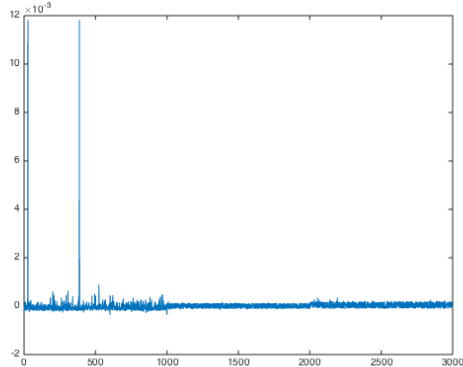
2<sup>nd</sup> Smallest



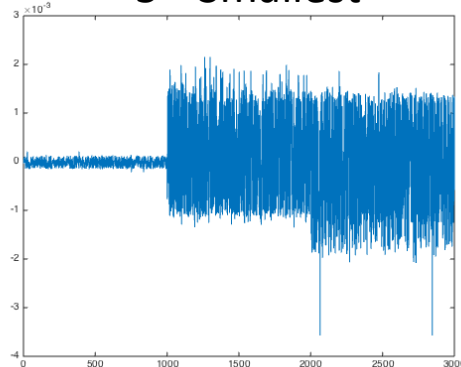
3<sup>rd</sup> Smallest



4<sup>th</sup> Smallest



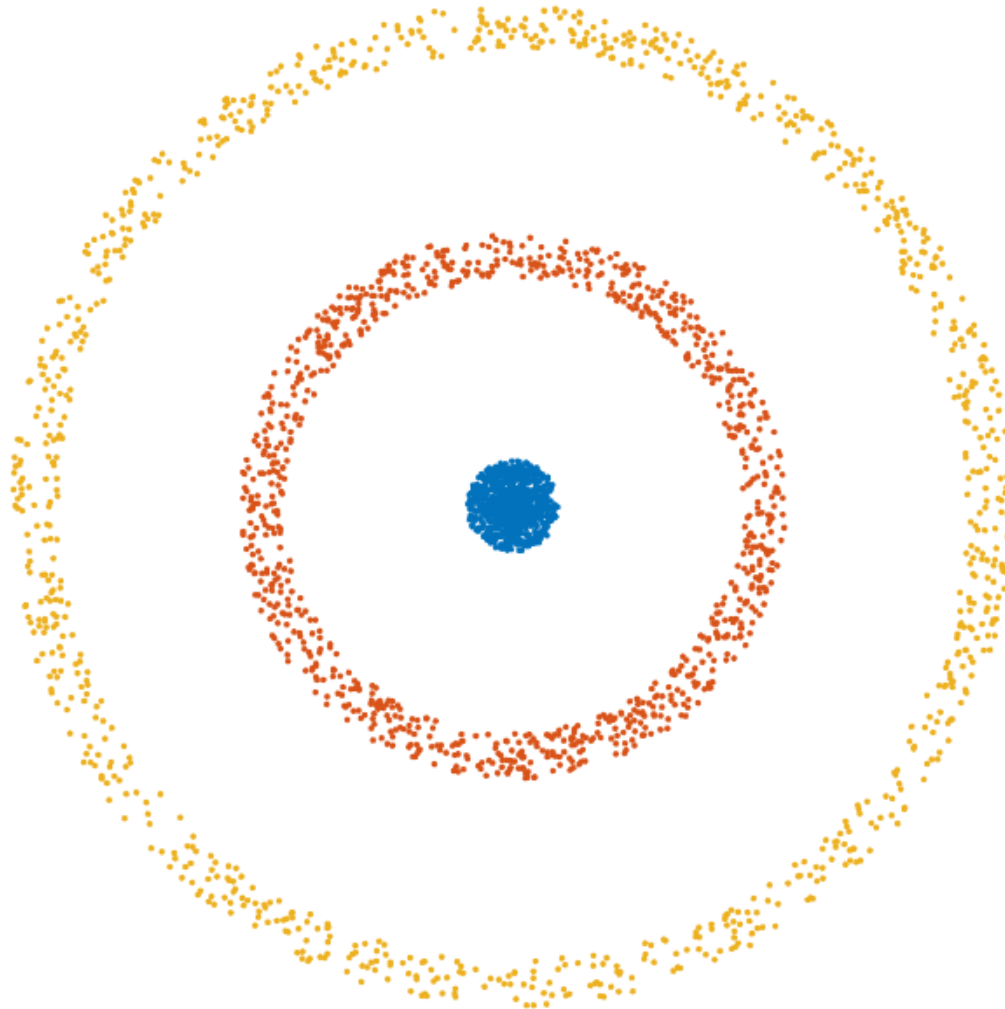
5<sup>th</sup> Smallest



Eigenvalues

0
0.3555
0.5330
0.6028
0.6160

# Result

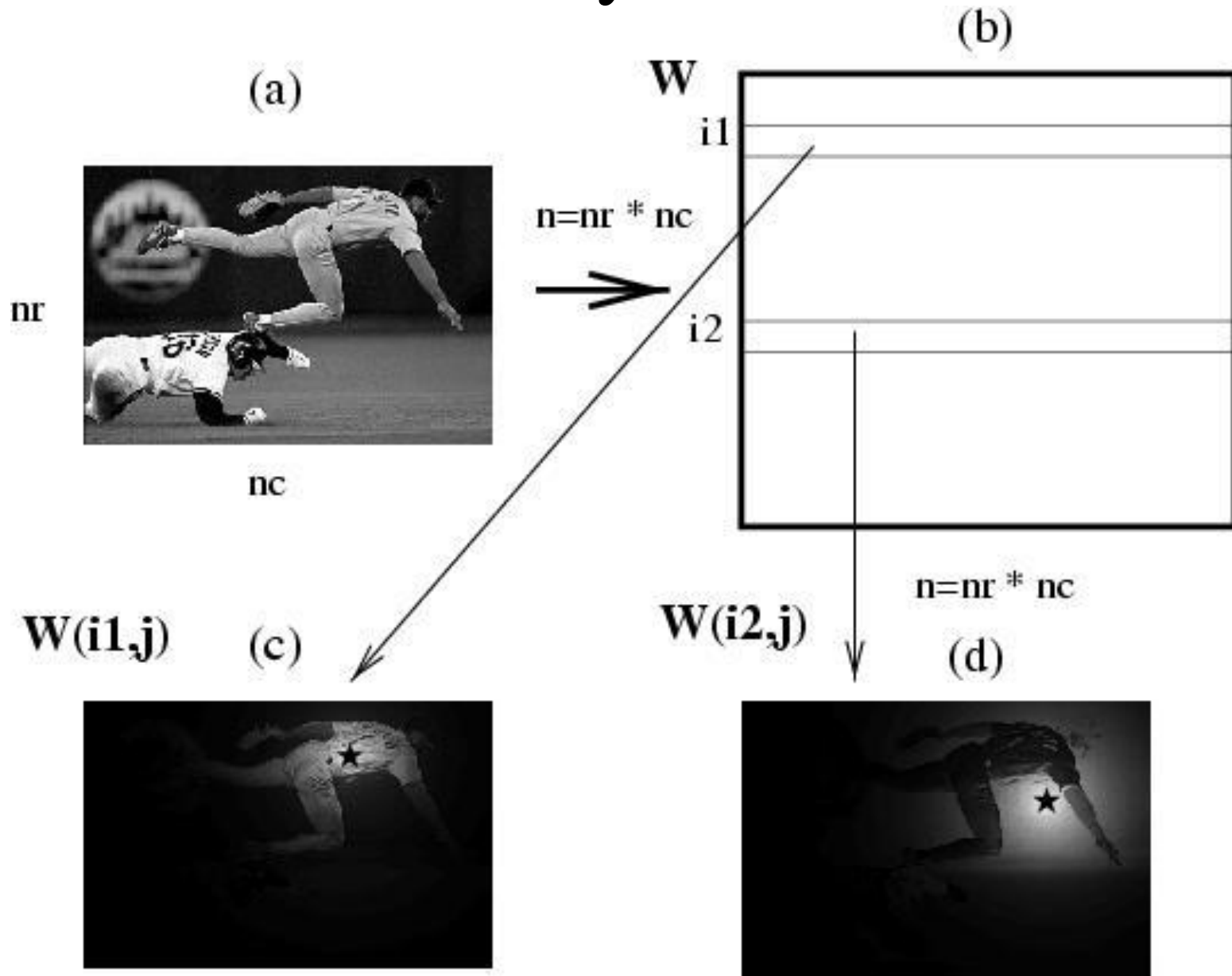


# Example

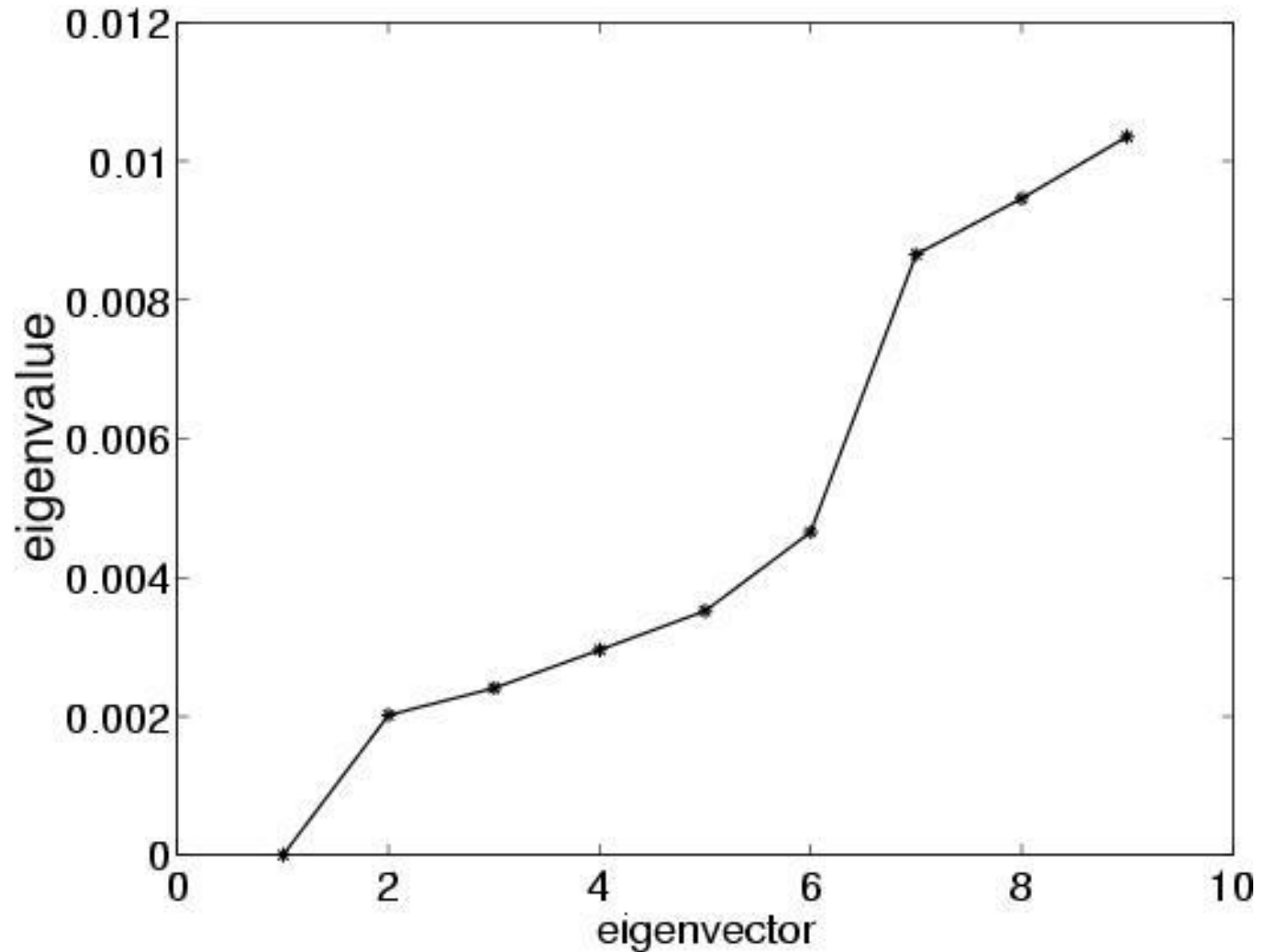


$$W(i, j) = \exp\left(-\frac{\|F_i - F_j\|_2^2}{s_I}\right) \cdot \exp\left(-\frac{\|X_i - X_j\|_2^2}{s_X}\right) \quad \text{if } \|X_i - X_j\|_2^2 < r$$

# Affinity Matrix



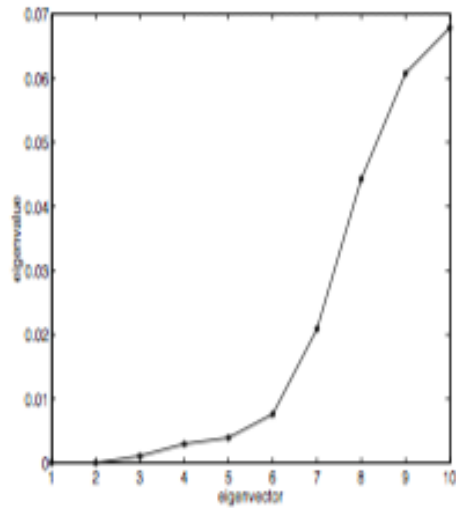
# Smallest Eigenvalues



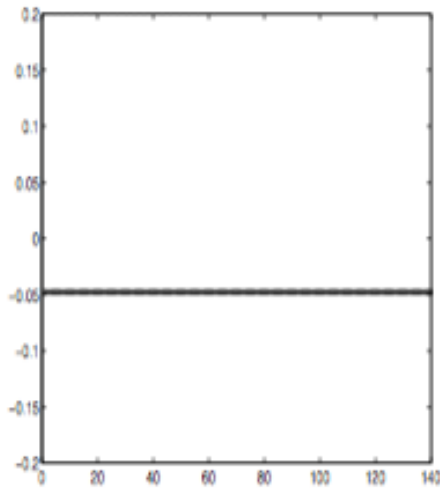


# Smallest Eigenvalues & Eigenvectors

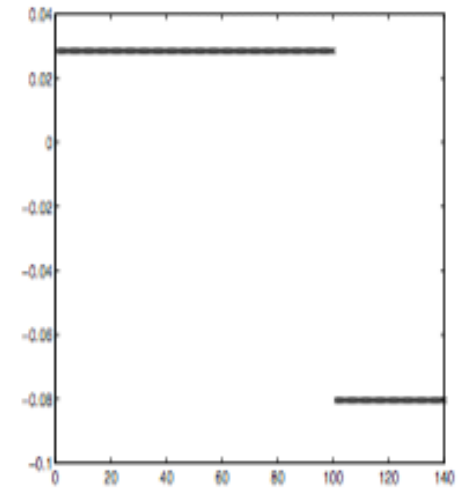
(1)



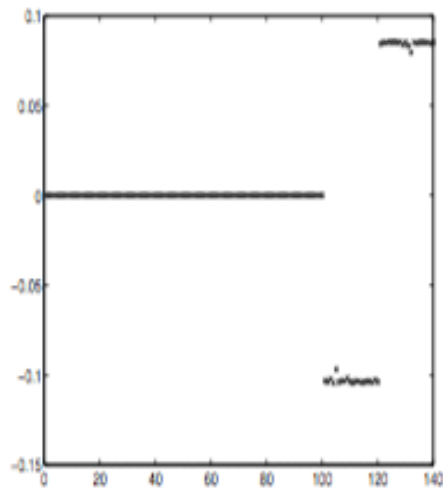
(2)



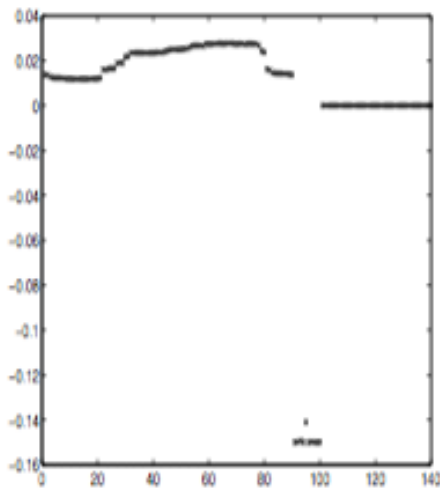
(3)



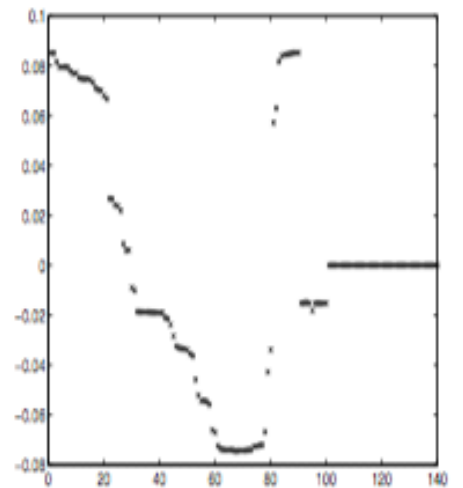
(4)



(5)



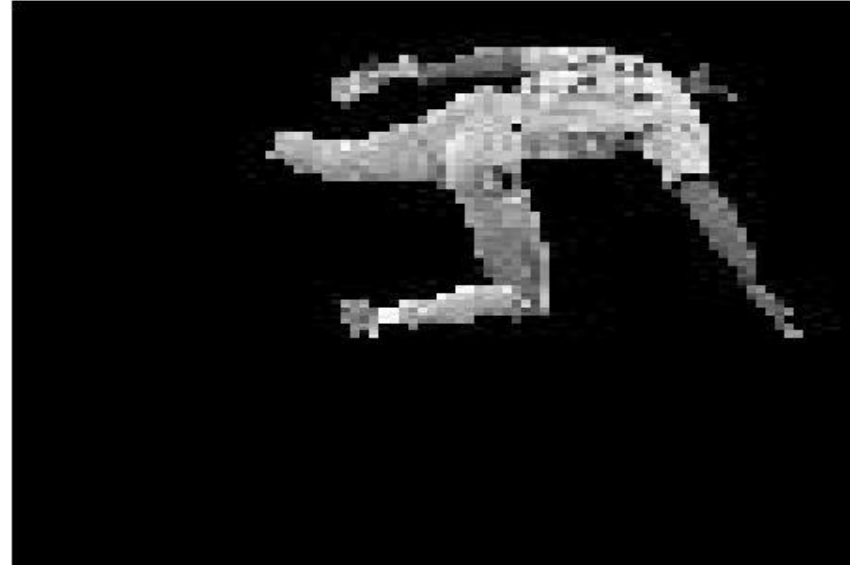
(6)



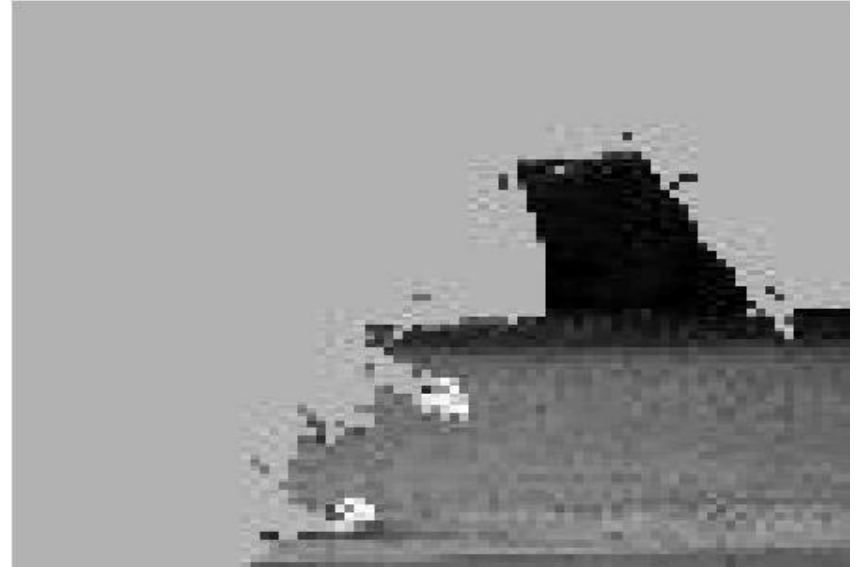
# 1<sup>st</sup> Segment



## 2<sup>nd</sup> Segment



# 3<sup>rd</sup> Segment



# 4<sup>th</sup> Segment



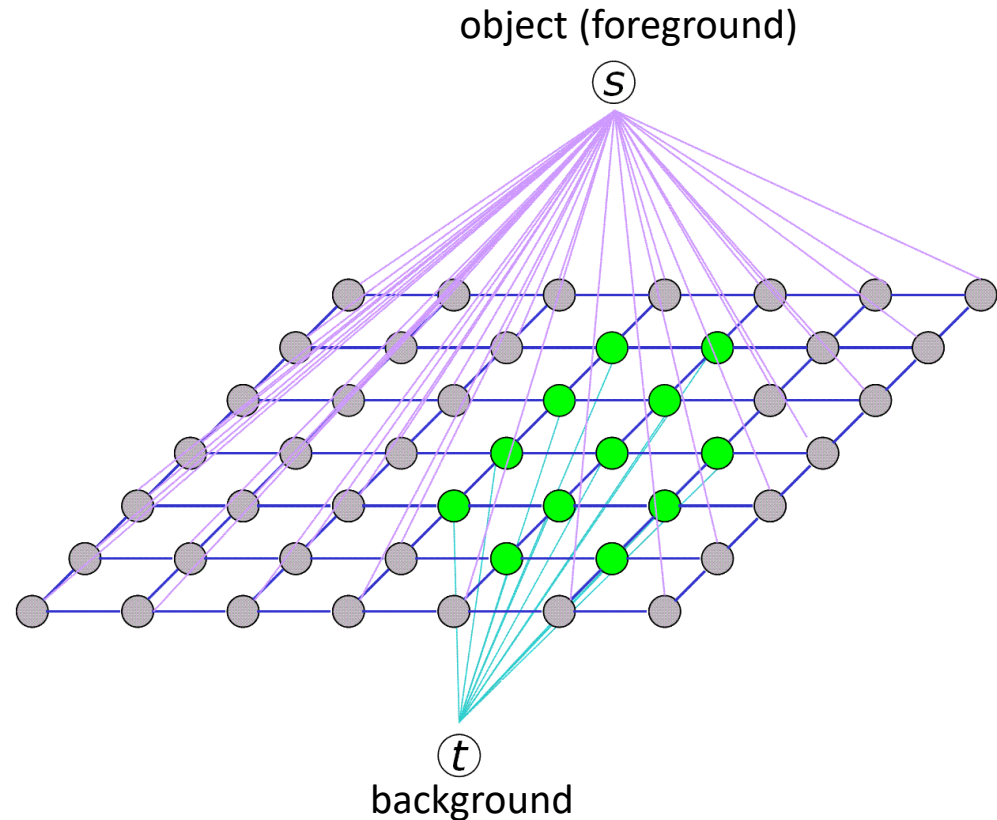
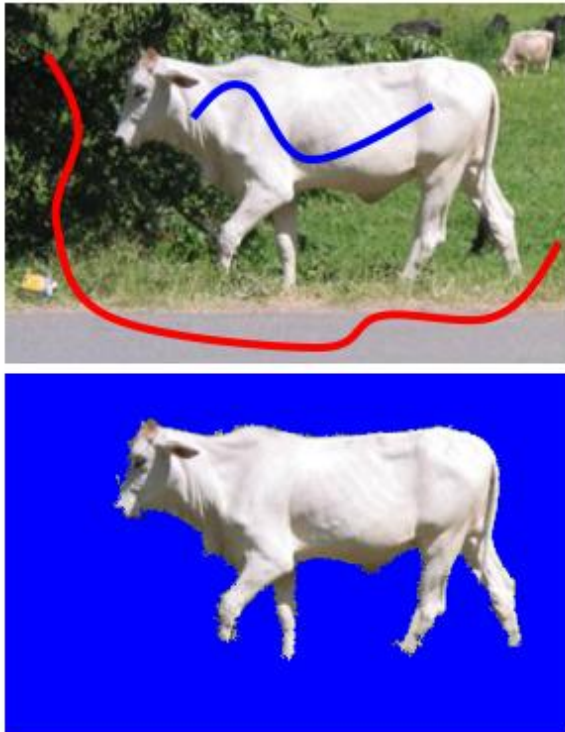
# 5<sup>th</sup> Segment



# *References*

- Ncuts  
Szeliski, Section 5.4
- *Paper:*  
Jianbo Shi and Jitendra Malik  
***Normalized cuts and image segmentation***  
Pattern Analysis and Machine Intelligence (PAMI), 2000

# Graph Cuts for Image Segmentation



*Combinatorial Optimization*

Yuri Boykov and Marie-Pierre Jolly, *Interactive Graph Cuts for Optimal Boundary and Region Segmentation of Objects in N-D Images*, ICCV 2001.



# Weights

<i>Edge</i>	<i>Weight (Cost)</i>	<i>for</i>
$\{p, q\}$	$B_{\{p,q\}}$	$\{p, q\} \in N$
$\{p, S\}$	$\lambda R_p("obj")$	$p \in P, p \notin O \cup B$
	$K$	$p \in O$
	$0$	$p \in B$
$\{p, T\}$	$\lambda R_p("bkg")$	$p \in P, p \notin O \cup B$
	$0$	$p \in O$
	$K$	$p \in B$

$$K = 1 + \max_{p \in P} \sum_{q: \{p,q\} \in N} B_{\{p,q\}}$$

## *Boundary Term / Smoothness Term*

<i>Edge</i>	<i>Weight (Cost)</i>	<i>for</i>
$\{p, q\}$	$B_{\{p,q\}}$	$\{p, q\} \in N$

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<i>Edge</i>	<i>Weight (Cost)</i>	<i>for</i>
$\{p, q\}$	$B_{\{p,q\}}$	$\{p, q\} \in N$

$B_{\{p,q\}}$  = discontinuity penalty.

$B_{\{p,q\}}$  is large when pixel p and q are similar.

$B_{\{p,q\}}$  is close to zero when the two are very different.

$$B_{\{p,q\}} \propto \exp\left(-\frac{(I_p - I_q)^2}{2\sigma^2}\right) \cdot \frac{1}{\text{dist}(p, q)}$$

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Defining a segmentation:

$$A = (A_1, \dots, A_p, \dots, A_{|P|})$$

Binary vector

Boundary property term:

$$B(A) = \sum_{\{p,q\} \in N} B_{\{p,q\}} \delta(A_p, A_q)$$

$$\delta(A_p, A_q) = \begin{cases} 1 & A_p \neq A_q \\ 0 & A_p = A_q \end{cases}$$

# Weights

<i>Edge</i>	<i>Weight (Cost)</i>	<i>for</i>
$\{p, q\}$	$B_{\{p,q\}}$	$\{p, q\} \in N$
$\{p, S\}$	$\lambda R_p("obj")$	$p \in P, p \notin O \cup B$
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	$0$	$p \in O$
	$K$	$p \in B$

$$K = 1 + \max_{p \in P} \sum_{q: \{p,q\} \in N} B_{\{p,q\}}$$

## *Region Term / Data Term*

$R_p(A_p)$  = Individual penalties for assigning pixel  $p$  to “object” and “background”.

$R_p(A_p)$  may reflect on how the intensity of pixel  $p$  fits into a known intensity model (histogram) of object and background.

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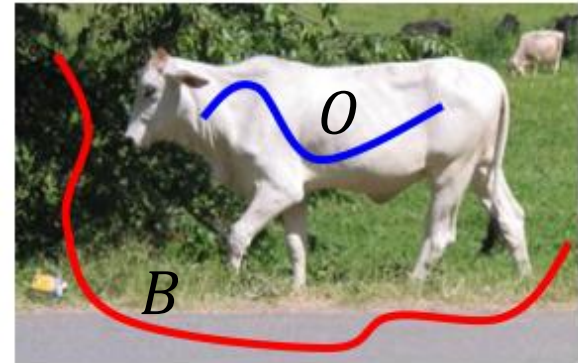
$R_p(A_p)$  may reflect on how the intensity of pixel  $p$  fits into a known intensity model (histogram) of object and background.

*Similarity to foreground (object):*

$$R_p(\text{"obj"}) = -\ln(\Pr(I_p | \text{"bkg"}))$$

*Similarity to background:*

$$R_p(\text{"bkg"}) = -\ln(\Pr(I_p | \text{"obj"}))$$



# Region Term / Data Term

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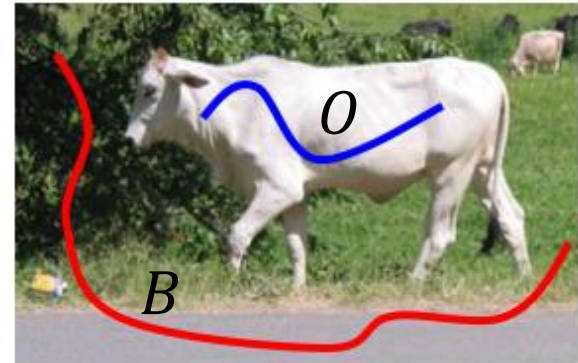
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*Similarity to foreground (object):*

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*Similarity to background:*

$$R_p(\text{"bkg"}) = -\ln(\Pr(I_p | \text{"obj"}))$$



Region property term:

$$R(A) = \sum_{p \in P} R_p(I_p)$$



Defining a segmentation:

$$A = (A_1, \dots, A_p, \dots, A_{|P|})$$

Binary vector

## *Cost Function*

$$E(A) = \lambda R(A) + B(A)$$

Defining a segmentation:

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Binary vector

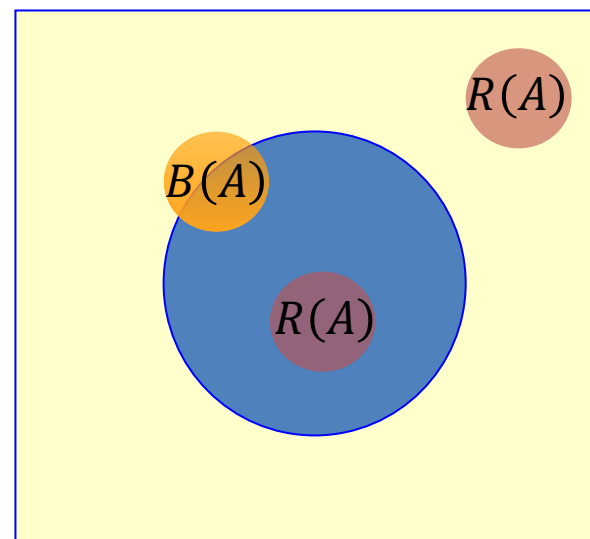
## *Cost Function*

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Boundary properties term

Region properties term

Coefficient : relative importance



Defining a segmentation:

$$A = (A_1, \dots, A_p, \dots, A_{|P|})$$

Binary vector

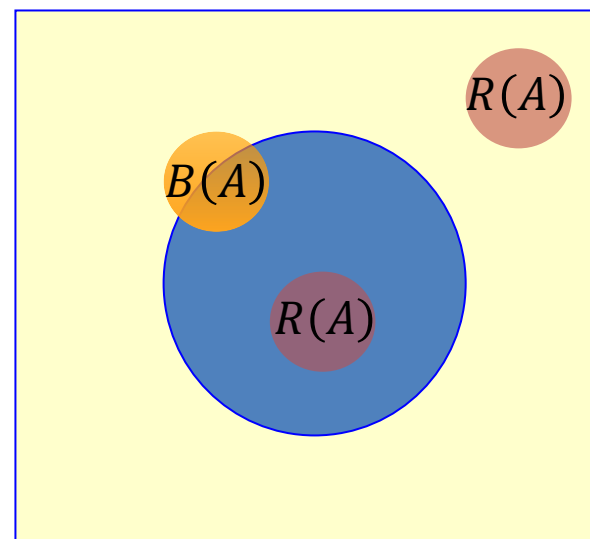
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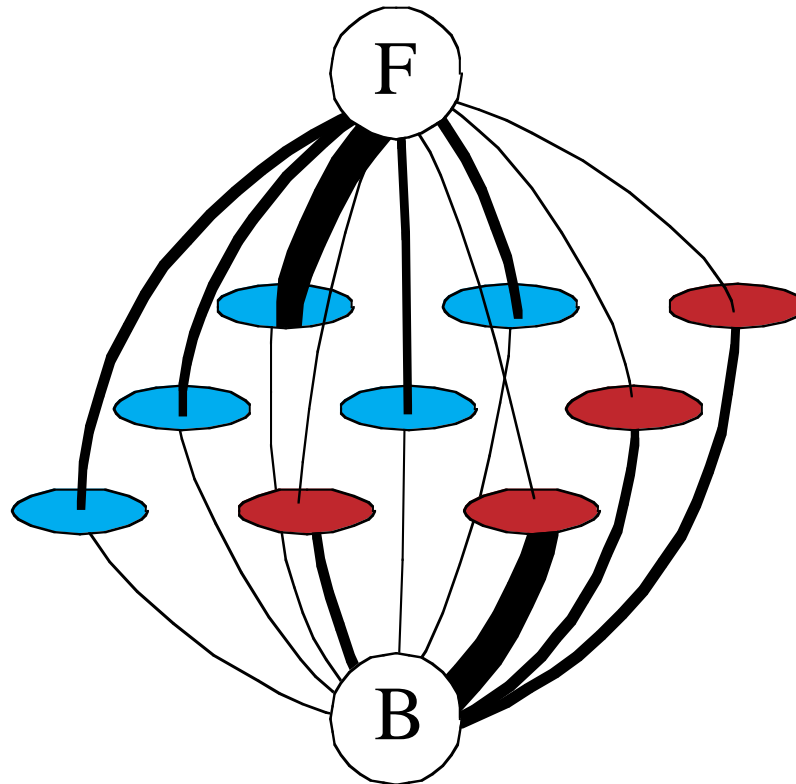


$$R(A) = \sum_{p \in P} R_p(I_p)$$

$$B(A) = \sum_{\{p,q\} \in N} B_{\{p,q\}} \delta(A_p, A_q) \quad \delta(A_p, A_q) = \begin{cases} 1 & A_p \neq A_q \\ 0 & A_p = A_q \end{cases}$$

## *Region Term / Data Term*

- Put one edge between each pixel and both  $F$  &  $B$
- Weight of edge:  $R(A) = \sum_{p \in P} R_p(I_p)$ 
  - Don't forget huge weight for hard constraints

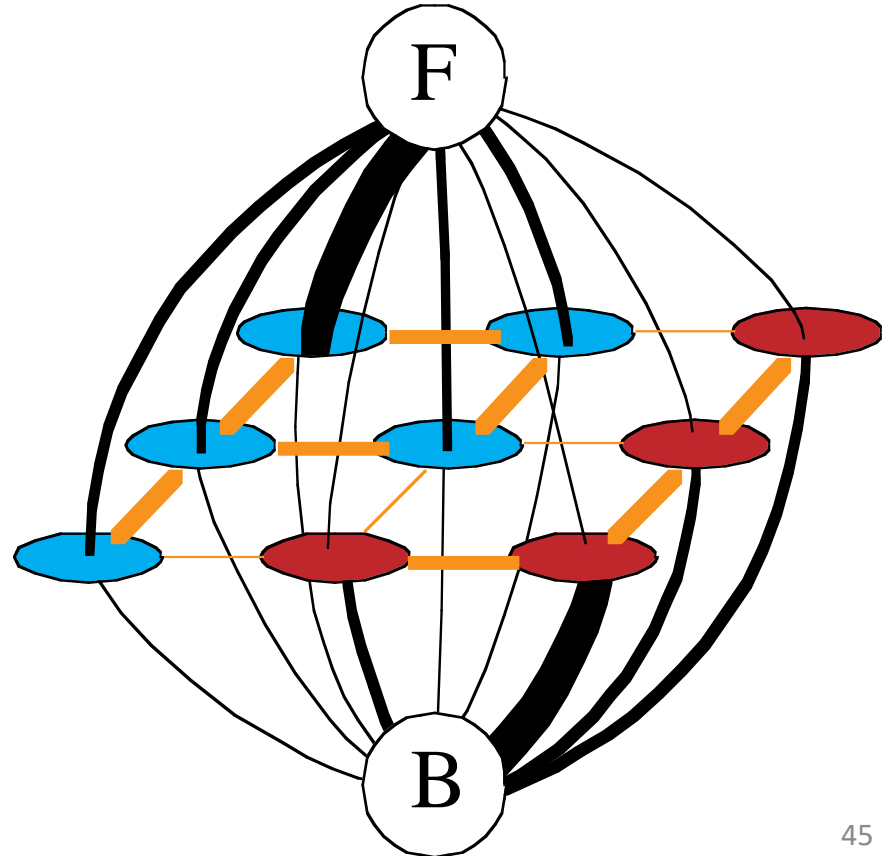


# *Boundary Term / Smoothness Term*

- Add an edge between each neighbor pair
- Weight = smoothness term

$$B(A) = \sum_{\{p,q\} \in N} B_{\{p,q\}} \delta(A_p, A_q)$$

$$\delta(A_p, A_q) = \begin{cases} 1 & A_p \neq A_q \\ 0 & A_p = A_q \end{cases}$$

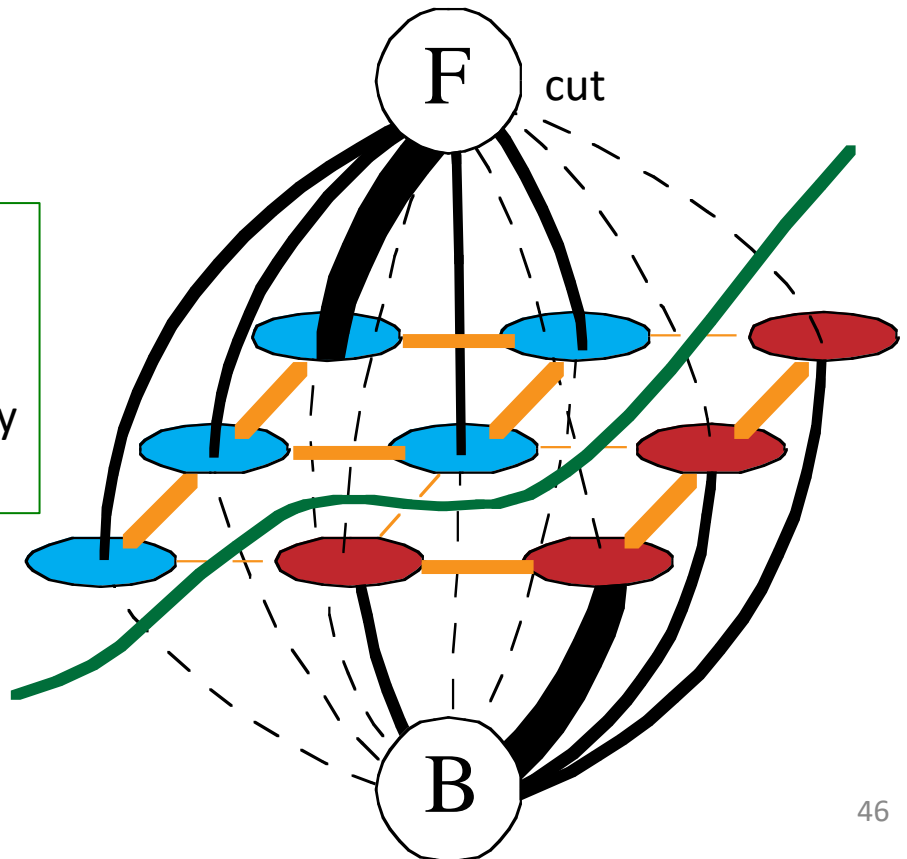


# Min Cut

- Energy optimization equivalent to graph min cut
- Cut: remove edges to disconnect  $F$  from  $B$
- Minimum: minimize sum of cut edge weight

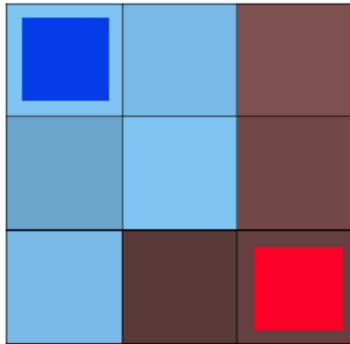
$$E(A) = \lambda R(A) + B(A)$$

A well-known combinatorial optimization fact:  
A globally minimum cut of a graph with two terminals can be computed efficiently in low order polynomial time.

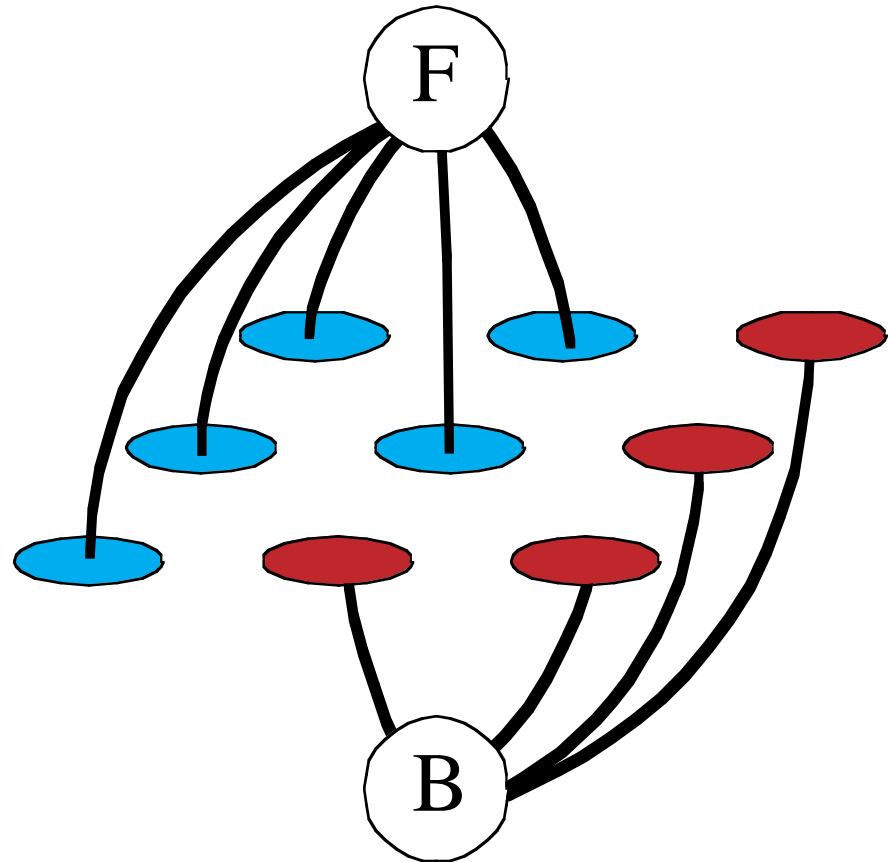


# *Result*

## min cut/max flow algorithm

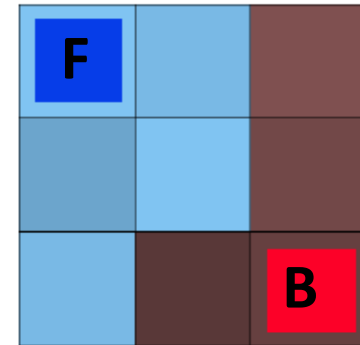


F	F	B
F	F	B
F	B	B



# Overview

- Interactive image segmentation using graph cut
- Binary label: foreground vs. background
- User labels some pixels (hard constraints)
- Exploit
  - Statistics of known Fg & Bg
  - Smoothness of label
- Turn into discrete graph optimization
  - Graph cut (min cut / max flow)



F F B  
F F B  
F B B

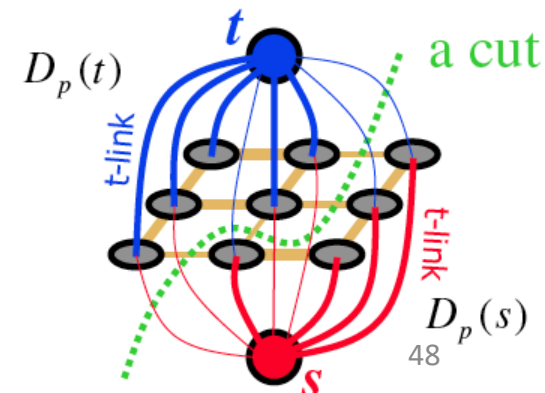
Defining a segmentation:

$$A = (A_1, \dots, A_p, \dots, A_{|P|})$$

Binary vector

Minimizing cost function

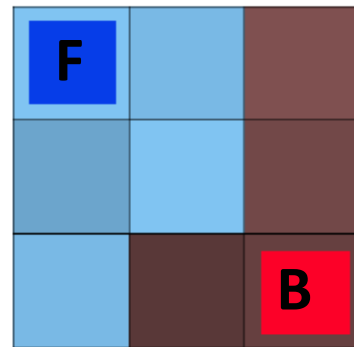
$$E(A) = \lambda R(A) + B(A)$$





*Energy Function:  $E(A) = \lambda R(A) + B(A)$*

- Labeling: one value per pixel, F or B
- Energy (labeling) = data + smoothness
  - Very general situation
  - Will be minimized
- Data: for each pixel
  - Probability that this color belongs to F (resp. B)
- Smoothness (aka regularization): per neighboring pixel pair
  - Penalty for having different labels
  - Penalty is downweighted if the two pixel colors are very different
  - Similar in spirit to bilateral filter



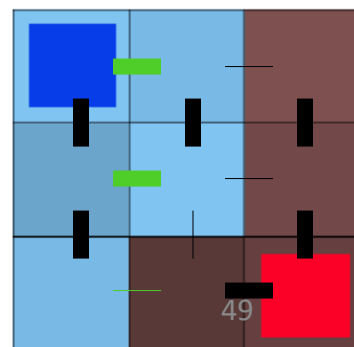
One labeling  
(ok, not best)

F	B	B
F	B	B
F	B	B

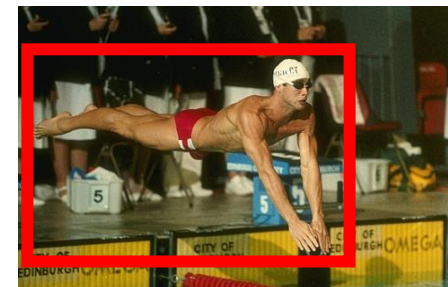
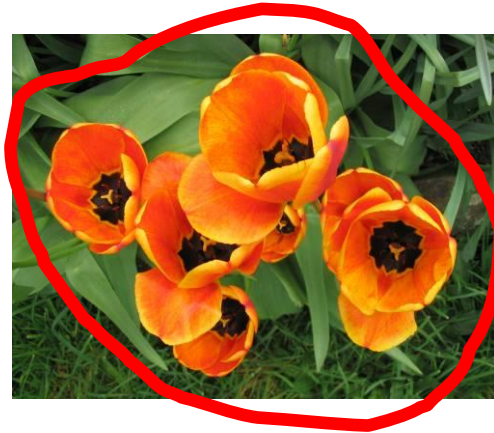
Data

F		B
F	B	B
F	B	B

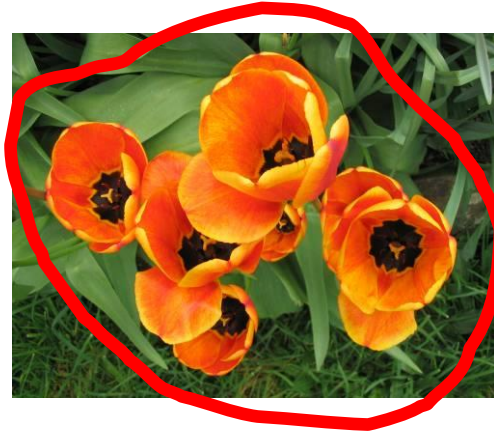
Smoothness



What is easy or hard about these cases for graphcut-based segmentation?



# Easier examples



# More difficult Examples

Camouflage &  
Low Contrast



Initial  
Rectangle

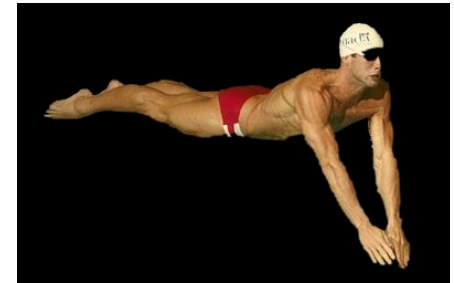


Initial  
Result

Fine structure

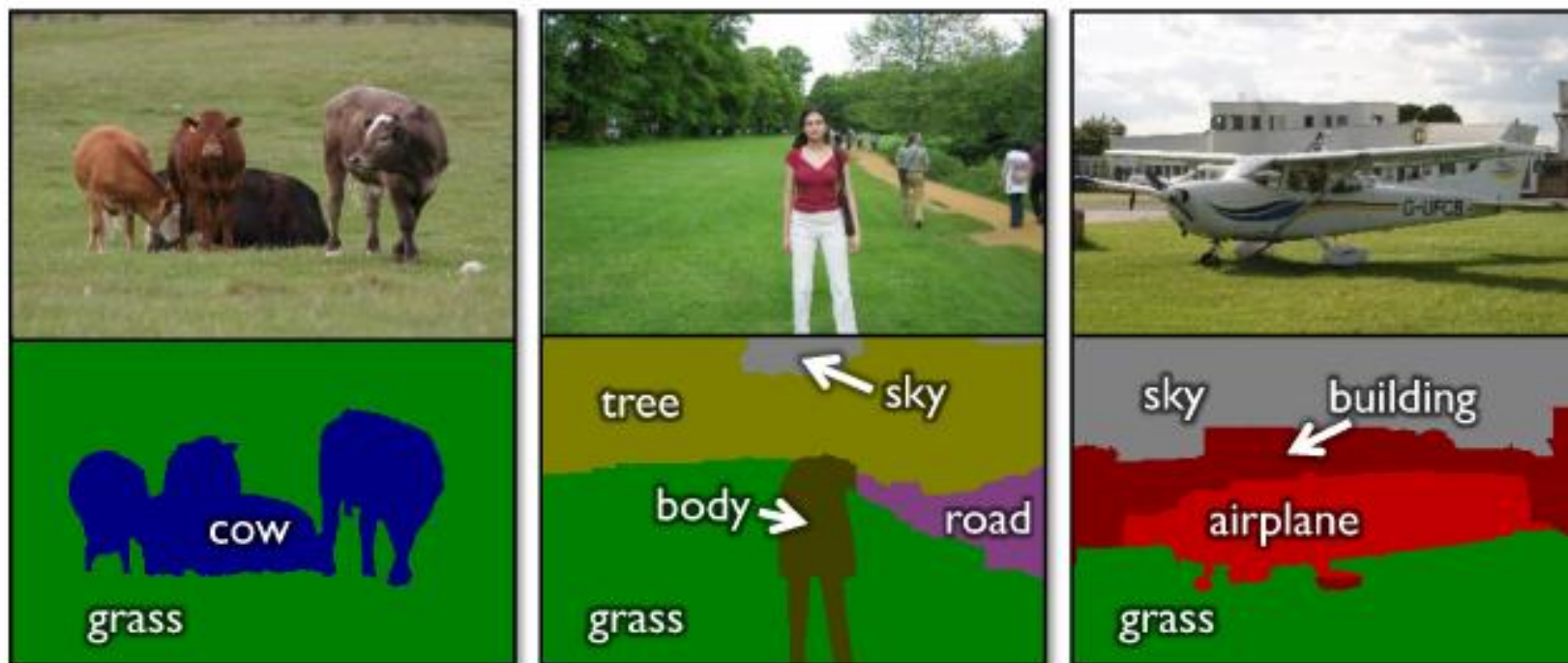


Harder Case





# Using graph cuts for recognition



object classes	building	grass	tree	cow	sheep	sky	airplane	water	face	car
bicycle	flower	sign	bird	book	chair	road	cat	dog	body	boat