

Fields and Galois Theory

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1 Field Extensions

Suppose $F \subseteq K$ are fields, then K is certainly also an F -vector space and therefore has a dimension and we denote it $[K : F] := \dim_F K$.

1.0.1 Theorem

Suppose $F \subseteq K$ and V is a K -vector space, then V is also a vector space over F as well, and $\dim_F V = [K : F] \dim_K V$.

Proof: Let $B_1 \subseteq V$ be a basis for V over K and $B_2 \subseteq K$ be a basis for K over F , then define $B = \{\alpha v \mid \alpha \in B_2, v \in B_1\}$. This is a basis for V in F , it is linearly independent since if $\alpha_1 v_1, \dots, \alpha_n v_n \in B$ and $\beta_1, \dots, \beta_n \in F$ then $\sum_{i=1}^n \beta_i \alpha_i v_i = 0$ implies $\beta_i \alpha_i = 0$ for all i since B_1 is a basis, and this means that β_i or α_i is zero, but $\alpha_i v_i \in B$ so $\beta_i = 0$ as required. B spans V since for $v \in B$ there exist $v_1, \dots, v_n \in B_1$ and $\alpha_1, \dots, \alpha_n \in K$ such that $v = \sum_{i=1}^n \alpha_i v_i$ and α_i can be written as the linear combination of elements in B_2 by elements of F which gives a linear combination of elements in B of F . So B is indeed a basis for V over F . Finally $B \cong B_2 \times B_1$ since $(\alpha, v) \mapsto \alpha v$ is a bijection: it is obviously surjective and $\alpha_1 v_1 = \alpha_2 v_2$ implies $\alpha_1 = \alpha_2, v_1 = v_2$ since v_1, v_2 are independent. Thus we have

$$\dim_F V = |B| = |B_2 \times B_1| = [K : F] \dim_K V$$

In particular if $F \subseteq K \subseteq E$ are fields then $[E : F] = [E : K] \cdot [K : F]$.

The following are methods of constructing fields:

- (1) If R is a commutative ring and $M \triangleleft R$ is a maximal ideal then R/M is a field. Specifically if $R = F[x]$ and p is an irreducible polynomial, $\langle p \rangle$ is maximal and $F[x]/\langle p \rangle$ is a field.
- (2) If F is a field, then the set of rational functions is also a field:

$$F \subseteq F(x) := \left\{ \frac{f(x)}{g(x)} \mid f, g \in F[x], g(x) \neq 0 \right\}$$

In general if R is an integral domain then its field of fractions/quotients $q(R) := \left\{ \frac{a}{b} \mid a, b \in R, b \neq 0 \right\}$ is a field. And $F(x)$ is the quotient field of $F[x]$.

- (3) If $F_0 \subseteq F_1 \subseteq F_2 \subseteq \dots$ is a chain of fields then so is $\bigcup F_n$ (the theory of fields is inductive, this holds for arbitrary chains, not just inductive ones). So for example $F(\lambda_1, \lambda_2, \dots)$ is a field since we can define $F_n = F(\lambda_1, \dots, \lambda_n)$ (the quotient field of $F[\lambda_1, \dots, \lambda_n]$) and the union of this chain is $F(\lambda_1, \lambda_2, \dots)$.

Let F be a field and $F \subseteq K$ a ring with $a \in K$, we define a homomorphism $F[\lambda] \xrightarrow{\psi_a} K$ defined by $\alpha \mapsto \alpha$ for $\alpha \in F$ and $\lambda \mapsto a$, meaning

$$\psi_a \left(\sum \alpha_i \lambda^i \right) = \sum \alpha_i a^i \quad (\psi_a(f) = f(a))$$

In particular ψ_a is a linear transformation from F to K , and is called the *evaluation homomorphism* at a . The kernel of the homomorphism is

$$\ker \psi_a = \{f \in F[\lambda] \mid f(a) = 0\} \triangleleft F[\lambda]$$

1.0.2 Definition

$a \in K$ is **algebraic** if $\ker \psi_a \neq 0$ and **transcendental** if the kernel is trivial.

If a is transcendental then $\ker \psi_a = 0$ and so $\text{Im } \psi_a = \{f(a) \mid f \in F[\lambda]\} = F[a] \cong F[\lambda]$. In fact we get

$$\begin{array}{ccc} F & \subseteq & F[a] \subseteq F(x) \\ & \cong & \cong \\ & & F[x] \end{array}$$

Now if a is algebraic, since $F[x]$ is a euclidean domain and therefore a PID, the kernel has a generator $\ker \psi_a = \langle h \rangle = h \cdot F[x]$. So $h(a) = 0$ and $f(a) = 0 \implies h \mid f$, and h is called the *minimal polynomial* of a . And so

$$F[\lambda] / \langle h \rangle \cong F[\lambda] / \ker \psi_a \cong \text{Im } \psi_a = \{f(a) \mid f \in F[\lambda]\} = F[a] = \text{span}\{1, a, \dots, a^{n-1}\} \subseteq K$$

2 Field Extensions

where $n = \deg h$, since $f(x) = q(x)h(x) + r(x)$ where $\deg r < \deg h = n$ and so $f(a) = r(a)$. $\{1, \dots, a^{n-1}\}$ is a basis due to h being minimal, a zeroing linear combination would give a zeroing polynomial of a of degree less than h . This means that the dimension of $F[a]$ as an F -vector space is n , ie. $[F[a] : F] = n$.

Since K is an integral domain and therefore so too is $F[a]$ and this means that $\langle h \rangle$ is a prime ideal (since R/I is an integral domain if and only if I is prime), this means that h is a prime (irreducible) polynomial. And since $F[a]$ is a PID, prime and maximal ideals are one and the same, so $\langle h \rangle$ is maximal and therefore $F[a]/\langle h \rangle \cong F[a]$ is a field. Let us summarize this:

1.0.3 Proposition

Let $F \subseteq K$ where K is an integral domain and $a \in K$ is algebraic in F , let h_a be its minimal polynomial. Then (1) h_a is irreducible, (2) $F[a]$ is a field, (3) $[F[a] : F] = \deg h_a$.

So for example let $a \in K \setminus F$ be algebraic then $F \subseteq F[a] \subseteq K$ and suppose $[K : F] = p$ is prime. Then $p = [K : F] = [K : F[a]] \cdot [F[a] : F]$, and since $a \in F[a] \setminus F$ this means $[F[a] : F] > 1$ so $[F[a] : F] = p$ and $[K : F[a]] = 1$ since p is prime so $F[a] = K$.

1.0.4 Corollary

Suppose F is a field and $F \subseteq K$ is an integral domain with finite dimension. Then every element of K is algebraic and K is a field.

Proof: Let $a \in K$ then $[K : F] = [K : F[a]] \cdot [F[a] : F]$ so $[F[a] : F]$ is finite. If a were transcendental then $F[a] \cong F[x]$ and $F[x]$ has infinite dimension over F . K is a field since every $a \in K$ must have a multiplicative inverse, since $F[a]$ is a field. ■

Notice that $[F[a, b] : F[a]] \leq [F[b] : F]$ since if h_b is b 's minimal polynomial in F then it is also a zeroing polynomial in $F[a]$. This means that

$$[F[a, b] : F] = [F[a, b] : F[a]] \cdot [F[a] : F] \leq [F[b] : F] \cdot [F[a] : F]$$

1.0.5 Corollary

Let F be a field and K a field extension, define

$$\text{Alg}_F(K) := \{a \in K \mid a \text{ is algebraic over } F\}.$$

This is a field. Furthermore $F \subseteq \text{Alg}_F(K)$ is an algebraic extension (all elements of $\text{Alg}_F(K)$ are algebraic in F), and $\text{Alg}_F(K) \subseteq K$ is a purely transcendental extension (all elements in $K \setminus \text{Alg}_F(K)$ are transcendental in $\text{Alg}_F(K)$).

Proof: Notice that $F[a \cdot b], F[a + b] \subseteq F[a, b]$ and so $[F[a, b] : F] \leq [F[b] : F] \cdot [F[a] : F] < \infty$, so $\text{Alg}_F(K)$ is closed under addition and multiplication (and obviously additive inverses). For a algebraic, $F[a]$ is a field so $a^{-1} \in F[a]$ and so $F[a^{-1}] \subseteq F[a]$ and therefore $[F[a^{-1}] : F] < \infty$ so a^{-1} is algebraic as well (and so by symmetry $F[a] = F[a^{-1}]$). So $\text{Alg}_F(K)$ is indeed a field.

To show that $\text{Alg}_F(K) \subseteq K$ is a pure transcendental extension, notice that if $F_1 \subseteq F_2 \subseteq F_3$ where $F_1 \subseteq F_2$ is algebraic, if $a \in F_3$ is algebraic in F_2 it is also algebraic in F_1 . Indeed if $f \in F_2[x]$ such that $f(a) = 0$, let its coefficients be b_i then a is algebraic in $F_1[b_0, \dots, b_n]$ and so

$$[F_1[b_0, \dots, b_n, a] : F_1[b_0, \dots, b_n]] = [F_1[b_0, \dots, b_n, a] : F_1[b_0, \dots, b_n]] \cdot [F_1[b_0, \dots, b_n] : F_1]$$

and this is finite since b_0, \dots, b_n are algebraic in F_1 as they are in F_2 , so both terms are finite. So if K had any algebraic numbers not in $\text{Alg}_F(K)$, they would be algebraic in F and thus in $\text{Alg}_F(K)$ in contradiction. ■

1.0.6 Proposition

Let F be a field and $f \in F[\lambda]$ be irreducible, then there exists a field extension $F \subseteq K$ such that f has a root in K , and $[K : F] = \deg f$.

Proof: since f is irreducible, $\langle f \rangle$ is prime and $F[\lambda]$ is a PID so it is maximal. So $K := F[\lambda]/\langle f \rangle$ is a field, and its dimension is $\deg f$, since it can be generated by $\{1, x, \dots, x^{\deg f-1}\}$. Now recall that by the second isomorphism theorem, $F/F \cap \langle f \rangle \cong F + \langle f \rangle / \langle f \rangle \subseteq F[\lambda]/\langle f \rangle = K$. But since elements of $\langle f \rangle$ are multiples of f , which is disjoint from F , so $F \cap \langle f \rangle = (0)$ so $F/F \cap \langle f \rangle \cong F$, and so F can be embedded into K and is thus for all intents and purposes, a subfield of K . Now define $\alpha := \lambda + \langle f \rangle$, and suppose $f(\lambda) = \sum_{i=0}^n a_i \lambda^i$ where $a_i \in F$ (viewing f as a polynomial over K , a_i is actually $a_i + \langle f \rangle$). Then

$$f(\alpha) = \sum_{i=0}^n a_i (\lambda + \langle f \rangle)^i = \sum_{i=0}^n a_i (\lambda^i + \langle f \rangle) = \sum_{i=0}^n a_i \lambda^i + \langle f \rangle = f + \langle f \rangle = \langle f \rangle = 0_K$$

so α is indeed a root of $f(\lambda)$, as required. \blacksquare

1.0.7 Corollary

Let F be a field and $f \in F[\lambda]$ any polynomial. Then there exists a field extension $F \subseteq K$ such that f has a root in K and $[K : F] \leq \deg f$.

Proof: find f 's irreducible factorization $f = f_1 \cdots f_t$, then extend F to a field K such that f_1 has a root in K , and by above $[K : F] = \deg f_1 \leq \deg f$. \blacksquare

1.0.8 Definition

Let F be a field, and f a polynomial over F . A field $F \subseteq K$ **splits** f if there exist $\alpha_1, \dots, \alpha_n \in K$ such that $f(\lambda) = (\lambda - \alpha_1) \cdots (\lambda - \alpha_n)$.

1.0.9 Theorem

Every polynomial f over a field F has a field K which splits it, such that $[K : F] \leq (\deg f)!$.

Proof: by induction on $n = \deg f$. For $n = 1$ then f already has a root, and so take $F = K$ and $[K : F] = 1 = (\deg f)!$. Now suppose $\deg f = n + 1$, then by above there exists a field extension $F \subseteq K_0$ such that there exists an $\alpha_1 \in K_0$ such that $f(\alpha_1) = 0$ and $[K_0 : F] \leq \deg f = n + 1$. And so $(\lambda - \alpha_1) | f(\lambda)$, so $f(\lambda) = (\lambda - \alpha_1)g(\lambda)$. Then $\deg g = n$, and g is a polynomial over K_0 , so there exists a field extension $F \subseteq K_0 \subseteq K$ such that $g(\lambda) = (\lambda - \alpha_2) \cdots (\lambda - \alpha_{n+1})$ for $\alpha_i \in K$ and $[K : K_0] \leq n!$. Then $f(\lambda) = (\lambda - \alpha_1) \cdots (\lambda - \alpha_{n+1})$ for $\alpha_i \in K$ and $[K : F] = [K : K_0][K_0 : F] \leq (n + 1)n! = (n + 1)!$. \blacksquare

Notice the following

- (1) the split of a polynomial over any field into its roots is unique,
- (2) the number of roots is $\leq \deg f$.

Recall that a field F is *algebraically closed* if it splits every polynomial in $F[\lambda]$.

1.0.10 Definition

Let F be a field, then $F \subseteq \overline{F}$ is an **algebraic closure** of F if \overline{F} is algebraically closed.

Note

Every field has a unique (up to isomorphism) algebraic closure.

So let $f(\lambda) \in F[\lambda]$, then $f(\lambda) \in \overline{F}[\lambda]$ and so $f = (\lambda - \alpha_1) \cdots (\lambda - \alpha_n)$ for $\alpha_i \in \overline{F}$. Then take $F \subseteq K = F[\alpha_1, \dots, \alpha_n] \subseteq \overline{F}$, it can be shown that $[K : F] \leq (\deg f)!$.

Now suppose $F \subseteq K$ are fields, and E is a field which F is embeddable into, suppose $\varphi: F \hookrightarrow E$ is an embedding. An embedding $\varphi': K \hookrightarrow E$ is an *extension* of φ if $\varphi'|_F = \varphi$. Denote

$$\eta_{F \subseteq K}^E := \#\{\varphi' \text{ is an extension of } \varphi\}$$

where φ is held constant and understood. Then

1.0.11 Proposition

Suppose $K = F[\alpha]$, then $\eta_{F \subseteq K}^E$ is equal to the number of roots the minimal polynomial of α in F has in E .

Proof: since α generates K over F , every extension of φ is defined by its image on α . Let h be the minimal polynomial of α over F . Denote $\hat{b} := \varphi(b)$ for all $b \in F$, and this definition extends to polynomials, $\sum_{i=0}^n b_i x^i = \sum_{i=0}^n \hat{b}_i x^i$. Then if φ' is an extension of φ ,

$$\hat{h}(\varphi'(\alpha)) = \varphi'(h(\alpha)) = \varphi'(0) = 0$$

this is since if $h(\lambda) = \sum_{i=0}^n a_i \lambda^i$, then $\hat{h}(\lambda) = \sum_{i=0}^n \hat{a}_i \lambda^i$, so

$$\hat{h}(\varphi'(\alpha)) = \sum_{i=0}^n \hat{a}_i \varphi'(\alpha)^i = \sum_{i=0}^n \varphi(a_i) \varphi'(\alpha)^i = \sum_{i=0}^n \varphi'(a_i) \varphi'(\alpha)^i = \varphi' \left(\sum_{i=0}^n a_i \alpha^i \right) = \varphi'(h(\alpha))$$

so $\varphi'(\alpha)$ must be one of \hat{h} 's roots, precisely as stated. ■

1.0.12 Definition

A polynomial f which splits over E is called **separable** in E if its linear factors are distinct (ie. all of its roots in E are distinct).

1.0.13 Theorem

Let $F \subseteq K$ be a finite extension (meaning $[K : F] < \infty$), and let $\varphi: F \hookrightarrow E$ be a given embedding. Then

- (1) $\eta_{F \subseteq K}^E \leq [K : F]$,
- (2) if K is generated by the roots of f , assuming that E splits f , then $1 \leq \eta_{F \subseteq K}^E$,
- (3) if f is separable over E , then $\eta_{F \subseteq K}^E = [K : F]$.

Proof: suppose $K = F[\alpha_1, \dots, \alpha_n]$ (the generators of K can be taken to be the basis of K as an F -vector space). We prove this by induction on n , for $n = 1$ this is given by the previous proposition, since $\eta_{F \subseteq K}^E$ is the number of roots h has in E , and $[K : F] = \deg h$ which is at least this. Define $F_1 := F[\alpha_1]$, then

$$\begin{aligned} \eta_{F \subseteq K}^E &= \#\{\varphi'': K \longrightarrow E \text{ is an extension of } \varphi\} \\ &= \#\bigcup \{\varphi'': F_1 \longrightarrow E \text{ is an extension of } \varphi' \mid \varphi': F_1 \longrightarrow E \text{ is an extension of } \varphi\} \\ &= \sum_{\varphi'} \eta_{F_1 \subseteq K}^E = \eta_{F \subseteq F_1}^E \cdot \eta_{F_1 \subseteq K}^E \subseteq [F_1 : F] \cdot [K : F_1] = [K : F] \end{aligned}$$

For (2), by the assumption there is an extension of $F \hookrightarrow E$ to $F_1 \hookrightarrow E$, and continue inductively. For (3), since f is separable, makes the bound an equality. ■

1.0.14 Definition

Let f be a polynomial over F , a field $F \subseteq K$ is a **splitting field** if it is the smallest field in which the polynomial splits.

Notice that if K is a splitting field, it is of the form $K = F[\alpha_1, \dots, \alpha_n]$ where α_i are roots of the polynomial, so they are algebraic. This means that $[K : F] \leq \prod_i [F : \alpha_i] < \infty$.

Furthermore, if K is a splitting field of f , then it is generated by the roots of f : $K = F[\alpha_1, \dots, \alpha_n]$, then if E is any field which splits f , we have $\eta_{F \subseteq K}^E \geq 1$, meaning there exists an embedding $K \hookrightarrow E$ which extends the embedding $F \hookrightarrow E$. And in particular if K, K' are two splitting fields of f , there exists two embeddings $K \hookrightarrow K'$ and $K' \hookrightarrow K$, which means $[K : F] = [K' : F]$ and so K and K' are isomorphic as F -vector spaces. And so $K \cong K'$ as fields.

Recall that there exists a unique ring homomorphism $f: \mathbb{Z} \rightarrow F$, and $\mathbb{Z}/\ker f \cong \text{Im } f \subseteq F$. Since $\text{Im } f$ is a subring of F , it is an integral domain and so $\ker f$ is a prime ideal. Thus $\ker f = p\mathbb{Z}$ for p prime or 0, and this p is called F 's *characteristic*. In other words F has characteristic p if and only if $1 + \dots + 1 = 0$ (p times) since then $p \in \ker f$ and so $(p) \subseteq \ker f$, but \mathbb{Z} is a PID and so (p) is maximal. And F has characteristic 0 if $1 + \dots + 1$ is never zero.

If F has characteristic 0, then f is an embedding into F , so $\mathbb{Z} \subseteq F$ and since it is a field $\mathbb{Q} \subseteq F$, up to embedding. And for characteristic p , $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p \subseteq F$.

Notice that in characteristic p , $\binom{p}{k} = \frac{p!}{k!(p-k)!}$ is zero for $k \neq 0, p$.

$$(a + b)^p = \sum_{k=0}^p \binom{p}{k} a^k b^{p-k} = a^p + b^p$$

And so $e(x) = x^p$ is a field homomorphism $F \rightarrow F^p = \{x^p \mid x \in F\}$, and it has a trivial kernel, and so $F \cong F/\ker f \cong F^p$.

1.0.15 Definition

We define the **derivative** over a field F to be the function $F[\lambda] \rightarrow F[\lambda]$ defined by

$$\left(\sum_{i=0}^n \alpha_i \lambda^i \right)' = \sum_{i=1}^n \alpha_i \cdot i \lambda^{i-1}$$

It is trivial to show that $(f + g)' = f' + g'$ and $(fg)' = fg' + f'g$, meaning that $(f^2g)' = f^2g' + 2ff'g$. This means that if $f^2|h$ then $f|h'$. In particular if f is not separable, then there exists some $(\lambda - \alpha)^2$ which divides f over a field which splits it, then $\lambda - \alpha$ divides f' , meaning $f'(\alpha) = 0$. But this means that $f' = 0$, so $\alpha_i i = 0$ for all i , and so if p doesn't divide i this means $i \neq 0$ so $\alpha_i = 0$. Thus

$$f(\lambda) = \sum_{p|i} \alpha_i \lambda^i = \sum_j \alpha_{pj} (\lambda^p)^j$$

So we get that

1.0.16 Proposition

Let f be irreducible over a field of characteristic $p > 0$, then f is not separable if and only if $f' = 0$ if and only if $f(\lambda) = g(\lambda^p)$ for some polynomial g .

1.0.17 Example

Let $\lambda^p - a$ be a polynomial over F of characteristic p , and α a root in a field which splits it. Then

$$\lambda^p - a = \lambda^p - \alpha^p = (\lambda - \alpha)^p$$

so $\lambda^p - a$ is not separable (which we can see since it is $g(\lambda^p)$ for $g(\lambda) = \lambda - a$).

1.0.18 Definition

Let K/F be a field extension (meaning $F \subseteq K$), then an automorphism of K over F is an automorphism $\sigma: K \rightarrow K$ which holds F constant: $\sigma(a) = a$ for all $a \in F$.

Notice that all field homomorphisms are either injective or trivial, since the kernel is an ideal and fields only have trivial ideals, so if σ is a field homomorphism there is no need to check injectivity. And $\sigma(ax) = \sigma(a)\sigma(x) = a\sigma(x)$ for $a \in F$ and $x \in K$ so σ is an F -linear transformation, so if $[K : F]$ is finite σ must be surjective. Thus in the case that K/F is a finite field extension, all monomorphisms of K over F are automorphisms.

1.0.19 Definition

Let K/F be a field extension, then define its **Galois group** to be

$$\text{Gal}(K/F) := \{\sigma \mid \sigma \text{ is an automorphism of } K \text{ over } F\}$$

and this is indeed a group relative to composition.

Notice that if K/F is a field extension and $\alpha \in K$ algebraic. Let h be its minimal polynomial and $\sigma \in \text{Gal}(K/F)$, then

$$h(\sigma(\alpha)) = \sigma(h(\alpha)) = \sigma(0) = 0$$

This is since $\sigma(\sum_i a_i \alpha^i) = \sum_i \sigma(a_i) \sigma(\alpha)^i = \sum_i a_i \sigma(\alpha)^i = h(\sigma(\alpha))$. So permutations in Galois groups map roots to roots of polynomials.

So for example, let $G = \text{Gal}(\mathbb{Q}[\sqrt{3}]/\mathbb{Q})$ and $\lambda^2 - 3 = (\lambda - \sqrt{3})(\lambda + \sqrt{3})$ and so σ must map $\sqrt{3}$ to $\pm\sqrt{3}$. And since all automorphisms of $\mathbb{Q}[\sqrt{3}]$ over \mathbb{Q} are defined by $\sqrt{3}$'s image,

$$G = \left\{ 1, \sqrt{3} \mapsto -\sqrt{3} \right\} \cong \mathbb{Z}_2$$

And similarly let $G = \text{Gal}(\mathbb{Q}[\sqrt{3}, \sqrt{2}]/\mathbb{Q})$, $\sqrt{3}$ must be mapped to $\pm\sqrt{3}$ (due to $\lambda^2 - 3$) and $\sqrt{2}$ must be mapped to $\pm\sqrt{2}$, so

$$G = \left\{ 1, \begin{array}{l} \sqrt{2} \mapsto \sqrt{2}, \sqrt{3} \mapsto -\sqrt{3} \\ \sqrt{2} \mapsto -\sqrt{2}, \sqrt{3} \mapsto \sqrt{3} \end{array} \right\} \cong \mathbb{Z}_2 \times \mathbb{Z}_2$$

Notice that if K has characteristic p , every automorphism must keep elements of \mathbb{F}_p constant (since $\sigma(1) = 1$). And if K has characteristic 0, every automorphism must keep elements of \mathbb{Q} constant (since $\sigma(a/b) = \sigma(a)/\sigma(b) = a/b$). So let F_0 be the characteristic field of K (either \mathbb{F}_p or \mathbb{Q}), so

$$\text{Aut}(K) = \text{Gal}(K/F_0)$$

1.0.20 Definition

Let K be a field, then for every subfield $G \leq \text{Aut}(K)$, define the **fixed-point field**,

$$K^G := \{a \in K \mid \forall \sigma \in G: \sigma(a) = a\}$$

This is indeed a field.

Notice that if $F \subseteq K$ is a subfield, then $\text{Gal}(K/F)$ is a subgroup of $\text{Aut}(K)$. And if $G \leq \text{Aut}(K)$ is a subgroup, then K^G is a subfield of K . So we have the following correspondences:

$$\begin{array}{ccc}
& \xrightarrow{\text{Gal}(K, \bullet)} & \\
\{\text{Subgroups of } \text{Aut}(K)\} & & \{\text{Subfields of } K\} \\
& \xleftarrow{K^\bullet} &
\end{array}$$

And if $F \subseteq K$ is a subfield, and $F \subseteq L \subseteq K$ is a field between them, $\text{Gal}(K, L)$ is a subgroup of $\text{Gal}(K/F)$ (since $\sigma \in \text{Gal}(K/L)$ keeps elements of L , and thus F constant). And if $G \leq \text{Gal}(K/F)$, then K^G is a field between F and K . So we have

$$\begin{array}{ccc}
& \xrightarrow{\text{Gal}(K, \bullet)} & \\
\{\text{Subgroups of } \text{Gal}(K/F)\} & & \{\text{Fields between } F \text{ and } K\} \\
& \xleftarrow{K^\bullet} &
\end{array}$$

Some properties:

- (1) If $L_2 \subseteq L_1$ then $\text{Gal}(K/L_2) \supseteq \text{Gal}(K/L_1)$ since an automorphism which keeps elements of L_1 constant keeps elements of L_1 constant.
- (2) If $H_2 \subseteq H_1$ then $K^{H_2} \supseteq K^{H_1}$ since if a is held constant by every $\sigma \in H_1$, it is held constant by every $\sigma \in H_2$.
- (3) For every L , $L \subseteq K^{\text{Gal}(K/L)}$ since $K^{\text{Gal}(K/L)}$ are elements held constant by every automorphism in $\text{Gal}(K/L)$, which includes all elements of L by definition.
- (4) For every H , $H \subseteq \text{Gal}(K/K^H)$ since for $\sigma \in H$ every element of K^H is held constant.

1.0.21 Definition

Let X, Y be posets (partially ordered sets), then a pair of functions $\alpha: X \rightarrow Y$ and $\beta: Y \rightarrow X$ is an **Galois correspondence** if

- (1) α and β reverse order, meaning if $x_1 \leq x_2$ then $\alpha(x_2) \leq \alpha(x_1)$ and similar for β ,
- (2) for every $x \in X$ and $y \in Y$, $x \leq \beta(\alpha(x))$ and $y \leq \alpha(\beta(y))$.

For example, let X and Y both be the lattice of subgroups of a group G , $\alpha = \beta: H \mapsto C_G(H)$. But our important example is $\alpha: F \mapsto \text{Gal}(K/F)$ and $\beta: H \mapsto K^H$.

1.0.22 Lemma

α, β form a Galois correspondence if and only if for all $x \in X$ and $y \in Y$ $y \leq \alpha(x) \iff x \leq \beta(y)$.

Proof: suppose α, β form a Galois correspondence. If $x \leq \beta(y)$ then $y \leq \alpha(\beta(y)) \leq \alpha(x)$ and similar for β , so we get the desired result. Now suppose $y \leq \alpha(x) \iff x \leq \beta(y)$. Since $\beta(y) \leq \beta(y)$, we get $y \leq \alpha(\beta(y))$, similar for $\beta(\alpha(x))$. And if $x \leq x'$ then $x \leq x' \leq \beta(\alpha(x')) = \beta(y)$ which is equivalent to $\alpha(x') = y \leq \alpha(x)$. Similar for β . ■

1.0.23 Proposition

Let α, β be a Galois correspondence. Then

- (1) $\alpha \circ \beta \circ \alpha = \alpha$ and $\beta \circ \alpha \circ \beta = \beta$.
- (2) $\beta(\alpha(x)) = x$ if and only if $x \in \beta(Y)$ and $\alpha(\beta(y)) = y$ if and only if $y \in \alpha(X)$.
- (3) α and β are inverses as functions between $\beta(Y)$ and $\alpha(X)$.

Proof:

- (1) Since $x \leq \beta(\alpha(x))$, we get $\alpha\beta\alpha(x) \leq \alpha x$. On the other hand let $y = \alpha(x)$ then $y \leq \alpha\beta y = \alpha\beta\alpha(x)$, so we have equality.
- (2) This is direct from (1), since if $\alpha\beta(y) = y$ then trivially $y \in \alpha(X)$, and if $y \in \alpha(X)$ then $y = \alpha(x)$ so $\alpha\beta(y) = \alpha\beta\alpha(x) = \alpha(x) = y$.

(3) This is direct from (2). ■

In particular $\alpha(X)$ is isomorphic to the reverse order of $\beta(X)$, $\alpha(X) \cong \beta(X)^{\text{op}}$.

1.0.24 Definition

A field extension K/F is a **separable extension** if the minimal polynomial of every $a \in K$ over F is separable (meaning f splits into distinct linear factors over its splitting field). And it is a **normal extension** if the minimal polynomial of every $a \in K$ over F splits over K . Equivalently for every irreducible polynomial f over F , if f has a root in K then f splits in K . If it is both a normal and separable extension then it is called a **Galois extension**.

1.0.25 Theorem

Let K/F be a finite field extension, then the following are equivalent:

- (1) K/F is a Galois extension,
- (2) K is the splitting field of a separable polynomial over F ,
- (3) $F = K^G$ for some $G \leq \text{Aut}(K)$,
- (4) $F = K^{\text{Gal}(K/F)}$,
- (5) $|\text{Gal}(K/F)| = [K : F]$.

Proof: (1) \implies (2): suppose $K = F[a_1, \dots, a_n]$, then since K/F is separable the minimal polynomial f_i of every a_i is separable (meaning its linear factors are distinct in its splitting field). By normality, since f_i has a root in K it splits, and the factors must be distinct. Define $f = \prod f_i$, which is separable and splits over K . K must be the splitting field of f since f splits into distinct linear terms over K and K is generated from its roots.

(2) \implies (5): we showed that if K is generated by the roots of f which has a splitting field E , then $\eta_{F \subseteq K}^E = [K : F]$. Take $E = K$ so $\eta_{F \subseteq K}^K = [K : F]$. Extensions of $F \hookrightarrow K$ to $K \hookrightarrow K$ are simply automorphisms which hold F constant (since field homomorphisms are either injective or trivial, and if it holds F constant it cannot be trivial). Thus $\eta_{F \subseteq K}^K = |\text{Gal}(K/F)|$, so we have $|\text{Gal}(K/F)| = [K : F]$.

(2) \implies (4): let $F' = K^{\text{Gal}(K/F)}$ then by the Galois correspondence, $F \subseteq F'$. We now that (2) \implies (5) so $|\text{Gal}(K/F')| = [K : F']$ and $|\text{Gal}(K/F)| = [K : F]$. Since $\text{Gal}(K/F') = \alpha\beta\alpha(F)$ we know that $\text{Gal}(K/F') = \text{Gal}(K/F)$ so $[K : F] = [K : F']$ and $F \subseteq F'$ so $F = F'$.

(4) \implies (3) is trivial.

(3) \implies (1): let $a \in K$ and let g be its minimal polynomial in F . Let a_1, \dots, a_k be its roots in K , then let $h = \prod (\lambda - a_i) \in K[\lambda]$, so $h|g$ in $K[\lambda]$. Now let $\sigma \in G$, this will permute a root of g to another root of g which is in K , we have $h \in K^G[\lambda] = F[\lambda]$ (since a_i is mapped to a_j), we then get that $h|h$ in F . But g is the minimal polynomial so $h = g$, meaning the minimal polynomial of every $a \in K$ splits into distinct linear terms.

(5) \implies (4): let $G = \text{Gal}(K/F)$ and $F' = K^G$, then it satisfies the condition for (3), which implies (1) which implies (5), so we get $|\text{Gal}(K/F')| = [K : F']$. Again since $\text{Gal}(K/F') = \alpha\beta\alpha(F) = \text{Gal}(K/F)$, we get $[K : F] = [K : F']$ and $F \subseteq F'$ so $F = F'$. ■

Notice that if $F \subseteq L \subseteq K$ are fields such that K/F is a Galois extension, then K/L is also a Galois extension, since if the minimal polynomial of $a \in K$ over F splits, then since the minimal polynomial of a in L divides it, it must also split. Thus

$$K^{\text{Gal}(K/L)} = L$$

So if we look at our previous diagram

$$\begin{array}{ccc} & \xrightarrow{\alpha = \text{Gal}(K, \bullet)} & \\ \{\text{Subgroups of } \text{Gal}(K/F)\} & & \{\text{Fields between } F \subseteq K\} \\ & \xleftarrow{\beta = K^\bullet} & \end{array}$$

We have that $\beta\alpha = 1$, meaning we know that for every $F \subseteq L \subseteq K$ there exists a subgroup of $\text{Gal}(K/F)$ such that $K^G = L$. But for which subgroups $H \leq G$ is there a field $F \subseteq L \subseteq K$ such that $\text{Gal}(K/L) = H$?

1.0.26 Lemma (Artin's Lemma)

Let $H \leq \text{Aut}(K)$ be a finite subgroup, then $[K : K^H] \leq |H|$

Proof: let $H = \{\sigma_1 = 1, \sigma_2, \dots, \sigma_n\}$, $n < m$, and $x_1, \dots, x_m \in K$. We need to show that x_1, \dots, x_m are linearly dependent in K over K^H . So we'd like to find $a_1, \dots, a_m \in K^H$ such that $\sum_i a_i x_i = 0$. Applying $\sigma_i \in H$, since $a_j \in K^H$ we have that by definition $\sigma_i a_j = a_j$ so

$$\sigma_i \left(\sum_j a_j x_j \right) = \sum_j a_j \sigma_i(x_j) = 0$$

Let X be an $n \times m$ matrix defined by $X = (\sigma_i(x_j))_{ij}$ and $\vec{a} = (a_1, \dots, a_m)^\top$. Then we need to solve for \vec{a} in

$$X\vec{a} = 0$$

But X is an $M_{n \times m}(K)$ matrix, so it must have a nontrivial nullspace, meaning there exists a solution $\vec{a} \neq 0$ in K . Recall that our goal is to find such a \vec{a} in K^H .

Let us choose one non-trivial solution \vec{a} such that its number of zeroes is minimal (meaning we choose \vec{a} such that $\#\{1 \leq i \leq m \mid a_i = 0\}$ is minimal). We can reorder the solutions to assume that $a_1 \neq 0$, and since $a_1^{-1}\vec{a}$ is also a solution, we can assume $a_1 = 1$. Now we claim that $a_i \in K^H$ for all $1 \leq i \leq m$, and so once we prove this we have finished. Suppose that $a_2 \notin K^H$, then there exists a $\sigma_k \in H$ such that $\sigma_k(a_2) \neq a_2$. Then we know that $\sum_j a_j \sigma_i(x_j) = 0$ for all i , so compose this with σ_k to get

$$\sum_j \sigma_k(a_j) \sigma_k(\sigma_i(x_j)) = 0$$

since $\sigma_k \sigma_i \in H$, this is just a permutation of the indexing of i , so we still have

$$\sum_j \sigma_k(a_j) \sigma_k(x_j) = 0$$

meaning $(1, \sigma_k(a_2), \dots, \sigma_k(a_m))$ is another solution to the system of equations, and since the set of solutions form a vector space, this means that $(0, a_2 - \sigma_k(a_2), \dots, a_m - \sigma_k(a_m))$ is a solution. This is a non-trivial solution, but it has fewer zeroes than \vec{a} since now the first coefficient is zero (and all zero coefficients in \vec{a} remain zero here), in contradiction. ■

Since K^H is Galois by the above theorem, we have $[K : K^H] = |\text{Gal}(K/K^H)|$. Now, $H \subseteq \text{Gal}(K/K^H)$ by the definition of a Galois correspondence, and so we have $|H| \leq |\text{Gal}(K/K^H)| = [K : K^H] \leq |H|$. Thus $H = \text{Gal}(K/K^H)$.

And so we have shown that $H \mapsto K^H$ and $L \mapsto \text{Gal}(K/L)$ are inverse functions:

1.0.27 Theorem (The Fundamental Theorem of Galois Theory)

Let K/F be a finite-dimensional field extension. Then the maps $H \mapsto K^H$ and $L \mapsto \text{Gal}(K/L)$ are inverse functions which invert order between fields in between F and K and subgroups of $\text{Gal}(K/F)$.

1.0.28 Corollary

For every finite-dimensional Galois field extension, there is a finite number of in-between fields.

Proof: since the number of in-between fields is equal to the number of subgroups of $\text{Gal}(K/F)$, we need simply to show that $\text{Gal}(K/F)$ is finite. This is since $|\text{Gal}(K/F)| = [K : F]$ since K/F is Galois, and by assumption this is finite. ■

1.0.29 Corollary

Let $G = \text{Gal}(K/F)$, then H is normal in G if and only if $\sigma(K^H) = K^H$ for every $\sigma \in G$.

Proof: if H is normal in G , then let us consider the map $\sigma \mapsto \sigma|_{K^H}$ from $\text{Gal}(K/F)$ to $\text{Gal}(K^H/F)$. The kernel of this is the set of all permutations which hold K^H constant, $\text{Gal}(K/K^H)$ which is just H . So H is therefore normal. Now notice that

$$\begin{aligned} K^{\sigma H \sigma^{-1}} &= \{x \in K \mid \forall h \in H: \sigma h \sigma^{-1}(x) = x\} = \{\sigma(y) \mid \forall h \in H: \sigma h(y) = \sigma(y)\} \\ &= \sigma\{y \in K \mid \forall h \in H: h(y) = y\} = \sigma(K^H) \end{aligned}$$

so if $\sigma(K^H) = K^H$ then $K^{\sigma H \sigma^{-1}} = K^H$, meaning $H = \sigma H \sigma^{-1}$ (the $H \mapsto K^H$ map is injective; it has an inverse). ■

Notice that we defined a homomorphism $\text{Gal}(K/F) \longrightarrow \text{Gal}(K^H/F)$ whose kernel is $\text{Gal}(K/K^H)$, thus

$$\text{Gal}(K^H/F) \cong \text{Gal}(K/F) / \text{Gal}(K/K^H)$$

1.0.30 Proposition

If K/F is Galois and $G = \text{Gal}(K/F)$, then $H \leq G$ is normal if and only if K^H/F is a normal extension.

Proof: let $L = K^H$, $a \in L$, and h be its minimum polynomial over F . Thus it splits in K , meaning $h(\lambda) = \prod (\lambda - a_i)$ for $a_i \in K$. We showed previously that $\sigma \in G$ permutes the roots of h . If H is normal, then $\sigma(K^H) = K^H$ meaning $\sigma(a) \in L$. So if there is an a_i not in L , then we can define a permutation $a \mapsto a_i$, but then $\sigma(a) \notin L$ in contradiction. So all a_i are in L , meaning h splits in L , so L/F is normal.

And if L/F is normal, then $a_i \in L$ so $\sigma(a) = a_i$ for some i , since again G permutes the roots, and so $\sigma(a) \in L$. Thus $\sigma(L) = L$, meaning H is normal. ■

1.0.31 Proposition

Let $F \subseteq L \subseteq K$ be field extensions such that K/F is Galois. Then L/F is Galois if and only if $\text{Gal}(K/L)$ is normal in $\text{Gal}(K/F)$. In such a case,

$$\text{Gal}(L/F) \cong \text{Gal}(K/F) / \text{Gal}(K/L)$$

Proof: by the above proposition, $\text{Gal}(K/L)$ is normal in $\text{Gal}(K/F)$ if and only if $K^{\text{Gal}(K/L)}/F$ is normal. Now, since K/F is Galois, so is K/L since for $a \in K$ the minimal polynomial over L divides the minimal polynomial over F which splits into distinct linear factors, which means that so too must the minimal polynomial over L . Thus $K^{\text{Gal}(K/L)} = L$, so $\text{Gal}(K/L)$ is normal if and only if L/F is normal. And since K/F is separable, so is L/F since for $a \in L$ the minimal polynomial of a in F splits into distinct linear factors over its splitting field since K is separable. Thus L/F is normal if and only if it is Galois, as required.

As noted before,

$$\text{Gal}(K^H/F) \cong \text{Gal}(K/F) / \text{Gal}(K/K^H)$$

for $H = \text{Gal}(K/L)$, and as shown above $K^H = L$ as required. ■

1.0.32 Definition

Let L/F be a finite-dimensional separable extension. Then $E \supseteq L$ is a **Galois closure** of L/F if E is the smallest field containing L such that E/F is Galois.

The Galois closure can be found by taking the splitting field of the product of the minimal polynomials of the generators of L/F . The Galois closure is unique up to isomorphism.

1.0.33 Theorem

Let K/F be separable, then there exist only finitely many in-between fields.

Proof: let us look at the Galois closure of K/F , E . We showed already that E/F has finitely-many in-between fields, and therefore so does K/F . ■

1.0.34 Theorem (Steinitz's Theorem)

Every finite-dimension separable field extension K/F is generated by a single element.

Proof: we prove for the case that the fields are infinite, and we induct on the number of generators (which is finite as the extension is finite). It is sufficient to prove this for the case $K = F[x, y]$ as we can go from $F[x_1, \dots, x_n]$ to $F[x_1, \dots, x_{n-1}]$ and continue inductively. Let us focus on elements of the form $x + \alpha y$ for $\alpha \in F$, and so we get infinitely many (with repetitions) subfields $F[x + \alpha y]$. Now, using the Galois closure of K/F we can see that there are only finitely many in-between fields of K/F . Thus there are $\alpha \neq \beta \in F$ such that $L = F[x + \alpha y] = F[x + \beta y]$. And so $x + \alpha y - (x + \beta y) = (\alpha - \beta)y \in L$, meaning $y \in L$. And similarly $x \in L$ so $L = F[x, y]$ and is generated by a single element. ■

1.0.35 Definition

Let $n > 1$ be a natural number, then a **root of unity** of order n is an element in the field ρ such that $\rho^n = 1$. Denote $\mu_n(F) = \{\rho \in F \mid \rho^n = 1\}$ to be the set of all roots of unity in F of order n .

Obviously $\mu_n(F)$ is a subgroup of the multiplicative group of F : $1 \in \mu_n(F)$ and if $\rho_1^n = \rho_2^n = 1$ then $(\rho_1 \rho_2)^n = \rho_1^n \rho_2^n = 1$.

1.0.36 Proposition

Every finite subgroup of the multiplicative group of a field is cyclic.

Proof: let $A \leq F^\times$, then recall $e := \exp A := \min_{m \geq 1} \{\forall a \in A: a^m = 1\} = \text{lcm}\{o(a) \mid a \in A\}$. Then let us focus on the polynomial $\lambda^e - 1$, for which by definition every element of A is a root. The degree of the polynomial is e and so there are at most e roots, so $|A| \leq e$. Thus $|A| = e$ since $e \leq |A|$ as well in general. From this it follows that A is cyclic. ■

From this it follows that $\mu_n(F)$ is cyclic as it is finite (since elements of it are roots of $\lambda^n - 1$, so it has at most n elements). Denote its generator by ρ_n , called a *primitive root of unity of order n* .

In \mathbb{C} the roots of unity of order n are of the form $e^{2\pi i m/n}$ for $1 \leq m \leq n$. So let us focus on the polynomial $\lambda^n - 1$, the polynomial which defines the roots of unity of order n , in \mathbb{C} . Define $\rho = e^{2\pi i/n}$ so that the roots of the polynomial are ρ^i for $1 \leq i \leq n$. So the splitting field of this polynomial over \mathbb{Q} is

$$\mathbb{Q}[1, \rho, \rho^2, \dots, \rho^{n-1}] = \mathbb{Q}[\rho]$$

Since $\lambda^n - 1$ splits into distinct linear factors, and $\mathbb{Q}[\rho]$ is the splitting field of this separable polynomial, we get that $\mathbb{Q}[\rho]/\mathbb{Q}$ is a Galois extension.

So what is the minimal polynomial of these roots? For $n = 3$, $\lambda^3 - 1 = (\lambda - 1)(\lambda^2 + \lambda + 1)$ and both these polynomials are irreducible (since the first is linear, the second has no rational roots) so they are the minimal polynomials of 1 and ρ, ρ^2 respectively. For $n = 4$, $\lambda^4 - 1 = (\lambda - 1)(\lambda + 1)(\lambda^2 + 1)$, so the minimal polynomial of ρ is $\lambda^2 + 1$.

1.0.37 Definition

The **cyclotomic polynomial** of degree n is defined to be

$$\Phi_n(\lambda) := \prod_{(j,n)=1} (\lambda - \rho_n^j) \in \mathbb{Q}[\rho_n][\lambda]$$

The degree of Φ_n is the number of $1 \leq j \leq n$ such that $(j, n) = 1$, meaning $\deg \Phi_n = \varphi(n)$ where φ is the Euler totient function. For example,

$$\Phi_3(\lambda) = (\lambda - \rho)(\lambda - \rho^2) = \lambda^2 - (\rho + \rho^2)\lambda + \rho^3 = \lambda^2 + \lambda + 1$$

so we see that Φ_3 is the minimal polynomial of ρ .

Recall that $o(g^k) = \frac{o(g)}{(o(g), k)}$ for $g \in G$. So $o(\rho_n^k) = \frac{n}{(n, k)}$, meaning if n and k are coprime then ρ_n^k is also a primitive root of unity.

Further notice that

$$\prod_{d|n} \Phi_d(\lambda) = \prod_{d|n} \prod_{(j, d)=1} (\lambda - \rho_d^j) = \prod_{d|n} \prod_{(j, d)=1} (\lambda - \rho_n^{jn/d}) = \prod_{d|n} \prod_{(j, n/d, n)=n/d} (\lambda - \rho_n^{jn/d}) = \prod_{d|n} \prod_{(k, n)=n/d} (\lambda - \rho_n^k)$$

Since every $0 \leq k \leq n-1$ has a unique d such that $(k, n) = n/d$, this is just equal to

$$= \prod_{k=0}^{n-1} (\lambda - \rho_n^k) = \lambda^n - 1$$

1.0.38 Proposition

$$\Phi_n(\lambda) \in \mathbb{Z}[\lambda]$$

Proof: by induction on n . For $n = 1$, $\Phi_1(\lambda) = \lambda - 1$. Then by the above equality

$$\Phi_n(\lambda) = \frac{\lambda^n - 1}{\prod_{d|n, d < n} \Phi_d(n)}$$

So by our inductive hypothesis, this is in $\mathbb{Q}(\lambda)$ (the field of rational functions). Thus $\Phi_n(\lambda) \in \mathbb{C}[\lambda] \cap \mathbb{Q}(\lambda) = \mathbb{Q}[\lambda]$ ($K[\lambda] \cap F(\lambda) = F[\lambda]$ was a homework question). So by Gauss's lemma, $\Phi_n(\lambda) \in \mathbb{Z}[\lambda]$ as required. ■

1.0.39 Theorem

$\Phi_n(\lambda)$ is irreducible over \mathbb{Q} .

Proof: suppose not, so there exists a factorization $\Phi_n(\lambda) = g(\lambda)h(\lambda)$ where g is irreducible and $g, h \in \mathbb{Z}[\lambda]$ (by Gauss's lemma such a factorization exists). Let ρ be a root of g , then there must exist a prime $p > 0$ such that ρ^p is a root of h (otherwise all of Φ_n 's roots are roots of g). Let us focus on $h(\lambda^p)$. Since ρ is a root of $h(\lambda^p)$ and $g(\lambda)$ is its minimal polynomial, $g(\lambda)|h(\lambda^p)$. Since $h(\lambda^p) \equiv h(\lambda)^p \pmod{p}$.