# Topology

Lecture 8, Sunday June 11, 2022 Ari Feiglin

## Definition 8.0.1:

Let  $(X,\tau)$  be a topological space.  $B\subseteq \tau$  is a basis for  $\tau$  if every open set  $\mathcal{U}\in \tau$  is the union of open sets in B.

Equivalently, B is a basis such that for every open set  $\mathcal{U}$  and every  $p \in \mathcal{U}$ , there exists an  $\mathcal{V} \in B$  such that  $p \in \mathcal{V} \subseteq \mathcal{U}$ . This is equivalent since if B satisfies this then for every open  $\mathcal{U}$  we can take the union of  $\mathcal{V}_p$  for  $p \in \mathcal{U}$  and this gives  $\mathcal{U}$ , so B is a basis. And if B is a basis then for every  $p \in \mathcal{U}$  then since B is a basis  $\mathcal{U}$  is a union of open sets in B so p must be in the union, so  $p \in \mathcal{V} \subseteq \mathcal{U}$  for  $\mathcal{V} \in B$ .

## **Example 8.0.2:**

If  $(X, \rho)$  is a metric space,  $B = \{B_r(x) \mid r > 0, x \in X\}$  the set of all open balls, is a basis for the topology of X. This is by definition.

# Proposition 8.0.3:

Let X and Y be topological spaces and B a basis for Y. Then  $f: X \longrightarrow Y$  is a continuous function if and only if for every  $\mathcal{U} \in B$ ,  $f^{-1}(\mathcal{U})$  is open in X.

# Proof:

If f is continuous, this is trivial. Otherwise, let  $\mathcal{V}$  be open in Y, then it is the union of elements of B:

$$\mathcal{V} = \bigcup_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$$

and so we have that the preimage of  $\mathcal{V}$  under f is

$$f^{-1}(\mathcal{V}) = f^{-1}\left(\bigcup_{\lambda \in \Lambda} \mathcal{U}_{\lambda}\right) = \bigcup_{\lambda \in \Lambda} f^{-1}(\mathcal{U}_{\lambda})$$

and since  $f^{-1}(\mathcal{U}_{\lambda})$  is open for every  $\lambda \in \Lambda$  we have that  $f^{-1}(\mathcal{V})$  is open. Thus f is continuous.

Similarly we can show that

#### Proposition 8.0.4:

Let X and Y be topological spaces and B a basis for X. Then  $f: X \longrightarrow Y$  is open if and only if for every  $\mathcal{U} \in B$ ,  $f(\mathcal{U})$  is open.

## Proposition 8.0.5:

If X is a topological space and B is a basis and  $A \subseteq X$  is a subspace, then  $B_A = \{\mathcal{U} \cap A \mid \mathcal{U} \in B\}$  is a basis for A.

# **Proof:**

Let  $\mathcal{V}$  be open in A then  $\mathcal{V} = \mathcal{V}' \cap A$  for  $\mathcal{V}'$  open in A. Then since B is a basis,  $\mathcal{V}' = \bigcup_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$  and so  $\mathcal{V} = \bigcup_{\lambda \in \Lambda} \mathcal{U}_{\lambda} \cap A$  which is a union of elements in  $B_A$ .

## Proposition 8.0.6:

Let X be a topological space and B a basis. Then  $A \subseteq X$  is dense in X if and only if for every  $\emptyset \neq \mathcal{U} \in B$ ,  $\mathcal{U} \cap A \neq \emptyset$ .

# **Proof:**

Since A is dense if and only if it intersects every open set, one direction is trivial. Otherwise, let  $\mathcal{U}$  be open then since it is the union of open sets in B which have non-trivial intersection with A, so does  $\mathcal{U}$ , so A is dense.

## Lemma 8.0.7:

If X is a topological space with a basis B, then there exists a dense set A with cardinality  $|A| \leq |B|$ .

# **Proof:**

Construct A by choosing a  $p \in \mathcal{U}$  for every  $\emptyset \neq \mathcal{U} \in B$ . Then for every  $\emptyset \neq \mathcal{U} \in B$ ,  $A \cap \mathcal{U}$  is non-empty and so A is dense by above. By A's construction,  $|A| \leq |B|$  as required.

## Theorem 8.0.8:

Let M be a metric space, then M has a countable basis if and only if it is separable (has a countable dense set).

## **Proof:**

If M has a countable basis then by the above lemma M has a basis which is countable. If M has a countable dense set A then we construct a basis B as follows:

$$B = \{B_p(x) \mid x \in A, p > 0, p \in \mathbb{Q}\}\$$

This is countable since A and  $\mathbb{Q}$  are countable. And for every open set  $\mathcal{U}$  and for every  $x \in \mathcal{U}$  there exists an r > 0 such that  $B_r(x) \subseteq \mathcal{U}$ . There exists a  $p \in \mathbb{Q}$  such that 2p < r and an  $a \in A$  such that  $\rho(a, x) < p$  and so  $B_p(a) \subseteq B_r(x)$  since if  $\rho(a, y) < p$  then  $\rho(y, x) < \rho(a, y) + \rho(a, x) < 2p = r$  as required.

So for every  $x \in \mathcal{U}$  there exists a  $B_p(a) \in B$  such that  $x \in B_p(a) \subseteq \mathcal{U}$  so B is a basis. Note that in general the cardinality of this B will be  $\leq \aleph_0 \cdot |A|$ .

# **Example 8.0.9:**

The Sorgenfrey topology on  $\mathbb{R}$  is defined as the topology  $\tau_{\mathbb{S}}$  consisting of all unions of sets of the form [a,b). Thus we can take the basis

$$\mathbb{S} = \{ [a, b) \mid a, b \in \mathbb{R} \}$$

 $\mathbb{Q}$  is still dense in  $\mathbb{R}$  under this topology since it intersects every set in the basis  $\mathbb{S}$ . We will show that  $\tau_{\mathbb{S}}$  has no countable basis.

Let B be a basis for  $\tau_{\mathbb{S}}$ , then notice that if  $x \in \mathbb{R}$  then since  $x \in [x, x+1)$  there exists an  $\mathcal{V} \in B$  such that  $x \in \mathcal{V} \subseteq [x, x+1)$ . So we define a mapping  $f : \mathbb{R} \longrightarrow B$  by  $f(x) = \mathcal{V}$ . We claim that this is injective since if  $f(x) = \mathcal{V}$  then since  $\mathcal{V} \subseteq [x, x+1)$  for every y < x,  $y \notin \mathcal{V}$  and so  $f(y) \neq \mathcal{V}$ . Since this is true for every x and y < x, this means that if  $x \neq y$ ,  $f(x) \neq f(y)$  so f is injective. Thus  $|\mathbb{R}| \leq |B|$  meaning B is uncountable for any basis B.

We just showed that every metric space has a countable basis if and only if it is separable, but  $\tau_{\mathbb{S}}$  is separable but does not have a countable basis. This means that  $\tau_{\mathbb{S}}$  is not metricizable.

## Proposition 8.0.10:

If X is a topological space with a countable basis then  $\tau$ 's cardinality is at most the cardinality of the continuum.

# **Proof:**

Let B be the countable basis. Then we define  $f \colon \mathcal{P}(B) \longrightarrow \tau$  by

$$f(L) = \bigcup_{\mathcal{U} \in L} \mathcal{U}$$

this is surjective since B is a basis, and so  $|\tau| \leq |\mathcal{P}(B)| = 2^{|B|} = 2^{\aleph_0}$ .

In general if  $\tau$  has a basis B then

$$|\tau| \le 2^{|B|}$$

Since  $\mathbb{R}^n$  is separable, we know then that it has a countable basis and so the cardinality of its topology is at most the cardinality of the continuum. Since we can map x to  $B_1(x)$ , we know that the topology is actually equal to the cardinality of the continuum.

# Proposition 8.0.11:

If X is a topological space and B a basis, then X is compact if and only if every open cover of X by open sets in B has an open subcover.

## **Proof:**

One direction is trivial. Suppose  $\{\mathcal{U}_{\lambda}\}_{{\lambda}\in\Lambda}$  is an open cover, then every  $\mathcal{U}_{\lambda}$  can be written as a union of sets in the basis, so

$$X = \bigcup_{\lambda \in \Lambda'} \mathcal{V}_{\lambda}$$

where  $\mathcal{V}_{\lambda} \in B$ , and so there exists a finite subcover

$$X = \bigcup_{n=1}^{N} \mathcal{V}_n$$

And since  $\mathcal{V}_n \subseteq \mathcal{U}_n$  for some  $\mathcal{U}_n$  in the open cover, we get

$$X = \bigcup_{n=1}^{N} \mathcal{U}_n$$

so X is compact.

#### Definition 8.0.12:

Let X be a set and B be a set of subsets of X. We define  $\tau_B$  as the set of all unions of sets from B:

$$\tau_B = \left\{ \bigcup_{A \in L} A \mid L \subseteq B \right\}$$

Note  $B \subseteq \tau_B$ .

## Theorem 8.0.13:

 $\tau_B$  is a topology on X if and only if:

- (1)  $X \in \tau_B$ .
- (2) For every  $\mathcal{U}, \mathcal{V} \in B$ ,  $\mathcal{U} \cap \mathcal{V} \in \tau_B$  (the intersection of sets in B is equal to some union of sets in B).

# **Proof:**

If  $\tau_B$  is a topology then these conditions hold trivially. In general  $\tau_B$  is closed under arbitrary unions and contains

the empty set. Furthermore given this condition, we know that  $X \in \tau_B$ . All that remains is to show that  $\tau_B$  is closed under finite intersections.

Let  $\mathcal{U}, \mathcal{V} \in \tau_B$  suppose  $\mathcal{U} = \bigcup_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$  and  $\mathcal{V} = \bigcup_{\gamma \in \Gamma} \mathcal{V}_{\gamma}$  and so

$$\mathcal{U} \cap \mathcal{V} = \bigcup_{\lambda \in \Lambda} \bigcup_{\gamma \in \Gamma} \mathcal{U}_{\lambda} \cap \mathcal{V}_{\gamma}$$

by the conditions given,  $\mathcal{U}_{\lambda} \cap \mathcal{V}_{\gamma} \in \tau_B$  and so the union,  $\mathcal{U} \cap \mathcal{V}$ , is in  $\tau_B$  as required.

Thus if B is closed under intersections, then by the above theorem  $\tau_B$  is necessarily a topology.

The conditions are also equivalent to that for every  $a \in X$  there is a  $\mathcal{U} \in B$  where  $x \in \mathcal{U}$ , and for every  $\mathcal{U}, \mathcal{V} \in B$  and every  $a \in \mathcal{U} \cap \mathcal{V}$  there is a  $\mathcal{W} \in B$  where  $a \in \mathcal{W} \subseteq \mathcal{U} \cap \mathcal{V}$ . This is trivial and left as an exercise.

## Definition 8.0.14:

If  $\{(X_{\lambda}, \tau_{\lambda})\}_{{\lambda} \in \Lambda}$  is a collection of topological spaces, we define  $X = \prod_{{\lambda} \in \Lambda} X_{\lambda}$  and

$$B = \left\{ \prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda} \mid \mathcal{U}_{\lambda} \in \tau_{\lambda} \text{ and all but a finite number of } \mathcal{U}_{\lambda} = X_{\lambda} \right\}$$

then we define a topology on X by  $\tau_B$ . This is called the product topology.

Since  $X_{\lambda} \in \tau_{\lambda}$ , we have  $X \in B$ . And if  $\mathcal{U} = \prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda}, \mathcal{V} = \prod_{\lambda \in \Lambda} \mathcal{V}_{\lambda} \in B$  then

$$\mathcal{U} \cap \mathcal{V} = \left(\prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda}\right) \cap \left(\prod_{\lambda \in \Lambda} \mathcal{V}_{\lambda}\right) = \prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda} \cap \mathcal{V}_{\lambda}$$

and since  $\mathcal{U}_{\lambda} \cap \mathcal{V}_{\lambda} \in \tau_{\lambda}$  and the only  $\mathcal{U}_{\lambda} \cap \mathcal{V}_{\lambda} \neq X_{\lambda}$  is when either is not  $X_{\lambda}$  which is finite, so we have that  $\mathcal{U} \cap \mathcal{V} \in B$  so B is indeed a basis and this definition is well-defined.

## Proposition 8.0.15:

Let  $\pi_{\gamma}$  denote the function  $\pi_{\gamma} : \prod_{\lambda \in \Lambda} X_{\lambda} \longrightarrow X_{\gamma}$  which maps  $(x_{\lambda})_{\lambda \in \Lambda} \mapsto x_{\gamma}$ . Then  $\pi_{\gamma}$  is open and continuous.

#### Proof

Let  $\mathcal{U}_{\gamma} \subseteq X_{\gamma}$  be open then  $\pi_{\gamma}^{-1}(\mathcal{U}_{\gamma}) = (\mathcal{V}_{\lambda})_{\lambda \in \Lambda}$  where  $\mathcal{V}_{\gamma} = \mathcal{U}_{\gamma}$  and  $\mathcal{V}_{\lambda} = X_{\lambda}$  for  $\lambda \neq \gamma$ . This is in B and so is open. Thus  $\pi_{\gamma}$  is continuous.

And if  $\prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$  is in B then its image in  $\pi_{\gamma}$  is by definition  $\mathcal{U}_{\gamma}$  which is open (by the definition of B). Thus  $\pi_{\gamma}$  is open as well.

## Proposition 8.0.16:

Let  $X = \prod_{\lambda \in \Lambda} X_{\lambda}$  be the product topology, and let Y be another topological space. Then a function  $f \colon Y \longrightarrow X$  is continuous if and only if  $\pi_{\gamma} \circ f$  is for every  $\gamma \in \Gamma$ .

## **Proof:**

If f is continuous then  $\pi_{\gamma} \circ f$  is as the composition of continuous functions. Notice that  $f(y) = (f_{\lambda}(y))_{\lambda \in \Lambda}$  where  $\pi_{\gamma} \circ f = f_{\gamma}$ . It is sufficient to show that the preimage of  $\prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$  where all but a finite number of  $\mathcal{U}_{\lambda} = X_{\lambda}$  under f is open. Notice that

$$f(y) \in \prod_{\lambda \in \Gamma} \mathcal{U}_{\lambda} \iff f_{\lambda}(y) \in \mathcal{U}_{\lambda} \iff y \in f_{\lambda}^{-1}(\mathcal{U}_{\lambda})$$

for every  $\lambda \in \Gamma$ . So

$$f^{-1}\left(\prod_{\lambda\in\Gamma}\mathcal{U}_{\lambda}\right)=\bigcap_{\lambda\in\Lambda}f_{\lambda}^{-1}(\mathcal{U}_{\lambda})$$

Since  $f_{\lambda}^{-1}(\mathcal{U}_{\lambda})$  is open as  $f_{\lambda}$  is continuous, and since only a finite number of  $\mathcal{U}_{\lambda} \neq X_{\lambda}$  and thus only a finite number of  $f_{\lambda}^{-1}(\mathcal{U}_{\lambda}) \neq Y$ , this intersection is finite, and so we get that the preimage of every open set is open. Therefore f is continuous.

This shows the significance of requiring that all but a finite number of  $\mathcal{U}_{\lambda} = X_{\lambda}$ .

If  $A \subseteq X$  and  $B \subseteq Y$  where X and Y are topological spaces, there are two ways of defining a topology on  $A \times B$ . We can view  $A \times B$  as a subspace of  $X \times Y$ , or we can view A and B as subspaces and take their product topology. But notice that if B defines  $X \times Y$  then

$$B' = \{ \mathcal{U} \times \mathcal{V} \cap A \times B \mid \mathcal{U} \times \mathcal{V} \in B \} = \{ \mathcal{U} \times \mathcal{V} \cap A \times B \mid \mathcal{U} \in \tau_X, \, \mathcal{V} \in \tau_Y \}$$

is a basis for  $A \times B$ . But since  $\mathcal{U} \times \mathcal{V} \cap A \times B = (\mathcal{U} \cap A) \times (\mathcal{V} \cap B)$ , and the basis which defines  $A \times B$  as the product of two subspace topologies is

$$B'' = \{ (\mathcal{U} \cap A) \times (\mathcal{V} \cap B) \mid \mathcal{U} \in \tau_x, \, \mathcal{V} \in \tau_Y \}$$

we have B'' = B' and so the topology defined for  $A \times B$  is the same with both methods.

## Proposition 8.0.17:

If  $X_1, \ldots, X_n$  are topological spaces with respective basis  $B_i$  then

$$C = \{ \mathcal{V}_1 \times \dots \times \mathcal{V}_n \mid \mathcal{V}_i \in B_i \}$$

is a basis for  $X = X_1 \times \cdots \times X_n$ .

## **Proof:**

By definition, C is a set of open sets in X. We must show that every set in B (the basis for X) can be written as a union of elements in C. Let  $\mathcal{U}_1 \times \cdots \times \mathcal{U}_n \in B$  then  $\mathcal{U}_i$  is open in  $X_i$  and thus a union of elements in  $B_i$ , so let

$$\mathcal{U}_i = \bigcup_{\lambda_i \in \Lambda_i} \mathcal{V}_{\lambda_i}$$

thus

$$\mathcal{U}_1 \times \cdots \times \mathcal{U}_n = \prod_{i=1}^n \left( \bigcup_{\lambda_i \in \Lambda_i} \mathcal{V}_{\lambda_i} \right) = \bigcup_{\lambda_1 \in \Lambda_1} \cdots \bigcup_{\lambda_n \in \Lambda_n} \prod_{i=1}^n \mathcal{V}_{\lambda_i}$$

which is a union of elements in C, as required.

## Proposition 8.0.18:

If  $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$  is a collection of topological spaces with respective bases  $B_{\lambda}$  then

$$C = \left\{ \prod_{\lambda \in \Lambda} \mathcal{V}_{\lambda} \mid \mathcal{V}_{\lambda} \in B_{\lambda} \text{ and all but a finite number of } \mathcal{V}_{\lambda} = X_{\lambda} \right\}$$

is a basis for  $X = \prod_{\lambda \in \Lambda} X_{\lambda}$ .

# **Proof:**

By definition all elements of C are open in X. Let  $\mathcal{U} \in B$  then  $\mathcal{U}$  is of the form  $\prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda}$  where all but a finite number of  $\mathcal{U}_{\lambda} = X_{\lambda}$ . Since every  $\mathcal{U}_{\lambda}$  can be written as a union of sets in  $B_{\lambda}$ , for instance

$$\mathcal{U}_{\lambda} = \bigcup_{\gamma_{\lambda} \in \Gamma_{\lambda}} \mathcal{V}_{\gamma_{\lambda}}$$

then we get that

$$\mathcal{U} = \prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda} = \prod_{\lambda \in \Lambda} \bigcup_{\gamma_{\lambda} \in \Gamma_{\lambda}} \mathcal{V}_{\gamma_{\lambda}} = \bigcup_{\substack{\lambda \in \Lambda \\ \gamma_{\lambda} \in \Gamma_{\lambda}}} \prod_{\gamma \in \Gamma} \mathcal{V}_{\gamma_{\lambda}}$$

Now, note that since all but a finite number of  $\mathcal{U}_{\lambda} = X_{\lambda}$ , we can assume that if  $\mathcal{U}_{\lambda} = X_{\lambda}$  then we just take  $\mathcal{U}_{\lambda} = X_{\lambda}$  as  $\mathcal{V}_{\gamma}$ , and so every product in the above union has all but a finite amount of  $\mathcal{V}_{\gamma_{\lambda}} = X_{\lambda}$ , and so the product is in C.