Field and Galois Theory

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Contents

1 Field Extensions and Minimal Polynomials

1.1 Dimensions of Field Extensions

> **Definition 1.1.1**
>
> Suppose F and K are fields such that $F \subseteq K$. Then the pair is called a **field extension** and is denoted K/F.

Notice that if K/F is a field extension, then K can be viewed as a F-linear space, and thus has a dimension. We denote this dimension $[K : F] := \dim_F K$, this is unsurprisingly called the *dimension* (or *degree* of the extension. An extension is called *finite* if its dimension is finite. Immediately we can prove a useful theorem about dimensions of extensions:

> **Theorem 1.1.2**
>
> Suppose K/F is a field extension and V a K-vector space. Then by viewing V as an F-linear space:
>
> $$\dim_F V = \dim_K V \cdot [K : F]$$

Proof: let B_1 be a basis for V relative to K and B_2 be a basis for K relative to F. Then define $B = \{\alpha v \mid \alpha \in B_2, v \in B_1\} \subseteq V$, which we claim is a basis for V relative to F. Firstly, it is linearly independent: suppose $\alpha_1 v_1, \ldots, \alpha_n v_n$ are in B and $\beta_1, \ldots, \beta_n$ are in F such that

$$\beta_1 \alpha_1 v_1 + \cdots + \beta_n \alpha_n v_n = 0$$

Since B_1 is a basis for V, then $\beta_i \alpha_i = 0$ for all i, and since B_2 is a basis it has no zeroes, so $\beta_i = 0$ for all i, meaning B is linearly independent.

B spans V since if $v \in B$ then $v = \sum_{i=1}^{n} \alpha_i v_i$ for $v_i \in B_1$ and $\alpha_i \in K$, and so each α_i can be written as the linear combination of elements in B_2. So all in all v can be written as the linear combination of elements in B. And so B is a basis of V, and $(\alpha, v) \mapsto \alpha v$ is a bijection from $B_1 \times B_2$ to B: it obviously is surjective and if $\alpha_1 v_1 = \alpha_2 v_2$ then $\alpha_1 = \alpha_2$ and $v_1 = v_2$ since B_1 is linearly independent. Thus V is a basis of cardinality $|B_1 \times B_2| = \dim_K V \cdot [K : F]$ as required. ■

In particular if $E/K/F$ are field extensions then

$$[E : F] = [E : K] \cdot [K : F]$$

this is called the *multiplicity of dimension*.

1.2 Constructing Fields

Recall the following methods of constructing fields:

(1) If R is a commutative ring and $M \triangleleft R$ is a maximal ideal that R/M is a field. In particular if F is a field, $R = F[x]$, and p is an irreducible polynomial then (p) is maximal and so $F[x]/(p)$ is a field.

(2) If F is a field, so is the field of rational functions:

$$F \subseteq F(x) := \left\{ \frac{f(x)}{g(x)} \,\middle|\, f, g \in F[x], g \neq 0 \right\}$$

(3) If C is a chain of fields (meaning that for every $F, F' \in C$ either $F \subseteq F'$ or $F' \subseteq F$), then $\bigcup_{F \in C} F$ is also a field (the theory of fields is *inductive*). So for example $F(\lambda_1, \lambda_2, \ldots)$ is a field, the union of the chain $F_n = F(\lambda_1, \ldots, \lambda_n)$, the field of rational functions over F_{n-1}.

(4) If C is a chain of fields, then $\bigcap_{F \in C} F$ is also a field.

> **Definition 1.2.1**
>
> Let K/F be a field extension and $a \in K$, then denote $F(a)$ the smallest subfield of K containing both F and a.

It is not hard to see that

$$F(a) = \left\{ \frac{f(a)}{g(a)} \;\middle|\; f, g \in F[x], g(a) \neq 0 \right\}$$

Though we can actually get a simpler structure for $F(a)$.

> **Definition 1.2.2**
>
> Let K/F be a field extension with $a \in K$, then define the **evaluation homomorphism** at a to be the homomorphism $\psi_a \colon F[x] \longrightarrow K$ defined by $\psi_a(s) = s$ for $s \in F$ and $\psi_a(x) = a$. This uniquely defines
>
> $$\psi_a\left(\sum \alpha_i x^i \right) = \sum \alpha_i a^i$$

> **Definition 1.2.3**
>
> Let K/F be a field extension, then $a \in K$ is **transcendental** if the kernel of the evaluation homomorphism is trivial: $\ker \psi_a = 1$. Otherwise a is **algebraic**.

If a is transcendental then $\ker \psi_a = 1$ and so by the isomorphism theorem

$$\mathrm{Im}\psi_a = \{f(a) \mid f \in F[x]\} = F[a] \cong {}^{F[x]}\!\big/\!{}_{\ker \psi_a} \cong F[x]$$

In fact we can extend ψ_a to a homomorphism $F(x) \longrightarrow F(a)$, and we similarly get an isomorphism $F(x) \cong F(a)$. Thus in the case that a is transcendental, we get

$$\begin{array}{ccccccc} F & \subseteq & F[a] & \subseteq & F(a) & \subseteq & K \\ & & \cong & & \cong & & \\ & & F[x] & & F(x) & & \end{array}$$

Otherwise, suppose a is algebraic. Since $F[x]$ is a Euclidean domain, it is a PID, and therefore every ideal is a prime ideal. In particular $\ker \psi_a$ must be generated by some polynomial h_a. This means that $\ker \psi_a = (h_a) = h \cdot F[x]$, and so $h_a(a) = 0$ and if $f(a) = 0$ as well then h_a divides f. h_a is therefore called the *minimal polynomial* of a.

Now if $n = \deg h$ then $F[a] = \mathrm{span}\{1, a, \ldots, a^{n-1}\}$ since if $f \in F[x]$ then $f = h_a q + r$ for $\deg r < n$ by Euclidean division, and so $f(a) = r(a)$. And $r(x)$ is in $\mathrm{span}\{1, \ldots, a^{n-1}\}$ due to its dimension being at most $n-1$. Thus $\{1, \ldots, a^{n-1}\}$ spans $F[a]$, and it is a basis since any linear combination cannot be zero as $h_a(x)$ is minimal and has degree n. Therefore $F[a]$ is a F-linear space of dimension n.

Notice that

$${}^{F[x]}\!\big/\!{}_{(h_a)} = {}^{F[x]}\!\big/\!{}_{\ker \psi_a} \cong \mathrm{Im}\psi_a = \{f(a) \mid f \in F[x]\} = F[a] = \mathrm{span}\{1, \ldots, a^{n-1}\} \subseteq K$$

Since K is an integral domain, so is $F[a]$. Therefore (h_a) is a prime ideal, since a quotient ring is an integral domain iff the ideal is prime. Since $F[x]$ is a PID, prime and maximal ideals are the same, so (h_a) is maximal and therefore $F[a]$ is a field.

So we have proven

> **Proposition 1.2.4**

Let K/F be a field extension and $a \in K$ algebraic in F. Let h_a be a's minimal polynomial over F, then

   (1) h_a is irreducible,

   (2) $F[a]$ is a field,

   (3) $[F[a] : F] = n = \deg h_a$ and has a basis $\{1, a, \ldots, a^{n-1}\}$.

In particular we have shown that when a is algebraic, $F(a) = F[a]$.

Proposition 1.2.5

Suppose $F \subseteq K$ where F is a field and K is an integral domain. Further suppose $[K : F]$ is finite. Then every element of K is algebraic and K is a field.

Proof: let $a \in K$, then
$$[K : F] = [K : F[a]] \cdot [F[a] : F]$$
meaning $[F[a] : F]$ must be finite and so a must be algebraic (as otherwise $F[a] \cong F[x]$ which has infinite degree). Since $F[a]$ is a field, it must have a multiplicative inverse for a, meaning K is a field. ∎

Notice that $[F[a, b] : F[a]] \leq [F[b] : F]$, since the minimal polynomial of b relative to F, h_b, is also a zeroing a polynomial of b over $F[a]$. And so $[F[a, b] : F[a]] \leq \deg h_b = [F[b] : F]$. Thus we have that by multiplicity

$$[F[a, b] : F] = [F[a, b] : F[a]] \cdot [F[a] : F] \leq [F[b] : F] \cdot [F[a] : F]$$

And inductively we can show

Proposition 1.2.6

Suppose K/F is a field extension and $a_1, \ldots, a_n$ then

$$\left[F[a_1, \ldots, a_n] : F\right] \leq \prod_{i=1}^{n} \left[F[a_i] : F\right]$$

Definition 1.2.7

Call a field extension K/F **algebraic** if every $a \in K$ is algebraic over F.

Lemma 1.2.8

Suppose $F_3/F_2/F_1$ are field extensions such that F_2/F_1 is algebraic and $a \in F_3$ is algebraic over F_2. Then it is also algebraic over F_1.

Proof: there exists an $f \in F_2[x]$ such that $f(a) = 0$. Suppose $f = \sum b_i x^i$, then a is algebraic over $F_1[b_0, \ldots, b_n]$. Then
$$[F_1[b_0, \ldots, b_n, a] : F_1] = [F_1[b_0, \ldots, b_n, a] : F_1[b_0, \ldots, b_n]] \cdot [F_1[b_0, \ldots, b_n] : F_1]$$

and since a is algebraic over $F_1[b_0, \ldots, b_n]$ and $b_i \in F_2$ are algebraic over F_1, the right-hand side is finite. Thus a is algebraic over F_1 by the left-hand side, as required. ∎

> **Theorem 1.2.9**
>
> Let K/F be a field extension, then
>
> $$\mathrm{Alg}_F(K) := \{a \in K \mid a \text{ is algebraic over } F\}$$
>
> is a field. Furthermore, every element in $K \setminus \mathrm{Alg}_F(K)$ is transcendental over $\mathrm{Alg}_F(K)$.

Proof: notice that $F[a \cdot b], F[a + b] \subseteq F[a, b]$ and $[F[a, b] : F] \leq [F[a] : F] \cdot [F[b] : F] < \infty$ for $a, b \in \mathrm{Alg}_F(K)$. So $\mathrm{Alg}_F(K)$ is closed under addition and multiplication. It is also obviously closed under additive inverses since $F[-a] = F[a]$. And since $F[a]$ is a field, $a^{-1} \in F[a]$ so $F[a^{-1}] \subseteq F[a]$ and thus $[F[a^{-1}] : F] \leq [F[a] : F] < \infty$, so a^{-1} is algebraic over F. So $\mathrm{Alg}_F(K)$ is indeed a field.

Now suppose $a \in K \setminus \mathrm{Alg}_F(K)$ is algebraic over $\mathrm{Alg}_F(K)$. Then by the above lemma, it is algebraic over F since $\mathrm{Alg}_F(K)/F$ is trivially algebraic. But then $a \in \mathrm{Alg}_F(K)$ by definition, in contradiction. ∎

1.3 Splitting Fields

> **Proposition 1.3.1**
>
> Let F be a field and $f \in F[x]$ be an irreducible polynomial. Then there exists a field extension K/F such that f has a root in K and $[K : F] = \deg f$.

Proof: since f is irreducible, (f) is maximal (since $F[x]$ is a PID so prime ideals are maximal). Thus $K = F[x]/(f)$ is a field. The dimension of K is $\deg f$ since it has a basis $\{1, x, \ldots, x^{\deg f - 1}\}$.

By the second isomorphism theorem,

$$F/_{F \cap (f)} \cong F + (f)/_{(f)} \subseteq F[x]/_{(f)} = K$$

But $F \cap (f) = 0$, and so $F/_{F \cap (f)} = F/_0 \cong F$. Thus we can embed F into K, so we can view K/F as a field extension.

Now, define $\alpha = x + (f)$, and suppose $f(x) = \sum_{i=0}^{n} a_i x^i$ for $a_i \in F$. Then

$$f(\alpha) = \sum_{i=0}^{n} a_i \big(x + (f)\big)^i = \sum_{i=0}^{n} a_i(x^i + (f)) = \sum_{i=0}^{n} a_i x^i + \sum_{i=0}^{n} a_i(f) = f + (f) = 0$$

Thus α is a root of f in K. ∎

> **Corollary 1.3.2**
>
> Let F be a field and $f \in F[x]$ a polynomial. Then there exists a field extension K/F such that f has a root in K and $[K : F] \leq \deg f$.

Proof: take an irreducible factorization of f and apply the above result to one of its factors. ∎

> **Definition 1.3.3**
>
> Suppose F is a field and $f \in F[x]$. Then f **splits** in F if there exist $\alpha_1, \ldots, \alpha_n \in F$ such that $f(x) = (x - \alpha_1) \cdots (x - \alpha_n)$.

> **Proposition 1.3.4**
>
> Let $f \in F[x]$ then there exists a field extension K/F such that f splits in K and $[K : F] \leq (\deg f)!$.

Proof: by induction on $n = \deg f$. For $n = 1$, f is linear and thus has a root so we can take $K = F$. Now suppose $\deg f = n + 1$, then by corollary 1.3.2 there exists a field extension K_0/F such that f has a root in K_0 and $[K_0 : F] \leq n + 1$. Now suppose $\alpha \in K_0$ is a root of f, then there exists a $g(x) \in K_0[x]$ such that $(x - \alpha)g(x) = f(x)$ and so $\deg g \leq n$. Therefore inductively there is a field extension K/K_0 which splits $g(x)$ and thus $f(x)$ and
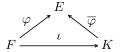
$$[K : F] = [K : K_0] \cdot [K_0 : F] \leq n! \cdot (n + 1) = (n + 1)!$$

as required. ∎

> **Definition 1.3.5**
>
> Suppose K/F is a field extension and $\varphi \colon F \hookrightarrow E$ is an embedding into some other field E. Then an **extension** of φ to K is an embedding $\overline{\varphi} \colon K \hookrightarrow E$ such that $\overline{\varphi}\big|_F = \varphi$ ($\overline{\varphi}$ is equal to φ on F). Let us then define
>
> $$\eta^{\varphi}_{K/F} := \#\{\overline{\varphi} \mid \overline{\varphi} \text{ is an extension of } \varphi\}$$

In other words, an extension is an embedding $\overline{\varphi}$ such that the following diagram commutes:

$$\begin{array}{ccc} & E & \\ {\scriptstyle\varphi}\nearrow & & \nwarrow{\scriptstyle\overline{\varphi}} \\ F & \xrightarrow[\iota]{} & K \end{array}$$

Where $\iota \colon F \longrightarrow K$ is the inclusion embedding.

Suppose f, g are two field homomorphisms $F(a_1, \ldots, a_n) \longrightarrow K$ such that $f(x) = g(x)$ for all $x \in F$ and $f(a_i) = g(a_i)$ for $1 \leq i \leq n$. Then $f(x) = g(x)$ on all of $F(a_1, \ldots, a_n)$. This is since $\{r \in F(a_1, \ldots, a_n) \mid f(r) = g(r)\}$ is a field containing F and $a_1, \ldots, a_n$ and thus $F(a_1, \ldots, a_n)$.

In particular if $\varphi \colon F \hookrightarrow E$ is an embedding, then an extension $\overline{\varphi} \colon F(a_1, \ldots, a_n) \longrightarrow E$ is defined entirely by its image on $a_1, \ldots, a_n$.

> **Proposition 1.3.6**
>
> Suppose $K = F[\alpha]$, then $\eta^{\varphi}_{K/F}$ is equal to the number of distinct roots the minimal polynomial of α has in E. Formally, if $h(x) = \sum_{i=0}^{n} a_i x^i$ then define $\hat{h}(x) = \sum_{i=0}^{n} \varphi(a_i) x^i$, and $\eta^{\varphi}_{K/F}$ is equal to the number of roots $\hat{h}(x)$ has in E.
>
> In particular $\eta^{\varphi}_{K/F}$ is independent of the choice of φ.

Proof: let $h(x) \in F[x]$ be the minimal polynomial of α, and $\overline{\varphi}$ be an extension of φ to K, then

$$\hat{h}(\overline{\varphi}(\alpha)) = \sum_{i=0}^{n} \varphi(a_i)\overline{\varphi}(\alpha)^i = \sum_{i=0}^{n} \overline{\varphi}(a_i)\overline{\varphi}(\alpha^i) = \overline{\varphi}\left(\sum_{i=0}^{n} a_i \alpha^i\right) = \overline{\varphi}(h(\alpha)) = \overline{\varphi}(0) = 0$$

Thus $\overline{\varphi}(\alpha)$ must be a root of $\hat{h}(x)$, and as explained above extensions of embeddings to $K = F[\alpha]$ are dependent only on their image of α. So there are at most as many extensions as there are distinct roots of $\hat{h}$.

Now suppose $\beta \in E$ is a root of $\hat{h}$, then we claim that there exists an extension with $\overline{\varphi}(\alpha) = \beta$. Indeed, $\alpha \notin F$ and β is not in the image of φ (as then $0 = \hat{h}(\varphi(a)) = \varphi(\hat{h}(a))$ so a is a root of $\hat{h}(x)$ but $\hat{h}$ is irreducible), so this is well-defined. ∎

> **Definition 1.3.7**
>
> A polynomial f which splits over E is called **separable** over E if its linear factors are all distinct (i.e. it has $n = \deg f$ distinct roots in E).

When we have an embedding $\varphi \colon F \hookrightarrow E$ and a polynomial $f \in F[x]$ and we say that f has some property in E (e.g. splits over E, separable over E), then we mean that its image under φ has that property. Meaning if $f(x) = \sum_{i=0}^{n} a_i x^i$ then $\sum_{i=0}^{n} \varphi(a_i) x^i$ has said property.

> **Theorem 1.3.8**
>
> Let K/F be a finite extension, and let $\varphi \colon F \hookrightarrow E$ be an embedding. Then
>
> **(1)** $\eta_{K/F}^{E} \leq [K : F]$;
>
> **(2)** if $K = F[\alpha_1, \ldots, \alpha_n]$ where α_i are roots of some $f \in F[x]$ which splits over E, then $1 \leq \eta_{K/F}^{\varphi}$. Meaning there exists at least one extension of φ to K;
>
> **(3)** if f is also separable over E, then $\eta_{K/F}^{\varphi} = [K : F]$.

Proof: since K/F is finite, we have that $K = F[\alpha_1, \ldots, \alpha_n]$ (we can take $\{\alpha_1, \ldots, \alpha_n\}$ to be a basis for K as a F-linear space).

(1) We proceed inductively on n. For $n = 1$, by the previous proposition $\eta_{K/F}^{\varphi}$ is equal to the number of roots h_{α_1} (the minimal polynomial of α_1) has in E.

For the inductive step, define $F_1 = F[\alpha_1]$, and so

$$
\begin{aligned}
\eta_{K/F}^{\varphi} &= \#\{\varphi'' \colon K \longrightarrow E \text{ is an extension of } \varphi\} \\
&= \#\bigcup\{\varphi'' \colon K \longrightarrow E \text{ is an extension of } \varphi' \mid \varphi' \colon F_1 \longrightarrow E \text{ is an extension of } \varphi\} \\
&= \sum_{\varphi'} \eta_{K/F_1}^{\varphi'}
\end{aligned}
$$

By our inductive hypothesis, $\eta_{K/F_1}^{\varphi'} \leq [K : F_1]$ and $\eta_{F_1/F}^{\varphi} \leq [F_1 : F]$ so

$$
\leq \sum_{\varphi'} [K : F_1] = [F_1 : F] \cdot [K : F] = [K : F]
$$

as required.

(2) Again, we proceed inductively on n. For $n = 1$, $K = F[\alpha]$ and $\eta_{K/F}^{\varphi}$ is equal to the number of roots h_{α} has in E. But since $f(\alpha) = 0$ and h_{α} is minimal, h_{α} must divide f and therefore split in E, meaning it has at least one root in E. So $1 \leq \eta_{K/F}^{\varphi}$ as required.

Inductively, set $F_1 = F[\alpha_1]$ and so there exists an extension of φ to $\varphi' \colon F_1 \hookrightarrow E$ by our base case. And there then exists an extension of φ' to $\varphi'' \colon K \hookrightarrow E$, so there exists at least one extension as required.

(3) If we review the proof of (2), for the base case we must have that f is separable and splits in E, which means that h_{α} does as well. Then h_{α} has precisely $\deg h_{\alpha}$ distinct roots in E, so $\eta_{K/F}^{\varphi} = \deg h_{\alpha} = [K : F]$ as required. The rest of the proof proceeds similarly. ∎

> **Definition 1.3.9**
>
> Let $f \in F[x]$ be any polynomial over F. Then a field $F \subseteq K$ is called a **splitting field** if f splits over K

and it contains no other field over which f splits (meaning it is the smallest field which splits f).

Notice that if K is a splitting field of $f \in F[x]$, then K is of the form $K = F[\alpha_1, \ldots, \alpha_n]$ where α_i are roots of f in K. Then

$$[K : F] \leq \prod_{i=1}^{n} [F[\alpha_i] : F] < \infty$$

so K/F is a finite extension. And such a finite field exists: we know there exists a field extension F_1 such that f has a root α_1 in F_1, so there must be an extension F_2/F_1 such that $f/(x - \alpha)$ has a root α_2 in F_2, and we continue inductively. This gives us a field F_n with roots $\alpha_1, \ldots, \alpha_n$ and so defining $K = F[\alpha_1, \ldots, \alpha_n]$ gives us a splitting field.

> **Theorem 1.3.10**
>
> Any two splitting fields of a polynomial $f \in F[x]$ are isomorphic.

Proof: let K be a splitting field of f, and suppose f splits in E, where $F \subseteq E$. By the above theorem, there must exist an extension of the inclusion embedding $F \hookrightarrow E$ to an embedding $K \hookrightarrow E$. This embedding gives rise to an embedding of F-linear spaces, meaning $[K : F] \leq [E : F]$. In particular, if E is another splitting field of f then $[E : F] \leq [K : F]$ as well, so that K and E are isomorphic F-linear spaces, and thus are isomorphic as fields. $\blacksquare$

> **Lemma 1.3.11**
>
> Let K/F be a field extension and $f \in F[x]$ irreducible where $a, b \in K$ are two roots of f. Then there exists an isomorphism
>
> $$\varphi \colon F[a] \longrightarrow F[b]$$
>
> such that $\varphi(a) = b$ and $\varphi(\alpha) = \alpha$ for all $\alpha \in F$.

Proof: let us look at the inclusion map $\iota \colon F \hookrightarrow F[b]$ which can then be extended to $\iota \colon F[x] \hookrightarrow F[b]$ by $\iota(x) = b$. This is a surjective map whose kernel is (f) since it is the minimal polynomial of f, and so there exists an isomorphism $\psi \colon F[x]/(f) \longrightarrow F[b]$. Similarly there exists an isomorphism $\sigma \colon F[x]/(f) \longrightarrow F[a]$ and so $\psi\sigma^{-1}$ is an isomorphism which keeps F constant and maps a to b. $\blacksquare$

> **Proposition 1.3.12**
>
> Let $f \in F[x]$ be an irreducible polynomial with two roots a, b and its splitting field E. Then there exists an automorphism $\varphi \colon E \longrightarrow E$ such that $\varphi(a) = \varphi(b)$.

Proof: the isomorphism $\varphi \colon F[a] \longrightarrow F[b]$ from the above lemma can be extended to an automorphism of E. This is because $\eta_{E/F[a]}^{E} \geq 1$ by theorem 1.3.8. $\blacksquare$

> **Definition 1.3.13**
>
> Let $f(x) = \sum_{k=0}^{n} a_k x^k \in F[x]$ be a polynomial. We define its **formal derivative** to be the polynomial
>
> $$f'(x) = \sum_{k=1}^{n} k a_k x^{k-1}$$

It is not hard to prove that $(f + g)' = f' + g'$ and $(f \cdot g)' = f'g + fg'$.

> **Lemma 1.3.14**
>
> Let $f, g \in F[x]$ and define $r(x) = \gcd(f, g)$. Then $r(x)$ is the gcd of f and g over *every* field extension K/F.

Proof: let $r_K(x)$ be the gcd of f, g over K. Since $r(x)$ still divides f, g we have that $r(x)|r_K(x)$. And by Euclid's algorithm there exist $a(x), b(x) \in F[x]$ such that

$$r(x) = a(x)f(x) + b(x)g(x)$$

But $r_K(x)$ divides f, g so it divides $r(x)$. Thus $r_K(x) = r(x)$ as required. ∎

> **Theorem 1.3.15**
>
> Let $f \in F[x]$ be a polynomial, then f is separable if and only if $\gcd(f, f') = 1$.

Proof: let K be a splitting field of f. Suppose f is not separable, then it has the form $f(x) = (x - \alpha)^m g(x)$ for $g(x) \in K[x]$ and $m > 1$. But then $f'(x) = m(x - \alpha)^{m-1} g(x) + (x - \alpha)^m g'(x)$ and so $x - \alpha$ is a common factor of both f and f' so $\gcd(f, f') \neq 1$ in $K[x]$, but the gcd of f, f' in F is equal to its gcd in K by the above lemma.

Alternatively if f is separable, then $f(x) = \prod_{i=1}^{n}(x - \alpha_i)$ and so

$$f'(x) = \sum_{j=1}^{n} \prod_{\substack{1 \leq i \leq n \\ i \neq j}} (x - \alpha_i)$$

But the irreducible factors of f, which are $x - \alpha_i$, do not divide $f'(x)$ since no two roots are equal. Thus $\gcd(f, f') = 1$. ∎

Recall that for any ring R, there is a unique homomorphism $\varphi \colon \mathbb{Z} \longrightarrow R$. In particular if F is a field then $\mathbb{Z}/_{\ker \varphi} \cong \operatorname{Im}\varphi \subseteq F$. Since F is a field, $\operatorname{Im}\varphi$ is an integral domain and so $\ker \varphi$ is a prime ideal of $\mathbb{Z}$, meaning $\ker \varphi = (p)$ for some prime p or 0. This is called the *characteristic* of F.

Since $\varphi(n) = 1 + \cdots + 1$, the characteristic of F is simply the prime p such that $\varphi(p) = 0$, i.e. $1 + \cdots + 1 = 0$ (p times), or 0 if no such primes exist.

> **Definition 1.3.16**
>
> The **characteristic** of a field F is the unique positive generator of the kernel of $\varphi \colon \mathbb{Z} \longrightarrow F$. Equivalently it is the minimum number p such that $1 + \cdots + 1 = 0$ (p times), or 0 if no such p exists.

If F has characteristic 0, then φ is an embedding so we can view $\mathbb{Z}$ as a subfield of F. But then the field generated by $\mathbb{Z}$ must also be a subfield of (embeddable into) F, meaning $\mathbb{Q} \subseteq F$. Similarly for fields of characteristic $p > 0$, $\mathbb{Z}/_{p\mathbb{Z}} = \mathbb{F}_p \subseteq F$.

Notice that for fields of characteristic p, $\binom{p}{k} = \frac{p!}{k!(p-k)!}$ is zero for $k \neq 0, p$. Thus:

$$(a + b)^p = \sum_{k=0}^{p} \binom{p}{k} a^k b^{n-k} = a^p + b^p$$

So $x \mapsto x^p$ is a homomorphism, called the *Frobenius homomorphism*. It can be viewed as a homomorphism to $F^p = \{x^p \mid x \in F\}$ (which is a field precisely because the Frobenius homomorphism is a homomorphism). The homomorphism has a trivial kernel, so $F \cong F^p$. In particular every element of F is of the form x^p.

> **Theorem 1.3.17**

Let $f \in F[x]$ be an irreducible polynomial, then the following are equivalent:

(1) f is not separable (has a multiple root),

(2) F has a characteristic $p > 0$, and $f(x) = g(x^p)$ for some $g \in F[x]$,

(3)   every root of f is a multiple root.

Proof: (1) $\implies$ (2): by theorem 1.3.15 we have that $\gcd(f, f') \neq 1$. But f is irreducible and thus has no nontrivial divisors, so $f' = 0$. But since f is nonconstant, we must have that F is of characteristic p (since in characteristic 0 a nonconstant polynomial cannot have a zero derivative).

Now, if $f(x) = \sum_{k=0}^{n} a_k x^k$ then $k a_k = 0$ for all k since $f'(x) = 0$. So for k not divisible by p, this means that $k \neq 0$ and so $a_k = 0$. Thus

$$f(x) = \sum_{p|k} a_k x^k = \sum_{j} a_{pj} x^{pj}$$

so define $g(x) = \sum_{j} a_{pj} x^j$ and we have the desired result.

(2) $\implies$ (3): take a splitting field of $g(x)$, then write $g(x) = a \prod_{i}(x - a_i)^{m_i}$. Then we have that $f(x) = a \prod_{i}(x^p - a_i)^{m_i}$. We can extend this to a field with p-roots of a_i (which are roots of $x^p - a_i$), α_i, and so over this field $f(x) = a \prod_{i}(x - \alpha_i)^{p m_i}$. So all the roots of f have a multiplicity greater than 1.

(3) $\implies$ (1) is trivial.   ∎

2 Galois Groups

2.1 Galois Groups

Definition 2.1.1

Let K/F, K'/F be field extensions, then a homomorphism $\varphi\colon K \longrightarrow K'$ is called a F-**homomorphism** if $\varphi(a) = a$ for all $a \in F$. φ is an F-**automorphism** if $K = K'$ and φ is an automorphism.

Notice that if φ is a field homomorphism, then it is injective since its kernel is an ideal, and the only ideals of a field are F and 0. Since a homomorphism must map 1 to 1, its kernel cannot be F, meaning it must be injective. Thus to validate that $\varphi\colon K \longrightarrow K$ is an automorphism, we need to check only that it is surjective.

Furthermore, if $\varphi\colon K \longrightarrow K$ is an F-homomorphism, then it is an injective linear operator on K. If $[K : F]$ is finite, we know from linear algebra that φ is then surjective. So over finite field extensions, all F-endomorphisms (homomorphisms over a field) are automorphisms.

Definition 2.1.2

Let K/F be a field extension, then we define its **Galois group** to be

$$\mathrm{Gal}(K/F) := \{\sigma\colon K \longrightarrow K \mid \sigma \text{ is an } F\text{-automorphism}\}$$

Let $f \in F[x]$ with a root $\alpha \in K$ and $\sigma \in \mathrm{Gal}(K/F)$. Then we know that

$$f(\sigma(a)) = \sigma(f(a)) = \sigma(0) = 0$$

thus F-automorphisms must permute the roots of polynomials.

Proposition 2.1.3

Let K/F be a field extension and $f \in F[x]$ be irreducible with roots $a, b \in K$. Then there exists an F-isomorphism $\varphi\colon F[a] \longrightarrow F[b]$.

Proof: the inclusion map $\iota\colon F \longrightarrow F[b]$ can be extended to $\iota\colon F[x] \longrightarrow F[b]$ by $\iota(x) = b$. This is obviously surjective, and its kernel is all polynomials g such that $g(b) = 0$. Since f is the minimal polynomial of b, we have that $\ker \iota = (f)$, and so by the first isomorphism theorem there is an isomorphism

$$\varphi\colon {F[x]}\big/{(f)} \longrightarrow F[b]$$

similarly we can construct an isomorphism

$$\psi\colon {F[x]}\big/{(f)} \longrightarrow F[a]$$

then our desired isomorphism is $\varphi\psi^{-1}$. ∎

Recall from theorem 1.3.8 that if K/F is a field extension and $\iota\colon F \longrightarrow K$ the inclusion map, then

$$\eta_{K/F}^{\iota} \leq [K : F]$$

but extensions of ι to embeddings $K \longrightarrow K$ are precisely the F-homomorphisms. Meaning $|\mathrm{Gal}(K/F)| \leq \eta_{K/F}^{\iota}$, and this is an equality when $[K : F]$ is finite since F-homomorphisms are automorphisms over finite dimensional vector spaces. So $|\mathrm{Gal}(K/F)| \leq [K : F]$.

Furthermore, if K is the splitting field of some $f \in F[x]$ which is also separable in K then by the same theorem, $|\mathrm{Gal}(K/F)| = [K : F]$. Let us summarize this:

> **Proposition 2.1.4**
>
> If K/F is a finite extension, then $|\mathrm{Gal}(K/F)| \leq [K:F]$. And if furthermore K is the splitting field of some separable polynomial $f \in F[x]$, then this becomes an equality.

In the future we will generalize this result: in fact $|\mathrm{Gal}(K/F)| = [K:F]$ if and only if K is the splitting field of some separable polynomial.

> **Example 2.1.5**
>
> Compute $\mathrm{Gal}\big(\mathbb{Q}[\sqrt{2},\sqrt{3}]/\mathbb{Q}\big)$.

Notice that $E = \mathbb{Q}[\sqrt{2},\sqrt{3}]$ is the splitting field of $(x^2-2)(x^2-3)$, which is also separable. So by the above proposition

$$|\mathrm{Gal}(E/\mathbb{Q})| = [E:\mathbb{Q}] = [E:\mathbb{Q}[\sqrt{2}]] \cdot [\mathbb{Q}[\sqrt{2}]:\mathbb{Q}]$$

We know that x^2-2 is the minimal polynomial of $\sqrt{2}$ over $\mathbb{Q}$ and so $[\mathbb{Q}[\sqrt{2}]:\mathbb{Q}] = 2$. And x^2-3 is a zeroing polynomial of $\sqrt{3}$ in E, and since $\sqrt{3} \notin \mathbb{Q}[\sqrt{2}]$, we have that $[E:\mathbb{Q}[\sqrt{2}]] = 2$. Thys $|\mathrm{Gal}(E/\mathbb{Q})| = 4$.

And as we know, every F-automorphism is defined entirely by where it maps $\sqrt{2}$ and $\sqrt{3}$. We know that $\sqrt{2}$ must map to $\pm\sqrt{2}$ because these are the roots of x^2-2. And $\sqrt{3}$ must map to $\pm\sqrt{3}$. This gives us exactly 4 automorphisms, and so we have found all the elements of $\mathrm{Gal}(E/\mathbb{Q})$.

If we denote $\sqrt{2}$ by 1, $-\sqrt{2}$ by 2, $\sqrt{3}$ by 3, and $-\sqrt{3}$ by 4 we can embed $\mathrm{Gal}(E/\mathbb{Q})$ in S_4 as follows:

(1) the automorphism $\sqrt{2} \mapsto -\sqrt{2}$ and $\sqrt{3} \mapsto \sqrt{3}$ corresponds to the transposition $(1,2)$;

(2) the automorphism $\sqrt{2} \mapsto \sqrt{2}$ and $\sqrt{3} \mapsto \sqrt{3}$ corresponds to the identity.

(3) the automorphism $\sqrt{2} \mapsto -\sqrt{2}$ and $\sqrt{3} \mapsto -\sqrt{3}$ corresponds to the permutation $(1,2)(3,4)$;

(4) the automorphism $\sqrt{2} \mapsto \sqrt{2}$ and $\sqrt{3} \mapsto -\sqrt{3}$ corresponds to the transposition $(3,4)$;

This is the Klein four-group V, and so

$$\mathrm{Gal}\Big(\mathbb{Q}[\sqrt{2},\sqrt{3}]/\mathbb{Q}\Big) \cong V \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \qquad \blacksquare$$

Notice that if F is the *prime field* of K (meaning $F = \mathbb{F}_p$ if K is of characteristic $p > 0$, and $F = \mathbb{Q}$ if $p = 0$), then every automorphism of K must keep F constant, since $\sigma(n) = \sigma(1) + \cdots + \sigma(1) = n$ and $\sigma(a/b) = \sigma(a)/\sigma(b) = a/b$. Thus every automorphism of K is an F-automorphism automatically, meaning in the case that F is K's prime field:

$$\mathrm{Aut}(K) = \mathrm{Gal}(K/F)$$

> **Definition 2.1.6**
>
> Let K be a field and $G \leq \mathrm{Aut}(K)$ a subgroup of K's automorphisms, then define the **fixed-point field**
>
> $$K^G := \{a \in K \mid \forall \sigma \in G \colon \sigma(a) = a\}$$

The fixed point field is indeed a field, as is easily verified.

Notice the following properties:

(1) If $F_2 \subseteq F_1$ then $\mathrm{Gal}(K/L_2) \supseteq \mathrm{Gal}(K/L_1)$ since any L_1-automorphism must necessarily also keep L_2 constant.

(2) If $H_2 \subseteq H_1$ then $K^{H_2} \supseteq K^{H_1}$ since if a is held constant by every $\sigma \in H_1$, then it must also be held constant by every $\sigma \in H_2$.

(3) For every F, $F \subseteq K^{\mathrm{Gal}(K/F)}$ since by definition, every element of F must be held constant by an F-automorphism.

(4) For every H, $H \subseteq \mathrm{Gal}\big(K/K^H\big)$ since every automorphism in H must be a K^H-automorphism, since it by definition holds elements of K^H constant.

Notice then that if L is an intermediate field of K/F (meaning $K/L/F$), $\mathrm{Gal}(K/L)$ is a subgroup of $\mathrm{Gal}(K/F)$, since $F \subseteq L$. And conversely, if H is a subgroup of $\mathrm{Gal}(K/F)$ then H is an intermediate field of K/F, since F is necessarily contained in K^H.

So we have the following correspondence between objects:

$$\{\text{Subgroups of } \mathrm{Gal}(K/F)\} \underset{K^\bullet}{\overset{\mathrm{Gal}(K/\bullet)}{\rightleftarrows}} \{\text{Intermediate fields of } K/F\}$$

Definition 2.1.7

Let X and Y be two posets (partially ordered sets), then a pair of functions $\alpha\colon X \longrightarrow Y$ and $\beta\colon Y \longrightarrow X$ is a **Galois correspondence** if

(1) α and β reverse order, meaning if $x_1 \le x_2$ then $\alpha(x_1) \le \alpha(x_2)$ and similar for β;

(2) for every $x \in X$ and $y \in Y$, $x \le \beta(\alpha(x))$ and $y \le \alpha(\beta(y))$.

For example (in fact, this is *the* example), $\alpha\colon F \mapsto \mathrm{Gal}(K/F)$ and $\beta\colon H \mapsto K^H$ is a Galois correspondence by the properties above.

Proposition 2.1.8

α, β form a Galois correspondence if and only if for all $x \in X$ and $y \in Y$, $y \le \alpha(x) \iff x \le \beta(y)$.

Proof: suppose α, β form a Galois correspondence. Then if $x \le \beta(y)$ then $y \le \alpha(\beta(y)) \le \alpha(x)$ (both inequalities are due to the correspondence being Galois: the first is by (2) and the second is by (1)). The proof for α is similar.

Conversely, since $\beta(y) \le \beta(y)$ we get that $y \le \alpha(\beta(y))$ (setting $x = \beta(y)$). And similar for α. Now if $x \le x'$ then $x \le x' \le \beta(\alpha(x'))$, so setting $y = \alpha(x')$ we have $x \le \beta(y)$ and so $y \le \alpha(x)$, meaning $\alpha(x') \le \alpha(x)$ as required. ∎

Proposition 2.1.9

Let α, β be a Galois correspondence, then

(1) $\alpha \circ \beta \circ \alpha = \alpha$ and $\beta \circ \alpha \circ \beta = \beta$,

(2) $\beta(\alpha(x)) = x$ if and only if $x \in \mathrm{Im}(\beta)$ and $\alpha(\beta(y)) = y$ if and only if $y \in \mathrm{Im}\alpha$,

(3) α and β are inverse functions between $\mathrm{Im}\beta$ and $\mathrm{Im}\alpha$.

Proof:

(1) Since $x \le \beta\alpha x$, we have $\alpha x \ge \alpha\beta\alpha x$ Conversely, let $y = \alpha x$ then this means $y \le \alpha\beta y$, and so $\alpha x \le \alpha\beta\alpha x$ as required. Similar for $\beta\alpha\beta$.

(2) If $\alpha\beta(y) = y$ then trivially $y \in \mathrm{Im}\alpha$, and if $y \in \mathrm{Im}\alpha$ then $y = \alpha x$ and so $\alpha\beta(y) = \alpha\beta\alpha(x) = \alpha(x) = y$ by (1).

(3) This is direct from (2). ∎

Definition 2.1.10

An extension K/F is

(1) **Separable** if it is algebraic and the minimal polynomial of every $a \in K$ is separable.

(2) **Normal** if it is algebraic and the minimal polynomial of every $a \in K$ splits over K.

(3) **Galois** if it is both separable and normal. Meaning every minimal polynomial splits into distinct linear factors over K.

Lemma 2.1.11

Let K/F be an extension, $a, b \in K$ with minimal polynomials f_a and f_b respectively. Then $f_a = f_b$ or f_a, f_b are coprime (which is independent on what field we look at, since the gcd is the same).

Proof: suppose $f_a \neq f_b$. Then they can't share a root since because if they did then they would both be the minimal polynomial of said root. Now, let E be a splitting field of f_a, then since f_a splits into linear factors over E and these are all coprime with f_b since they don't share a root, the gcd in E of f_a and f_b is 1. But the gcd in a field extension is equal to the gcd in the field itself, so f_a and f_b are coprime. ∎

Theorem 2.1.12

Let K/F be a finite extension, then the following are equivalent:

(1) K/F is Galois,

(2) K is the splitting field of some separable polynomial over F,

(3) $|\mathrm{Gal}(K/F)| = [K : F]$,

(4) $F = K^{\mathrm{Gal}(K/F)}$,

(5) $F = K^G$ for some $G \leq \mathrm{Gal}(K/F)$.

Proof: (1) $\implies$ (2): suppose $K = F[a_1, \ldots, a_n]$ and let f_i be the minimal polynomial of a_i. Since K/F is Galois, each f_i splits into distinct linear factors over K. Define $f = \prod_i f_i$ where we remove repetitions, and by the above lemma these are all coprime and in particular do not share roots. Therefore f is separable. K is generated by the roots of f and is therefore its splitting field, as required.

(2) $\implies$ (3): we proved this in proposition 2.1.4.

(5) $\implies$ (1): let $a \in K$ and f be its minimal polynomial. Let $a_1, \ldots, a_n$ be the distinct roots of f in K, then define $h = \prod_i (x - a_i) \in K[x]$. Obviously we have that h divides f. Now, we know that $\sigma \in G$ permutes roots of f, and so $h \in (K[x])^G = K^G[x] = F[x]$.

(3) $\implies$ (4): let $G = \mathrm{Gal}(K/F)$ and define $F' = K^G$, so F' satisfies (5) which implies (1), meaning K/F' is Galois. And we showed that (1) implies (3), meaning $|\mathrm{Gal}(K/F')| = [K : F']$. Now, we know that $\mathrm{Gal}(K/F') = \alpha\beta\alpha(F) = \mathrm{Gal}(K/F)$ so we have that

$$[K : F] = |\mathrm{Gal}(K/F)| = |\mathrm{Gal}(K/F')| = [K : F']$$

and $F \subseteq F'$, meaning $F = F'$ as required.

(4) $\implies$ (5) is trivial. ∎

If $K/L/F$ is an extension such that K/F is Galois, then K/L is also Galois. This is since for $a \in K$, let h_a^F and h_a^L be the minimal polynomials of a in F and L respectively. We know that h_a^F splits into distinct linear factors over K, and since h_a^L must divide it, it does too. So K/L is also Galois. In particular $K^{\mathrm{Gal}(K/L)} = L$.

So if we once again look at our Galois correspondence,

$$\alpha = \mathrm{Gal}(K/\bullet)$$

$$\{\text{Subgroups of } \mathrm{Gal}(K/F)\} \quad \overset{\longleftarrow}{\underset{\longrightarrow}{}} \quad \{\text{Intermediate fields of } K/F\}$$

$$\beta = K^{\bullet}$$

In particular, we have that $\beta\alpha = \mathrm{id}$. We have shown then that for every $K/L/F$ Galois, there exists a subgroup $G \leq \mathrm{Gal}(K/F)$ such that $K^G = L$. But then we can ask, for which subgroups $H \leq G$ is there an intermediate field L such that $\mathrm{Gal}(K/L) = H$?

Lemma 2.1.13 (Artin's Lemma)

Let $H \leq \mathrm{Aut}(K)$ be a finite subgroup, then $[K : K^H] \leq |H|$.

Proof: suppose $H = \{\sigma_1 = 1, \sigma_2, \ldots, \sigma_n\}$, and take any $x_1, \ldots, x_m \in K$ for any m larger than n. We need to show that $x_1, \ldots, x_m$ is linearly dependent over K^H. Meaning we need to find $a_1, \ldots, a_m \in K^H$ such that $\sum_i a_i x_i = 0$. If we apply $\sigma_i \in H$ to this sum, since $a_j \in K^H$, we get

$$\sigma_i\left(\sum_j a_j x_j\right) = \sum_j a_j \sigma_i(x_j) = 0$$

Let X be the $n \times m$ matrix defined by $X = (\sigma_i(x_j))_{ij}$ and define $\vec{a} = (a_1, \ldots, a_m)^\top$. So we need to solve

$$X\vec{a} = 0$$

But $X \in M_{n \times m}(K)$, and since $m > n$, it has a nontrivial nullspace. So there exists a $\vec{a} \in K^m$ which solves this equation. But recall we need $\vec{a}$ to be a vector over K^H.

So let us choose a solution $\vec{a}$ whose number of zeroes is minimal (meaning $\#\{1 \leq i \leq m \mid a_i = 0\}$ is minimal). We can reorder indexes and assume that $a_1 \neq 0$, and so $a_1^{-1}\vec{a}$ is also solution with the same number of zeros, so we can assume $a_1 = 1$. We now claim that $a_i \in K^H$ for all i, and once we have proved this we have finished our proof.

Suppose that $a_i \notin K^H$, without loss of generality $i = 2$. So there exists a $\sigma_k \in K^H$ such that $\sigma_k(a_i) \neq a_i$. We know that $\sum_j a_j \sigma_i(x_j) = 0$ for all i, and so composing with σ_k we get

$$\sum_j \sigma_k(a_j)\sigma_{k+i}(x_j) = 0$$

for all i. But since composing with σ_k is an invertible operation, this means that $\sum_j \sigma_k(a_j)\sigma_i(x_j) = 0$ for all i. Thus $(1, \sigma_k(a_2), \ldots, \sigma_k(a_m))$ is also a solution to $X\vec{a} = 0$. And thus

$$(1, a_2, \ldots, a_m) - (1, \sigma_k(a_2), \ldots, \sigma_k(a_m)) = (0, a_2 - \sigma_k(a_2), \ldots, a_m - \sigma_k(a_m))$$

is also a solution to the system. It is non-trivial since $a_2 \neq \sigma_k(a_2)$, but it has fewer zeros than our first solution since if $a_i = 0$ then $a_i - \sigma_k(a_i) = 0$ still, and we made the first index 0. This is a contradiction to the fact that we chose our first solution to have a minimal number of zeros, completing the proof. $\blacksquare$

So for a Galois extension K/F, if $H \leq \mathrm{Gal}(K/F)$ then by theorem 2.1.12, K^H is Galois and so $[K : K^H] = |\mathrm{Gal}(K/K^H)|$. And since $H \leq \mathrm{Gal}(K/K^H)$, we have that

$$|H| \leq |\mathrm{Gal}(K/K^H)| = [K : K^H] \leq |H|$$

where the final inequality is due to Artin's Lemma. Thus $\mathrm{Gal}(K/K^H) = H$. So we have proven

---

Theorem 2.1.14 (The Fundamental Theorem of Galois Theory)

Let K/F be a finite dimensional Galois extension. Then the Galois correspondence

$$\alpha = \text{Gal}(K/\bullet)$$

$$\{\text{Subgroups of } \text{Gal}(K/F)\} \underset{\beta = K^\bullet}{\overset{}{\rightleftharpoons}} \{\text{Intermediate fields of } K/F\}$$

is a bijective correspondence (meaning α and β are inverses of one another).

Corollary 2.1.15

If K/F is a finite Galois extension, then there are only a finite number of intermediate fields.

Proof: the number of intermediate fields is $\text{Gal}(K/F)$ which is $[K:F]$, finite. ∎

Corollary 2.1.16

Let K/F be a finite Galois extension, $G = \text{Gal}(K/F)$.

(**1**)   if $H_1 \leq H_2$ then $[H_2 : H_1] = \left[K^{H_1} : K^{H_2}\right]$,

(**2**)   for $\sigma \in G$, $H \leq G$, $L = K^H$, then $\sigma(L)$ corresponds to $\sigma H \sigma^{-1}$ in the Galois correspondence,

(**3**) $H \leq G$ is normal in G if and only if K^H/F is Galois. In such a case, $\text{Gal}\left(K^H/F\right) \cong {}^G\!/_H$.

Proof:

(**1**)   We know that

$$|H_2| = \left[K : K^{H_2}\right] = \left[K : K^{H_1}\right] \cdot \left[K^{H_1} : K^{H_2}\right] = |H_1| \cdot \left[K^{H_1} : K^{H_2}\right]$$

and so $[H_2 : H_1] = \frac{|H_2|}{|H_1|} = \left[K^{H_1} : K^{H_2}\right]$.

(**2**)   We need to show that $\text{Gal}(K/\sigma(L)) = \sigma H \sigma^{-1}$ and $K^{\sigma H \sigma^{-1}} = L$. But since we know that the correspondence is bijective, proving only the first equality is sufficient.

$$\begin{aligned}
\text{Gal}(K/\sigma(L)) &= \{\varphi \in G \mid \forall \alpha \in L : \varphi(\sigma(\alpha)) = \sigma(\alpha)\} \\
&= \left\{\varphi \in G \mid \forall \alpha \in L : \sigma^{-1}\varphi\sigma\alpha = \alpha\right\} \\
&= \left\{\varphi \in G \mid \sigma\varphi\sigma^{-1} \in \text{Gal}(K/L)\right\} \\
&= \sigma\,\text{Gal}(K/L)\sigma^{-1} = \sigma H \sigma^{-1}
\end{aligned}$$

(**3**)   Suppose first that $H \trianglelefteq G$ is normal in G. So $\sigma H \sigma^{-1} = H$ for all $\sigma \in G$ and thus by (2),

$$\sigma(K^H) = K^{\sigma H \sigma^{-1}} = K^H$$

Thus the map $\sigma \mapsto \sigma|_{K^H}$ from G to $\text{Gal}\left(K^H/F\right)$ is well-defined since $\sigma(K^H) = K^H$. The map is also surjective since every K^H-automorphism can be extended to an K-automorphism by theorem 1.3.8 (since K/K^H is Galois and thus can be generated by the roots of a polynomial which splits over K).

Notice that the kernel of this map is all K-automorphisms which keep K^H constant, meaning the kernel is $\text{Gal}\left(K/K^H\right) = H$. Thus by the first isomorphism theorem, $G/H \cong \text{Gal}\left(K^H/F\right)$. Furthermore,

$$(K^H)^{\text{Gal}\left(K^H/F\right)} = \left\{\alpha \in E^H \mid \forall \sigma \in G : \sigma|_{E_H}(\alpha) = \alpha\right\} = \left\{\alpha \in E^H \mid \forall \sigma \in G : \sigma(\alpha) = \alpha\right\}$$

$$= E^G \cap E^H = F \cap E^H = F$$

So by theorem 2.1.12, K^H/F is Galois.

Conversely, let $L = K^H$ and suppose that L/F is Galois and let $L = F[\alpha_1, \ldots, \alpha_n]$. Let h_i be the minimal polynomial of α_i, then for all $\sigma \in G$, $\sigma(\alpha_i)$ is still a root of h_i. Since L/F is Galois and thus normal, this means that $\sigma(\alpha_i) \in L$ for all i and so $\sigma(L) = L$ for all $\sigma \in G$. By (2) this means that

$$\sigma H \sigma^{-1} = \sigma \operatorname{Gal}(K/L) \sigma^{-1} = \operatorname{Gal}(K/\sigma(L)) = \operatorname{Gal}(K/L) = H$$

so H is normal, as required. ∎

Corollary 2.1.17

Let $K/L/F$ be field extensions such that K/F is Galois. Then L/F is Galois if and only if $\operatorname{Gal}(K/L)$ is normal in $\operatorname{Gal}(K/F)$. In such a case,

$$\operatorname{Gal}(L/F) \cong {\operatorname{Gal}(K/F)}\big/{\operatorname{Gal}(K/L)}$$

Proof: by the previous corollary (3) by setting $H = \operatorname{Gal}(K/L)$. ∎

2.2 Galois Closure and Compositum of Fields

Proposition 2.2.1

Every finite separable extension K/F is contained in some finite Galois extension.

Proof: suppose $K = F[\alpha_1, \ldots, \alpha_n]$, and let h_i be the minimal polynomial of α_i. Since K/F is separable, h_i only has simple roots (roots of multiplicity 1) in K. Let $f(x) = \prod_i h_i(x)$ where repetitions are removed, so that $f(x)$ is still separable. Let E be f's splitting field, so it is the splitting field of a separable polynomial, so by theorem 2.1.12, E/F is Galois. ∎

Proposition 2.2.2

Let $K/L/F$ be finite extensions such that K/F is Galois. Let $G = \operatorname{Gal}(K/F)$ and $H = \operatorname{Gal}(K/L)$. Define $N = \operatorname{core}_G(H) = \bigcap_{\sigma \in G} \sigma H \sigma^{-1}$. Then K^N/F is Galois, and moreso it is the smallest Galois extension in K/F that contains L.

Proof: we know that the core of a subgroup is normal, meaning $N \trianglelefteq G$ and so by corollary 2.1.16 E^N/F is Galois. Since $N \leq H$, $E^N \supseteq E^H = M$ by the fundamental theorem. Furthermore, if $M = K^{N_0} \supseteq L$ such that M/F is Galois, then by corollary 2.1.16 again, N_0 is normal in G. And by the correspondence, $N_0 \leq H$. So N_0 is a normal subgroup of G contained in H, but N is the core which is the largest such normal group, so $N_0 \leq N$. And so $K^N \subseteq E^{N_0} = M$. So K^N is minimal. ∎

Definition 2.2.3

Given finite extensions $K/L/F$ such that K/F is Galois, and for every $L \subseteq M \subset K$, M/F is not Galois, then K is called the **Galois closure** of L/F.

Proposition 2.2.4

The Galois closure of a separable extension L/F is unique up to isomorphism.

Proof: suppose $L = F[\alpha_1, \ldots, \alpha_n]$ and let h_i be the minimal polynomial of α_i which is separable. Then define $f = \prod_i h_i$ without repetitions, and this is still separable. We claim that E^N (where N is defined in the above

proposition) is the splitting field of f. Since E^N/F is Galois, f splits into distinct linear factors over E^N. Let K be the splitting field of f, so $K \subseteq E^N$ and since K is the splitting field of a separable polynomial, K/F is Galois. But E^N is minimal so $E^N \subseteq K$, meaning $E^N = K$. ∎

Proposition 2.2.5

Let K/F be separable, then there exist only finitely many intermediate fields.

Proof: let E be the Galois closure of K/F. Then E/F is Galois and thus has finitely many intermediate fields, and therefore so does K/F (every intermediate field of K/F is an intermediate field of E/F). ∎

Theorem 2.2.6 (Steinitz's Theorem)

Every finite dimension separable field extension K/F is generated by a single element.

Proof: we assume for the sake of this proof that the fields are infinite, and we induct on the number of generators of K. It is sufficient to prove this for the case of two generators, $K = F[x, y]$, as we can then go from $F[x_1, \ldots, x_n] = F[x_1, \ldots, x_{n-2}][x_{n-1}, x_n]$ to $F[x_1, \ldots, x_{n-1}]$ and continue inductively.

Let us focus on elements of the form $x + \alpha y$ for $\alpha \in F$. And so we have infinitely many intermediate fields $F[x + \alpha y]$ (counting repetitions). By the above proposition, there are finitely many intermediate fields of K/F, and so there must be $\alpha \neq \beta \in F$ such that $L = F[x + \alpha y] = F[x + \beta y]$. But then

$$(x + \alpha y) - (x + \beta y) = (\alpha - \beta)y \in L \implies y \in L$$

and similarly we can show that $x \in L$. Thus we have that $L = F[x, y] = K$, meaning we can generate K using a single element. ∎

Definition 2.2.7

Suppose F, L are fields contained in some larger field K. The **compositum** of F and L is defined to be the smallest field containing both L and F. This can be shown to be

$$FL = \left\{ \sum_{i=1}^n \alpha_i \beta_i \,\middle|\, \alpha_i \in F, \beta_i \in L \right\}$$

the compositum is also denoted $F \vee L$.

Proposition 2.2.8

If K/F is Galois and L/F is a finite extension, then KL/F is also Galois, and

$$\text{res}: \text{Gal}(KL/L) \longrightarrow \text{Gal}(K/K \cap L), \qquad \sigma \mapsto \sigma\big|_K$$

is a well-defined isomorphism.

Proof: since K/F is Galois, K is the splitting field of some separable polynomial $f \in F[x]$. This means that KL is the splitting field of $f \in L[x]$ since it is the smallest field containing both L and the roots of f, which is by definition the splitting field of f over L. Since f is separable, this means KL/L is Galois.

Now, res is well-defined since if $\sigma \in \text{Gal}(KL/L)$ then σ permutes the roots of f, which generates K, and so $\sigma(K) = K$. And since it also fixes L, we must have that it fixes $K \cap L$. So $\sigma\big|_K$ is a $K \cap L$-automorphism. res is clearly a homomorphism.

Now we prove that res is injective: if $\sigma\big|_K = 1$, then σ is the identity on K and L (since it is a L-automorphism), so it is the identity on KL. Thus the kernel of res is trivial, meaning it is injective.

Finally, we prove that res is surjective. If $\alpha \in K^{\text{Im res}}$ then $\sigma(\alpha) = \alpha$ for every $\sigma \in \text{Gal}(KL/L)$, then since $KL^{\text{Gal}(KL/L)} = L$, we have that $\alpha \in L$. So $\alpha \in K \cap L$, meaning $K^{\text{Im res}} \subseteq K \cap L$. Conversely, Im res $\subseteq$

$\mathrm{Gal}(K/K \cap L)$ so $K^{\mathrm{Im\,res}} \subseteq K \cap L$. Thus we have the equality, $K \cap L = K^{\mathrm{Im\,res}}$. But then by taking $\mathrm{Gal}(K/\bullet)$, we have that $\mathrm{Gal}(K/K \cap L) = \mathrm{Im\,res}$ as required.   ∎

Notice then that we get, by the Galois correspondence,

$$[K : F] = [K : K \cap L][K \cap L : F], \qquad [KL : F] = [KL : L][L : F] = [K : K \cap L][L : F]$$

So $[K : K \cap L] = \frac{[K:F]}{[K\cap L:F]}$ and thus

$$[KL : F] = \frac{[K : F][L : F]}{[K : K \cap L]}$$

when K/F is Galois and L/F is finite.

2.3 Roots of Unity

> **Definition 2.3.1**
>
> Let F be a field, then a **root of unity** of order n is an element $\rho \in F$ such that $\rho^n = 1$. Furthermore define
> $$\mu_n(F) = \{\rho \in F \mid \rho^n = 1\}$$
> to be the set of all roots of unity of order n.

Notice that F can have a primitive root of unity of order n only when $\mathrm{char}\, F = 0$ or n and $\mathrm{char}\, F$ are coprime. Otherwise, suppose $\mathrm{char}\, F = p$ and $n = mp$ then

$$x^n - 1 = (x^m)^p - 1 = (x^m - 1)^p$$

meaning $\rho^m = 1$ and so n cannot be minimal.

> **Lemma 2.3.2**
>
> Every finite subgroup of the multiplicative group of a field is cyclic.

Proof: let $A \leq F^\times$, then recall the definition of the exponent:

$$\exp A := \min_{m>1}\{\forall a \in A : a^m = 1\} = \mathrm{lcm}\{o(a) \mid a \in A\}$$

define $e = \exp A$. Then the polynomial $x^e - 1$ has every element in A as a root, and so $|A| \leq e$ as there can be at most e roots. Since in general $|A| \geq e$, we must then have that $|A| = e$ and this means that A is cyclic.   ∎

Since $\mu_n(F)$ is a finite subgroup of $F^\times$, by the above lemma it is cyclic.

> **Definition 2.3.3**
>
> A **primitive root of unity** of order n is a generator of $\mu_n(F)$.

An equivalent definition is that a primitive root of unity is a root of $x^n - 1$ but not $x^m - 1$ for $m < n$.

Notice that if ρ is a primitive root of unity of order n, then all other primitive roots of unity of order n are of the form ρ^j for $(j, n) = 1$.

In $\mathbb{C}$, all roots of unity of order n are of the form $\exp(2\pi k/n)$, so define $\rho_n = \exp(2\pi/n)$. Then all roots of unity of order n are of the form ρ_n^k. So the splitting field of $x^n - 1$ over $\mathbb{Q}$ is

$$\mathbb{Q}[1, \rho_n, \rho_n^2, \ldots, \rho_n^{n-1}] = \mathbb{Q}[\rho_n]$$

Since $x^n - 1$ splits into distinct linear factors, $\mathbb{Q}[\rho_n]$ is the splitting field of a separable polynomial and so $\mathbb{Q}[\rho_n]/\mathbb{Q}$ is Galois.

Now if $\mathrm{char}\, F = 0$ then $\mathbb{Q} \subseteq F$ and the compositum is $\mathbb{Q}[\rho_n]F = F[\rho_n]$ and so by proposition 2.2.8 $F[\rho_n]/F$ is also Galois.

But $x^n - 1$ is obviously not irreducible for $n \geq 2$ since it has 1 as a root. So we can then ask what the minimal polynomial of each root is.

Definition 2.3.4

Let E be the splitting field of $x^n - 1 \in F[x]$, then the **Cyclotomic polynomial** of order n is defined as

$$\Phi_n(x) = \prod_{\xi}(x - \xi) = \prod_{(j,n)=1}\left(x - \rho_n^j\right)$$

where ξ runs over all primitive roots of unity of order n (which are all of the form ρ_n^j).

Notice that $\deg \Phi_n = \varphi(n)$ where n is the Euler totient function.

Notice that if $\rho \in \mu_n(F)$ and $o(\rho) = k$ then ρ is a primitive root of unity of order k. And vice versa: if ρ is a primitive root of unity of order $k|n$ then $\rho^n = 1$. Thus $\mu_n(F)$ decomposes into the sets of primitive roots of unity for $k|n$, and so

$$x^n - 1 = \prod_{\rho \in \mu_n(F)}(x - \rho) = \prod_{k|n}\prod_{\rho_k}(x - \rho_k) = \prod_{k|n}\Phi_k(x)$$

where ρ_k runs over all primitive roots of unity of order k.

For $\sigma \in \mathrm{Gal}(E/F)$, σ must permute the roots of Φ_n (since it maps roots of $x^n - 1$ to roots of $x^n - 1$, and n is the minimum value for which a primitive root of unity is a root). Thus σ must fix Φ_n, and so

$$\Phi_n \in E^{\mathrm{Gal}(E/F)}[x] = F[x]$$

If $\mathrm{char}\, F = 0$ then for $\sigma \in \mathrm{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q})$, σ must permute the roots of Φ_n and so the same argument as before works to show that

$$\Phi_n \in \mathbb{Q}[x]$$

Recall Gauss's lemma that the product of two primitive polynomials is primitive. So suppose $f \in \mathbb{Z}[x]$ is monic and $f = gh$ for $g, h \in \mathbb{Q}[x]$ also monic. Then there exists $m, n \in \mathbb{Z}$ such that mg and nh are primitive in $\mathbb{Z}$ and so $mg \cdot nh = mnf$ is primitive by Gauss's lemma. But then the gcd of its coefficients is mn, meaning $mn = \pm 1$, so g, h are in $\mathbb{Z}[x]$. In particular we have

$$x^n - 1 = \prod_{k|n}\Phi_k(x)$$

where $\Phi_k(x)$ is a rational polynomial, and so $\Phi_k(x) \in \mathbb{Z}[x]$.

Theorem 2.3.5

$\Phi_n(x) \in \mathbb{Z}[x]$ is irreducible.

Proof: let $f \in \mathbb{Q}[x]$ be a monic irreudcible factor of Φ_n. Then it is sufficient to show that if z is a root of f, so is z^i for every i coprime with n. Then f and Φ_n share roots as all primitive roots of order n are of the form z^i for z primitive root and i coprime with n. And so this implies $\Phi_n = f$.

It is sufficient to prove this for i prime, as we can find the prime factorization of i and inductively raise z to the power of the prime factor. So suppose there is a prime p such that $f(z) = 0$ but $f(z^p) \neq 0$. Let $\Phi_n(x) = f(x)g(x)$ for $g(x) \in \mathbb{Z}[x]$ which exists due to Gauss's lemma. Then $g(z^p) = 0$, but since f is the minimal polynomial of z it must divide $g(x^p)$ in $\mathbb{Z}[x]$. Meaning there exists $h \in \mathbb{Z}[x]$ such that $g(x^p) = f(x)h(x)$.

By taking everything modulo p in $\mathbb{F}_p[x]$, we get that by the Frobenius homomorphism

$$(\bar{g}(x))^p = \bar{g}(x^p) = \overline{fh} = \bar{f}\bar{h}$$

And so $\bar{f}$ and $\bar{g}$ must share a common irreducible factor. But then $q(x) = x^n - 1$ has a multiple root in $\mathbb{F}_p$, since it has $\bar{f}$ and $\bar{g}$ as factors. But $q'(x) = nx^{n-1} \neq 0$ since $(n, p) = 1$ and so $\gcd(q, q') = 1$ which by theorem 1.3.15 means q is separable and so has no multiple roots. $\blacksquare$

This means that Φ_n is the minimal polynomial of every primitive root of unity of order n.

> **Corollary 2.3.6**
>
> If ρ_n is a primitive root of unity of order n, then $\mathrm{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q}) \cong \mathcal{U}_n$ where $\mathcal{U}_n$ is the Euler group of n elements.

Proof: we already know that $\mathbb{Q}[\rho_n]/\mathbb{Q}$ is Galois, and the minimal polynomial of ρ_n is Φ_n so

$$|\mathbb{Q}[\rho_n]/\mathbb{Q}| = [\mathbb{Q}[\rho_n] : \mathbb{Q}] = \deg \Phi_n = \varphi(n) = |\mathcal{U}_n|$$

Now we know that ρ_n must be mapped to the other roots of Φ_n, which tells us that all automorphisms of the Galois group must be of the form $\sigma_k \colon \rho_n \mapsto \rho_n^k$. Since Φ_n's roots are distinct, these are precisely $\varphi(n)$ roots automorphisms. So every automorphism σ_k is in the Galois group.

Let us define $\psi \colon \mathcal{U}_n \longrightarrow \mathrm{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q})$ by $k \mapsto \sigma_k$. It is easily verified that this is a homomorphism since $\sigma_{kk'} = \sigma_k \sigma_{k'}$. This is obviously surjective and injective, as required. ∎

> **Corollary 2.3.7**
>
> Every finite-dimension subfield of $\mathbb{Q}_{ab} := \bigcup_n \mathbb{Q}[\rho_n]$ is Galois over $\mathbb{Q}$, and its Galois group is Abelian.

Proof: this is since every subfield generated by $\rho_{n_1}, \ldots, \rho_{n_k}$ is contained in some extension $\mathbb{Q}[\rho]$. As we showed before, this is Galois with Galois group $\mathcal{U}_n$. Every subgroup of $\mathcal{U}_n$ is normal since it is an Abelian group, in particular $\mathrm{Gal}(\mathbb{Q}[\rho_{n_1}, \ldots, \rho_{n_k}]/\mathbb{Q})$. So by corollary 2.1.16, $\mathbb{Q}[\rho_{n_1}, \ldots, \rho_{n_k}]/\mathbb{Q}$ is Galois. ∎

> **Theorem 2.3.8 (Kronecker-Weber Theorem)**
>
> Every Galois extension of $\mathbb{Q}$ is a subfield of $\mathbb{Q}_{ab}$.

Proof: not in this course. ∎

2.4 Trace and Norm

> **Definition 2.4.1**
>
> Let K/F be finite Galois, and $G = \mathrm{Gal}(K/F)$. Then we define the **trace** to be
>
> $$T \colon K \longrightarrow F, \qquad T(a) = \sum_{\sigma \in G} \sigma(a)$$
>
> and **norm** to be to be
>
> $$N \colon K^\times \longrightarrow F^\times, \qquad N(a) = \prod_{\sigma \in G} \sigma(a)$$

Notice that the trace and norm are well defined. Suppose $a \in K$, take $\tau \in G$ then

$$\tau T(a) = \sum_{\sigma \in G} \tau \sigma(a) = \sum_{\sigma \in G} \sigma(a) = T(a)$$

so $T(a) \in K^G = F$ as required. Similar for the norm.

Notice that the trace is also a surjective group homomorphism (relative to $+$): $a/|G|$ is an element of the fiber of a. And the norm is a group homomorphism between $K^\times$ and $F^\times$.

> **Example 2.4.2**

We know that $\mathbb{C}/\mathbb{R}$ is finite Galois as it is the splitting field of $x^2 + 1$ which is separable. The Galois group is $G = \{1, z \mapsto \bar{z}\}$ and so the norm and trace are

$$T(x + iy) = (x + iy) + (x - iy) = 2x, \qquad N(x + iy) = (x + iy)(x - iy) = |x + iy|^2$$

So $\ker T = i\mathbb{R}$ and $\ker N = S^1$.

Definition 2.4.3

A Galois extension K/F is **cyclic** if $\mathrm{Gal}(K/F)$ is cyclic.

If K/F is cyclic and its Galois group is generated by σ, then define $D \colon K \longrightarrow K$ by $D(a) = a - \sigma(a)$. Its kernel is $\ker D = K^G = F$ and

$$T \circ D(a) = \sum_{i=0}^{n} \sigma^i(a) - \sum_{i=0}^{n} \sigma^{i+1}(a) = 0$$

so $\mathrm{Im}\, D \subseteq \ker T$. Now, D is an F-linear transformation, so by the rank-nullity theorem

$$\dim \ker D + \dim \mathrm{Im}\, D = [K : F]$$

meaning $\dim \mathrm{Im}\, D = [K : F] - 1$. Since $\ker T$ is not all of K, we must have that $\mathrm{Im}\, D = \ker T$.

Recall that a Galois, and thus cyclic, extension is generated by a single element.

Lemma 2.4.4 (Dedekind's Lemma)

Let F, K be fields and $\varphi_1, \ldots, \varphi_n \colon F \longrightarrow K$ be distinct field homomorphisms. Then $\varphi_1, \ldots, \varphi_n$ are F-linearly independent.

Proof: suppose that $\varphi_2, \ldots, \varphi_n$ is linearly independent (i.e. we induct on n). Now suppose

$$\sum_{i=1}^{n} a_i \varphi_i = 0$$

for $a_i \in F$. Then since $\varphi_1 \neq \varphi_2$ there exists some $\alpha \in F$ such that $\varphi_1(\alpha) \neq \varphi_2(\alpha)$. So for all $x \in F$,

$$\sum_{i=1}^{n} a_i \varphi_1(\alpha) \varphi_i(x) = 0$$

and on the other hand,

$$0 = \sum_{i=1}^{n} a_i \varphi_i(\alpha x) = \sum_{i=1}^{n} a_i \varphi_i(\alpha) \varphi_i(x)$$

So subtracting the two gives that

$$\sum_{i=2}^{n} a_i (\varphi_1(\alpha) - \varphi_i(\alpha)) \varphi_i = 0$$

Since $\varphi_2, \ldots, \varphi_n$ is linearly independent, we have that $a_i = 0$ or $\varphi_1(\alpha) = \varphi_i(\alpha)$. Thus we have that $a_2 = 0$. Continuing with multiplying by $\varphi_1(\alpha) \neq \varphi_i(\alpha)$ we see that $a_i = 0$ for all $i > 1$. And so $a_i = 0$ for all i. ∎

Notice that this means there can only be at most $[E : F]$ distinct F-automorphisms. This is since every F-automorphism is an element of $\mathrm{Hom}(E, F)$ which has dimension $[E : F]$. This of course also follows from theorem 1.3.8.

Theorem 2.4.5 (Hilbert's Theorem 90)

Suppose K/F is cyclic whose Galois group is generated by σ, then

$$\ker N = \left\{ \frac{a}{\sigma(a)} \,\middle|\, a \in K \right\}$$

Proof: for the first inclusion $\supseteq$,

$$N\left(\frac{a}{\sigma(a)}\right) = \frac{N(a)}{N(\sigma(a))} = \frac{N(a)}{\sigma(N(a))} = \frac{N(a)}{N(a)} = 1$$

where $N(\sigma(a)) = \sigma(N(a))$ since σ is a homomorphism and $\sigma(N(a)) = N(a)$ since σ holds F constant.

For the other direction, $\subseteq$, suppose $N(\alpha) = 1$. Define $\tau_\alpha \colon K \longrightarrow K$ by $\tau_\alpha(e) = \alpha\sigma(e)$. As is easily verified, τ_α is an F-linear map. Furthermore, $\tau_\alpha^2(e) = \alpha\sigma(\alpha)\sigma^2(e)$, and inductively $\tau_\alpha^k(e) = \alpha\sigma(\alpha)\cdots\sigma^{k-1}(\alpha)\sigma^k(e)$. In particular, if $[K:F] = n$ then

$$\tau_\alpha^n = (\alpha\sigma(\alpha)\cdots\sigma^{n-1}(\alpha))\sigma^n = N(\alpha)\mathrm{id} = \mathrm{id}$$

And so τ_α's minimal polynomial divides $x^n - 1$. On the other hand, $1, \sigma, \ldots, \sigma^{n-1}$ are E-linearly independent by Dedekind's Lemma. Therefore $\mathrm{i}, \tau_\alpha, \ldots, \tau_\alpha^{n-1}$ are F-linearly independent and so the minimal polynomial of τ_α must be $x^n - 1$.

In particular, 1 is an eigenvalue of τ_α. So there exists a β such that $\tau_\alpha(\beta) = \beta$, and so $\alpha\sigma(\beta) = \beta$ meaning $\alpha = \frac{\beta}{\sigma(\beta)}$ as required. ∎

Notice then that we have an exact sequence

$$1 \longrightarrow F^\times \longrightarrow K^\times \xrightarrow{\frac{a}{\sigma(a)}} K^\times \xrightarrow{N} F^\times$$

2.5 Radical Extensions

Definition 2.5.1

A **simple radical extension** is a field extension K/F such that $K = F[\alpha]$ and $\alpha^n = a \in F$ for $n = [K:F]$. In such a case, we write $K = F[\sqrt[n]{a}]$.

In such a case, $x^n - a$ is the minimal polynomial of α since it has degree $n = [K:F]$.

Proposition 2.5.2

Suppose F has a primitive root of unity ρ of degree n. Then any simple radical extension of degree n is cyclic.

Proof: suppose $K = F[\alpha]$ for $\alpha^n = a \in F$ and $[K:F] = 1$. Then

$$x^n - a = \prod_{i=0}^{n-1}(x - \alpha\rho^i)$$

So the splitting field of $x^n - a$ is

$$F[\alpha, \alpha\rho, \ldots, \alpha\rho^{n-1}] = F[\alpha, \rho] = F[\alpha] = K$$

since ρ is already in F. This means that K is the splitting field of a separable polynomial, so K/F is Galois.

Now, an F-automorphism must map α to $\rho^i\alpha$. There are n choices for i and $n = [K:F] = |\mathrm{Gal}(K/F)|$, so every choice of i gives an automorphism. In particular $\sigma(\alpha) = \rho\alpha$ is an F-automorphism. And inductively $\sigma^i(\alpha) = \rho^i\alpha$, so the degree of σ is at least n. But the order of the Galois group is n, so $o(\sigma) = |\mathrm{Gal}(K/F)|$ and thus $\mathrm{Gal}(K/F)$ is generated by σ as required. ∎

> **Theorem 2.5.3 (Kummer's Theorem)**
>
> Suppose F has a primitive root of unity ρ of degree n Then every cyclic field extension of dimension n is simple radical.

Proof: suppose K/F is cyclic of dimension n. We know that for $a \in F$, $N(a) = a^n$, and in particular $N(\rho) = 1$. Thus by Hilbert's Theorem 90, $\rho = \frac{\alpha}{\sigma(\alpha)}$ for some $\alpha \in K$. Then

$$1 = \rho^n = \frac{\alpha^n}{\sigma(\alpha)^n} \implies \sigma(\alpha^n) = \alpha^n$$

so α^n is a fixed point of σ. Since σ generates $\mathrm{Gal}(K/F)$, it is a fixed point of $\mathrm{Gal}(K/F)$, i.e. $a = \alpha^n \in F$.

We know that $\sigma^i(\alpha) = \rho^i \alpha$ so $\alpha \notin K^{\langle \sigma^i \rangle}$ for any $i|n$. And so $K = F[\alpha]$, $\alpha^n = a \in F$, and $[K : F] = n$. Meaning K/F is simple radical. $\blacksquare$

> **Definition 2.5.4**
>
> A **radical series** over F is a sequence of fields $F = F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n$, such that F_{i+1}/F_i is a simple radical extension for each i. Each F_i/F is a **radical extension**.

> **Definition 2.5.5**
>
> A polynomial $f \in F[x]$ is **solvable by radicals** if there exists a radical extension K/F such that f has a root in K.

Recall the following definitions from group theory:

> **Definition 2.5.6**
>
> Let G be a group, then a **subnormal series** is a series
>
> $$1 = G_m \lhd \cdots \lhd G_1 \lhd G_0 = G$$
>
> And a **composition series** is a subnormal series whose factors (G_{i+1}/G_i) are simple. Equivalently, the series is maximal.
>
> A group G is **solvable** if it has a subnormal series with Abelian factors. Without loss of generality, if G is finite, we can assume that it is a composition series with cyclic groups of prime order.

Recall the *Jordan-Hölder Theorem*: two composition series of the same group have the same factors, up to order.

> **Theorem 2.5.7**
>
> Let K/F be Galois, $G = \mathrm{Gal}(K/F)$ and $n := |G|$. Further suppose that $\rho_k \in F$ for every prime $k \leq n$ (where ρ_k is the primitive root of unity of degree k). Then K/F is radical if and only if G is solvable.

Proof: if G is solvable, then there exists a composition sequence

$$1 = G_m \lhd \cdots \lhd G_1 \lhd G_0 = G$$

with cyclic factors of prime order (since G is finite). Using the Galois correspondence, let us define

$$F_m = K^1 = K \supset F_{m-1} = K^{G_{m-1}} \supset \cdots \supset F_1 = K^{G_1} \supset F_0 = F^G = F$$

Now we know that $\mathrm{Gal}(F_{i+1}/F_i) = \mathrm{Gal}\big(K^{G_{i+1}}/K^{G_i}\big)$, then by corollary 2.1.17 with $F = K^{G_i}$ and $L = K^{G_{i+1}}$, we have that this is equal to

$$\mathrm{Gal}(F_{i+1}/F_i) \cong \mathrm{Gal}\big(K/K^{G_i}\big) \Big/ \mathrm{Gal}\big(K/K^{G_{i+1}}\big) = G_i \Big/ G_{i+1}$$

which is cyclic of order $\leq n$. Since F has every root of unity of order $\leq n$, this means that F_{i+1}/F_i is simple radical. Thus K/F is radical.

Conversely, suppose K/F is radical, then there exists a sequence of simple radical extensions

$$K = F_m \supseteq \cdots \supseteq F_0 = F$$

Each of these is Galois and cyclic, and so if we define

$$G_m = 1 \lhd G_1 = \mathrm{Gal}(K/F_1) \lhd \cdots \lhd G_0 = \mathrm{Gal}(K/F)$$

this gives a subnormal sequence with cyclic factors, so G is solvable. ∎

Lemma 2.5.8

If $f \in F[x]$ irreducible has a root in a radical extension, then every root can be found in a radical extension.

Proof: let E/F be a Galois extension where f splits in E (by taking perhaps the Galois closure of the extension to the splitting field of f). Now suppose α is a root of f where $K = F[\alpha]$ is a radical extension. Meaning there exists

$$F = F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n = K$$

simple radical extensions. Then if we take $\sigma \in \mathrm{Gal}(E/F)$, we still have that $\sigma F_{i+1}/\sigma F_i$ is a simple radical extension since if $F_{i+1} = F_i[\beta]$ where $\beta^n \in F_i$, then $\sigma F_{i+1} = (\sigma F_i)[\sigma\beta]$ and $(\sigma\beta)^n \in \sigma F_i$. So $\sigma\alpha$ can be found in a radical extension. Since f is irreducible, every other root can be mapped to from α by an F-automorphism of its splitting field (by proposition 1.3.12), thus completing the proof. ∎

Notice that the compositum of two radical extensions is also a radical extension. It is sufficient to show that the compositum of two simple radical extensions is a radical extension, and this is easy enough: suppose $K = F[\sqrt[n]{\alpha}]$ and $E = F[\sqrt[m]{\beta}]$. Then $KF = F[\sqrt[n]{\alpha}, \sqrt[m]{\beta}]$ and $F \subseteq K \subseteq KF$ is a tower of simple radical extensions.

Theorem 2.5.9

Let F be a field, $f \in F[x]$ an irreducible polynomial of degree n. Further suppose F has every primitive root of unity of prime order $\leq n$. Then the following are equivalent:

(1) f has a root in a radical extension of F where each step in the extension has a dimension $\leq n$.

(2)   the splitting field K of f is a radical extension;

(3) $\mathrm{Gal}(K/F)$ is solvable;

(4) f splits in some solvable extension (meaning the Galois group is solvable).

Proof: (1) $\implies$ (2): let K be the splitting field of f and $\alpha_1, \ldots, \alpha_n$ be its roots. By the above lemma $F[\alpha_1], \ldots, F[\alpha_n]$ are all radical extensions, and so their compositum $K = F[\alpha_1, \ldots, \alpha_n]$ is also a radical extension.

(2) $\implies$ (1): this is by the first direction in the previous theorem's proof.

(2) $\iff$ (3): this is what we showed in the previous theorem.

(3) $\implies$ (4): trivial.

(4) $\implies$ (3): suppose f splits in the solvable extension E/F. Then $E/K/F$, and

$$G = \mathrm{Gal}(K/F) = {}^{\mathrm{Gal}(E/F)}\!\big/\!{}_{\mathrm{Gal}(E/K)}$$

by corollary 2.1.17, which is the quotient of a solvable group and is thus solvable. ∎

> **Proposition 2.5.10**
>
> Suppose F is a field which has all roots of unity of order $< m$. Then the field extension $F[\rho_m]/F$ is cyclic and radical (where ρ_m is a primitive root of unity of order m).

Proof: if $m = m'm''$ are coprime, then $\rho_m = \rho_{m'}^\alpha \rho_{m''}^\beta$ where $\alpha m' + \beta m'' = 1$. Otherwise, if $m = p^k$ for $m > 1$ then $\rho_{m/p} \in F$ and $\rho_m^p = \rho_{m/p}$, and so it is simple radical and thus cyclic. Finally if $m = p$ then $[F[\rho_p] : F] \leq p - 1$ since

$$1 + \rho_p + \cdots + \rho_p^{n-1} = \Phi_p(\rho_p) = 0$$

and the field extension is Abelian since the Galois group is a quotient of the Euler group of order p (by using the roots of order $< p$) which is cyclic. Thus it is solvable and therefore cyclic and radical. ∎

> **Theorem 2.5.11**
>
> Let $f \in F[x]$ be a polynomial of degree n and K be its splitting field. Then f is solvable by radicals if and only if $\mathrm{Gal}(K/F)$ is solvable.

Proof: define $F' = F[\rho_2, \ldots, \rho_n]$ be the field extension of all roots of unity up to order n. Then by the previous proposition, F' is a radical extension of F. First suppose f is irreducible. Now, we know that the splitting field of f in F' is $F'K$, and f is solvable by radicals in F' if and only if $F'K/F'$ is radical. Now, since F'/F is radical, $F'K/F'$ is radical if and only if $F'K/F$ is radical (if $F'K/F'$ is radical, compose the tower with the tower F'/F. If $F'K/F$ is radical, multiply the tower by F'). And if $F'K/F$ is radical, then f is solvable by radicals over F by definition. So f is solvable by radicals in F' if and only if it is solvable by radicals in F. We also know it is solvable by radicals in F' if and only if $\mathrm{Gal}(F'K/F')$ is solvable, and this is if and only if $\mathrm{Gal}(K/F)$ is solvable.

For the case that f is not irreducible, we simply induct on its irreducible components. ∎

Since every polynomial of degree ≤ 4's Galois group (meaning the Galois group of its splitting field) is a subgroup of S_4, S_3, S_2, S_1, which are solvable, we get:

> **Corollary 2.5.12**
>
> Every polynomial of degree ≤ 4 is solvable by radicals.

For every n, there exists a polynomial $f \in \mathbb{Q}[x]$ such that the Galois group of its splitting field is S_n. We will show this for $n = 5$: define

$$f(x) = x^5 - 20x + 5$$

this is irreducible by Eisenstein $p = 5$. Its derivative is $f'(x) = 5x^4 - 20 = 5(x^4 - 4)$ which has two real zeroes and so f has 3 real roots (or graph the polynomial). Let K be its splitting field, so K is not real. The complex conjugate forms a $\mathbb{R}$-automorphism of K since it maps the complex roots to one another, which is a transposition in S_5.

Now, $G = \mathrm{Gal}(K/\mathbb{Q}) \subseteq S_5$. G acts transitively on the set of roots (since f is irreducible, so for all roots α, β, there exists a $\sigma \in G$ such that $\sigma\alpha = \beta$) and so it has a 5-cyclie $(1, 2, 3, 4, 5) \in G$. This implies that $G = S_5$. So $f(x)$ is not solvable by radicals. Meaning *none* of its roots can be written as radicals.