# **Advanced Algorithms**

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#### 2.1 Exercise

Consider the following metric TSP problem:

- Construct an undirected graph G = (V, E, w) which is a path of n = 2k + 1 vertices, such that for every  $1 \le i < n$ ,  $(v_i, v_{i+1}) \in E$ ,  $w(v_i, v_{i+1}) = 1$ .
- Alter G such that for vertices two steps apart,  $w(v_i, v_{i+2}) = 1 + \varepsilon$  for some  $\varepsilon > 0$ .
- Define G' = (V, E) with metric d defined to be  $d(u, v) = \delta_G(u, v)$ .
- (1) Show and compare the results of the twice-MST and Christofide's algorithms to the optimal solution's cost.
- (2) Describe a function f(n) such that when  $\varepsilon = f(n)$ , the analysis of Christofide's algorithm becomes tight as n grows.
- (1) An MST of G' must connect n vertices, so it must have n-1 edges, and thus an MST of G' is the original path. So our MST is  $v_1, v_2, \ldots, v_n$  and so an Euler tour on the doubled MST after finding shortcuts is  $v_1 \to v_2 \to \cdots \to v_n \to v_1$ . This has a weight of

$$\sum_{i} d(v_i, v_{i+1}) + d(v_n, v_1) = n - 1 + d(v_n, v_1)$$

The lightest path from  $v_n$  to  $v_1$  in G is  $v_{2k+1} \to v_{2k-1} \to \cdots \to v_3 \to v_1$  which has a weight of  $k(1+\varepsilon)$ . Thus the weight of the lightest path, and thus  $d(v_n, v_1)$ , is

$$2k+1-1+k(1+\varepsilon)=k(3+\varepsilon)=\frac{n-1}{2}(3+\varepsilon)=n\cdot\frac{3+\varepsilon}{2}-\frac{3+\varepsilon}{2}$$

For Christofide's, the only vertices in the MST with odd degree are  $v_1, v_n$ . The minimum distance between them is  $k(1+\varepsilon)$ , as proven before. And an Euler tour in T+M is just  $v_1 \to \cdots \to v_n \to v_1$ , which has weight  $k(3+\varepsilon)$ . So twice-MST and Christofide's have the same approximation here.

The optimal solution only uses edges of size 1 and  $1 + \varepsilon$ , as otherwise we would have skipped a vertex for nothing. The optimal cycle is then

$$(v_1 \rightarrow v_3 \rightarrow \cdots \rightarrow v_n) \rightarrow (v_{n-1} \rightarrow v_{n-2} \rightarrow \cdots \rightarrow v_2) \rightarrow v_1$$

Which has a weight of

$$k(1+\varepsilon) + 2 + (k-2)(1+\varepsilon) = (1+\varepsilon)(2k-2) + 2 = (1+\varepsilon)(n-3) + 2 = n(1+\varepsilon) - 1 - 3\varepsilon$$

(2) We want

$$n\frac{3+\varepsilon}{2} - \frac{3+\varepsilon}{2} = \frac{3}{2}(n(1+\varepsilon) - 1 - 3\varepsilon)$$
$$\varepsilon n = 4\varepsilon$$

So there is no such f(n).

### 2.2 Exercise

Consider the greedy algorithm we discussed in class for Set-Cover. Describe a family of instances (for arbitrarily large k) such that the analysis is tight.

Let us take k to be a power of 2, then we define  $X = [1, 2k]^2$  (the set of all integer points  $(x_1, x_2)$  where  $x_i \in [1, 2k]$ ). Then let us define the following the sets

$$S_1 = [1, 2k] \times [1, k], \quad S_2 = [1, 2k] \times [k, 2k]$$

Then let us define a sequence of values  $a_0 = 0, a_1, \ldots$  and sets

$$A_n = [a_{n-1}, a_n] \times [1, 2k]$$

The optimal solution is 2, but we want at each step to choose a set  $A_n$ . Let

$$U_n = X \setminus \bigcup_{i \le n} A_n X \setminus [1, a_{n-1}] \times [1, 2k] = [a_{n-1} + 1, 2k] \times [1, 2k]$$

then we want  $A_n$  to maximize  $S \cap U_n$ . Note that the size of  $S_i \cap U_n$  is  $(2k - a_{n-1} - 1)k$ , and the size of  $A_n \cap U_n$  is  $(a_n - a_{n-1} - 1)2k$ , so we want

$$2k^{2} - a_{n-1}k - k \le 2a_{n}k - 2a_{n-1}k - 2k \iff 2k + a_{n-1} + 1 \le 2a_{n} \iff a_{n} \ge k + \frac{a_{n-1}}{2} + \frac{1}{2}$$

So let us define  $a_n = k + a_{n-1}/2 + 1/2$ , and solving this gives

$$a_n = 2k + 1 - \frac{2k+1}{2^n}$$

In order for  $a_n \leq 2k$  we must have

$$1 \le \frac{2k+1}{2^n} \iff n \le \log_2(2k+1)$$

So we have that the optimal solution is  $log_2(2k+1)$  as required.

## 2.3 Exercise

Consider the following bin packing algorithm: given an item, pack it into the last opened bin. If this is impossible, open a new bin. Show that  $|alg| \le 2|opt| - 1$ .

Let  $U = \{\mu_1, \dots, \mu_n\}$  be our objects, with corresponding weights  $s(\mu_1), \dots, s(\mu_n)$ . Recall that

$$\sum_{i=1}^{n} \mathsf{s}(\mu_i) \le |\mathrm{opt}|$$

let  $B_1^A, \ldots, B_m^A$  be the bins used by any algorithm A, then

$$\sum_{i=1}^{n} \mathsf{s}(\mu_i) = \sum_{i=1}^{m} \mathsf{s}(B_i^A) \le \sum_{i=1}^{m} 1 = m$$

so in particular,  $\sum_{i=1}^{n} s(\mu_i) \leq |\text{opt}|$ . Now let  $B_1, \ldots, B_m$  be the bins used by our algorithm, notice that  $s(B_i) + s(B_{i+1}) > 1$  as otherwise the algorithm would've just combined the two bins. So

$$2\sum_{i=1}^m \mathsf{s}(B_i) = \sum_{i=1}^{m-1} \bigl(\mathsf{s}(B_i) + \mathsf{s}(B_{i+1})\bigr) + \mathsf{s}(B_1) + \mathsf{s}(B_n) > m-1 + \mathsf{s}(B_1) + \mathsf{s}(B_n)$$

So we have that

$$m-1+\mathsf{s}(B_1)+\mathsf{s}(B_n)<2|\mathrm{opt}| \implies m+\varepsilon<2|\mathrm{opt}|+1 \implies m+\varepsilon\leq 2|\mathrm{opt}| \implies m\leq 2|\mathrm{opt}|-1$$

As required.

### 2.4 Exercise

Consider an alternative algorithm for the bin-packing algorithm where all the items have weight at least  $\delta$ . In this algorithm, we convert our set of items U to a new set U' where for every  $u \in U$  we add an item u' to U' where  $w_{u'}$  is  $w_u$  rounded up to the nearest multiple of  $\frac{\delta}{k}$ . I.e.  $w_{u'} = \frac{\delta}{k} \cdot \left[\frac{k}{\delta}w_u\right]$ .

- (1) Show that  $|\operatorname{opt}(U)| \leq |\operatorname{opt}(U')|$ .
- (2) Show that  $|\operatorname{opt}(U')| \le t|\operatorname{opt}(U)| + O(1)$  for as small of a t as possible.
- (1) Since  $w_u \leq w_{u'}$  if  $B_1, \ldots, B_m$  is a valid allocation of U', it is also a valid allocation of U. Thus the optimal solution to U' is a solution to U.
- (2) Notice that

$$w_{u'} \le \frac{\delta}{k} \cdot \left(\frac{k}{\delta}w_u + 1\right) = w_u + \frac{\delta}{k}$$

and so now suppose that opt gives the bins  $B_1, \ldots, B_m$ , we'd have that

$$s(B_i') \le s(B_i) + \frac{\delta}{k} \cdot |B_i| \le 1 + \frac{\delta}{k} \cdot |B_i|$$

and so if we require that  $k \geq |B_i|$  for  $1 \leq i \leq m$  we have that  $s(B_i') \leq 1 + \delta$ . Now for each  $B_i$  such that  $s(B_i') > 1$  we can remove the minimal element (which is greater than  $\delta$ ), and this will reduce the bin's weight to at most 1. This minimal element's original weight is at most  $\frac{1}{2}$  (since otherwise, it must be equal to 1, and we can just require that  $\frac{k}{\delta} \in \mathbb{N}$  so w' = w = 1, and so  $s(B_i) = 1$ ). If it is  $\frac{1}{2}$ , then this means that there is one other element in the bin which is also  $\frac{1}{2}$ , but then  $w' = \frac{\delta}{k} \left\lceil \frac{k}{2\delta} \right\rceil$  and we can assume  $\frac{k}{2\delta} \in \mathbb{N}$ . So  $w' = \frac{1}{2}$  and so  $s(B_i) = 1$ . Thus we have that  $w < \frac{1}{2}$  and  $w' \leq w + \frac{\delta}{k}$ , and we want  $w' \leq \frac{1}{2}$ , so we require  $w + \frac{\delta}{k} \leq \frac{1}{2}$ , so  $k \geq 2\delta \frac{1}{1-2w}$ . Thus we also require

$$k \geq 2\delta \max_{w_i < \frac{1}{2}} \frac{1}{1 - 2w_i}$$

and then we have that  $w' \leq \frac{1}{2}$  for all of the weights which we removed, and thus we can pack two weights into a single box. So for every two boxes, we create one extra box, thus

$$|\text{opt}'| \le 1.5|\text{opt}| + O(1)$$