

Field and Galois Theory

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1 Field Extensions and Minimal Polynomials

1.1 Dimensions of Field Extensions

Definition 1.1.1

Suppose F and K are fields such that $F \subseteq K$. Then the pair is called a **field extension** and is denoted K/F .

Notice that if K/F is a field extension, then K can be viewed as a F -linear space, and thus has a dimension. We denote this dimension $[K : F] := \dim_F K$, this is unsurprisingly called the *dimension* (or *degree* of the extension. An extension is called *finite* if its dimension is finite. Immediately we can prove a useful theorem about dimensions of extensions:

Theorem 1.1.2

Suppose K/F is a field extension and V a K -vector space. Then by viewing V as an F -linear space:

$$\dim_F V = \dim_K V \cdot [K : F]$$

Proof: let B_1 be a basis for V relative to K and B_2 be a basis for K relative to F . Then define $B = \{\alpha v \mid \alpha \in B_2, v \in B_1\} \subseteq V$, which we claim is a basis for V relative to F . Firstly, it is linearly independent: suppose $\alpha_1 v_1, \dots, \alpha_n v_n$ are in B and β_1, \dots, β_n are in F such that

$$\beta_1 \alpha_1 v_1 + \dots + \beta_n \alpha_n v_n = 0$$

Since B_1 is a basis for V , then $\beta_i \alpha_i = 0$ for all i , and since B_2 is a basis it has no zeroes, so $\beta_i = 0$ for all i , meaning B is linearly independent.

B spans V since if $v \in B$ then $v = \sum_{i=1}^n \alpha_i v_i$ for $v_i \in B_1$ and $\alpha_i \in K$, and so each α_i can be written as the linear combination of elements in B_2 . So all in all v can be written as the linear combination of elements in B . And so B is a basis of V , and $(\alpha, v) \mapsto \alpha v$ is a bijection from $B_1 \times B_2$ to B : it obviously is surjective and if $\alpha_1 v_1 = \alpha_2 v_2$ then $\alpha_1 = \alpha_2$ and $v_1 = v_2$ since B_1 is linearly independent. Thus V is a basis of cardinality $|B_1 \times B_2| = \dim_K V \cdot [K : F]$ as required. ■

In particular if $E/K/F$ are field extensions then

$$[E : F] = [E : K] \cdot [K : F]$$

this is called the *multiplicity of dimension*.

1.2 Constructing Fields

Recall the following methods of constructing fields:

- (1) If R is a commutative ring and $M \triangleleft R$ is a maximal ideal that R/M is a field. In particular if F is a field, $R = F[x]$, and p is an irreducible polynomial then (p) is maximal and so $F[x]/(p)$ is a field.

- (2) If F is a field, so is the field of rational functions:

$$F \subseteq F(x) := \left\{ \frac{f(x)}{g(x)} \mid f, g \in F[x], g \neq 0 \right\}$$

- (3) If C is a chain of fields (meaning that for every $F, F' \in C$ either $F \subseteq F'$ or $F' \subseteq F$), then $\bigcup_{F \in C} F$ is also a field (the theory of fields is *inductive*). So for example $F(\lambda_1, \lambda_2, \dots)$ is a field, the union of the chain $F_n = F(\lambda_1, \dots, \lambda_n)$, the field of rational functions over F_{n-1} .
- (4) If C is a chain of fields, then $\bigcap_{F \in C} F$ is also a field.

Definition 1.2.1

Let K/F be a field extension and $a \in K$, then denote $F(a)$ the smallest subfield of K containing both F and a .

It is not hard to see that

$$F(a) = \left\{ \frac{f(a)}{g(a)} \mid f, g \in F[x], g(a) \neq 0 \right\}$$

Though we can actually get a simpler structure for $F(a)$.

Definition 1.2.2

Let K/F be a field extension with $a \in K$, then define the **evaluation homomorphism** at a to be the homomorphism $\psi_a: F[x] \rightarrow K$ defined by $\psi_a(s) = s$ for $s \in F$ and $\psi_a(x) = a$. This uniquely defines

$$\psi_a\left(\sum \alpha_i x^i\right) = \sum \alpha_i a^i$$

Definition 1.2.3

Let K/F be a field extension, then $a \in K$ is **transcendental** if the kernel of the evaluation homomorphism is trivial: $\ker \psi_a = 1$. Otherwise a is **algebraic**.

If a is transcendental then $\ker \psi_a = 1$ and so by the isomorphism theorem

$$\text{Im} \psi_a = \{f(a) \mid f \in F[x]\} = F[a] \cong F[x] / \ker \psi_a \cong F[x]$$

In fact we can extend ψ_a to a homomorphism $F(x) \rightarrow F(a)$, and we similarly get an isomorphism $F(x) \cong F(a)$. Thus in the case that a is transcendental, we get

$$\begin{array}{ccccc} F & \subseteq & F[a] & \subseteq & F(a) \subseteq K \\ & & \cong & & \cong \\ & & F[x] & & F(x) \end{array}$$

Otherwise, suppose a is algebraic. Since $F[x]$ is a Euclidean domain, it is a PID, and therefore every ideal is a prime ideal. In particular $\ker \psi_a$ must be generated by some polynomial h_a . This means that $\ker \psi_a = (h_a) = h \cdot F[x]$, and so $h_a(a) = 0$ and if $f(a) = 0$ as well then h_a divides f . h_a is therefore called the *minimal polynomial* of a .

Now if $n = \deg h$ then $F[a] = \text{span}\{1, a, \dots, a^{n-1}\}$ since if $f \in F[x]$ then $f = h_a q + r$ for $\deg r < n$ by Euclidean division, and so $f(a) = r(a)$. And $r(x)$ is in $\text{span}\{1, \dots, a^{n-1}\}$ due to its dimension being at most $n-1$. Thus $\{1, \dots, a^{n-1}\}$ spans $F[a]$, and it is a basis since any linear combination cannot be zero as $h_a(x)$ is minimal and has degree n . Therefore $F[a]$ is a F -linear space of dimension n .

Notice that

$$F[x] / (h_a) = F[x] / \ker \psi_a \cong \text{Im} \psi_a = \{f(a) \mid f \in F[x]\} = F[a] = \text{span}\{1, \dots, a^{n-1}\} \subseteq K$$

Since K is an integral domain, so is $F[a]$. Therefore (h_a) is a prime ideal, since a quotient ring is an integral domain iff the ideal is prime. Since $F[x]$ is a PID, prime and maximal ideals are the same, so (h_a) is maximal and therefore $F[a]$ is a field.

So we have proven

Proposition 1.2.4

Let K/F be a field extension and $a \in K$ algebraic in F . Let h_a be a 's minimal polynomial over F , then

- (1) h_a is irreducible,
- (2) $F[a]$ is a field,
- (3) $[F[a] : F] = n = \deg h_a$ and has a basis $\{1, a, \dots, a^{n-1}\}$.

In particular we have shown that when a is algebraic, $F(a) = F[a]$.

Proposition 1.2.5

Suppose $F \subseteq K$ where F is a field and K is an integral domain. Further suppose $[K : F]$ is finite. Then every element of K is algebraic and K is a field.

Proof: let $a \in K$, then

$$[K : F] = [K : F[a]] \cdot [F[a] : F]$$

meaning $[F[a] : F]$ must be finite and so a must be algebraic (as otherwise $F[a] \cong F[x]$ which has infinite degree). Since $F[a]$ is a field, it must have a multiplicative inverse for a , meaning K is a field. ■

Notice that $[F[a, b] : F[a]] \leq [F[b] : F]$, since the minimal polynomial of b relative to F , h_b , is also a zeroing a polynomial of b over $F[a]$. And so $[F[a, b] : F[a]] \leq \deg h_b = [F[b] : F]$. Thus we have that by multiplicity

$$[F[a, b] : F] = [F[a, b] : F[a]] \cdot [F[a] : F] \leq [F[b] : F] \cdot [F[a] : F]$$

And inductively we can show

Proposition 1.2.6

Suppose K/F is a field extension and a_1, \dots, a_n then

$$[F[a_1, \dots, a_n] : F] \leq \prod_{i=1}^n [F[a_i] : F]$$

Definition 1.2.7

Call a field extension K/F **algebraic** if every $a \in K$ is algebraic over F .

Lemma 1.2.8

Suppose $F_3/F_2/F_1$ are field extensions such that F_2/F_1 is algebraic and $a \in F_3$ is algebraic over F_2 . Then it is also algebraic over F_1 .

Proof: there exists an $f \in F_2[x]$ such that $f(a) = 0$. Suppose $f = \sum b_i x^i$, then a is algebraic over $F_1[b_0, \dots, b_n]$. Then

$$[F_1[b_0, \dots, b_n, a] : F_1] = [F_1[b_0, \dots, b_n, a] : F_1[b_0, \dots, b_n]] \cdot [F_1[b_0, \dots, b_n] : F_1]$$

and since a is algebraic over $F_1[b_0, \dots, b_n]$ and $b_i \in F_2$ are algebraic over F_1 , the right-hand side is finite. Thus a is algebraic over F_1 by the left-hand side, as required. ■

Theorem 1.2.9

Let K/F be a field extension, then

$$\text{Alg}_F(K) := \{a \in K \mid a \text{ is algebraic over } F\}$$

is a field. Furthermore, every element in $K \setminus \text{Alg}_F(K)$ is transcendental over $\text{Alg}_F(K)$.

Proof: notice that $F[a \cdot b], F[a + b] \subseteq F[a, b]$ and $[F[a, b] : F] \leq [F[a] : F] \cdot [F[b] : F] < \infty$ for $a, b \in \text{Alg}_F(K)$. So $\text{Alg}_F(K)$ is closed under addition and multiplication. It is also obviously closed under additive inverses since $F[-a] = F[a]$. And since $F[a]$ is a field, $a^{-1} \in F[a]$ so $F[a^{-1}] \subseteq F[a]$ and thus $[F[a^{-1}] : F] \leq [F[a] : F] < \infty$, so a^{-1} is algebraic over F . So $\text{Alg}_F(K)$ is indeed a field.

Now suppose $a \in K \setminus \text{Alg}_F(K)$ is algebraic over $\text{Alg}_F(K)$. Then by the above lemma, it is algebraic over F since $\text{Alg}_F(K)/F$ is trivially algebraic. But then $a \in \text{Alg}_F(K)$ by definition, in contradiction. ■

1.3 Splitting Fields**Proposition 1.3.1**

Let F be a field and $f \in F[x]$ be an irreducible polynomial. Then there exists a field extension K/F such that f has a root in K and $[K : F] = \deg f$.

Proof: since f is irreducible, (f) is maximal (since $F[x]$ is a PID so prime ideals are maximal). Thus $K = F[x]/(f)$ is a field. The dimension of K is $\deg f$ since it has a basis $\{1, x, \dots, x^{\deg f - 1}\}$.

By the second isomorphism theorem,

$$F / F \cap (f) \cong F + (f) / (f) \subseteq F[x] / (f) = K$$

But $F \cap (f) = 0$, and so $F / F \cap (f) = F / 0 \cong F$. Thus we can embed F into K , so we can view K/F as a field extension.

Now, define $\alpha = x + (f)$, and suppose $f(x) = \sum_{i=0}^n a_i x^i$ for $a_i \in F$. Then

$$f(\alpha) = \sum_{i=0}^n a_i (x + (f))^i = \sum_{i=0}^n a_i (x^i + (f)) = \sum_{i=0}^n a_i x^i + \sum_{i=0}^n a_i (f) = f + (f) = 0$$

Thus α is a root of f in K . ■

Corollary 1.3.2

Let F be a field and $f \in F[x]$ a polynomial. Then there exists a field extension K/F such that f has a root in K and $[K : F] \leq \deg f$.

Proof: take an irreducible factorization of f and apply the above result to one of its factors. ■

Definition 1.3.3

Suppose F is a field and $f \in F[x]$. Then f **splits** in F if there exist $\alpha_1, \dots, \alpha_n \in F$ such that $f(x) = (x - \alpha_1) \cdots (x - \alpha_n)$.

Proposition 1.3.4

Let $f \in F[x]$ then there exists a field extension K/F such that f splits in K and $[K : F] \leq (\deg f)!$.

Proof: by induction on $n = \deg f$. For $n = 1$, f is linear and thus has a root so we can take $K = F$. Now suppose $\deg f = n + 1$, then by corollary 1.3.2 there exists a field extension K_0/F such that f has a root in K_0 and $[K_0 : F] \leq n + 1$. Now suppose $\alpha \in K_0$ is a root of f , then there exists a $g(x) \in K_0[x]$ such that $(x - \alpha)g(x) = f(x)$ and so $\deg g \leq n$. Therefore inductively there is a field extension K/K_0 which splits $g(x)$ and thus $f(x)$ and

$$[K : F] = [K : K_0] \cdot [K_0 : F] \leq n! \cdot (n + 1) = (n + 1)!$$

as required. ■

Definition 1.3.5

Suppose K/F is a field extension and $\varphi: F \hookrightarrow E$ is an embedding into some other field E . Then an **extension** of φ to K is an embedding $\bar{\varphi}: K \hookrightarrow E$ such that $\bar{\varphi}|_F = \varphi$ ($\bar{\varphi}$ is equal to φ on F). Let us then define

$$\eta_{K/F}^\varphi := \#\{\bar{\varphi} \mid \bar{\varphi} \text{ is an extension of } \varphi\}$$

In other words, an extension is an embedding $\bar{\varphi}$ such that the following diagram commutes:

$$\begin{array}{ccc} & E & \\ \varphi \nearrow & & \nwarrow \bar{\varphi} \\ F & \xrightarrow{\iota} & K \end{array}$$

Where $\iota: F \rightarrow K$ is the inclusion embedding.

Suppose f, g are two field homomorphisms $F(a_1, \dots, a_n) \rightarrow K$ such that $f(x) = g(x)$ for all $x \in F$ and $f(a_i) = g(a_i)$ for $1 \leq i \leq n$. Then $f(x) = g(x)$ on all of $F(a_1, \dots, a_n)$. This is since $\{r \in F(a_1, \dots, a_n) \mid f(r) = g(r)\}$ is a field containing F and a_1, \dots, a_n and thus $F(a_1, \dots, a_n)$.

In particular if $\varphi: F \hookrightarrow E$ is an embedding, then an extension $\bar{\varphi}: F(a_1, \dots, a_n) \rightarrow E$ is defined entirely by its image on a_1, \dots, a_n .

Proposition 1.3.6

Suppose $K = F[\alpha]$, then $\eta_{K/F}^\varphi$ is equal to the number of distinct roots the minimal polynomial of α has in E . Formally, if $h(x) = \sum_{i=0}^n a_i x^i$ then define $\hat{h}(x) = \sum_{i=0}^n \varphi(a_i) x^i$, and $\eta_{K/F}^\varphi$ is equal to the number of roots $\hat{h}(x)$ has in E .

In particular $\eta_{K/F}^\varphi$ is independent of the choice of φ .

Proof: let $h(x) \in F[x]$ be the minimal polynomial of α , and $\bar{\varphi}$ be an extension of φ to K , then

$$\hat{h}(\bar{\varphi}(\alpha)) = \sum_{i=0}^n \varphi(a_i) \bar{\varphi}(\alpha)^i = \sum_{i=0}^n \bar{\varphi}(a_i) \bar{\varphi}(\alpha)^i = \bar{\varphi}\left(\sum_{i=0}^n a_i \alpha^i\right) = \bar{\varphi}(h(\alpha)) = \bar{\varphi}(0) = 0$$

Thus $\bar{\varphi}(\alpha)$ must be a root of $\hat{h}(x)$, and as explained above extensions of embeddings to $K = F[\alpha]$ are dependent only on their image of α . So there are at most as many extensions as there are distinct roots of \hat{h} .

Now suppose $\beta \in E$ is a root of \hat{h} , then we claim that there exists an extension with $\bar{\varphi}(\alpha) = \beta$. Indeed, $\alpha \notin F$ and β is not in the image of φ (as then $0 = \hat{h}(\varphi(a)) = \varphi(\hat{h}(a))$ so a is a root of $\hat{h}(x)$ but \hat{h} is irreducible), so this is well-defined. ■

Definition 1.3.7

A polynomial f which splits over E is called **separable** over E if its linear factors are all distinct (i.e. it has $n = \deg f$ distinct roots in E).

When we have an embedding $\varphi: F \hookrightarrow E$ and a polynomial $f \in F[x]$ and we say that f has some property in E (e.g. splits over E , separable over E), then we mean that its image under φ has that property. Meaning if $f(x) = \sum_{i=0}^n a_i x^i$ then $\sum_{i=0}^n \varphi(a_i) x^i$ has said property.

Theorem 1.3.8

Let K/F be a finite extension, and let $\varphi: F \hookrightarrow E$ be an embedding. Then

- (1) $\eta_{K/F}^E \leq [K : F]$;
- (2) if $K = F[\alpha_1, \dots, \alpha_n]$ where α_i are roots of some $f \in F[x]$ which splits over E , then $1 \leq \eta_{K/F}^\varphi$. Meaning there exists at least one extension of φ to K ;
- (3) if f is also separable over E , then $\eta_{K/F}^\varphi = [K : F]$.

Proof: since K/F is finite, we have that $K = F[\alpha_1, \dots, \alpha_n]$ (we can take $\{\alpha_1, \dots, \alpha_n\}$ to be a basis for K as a F -linear space).

- (1) We proceed inductively on n . For $n = 1$, by the previous proposition $\eta_{K/F}^\varphi$ is equal to the number of roots h_{α_1} (the minimal polynomial of α_1) has in E .

For the inductive step, define $F_1 = F[\alpha_1]$, and so

$$\begin{aligned} \eta_{K/F}^\varphi &= \#\{\varphi'': K \longrightarrow E \text{ is an extension of } \varphi\} \\ &= \#\bigcup \{\varphi'': K \longrightarrow E \text{ is an extension of } \varphi' \mid \varphi': F_1 \longrightarrow E \text{ is an extension of } \varphi\} \\ &= \sum_{\varphi'} \eta_{K/F_1}^{\varphi'} \end{aligned}$$

By our inductive hypothesis, $\eta_{K/F_1}^{\varphi'} \leq [K : F_1]$ and $\eta_{F_1/F}^\varphi \leq [F_1 : F]$ so

$$\leq \sum_{\varphi'} [K : F_1] = [F_1 : F] \cdot [K : F] = [K : F]$$

as required.

- (2) Again, we proceed inductively on n . For $n = 1$, $K = F[\alpha]$ and $\eta_{K/F}^\varphi$ is equal to the number of roots h_α has in E . But since $f(\alpha) = 0$ and h_α is minimal, h_α must divide f and therefore split in E , meaning it has at least one root in E . So $1 \leq \eta_{K/F}^\varphi$ as required.

Inductively, set $F_1 = F[\alpha_1]$ and so there exists an extension of φ to $\varphi': F_1 \hookrightarrow E$ by our base case. And there then exists an extension of φ' to $\varphi'': K \hookrightarrow E$, so there exists at least one extension as required.

- (3) If we review the proof of (2), for the base case we must have that f is separable and splits in E , which means that h_α does as well. Then h_α has precisely $\deg h_\alpha$ distinct roots in E , so $\eta_{K/F}^\varphi = \deg h_\alpha = [K : F]$ as required. The rest of the proof proceeds similarly. ■

Definition 1.3.9

Let $f \in F[x]$ be any polynomial over F . Then a field $F \subseteq K$ is called a **splitting field** if f splits over K

and it contains no other field over which f splits (meaning it is the smallest field which splits f).

Notice that if K is a splitting field of $f \in F[x]$, then K is of the form $K = F[\alpha_1, \dots, \alpha_n]$ where α_i are roots of f in K . Then

$$[K : F] \leq \prod_{i=1}^n [F[\alpha_i] : F] < \infty$$

so K/F is a finite extension. And such a finite field exists: we know there exists a field extension F_1 such that f has a root α_1 in F_1 , so there must be an extension F_2/F_1 such that $f/(x - \alpha_1)$ has a root α_2 in F_2 , and we continue inductively. This gives us a field F_n with roots $\alpha_1, \dots, \alpha_n$ and so defining $K = F[\alpha_1, \dots, \alpha_n]$ gives us a splitting field.

Theorem 1.3.10

Any two splitting fields of a polynomial $f \in F[x]$ are isomorphic.

Proof: let K be a splitting field of f , and suppose f splits in E , where $F \subseteq E$. By the above theorem, there must exist an extension of the inclusion embedding $F \hookrightarrow E$ to an embedding $K \hookrightarrow E$. This embedding gives rise to an embedding of F -linear spaces, meaning $[K : F] \leq [E : F]$. In particular, if E is another splitting field of f then $[E : F] \leq [K : F]$ as well, so that K and E are isomorphic F -linear spaces, and thus are isomorphic as fields. ■

Definition 1.3.11

Let $f(x) = \sum_{k=0}^n a_k x^k \in F[x]$ be a polynomial. We define its **formal derivative** to be the polynomial

$$f'(x) = \sum_{k=1}^n k a_k x^{k-1}$$

It is not hard to prove that $(f + g)' = f' + g'$ and $(f \cdot g)' = f'g + fg'$.

Lemma 1.3.12

Let $f, g \in F[x]$ and define $r(x) = \gcd(f, g)$. Then $r(x)$ is the gcd of f and g over every field extension K/F .

Proof: let $r_K(x)$ be the gcd of f, g over K . Since $r(x)$ still divides f, g we have that $r(x) | r_K(x)$. And by Euclid's algorithm there exist $a(x), b(x) \in F[x]$ such that

$$r(x) = a(x)f(x) + b(x)g(x)$$

But $r_K(x)$ divides f, g so it divides $r(x)$. Thus $r_K(x) = r(x)$ as required. ■

Theorem 1.3.13

Let $f \in F[x]$ be a polynomial, then f is separable if and only if $\gcd(f, f') = 1$.

Proof: let K be a splitting field of f . Suppose f is not separable, then it has the form $f(x) = (x - \alpha)^m g(x)$ for $g(x) \in K[x]$ and $m > 1$. But then $f'(x) = m(x - \alpha)^{m-1} g(x) + (x - \alpha)^m g'(x)$ and so $x - \alpha$ is a common factor of both f and f' so $\gcd(f, f') \neq 1$ in $K[x]$, but the gcd of f, f' in F is equal to its gcd in K by the above lemma.

Alternatively if f is separable, then $f(x) = \prod_{i=1}^n (x - \alpha_i)$ and so

$$f'(x) = \sum_{j=1}^n \prod_{\substack{1 \leq i \leq n \\ i \neq j}} (x - \alpha_i)$$

But the irreducible factors of f , which are $x - \alpha_i$, do not divide $f'(x)$ since no two roots are equal. Thus $\gcd(f, f') = 1$. ■

Recall that for any ring R , there is a unique homomorphism $\varphi: \mathbb{Z} \rightarrow R$. In particular if F is a field then $\mathbb{Z}/\ker \varphi \cong \text{Im} \varphi \subseteq F$. Since F is a field, $\text{Im} \varphi$ is an integral domain and so $\ker \varphi$ is a prime ideal of \mathbb{Z} , meaning $\ker \varphi = (p)$ for some prime p or 0. This is called the *characteristic* of F .

Since $\varphi(n) = 1 + \cdots + 1$, the characteristic of F is simply the prime p such that $\varphi(p) = 0$, i.e. $1 + \cdots + 1 = 0$ (p times), or 0 if no such primes exist.

Definition 1.3.14

The **characteristic** of a field F is the unique positive generator of the kernel of $\varphi: \mathbb{Z} \rightarrow F$. Equivalently it is the minimum number p such that $1 + \cdots + 1 = 0$ (p times), or 0 if no such p exists.

If F has characteristic 0, then φ is an embedding so we can view \mathbb{Z} as a subfield of F . But then the field generated by \mathbb{Z} must also be a subfield of (embeddable into) F , meaning $\mathbb{Q} \subseteq F$. Similarly for fields of characteristic $p > 0$, $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p \subseteq F$.

Notice that for fields of characteristic p , $\binom{p}{k} = \frac{p!}{k!(p-k)!}$ is zero for $k \neq 0, p$. Thus:

$$(a + b)^p = \sum_{k=0}^p \binom{p}{k} a^k b^{p-k} = a^p + b^p$$

So $x \mapsto x^p$ is a homomorphism, called the *Frobenius homomorphism*. It can be viewed as a homomorphism to $F^p = \{x^p \mid x \in F\}$ (which is a field precisely because the Frobenius homomorphism is a homomorphism). The homomorphism has a trivial kernel, so $F \cong F^p$. In particular every element of F is of the form x^p .

Theorem 1.3.15

Let $f \in F[x]$ be an irreducible polynomial, then the following are equivalent:

- (1) f is not separable (has a multiple root),
- (2) F has a characteristic $p > 0$, and $f(x) = g(x^p)$ for some $g \in F[x]$,
- (3) every root of f is a multiple root.

Proof: (1) \implies (2): by theorem 1.3.13 we have that $\gcd(f, f') \neq 1$. But f is irreducible and thus has no nontrivial divisors, so $f' = 0$. But since f is nonconstant, we must have that F is of characteristic p (since in characteristic 0 a nonconstant polynomial cannot have a zero derivative).

Now, if $f(x) = \sum_{k=0}^n a_k x^k$ then $ka_k = 0$ for all k since $f'(x) = 0$. So for k not divisible by p , this means that $k \neq 0$ and so $a_k = 0$. Thus

$$f(x) = \sum_{p|k} a_k x^k = \sum_j a_{pj} x^{pj}$$

so define $g(x) = \sum_j a_{pj} x^j$ and we have the desired result.

(2) \implies (3): take a splitting field of $g(x)$, then write $g(x) = a \prod_i (x - a_i)^{m_i}$. Then we have that $f(x) = a \prod_i (x^p - a_i)^{m_i}$. We can extend this to a field with p -roots of a_i (which are roots of $x^p - a_i$), α_i , and so over this field $f(x) = a \prod_i (x - \alpha_i)^{pm_i}$. So all the roots of f have a multiplicity greater than 1.

(3) \implies (1) is trivial. ■

2 Galois Groups

2.1 Galois Groups

Definition 2.1.1

Let K/F , K'/F be field extensions, then a homomorphism $\varphi: K \rightarrow K'$ is called a **F -homomorphism** if $\varphi(a) = a$ for all $a \in F$. φ is an **F -automorphism** if $K = K'$ and φ is an automorphism.

Notice that if φ is a field homomorphism, then it is injective since its kernel is an ideal, and the only ideals of a field are F and 0 . Since a homomorphism must map 1 to 1 , its kernel cannot be F , meaning it must be injective. Thus to validate that $\varphi: K \rightarrow K$ is an automorphism, we need to check only that it is surjective.

Furthermore, if $\varphi: K \rightarrow K$ is an F -homomorphism, then it is an injective linear operator on K . If $[K : F]$ is finite, we know from linear algebra that φ is then surjective. So over finite field extensions, all F -endomorphisms (homomorphisms over a field) are automorphisms.

Definition 2.1.2

Let K/F be a field extension, then we define its **Galois group** to be

$$\text{Gal}(K/F) := \{\sigma: K \rightarrow K \mid \sigma \text{ is an } F\text{-automorphism}\}$$

Let $f \in F[x]$ with a root $\alpha \in K$ and $\sigma \in \text{Gal}(K/F)$. Then we know that

$$f(\sigma(\alpha)) = \sigma(f(\alpha)) = \sigma(0) = 0$$

thus F -automorphisms must permute the roots of polynomials.

Proposition 2.1.3

Let K/F be a field extension and $f \in F[x]$ be irreducible with roots $a, b \in K$. Then there exists an F -isomorphism $\varphi: F[a] \rightarrow F[b]$.

Proof: the inclusion map $\iota: F \rightarrow F[b]$ can be extended to $\iota: F[x] \rightarrow F[b]$ by $\iota(x) = b$. This is obviously surjective, and its kernel is all polynomials g such that $g(b) = 0$. Since f is the minimal polynomial of b , we have that $\ker \iota = (f)$, and so by the first isomorphism theorem there is an isomorphism

$$\varphi: F[x]/(f) \rightarrow F[b]$$

similarly we can construct an isomorphism

$$\psi: F[x]/(f) \rightarrow F[a]$$

then our desired isomorphism is $\varphi\psi^{-1}$. ■

Recall from theorem 1.3.8 that if K/F is a field extension and $\iota: F \rightarrow K$ the inclusion map, then

$$\eta_{K/F}^{\iota} \leq [K : F]$$

but extensions of ι to embeddings $K \hookrightarrow K$ are precisely the F -homomorphisms. Meaning $|\text{Gal}(K/F)| \leq \eta_{K/F}^{\iota}$, and this is an equality when $[K : F]$ is finite since F -homomorphisms are automorphisms over finite dimensional vector spaces. So $|\text{Gal}(K/F)| \leq [K : F]$.

Furthermore, if K is the splitting field of some $f \in F[x]$ which is also separable in K then by the same theorem, $|\text{Gal}(K/F)| = [K : F]$. Let us summarize this:

Proposition 2.1.4

If K/F is a finite extension, then $|\text{Gal}(K/F)| \leq [K : F]$. And if furthermore K is the splitting field of some separable polynomial $f \in F[x]$, then this becomes an equality.

In the future we will generalize this result: in fact $|\text{Gal}(K/F)| = [K : F]$ if and only if K is the splitting field of some separable polynomial.

Example 2.1.5

Compute $\text{Gal}(\mathbb{Q}[\sqrt{2}, \sqrt{3}]/\mathbb{Q})$.

Notice that $E = \mathbb{Q}[\sqrt{2}, \sqrt{3}]$ is the splitting field of $(x^2 - 2)(x^2 - 3)$, which is also separable. So by the above proposition

$$|\text{Gal}(E/\mathbb{Q})| = [E : \mathbb{Q}] = [E : \mathbb{Q}[\sqrt{2}]] \cdot [\mathbb{Q}[\sqrt{2}] : \mathbb{Q}]$$

We know that $x^2 - 2$ is the minimal polynomial of $\sqrt{2}$ over \mathbb{Q} and so $[\mathbb{Q}[\sqrt{2}] : \mathbb{Q}] = 2$. And $x^2 - 3$ is a zeroing polynomial of $\sqrt{3}$ in E , and since $\sqrt{3} \notin \mathbb{Q}[\sqrt{2}]$, we have that $[E : \mathbb{Q}[\sqrt{2}]] = 2$. Thys $|\text{Gal}(E/\mathbb{Q})| = 4$.

And as we know, every F -automorphism is defined entirely by where it maps $\sqrt{2}$ and $\sqrt{3}$. We know that $\sqrt{2}$ must map to $\pm\sqrt{2}$ because these are the roots of $x^2 - 2$. And $\sqrt{3}$ must map to $\pm\sqrt{3}$. This gives us exactly 4 automorphisms, and so we have found all the elements of $\text{Gal}(E/\mathbb{Q})$.

If we denote $\sqrt{2}$ by 1, $-\sqrt{2}$ by 2, $\sqrt{3}$ by 3, and $-\sqrt{3}$ by 4 we can embed $\text{Gal}(E/\mathbb{Q})$ in S_4 as follows:

- (1) the automorphism $\sqrt{2} \mapsto -\sqrt{2}$ and $\sqrt{3} \mapsto \sqrt{3}$ corresponds to the transposition (1, 2);
- (2) the automorphism $\sqrt{2} \mapsto \sqrt{2}$ and $\sqrt{3} \mapsto \sqrt{3}$ corresponds to the identity.
- (3) the automorphism $\sqrt{2} \mapsto -\sqrt{2}$ and $\sqrt{3} \mapsto -\sqrt{3}$ corresponds to the permutation (1, 2)(3, 4);
- (4) the automorphism $\sqrt{2} \mapsto \sqrt{2}$ and $\sqrt{3} \mapsto -\sqrt{3}$ corresponds to the transposition (3, 4);

This is the Klein four-group V , and so

$$\text{Gal}(\mathbb{Q}[\sqrt{2}, \sqrt{3}]/\mathbb{Q}) \cong V \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \quad \blacksquare$$

Notice that if F is the *prime field* of K (meaning $F = \mathbb{F}_p$ if K is of characteristic $p > 0$, and $F = \mathbb{Q}$ if $p = 0$), then every automorphism of K must keep F constant, since $\sigma(n) = \sigma(1) + \dots + \sigma(1) = n$ and $\sigma(a/b) = \sigma(a)/\sigma(b) = a/b$. Thus every automorphism of K is an F -automorphism automatically, meaning in the case that F is K 's prime field:

$$\text{Aut}(K) = \text{Gal}(K/F)$$

Definition 2.1.6

Let K be a field and $G \leq \text{Aut}(K)$ a subgroup of K 's automorphisms, then define the **fixed-point field**

$$K^G := \{a \in K \mid \forall \sigma \in G: \sigma(a) = a\}$$

The fixed point field is indeed a field, as is easily verified.

Notice the following properties:

- (1) If $F_2 \subseteq F_1$ then $\text{Gal}(K/L_2) \supseteq \text{Gal}(K/L_1)$ since any L_1 -automorphism must necessarily also keep L_2 constant.
- (2) If $H_2 \subseteq H_1$ then $K^{H_2} \supseteq K^{H_1}$ since if a is held constant by every $\sigma \in H_1$, then it must also be held constant by every $\sigma \in H_2$.

- (3) For every F , $F \subseteq K^{\text{Gal}(K/F)}$ since by definition, every element of F must be held constant by an F -automorphism.
- (4) For every H , $H \subseteq \text{Gal}(K/K^H)$ since every automorphism in H must be a K^H -automorphism, since it by definition holds elements of K^H constant.

Notice then that if L is an intermediate field of K/F (meaning $K/L/F$), $\text{Gal}(K/L)$ is a subgroup of $\text{Gal}(K/F)$, since $F \subseteq L$. And conversely, if H is a subgroup of $\text{Gal}(K/F)$ then H is an intermediate field of K/F , since F is necessarily contained in K^H .

So we have the following correspondence between objects:

$$\begin{array}{ccc} & \text{Gal}(K/\bullet) & \\ \{ \text{Subgroups of } \text{Gal}(K/F) \} & \begin{array}{c} \xleftarrow{\quad} \\ \xrightarrow{\quad} \end{array} & \{ \text{Intermediate fields of } K/F \} \\ & K^\bullet & \end{array}$$

Definition 2.1.7

Let X and Y be two posets (partially ordered sets), then a pair of functions $\alpha: X \longrightarrow Y$ and $\beta: Y \longrightarrow X$ is a **Galois correspondence** if

- (1) α and β reverse order, meaning if $x_1 \leq x_2$ then $\alpha(x_1) \leq \alpha(x_2)$ and similar for β ;
- (2) for every $x \in X$ and $y \in Y$, $x \leq \beta(\alpha(x))$ and $y \leq \alpha(\beta(y))$.

For example (in fact, this is *the* example), $\alpha: F \mapsto \text{Gal}(K/F)$ and $\beta: H \mapsto K^H$ is a Galois correspondence by the properties above.

Proposition 2.1.8

α, β form a Galois correspondence if and only if for all $x \in X$ and $y \in Y$, $y \leq \alpha(x) \iff x \leq \beta(y)$.

Proof: suppose α, β form a Galois correspondence. Then if $x \leq \beta(y)$ then $y \leq \alpha(\beta(y)) \leq \alpha(x)$ (both inequalities are due to the correspondence being Galois: the first is by (2) and the second is by (1)). The proof for α is similar.

Conversely, since $\beta(y) \leq \beta(y)$ we get that $y \leq \alpha(\beta(y))$ (setting $x = \beta(y)$). And similar for α . Now if $x \leq x'$ then $x \leq x' \leq \beta(\alpha(x'))$, so setting $y = \alpha(x')$ we have $x \leq \beta(y)$ and so $y \leq \alpha(x)$, meaning $\alpha(x') \leq \alpha(x)$ as required. ■

Proposition 2.1.9

Let α, β be a Galois correspondence, then

- (1) $\alpha \circ \beta \circ \alpha = \alpha$ and $\beta \circ \alpha \circ \beta = \beta$,
- (2) $\beta(\alpha(x)) = x$ if and only if $x \in \text{Im}(\beta)$ and $\alpha(\beta(y)) = y$ if and only if $y \in \text{Im}\alpha$,
- (3) α and β are inverse functions between $\text{Im}\beta$ and $\text{Im}\alpha$.

Proof:

- (1) Since $x \leq \beta\alpha x$, we have $\alpha x \geq \alpha\beta\alpha x$. Conversely, let $y = \alpha x$ then this means $y \leq \alpha\beta y$, and so $\alpha x \leq \alpha\beta\alpha x$ as required. Similar for $\beta\alpha\beta$.
- (2) If $\alpha\beta(y) = y$ then trivially $y \in \text{Im}\alpha$, and if $y \in \text{Im}\alpha$ then $y = \alpha x$ and so $\alpha\beta(y) = \alpha\beta\alpha(x) = \alpha(x) = y$ by (1).
- (3) This is direct from (2). ■

Definition 2.1.10

An extension K/F is

- (1) **Separable** if it is algebraic and the minimal polynomial of every $a \in K$ is separable.
- (2) **Normal** if it is algebraic and the minimal polynomial of every $a \in K$ splits over K .
- (3) **Galois** if it is both separable and normal. Meaning every minimal polynomial splits into distinct linear factors over K .

Lemma 2.1.11

Let K/F be an extension, $a, b \in K$ with minimal polynomials f_a and f_b respectively. Then $f_a = f_b$ or f_a, f_b are coprime (which is independent on what field we look at, since the gcd is the same).

Proof: suppose $f_a \neq f_b$. Then they can't share a root since because if they did then they would both be the minimal polynomial of said root. Now, let E be a splitting field of f_a , then since f_a splits into linear factors over E and these are all coprime with f_b since they don't share a root, the gcd in E of f_a and f_b is 1. But the gcd in a field extension is equal to the gcd in the field itself, so f_a and f_b are coprime. ■

Theorem 2.1.12

Let K/F be a finite extension, then the following are equivalent:

- (1) K/F is Galois,
- (2) K is the splitting field of some separable polynomial over F ,
- (3) $|\text{Gal}(K/F)| = [K : F]$,
- (4) $F = K^{\text{Gal}(K/F)}$,
- (5) $F = K^G$ for some $G \leq \text{Gal}(K/F)$.

Proof: (1) \implies (2): suppose $K = F[a_1, \dots, a_n]$ and let f_i be the minimal polynomial of a_i . Since K/F is Galois, each f_i splits into distinct linear factors over K . Define $f = \prod_i f_i$ where we remove repetitions, and by the above lemma these are all coprime and in particular do not share roots. Therefore f is separable. K is generated by the roots of f and is therefore its splitting field, as required.

(2) \implies (3): we proved this in proposition 2.1.4.

(5) \implies (1): let $a \in K$ and f be its minimal polynomial. Let a_1, \dots, a_n be the distinct roots of f in K , then define $h = \prod_i (x - a_i) \in K[x]$. Obviously we have that h divides f . Now, we know that $\sigma \in G$ permutes roots of f , and so $h \in (K[x])^G = K^G[x] = F[x]$.

(3) \implies (4): let $G = \text{Gal}(K/F)$ and define $F' = K^G$, so F' satisfies (5) which implies (1), meaning K/F' is Galois. And we showed that (1) implies (3), meaning $|\text{Gal}(K/F')| = [K : F']$. Now, we know that $\text{Gal}(K/F') = \alpha\beta\alpha(F) = \text{Gal}(K/F)$ so we have that

$$[K : F] = |\text{Gal}(K/F)| = |\text{Gal}(K/F')| = [K : F']$$

and $F \subseteq F'$, meaning $F = F'$ as required.

(4) \implies (5) is trivial. ■

If $K/L/F$ is an extension such that K/F is Galois, then K/L is also Galois. This is since for $a \in K$, let h_a^F and h_a^L be the minimal polynomials of a in F and L respectively. We know that h_a^F splits into distinct linear factors over K , and since h_a^L must divide it, it does too. So K/L is also Galois. In particular $K^{\text{Gal}(K/L)} = L$.

So if we once again look at our Galois correspondence,

$$\begin{array}{ccc} & \alpha = \text{Gal}(K/\bullet) & \\ \{ \text{Subgroups of } \text{Gal}(K/F) \} & \longleftrightarrow & \{ \text{Intermediate fields of } K/F \} \\ & \beta = K^\bullet & \end{array}$$

In particular, we have that $\beta\alpha = \text{id}$. We have shown then that for every $K/L/F$ Galois, there exists a subgroup $G \leq \text{Gal}(K/F)$ such that $K^G = L$. But then we can ask, for which subgroups $H \leq G$ is there an intermediate field L such that $\text{Gal}(K/L) = H$?

Lemma 2.1.13 (Artin's Lemma)

Let $H \leq \text{Aut}(K)$ be a finite subgroup, then $[K : K^H] \leq |H|$.

Proof: suppose $H = \{\sigma_1 = 1, \sigma_2, \dots, \sigma_n\}$, and take any $x_1, \dots, x_m \in K$ for any m larger than n . We need to show that x_1, \dots, x_m is linearly dependent over K^H . Meaning we need to find $a_1, \dots, a_m \in K^H$ such that $\sum_i a_i x_i = 0$. If we apply $\sigma_i \in H$ to this sum, since $a_j \in K^H$, we get

$$\sigma_i \left(\sum_j a_j x_j \right) = \sum_j a_j \sigma_i(x_j) = 0$$

Let X be the $n \times m$ matrix defined by $X = (\sigma_i(x_j))_{ij}$ and define $\vec{a} = (a_1, \dots, a_m)^\top$. So we need to solve

$$X\vec{a} = 0$$

But $X \in M_{n \times m}(K)$, and since $m > n$, it has a nontrivial nullspace. So there exists a $\vec{a} \in K^m$ which solves this equation. But recall we need \vec{a} to be a vector over K^H .

So let us choose a solution \vec{a} whose number of zeroes is minimal (meaning $\#\{1 \leq i \leq m \mid a_i = 0\}$ is minimal). We can reorder indexes and assume that $a_1 \neq 0$, and so $a_1^{-1}\vec{a}$ is also solution with the same number of zeros, so we can assume $a_1 = 1$. We now claim that $a_i \in K^H$ for all i , and once we have proved this we have finished our proof.

Suppose that $a_i \notin K^H$, without loss of generality $i = 2$. So there exists a $\sigma_k \in H$ such that $\sigma_k(a_i) \neq a_i$. We know that $\sum_j a_j \sigma_i(x_j) = 0$ for all i , and so composing with σ_k we get

$$\sum_j \sigma_k(a_j) \sigma_{k+i}(x_j) = 0$$

for all i . But since composing with σ_k is an invertible operation, this means that $\sum_j \sigma_k(a_j) \sigma_i(x_j) = 0$ for all i . Thus $(1, \sigma_k(a_2), \dots, \sigma_k(a_m))$ is also a solution to $X\vec{a} = 0$. And thus

$$(1, a_2, \dots, a_m) - (1, \sigma_k(a_2), \dots, \sigma_k(a_m)) = (0, a_2 - \sigma_k(a_2), \dots, a_m - \sigma_k(a_m))$$

is also a solution to the system. It is non-trivial since $a_2 \neq \sigma_k(a_2)$, but it has fewer zeros than our first solution since if $a_i = 0$ then $a_i - \sigma_k(a_i) = 0$ still, and we made the first index 0. This is a contradiction to the fact that we chose our first solution to have a minimal number of zeros, completing the proof. ■

So for a Galois extension K/F , if $H \leq \text{Gal}(K/F)$ then by theorem 2.1.12, K^H is Galois and so $[K : K^H] = |\text{Gal}(K/K^H)|$. And since $H \leq \text{Gal}(K/K^H)$, we have that

$$|H| \leq |\text{Gal}(K/K^H)| = [K : K^H] \leq |H|$$

where the final inequality is due to Artin's Lemma. Thus $\text{Gal}(K/K^H) = H$. So we have proven

Theorem 2.1.14 (The Fundamental Theorem of Galois Theory)

Let K/F be a finite dimensional Galois extension. Then the Galois correspondence

$$\begin{array}{ccc} & \alpha = \text{Gal}(K/\bullet) & \\ \{\text{Subgroups of } \text{Gal}(K/F)\} & \xleftrightarrow{\quad} & \{\text{Intermediate fields of } K/F\} \\ & \beta = K^\bullet & \end{array}$$

is a bijective correspondence (meaning α and β are inverses of one another).

Corollary 2.1.15

If K/F is a finite Galois extension, then there are only a finite number of intermediate fields.

Proof: the number of intermediate fields is $|\text{Gal}(K/F)|$ which is $[K : F]$, finite. ■

Corollary 2.1.16

Let K/F be a finite Galois extension, $G = \text{Gal}(K/F)$.

- (1) if $H_1 \leq H_2$ then $[H_2 : H_1] = [K^{H_1} : K^{H_2}]$,
- (2) for $\sigma \in G$, $H \leq G$, $L = K^H$, then $\sigma(L)$ corresponds to $\sigma H \sigma^{-1}$ in the Galois correspondence,
- (3) $H \leq G$ is normal in G if and only if K^H/F is Galois. In such a case, $\text{Gal}(K^H/F) \cong G/H$.

Proof:

- (1) We know that

$$|H_2| = [K : K^{H_2}] = [K : K^{H_1}] \cdot [K^{H_1} : K^{H_2}] = |H_1| \cdot [K^{H_1} : K^{H_2}]$$

$$\text{and so } [H_2 : H_1] = \frac{|H_2|}{|H_1|} = [K^{H_1} : K^{H_2}].$$

- (2) We need to show that $\text{Gal}(K/\sigma(L)) = \sigma H \sigma^{-1}$ and $K^{\sigma H \sigma^{-1}} = L$. But since we know that the correspondence is bijective, proving only the first equality is sufficient.

$$\begin{aligned} \text{Gal}(K/\sigma(L)) &= \{\varphi \in G \mid \forall \alpha \in L: \varphi(\sigma(\alpha)) = \sigma(\alpha)\} \\ &= \{\varphi \in G \mid \forall \alpha \in L: \sigma^{-1}\varphi\sigma\alpha = \alpha\} \\ &= \{\varphi \in G \mid \sigma\varphi\sigma^{-1} \in \text{Gal}(K/L)\} \\ &= \sigma \text{Gal}(K/L)\sigma^{-1} = \sigma H \sigma^{-1} \end{aligned}$$

- (3) Suppose first that $H \trianglelefteq G$ is normal in G . So $\sigma H \sigma^{-1} = H$ for all $\sigma \in G$ and thus by (2),

$$\sigma(K^H) = K^{\sigma H \sigma^{-1}} = K^H$$

Thus the map $\sigma \mapsto \sigma|_{K^H}$ from G to $\text{Gal}(K^H/F)$ is well-defined since $\sigma(K^H) = K^H$. The map is also surjective since every K^H -automorphism can be extended to an K -automorphism by theorem 1.3.8 (since K/K^H is Galois and thus can be generated by the roots of a polynomial which splits over K).

Notice that the kernel of this map is all K -automorphisms which keep K^H constant, meaning the kernel is $\text{Gal}(K/K^H) = H$. Thus by the first isomorphism theorem, $G/H \cong \text{Gal}(K^H/F)$. Furthermore,

$$\begin{aligned} (K^H)^{\text{Gal}(K^H/F)} &= \{\alpha \in K^H \mid \forall \sigma \in G: \sigma|_{K^H}(\alpha) = \alpha\} = \{\alpha \in K^H \mid \forall \sigma \in G: \sigma(\alpha) = \alpha\} \\ &= E^G \cap E^H = F \cap E^H = F \end{aligned}$$

So by theorem 2.1.12, K^H/F is Galois.

Conversely, let $L = K^H$ and suppose that L/F is Galois and let $L = F[\alpha_1, \dots, \alpha_n]$. Let h_i be the minimal polynomial of α_i , then for all $\sigma \in G$, $\sigma(\alpha_i)$ is still a root of h_i . Since L/F is Galois and thus normal, this means that $\sigma(\alpha_i) \in L$ for all i and so $\sigma(L) = L$ for all $\sigma \in G$. By (2) this means that

$$\sigma H \sigma^{-1} = \sigma \text{Gal}(K/L) \sigma^{-1} = \text{Gal}(K/\sigma(L)) = \text{Gal}(K/L) = H$$

so H is normal, as required. ■

2.2 Galois Closure and Compositum of Fields

Proposition 2.2.1

Every finite separable extension K/F is contained in some finite Galois extension.

Proof: suppose $K = F[\alpha_1, \dots, \alpha_n]$, and let h_i be the minimal polynomial of α_i . Since K/F is separable, h_i only has simple roots (roots of multiplicity 1) in K . Let $f(x) = \prod_i h_i(x)$ where repetitions are removed, so that $f(x)$ is still separable. Let E be f 's splitting field, so it is the splitting field of a separable polynomial, so by theorem 2.1.12, E/F is Galois. ■

Proposition 2.2.2

Let $K/L/F$ be finite extensions such that K/F is Galois. Let $G = \text{Gal}(K/F)$ and $H = \text{Gal}(K/L)$. Define $N = \text{core}_G(H) = \bigcap_{\sigma \in G} \sigma H \sigma^{-1}$. Then K^N/F is Galois, and moreover it is the smallest Galois extension in K/F that contains L .

Proof: we know that the core of a subgroup is normal, meaning $N \trianglelefteq G$ and so by corollary 2.1.16 E^N/F is Galois. Since $N \leq H$, $E^N \supseteq E^H = M$ by the fundamental theorem. Furthermore, if $M = K^{N_0} \supseteq L$ such that M/F is Galois, then by corollary 2.1.16 again, N_0 is normal in G . And by the correspondence, $N_0 \leq H$. So N_0 is a normal subgroup of G contained in H , but N is the core which is the largest such normal group, so $N_0 \leq N$. And so $K^N \subseteq E^{N_0} = M$. So K^N is minimal. ■

Definition 2.2.3

Given finite extensions $K/L/F$ such that K/F is Galois, and for every $L \subseteq M \subset K$, M/F is not Galois, then K is called the **Galois closure** of L/F .

Proposition 2.2.4

The Galois closure of a separable extension L/F is unique up to isomorphism.

Proof: suppose $L = F[\alpha_1, \dots, \alpha_n]$ and let h_i be the minimal polynomial of α_i which is separable. Then define $f = \prod_i h_i$ without repetitions, and this is still separable. We claim that E^N (where N is defined in the above proposition) is the splitting field of f . Since E^N/F is Galois, f splits into distinct linear factors over E^N . Let K be the splitting field of f , so $K \subseteq E^N$ and since K is the splitting field of a separable polynomial, K/F is Galois. But E^N is minimal so $E^N \subseteq K$, meaning $E^N = K$. ■

Proposition 2.2.5

Let K/F be separable, then there exist only finitely many intermediate fields.

Proof: let E be the Galois closure of K/F . Then E/F is Galois and thus has finitely many intermediate fields, and therefore so does K/F (every intermediate field of K/F is an intermediate field of E/F). ■

Theorem 2.2.6 (Steinitz's Theorem)

Every finite dimension separable field extension K/F is generated by a single element.

Proof: we assume for the sake of this proof that the fields are infinite, and we induct on the number of generators of K . It is sufficient to prove this for the case of two generators, $K = F[x, y]$, as we can then go from $F[x_1, \dots, x_n] = F[x_1, \dots, x_{n-2}][x_{n-1}, x_n]$ to $F[x_1, \dots, x_{n-1}]$ and continue inductively.

Let us focus on elements of the form $x + \alpha y$ for $\alpha \in F$. And so we have infinitely many intermediate fields $F[x + \alpha y]$ (counting repetitions). By the above proposition, there are finitely many intermediate fields of K/F , and so there must be $\alpha \neq \beta \in F$ such that $L = F[x + \alpha y] = F[x + \beta y]$. But then

$$(x + \alpha y) - (x + \beta y) = (\alpha - \beta)y \in L \implies y \in L$$

and similarly we can show that $x \in L$. Thus we have that $L = F[x, y] = K$, meaning we can generate K using a single element. ■

Definition 2.2.7

Suppose F, L are fields contained in some larger field K . The **compositum** of F and L is defined to be the smallest field containing both L and F . This can be shown to be

$$FL = \left\{ \sum_{i=1}^n \alpha_i \beta_i \mid \alpha_i \in F, \beta_i \in L \right\}$$

the compositum is also denoted $F \vee L$.

Proposition 2.2.8

If K/F is Galois and L/F is a finite extension, then KL/F is also Galois, and

$$\text{res}: \text{Gal}(KL/L) \longrightarrow \text{Gal}(K/K \cap L), \quad \sigma \mapsto \sigma|_K$$

is a well-defined isomorphism.

Proof: since K/F is Galois, K is the splitting field of some separable polynomial $f \in F[x]$. This means that KL is the splitting field of $f \in L[x]$ since it is the smallest field containing both L and the roots of f , which is by definition the splitting field of f over L . Since f is separable, this means KL/L is Galois.

Now, res is well-defined since if $\sigma \in \text{Gal}(KL/L)$ then σ permutes the roots of f , which generates K , and so $\sigma(K) = K$. And since it also fixes L , we must have that it fixes $K \cap L$. So $\sigma|_K$ is a $K \cap L$ -automorphism. res is clearly a homomorphism.

Now we prove that res is injective: if $\sigma|_K = 1$, then σ is the identity on K and L (since it is a L -automorphism), so it is the identity on KL . Thus the kernel of res is trivial, meaning it is injective.

Finally, we prove that res is surjective. If $\alpha \in K^{\text{Im res}}$ then $\sigma(\alpha) = \alpha$ for every $\sigma \in \text{Gal}(KL/L)$, then since $KL^{\text{Gal}(KL/L)} = L$, we have that $\alpha \in L$. So $\alpha \in K \cap L$, meaning $K^{\text{Im res}} \subseteq K \cap L$. Conversely, $\text{Im res} \subseteq \text{Gal}(K/K \cap L)$ so $K^{\text{Im res}} \subseteq K \cap L$. Thus we have the equality, $K \cap L = K^{\text{Im res}}$. But then by taking $\text{Gal}(K/\bullet)$, we have that $\text{Gal}(K/K \cap L) = \text{Im res}$ as required. ■

Notice then that we get, by the Galois correspondence,

$$[K : F] = [K : K \cap L][K \cap L : F], \quad [KL : F] = [KL : L][L : F] = [K : K \cap L][L : F]$$

So $[K : K \cap L] = \frac{[K:F]}{[K \cap L:F]}$ and thus

$$[KL : F] = \frac{[K : F][L : F]}{[K : K \cap L]}$$

when K/F is Galois and L/F is finite.

2.3 Roots of Unity

Definition 2.3.1

Let F be a field, then a **root of unity** of order n is an element $\rho \in F$ such that $\rho^n = 1$. Furthermore define

$$\mu_n(F) = \{\rho \in F \mid \rho^n = 1\}$$

to be the set of all roots of unity of order n .

Notice that F can have a primitive root of unity of order n only when $\text{char } F = 0$ or n and $\text{char } F$ are coprime. Otherwise, suppose $\text{char } F = p$ and $n = mp$ then

$$x^n - 1 = (x^m)^p - 1 = (x^m - 1)^p$$

meaning $\rho^m = 1$ and so n cannot be minimal.

Lemma 2.3.2

Every finite subgroup of the multiplicative group of a field is cyclic.

Proof: let $A \leq F^\times$, then recall the definition of the exponent:

$$\exp A := \min_{m \geq 1} \{\forall a \in A: a^m = 1\} = \text{lcm}\{o(a) \mid a \in A\}$$

define $e = \exp A$. Then the polynomial $x^e - 1$ has every element in A as a root, and so $|A| \leq e$ as there can be at most e roots. Since in general $|A| \geq e$, we must then have that $|A| = e$ and this means that A is cyclic. ■

Since $\mu_n(F)$ is a finite subgroup of F^\times , by the above lemma it is cyclic.

Definition 2.3.3

A **primitive root of unity** of order n is a generator of $\mu_n(F)$.

An equivalent definition is that a primitive root of unity is a root of $x^n - 1$ but not $x^m - 1$ for $m < n$.

Notice that if ρ is a primitive root of unity of order n , then all other primitive roots of unity of order n are of the form ρ^j for $(j, n) = 1$.

In \mathbb{C} , all roots of unity of order n are of the form $\exp(2\pi k/n)$, so define $\rho_n = \exp(2\pi/n)$. Then all roots of unity of order n are of the form ρ_n^k . So the splitting field of $x^n - 1$ over \mathbb{Q} is

$$\mathbb{Q}[1, \rho_n, \rho_n^2, \dots, \rho_n^{n-1}] = \mathbb{Q}[\rho_n]$$

Since $x^n - 1$ splits into distinct linear factors, $\mathbb{Q}[\rho_n]$ is the splitting field of a separable polynomial and so $\mathbb{Q}[\rho_n]/\mathbb{Q}$ is Galois.

Now if $\text{char } F = 0$ then $\mathbb{Q} \subseteq F$ and the compositum is $\mathbb{Q}[\rho_n]F = F[\rho_n]$ and so by proposition 2.2.8 $F[\rho_n]/F$ is also Galois.

But $x^n - 1$ is obviously not irreducible for $n \geq 2$ since it has 1 as a root. So we can then ask what the minimal polynomial of each root is.

Definition 2.3.4

Let E be the splitting field of $x^n - 1 \in F[x]$, then the **Cyclotomic polynomial** of order n is defined as

$$\Phi_n(x) = \prod_{\xi} (x - \xi) = \prod_{(j,n)=1} (x - \rho_n^j)$$

where ξ runs over all primitive roots of unity of order n (which are all of the form ρ_n^j) (which are all of the

form ρ_n^j) (which are all of the form ρ_n^j) (which are all of the form ρ_n^j).

Notice that $\deg \Phi_n = \varphi(n)$ where n is the Euler totient function.

Notice that if $\rho \in \mu_n(F)$ and $o(\rho) = k$ then ρ is a primitive root of unity of order k . And vice versa: if ρ is a primitive root of unity of order $k|n$ then $\rho^n = 1$. Thus $\mu_n(F)$ decomposes into the sets of primitive roots of unity for $k|n$, and so

$$x^n - 1 = \prod_{\rho \in \mu_n(F)} (x - \rho) = \prod_{k|n} \prod_{\rho_k} (x - \rho_k) = \prod_{k|n} \Phi_k(x)$$

where ρ_k runs over all primitive roots of unity of order k .

For $\sigma \in \text{Gal}(E/F)$, σ must permute the roots of Φ_n (since it maps roots of $x^n - 1$ to roots of $x^n - 1$, and n is the minimum value for which a primitive root of unity is a root). Thus σ must fix Φ_n , and so

$$\Phi_n \in E^{\text{Gal}(E/F)}[x] = F[x]$$

If $\text{char } F = 0$ then for $\sigma \in \text{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q})$, σ must permute the roots of Φ_n and so the same argument as before works to show that

$$\Phi_n \in \mathbb{Q}[x]$$

Recall Gauss's lemma that the product of two primitive polynomials is primitive. So suppose $f \in \mathbb{Z}[x]$ is monic and $f = gh$ for $g, h \in \mathbb{Q}[x]$ also monic. Then there exists $m, n \in \mathbb{Z}$ such that mg and nh are primitive in \mathbb{Z} and so $mg \cdot nh = mnf$ is primitive by Gauss's lemma. But then the gcd of its coefficients is mn , meaning $mn = \pm 1$, so g, h are in $\mathbb{Z}[x]$. In particular we have

$$x^n - 1 = \prod_{k|n} \Phi_k(x)$$

where $\Phi_k(x)$ is a rational polynomial, and so $\Phi_k(x) \in \mathbb{Z}[x]$.

Theorem 2.3.5

$\Phi_n(x) \in \mathbb{Z}[x]$ is irreducible.

Proof: let $f \in \mathbb{Q}[x]$ be a monic irreducible factor of Φ_n . Then it is sufficient to show that if z is a root of f , so is z^i for every i coprime with n . Then f and Φ_n share roots as all primitive roots of order n are of the form z^i for z primitive root and i coprime with n . And so this implies $\Phi_n = f$.

It is sufficient to prove this for i prime, as we can find the prime factorization of i and inductively raise z to the power of the prime factor. So suppose there is a prime p such that $f(z) = 0$ but $f(z^p) \neq 0$. Let $\Phi_n(x) = f(x)g(x)$ for $g(x) \in \mathbb{Z}[x]$ which exists due to Gauss's lemma. Then $g(z^p) = 0$, but since f is the minimal polynomial of z it must divide $g(x^p)$ in $\mathbb{Z}[x]$. Meaning there exists $h \in \mathbb{Z}[x]$ such that $g(x^p) = f(x)h(x)$.

By taking everything modulo p in $\mathbb{F}_p[x]$, we get that by the Frobenius homomorphism

$$(\bar{g}(x))^p = \bar{g}(x^p) = \overline{f} \bar{h} = \bar{f} \bar{h}$$

And so \bar{f} and \bar{g} must share a common irreducible factor. But then $q(x) = x^n - 1$ has a multiple root in \mathbb{F}_p , since it has \bar{f} and \bar{g} as factors. But $q'(x) = nx^{n-1} \neq 0$ since $(n, p) = 1$ and so $\gcd(q, q') = 1$ which by theorem 1.3.13 means q is separable and so has no multiple roots. ■

This means that Φ_n is the minimal polynomial of every primitive root of unity of order n .

Corollary 2.3.6

If ρ_n is a primitive root of unity of order n , then $\text{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q}) \cong \mathcal{U}_n$ where \mathcal{U}_n is the Euler group of n elements.

Proof: we already know that $\mathbb{Q}[\rho_n]/\mathbb{Q}$ is Galois, and the minimal polynomial of ρ_n is Φ_n so

$$|\mathbb{Q}[\rho_n]/\mathbb{Q}| = [\mathbb{Q}[\rho_n] : \mathbb{Q}] = \deg \Phi_n = \varphi(n) = |\mathcal{U}_n|$$

Now we know that ρ_n must be mapped to the other roots of Φ_n , which tells us that all automorphisms of the Galois group must be of the form $\sigma_k: \rho_n \mapsto \rho_n^k$. Since Φ_n 's roots are distinct, these are precisely $\varphi(n)$ roots automorphisms. So every automorphism σ_k is in the Galois group.

Let us define $\psi: \mathcal{U}_n \longrightarrow \text{Gal}(\mathbb{Q}[\rho_n]/\mathbb{Q})$ by $k \mapsto \sigma_k$. It is easily verified that this is a homomorphism since $\sigma_{kk'} = \sigma_k \sigma_{k'}$. This is obviously surjective and injective, as required. ■

Corollary 2.3.7

Every finite-dimension subfield of $\mathbb{Q}_{ab} := \bigcup_n \mathbb{Q}[\rho_n]$ is Galois over \mathbb{Q} , and its Galois group is Abelian.

Proof: this is since every subfield generated by $\rho_{n_1}, \dots, \rho_{n_k}$ is contained in some extension $\mathbb{Q}[\rho]$. As we showed before, this is Galois with Galois group \mathcal{U}_n . Every subgroup of \mathcal{U}_n is normal since it is an Abelian group, in particular $\text{Gal}(\mathbb{Q}[\rho_{n_1}, \dots, \rho_{n_k}]/\mathbb{Q})$. So by corollary 2.1.16, $\mathbb{Q}[\rho_{n_1}, \dots, \rho_{n_k}]/\mathbb{Q}$ is Galois. ■

Theorem 2.3.8 (Kronecker-Weber Theorem)

Every Galois extension of \mathbb{Q} is a subfield of \mathbb{Q}_{ab} .

Proof: not in this course. ■

2.4 Trace and Norm

Definition 2.4.1

Let K/F be finite Galois, and $G = \text{Gal}(K/F)$. Then we define the **trace** to be

$$T: K \longrightarrow F, \quad T(a) = \sum_{\sigma \in G} \sigma(a)$$

and **norm** to be

$$N: K^\times \longrightarrow F^\times, \quad N(a) = \prod_{\sigma \in G} \sigma(a)$$

Notice that the trace and norm are well defined. Suppose $a \in K$, take $\tau \in G$ then

$$\tau T(a) = \sum_{\sigma \in G} \tau \sigma(a) = \sum_{\sigma \in G} \sigma(a) = T(a)$$

so $T(a) \in K^G = F$ as required. Similar for the norm.

Notice that the trace is also a surjective group homomorphism (relative to +): $a/|G|$ is an element of the fiber of a . And the norm is a group homomorphism between K^\times and F^\times .

Example 2.4.2

We know that \mathbb{C}/\mathbb{R} is finite Galois as it is the splitting field of $x^2 + 1$ which is separable. The Galois group is $G = \{1, z \mapsto \bar{z}\}$ and so the norm and trace are

$$T(x + iy) = (x + iy) + (x - iy) = 2x, \quad N(x + iy) = (x + iy)(x - iy) = |x + iy|^2$$

So $\ker T = i\mathbb{R}$ and $\ker N = S^1$.

Definition 2.4.3

A Galois extension K/F is **cyclic** if $\text{Gal}(K/F)$ is cyclic.

If K/F is cyclic and its Galois group is generated by σ , then define $D: K \rightarrow K$ by $D(a) = a - \sigma(a)$. Its kernel is $\ker D = K^G = F$ and

$$T \circ D(a) = \sum_{i=0}^n \sigma^i(a) - \sum_{i=0}^n \sigma^{i+1}(a) = 0$$

so $\text{Im} D \subseteq \ker T$. Now, D is an F -linear transformation, so by the rank-nullity theorem

$$\dim \ker D + \dim \text{Im} D = [K : F]$$

meaning $\dim \text{Im} D = [K : F] - 1$. Since $\ker T$ is not all of K , we must have that $\text{Im} D = \ker T$.

Recall that a Galois, and thus cyclic, extension is generated by a single element.

Lemma 2.4.4 (Dedekind's Lemma)

Let F, K be fields and $\varphi_1, \dots, \varphi_n: F \rightarrow K$ be distinct field homomorphisms. Then $\varphi_1, \dots, \varphi_n$ are F -linearly independent.

Proof: suppose that $\varphi_2, \dots, \varphi_n$ is linearly independent (i.e. we induct on n). Now suppose

$$\sum_{i=1}^n a_i \varphi_i = 0$$

for $a_i \in F$. Then since $\varphi_1 \neq \varphi_2$ there exists some $\alpha \in F$ such that $\varphi_1(\alpha) \neq \varphi_2(\alpha)$. So for all $x \in F$,

$$\sum_{i=1}^n a_i \varphi_1(\alpha) \varphi_i(x) = 0$$

and on the other hand,

$$0 = \sum_{i=1}^n a_i \varphi_i(\alpha x) = \sum_{i=1}^n a_i \varphi_i(\alpha) \varphi_i(x)$$

So subtracting the two gives that

$$\sum_{i=2}^n a_i (\varphi_1(\alpha) - \varphi_i(\alpha)) \varphi_i = 0$$

Since $\varphi_2, \dots, \varphi_n$ is linearly independent, we have that $a_i = 0$ or $\varphi_1(\alpha) = \varphi_i(\alpha)$. Thus we have that $a_2 = 0$. Continuing with multiplying by $\varphi_1(\alpha) \neq \varphi_i(\alpha)$ we see that $a_i = 0$ for all $i > 1$. And so $a_i = 0$ for all i . ■

Notice that this means there can only be at most $[E : F]$ distinct F -automorphisms. This is since every F -automorphism is an element of $\text{Hom}(E, F)$ which has dimension $[E : F]$. This of course also follows from theorem 1.3.8.

Theorem 2.4.5 (Hilbert's Theorem 90)

Suppose K/F is cyclic whose Galois group is generated by σ , then

$$\ker N = \left\{ \frac{a}{\sigma(a)} \mid a \in K \right\}$$

Proof: for the first inclusion \supseteq ,

$$N\left(\frac{a}{\sigma(a)}\right) = \frac{N(a)}{N(\sigma(a))} = \frac{N(a)}{\sigma(N(a))} = \frac{N(a)}{N(a)} = 1$$

where $N(\sigma(a)) = \sigma(N(a))$ since σ is a homomorphism and $\sigma(N(a)) = N(a)$ since σ holds F constant.

For the other direction, \subseteq , suppose $N(\alpha) = 1$. Define $\tau_\alpha: K \rightarrow K$ by $\tau_\alpha(e) = \alpha\sigma(e)$. As is easily verified, τ_α is an F -linear map. Furthermore, $\tau_\alpha^2(e) = \alpha\sigma(\alpha)\sigma^2(e)$, and inductively $\tau_\alpha^k(e) = \alpha\sigma(\alpha) \cdots \sigma^{k-1}(\alpha)\sigma^k(e)$. In particular, if $[K : F] = n$ then

$$\tau_\alpha^n = (\alpha\sigma(\alpha) \cdots \sigma^{n-1}(\alpha))\sigma^n = N(\alpha)\text{id} = \text{id}$$

And so τ_α 's minimal polynomial divides $x^n - 1$. On the other hand, $1, \sigma, \dots, \sigma^{n-1}$ are E -linearly independent by Dedekind's Lemma. Therefore $1, \tau_\alpha, \dots, \tau_\alpha^{n-1}$ are F -linearly independent and so the minimal polynomial of τ_α must be $x^n - 1$.

In particular, 1 is an eigenvalue of τ_α . So there exists a β such that $\tau_\alpha(\beta) = \beta$, and so $\alpha\sigma(\beta) = \beta$ meaning $\alpha = \frac{\beta}{\sigma(\beta)}$ as required. ■

Notice then that we have an exact sequence

$$1 \longrightarrow F^\times \longrightarrow K^\times \xrightarrow{\frac{a}{\sigma(a)}} K^\times \xrightarrow{N} F^\times$$

2.5 Radical Extensions

Definition 2.5.1

A **simple radical extension** is a field extension K/F such that $K = F[\alpha]$ and $\alpha^n = a \in F$ for $n = [K : F]$. In such a case, we write $K = F[\sqrt[n]{a}]$.

In such a case, $x^n - a$ is the minimal polynomial of α since it has degree $n = [K : F]$.

Proposition 2.5.2

Suppose F has a primitive root of unity ρ of degree n . Then any simple radical extension of degree n is cyclic.

Proof: suppose $K = F[\alpha]$ for $\alpha^n = a \in F$ and $[K : F] = n$. Then

$$x^n - a = \prod_{i=0}^{n-1} (x - \alpha\rho^i)$$

So the splitting field of $x^n - a$ is

$$F[\alpha, \alpha\rho, \dots, \alpha\rho^{n-1}] = F[\alpha, \rho] = F[\alpha] = K$$

since ρ is already in F . This means that K is the splitting field of a separable polynomial, so K/F is Galois.

Now, an F -automorphism must map α to $\rho^i\alpha$. There are n choices for i and $n = [K : F] = |\text{Gal}(K/F)|$, so every choice of i gives an automorphism. In particular $\sigma(\alpha) = \rho\alpha$ is an F -automorphism. And inductively $\sigma^i(\alpha) = \rho^i\alpha$, so the degree of σ is at least n . But the order of the Galois group is n , so $o(\sigma) = |\text{Gal}(K/F)|$ and thus $\text{Gal}(K/F)$ is generated by σ as required. ■

Theorem 2.5.3 (Kummer's Theorem)

Suppose F has a primitive root of unity ρ of degree n . Then every cyclic field extension of dimension n is simple radical.

Proof: suppose K/F is cyclic of dimension n . We know that for $a \in F$, $N(a) = a^n$, and in particular $N(\rho) = 1$. Thus by Hilbert's Theorem 90, $\rho = \frac{\alpha}{\sigma(\alpha)}$ for some $\alpha \in K$. Then

$$1 = \rho^n = \frac{\alpha^n}{\sigma(\alpha)^n} \implies \sigma(\alpha^n) = \alpha^n$$

so α^n is a fixed point of σ . Since σ generates $\text{Gal}(K/F)$, it is a fixed point of $\text{Gal}(K/F)$, i.e. $a = \alpha^n \in F$.

We know that $\sigma^i(\alpha) = \rho^i\alpha$ so $\alpha \notin K^{\langle \sigma^i \rangle}$ for any $i|n$. And so $K = F[\alpha]$, $\alpha^n = a \in F$, and $[K : F] = n$. Meaning K/F is simple radical. ■

Definition 2.5.4

A **radical series** over F is a sequence of fields $F = F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n$, such that F_{i+1}/F_i is a simple radical extension for each i . Each F_i/F is a **radical extension**.

Definition 2.5.5

A polynomial $f \in F[x]$ is **solvable by radicals** if there exists a radical extension K/F such that f has a root in K .