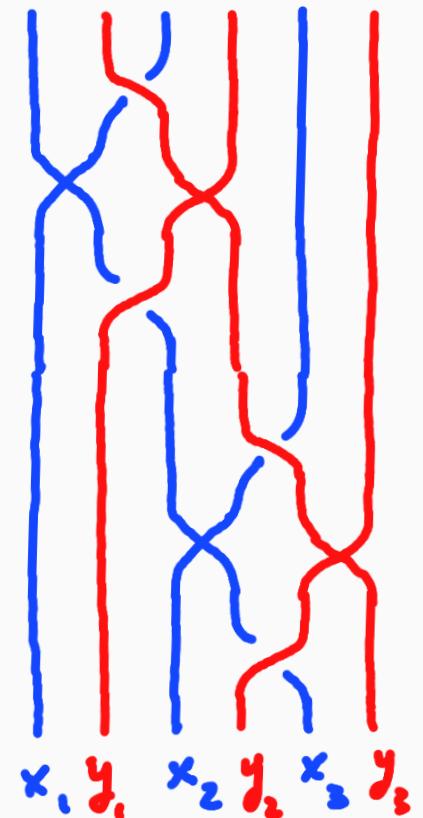


# A FEW PUZZLES IN CATEGORICAL QUANTUM THEORY

ARI ROSENFIELD  
UNIV. OF CALIFORNIA, IRVINE



FIRST, WHAT IS A CATEGORY?

A CATEGORY  $\mathcal{C}$  IS:

- A COLLECTION  $Ob(\mathcal{C})$  OF OBJECTS
- FOR EACH PAIR  $x, y$  OF OBJECTS, A SET  $\mathcal{C}(x, y)$  OF MORPHISMS
- FOR EACH OBJECT  $x \in \mathcal{C}$ , AN IDENTITY MORPHISM  $1_x : x \rightarrow x$
- FOR EACH TRIPLE  $x, y, z$  OF OBJECTS, A COMPOSITION FUNCTION  $\circ_{xyz} : \mathcal{C}(y, z) \times \mathcal{C}(x, y) \rightarrow \mathcal{C}(x, z) \dots$

FIRST, WHAT IS A CATEGORY?

... SUCH THAT THE OPERATION  $\circ$  IS  
ASSOCIATIVE AND UNITAL (i.e., COMPATIBLE  
WITH IDENTITIES).

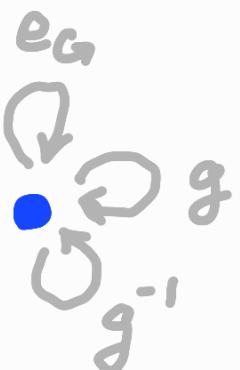
FIRST, WHAT IS A CATEGORY?

FOR EXAMPLE:

- ANY GROUP IS A CATEGORY WITH ONE OBJECT.

A PICTURE OF

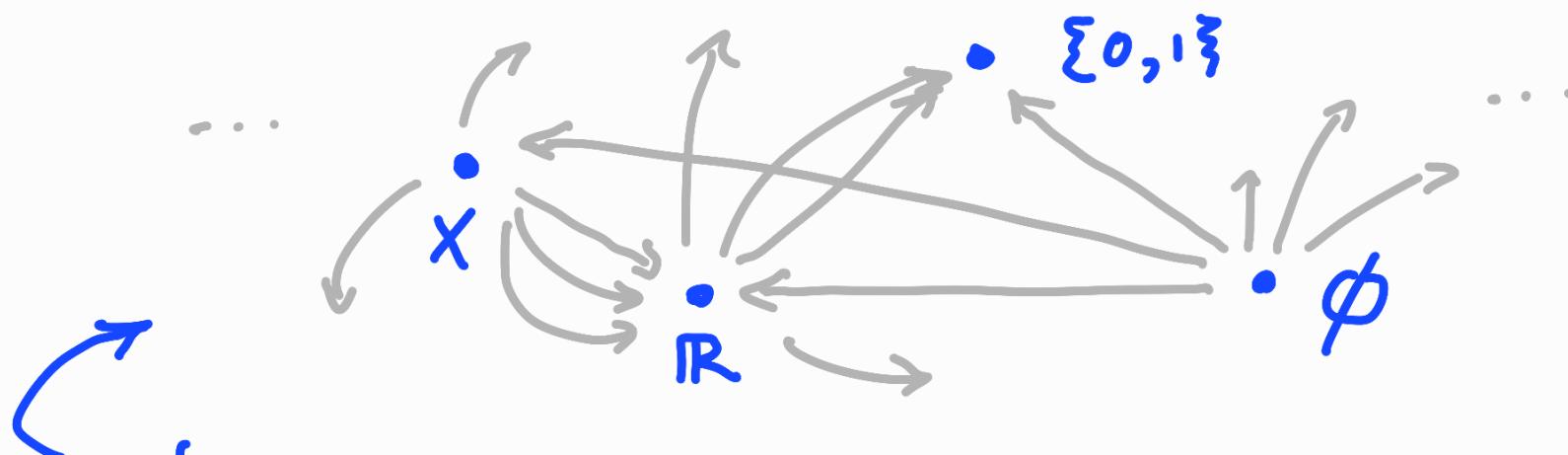
$\mathbb{Z}/3\mathbb{Z}$  AS A  
CATEGORY.



FIRST, WHAT IS A CATEGORY?

FOR EXAMPLE:

- SETS WITH FUNCTIONS BETWEEN THEM FORM A CATEGORY, CALLED Set.



IT'S MUCH  
HARDER TO DRAW THAN  $\mathbb{Z}/3\mathbb{Z}$ .

## MORE BASICS

- A FUNCTOR IS A "MORPHISM BETWEEN CATEGORIES" - AN ASSIGNMENT ON OBJECTS AND ON MORPHISMS, COMPATIBLE WITH COMPOSITION  $\circ$  IDENTITIES.

e.g., ANY GROUP HOMOMORPHISM.

## MORE BASICS

- A MONOIDAL CATEGORY  $\mathcal{X}$  IS ONE EQUIPPED WITH A RULE  $\otimes$  FOR COMBINING OBJECTS AND MORPHISMS "IN PARALLEL."  
(THINK OF COMPOSITION AS "IN SEQUENCE.")
- IT IS SYMMETRIC IF  $A \otimes B \cong B \otimes A$  FOR  $A, B \in \text{Ob}(\mathcal{X})$  AND CLOSED IF  $\mathcal{X}(A, B) \in \text{Ob}(\mathcal{X})$ .

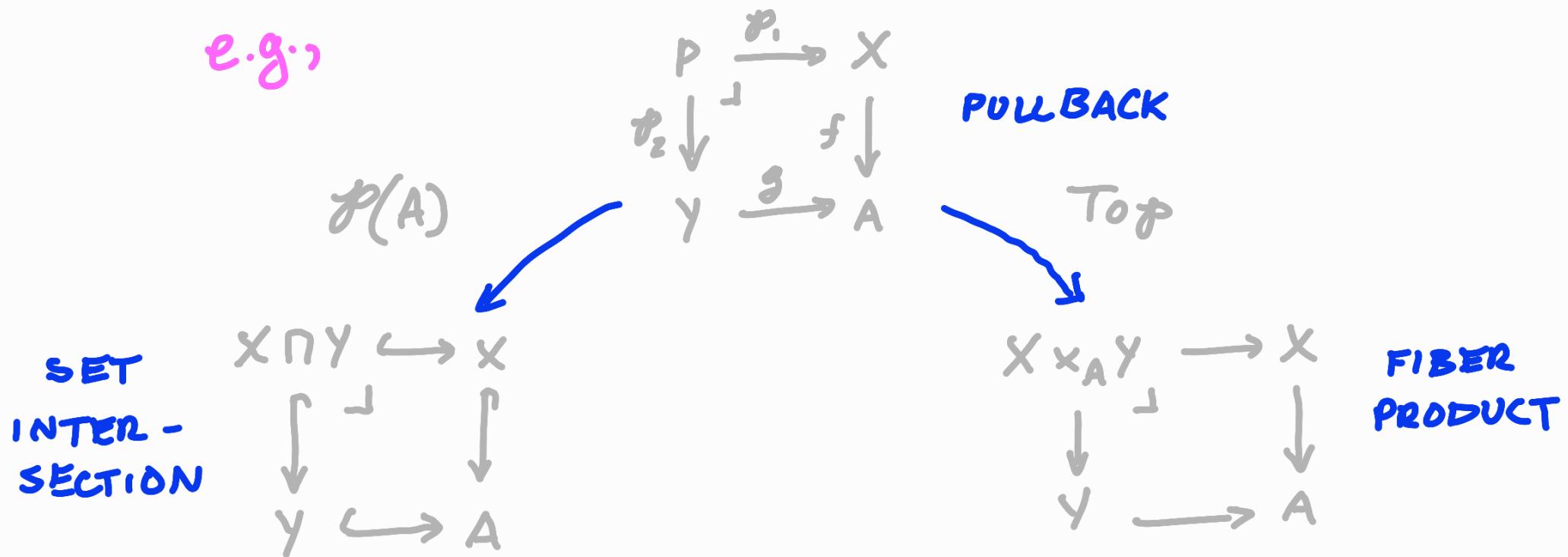
## MORE BASICS

- e.g., THE CATEGORY  $\text{Hilb}$  OF HILBERT SPACES WITH BOUNDED LINEAR MAPS.

# WHAT IS CATEGORY THEORY FOR?

SYSTEMATICALLY ABSTRACTING PROPERTIES  
OF A GIVEN MATHEMATICAL OBJECT  
IN ORDER TO MAKE ANALOGIES  
BETWEEN DIFFERENT FIELDS OF MATH.

e.g.,



BROADLY, I STUDY  
CATEGORICAL QUANTUM THEORY.

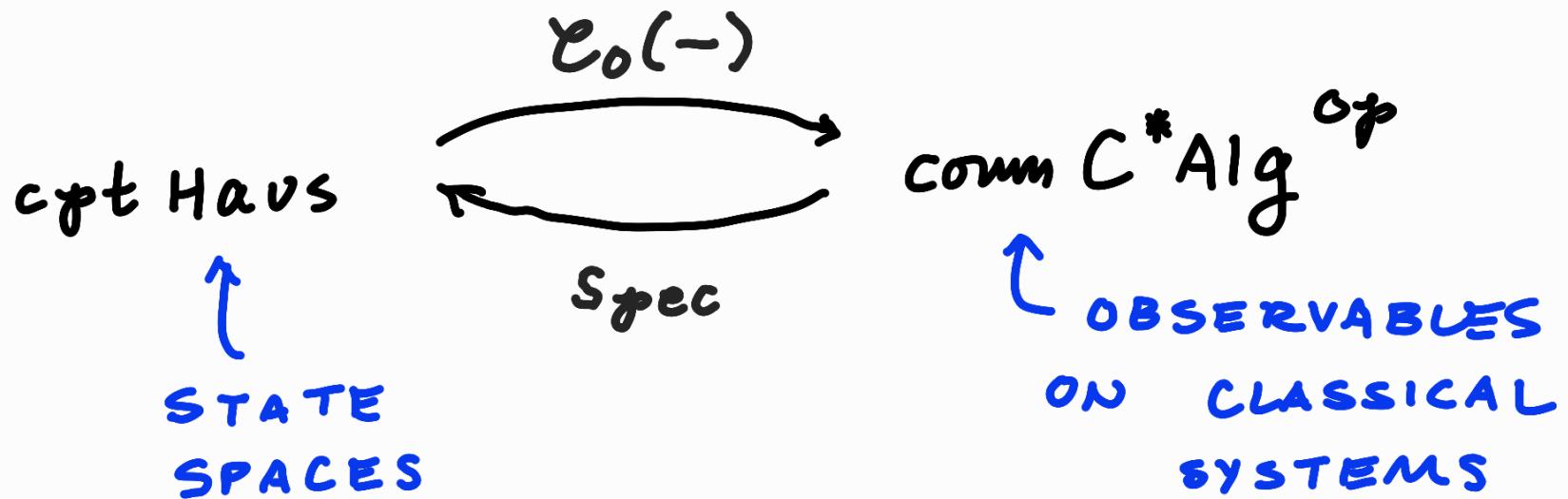
SPECIFICALLY :

PUZZLE ① :  
ENRICHED GROTHENDIECK  
TOPOLOGIES.

(arXiv: 2405.19529)

# BACKGROUND

- CLASSICAL GEL'FAND DUALITY: AN EQUIVALENCE OF CATEGORIES



## NONCOMMUTATIVE SPECTRAL THEORY

WHAT IF I WANT GEL'FAND DUALITY

FOR NON COMMUTATIVE  $C^*$ -ALGEBRAS?

(i.e., OBSERVABLES ON QUANTUM SYSTEMS?)

THAT WOULD BE SO COOL...

THEN I COULD DO  
ACTUAL GEOMETRY...

I COULD TRY JUST APPLYING THE  $\text{Spec}$   
FUNCTOR I ALREADY HAVE ??

# NONCOMMUTATIVE SPECTRAL THEORY

PROBLEM! (REYES, 2012 : v. d. BERGH - HEUNEN, 2014)

THEOREM: ANY FUNCTOR  $F: \text{C}^*\text{Alg}^{\text{op}} \rightarrow \text{Top}$

FOR WHICH



$$\begin{array}{ccc} \text{comm } \text{C}^*\text{Alg}^{\text{op}} & \xrightarrow{\text{Spec}} & \text{Top} \\ \text{inc} \downarrow & & \\ \text{C}^*\text{Alg}^{\text{op}} & \xrightarrow{F} & \end{array}$$



COMMUTES MUST HAVE  $F(M_n(\mathbb{C})) \cong \emptyset$   
FOR  $n \geq 3$ .

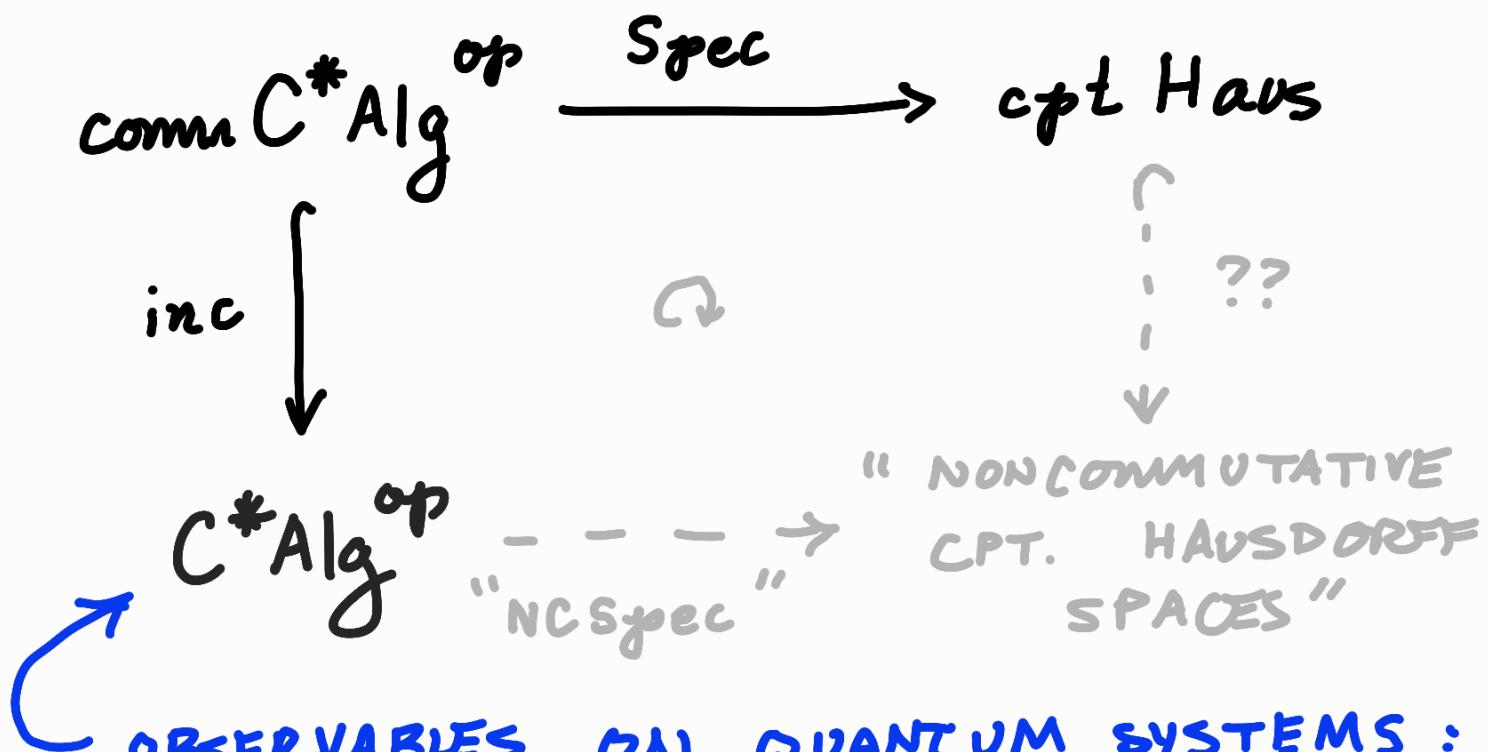
# NONCOMMUTATIVE SPECTRAL THEORY

IN PHYSICAL TERMS : (I'LL DO MY BEST !)

- ANY CLASSICAL SYSTEM (conn.  $C^*$ -Alg)  
IS DETERMINED BY A STATE SPACE  
(cpt. top. space) IN A WAY THAT  
RESPECTS OPERATIONS ON THE SYSTEM  
(functorially).
- NO COMMUTATIVE  $C^*$ -ALG DETERMINES A QUANTUM SYSTEM IN THIS WAY.

# NONCOMMUTATIVE SPECTRAL THEORY

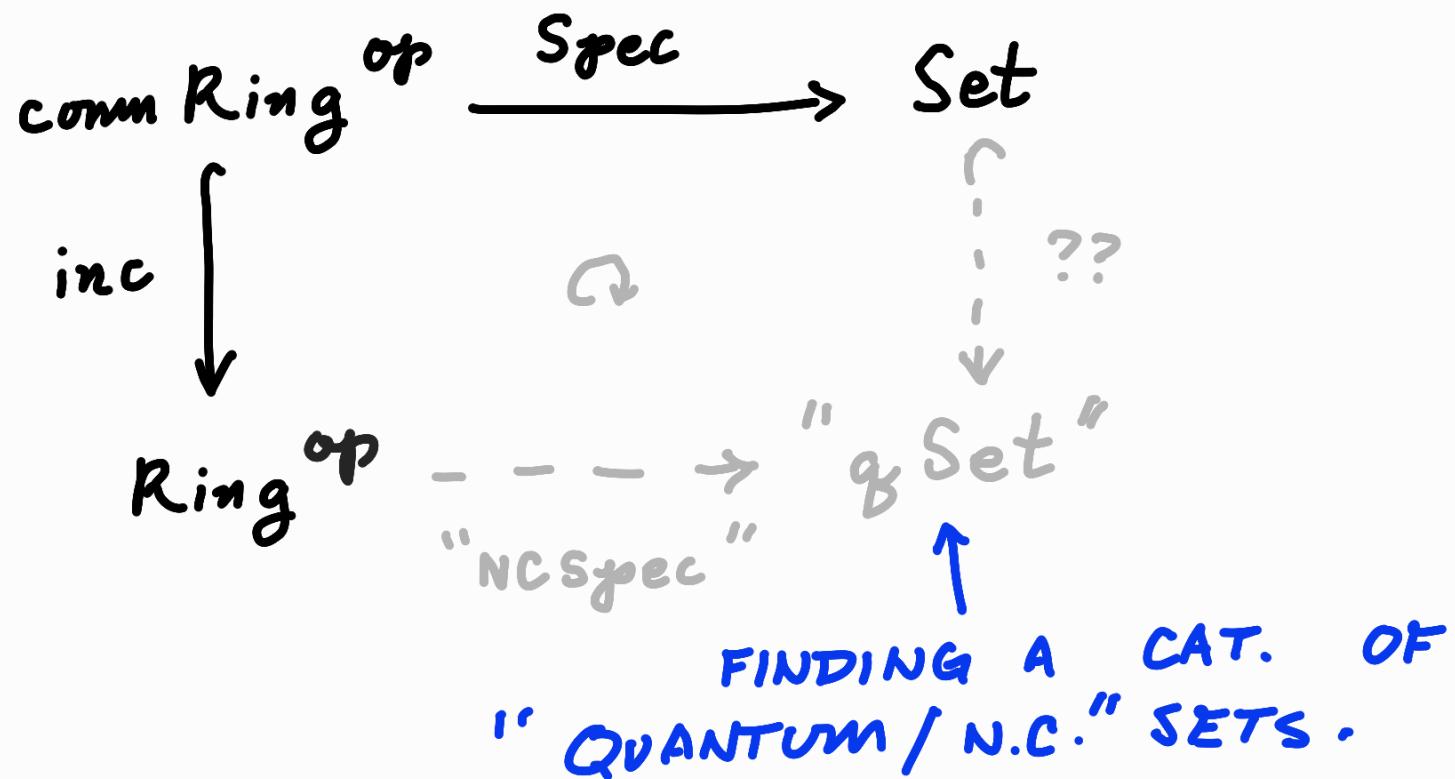
- THE DREAM OF NONCOMMUTATIVE GEOMETRY :



OBSERVABLES ON QUANTUM SYSTEMS :  
THESE ARE WHAT N.C. GEOMETRY STUDIES.

# NONCOMMUTATIVE SPECTRAL THEORY

- TOPOLOGICAL SPACES ARE SETS OF POINTS,  
SO I COULD JUST AS WELL THINK ABOUT A  
SLIGHTLY SIMPLER PROBLEM:



## NONCOMMUTATIVE SPECTRAL THEORY

WE EXPECT " $\mathcal{B}Set$ " TO BE A CLOSED SYMMETRIC MONOIDAL CATEGORY, AND WE EXPECT IT TO "CONTAIN"  $Set$ .

HOW TO TELL IF A GIVEN CANDIDATE, SAY  $\mathcal{Y}$   $\in$  MonCat, IS THE RIGHT ONE?

## ENRICHED GROTHENDIECK TOPOLOGIES

- GIVEN A CLOSED SYMMETRIC MON. CAT.  $\mathcal{V}$ ,  
A  $\mathcal{V}$ -ENRICHED CATEGORY IS "A CAT.  
WITH  $\mathcal{V}(x,y) \in \text{Ob}(\mathcal{V})$ ."

ENRICHED FUNCTORS RESPECT THE MNDL.  
STRUCTURE OF  $\mathcal{V}$ .

e.g., A RING IS A ONE-OBJECT  
AB-CATEGORY. A RING HOMO-  
MORPHISM IS AN AB-FUNCTOR.

- GIVEN ENRICHING CAT'S  $\mathcal{U}, \mathcal{V}$ ,  
 A  $\mathcal{V}$ -CAT.  $\mathcal{C}$ , AND A FUNCTOR  
 $G: \mathcal{V} \rightarrow \mathcal{U}$ ,  
 WE CAN CHANGE BASE VIA  $G$ ,  
 OBTAINING A  $\mathcal{U}$ -CAT, DENOTED  $G_* \mathcal{C}$ .

e.g., USING  $\text{Hom}_{\text{Ab}}(\mathbb{Z}, -) : \text{Ab} \rightarrow \text{Set}$ ,  
 WE CAN "FORGET" THE ADDITIVE STRUCTURE  
 ON A RING TO OBTAIN A MERE  
 MULTIPLICATIVE MONOID.



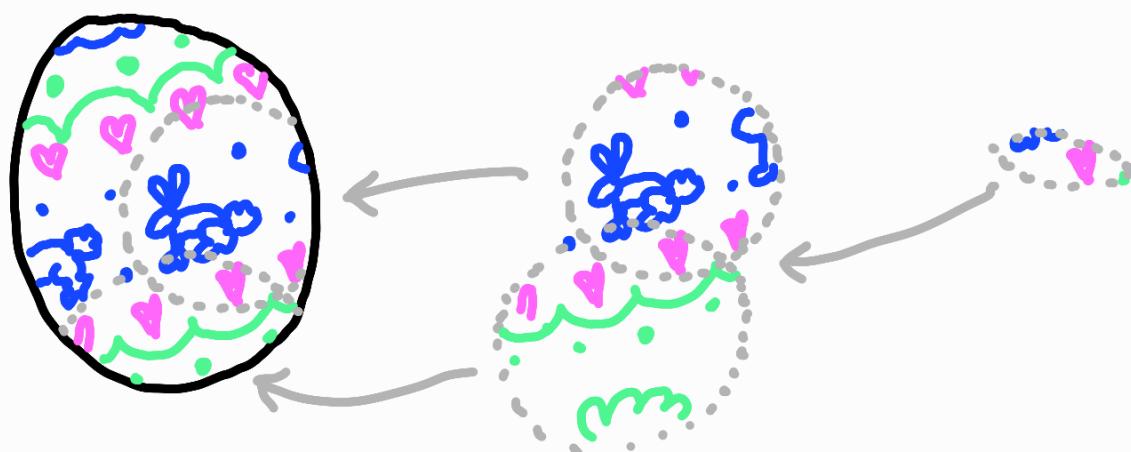
- A GROTHENDIECK TOPOLOGY IS A WAY TO ENDOW ANY CATEGORY (NOT JUST  $\mathcal{O}(X)$ ) WITH A NOTION OF "CLOSE - TOGETHER - NESS" OF ITS OBJECTS.

"A FAMILY OF PRESHEAVES SATISFYING SOME CLOSURE CONDITIONS."



"A TOPOLOGY ON A CATEGORY."

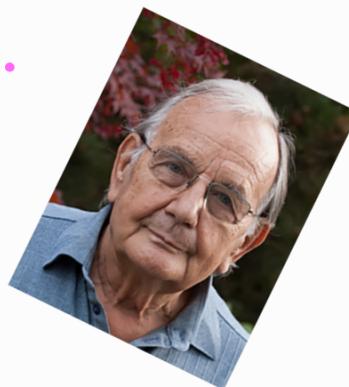
- WE WANT TO KNOW WHEN OBJECTS ARE CLOSE TOGETHER BECAUSE THEN WE CAN DEFINE SHEAVES : "CLOSENESS - RESPECTING" ASSIGNMENTS OF DATA TO THE "LOCATIONS" IN (i.e., OBJECTS OF) OUR CAT'S .



- THE "NO-GO" THEOREMS ABOVE ALSO EXTEND TO SIMILAR RESULTS ABOUT WHAT GROTHENDIECK TOPOLOGIES CAN BE DEFINED ON, e.g.,  $\text{Ring}$ .

WE HAVE ENRICHED GROTHENDIECK TOPOLOGIES  
AND ENRICHED SHEAVES, TOO.

e.g., A GABRIEL LOCALIZING SYSTEM  
ON A RING IS AN Ab - TOPOLOGY.  
AN Ab - SHEAF ON A RING w/r/t  
SUCH A LOCALIZING SYSTEM IS ITS  
GABRIEL LOCALIZATION.



WHAT I WANTED TO KNOW :

- HOW CAN I USE BASE CHANGE  
TO TURN A  $V$ -TOPOLOGY INTO A  
 $U$ -TOPOLOGY ?
- UNDER WHAT CONDITIONS IS SUCH  
AN ASSIGNMENT INJECTIVE ?

## COOL, WHAT DOES IT HAVE TO DO WITH QUANTUM?

- ONE WAY TO TEST WHETHER WE'VE FOUND A GOOD CANDIDATE FOR " $\mathbb{B}\text{Set}$ " - THUS A GOOD CAT. TO MODEL QUANTUM SYSTEMS WITH - IS TO MAKE SURE THERE ARE  $\mathbb{B}\text{Set}$ -ENRICHED GROTH. TOPOLOGIES WHICH DON'T ARISE FROM UNENRICHED ONES.

COOL, WHAT DOES IT HAVE TO DO WITH QUANTUM?

- SO, NEED TOOLS TO DETECT WHETHER A GIVEN ENRICHED GROTHENDIECK TOPOLOGY ARISES FROM AN UNENRICHED ONE.

Some Key  
Results . . .

## CONDITIONS

- ENRICHING CAT'S  $\mathcal{U}, \mathcal{V}$  ARE ALWAYS CLOSED SYMMETRIC MONOIDAL AND LOCALLY FINITELY PRESENTABLE.
  - $\mathcal{C}$  DENOTES A  $\mathcal{V}$ -CAT.
  - $G: \mathcal{V} \rightarrow \mathcal{U}$  IS A LAX MONOIDAL FUNCTOR WHICH MAY BE
    - i. FAITHFUL
    - ii. CONSERVATIVE
    - iii. A RIGHT ADJOINT (IN  $\text{MonCat}$ )
- $\left. \begin{matrix} \\ \\ \end{matrix} \right\} (\Delta)$

## MISCELLANY

- A COVERAGE IS A TOPOLOGY BUT MINUS ONE "SATURATION" CONDITION.  
*(A MILD WEAKENING.)*
- DENOTE

$$\Sigma(\mathcal{C}, \mathcal{V}) = \{ \text{ALL } \mathcal{V}\text{-COVERAGES ON } \mathcal{C} \}$$

$$\tau(\mathcal{C}, \mathcal{V}) = \{ \text{ALL } \mathcal{V}\text{-TOPOLOGIES ON } \mathcal{C} \}$$

Fix  $\mathcal{U}, \mathcal{V}$ , AND  $G: \mathcal{V} \rightarrow \mathcal{U}$ .

SUPPOSE  $\mathcal{C}$  IS SMALL.

PROPOSITION. GIVEN A  $\mathcal{V}$ -COVERAGE  $J$  ON  $\mathcal{C}$ ,  
G INDUCES A  $\mathcal{U}$ -COVERAGE  $\tilde{G}J$  ON  $G_*\mathcal{C}$ .

PROPOSITION. GIVEN A  $\mathcal{V}$ -TOPOLOGY  $J$  ON  $\mathcal{C}$ ,  
G INDUCES A  $\mathcal{U}$ -TOPOLOGY  $\overline{G}J$  ON  $G_*\mathcal{C}$ .

THEOREM.  $\Sigma(\mathcal{C}, \mathcal{V})$  AND  $\tau(\mathcal{C}, \mathcal{V})$   
ARE COMPLETE LATTICES.

THEOREM. SUPPOSE  $G$  SATISFIES ALL CONDITIONS IN  
( $\Delta$ ). THE ASSIGNMENT

$$\Sigma(\ell, v) \xrightarrow{\tilde{G}(-)} \Sigma(G_*\ell, u)$$

IS AN INJECTIVE LATTICE MORPHISM.

"DISTINCT  $V$ -COVERAGES GIVE RISE TO  
DISTINCT  $U$ -COVERAGES."

CONJECTURE. SUPPOSE  $G$  SATISFIES ALL CONDITIONS IN  
 $(\Delta)$ , PLUS ONE MORE TECHNICAL CONDITION.  
THE ASSIGNMENT

$$\tau(e, v) \xrightarrow{\overline{G(-)}} \tau(G_* e, u)$$

IS AN INJECTIVE LATTICE MORPHISM.

## ONE MORE THING

THEOREM. IN CASE  $G: \mathcal{V} \rightarrow \mathcal{U}$  IS  
FULLY FAITHFUL, BASE CHANGE "COMMUTES"  
WITH ENRICHED SHEAFTIFICATION.



"CANONICAL WAY TO TURN A  
PRESHEAF INTO A SHEAF."

PUZZLE ②:  
CONCURRENCY IN  
MONOIDAL CATEGORIES

(j/w CARMEN CONSTANTIN,  
CHRIS HEUNEN)

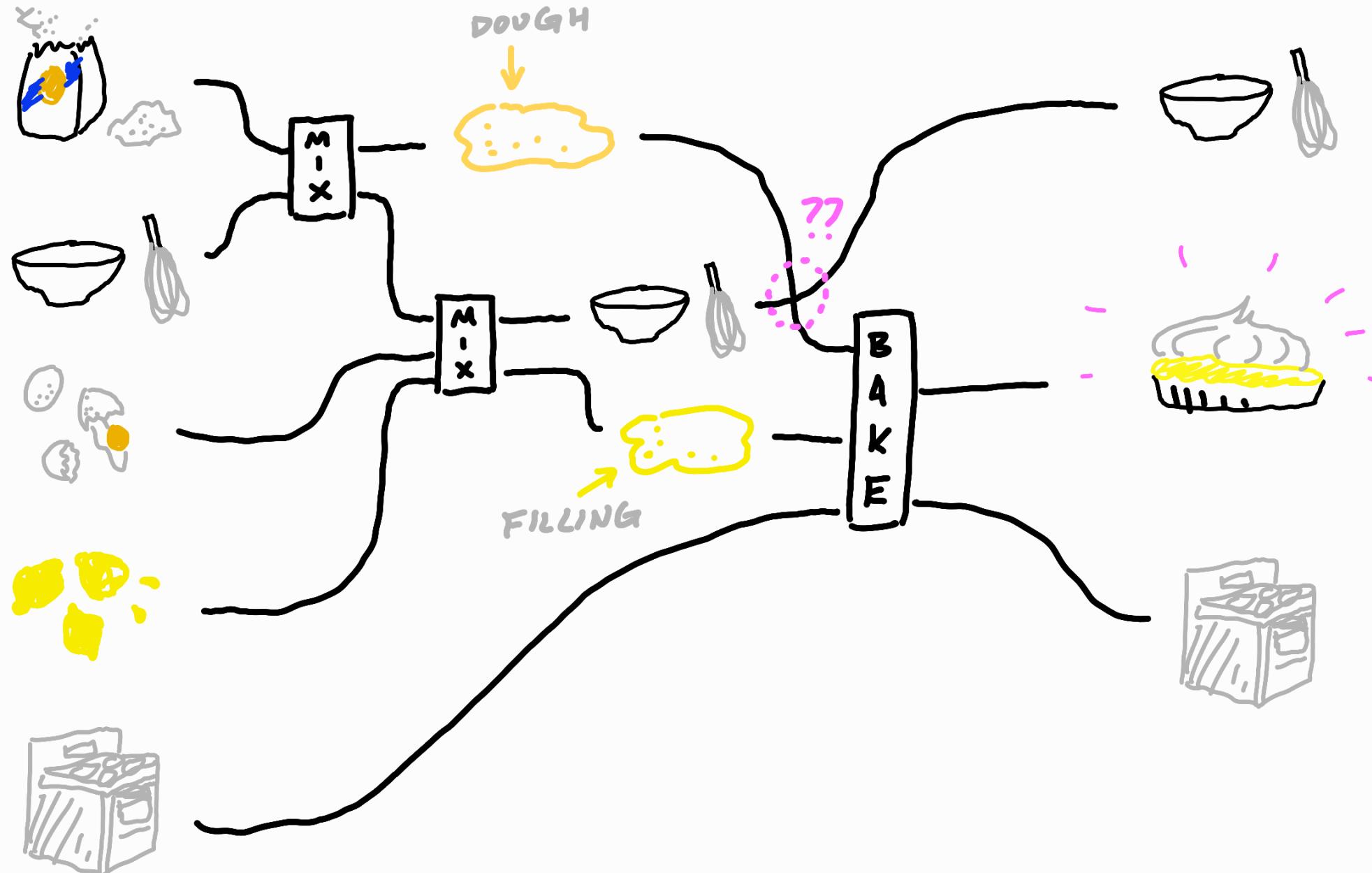
## BACKGROUND

MODELING CONCURRENT PROCESSES IS  
AN IMPORTANT PROBLEM IN  
DEVELOPING QUANTUM COMPUTERS .  
PROGRAMS.

## BACKGROUND

- WE CAN MODEL A SINGLE PROCESSOR AS A MONOIDAL CAT., THINKING OF OBJECTS AS RESOURCE TYPES AND MORPHISMS AS PROGRAMS/PROCESSES TURNING ONE TYPE INTO ANOTHER.
- WE CAN REPRESENT MORPHISMS IN MONOIDAL CAT'S BY STRING DIAGRAMS.

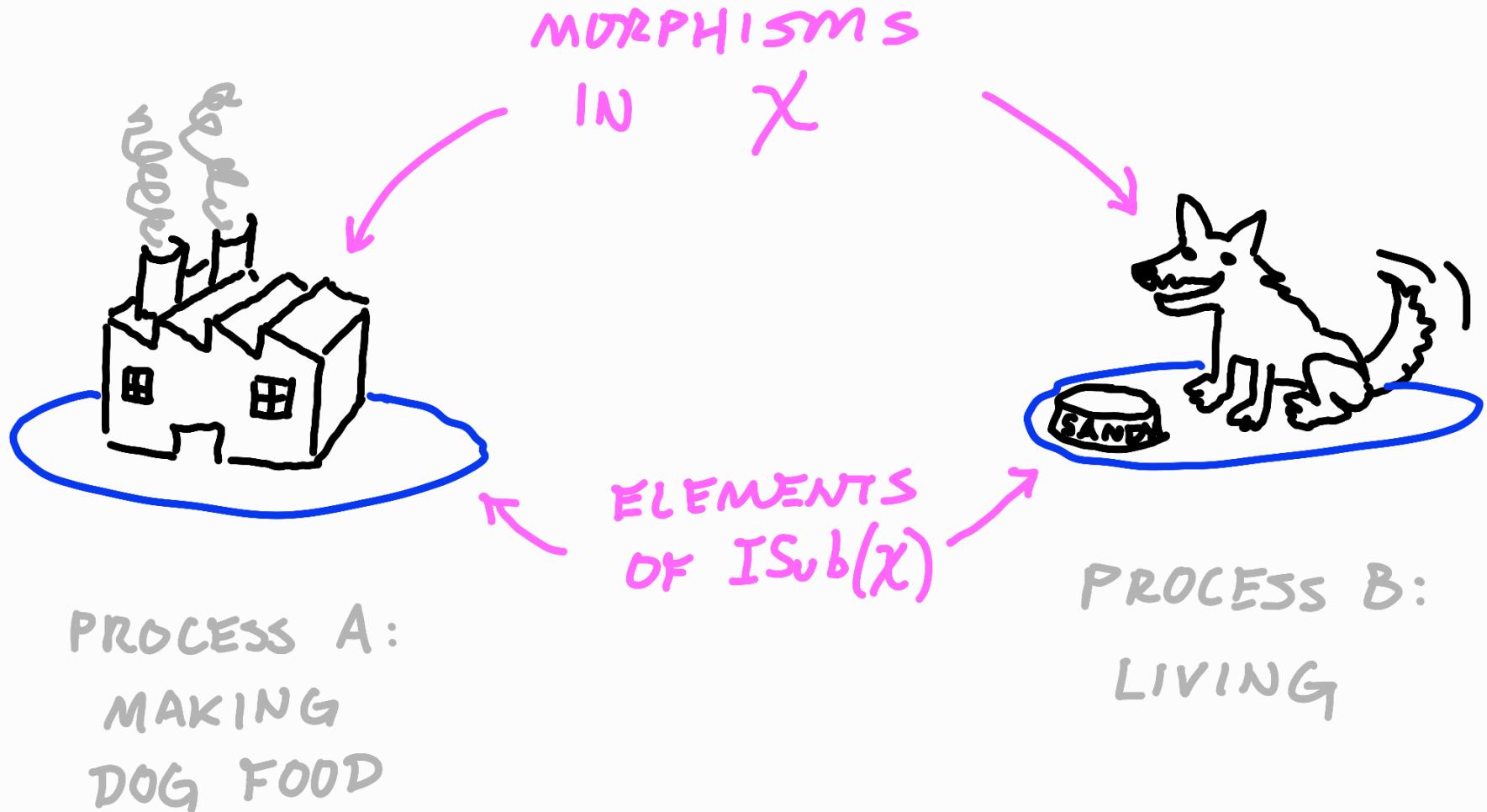
## BACKGROUND



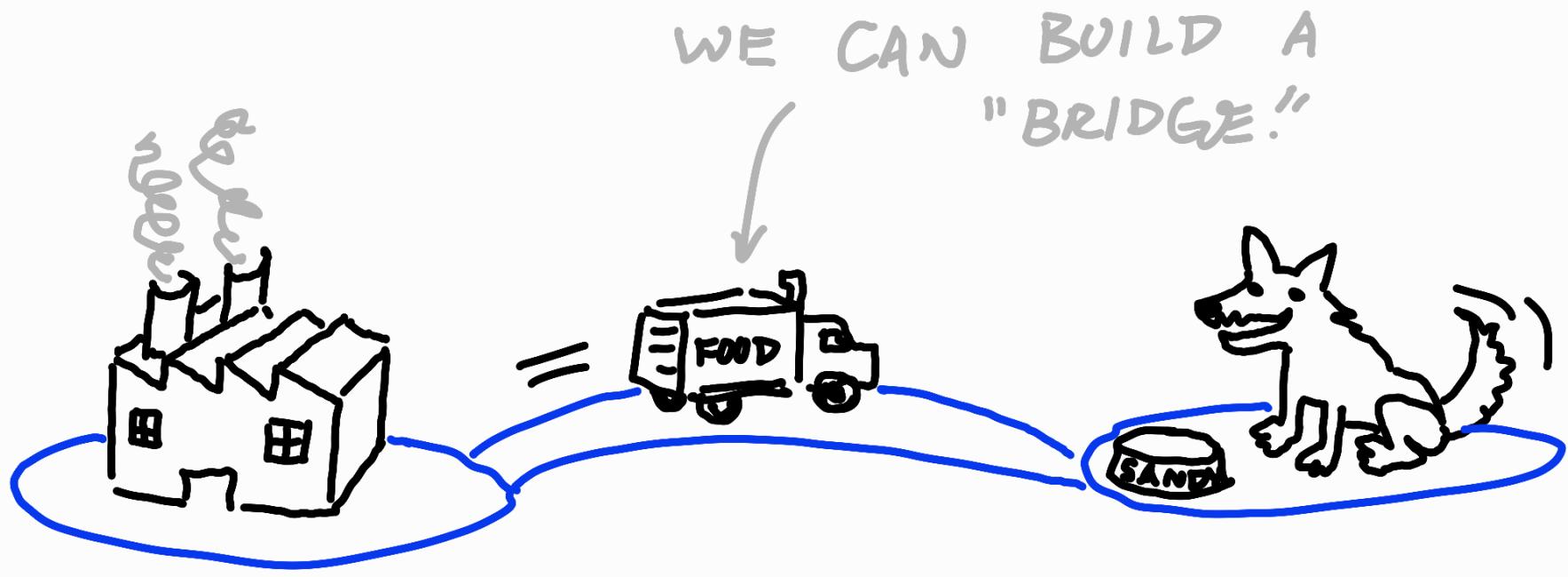
## BACKGROUND

- ANY MONOIDAL CATEGORY  $\mathcal{X}$  IS EQUIPPED WITH A COLLECTION OF OBJECTS WHICH WE THINK OF AS ADMISSIBLE "LOCATIONS" FOR PROCESSES TO OCCUR AT, DENOTED  $ISub(\mathcal{X})$ .

## BACKGROUND

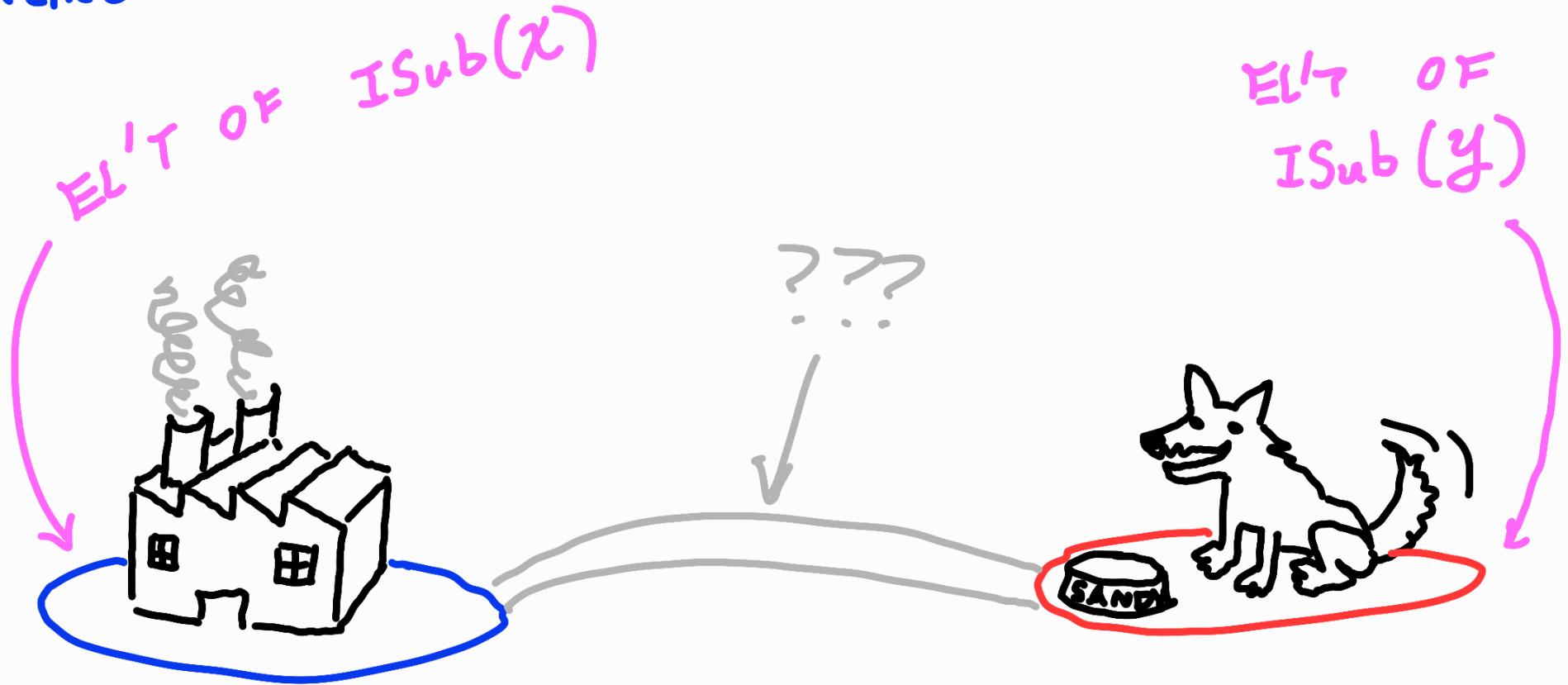


## BACKGROUND



A : B CAN EXCHANGE  
RESOURCES IN A GIVEN  
MONOIDAL CATEGORY.

## BACKGROUND



WHAT IF  $A \div B$  ARE OCCURRING  
IN DIFFERENT MONOIDAL CAT.'S ?  
(e.g., DIFFERENT PROCESSORS ?)

## KEY RESULT

GIVEN  $x, y, \alpha \in \text{symMonCat}$ , WE CONSTRUCTED A SYM. MONOIDAL CAT. WHICH SHOULD BE THE PUSHOUT OF  $x \div y$  ALONG  $\alpha$ :

$$\begin{array}{ccc} \alpha & \longrightarrow & x \\ \downarrow & & \downarrow \\ y & \longrightarrow & x *_{\alpha} y \end{array}$$

ALGEBRAICALLY,  
MORPHISMS ARE  
MATRICES W/ ENTRIES IN  
A CERTAIN RIG  
CATEGORY.

## TO - DO

(WITH UNDERGRADS OR EARLY - YEAR GRADS!)

1. VERIFY THAT  $X *_{\Delta} Y$  IS INDEED THE PUSHOUT!
2. VERIFY THAT  $\text{ISub}(X *_{\Delta} Y)$  CONTAINS "ENOUGH BRIDGES."
3. DEFINE A "NORMAL FORM" FOR MOR'S IN  $X *_{\Delta} Y$ .
4. PROVE THAT IT'S A CATEGORY OF TRACES.  
(i.e., IS "FREE" IN SOME SENSE.)

PUZZLES  $\textcircled{3}$  -  $\textcircled{\infty}$  :

PROPERTIES OF  
DAGGER CATEGORIES

## BACKGROUND

QUANTUM THEORY TRADITIONALLY TAKES PLACE  
IN THE CAT.  $\text{Hilb}$  OF HILBERT SPACES  
; BOUNDED LINEAR OPERATORS.

$\text{Hilb}$  IS SYMMETRIC MONOIDAL, AND IS  
EQUIPPED WITH AN ADJOINT OPERATION: FOR  
OPERATORS  $f, g$  ON  $H$ , WE HAVE

$$(g \circ f)^t = f^t \circ g^t, \quad \text{id}_H^t = \text{id}_H,$$

$$(f^t)^t = f.$$



## BACKGROUND

THE ADJOINT ON  $\text{Hilb}$  IS AN EXAMPLE  
OF A DAGGER STRUCTURE ON A CATEGORY:  
A CONTRAVARIANT INVOLUTIVE FUNCTOR

$$(-)^{\dagger} : \mathcal{C} \rightarrow \mathcal{C}$$

WHICH IS THE IDENTITY ON OBJECTS.



MANY PROPERTIES OF DAGGER CATEGORIES  
HAVE YET TO BE INVESTIGATED...

## TO-DO

JUST TWO SUCH PROPERTIES I'M MOST INTERESTED IN:

- IS THERE A YONEDA STRUCTURE ON DagCat? (NONEXISTENCE WOULD MAKE DEFINING "DAGGER SHEAVES" IMPOSSIBLE...)
- IS THERE A MONADICITY THEOREM FOR DAGGER MONADS?

MANY MORE, SOME PROBABLY ACCESSIBLE TO EARLY-YEAR GRADS!

THANKS FOR LISTENING!



SLIDES: [ari-rosenfield.github.io](https://ari-rosenfield.github.io)

