

# Decay of excess for the abelian Higgs model

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(joint work with G. De Philippis and A. Pigati)

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In this talk we are going to study "phase transition" models that come from physics. In particular we will study the behavior of the set where "phase transition" and "energy concentration" happens.

In all of these models, this set typically has a fixed co-dimension (the dimension of the states).

They are also set up to prefer some type of "ordered" transition.

We are interested in models where this order becomes geometric.

## THE STORY OF CO-DIMENSION 1 THE ALLEN-CAHN MODEL

# Allen-Cahn: The model

This model has a "phase" parameter  $u : \mathbb{R}^n \supset \Omega \rightarrow [-1, +1]$ :

- The values  $u = \pm 1$  correspond to *pure* states; i.e. *water* or *oil*.
- The set  $\{u = 0\}$  represents the interface between the states (Note that it has codimension 1). More precisely, one should think about  $\{|u| \leq 1/2\}$  as a diffuse interface between the two phases.

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The energy of the model has the following form:

$$E(u) = \int_{\Omega} \underbrace{|du|^2}_{\text{favors ordered transition}} + \underbrace{\frac{(1-u^2)^2}{4}}_{\text{likes pure states}}$$

The stationary points satisfy the following semilinear PDE:

$$-\Delta u = \frac{u - u^3}{2}.$$

One should imagine the domain  $\Omega$  to be very large. Then we have the exponential decay away from the transition layer:

$$|du(x)| + |1 - |u(x)|| \lesssim e^{-C \operatorname{dist}(x, \{u=0\})}.$$

Then the expected picture is that  $u \sim \pm 1$  outside a strip of thickness  $\approx 1$ . Moreover the energy concentrates on the transition layer.

# Allen-Cahn: The rescaled picture

We can rescale the picture by considering  $u_\epsilon(x) = u(x/\epsilon)$ , which means looking at the following rescaled energy:

$$E_\epsilon(u_\epsilon) = \int_{\Omega} \epsilon |du_\epsilon|^2 + \frac{(1 - u_\epsilon^2)^2}{4\epsilon}.$$

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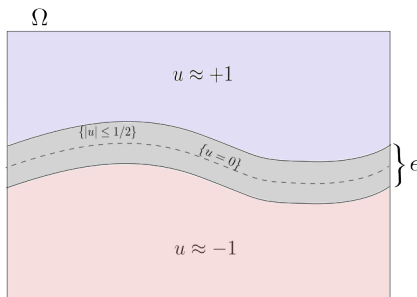


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If the above achieves equality, it means that:

$$u_\epsilon(x) = g\left(\frac{\text{signed-dist}(x, \{u = 0\})}{\epsilon}\right).$$

where  $g$  is the one dimensional solution  $g' = 1 - g^2$ .

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This suggests this energy is related to minimal surfaces.

## Theorem: Modica-Mortola

As  $\epsilon \rightarrow 0$  the Allen-Cahn energy  $E_\epsilon$   $\Gamma$ -converges to the functional:

$$u \rightarrow \text{Per}(\{u = 1\}),$$

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More results:

- Convergence of stationary points (Hutchinson-Tonegawa) and Gradient flow (Ilmanen).
- Most *Non-degenerate* minimal submanifolds can be recovered as limits of critical points (Pacard-Ritorè, Del Pino-Wei, De Philippis-Pigati, ...)
- Minimal surfaces can be constructed via minMax for Allen-Cahn (Guaraco, Chodosh-Mantoulidis, Bellettini-Wickramasekera, ...)

It is well known that large scale behavior of the set  $\{u = 0\}$  is described by minimal surfaces.

## Question

Do level sets of Allen-Cahn inherit more "interesting" behavior from minimal surfaces?

# Rigidity results for minimal surfaces: Allard

## Theorem: Allard

There exists  $\tau(k, n) > 0$  such that if  $\Sigma$  is a  $k$ -dimensional minimal surface such that  $0 \in \Sigma$  and:

$$\lim_{R \rightarrow \infty} \frac{\text{Area}_k(\Sigma \cap B_R)}{\omega_k R^k} \leq 1 + \tau.$$

Then  $\Sigma$  is a flat  $k$ -plane.

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The above theorem is in fact a consequence of the following local result:

## Theorem: Allard's $\epsilon$ -regularity

There exists  $\epsilon(k, n) > 0$  such that if  $\Sigma \subset B_1$  is a  $k$ -dimensional minimal surface (without boundary inside  $B_1$ ) such that  $0 \in \Sigma$  and:

$$\text{Area}_k(\Sigma \cap B_1) \leq \omega_k(1 + \epsilon),$$

then (up to a rotation)  $\Sigma \cap B_{1/2}$  is the graph of a  $C^{1,\alpha}$  function  $f$  with  $\|f\|_{C^{1,\alpha}} \lesssim \epsilon$ .

For the case of Hypersurfaces more can be said:

## Bernstein theorems

Let  $\Sigma \in \mathbb{R}^n$  be a complete immersed co-dimension 1 minimal hypersurface. Then  $\Sigma$  is a plane if one of the following is true:

- $\Sigma$  is a graph and  $n \leq 8$ . (Bernstein, Almgren, De Giorgi, Simons)
- $\Sigma$  is stable and  $n \leq 6$ . (Chodosh-Li, Chodosh-Li-Minter-Stryker, Catino-Mastrolia-Roncoroni, Mazet)

Does a "Bernstein" theorem holds for level-sets of Allen-Cahn?

## De Giorgi's Conjecture 78'

Let  $u : \mathbb{R}^n \rightarrow [-1, +1]$  be an entire critical point of the Allen-Cahn energy such that:

$$\partial_n u > 0.$$

Then  $u$  is one-dimensional, meaning after a possible rotation

$$u(x', x_n) = g(x_n)$$

where  $g$  is the one-dimensional profile (provided  $n \leq 8$ ).

In 2009 Savin proved the following version of De-Giorgi's conjecture:

## Theorem: Savin 09'

Let  $u : \mathbb{R}^n \rightarrow [-1, +1]$  be an entire critical point of the Allen-Cahn energy such that:

$$\partial_n u > 0 \quad \text{and} \quad \lim_{x_n \rightarrow \pm\infty} u(x', x_n) = \pm 1.$$

Then  $u$  is one-dimensional (provided  $n \leq 8$ ).

Wang also discovered a variational proof which implies Savin's result:

## Theorem: Wang 15'

There is a constant  $\tau$  such that if  $u$  is an entire solution of AC with:

$$\frac{E_{\text{AC}}(u)(B_R)}{R^{n-1}} \leq c_1 + \tau,$$

then  $u$  is one-dimensional.



# Savin and Wang: Idea of the proof

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- The main idea is then an "improvement of flatness":

If the configuration is close to be flat at scale 1, then it is much closer to be flat at scale  $1/2$ .

- Here closeness can be measured in different ways which depends on the problem.

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The intuition is that the area functional linearizes to the Laplace equation, which enjoys good decay estimates. Take the surface as  $\text{graph}(f)$ :

$$\text{Area}(\text{graph}(f)) = \int \sqrt{1 + |\nabla f|^2} \sim \int 1 + \frac{|\nabla f|^2}{2} = 1 + \text{Dir}(f)$$

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The main (interesting) difficulty is to make this linearization rigorous.

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- His proof relies on the tool-box of maximum principle type arguments and comparison functions which are "scalar" in nature.
- Co-dimension 1 is essential for this toolbox.

## THE STORY OF CO-DIMENSION 2 ABELIAN HIGGS (GINZBURG LANDAU)



## Co-dimension 2: The Ginzburg Landau model

For  $u : \Omega \subset \mathbb{R}^3 \rightarrow \mathbb{C}$  and  $A : \Omega \rightarrow \mathbb{R}^3$ , the Ginzburg energy takes the following form:

$$E(u, A) = \int_{\Omega} |du - iAu|^2 + |\operatorname{curl}(A)|^2 + \kappa \frac{(1 - |u|^2)^2}{4}.$$

Here  $u$  is the order parameter and  $|u| = 1$  reflects pure states;  $A$  is the magnetic vector potential and  $\operatorname{curl}(A)$  is the magnetic field.

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Note the following *gauge invariance* of the energy:

$$(u, A) \rightsquigarrow (ue^{i\theta}, A + d\theta)$$

## Co-dimension 2: The Abelian Higgs model

Let  $L \rightarrow M$  be a complex line bundle over  $M$ ,  $u$  a section and  $\nabla$  a metric connection, then the Yang-Mills-Higgs energy takes the following form:

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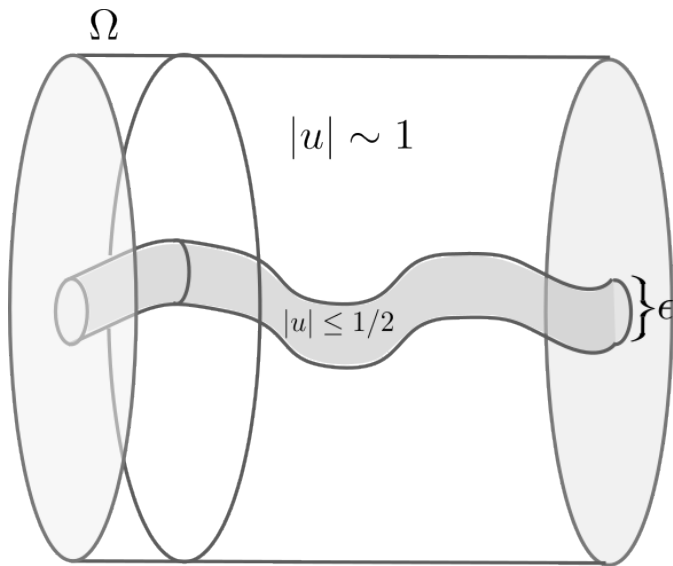
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Here the gauge invariant *vortex set*  $\{|u| \leq 1/2\}$  plays the role of transition layer for AC and is of codimension-2.



The case  $\alpha = 0$  has been studied by many mathematicians (Bethuel, Brezis, Orlandi, Serfaty, Lin, Riviere, Pacard, Smets, ...) and it is quite difficult to analyze.

- The energy localizes very slowly. (energy grows like  $|\log \epsilon|$ ), more precisely on the set  $\{|u| \geq \frac{1}{2}\}$ :

$$|du(x)|^2 \sim |d(\frac{u}{|u|})|^2 \sim \frac{1}{\text{dist}^2(x, u=0)}.$$

so on a transversal 2-dim slice  $\int_{B_1^2 \setminus B_\epsilon^2} |du(x)|^2 \sim |\log \epsilon|$

- Vortices repulse each other with energy of order  $|\log(\text{distance})|$ .
- Because of this interaction, integrality of the limit sub-manifold is not guaranteed (Pigati-Stern, Dávila-del Pino-Medina-Rodiac).

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- First we see that if  $u = re^{i\theta}$  and  $\nabla : d - i\alpha$ :

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- Indeed on  $\mathbb{R}^2$  it's even better: we can see that (Bogomolny):

$$\begin{aligned} E(u, \nabla) &= \int_{\mathbb{R}^2} |\nabla u|^2 + |F_{\nabla}|^2 + \frac{1}{4}(1 - |u|^2)^2 \\ &= 2\pi|N| + \int_{\mathbb{R}^2} |\nabla_{\partial_1} u \pm i\nabla_{\partial_2} u|^2 + \left| \star F_{\nabla} \mp \frac{1 - |u|^2}{2} \right|^2. \end{aligned}$$

Here  $N$  is the vortex number or the winding number of  $u$  at  $\infty$  and is a topological constant. In other words:

$$E(u, \nabla) \geq 2\pi|N|.$$

Minimizers satisfy a system of first order equations (up to a conjugation) called *the vortex equations*:

$$\nabla_{\partial_1} u + i \nabla_{\partial_2} u = 0 \text{ and } \star F_{\nabla} = \frac{1 - |u|^2}{2}.$$

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Taubes, in his PhD thesis, showed that:

- On  $\mathbb{R}^2$  all stationary points are minimizers. (Equivalence of first and second order equations)
- After prescribing the zero set  $u = 0$  to be  $\{a_1, \dots, a_N\}$ , counting with multiplicity, the solution is unique (up to a change of gauge).

It looks like a system of non-interacting particles in  $\mathbb{R}^2$ .

# Abelian Higgs: stability in 2 dimensions (A necessary tool)

The uniqueness result can be strengthened as follows:

## Theorem: H. 23'

For any  $N$  there exists  $C_{|N|}$  such that any  $N$ -vortex pair  $(u, \nabla)$  satisfies:

$$\min_{(u_0, \nabla_0) \in \mathcal{F}} \|u - u_0\|_{L^2(\mathbb{R}^2)}^2 + \|F_{\nabla} - F_{\nabla_0}\|_{L^2(\mathbb{R}^2)}^2 \leq C_{|N|} [E(u, \nabla) - 2\pi|N|] .$$

provided that  $E(u, \nabla) - 2\pi|N|$  is small enough. Here  $\mathcal{F}$  is the moduli space of all solutions to the vortex equations.

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Ideas of proof:

- If  $(u, \nabla) \rightsquigarrow (re^{i\theta}, A)$  the discrepancy becomes:

$$E(u, \nabla) - 2\pi|N| = \int_{\mathbb{R}^2} r^2 |d \log(r) + \star(A - d\theta)|^2 + |\star dA - \frac{1 - r^2}{2}|^2$$

- New weighted CKN-type inequalities on two-manifolds needed (H.)
- A smoothing method using a penalized functional (inspired by the quantitative isoperimetric inequality Cicalese-Leonardi)

# A glimpse of the inequalities

## H. 23'

Let  $\omega$  be a positive weight on a two-manifold  $M$  (with boundary) such that:

$$\omega^2 \Delta \log \omega = 0$$

Then for any  $f \in C_c^\infty(M)$  the following holds for  $\epsilon \leq 1$ :

$$\int_M |\omega|^{2+2\epsilon} |df|^2 \leq \frac{3 \sup_M \omega^{2\epsilon}}{\epsilon^2} \int_M \frac{\omega^4}{|d\omega|^2} |\Delta f|^2.$$

- As a special case:

$$\int_{B_1^2} |x|^{2+2\epsilon} |df|^2 \leq \frac{3}{\epsilon^2} \int_{B_1^2} |x|^4 |\Delta f|^2.$$

- All weights of the form

$$\omega = \prod_{k=1}^n |x - x_k|^{\alpha_k}$$

with  $\{x_1, \dots, x_n\} \subset \mathbb{R}^2$  and  $\alpha_k > 0$  are admissible.

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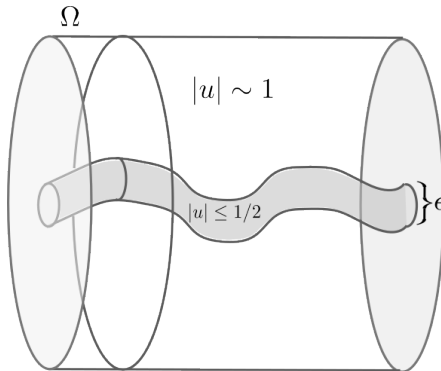
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Hence the expected picture is as below:



Analogous to Allen-Cahn we have the following result:

## Thoerem: Pigati-Stern, Parise-Pigati-Stern

As  $\epsilon \rightarrow 0$ , the YMH functional  $E_\epsilon$  converges (in a suitable sense) to the  $n - 2$  area of the zero level set (the only gauge invariant one):

$$\mathcal{H}^{n-2}(\{u = 0\}).$$

- In fact the energy measures  $\frac{1}{2\pi}e_\epsilon(u, \nabla)$  converge to a stationary co-dim 2 integral varifold  $V$ .
- the Currents dual to the Jacobian  $J(u, \nabla) = d\langle iu, \nabla u \rangle$  converge weakly to a cycle  $\Gamma$  with  $|\Gamma| \leq \mu_V$ .

We see that  $\{u = 0\}$  behaves like a minimal submanifold in the large scale.  
As before we can ask:

## Question

Does  $\{u = 0\}$  inherit any *rigidity* from minimal surfaces?

The answer is Yes!

## Theorem 1: De Philippis-H.-Pigati 24'

There is  $\tau$  such that for  $2 \leq n \leq 4$  an entire **stationary** pair  $(u, \nabla)$  for the Yang-Mills-Higgs functional  $E_1$  with:

$$\lim_{R \rightarrow \infty} \frac{E_1(u, \nabla)(B_R)}{\omega_{n-2} R^{n-2}} \leq 2\pi + \tau$$

is necessarily two dimensional; Meaning there is a projection  $P : \mathbb{R}^n \rightarrow \mathbb{R}^2$  such that  $(u, \nabla) = P^*(u_0, \nabla_0)$ , where  $(u_0, \nabla_0)$  is a one-vortex solution.

# Abelian Higgs: Rigidity of local minimizers

For minimizers we can remove the dimension restriction:

## Theorem 2: De Philippis-H.-Pigati 24'

For any  $n \geq 2$  there is  $\tau(n) > 0$  such that an entire **local minimizing** pair  $(u, \nabla)$  for the Yang-Mills-Higgs functional  $E_1$  with:

$$\lim_{R \rightarrow \infty} \frac{E_1(u, \nabla)(B_R)}{\omega_{n-2} R^{n-2}} \leq 2\pi + \tau$$

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We measure flatness in two ways:

$$\mathbf{E} = \mathbf{E}_1 + \mathbf{E}_2,$$

$$\mathbf{E}_1(u, \nabla, B_R) = \frac{1}{R^{n-2}} \int_{B_R} \sum_{k=3}^n |\nabla_{\partial_k} u|^2 + \sum_{(j,k) \neq (1,2)} |F_{\nabla}(\partial_j, \partial_k)|^2,$$

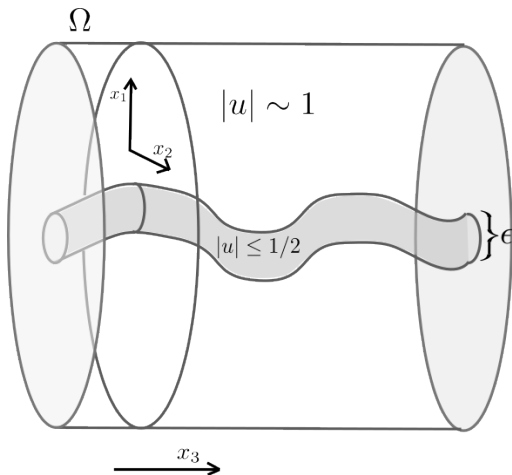
$$\mathbf{E}_2(u, \nabla, B_R) = \frac{1}{R^{n-2}} \int_{B_R} |\nabla_{\partial_1} u - i \nabla_{\partial_2} u|^2 + |F_{\nabla}(\partial_1, \partial_2) - \frac{1 - |u|^2}{2}|^2.$$

- $\mathbf{E}_1$  measures how flat  $(u, \nabla)$  is and does not depend on orientation. (parallel to varifold excess)
- $\mathbf{E}_2$  measures how far  $(u, \nabla)$  to be a solution of the vortex equation (on the slice) and depends on the orientation.

# Ideas of proof: More excess

In particular:

$$\int_{B_1^2 \times B_1^{n-2}} e_\epsilon(u, \nabla) = 2\pi\omega_{n-2} + \mathbf{E}(u, \nabla, B_1) + O(e^{-\frac{K}{\epsilon}}).$$



# Ideas of proof: Excess decay for solutions

The main ingredient is the following:

## Theorem 3: De Philippis-H.-Pigati 24'

For any  $n \geq 2$ , there exists  $\tau(n), R_0(n)$  such that if  $(u, \nabla)$  is an entire critical points of YMH energy such that:

$$\frac{E_1(u, \nabla)(B_R)}{\omega_{n-2} R^{n-2}} \leq 2\pi + \tau,$$

with  $R \geq R_0$ . Then the **first excess** decays (after a possible rotation):

$$\mathbf{E}_1(u, \nabla, B_{\frac{R}{2}}) \leq \frac{1}{2} \mathbf{E}_1(u, \nabla, B_R),$$

or it is already small:

$$\mathbf{E}_1 \lesssim \frac{|\log \mathbf{E}|^2 \sqrt{\mathbf{E}}}{R^2} + e^{-CR}.$$

Unfortunately, for critical pairs, only  $\mathbf{E}_1$  decays.

# Ideas of proof: Excess decay for minimizers

For minimizers, we have comparison arguments, hence we can do better:

## Theorem 4: De Philippis-H.-Pigati 24'

For any  $n \geq 2$  and  $\beta > 0$  there is  $\tau(\beta, n), R_0(\beta, n)$  such that if  $(u, \nabla)$  is an entire local minimizer of YMH such that:

$$\frac{E_1(u, \nabla)(B_R)}{\omega_{n-2} R^{n-2}} \leq 2\pi + \tau,$$

with  $R \geq R_0$ . Then the **full excess** decays (after a possible rotation)

$$\mathbf{E}(u, \nabla, B_{\frac{R}{2}}) \leq \frac{1}{2} \mathbf{E}(u, \nabla, B_R).$$

or it is already small:

$$\mathbf{E}(u, \nabla, B_R) \leq \frac{1}{R^\beta}.$$

- It is not hard to see that (By Allard) the configuration is flat on large scales with respect to a (possibly changing) plane.
- We then aim to linearize in the regime where excess  $\mathbf{E}_1$  vanishes and radius  $R$  becomes large.
- Equivalently in the rescaled picture we linearize the equation in the regime  $\mathbf{E}_1 \rightarrow 0$  and  $\epsilon \rightarrow 0$ .

# Ideas of proof of Theorem 3: Lipschitz approximation

*Lipschitz approximation*  $\rightsquigarrow$  Gauge invariance means a generic level set of  $u$  might be irregular

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$$J(u, \nabla) = d\langle iu, \nabla u \rangle \rightsquigarrow J(u, \nabla)_{1,2} = J_x : \mathbb{R}^{n-2} \rightarrow \mathcal{M}(B_1^2).$$

and take a Lipschitz approximation of the *barycenter*

$$\langle J_x, (x_1, x_2) \rangle := \int_{B_1^2 \times x} J(u, \nabla)_{1,2} \cdot (x_1, x_2)$$

to be

$$\Phi(x) : \mathbb{R}^{n-2} \rightarrow \mathbb{R}^2.$$

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We also get  $L^2$  bounds:

$$\int_{B_R^{n-2}} |d\Phi|^2 \leq C E_1.$$



# Ideas of proof of Theorem 3: Harmonic approximation

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$$T(u, \nabla) = e(u, \nabla)Id - 2\nabla u^* \nabla u - 2\omega^* \omega$$

is (row-wise) divergence free.

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- It is also closely related to the Jacobian  $J(u, \nabla)$  via  $\mathbf{E}_2$ . In fact:

$$\|J(u, \nabla)_{1,k} - T(u, \nabla)_{2,k}\|_{L^2}^2 \lesssim \sqrt{\mathbf{E}_1 \mathbf{E}} \text{ for } k = 3, \dots, n.$$

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- We test  $\operatorname{div}(T(u, \nabla)) = 0$  with an appropriate inner variation to see:

$$\left| \int d\Phi \cdot d\xi \right| \lesssim \sqrt{\mathbf{E}_1 \mathbf{E}} \|d\xi\|_\infty$$

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- This and  $\int |d\Phi|^2 \lesssim \mathbf{E}_1$  gives us harmonic approximation for some  $h$ :

$$\int |\Phi - h|^2 \lesssim o(\mathbf{E}_1).$$

with  $\Delta h = 0$ .

# Ideas of proof of Theorem 3: Caccioppoli and decay

Then with a Caccioppoli type inequality we get an excess-height bound

$\rightsquigarrow$  decay properties of harmonic functions means height decays

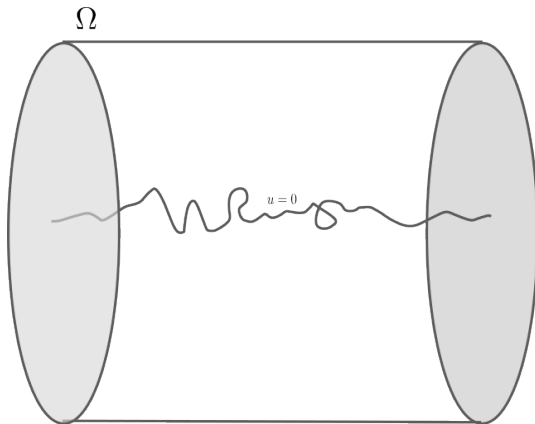
$\rightsquigarrow$  excess decays.

$\rightsquigarrow$  The obstruction in dimension comes from estimating the "variance" of slice measures  $\rightsquigarrow$  accurate up to order  $o(\epsilon^2 \sim \frac{1}{R^2})$ .

DECAY OF THE FULL EXCESS FOR  
LOCAL MINIMIZERS  
A VISUAL GUIDE

# Ideas of proof of Theorem 4 (local minimizers)

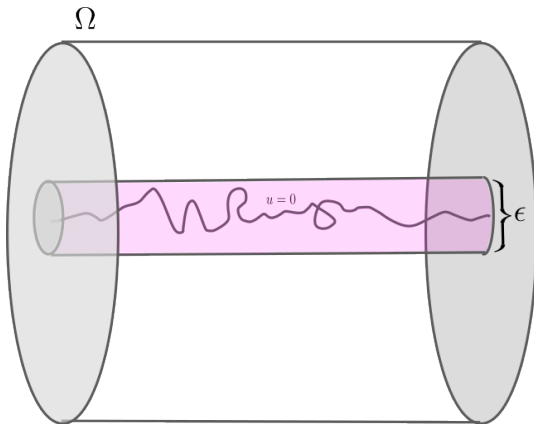
A priori the picture looks like this:





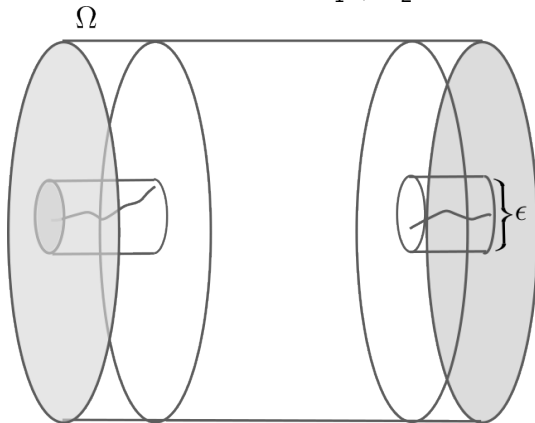
# Ideas of proof of Theorem 4 (local minimizers)

Iterating theorem 3 tells us that the vortex set lies  $\epsilon$  near a line:



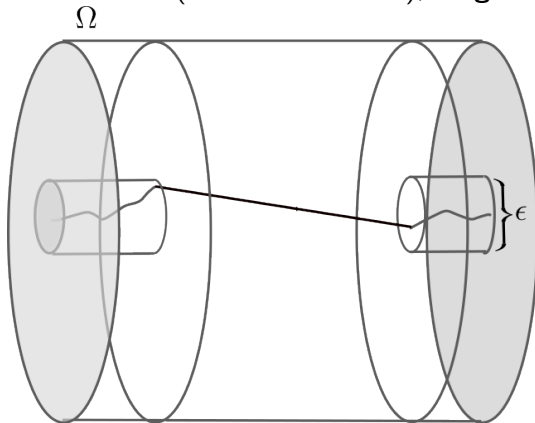
# Ideas of proof of Theorem 4 (local minimizers)

We find a good radius with small excess  $\mathbf{E}_1 + \mathbf{E}_2$  on the boundary, to cut:



# Ideas of proof of Theorem 4 (local minimizers)

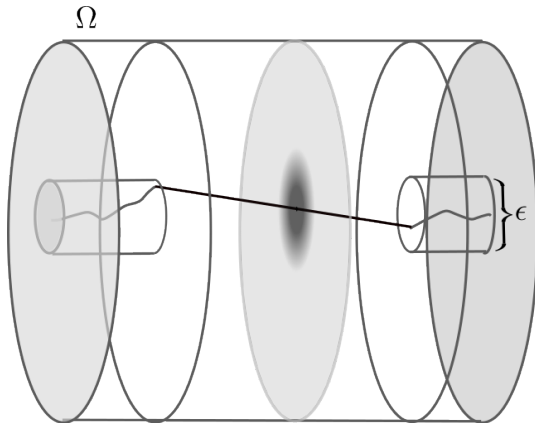
We replace inside with a line (harmonic function), **length decays!**



We want to mimick this on the energy level to contradict minimality.

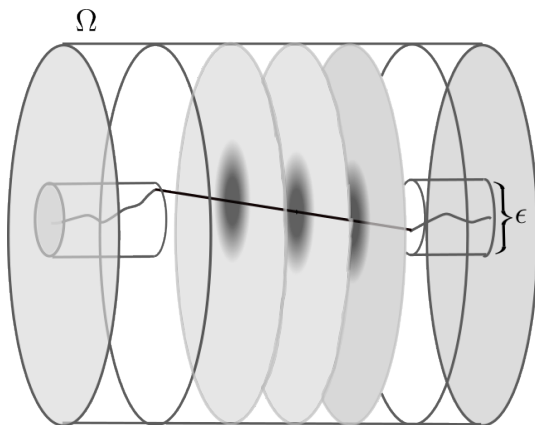
# Ideas of proof of Theorem 4 (local minimizers)

We pull-back a one-vortex solutions with zero as this line.



# Ideas of proof of Theorem 4 (local minimizers)

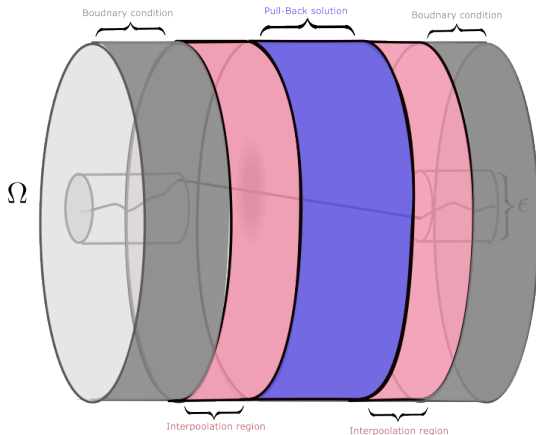
Energy  $\sim$  length inside.



However we need to attach to boundary conditions to have a competitor.

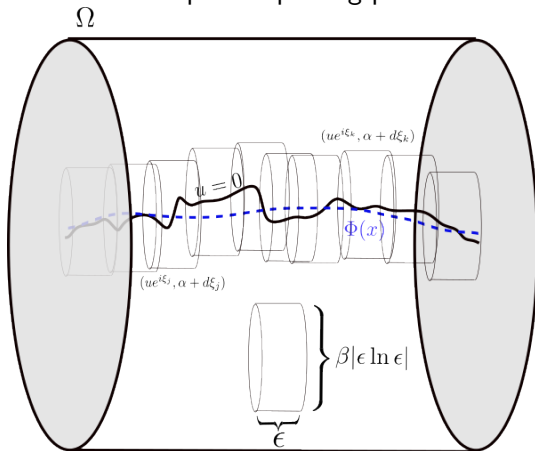
# Ideas of proof of Theorem 4 (local minimizers)

We need to interpolate with the boundary conditions  $\rightsquigarrow$  Quantitative stability in some gauge, but which one?  $\rightsquigarrow$  a very delicate gauge fixing has to be done  $\rightsquigarrow$  A crucial tool  $\rightarrow$  the zero set is  $\epsilon$  near a line ( $C^1$  graph).



# Idea of proof of Theorem 4: The crazy gauge

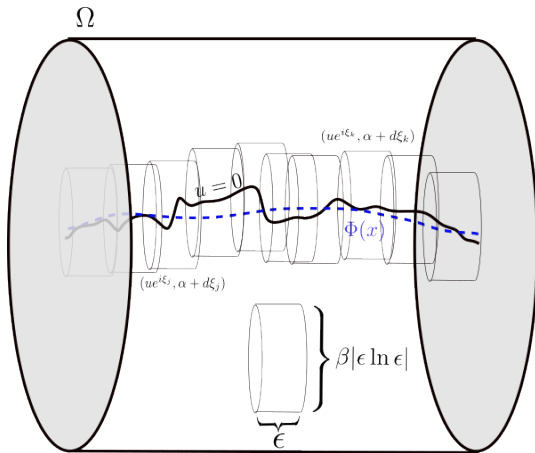
Cover the vortex set with cylinders like  $B_{C\epsilon}^2 \times B_{C\beta|\epsilon \log \epsilon|}^{n-2}$ . Using the structure theorem 3 gives us  $\rightsquigarrow$  no two cylinders are on top of each other.  
 $\rightsquigarrow$  Gauge fix in each and then patch up using partition of unity and stability.



# Idea of proof of Theorem 4: The crazy gauge

$\epsilon^\beta$  comes from the decay away from

$$e^{-\beta|\epsilon \log \epsilon|/\epsilon} \lesssim \epsilon^\beta \sim \frac{1}{R^\beta}.$$





# Idea of proof of Theorem 4: Decay and conclusion

$\rightsquigarrow$  with a comparison and using

$$\text{Length} \sim \int_{B_1^2 \times B_1^{n-2}} e_\epsilon(u, \nabla) \sim 2\pi\omega_{n-2} + \mathbf{E}(u, \nabla, B_1).$$

we conclude the decay.

In the multiplicity one regime:

- We were able to obtain rigidity for solution up to  $n \leq 4$ .
- and rigidity for local minimizers for all dimensions  $n \geq 2$ .
- the case of solutions for  $n > 4$  remains open. The discrepancy:

$$\xi_\epsilon = \frac{1 - |u|^2}{2\epsilon} - \epsilon |F_\nabla|.$$

Could play a role, connecting  $\mathbf{E}_1$  and  $\mathbf{E}_2$ . (we noticed it also decays with  $\mathbf{E}_1$ )

- Stability?

- It's interesting to see if we can push the classification to all dimensions for stationary points (In the multiplicity one regime)?
- Applying this pipeline to Ginzburg Landau without magnetic field.
- Can this pipeline be applied to diffuse energies (blowing down to minimal sub-manifolds) who carry a *self dual structure* (or equivalently an equi-partition of energy) like the Abelian Higgs and Allen Cahn?
- Stability remains unexplored in the Abelian Higgs in higher dimensions. (The stability operators are complicated)
- Minimal submanifolds from the Abelian-Higgs model might not be any better than varifolds.

THANK YOU  
FOR YOUR ATTENTION!