

Compiler Components & Generators

Traditional Parsing Algorithms

Guido Wachsmuth

Recap: Lexical Analysis

lessons learned

What are the formalisms to describe regular languages?

- regular grammars
- regular expressions
- finite state automata

Why are these formalisms equivalent?

- constructive proofs

How can we generate compiler tools from that?

- implement DFAs
- generate transition tables

Overview

today's lecture

Overview

today's lecture

efficient parsing algorithms

- predictive parsing
- LR parsing

Overview

today's lecture

efficient parsing algorithms

- predictive parsing
- LR parsing

grammar classes

- LL(k) grammars
- LR(k) grammars

I

predictive parsing

Recap: A Theory of Language

formal languages



Recap: A Theory of Language

formal languages

vocabulary Σ

finite, nonempty set of elements (words, letters)

alphabet



Recap: A Theory of Language

formal languages

vocabulary Σ

finite, nonempty set of elements (words, letters)

alphabet

string over Σ

finite sequence of elements chosen from Σ

word, sentence, utterance



Recap: A Theory of Language

formal languages

vocabulary Σ

finite, nonempty set of elements (words, letters)

alphabet

string over Σ

finite sequence of elements chosen from Σ

word, sentence, utterance

formal language λ

set of strings over a vocabulary Σ

$$\lambda \subseteq \Sigma^*$$



Recap: A Theory of Language

formal grammars



Recap: A Theory of Language

formal grammars

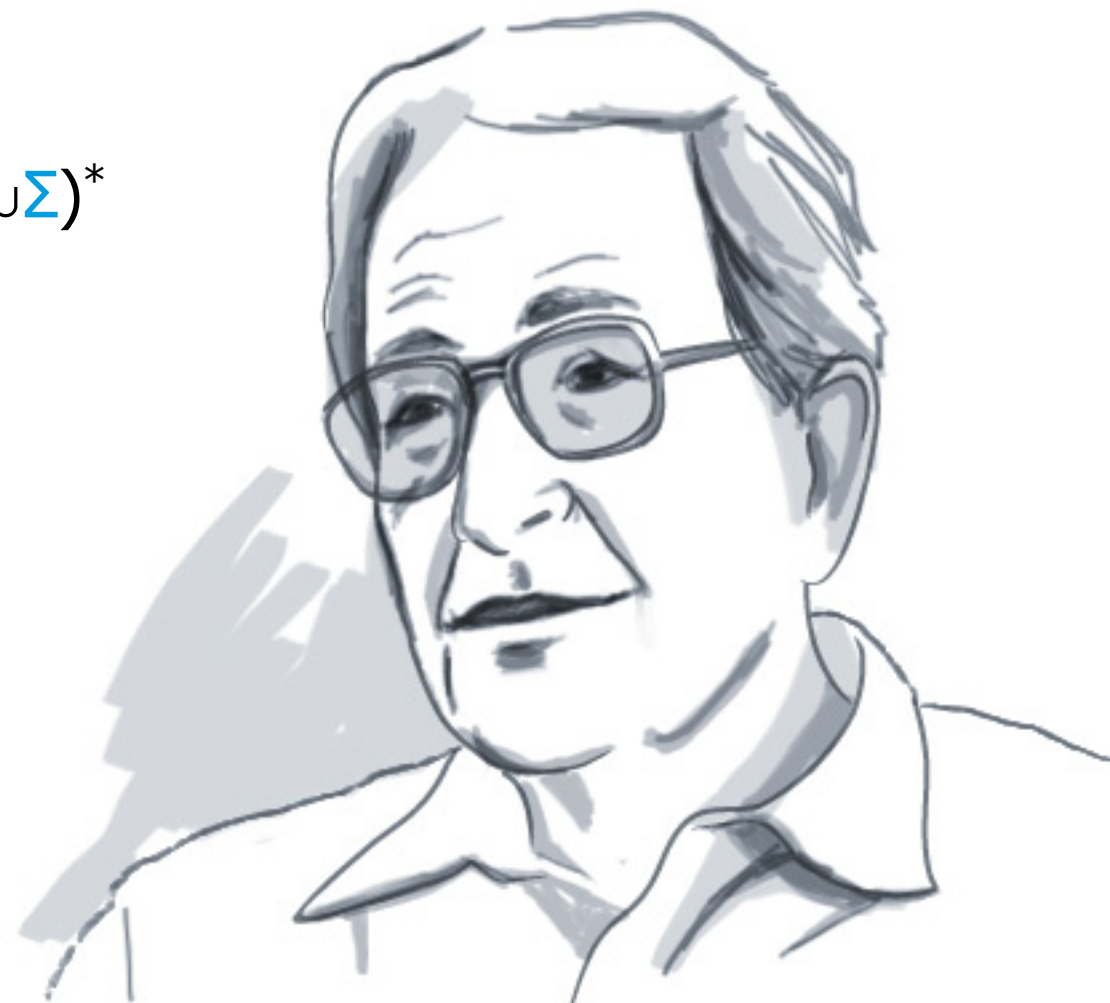
formal grammar $G = (N, \Sigma, P, S)$

nonterminal symbols N

terminal symbols Σ

production rules $P \subseteq (N \cup \Sigma)^* N (N \cup \Sigma)^* \times (N \cup \Sigma)^*$

start symbol $S \in N$



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start symbol $S \in N$

nonterminal symbol



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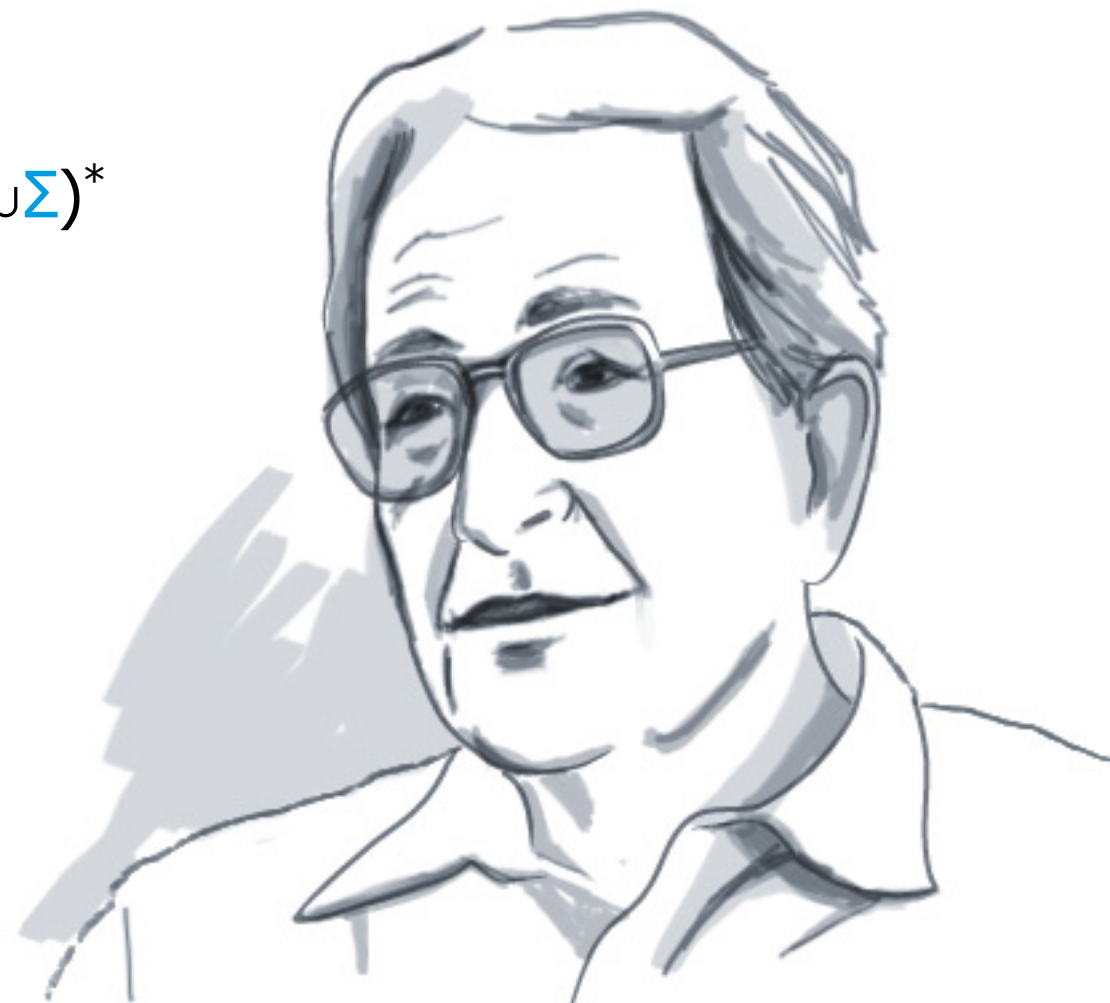
nonterminal symbols N

terminal symbols Σ

production rules $P \subseteq \boxed{(N \cup \Sigma)^*} N \boxed{(N \cup \Sigma)^*} \times (N \cup \Sigma)^*$

start symbol $S \in N$

context



Recap: A Theory of Language

formal grammars

formal grammar $G = (N, \Sigma, P, S)$

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production rules $P \subseteq (N \cup \Sigma)^* N (N \cup \Sigma)^* \times (N \cup \Sigma)^*$

start symbol $S \in N$

replacement



Recap: A Theory of Language

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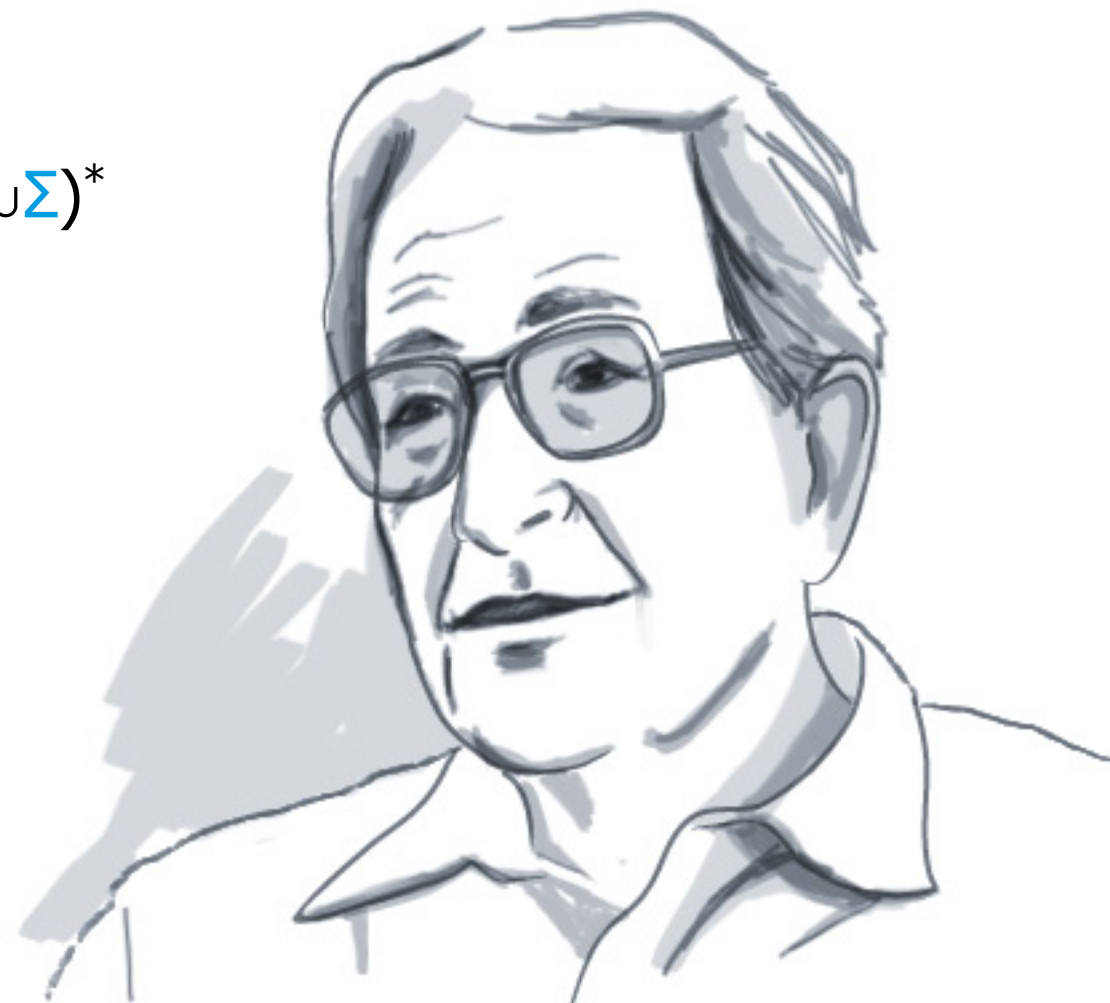
grammar classes

type-0, unrestricted

type-1, context-sensitive: $(a A c, a b c)$

type-2, context-free: $P \subseteq N \times (N \cup \Sigma)^*$

type-3, regular: (A, x) or (A, xB)



Recap: A Theory of Language

formal languages



Recap: A Theory of Language

formal languages

formal grammar $G = (N, \Sigma, P, S)$



Recap: A Theory of Language

formal languages

formal grammar $G = (N, \Sigma, P, S)$

derivation relation $\Rightarrow_G \subseteq (N \cup \Sigma)^* \times (N \cup \Sigma)^*$

$$w \Rightarrow_G w' \Leftrightarrow$$

$$\exists (p, q) \in P: \exists u, v \in (N \cup \Sigma)^*:$$

$$w = u p v \wedge w' = u q v$$



Recap: A Theory of Language

formal languages

formal grammar $G = (N, \Sigma, P, S)$

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formal language $L(G) \subseteq \Sigma^*$

$$L(G) = \{w \in \Sigma^* \mid S \Rightarrow_G^* w\}$$



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$$L(G) = \{w \in \Sigma^* \mid S \Rightarrow_G^* w\}$$

classes of formal languages



Predictive parsing

recursive descent

$\text{Exp} \rightarrow \text{"while"} \text{ Exp } \text{"do"} \text{ Exp}$

```
public void parseExp() {  
    consume(WHILE);  
    parseExp();  
    consume(DO);  
    parseExp();  
}
```



Predictive parsing

look ahead

Exp \rightarrow "while" Exp "do" Exp

Exp \rightarrow "if" Exp "then" Exp "else" Exp

```
public void parseExp() {  
    switch current() {  
        case WHILE: consume(WHILE); parseExp(); ...; break;  
        case IF    : consume(IF);   parseExp(); ...; break;  
        default   : error();  
    }  
}
```



Predictive parsing

parse table

rows

- nonterminal symbols N
- symbol to parse

columns

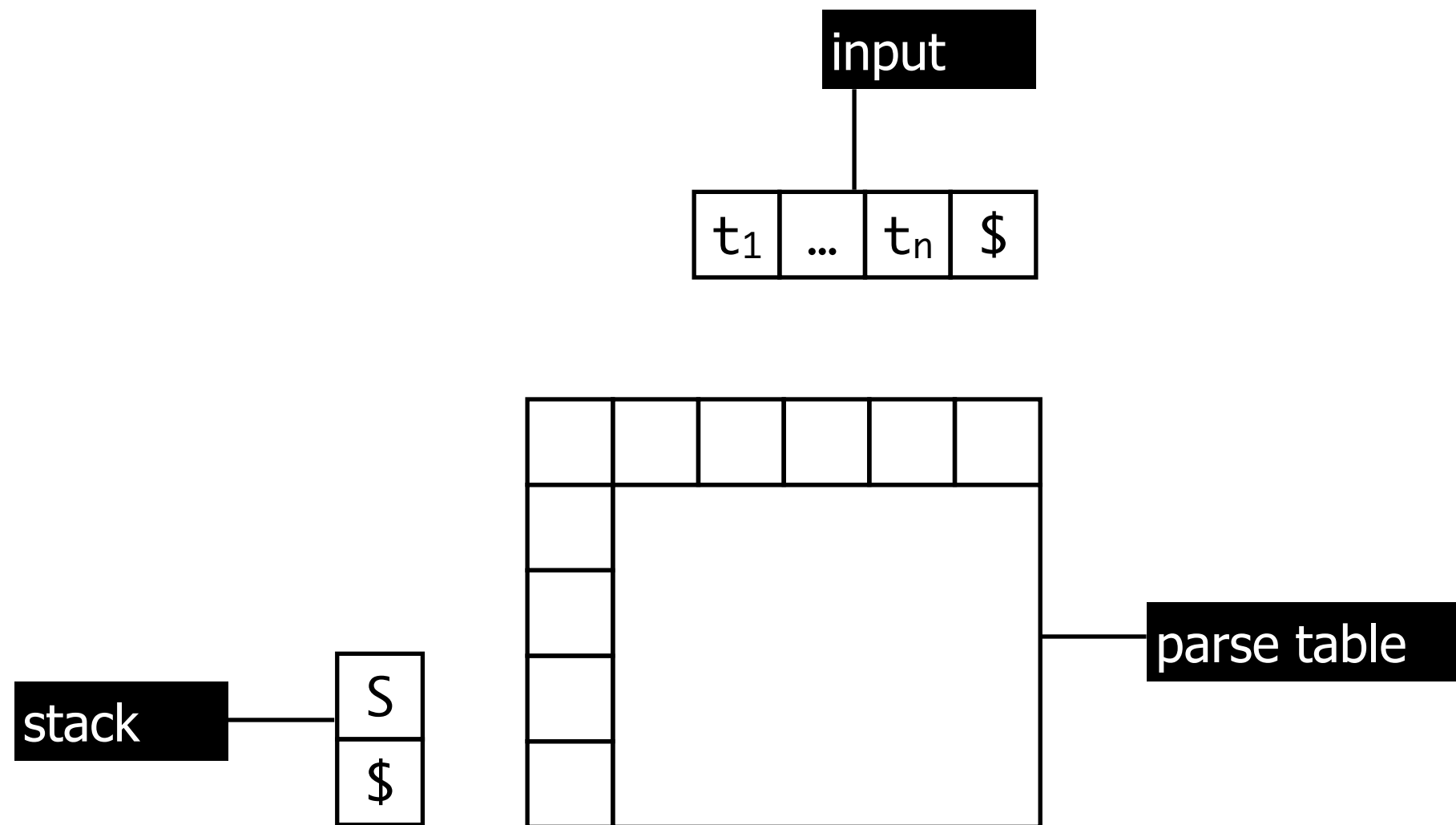
- terminal symbols Σ^k
- look ahead k

entries

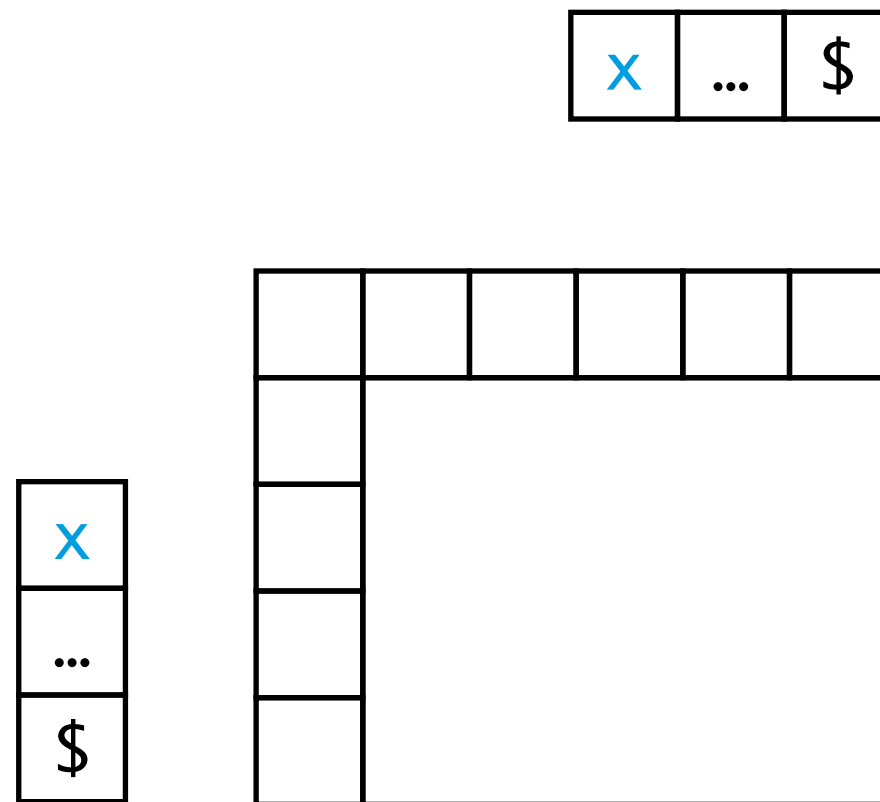
- production rules P
- possible conflicts

	T_1	T_2	T_3	...
N_1	$N_1 \rightarrow \dots$		$N_1 \rightarrow \dots$	
N_2		$N_2 \rightarrow \dots$		
N_3		$N_3 \rightarrow \dots$	$N_3 \rightarrow \dots$	
N_4	$N_4 \rightarrow \dots$			
N_5		$N_5 \rightarrow \dots$		
N_6	$N_6 \rightarrow \dots$	$N_6 \rightarrow \dots$		
N_7			$N_7 \rightarrow \dots$	
N_8	$N_8 \rightarrow \dots$	$N_8 \rightarrow \dots$	$N_8 \rightarrow \dots$	
...				

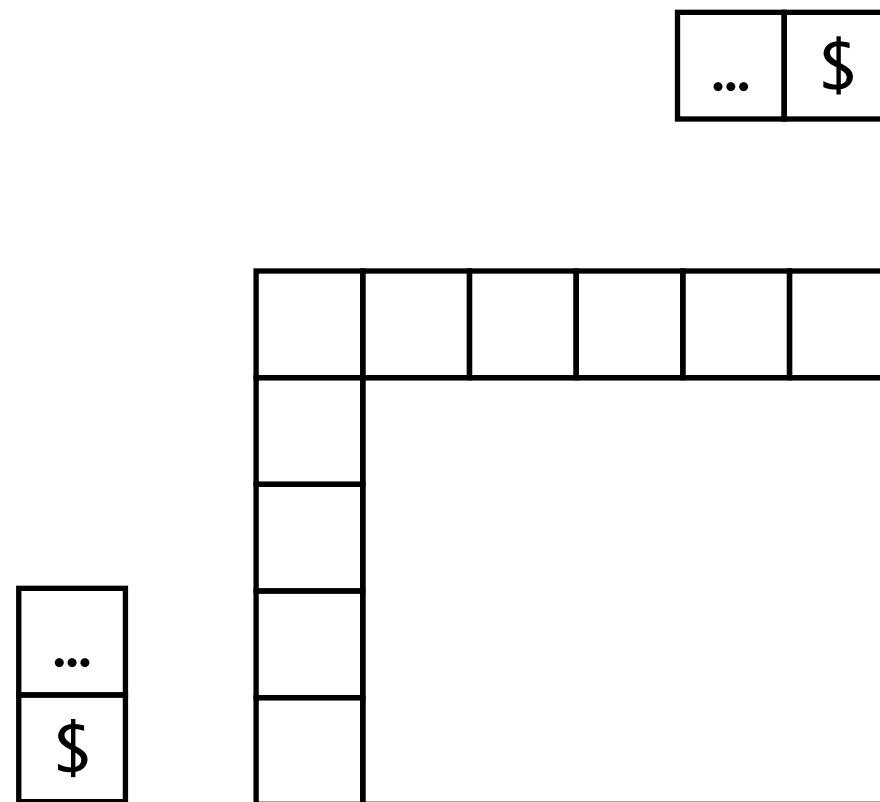
Predictive parsing automaton



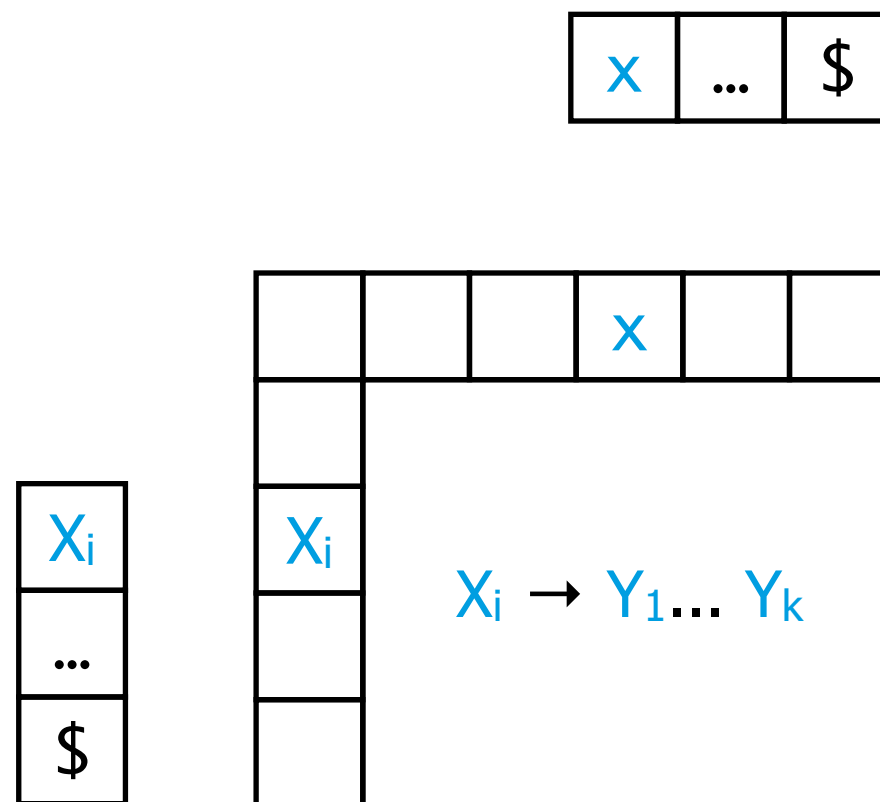
Predictive parsing automaton



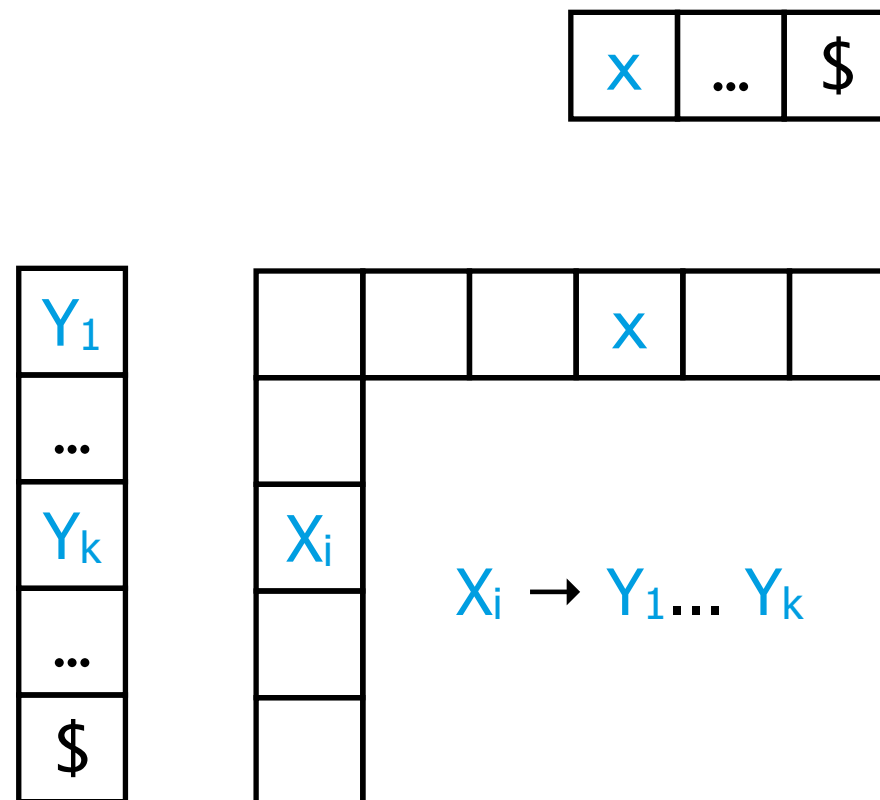
Predictive parsing automaton



Predictive parsing automaton



Predictive parsing automaton



II

LL parse tables

Predictive parsing

filling the table

entry $(X, w) \in P$ at row X and column T

$$T \in \text{FIRST}(w)$$

$$\text{nullable}(w) \wedge T \in \text{FOLLOW}(X)$$

Predictive parsing

filling the table

entry $(X, w) \in P$ at row X and column T

$T \in \boxed{\text{FIRST}(w)}$ — letters that w can start with

$\text{nullable}(w) \wedge T \in \text{FOLLOW}(X)$

Predictive parsing

filling the table

entry $(X, w) \in P$ at row X and column T

$$T \in \text{FIRST}(w)$$

$$\boxed{\text{nullable}(w)} \wedge T \in \text{FOLLOW}(X)$$

$$W \Rightarrow_{G^*} \epsilon$$

Predictive parsing

filling the table

entry $(X, w) \in P$ at row X and column T

$$T \in \text{FIRST}(w)$$

$$\text{nullable}(w) \wedge T \in \text{FOLLOW}(X) \text{ ——— letters that can follow } X$$

Predictive parsing

nullable

nullable(X)

$$(X, \varepsilon) \in P \Rightarrow \text{nullable}(X)$$

$$(X_0, X_1 \dots X_k) \in P \wedge \text{nullable}(X_1) \wedge \dots \wedge \text{nullable}(X_k) \Rightarrow \text{nullable}(X_0)$$

nullable(w)

$$\text{nullable}(\varepsilon)$$

$$\text{nullable}(X_1 \dots X_k) = \text{nullable}(X_1) \wedge \dots \wedge \text{nullable}(X_k)$$

Predictive parsing

first sets

FIRST(X)

$$X \in \Sigma : \text{FIRST}(X) = \{X\}$$

$$(X_0, X_1 \dots X_i \dots X_k) \in P \wedge \text{nullable}(X_1 \dots X_i) \Rightarrow \text{FIRST}(X_0) \supseteq \text{FIRST}(X_{i+1})$$

FIRST(w)

$$\text{FIRST}(\varepsilon) = \{\}$$

$$\neg \text{nullable}(X) \Rightarrow \text{FIRST}(Xw) = \text{FIRST}(X)$$

$$\text{nullable}(X) \Rightarrow \text{FIRST}(Xw) = \text{FIRST}(X) \cup \text{FIRST}(w)$$

Predictive parsing

follow sets

FOLLOW(X)

$(X_0, X_1 \dots X_i \dots X_k) \in P \wedge \text{nullable}(X_{i+1} \dots X_k) \Rightarrow \text{FOLLOW}(X_i) \supseteq \text{FOLLOW}(X_0)$

$(X_0, X_1 \dots X_i \dots X_k) \in P \Rightarrow \text{FOLLOW}(X_i) \supseteq \text{FIRST}(X_{i+1} \dots X_k)$

Example

p1: $\text{Exp} \rightarrow \text{Term Exp}'$

p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$

p3: $\text{Exp}' \rightarrow$

p4: $\text{Term} \rightarrow \text{Fact Term}'$

p5: $\text{Term}' \rightarrow "*" \text{Fact Term}'$

p6: $\text{Term}' \rightarrow$

p7: $\text{Fact} \rightarrow \text{Num}$

p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

	nullable	FIRST	FOLLOW
Exp			
Exp'			
Term			
Term'			
Fact			

Example

nullable

- p1: $\text{Exp} \rightarrow \text{Term Exp}'$
- p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$
- p3: $\text{Exp}' \rightarrow$
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- p5: $\text{Term}' \rightarrow "*" \text{Fact Term}'$
- p6: $\text{Term}' \rightarrow$
- p7: $\text{Fact} \rightarrow \text{Num}$
- p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

$$(X, \varepsilon) \in P \Rightarrow \text{nullable}(X)$$

$$(X_0, X_1 \dots X_k) \in P \wedge$$

$$\text{nullable}(X_1) \wedge \dots \wedge \text{nullable}(X_k) \Rightarrow \text{nullable}(X_0)$$

	nullable	FIRST	FOLLOW
Exp	no		
Exp'	yes		
Term	no		
Term'	yes		
Fact	no		

Example

FIRST sets

- p1: $\text{Exp} \rightarrow \text{Term Exp}'$
- p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$
- p3: $\text{Exp}' \rightarrow$
- p4: $\text{Term} \rightarrow \text{Fact Term}'$
- p5: $\text{Term}' \rightarrow "*" \text{Fact Term}'$
- p6: $\text{Term}' \rightarrow$
- p7: $\text{Fact} \rightarrow \text{Num}$
- p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

$$(X_0, X_1 \dots X_i \dots X_k) \in P \wedge$$

$$\text{nullable}(X_1 \dots X_i) \Rightarrow \text{FIRST}(X_0) \supseteq \text{FIRST}(X_{i+1})$$

	nullable	FIRST	FOLLOW
Exp	no	Num (
Exp'	yes	+	
Term	no	Num (
Term'	yes	*	
Fact	no	Num (

Example

FOLLOW sets

$$(X_0, X_1 \dots X_i \dots X_k) \in P \wedge$$

$$\text{nullable}(X_{i+1} \dots X_k) \Rightarrow \text{FOLLOW}(X_i) \supseteq \text{FOLLOW}(X_0)$$

$$(X_0, X_1 \dots X_i \dots X_k) \in P \Rightarrow \text{FOLLOW}(X_i) \supseteq \text{FIRST}(X_{i+1} \dots X_k)$$

p1: $\text{Exp} \rightarrow \text{Term Exp}'$

p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$

p3: $\text{Exp}' \rightarrow$

p4: $\text{Term} \rightarrow \text{Fact Term}'$

p5: $\text{Term}' \rightarrow "*" \text{Fact Term}'$

p6: $\text{Term}' \rightarrow$

p7: $\text{Fact} \rightarrow \text{Num}$

p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

	nullable	FIRST	FOLLOW
Exp	no	Num ()
Exp'	yes	+)
Term	no	Num (+)
Term'	yes	*	+)
Fact	no	Num (* +)

Example

LL parse table

- p1: $\text{Exp} \rightarrow \text{Term Exp}'$
- p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$
- p3: $\text{Exp}' \rightarrow$
- p4: $\text{Term} \rightarrow \text{Fact Term}'$
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- p6: $\text{Term}' \rightarrow$
- p7: $\text{Fact} \rightarrow \text{Num}$
- p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

entry $(X, w) \in P$ at row X and column T

$T \in \text{FIRST}(w)$

$\text{nullable}(w) \wedge T \in \text{FOLLOW}(X)$

	+	*	Num	()
Exp			p1	p1	
Exp'	p2				p3
Term			p4	p4	
Term'	p6	p5			p6
Fact			p7	p8	

Example

parsing

p1: $\text{Exp} \rightarrow \text{Term Exp}'$

p2: $\text{Exp}' \rightarrow "+" \text{Term Exp}'$

p3: $\text{Exp}' \rightarrow$

p4: $\text{Term} \rightarrow \text{Fact Term}'$

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p6: $\text{Term}' \rightarrow$

p7: $\text{Fact} \rightarrow \text{Num}$

p8: $\text{Fact} \rightarrow "(" \text{Exp} ")"$

	+	*	Num	()
Exp			p1	p1	
Exp'	p2				p3
Term			p4	p4	
Term'	p6	p5			p6
Fact			p7	p8	

Grammar classes

context-free grammars

Grammar classes

context-free grammars

LL(0)

Grammar classes

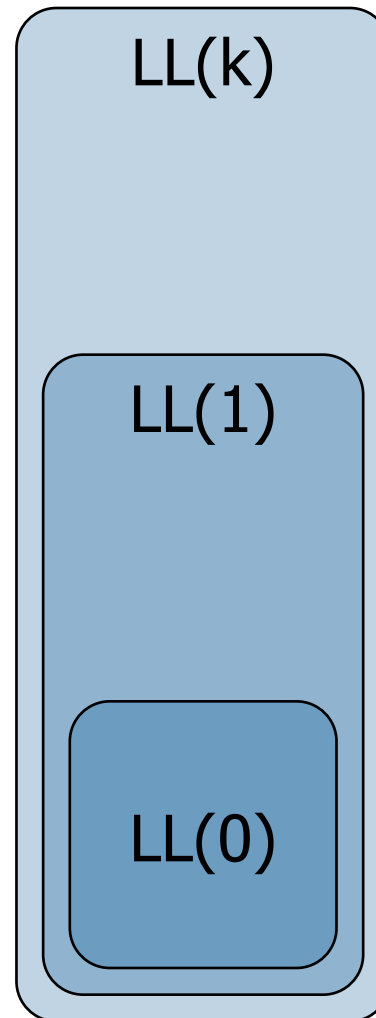
context-free grammars

LL(1)

LL(0)

Grammar classes

context-free grammars



Predictive parsing

encoding precedence

$\text{Exp} \rightarrow \text{Num}$

$\text{Exp} \rightarrow "(" \text{Exp} ")"$

$\text{Exp} \rightarrow \text{Exp} "*" \text{Exp}$

$\text{Exp} \rightarrow \text{Exp} "+" \text{Exp}$

$\text{Fact} \rightarrow \text{Num}$

$\text{Fact} \rightarrow "(" \text{Exp} ")"$

$\text{Term} \rightarrow \text{Term} "*" \text{Fact}$

$\text{Term} \rightarrow \text{Fact}$

$\text{Exp} \rightarrow \text{Exp} "+" \text{Term}$

$\text{Exp} \rightarrow \text{Term}$



Predictive parsing

eliminating left recursion

$\text{Term} \rightarrow \text{Term} "*" \text{Fact}$

$\text{Term} \rightarrow \text{Fact}$

$\text{Exp} \rightarrow \text{Exp} "+" \text{Term}$

$\text{Exp} \rightarrow \text{Term}$

$\text{Term}' \rightarrow "*" \text{Fact Term}'$

$\text{Term}' \rightarrow$

$\text{Term} \rightarrow \text{Fact Term}'$

$\text{Exp}' \rightarrow "+" \text{Term Exp}'$

$\text{Exp}' \rightarrow$

$\text{Exp} \rightarrow \text{Term Exp}'$



Predictive parsing

left factoring

Exp \rightarrow "if" Exp "then" Exp "else" Exp

Exp \rightarrow "if" Exp "then" Exp

Exp \rightarrow "if" Exp "then" Exp Else

Else \rightarrow "else" Exp

Else \rightarrow



III

summary

Summary

lessons learned

Summary

lessons learned

How can we parse context-free languages effectively?

- predictive parsing algorithms

Summary

lessons learned

How can we parse context-free languages effectively?

- predictive parsing algorithms

Which grammar classes are supported by these algorithms?

- LL(k) grammars, LL(k) languages

Summary

lessons learned

How can we parse context-free languages effectively?

- predictive parsing algorithms

Which grammar classes are supported by these algorithms?

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How can we generate compiler tools from that?

- implement automaton
- generate parse tables

Summary

lessons learned

How can we parse context-free languages effectively?

- predictive parsing algorithms

Which grammar classes are supported by these algorithms?

- LL(k) grammars, LL(k) languages

How can we generate compiler tools from that?

- implement automaton
- generate parse tables

Literature

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Literature

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formal languages

Noam Chomsky: Three models for the description of language. 1956

J. E. Hopcroft, R. Motwani, J. D. Ullman: Introduction to Automata Theory, Languages, and Computation. 2006

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Noam Chomsky: Three models for the description of language. 1956

J. E. Hopcroft, R. Motwani, J. D. Ullman: Introduction to Automata Theory, Languages, and Computation. 2006

syntactical analysis

Andrew W. Appel, Jens Palsberg: Modern Compiler Implementation in Java, 2nd edition. 2002

Alfred V. Aho, Ravi Sethi, Jeffrey D. Ullman, Monica S. Lam: Compilers: Principles, Techniques, and Tools, 2nd edition. 2006

Outlook

coming next

lectures

- last lecture: LR parsing

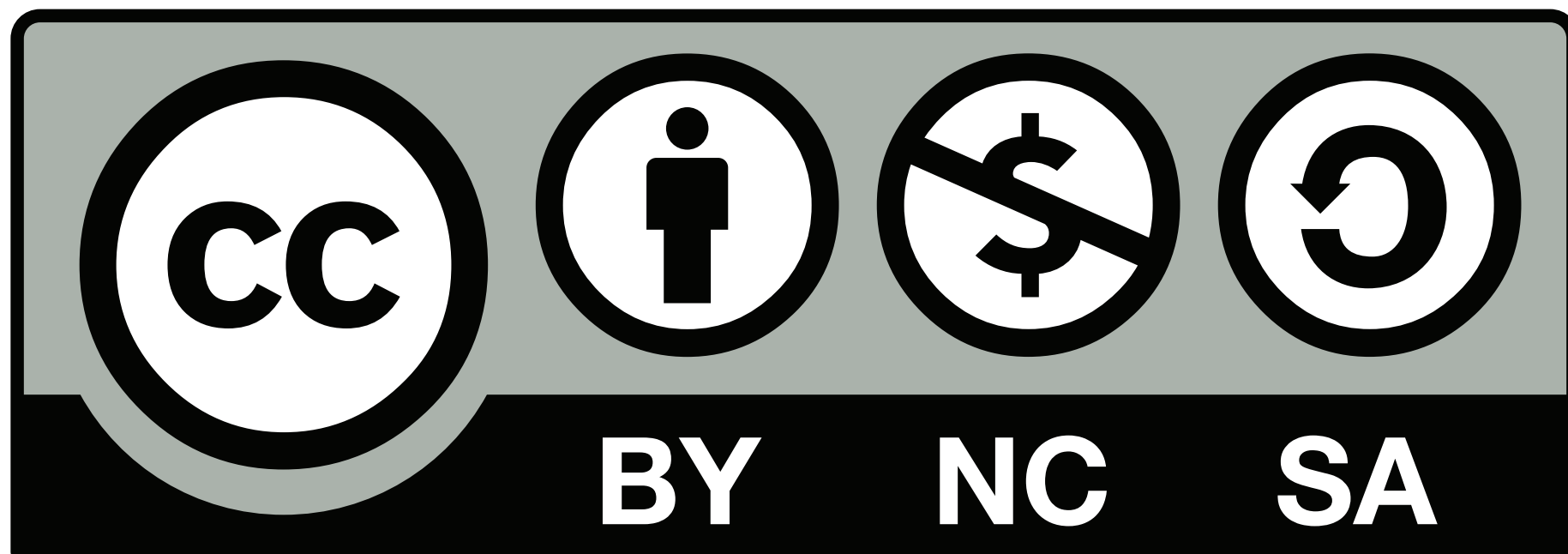
Question & Answer Jan 10

- 10 questions, submit & vote

Lab Dec 15

- translate expressions & statements
- challenge: stack limits

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