

# Compiler Components & Generators

## Traditional Parsing Algorithms

Guido Wachsmuth

# Recap: Traditional Parsing Algorithms

## lessons learned

How can we parse context-free languages effectively?

- predictive parsing

Which grammar classes are supported by these algorithms?

- LL(k) grammars, LL(k) languages

How can we generate compiler tools from that?

- implement automaton
- generate parse tables

# Overview

## today's lecture

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## today's lecture

efficient parsing algorithms

- LR parsing
- LR parse table generation
- SLR & LALR parse tables

# Overview

## today's lecture

efficient parsing algorithms

- LR parsing
- LR parse table generation
- SLR & LALR parse tables

# I

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## LR parsing

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# LR parsing

## idea

problems with LL parsing

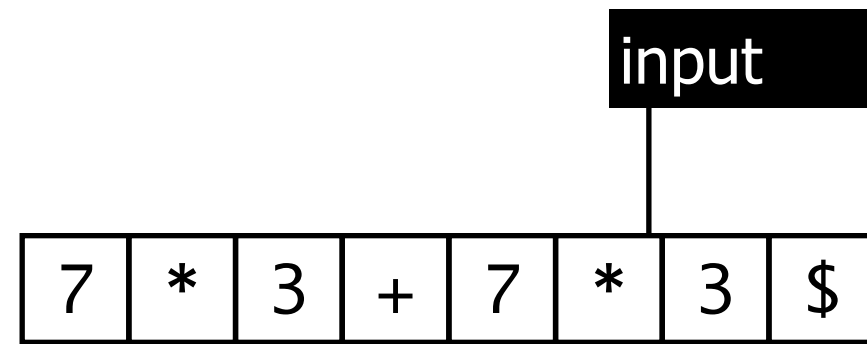
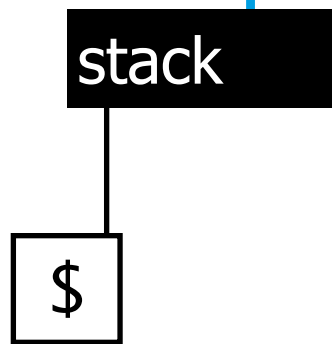
- predicting right rule
- left recursion

LR parsing

- see whole left-hand side of a rule
- look ahead
- shift or reduce

# LR parsing

## example





# LR parsing

## example

\$
----

7	*	3	+	7	*	3	\$
---	---	---	---	---	---	---	----

# LR parsing

## example

\$	7
----	---

*	3	+	7	*	3	\$
---	---	---	---	---	---	----

# LR parsing

## example

\$	7
\$	E

*	3	+	7	*	3	\$
*	3	+	7	*	3	\$

# LR parsing

## example

\$	7	
\$	E	*

*	3	+	7	*	3	\$
	3	+	7	*	3	\$

# LR parsing

## example

\$	7		
\$	E	*	3

*	3	+	7	*	3	\$
		+	7	*	3	\$

# LR parsing

## example

\$	7		
\$	E	*	3
\$	E	*	E

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$

# LR parsing

## example

\$	7		
\$	E	*	3
\$	E	*	E
\$	E		

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$

# LR parsing

## example

\$	7		
\$	E	*	3
\$	E	*	E
\$	E	+	

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
			7	*	3	\$



# LR parsing

## example

\$	7		
\$	E	*	3
\$	E	*	E
\$	E	+	7

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
				*	3	\$

# LR parsing

## example

\$	7		
\$	E	*	3
\$	E	*	E
\$	E	+	7
\$	E	+	E

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
				*	3	\$
				*	3	\$

# LR parsing

## example

\$	7			
\$	E	*	3	
\$	E	*	E	
\$	E	+	7	
\$	E	+	E	*

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
				*	3	\$
					3	\$

# LR parsing

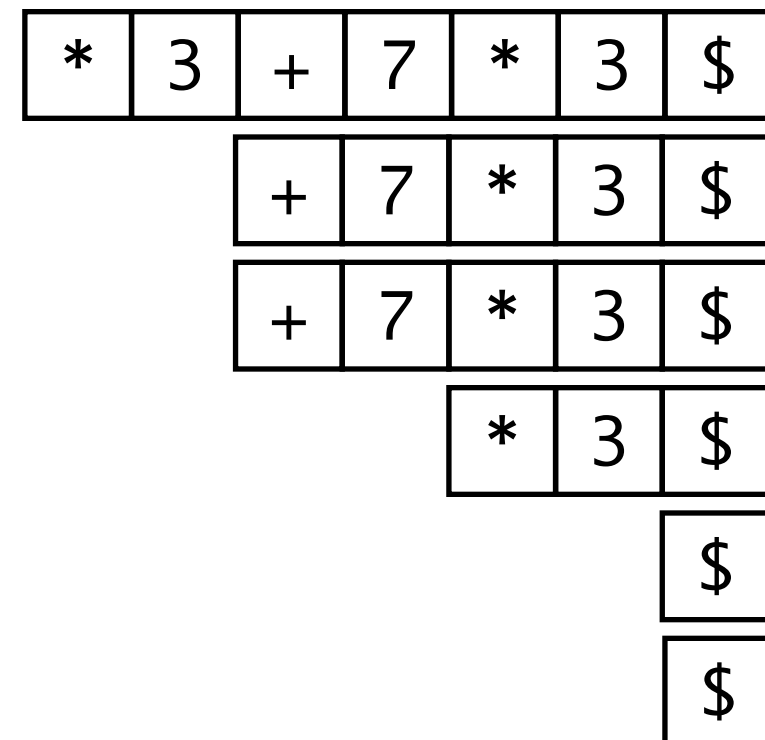
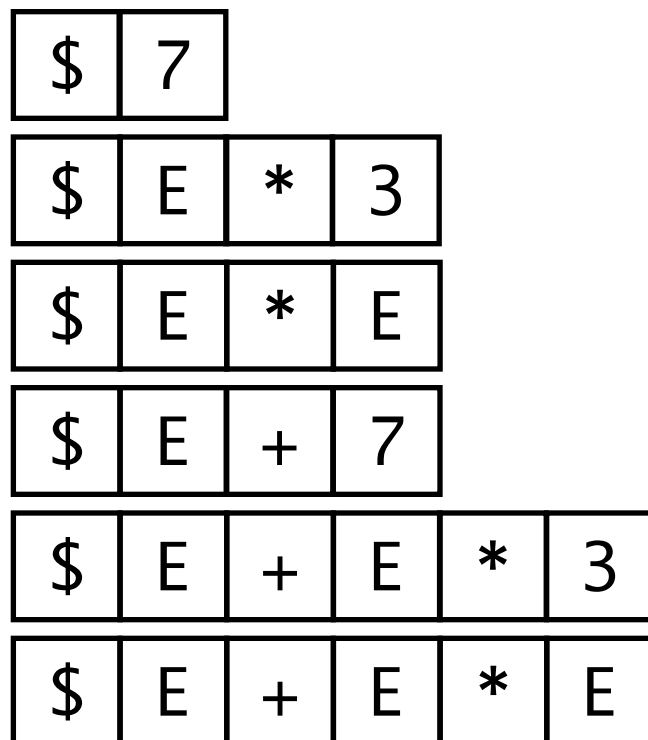
## example

\$	7				
\$	E	*	3		
\$	E	*	E		
\$	E	+	7		
\$	E	+	E	*	3

*	3	+	7	*	3	\$
		+	7	*	3	\$
		+	7	*	3	\$
				*	3	\$
						\$

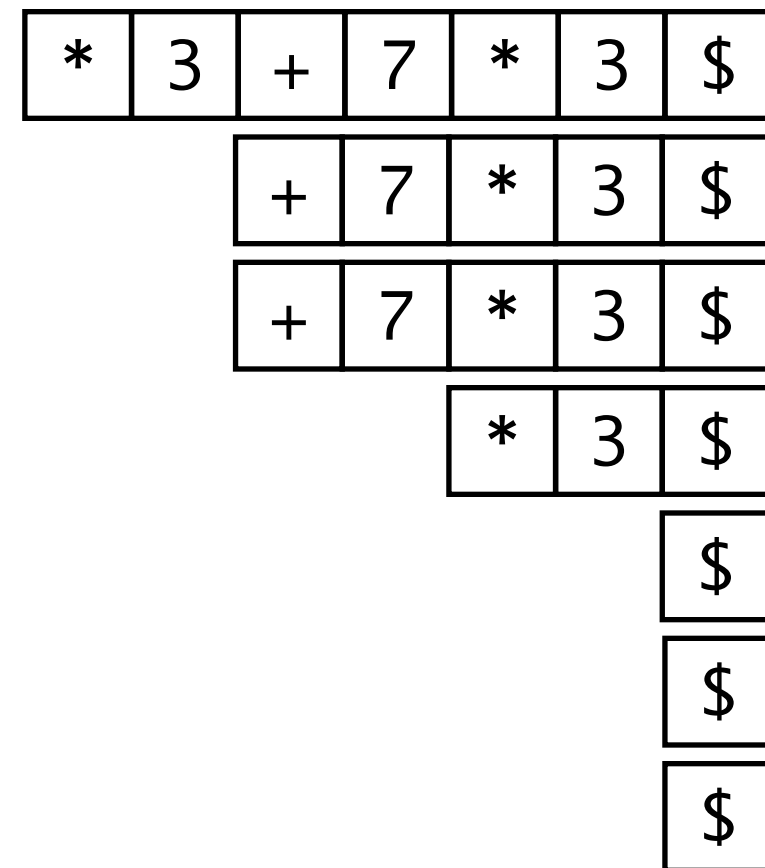
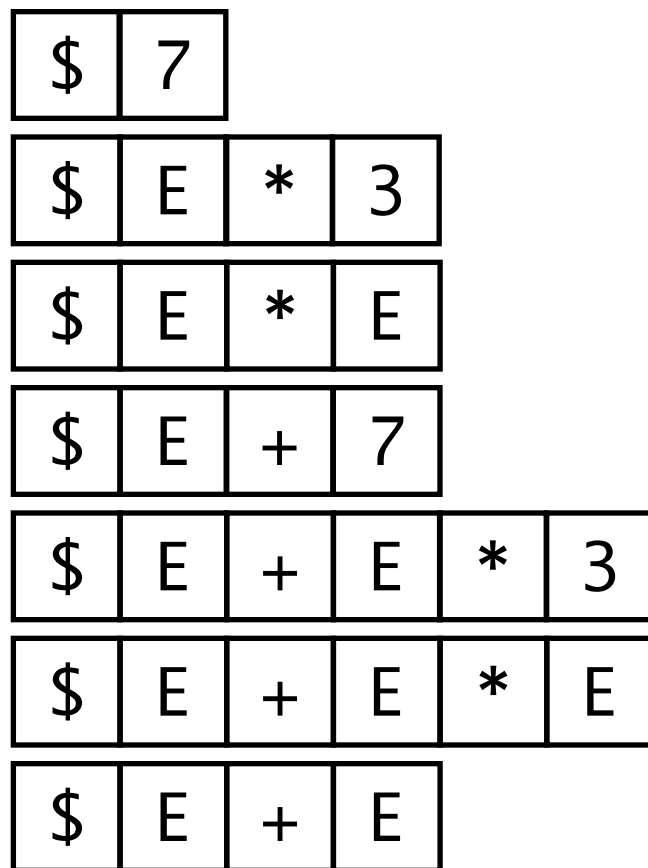
# LR parsing

## example



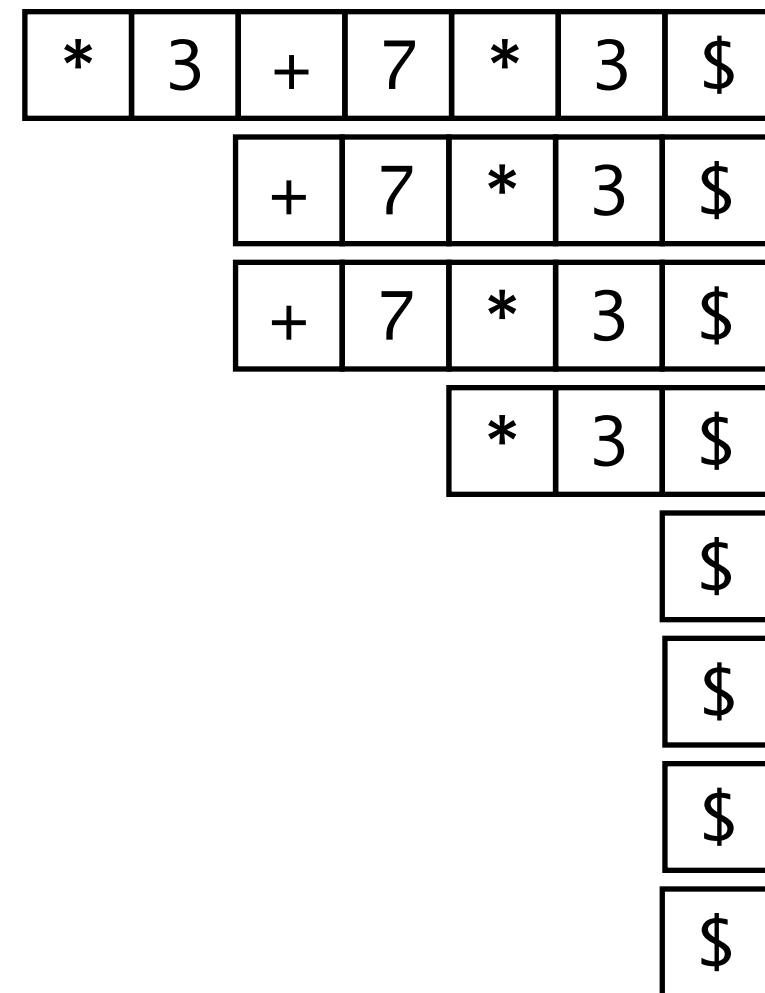
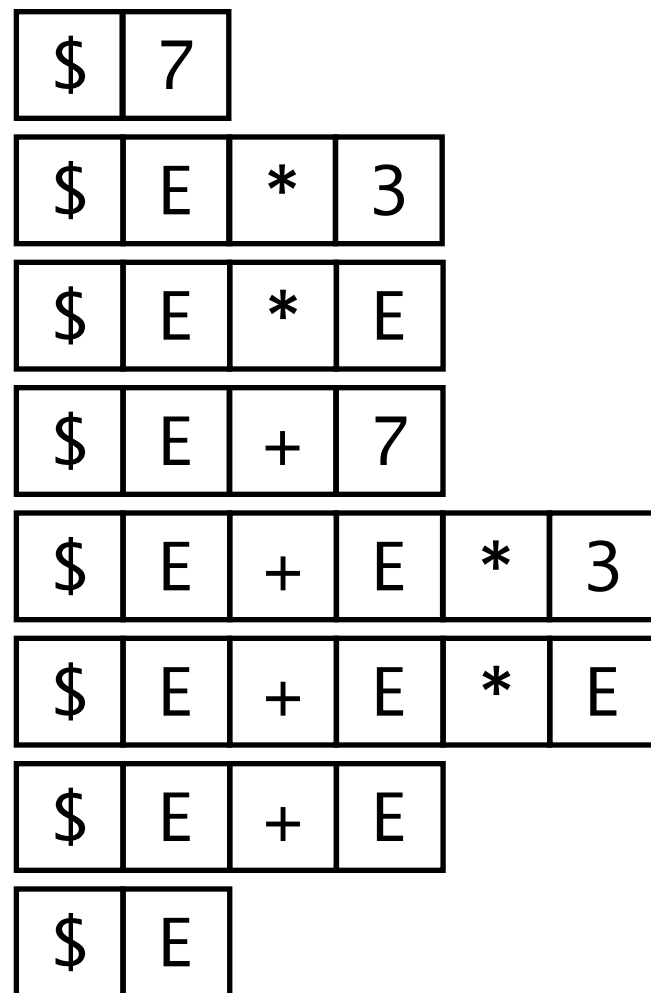
# LR parsing

## example



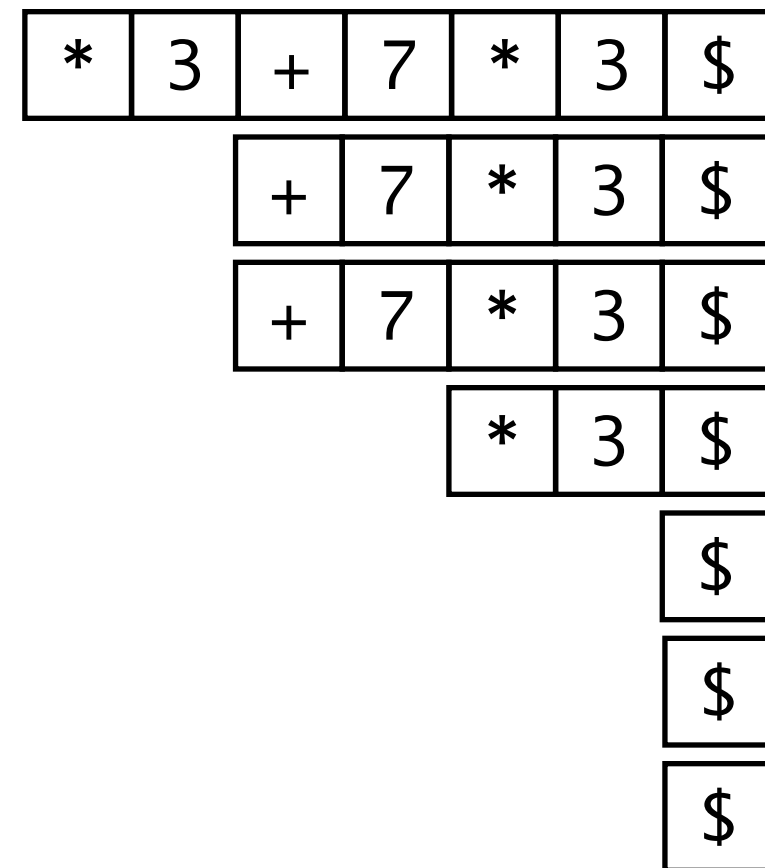
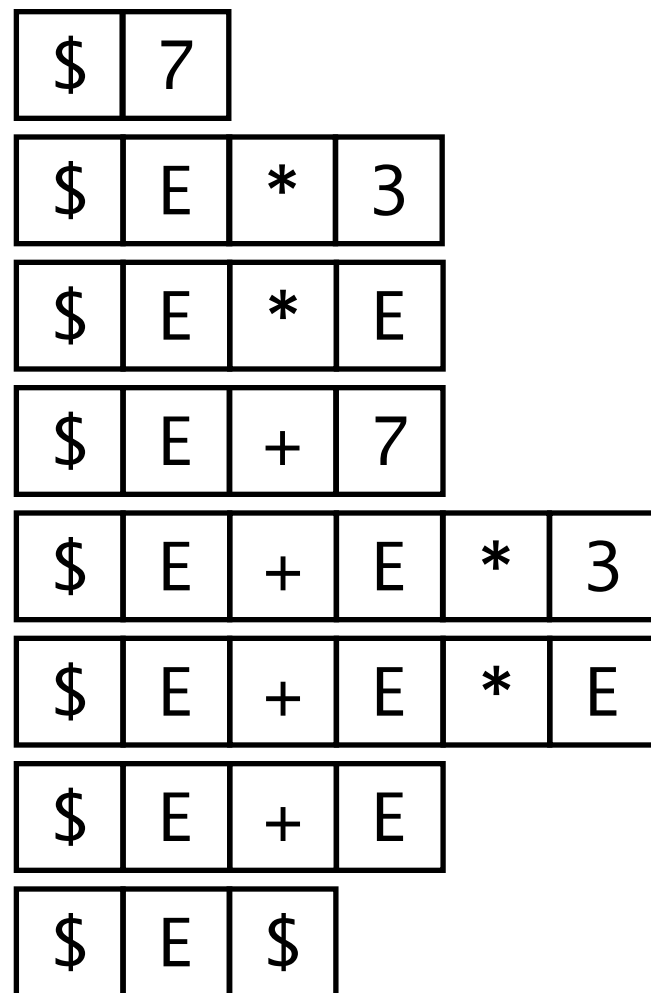
# LR parsing

## example



# LR parsing

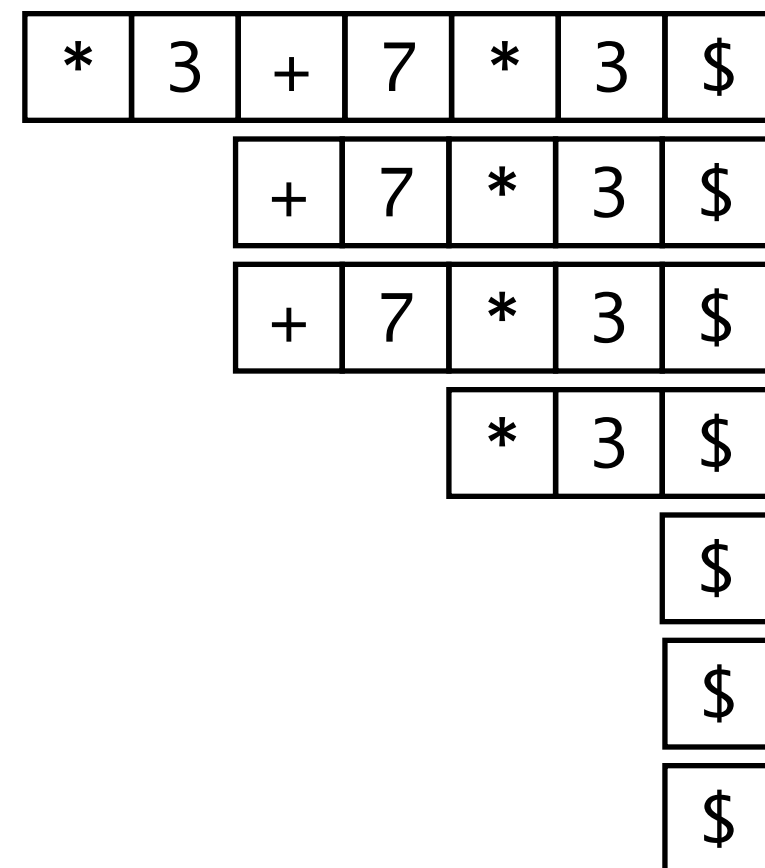
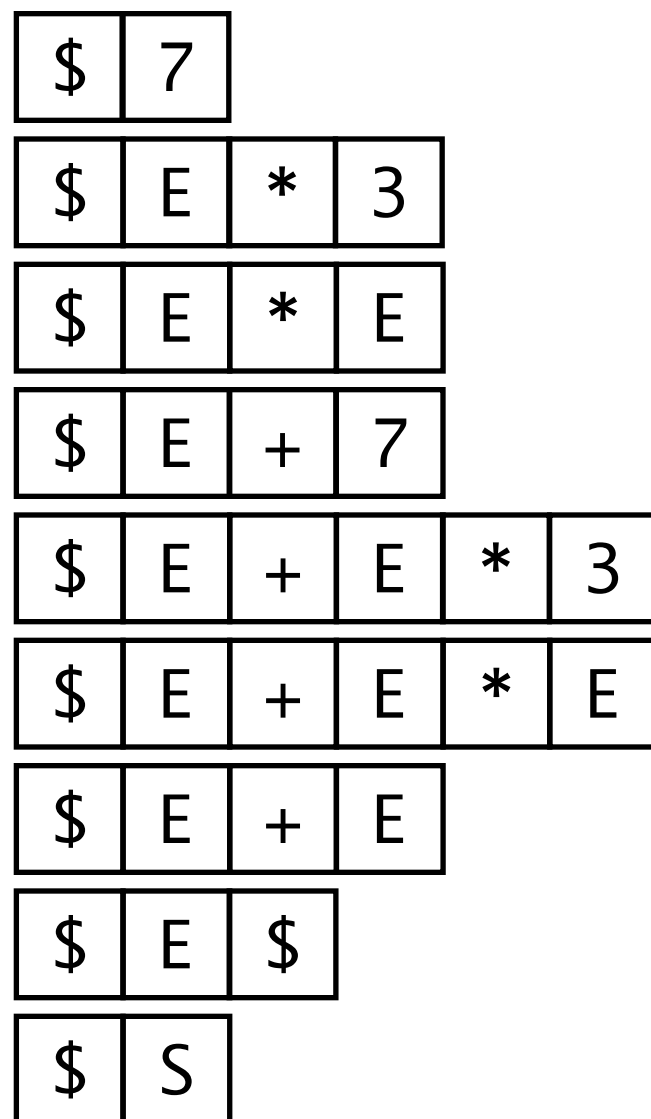
## example





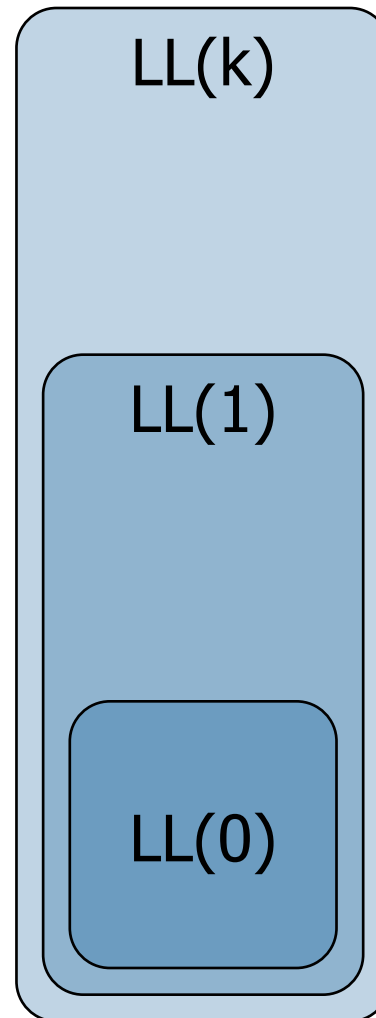
# LR parsing

## example



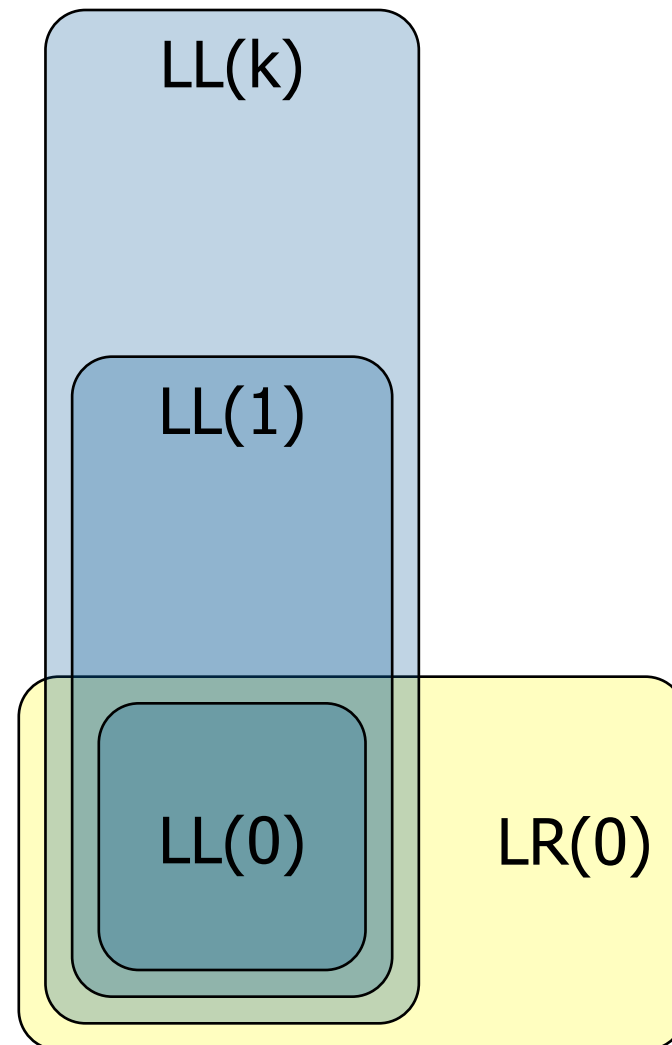
# Grammar classes

context-free grammars



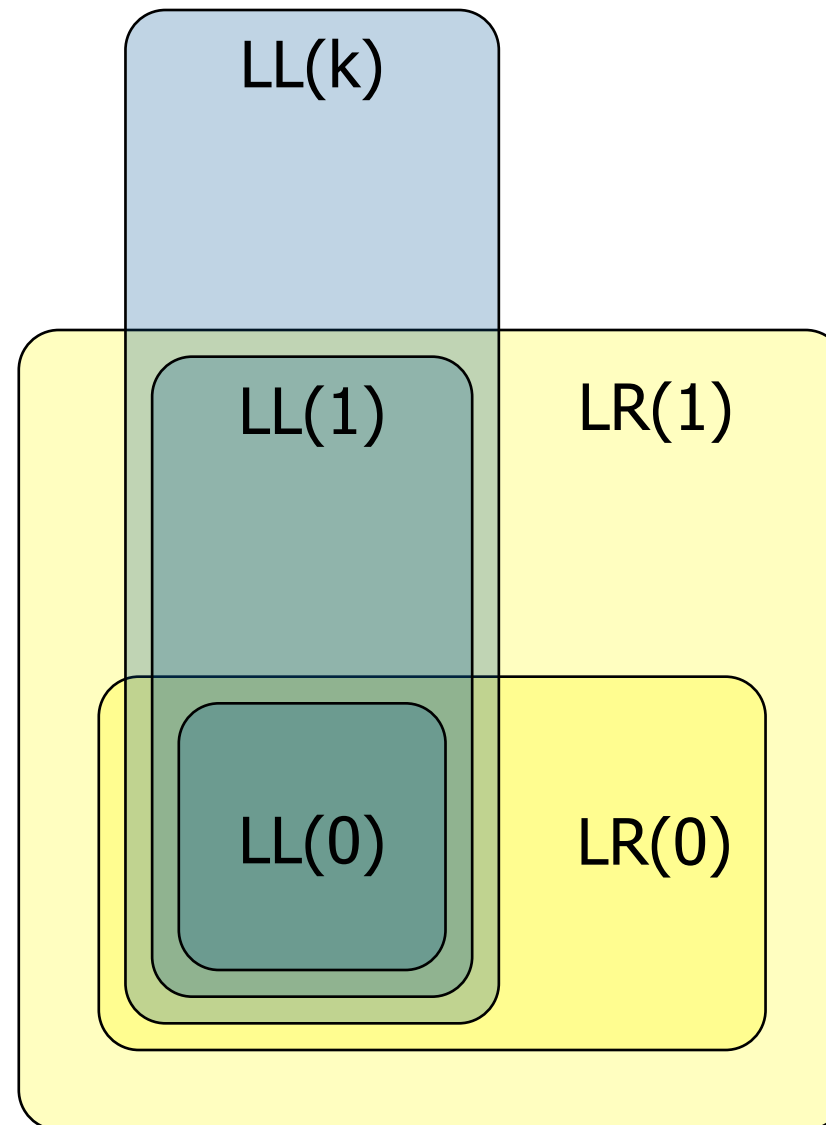
# Grammar classes

context-free grammars



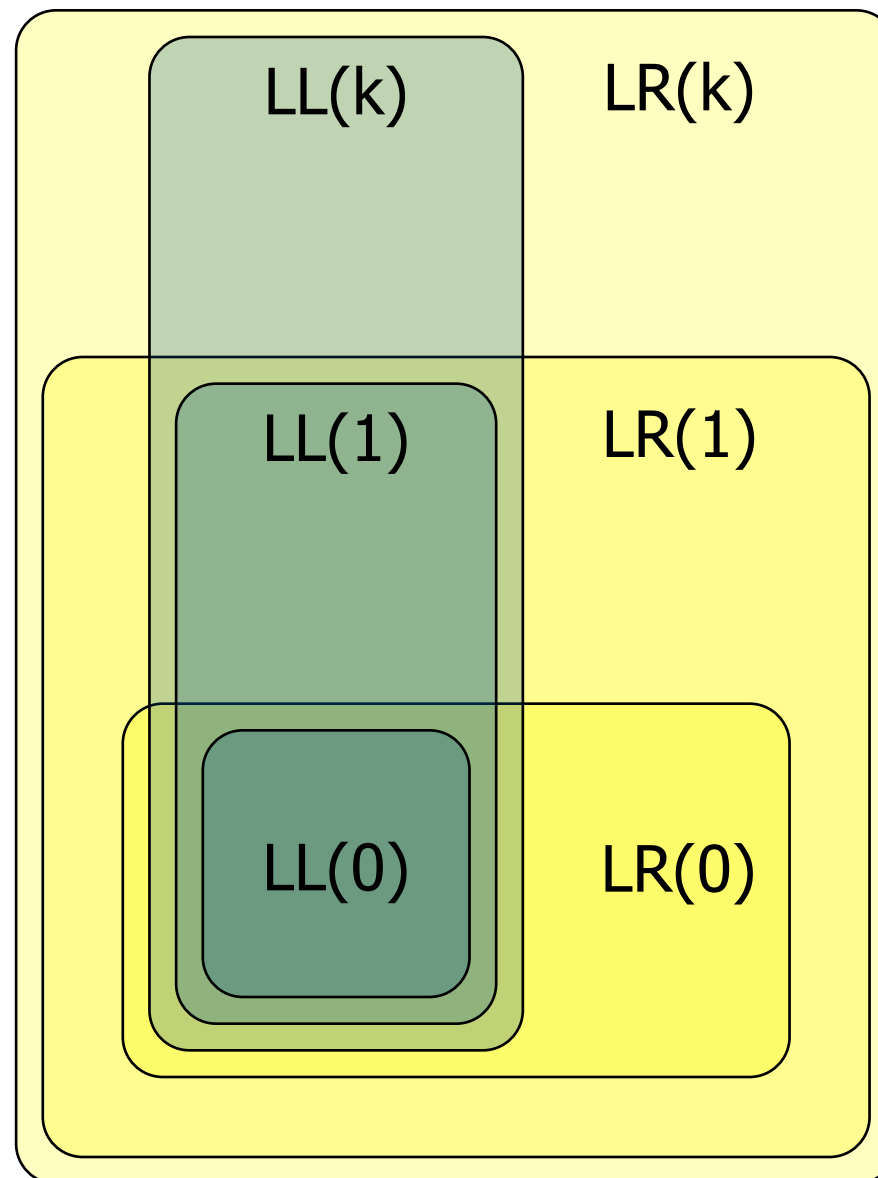
# Grammar classes

context-free grammars



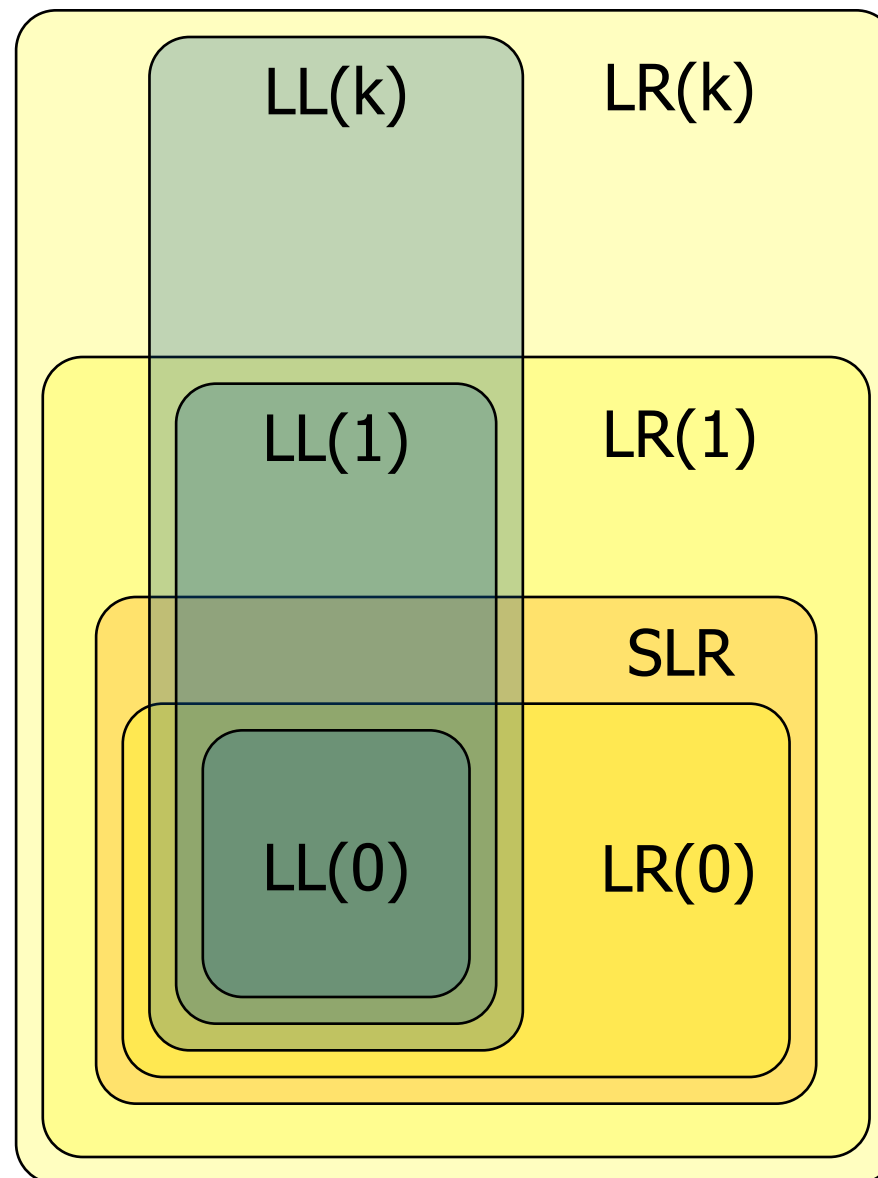
# Grammar classes

context-free grammars



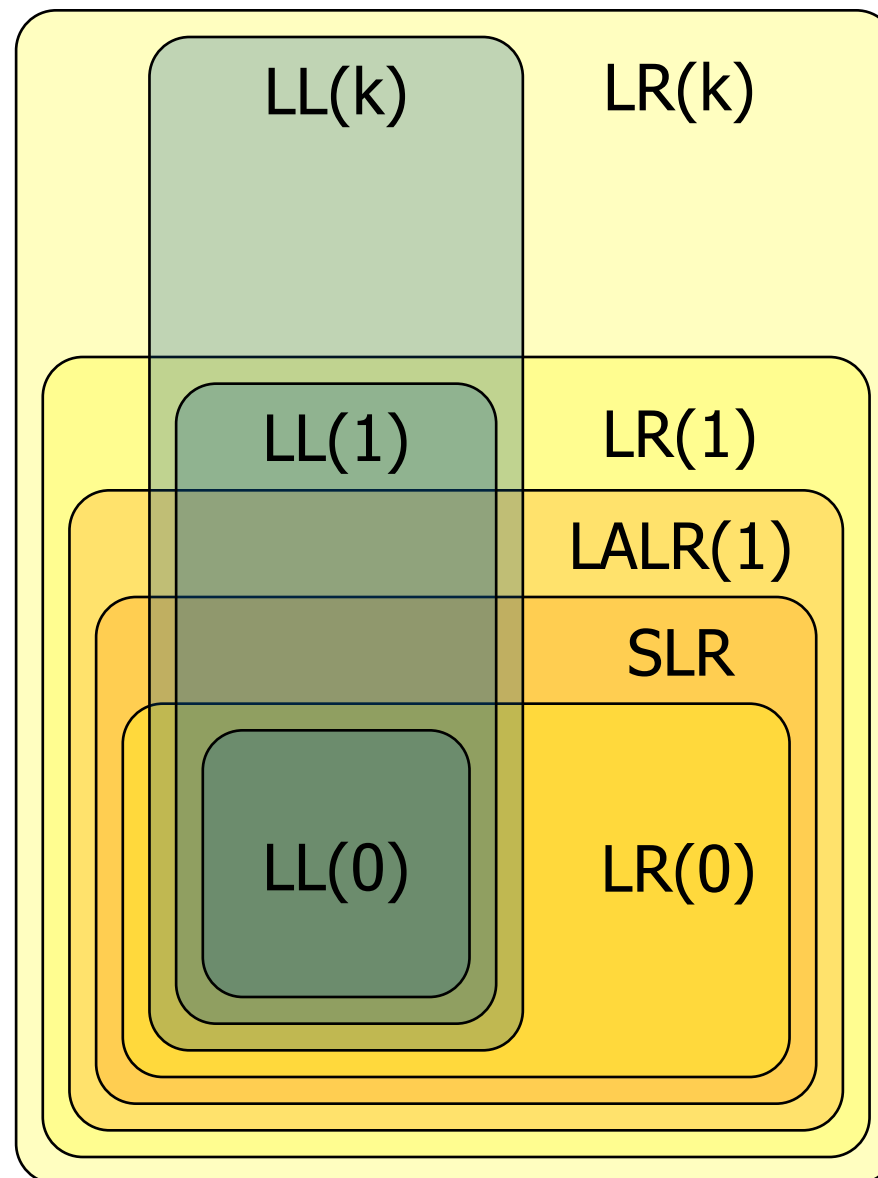
# Grammar classes

context-free grammars



# Grammar classes

context-free grammars



# II

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## LR parse tables

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# LR parsing

## parse table

rows

- states of a DFA

columns

- topmost stack symbol
- $\Sigma, N$

entries

- reduce, goto state
- shift, goto state
- goto state

	$T_1$	...	$N_1$	...
1	s 3			
2			g 5	
3	r 1			
4	r 2			
5				
6			g 1	
7	s 1			
8				
...				

# LR(0) parse tables

## items, closure & goto

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

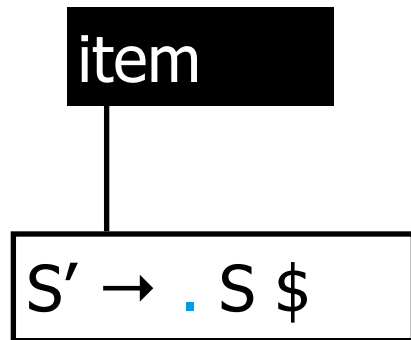
## items, closure & goto

$S' \rightarrow \cdot S \$$
-----------------------------

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

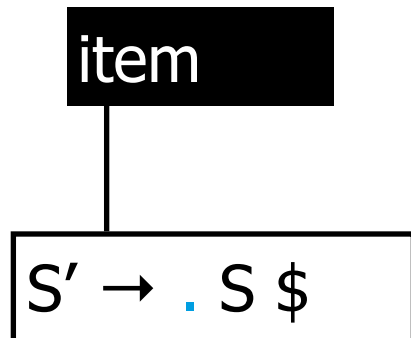
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

## items, closure & goto



### closure

- for every item  $A \rightarrow \alpha \cdot X \beta$
- for every rule  $X \rightarrow \gamma$
- add item  $X \rightarrow \cdot \gamma$

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

## items, closure & goto

$S' \rightarrow \cdot S \$$
$S \rightarrow \cdot x$
$S \rightarrow \cdot ( L )$

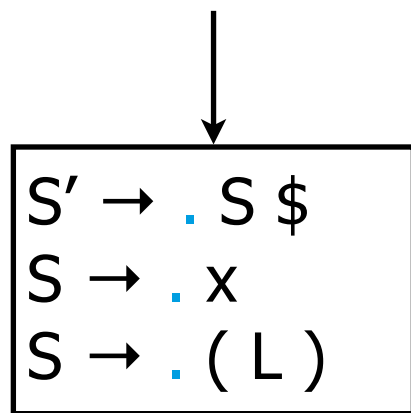
### closure

- for every item  $A \rightarrow a \cdot X \beta$
- for every rule  $X \rightarrow \gamma$
- add item  $X \rightarrow \cdot \gamma$

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

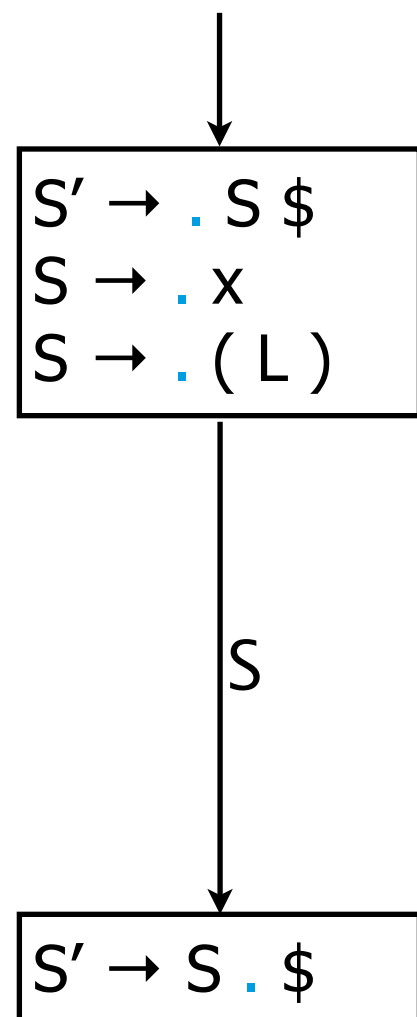
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

## items, closure & goto

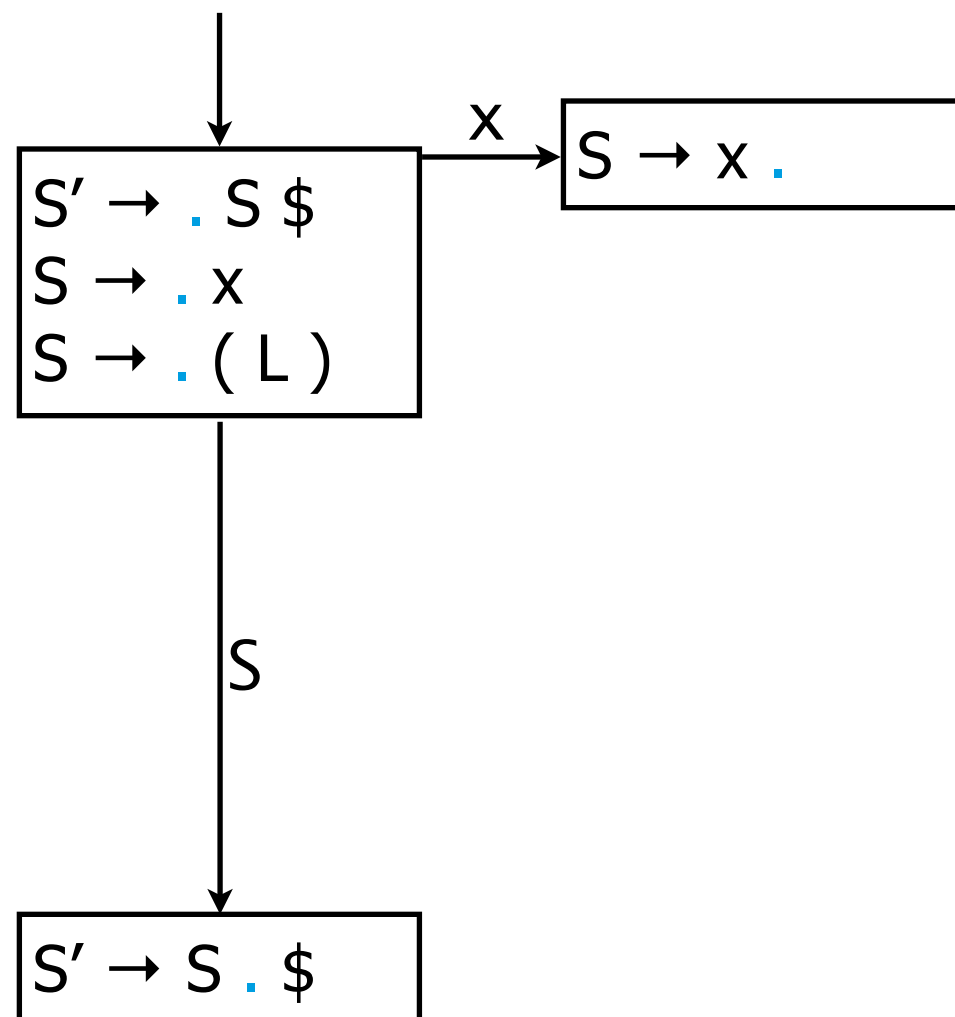


$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$



# LR(0) parse tables

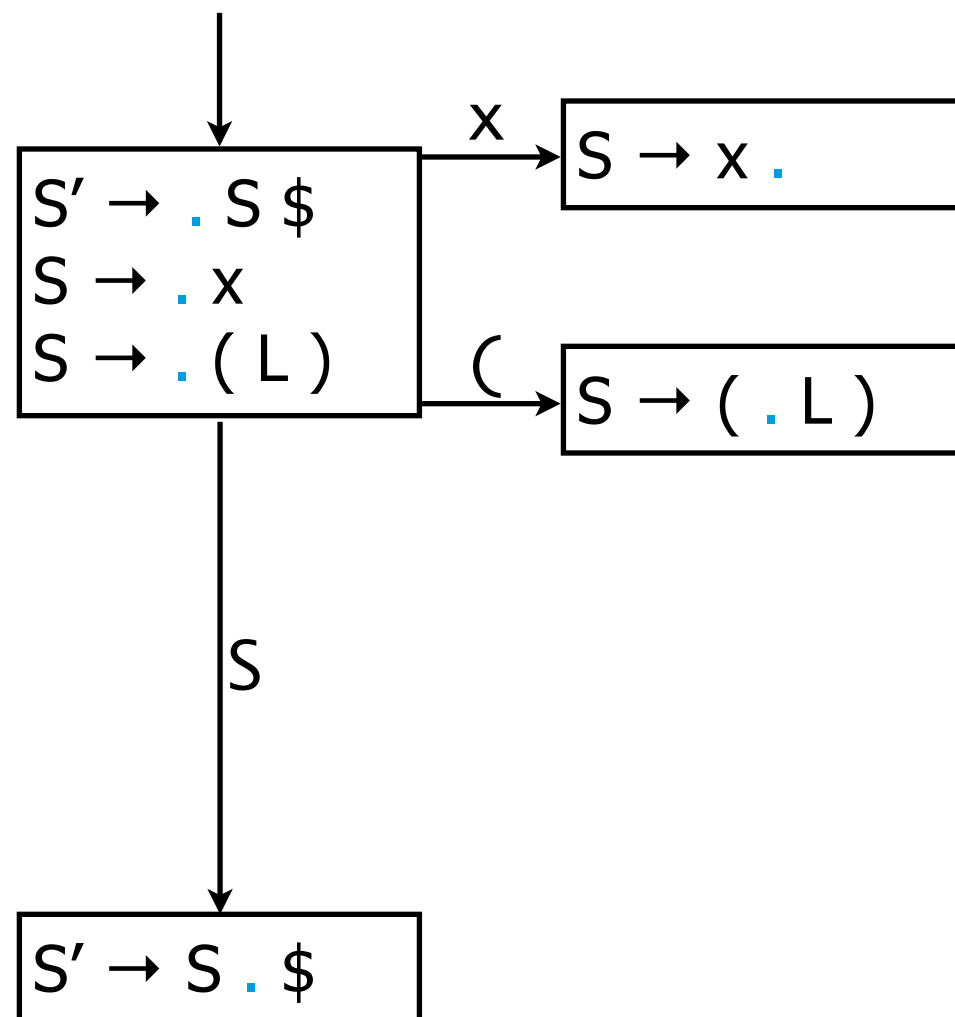
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

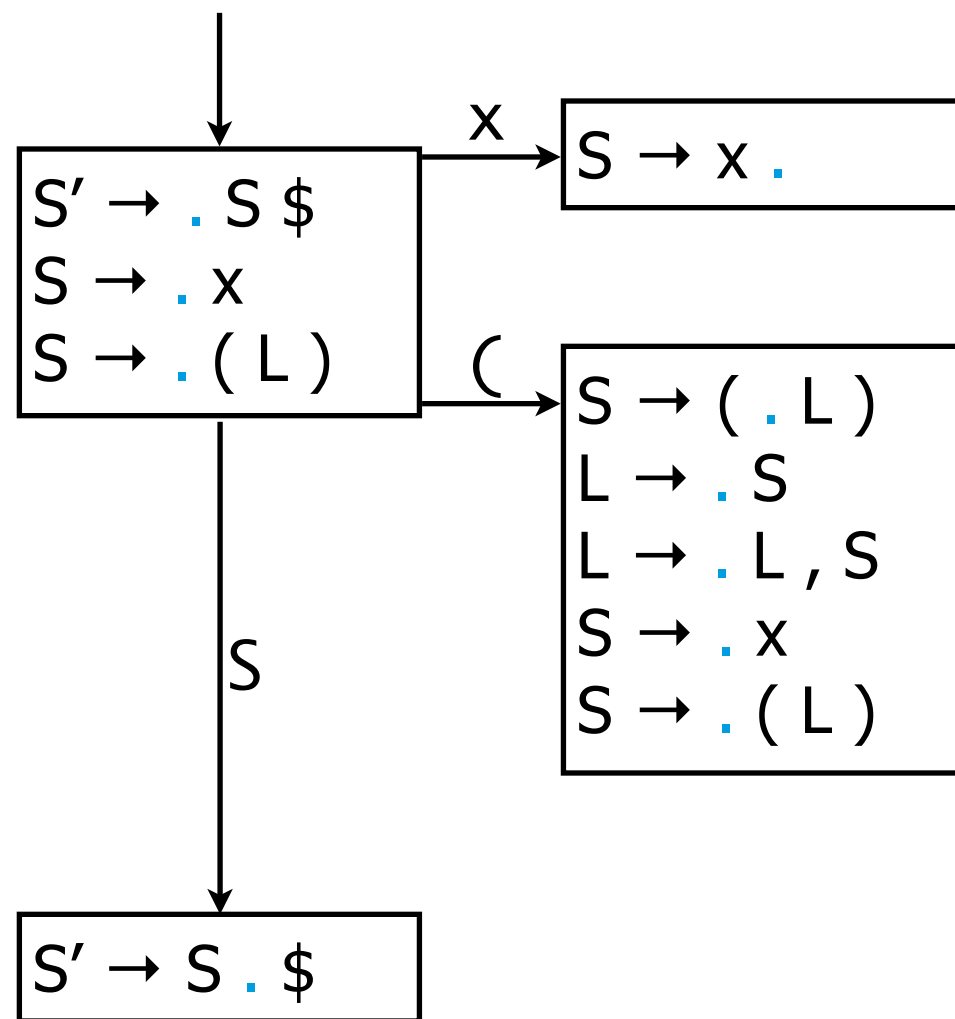
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

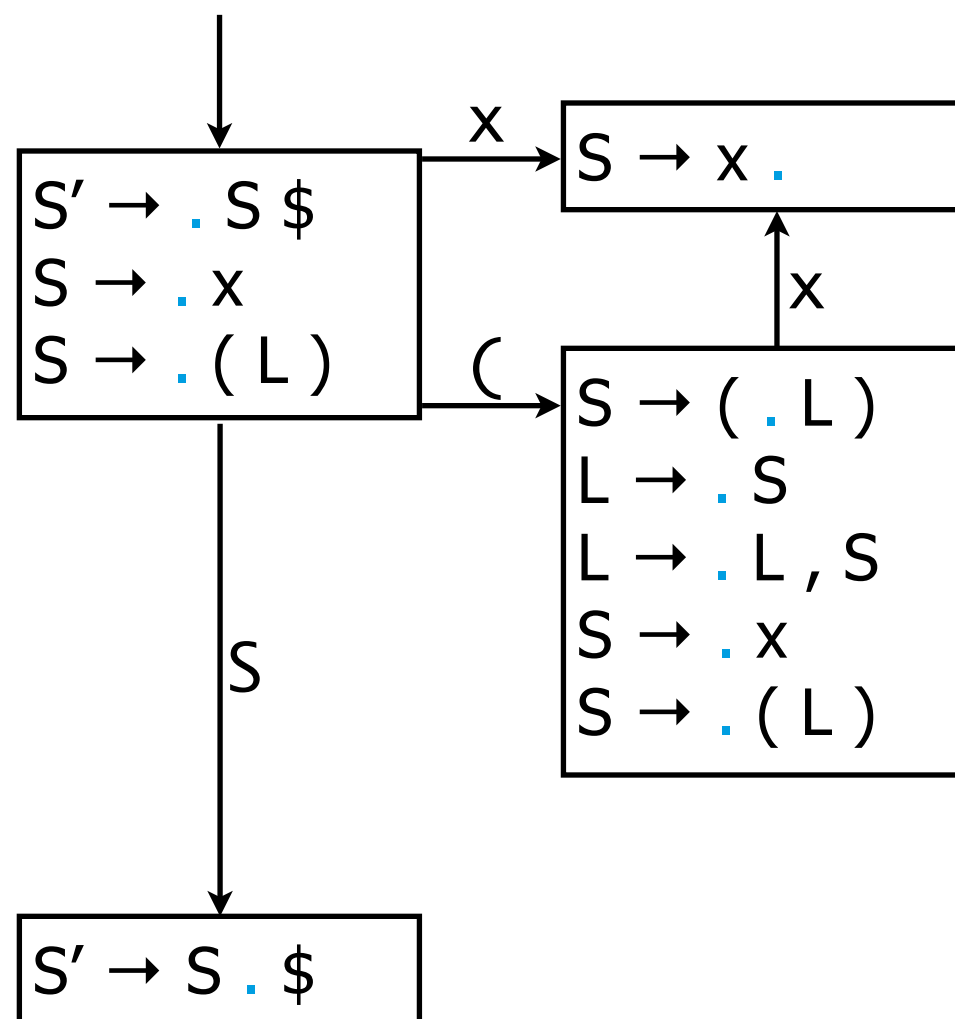
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

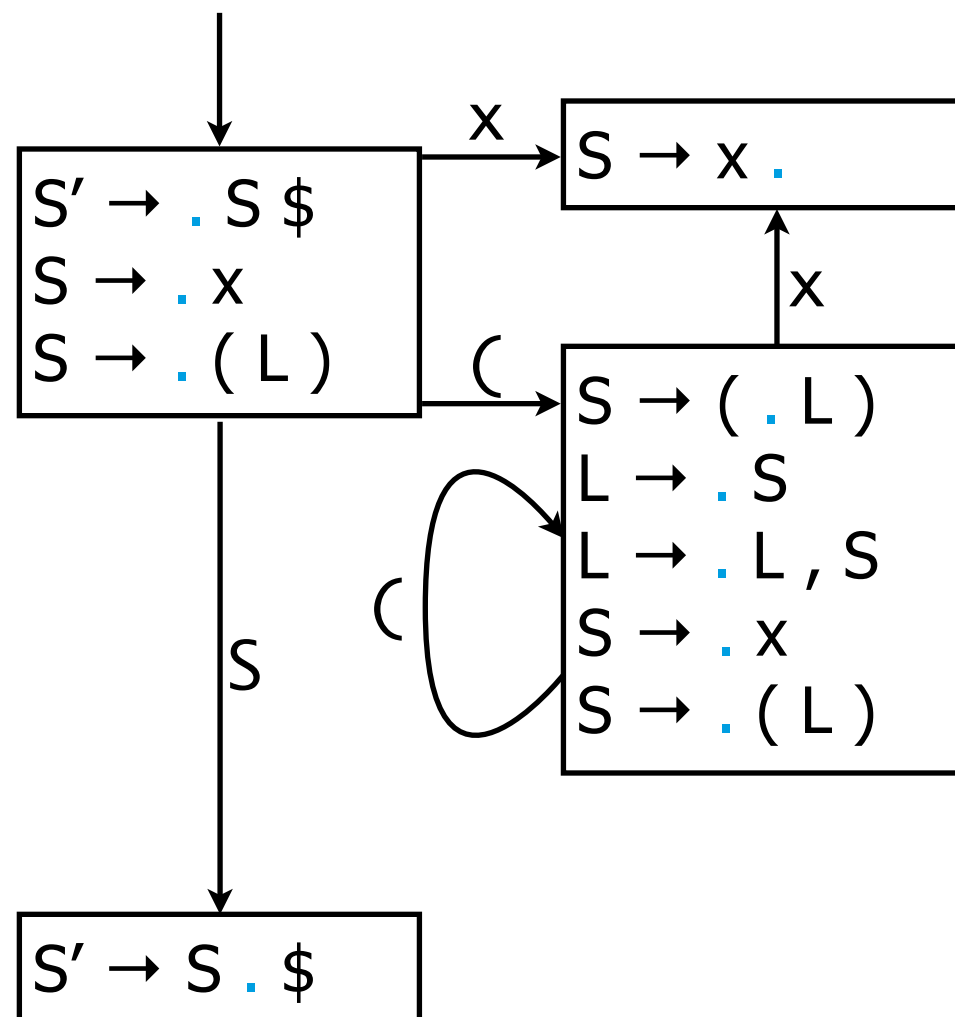
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

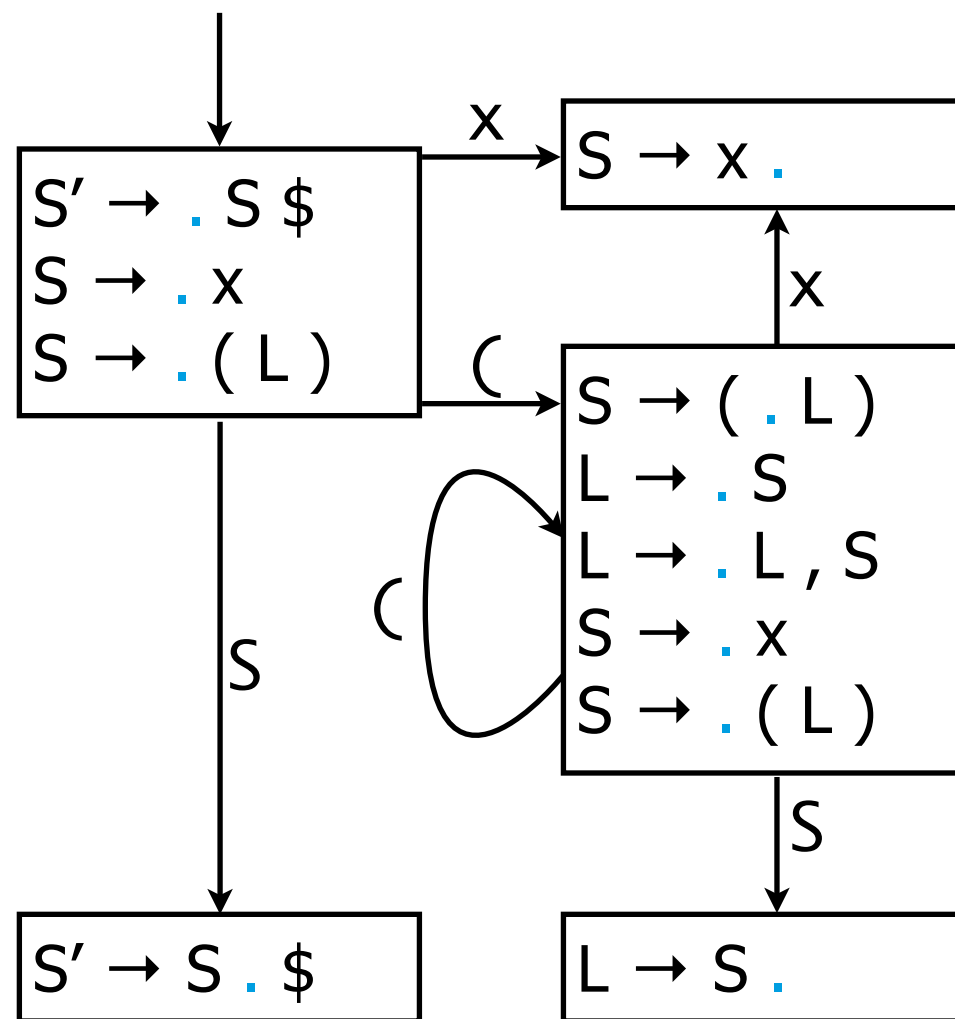
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

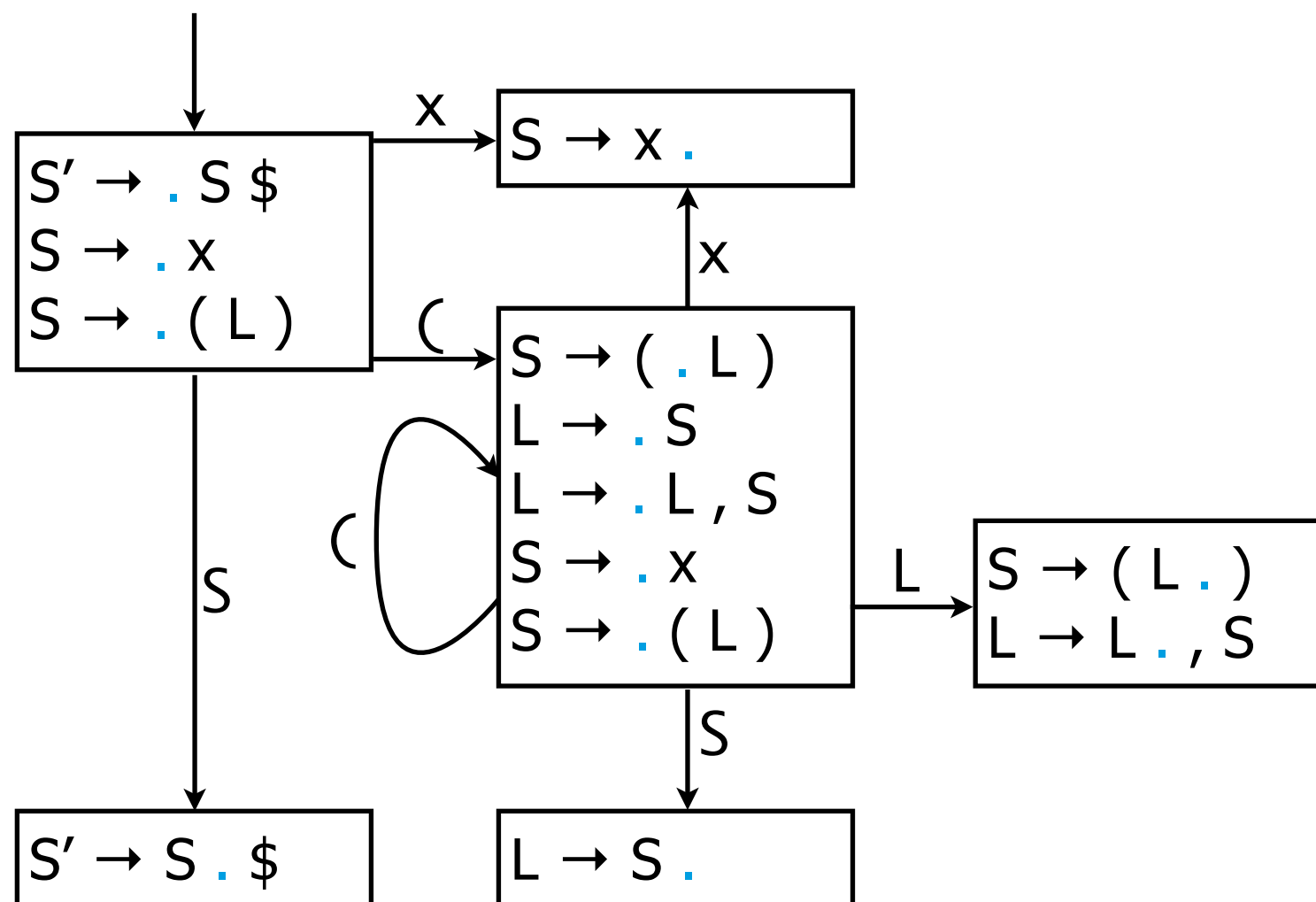
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

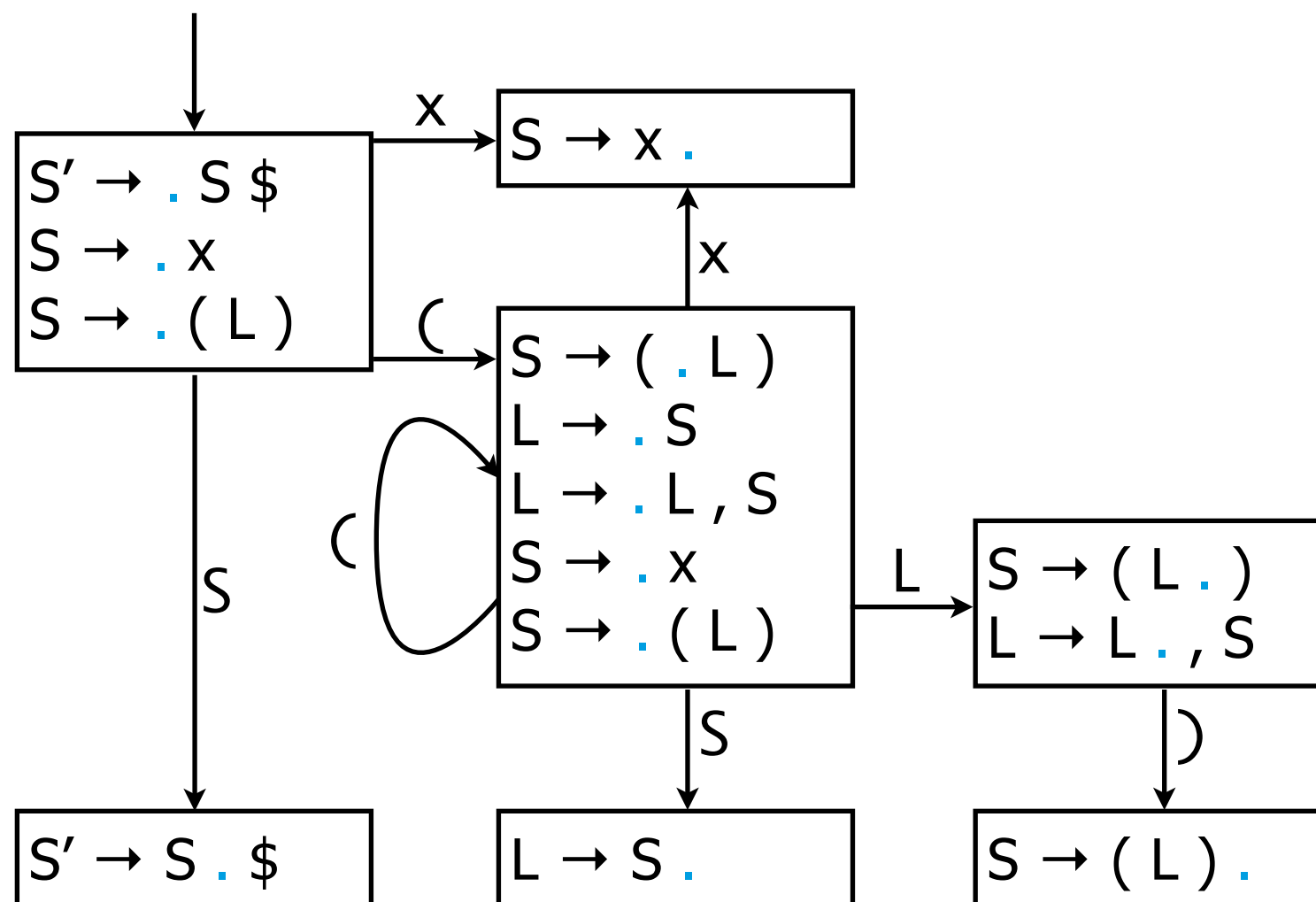
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

## items, closure & goto

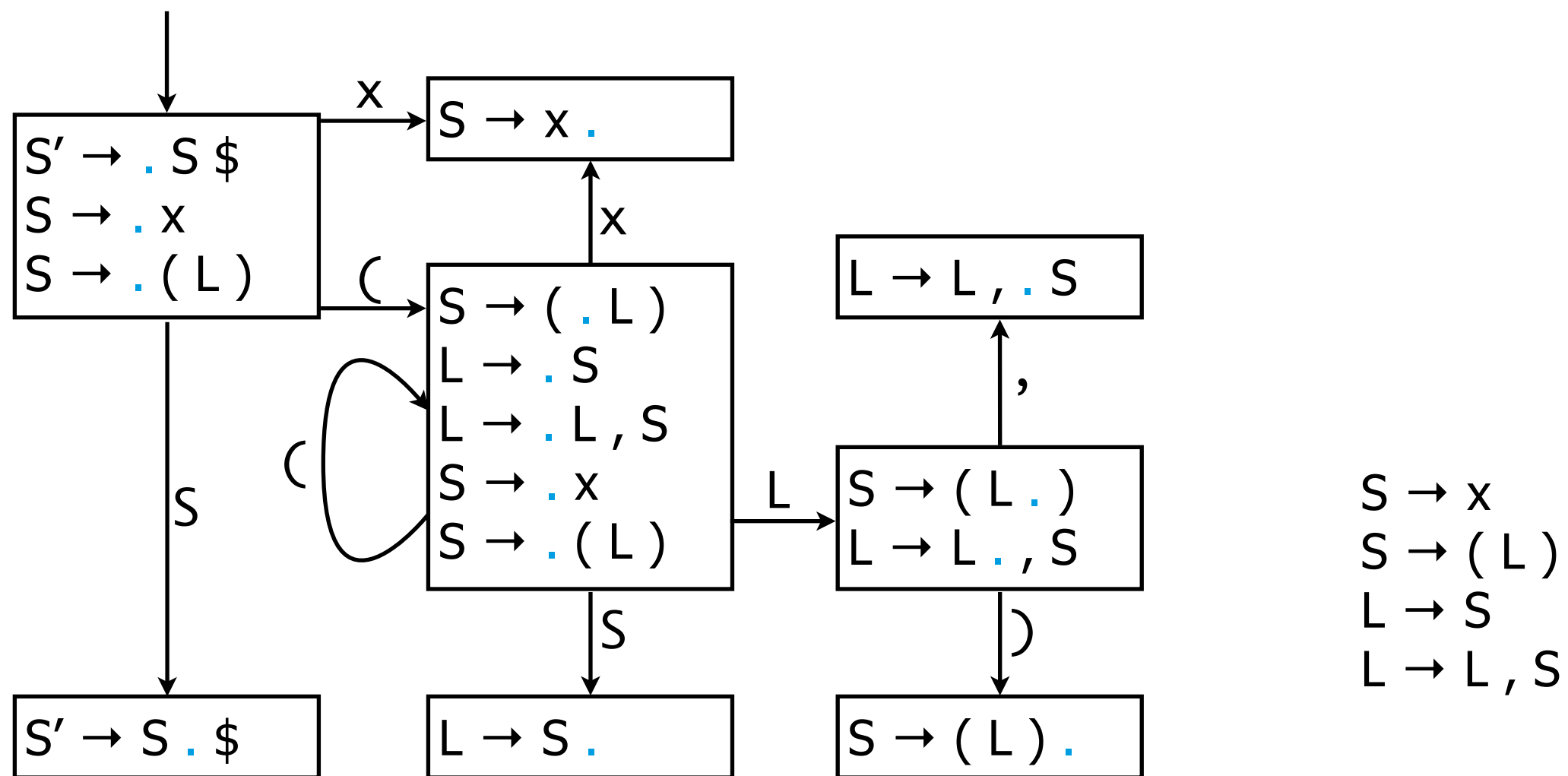


$S \rightarrow x$   
 $S \rightarrow (L)$   
 $L \rightarrow S$   
 $L \rightarrow L, S$



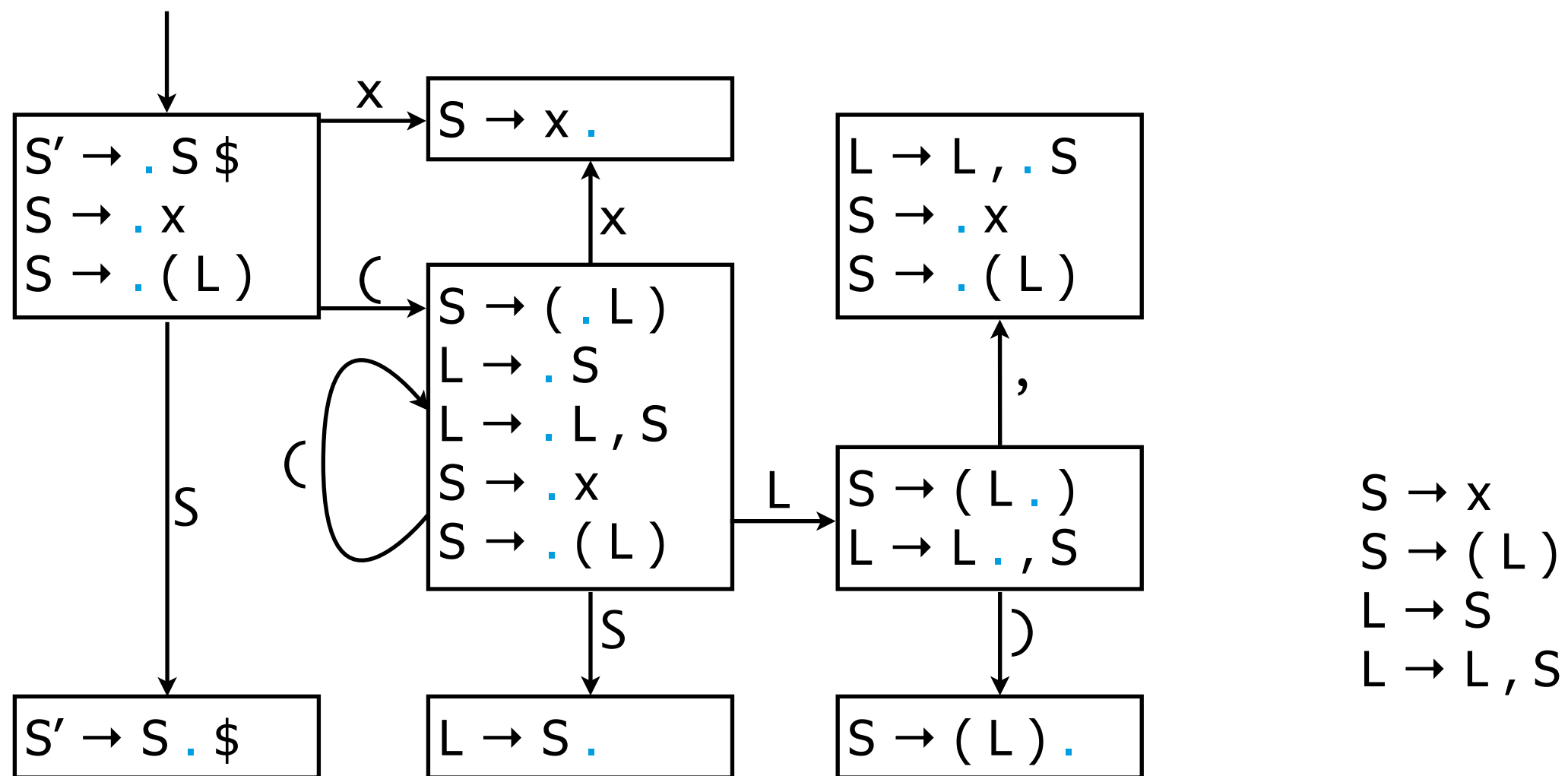
# LR(0) parse tables

## items, closure & goto



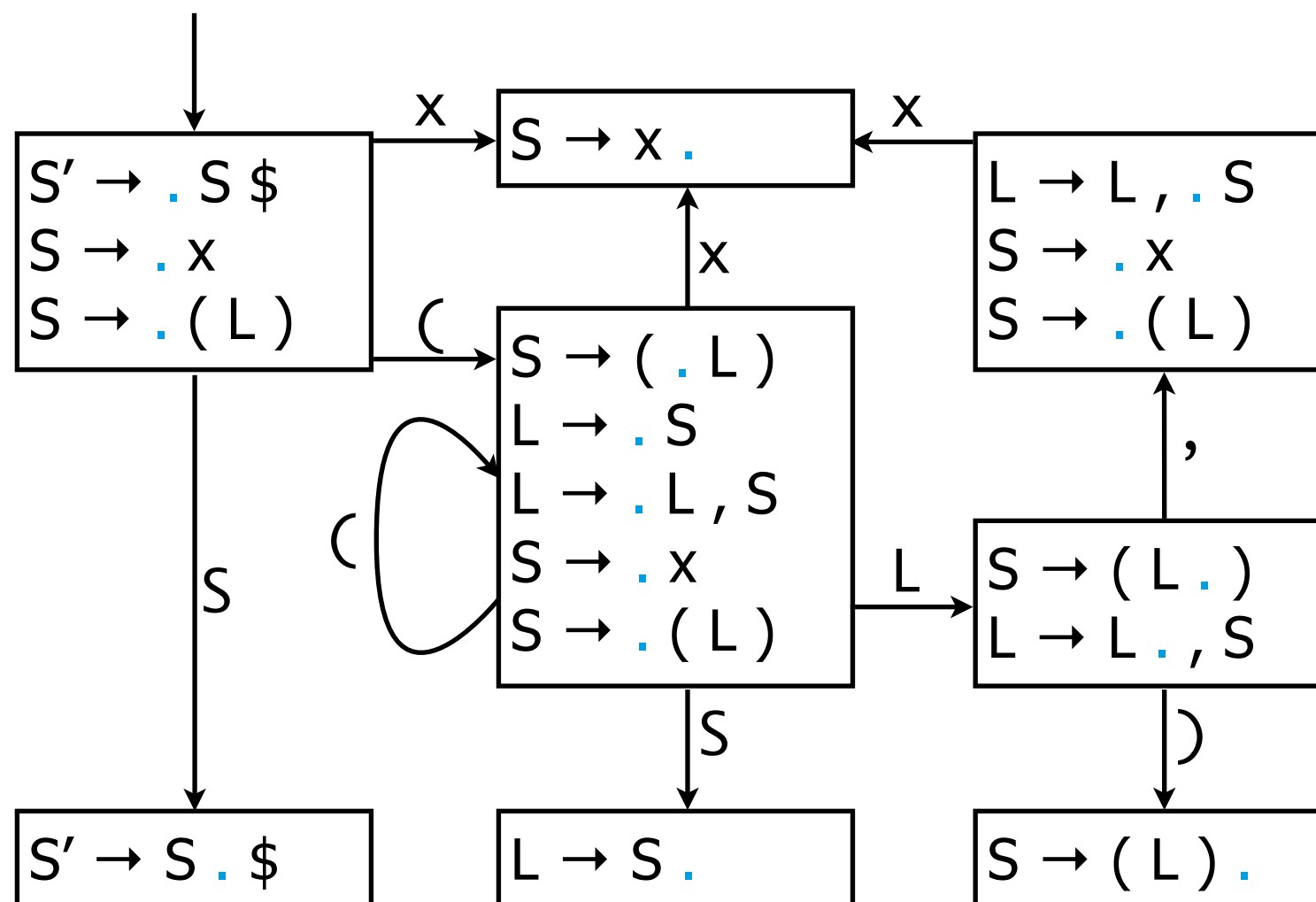
# LR(0) parse tables

## items, closure & goto



# LR(0) parse tables

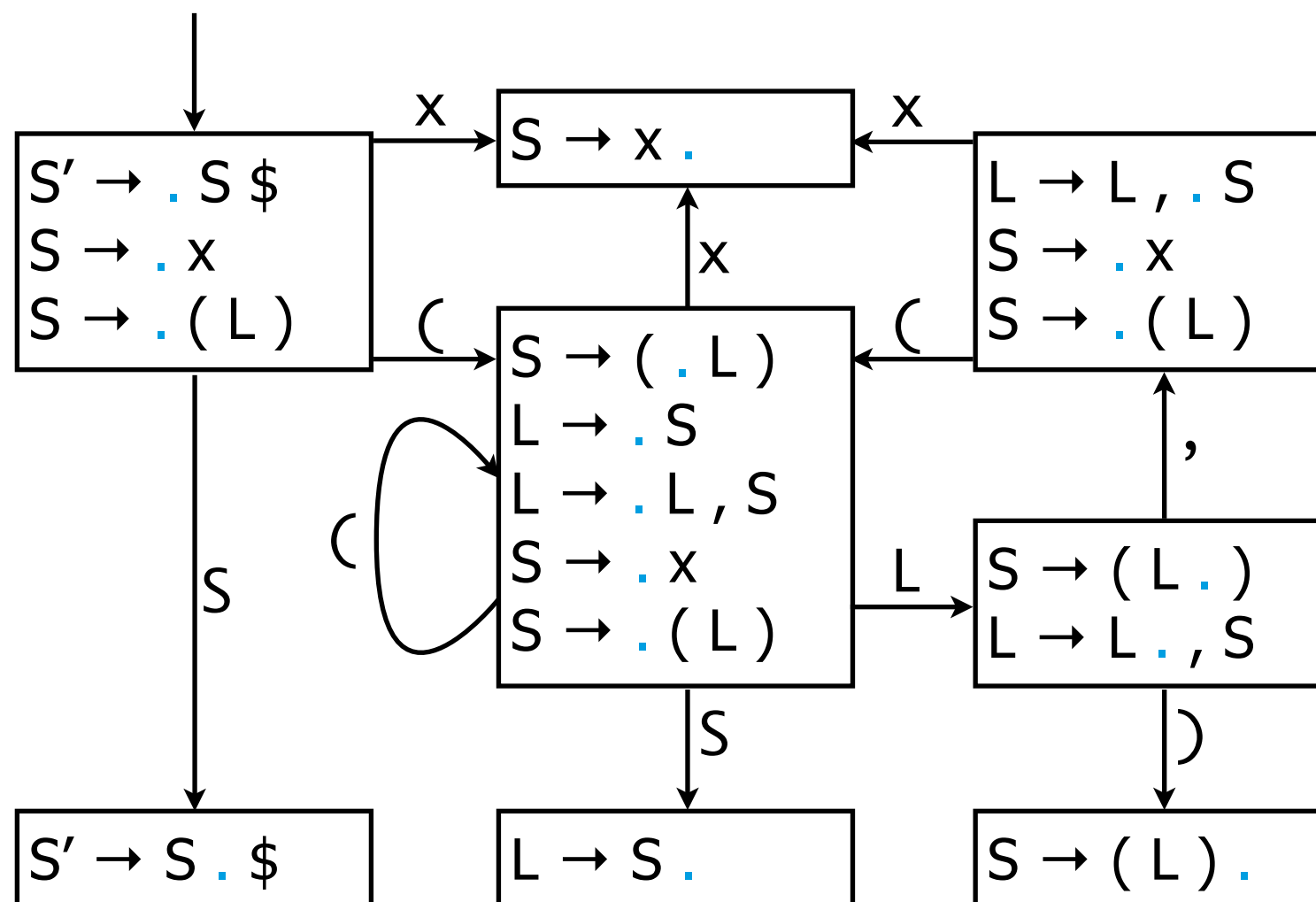
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L, S$

# LR(0) parse tables

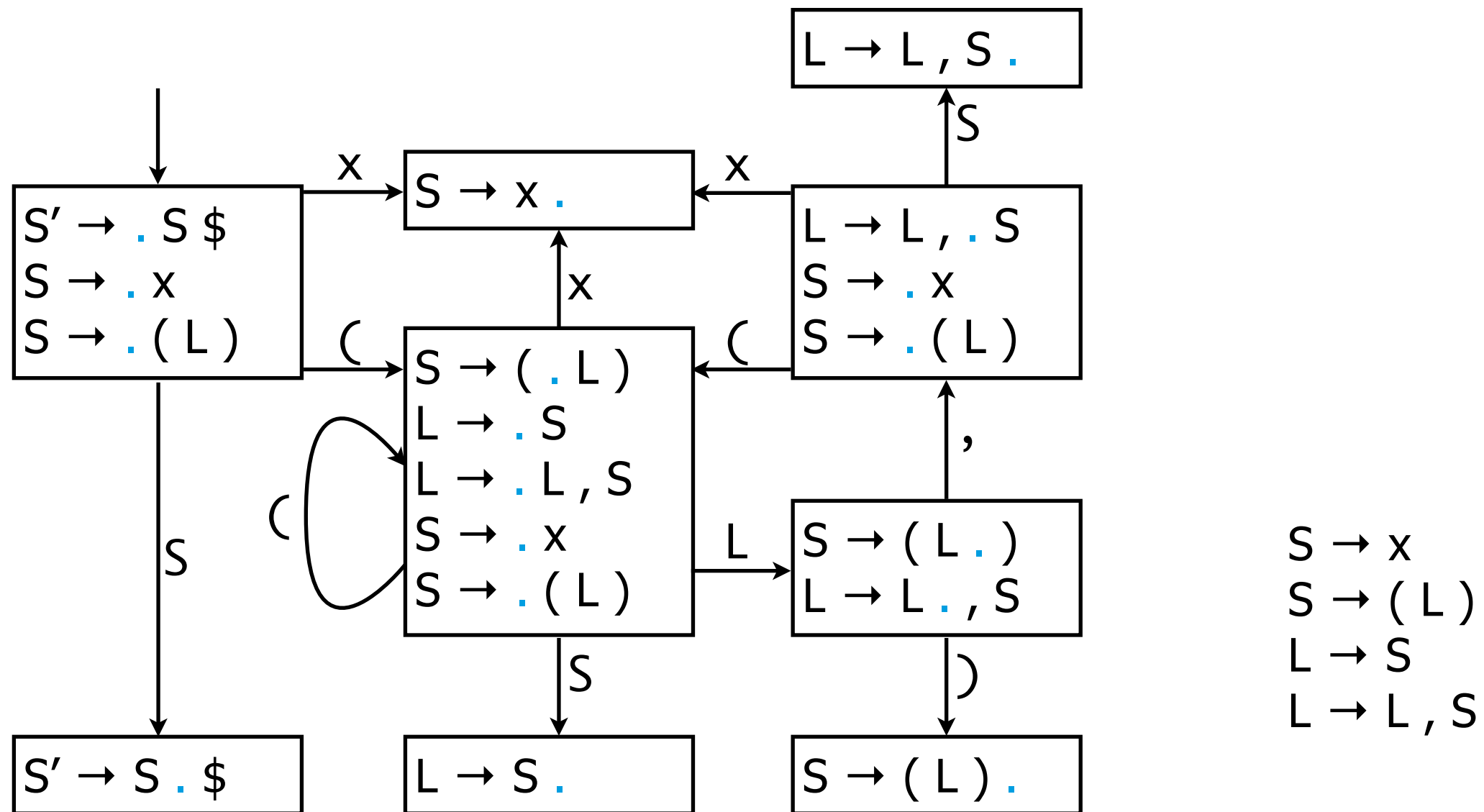
## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L, S$

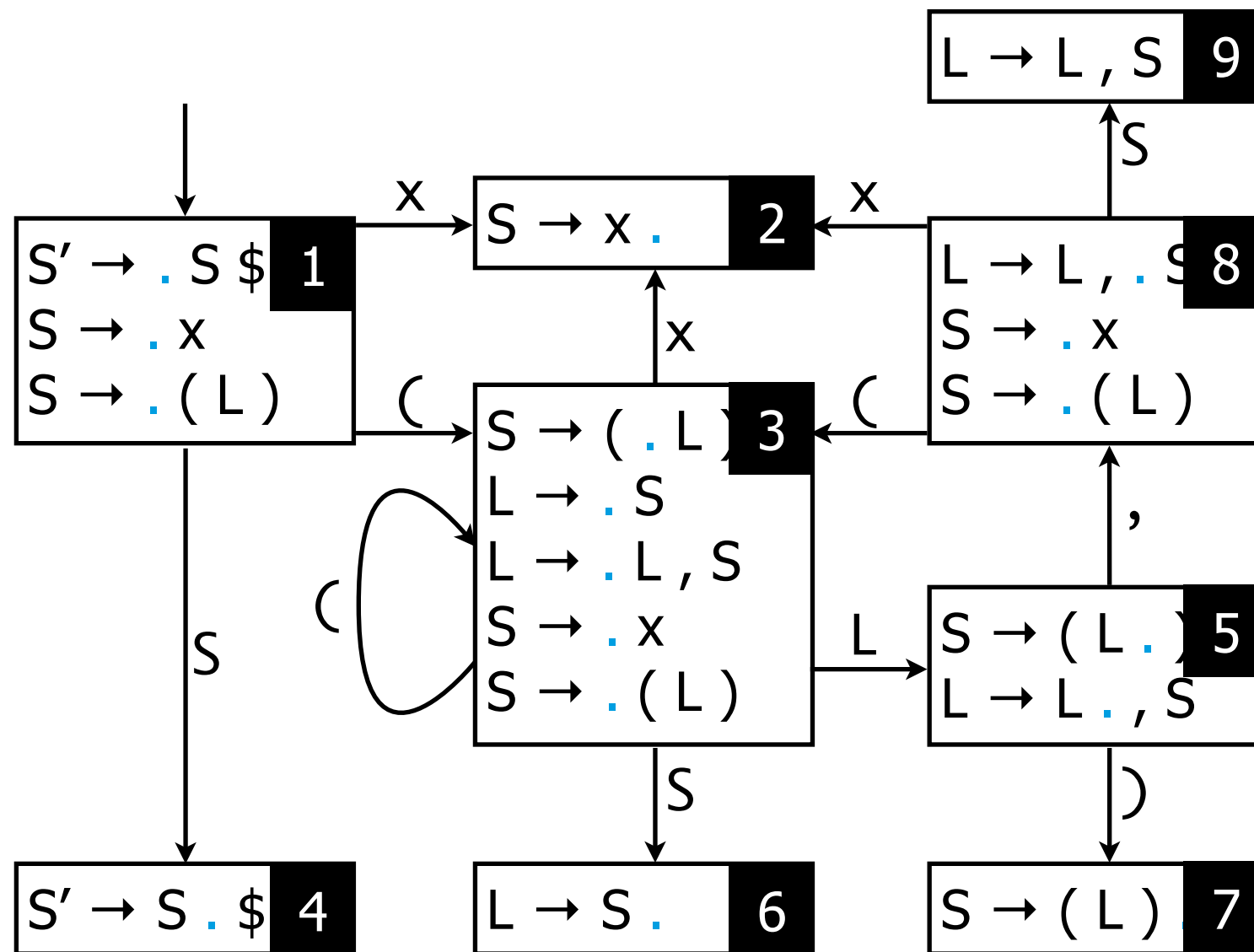
# LR(0) parse tables

## items, closure & goto



# LR(0) parse tables

## items, closure & goto



$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

(	x	,	x	)	\$
---	---	---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

1



# LR(0) parse tables

result

x	,	x	)	\$
---	---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

,	x	)	\$
---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

2
3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

,	x	)	\$
---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

,	x	)	\$
---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

6
3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

,	x	)	\$
---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

,	x	)	\$
---	---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

5
3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

x	)	\$
---	---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

8
5
3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

)	\$
---	----

2
8
5
3
1

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$



# LR(0) parse tables

result

)	\$
---	----

8
5
3
1

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

)	\$
---	----

9
8
5
3
1

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

)	\$
---	----

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

3
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

)	\$
---	----

5
3
1

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

\$

7  
5  
3  
1

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

\$

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# LR(0) parse tables

result

\$

	(	)	x	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					a		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

4  
1

$S \rightarrow x$   
 $S \rightarrow ( L )$   
 $L \rightarrow S$   
 $L \rightarrow L , S$

# III

---

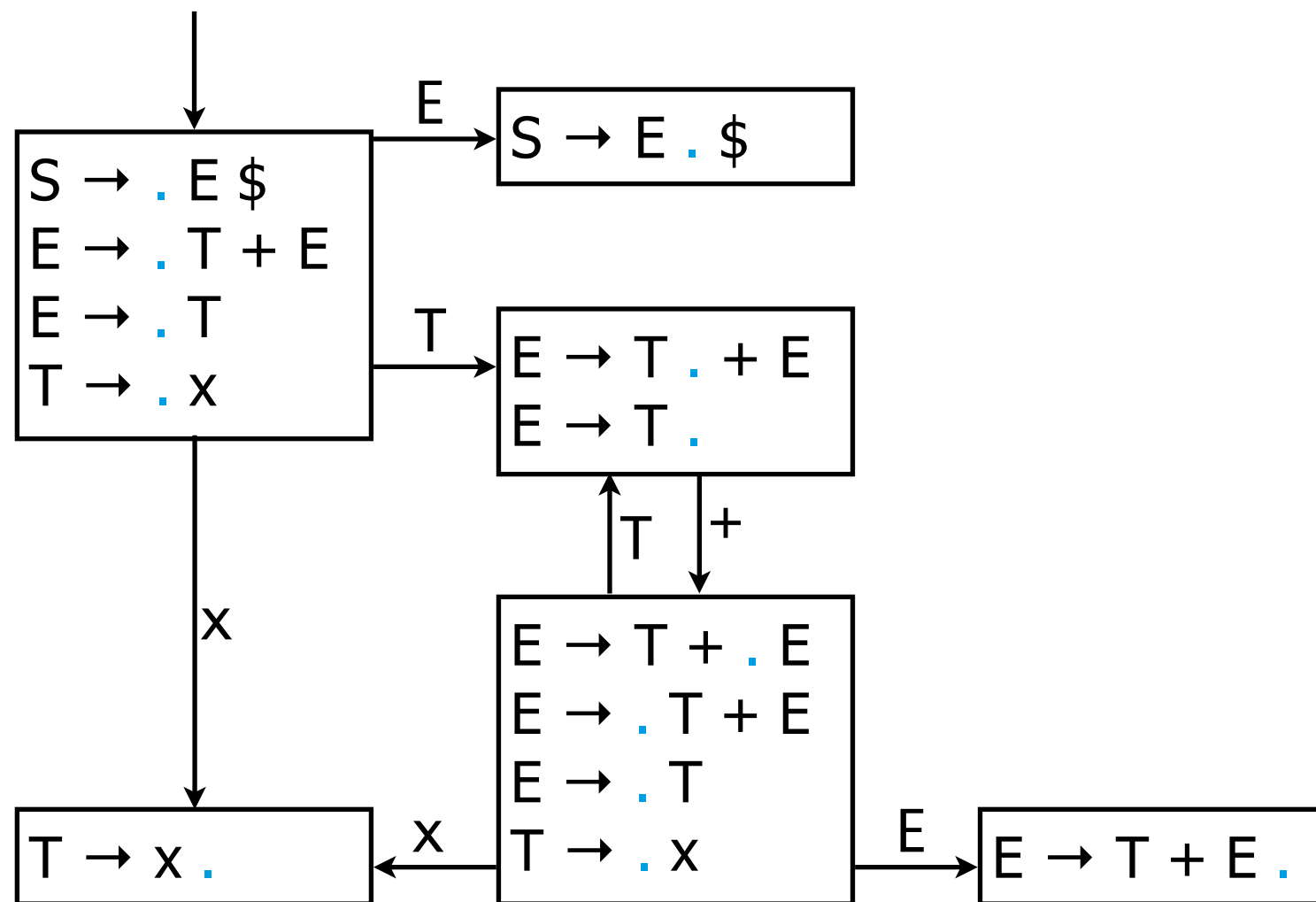
conflict resolution

---



# SLR parse tables

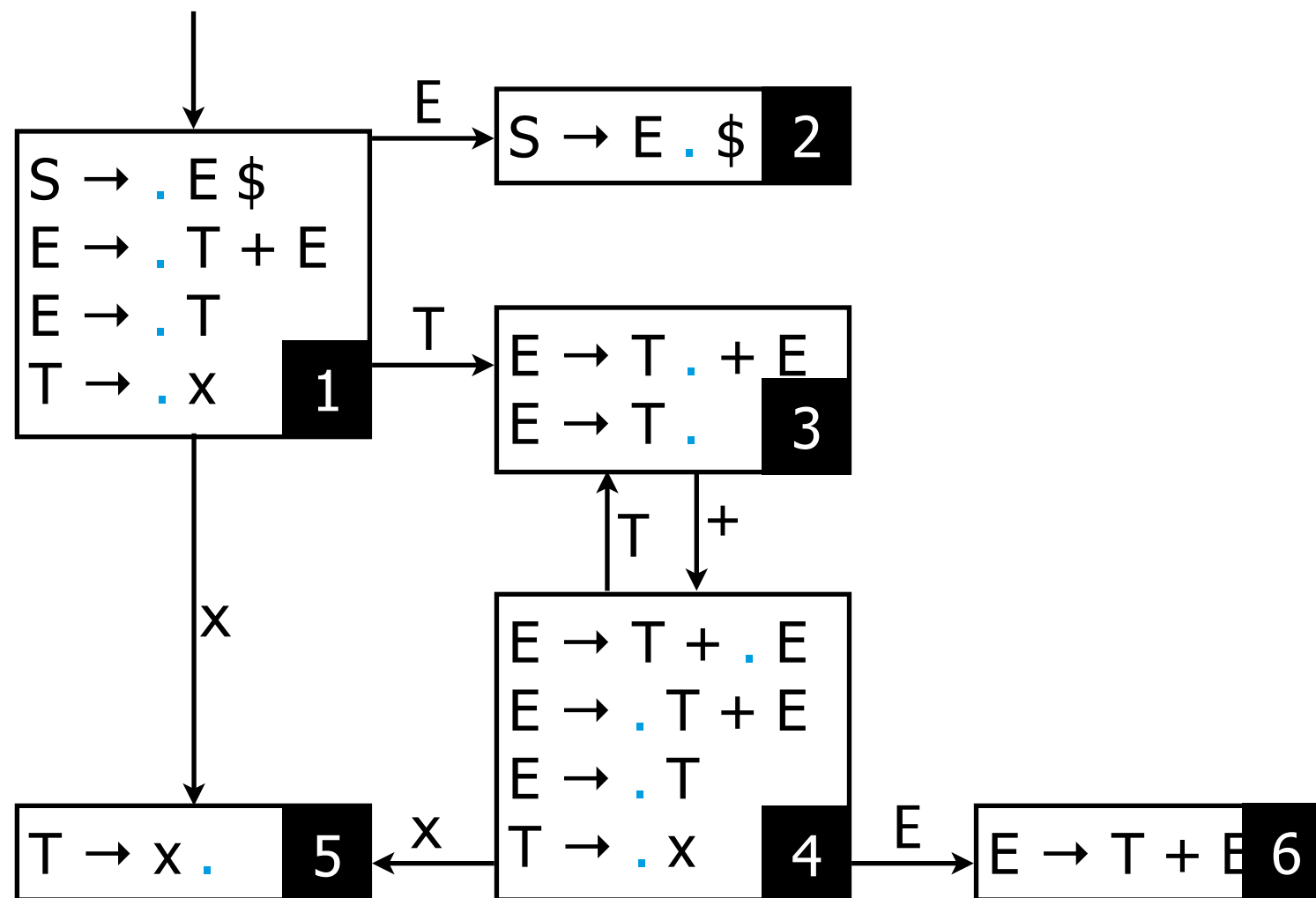
## shift-reduce conflicts



$E \rightarrow T + E$   
 $E \rightarrow T$   
 $T \rightarrow x$

# SLR parse tables

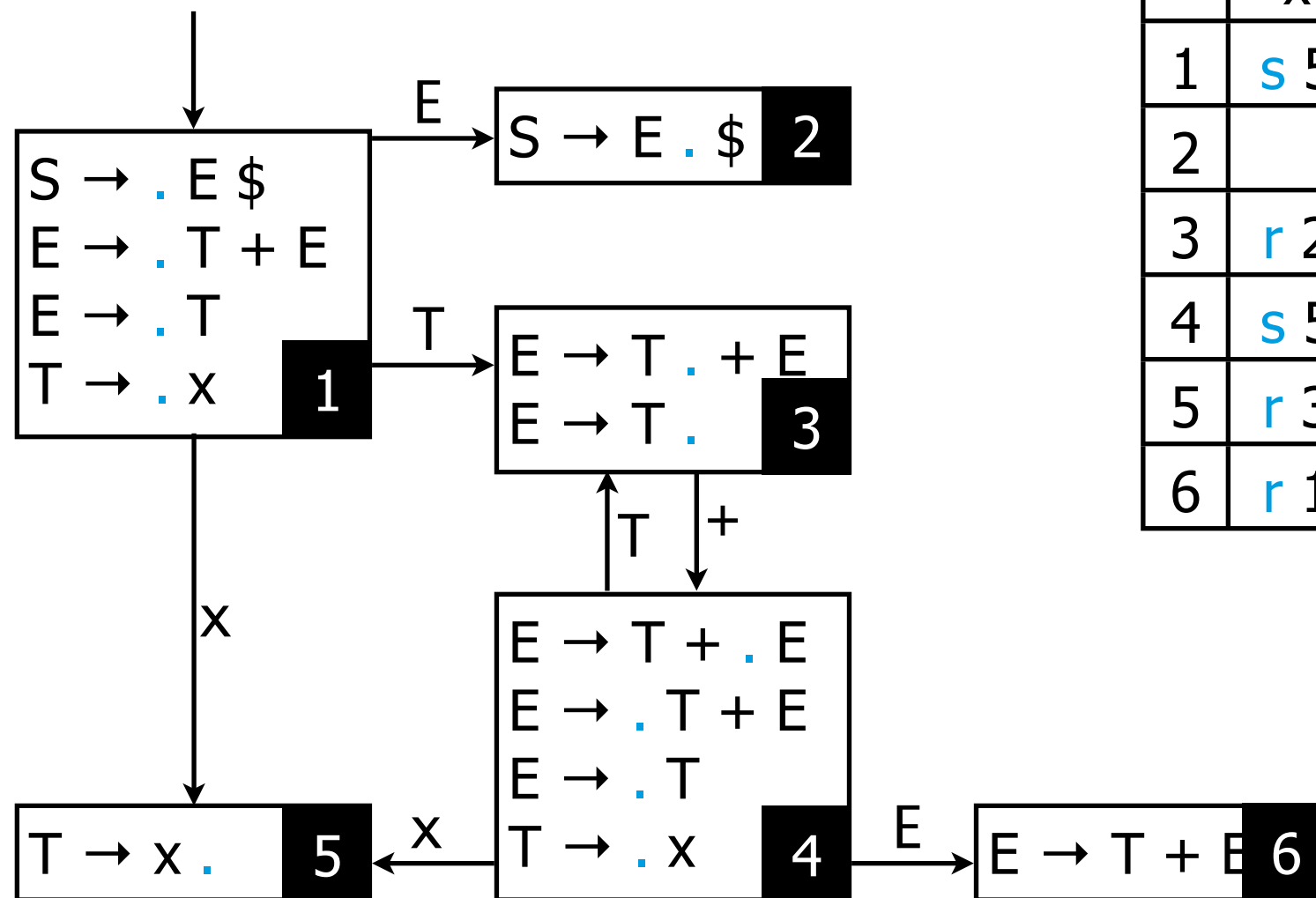
## shift-reduce conflicts



$E \rightarrow T + E$   
 $E \rightarrow T$   
 $T \rightarrow x$

# SLR parse tables

## shift-reduce conflicts

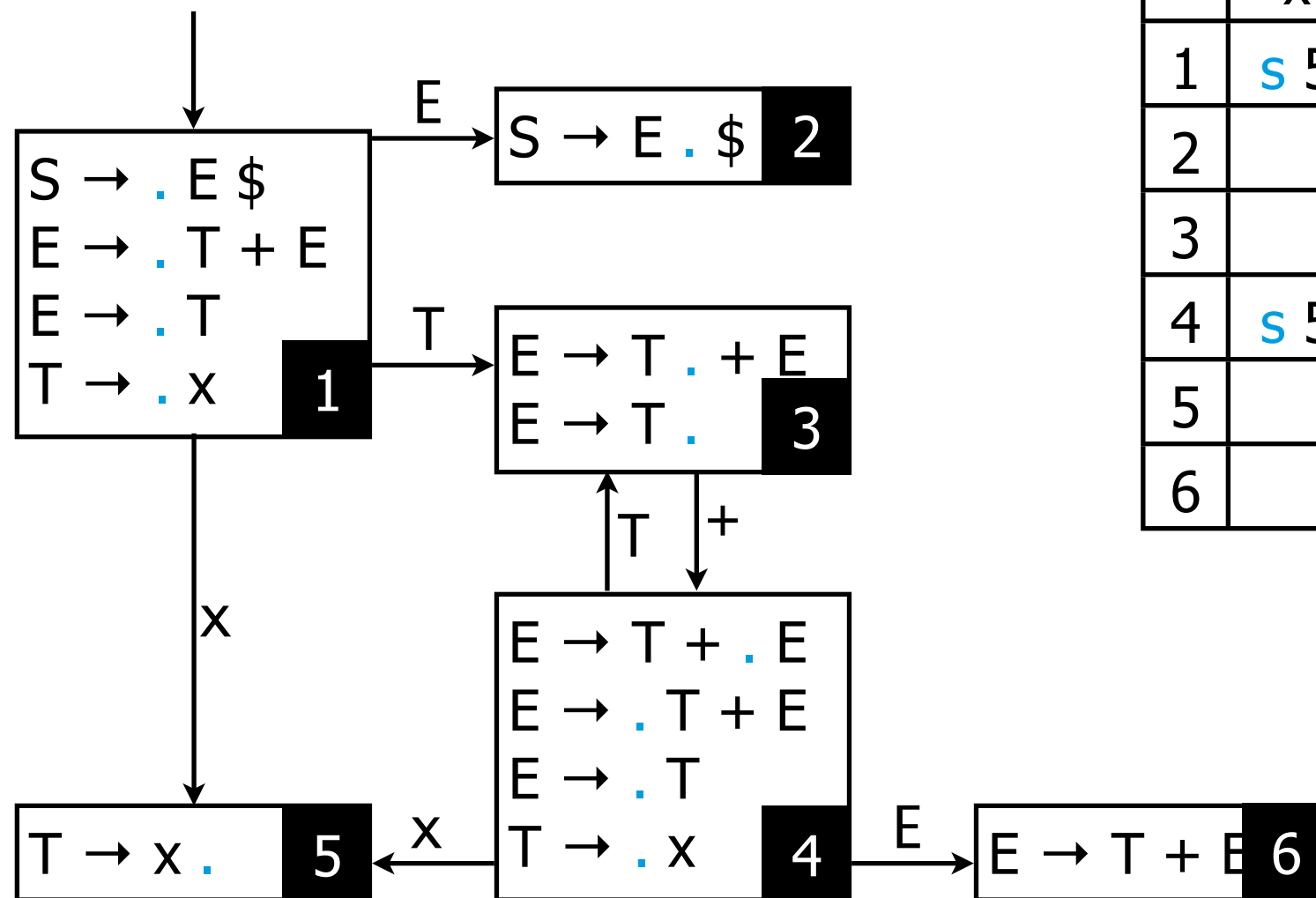


	x	+	\$	E	T
1	s 5			g 2	g 3
2			a		
3	r 2	?	r 2		
4	s 5			g 6	g 3
5	r 3	r 3	r 3		
6	r 1	r 1	r 1		

$E \rightarrow T + E$   
 $E \rightarrow T$   
 $T \rightarrow x$

# SLR parse tables

## shift-reduce conflicts

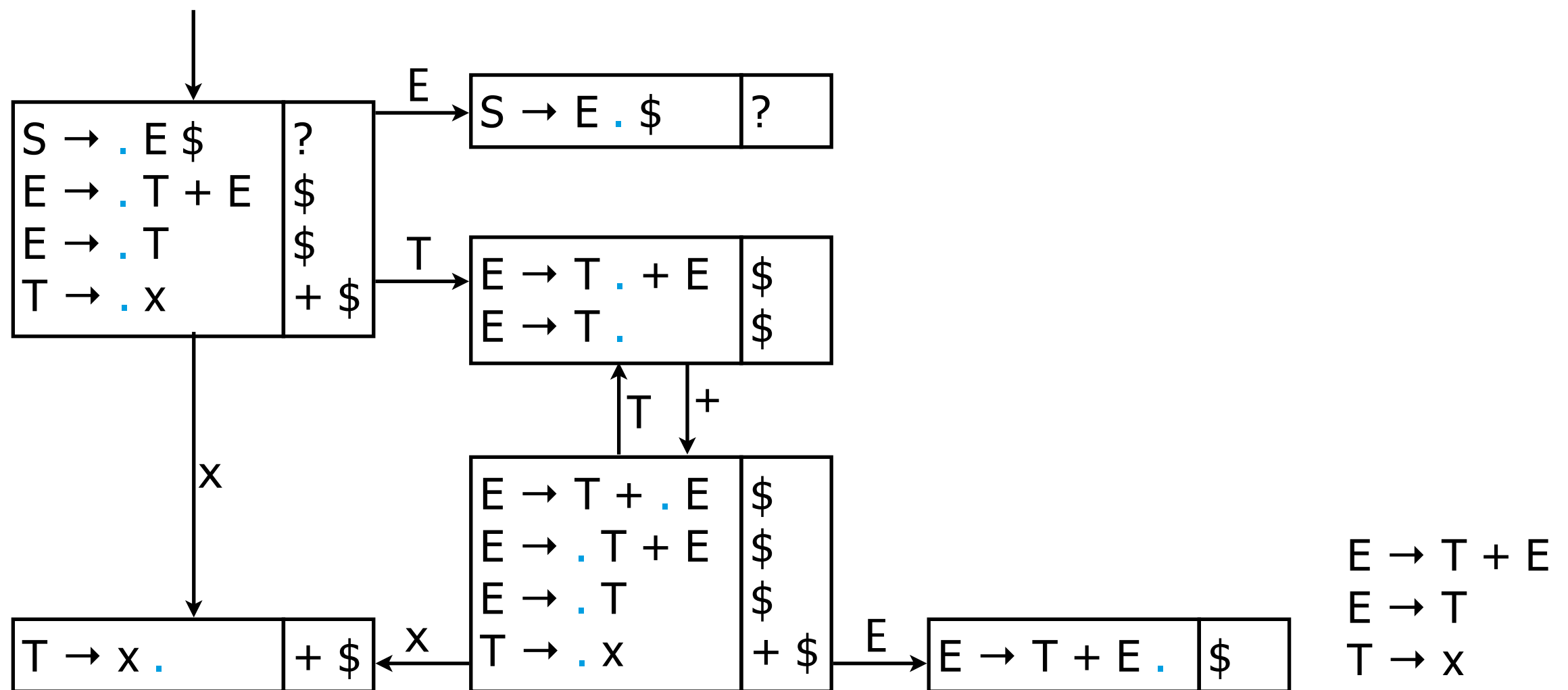


	x	+	\$	E	T
1	s 5			g 2	g 3
2			a		
3		s 4	r 2		
4	s 5			g 6	g 3
5		r 3	r 3		
6			r 1		

$E \rightarrow T + E$   
 $E \rightarrow T$   
 $T \rightarrow x$

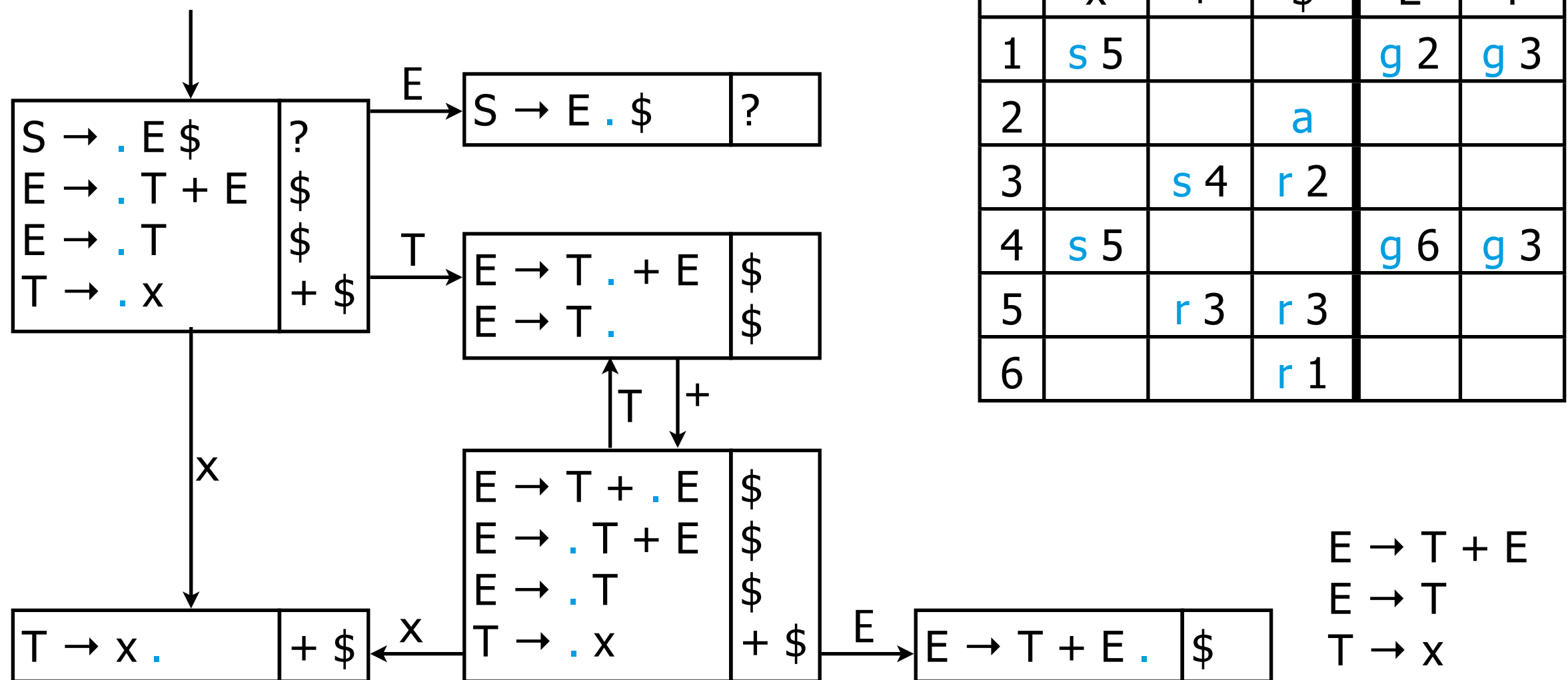
# LR(1) parse tables

## look-ahead



# LR(1) parse tables

## look-ahead



# LALR(1) parse tables

## state space reduction

unify states

- with same items
- and same outgoing transitions
- but different look-ahead sets

might introduce new conflicts

# IV

---

summary

---



# Summary

## lessons learned

# Summary

## lessons learned

How can we generate LR parse tables?

- items, closure, goto

# Summary

## lessons learned

How can we generate LR parse tables?

- items, closure, goto

How can we improve LR(0) parse table generation?

- SLR: consider FOLLOW sets to avoid shift-reduce conflicts
- LR(1): consider look-ahead in states
- LALR(1): unify LR(1) states to reduce state space

# Summary

## lessons learned

How can we generate LR parse tables?

- items, closure, goto

How can we improve LR(0) parse table generation?

- SLR: consider FOLLOW sets to avoid shift-reduce conflicts
- LR(1): consider look-ahead in states
- LALR(1): unify LR(1) states to reduce state space

Why are efficient parsing algorithms problematic?

- not longer pure, declarative, beautiful
- paradise lost: seven plagues
- paradise regained: scannerless generalised parsing

# Summary

## lessons learned

How can we generate LR parse tables?

- items, closure, goto

How can we improve LR(0) parse table generation?

- SLR: consider FOLLOW sets to avoid shift-reduce conflicts
- LR(1): consider look-ahead in states
- LALR(1): unify LR(1) states to reduce state space

Why are efficient parsing algorithms problematic?

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# Literature

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## syntactical analysis

Andrew W. Appel, Jens Palsberg: Modern Compiler Implementation in Java, 2nd edition. 2002

Alfred V. Aho, Ravi Sethi, Jeffrey D. Ullman, Monica S. Lam: Compilers: Principles, Techniques, and Tools, 2nd edition. 2006

# Literature

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Alfred V. Aho, Ravi Sethi, Jeffrey D. Ullman, Monica S. Lam: Compilers: Principles, Techniques, and Tools, 2nd edition. 2006

## generalised parsing

Eelco Visser: Syntax Definition for Language Prototyping. PhD thesis 1997

M.G.J. van den Brand, J. Scheerder, J.J. Vinju, and E. Visser: Disambiguation Filters for Scannerless Generalized LR Parsers. CC 2002

Lennart C. L. Kats, Eelco Visser, Guido Wachsmuth: Pure and Declarative Syntax Definition - Paradise Lost and Regained. SPLASH 2010



# Outlook

## coming next

### lectures

- guest lecture: DSLs

### Question & Answer Jan 08

- 10 questions, submit & vote

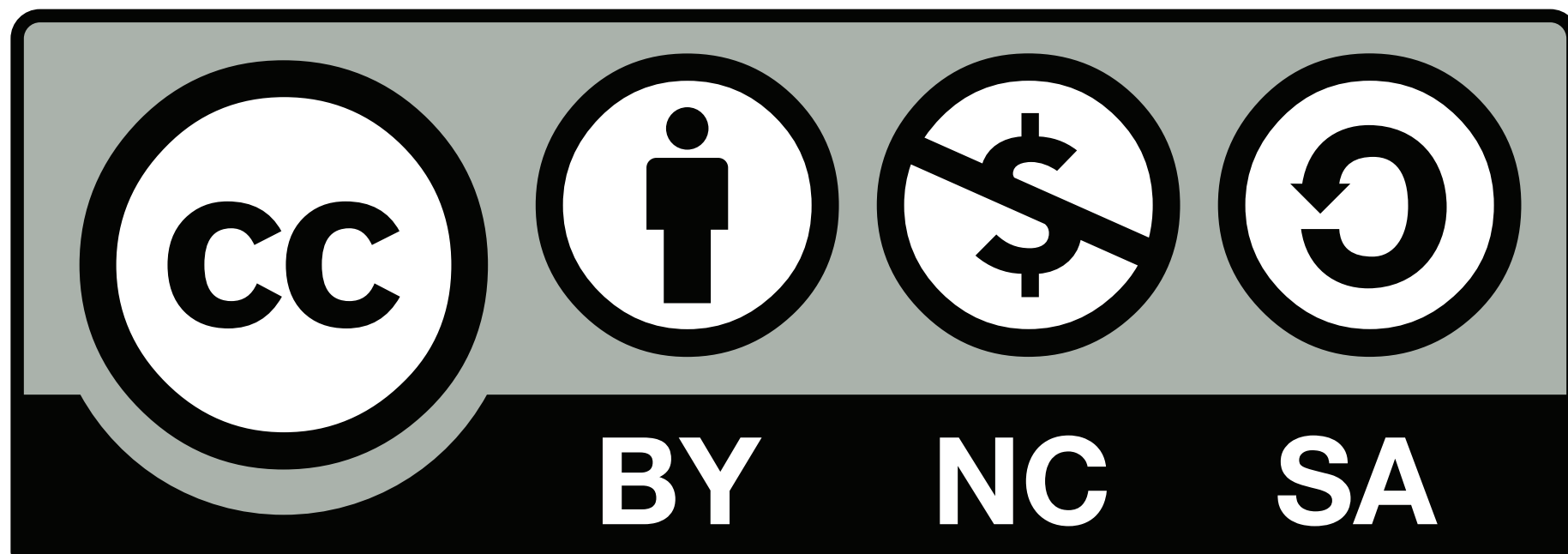
### Lab Dec 14

- translate fields & variables
- challenge: variable ranges

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# copyrights

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# Pictures

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