

# Quotients, dual spaces

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# Announcements

The problems in §3D have been moved to HW #6. The bonus problem has not budged.

My office hours are Mondays 1:30–3 PM and Thursday 10:30 AM–noon

Next to last Foothill DC lunch with Prof. Ribet is *today* at noon

Resident Faculty Program  
Connect the Community with Professors

LUNCH WITH MATH  
PROFESSOR KEN RIBET

PROF. KEN RIBET

12:00PM-1:00PM | FOOTHILL DINING HALL  
SEPTEMBER 18TH, SEPTEMBER 26TH, OCTOBER 3RD, OCTOBER 9TH

For questions or accommodations, email [ResLifeAcademics@berkeley.edu](mailto:ResLifeAcademics@berkeley.edu)

The poster is a blue rectangular graphic. At the top, it says 'Resident Faculty Program' in a blue box, with a smaller line 'Connect the Community with Professors' below it. The main title 'LUNCH WITH MATH PROFESSOR KEN RIBET' is in a green rounded rectangle. In the center is a circular photo of Prof. Ken Ribet, with his name 'PROF. KEN RIBET' written in a white arc below it. To the left and right of the photo are circular icons showing food. At the bottom, a green bar contains the event details: '12:00PM-1:00PM | FOOTHILL DINING HALL' and 'SEPTEMBER 18TH, SEPTEMBER 26TH, OCTOBER 3RD, OCTOBER 9TH'. A QR code is on the right side. The footer text is at the very bottom.

# U.S. Cardiologist Warns Aging Seniors About Blueberries for Breakfast

# Quotient spaces

If  $U$  is a subspace of  $V$ , we have defined a vector space  $V/U$  along with a surjective map (“quotient map”)

$$\pi : V \rightarrow V/U.$$

If  $W$  is a vector space, there is a natural linear map  $\mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W)$ ,  $f : S \mapsto S \circ \pi$ . A linear map  $V \rightarrow W$  of the form  $S \circ \pi$  is said to *factor through*  $\pi$ .

## Proposition

The function  $f$  is an injective linear map  $\mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W)$  whose image is the set of linear maps  $V \rightarrow W$  whose restriction to  $U$  is 0.

A paraphrase: a linear map  $V \rightarrow W$  factors through  $\pi$  if and only if its null space contains  $U$ .

# Quotients

## Proposition

The function  $f$  is an injective linear map  $\mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W)$  whose image is the set of linear maps  $V \rightarrow W$  whose restriction to  $U$  is 0.

“A linear map  $V \rightarrow W$  factors through  $\pi$  if and only if its null space contains  $U$ .”

On Wednesday, we proved this. If  $T : V \rightarrow W$  is identically 0 on  $U$ , then  $T = S \circ \pi$ , where  $S : V/U \rightarrow W$  is defined by the formula  $S(v + U) = Tv$ . That  $Tu = 0$  for all  $u \in U$  ensures that  $S$  is well defined. So we're in good shape, and the class on Wednesday ended at a good breakpoint.









## The range and null space of $S$

Let  $T : V \rightarrow W$  be a linear map and let  $U \subseteq V$  be a subspace that is *contained in* null  $T$ . Let  $S$  be the unique linear map  $V/U \rightarrow W$  such that  $T = S \circ \pi$ .

### Proposition

The range of  $S$  is the range of  $T$ .

The range of  $S$  is the set of all  $S(v + U)$ , but  $S(v + U) = Tv$ . Thus the range of  $S$  consists of all vectors  $Tv \in W$  and is therefore the range of  $T$ .

### Proposition

The null space of  $S$  is the quotient  $(\text{null } T)/U$ .

The null space of  $S$  is the set of all  $v + U \in V/U$  such that  $S(v + U) = 0$ . This is the set of all  $v + U$  for which  $Tv = 0$ , i.e., the set of all  $v + U$  with  $v \in \text{null } T$ . This is the quotient  $(\text{null } T)/U$  of the proposition.

## LADR's map $\tilde{T}$

A slightly different perspective. Start with a linear map  $T : V \rightarrow W$ , and let  $U = \text{null } T$ . Then  $T = S \circ \pi$  for some  $S : V/(\text{null } T) \rightarrow W$ .

In LADR, the map  $S$  in this situation is called  $\tilde{T}$ .

### Proposition (3.107)

If  $U = \text{null } T$ , the map  $\tilde{T} : V/U \rightarrow W$  is injective. Its range is the range of  $T$ .

This follows from our more general discussion, since the null space of  $\tilde{T}$  is  $(\text{null } T)/U = (\text{null } T)/(\text{null } T) = 0$ .

# Functoriality

Starting with  $\pi : V \rightarrow V/U$ , we produced and studied a linear map  $\mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W)$ .

More generally, if  $\pi : V \rightarrow X$  is some linear map (not necessarily surjective), there's an induced linear map

$$\mathcal{L}(X, W) \rightarrow \mathcal{L}(V, W), \quad S \mapsto S \circ \pi.$$

Note that the displayed map goes in the opposite direction from  $\pi$  in the sense that  $\pi$  goes from  $V \rightarrow X$  and the induced map goes from an object related to  $X$  to an object related to  $V$ .

Denizens of Evans Hall would write  $\pi^*$  for the induced map and say that  $\mathcal{L}(\bullet, W)$  depends *contravariantly* on the first argument

•. Remember that “contra” means “against or contrary to”; mathematicians interpret this as “opposite of.”

# Functoriality

Suppose that  $\alpha$  is a linear map  $W \rightarrow Y$ . Then composition with  $\alpha$  gives rise to a linear map  $\mathcal{L}(V, W) \rightarrow \mathcal{L}(V, Y)$ :

$$\alpha_* : \mathcal{L}(V, W) \rightarrow \mathcal{L}(V, Y), \quad T \mapsto \alpha \circ T.$$

The Evans folk like to say that  $\mathcal{L}(V, \bullet)$  is covariant in the second variable.

## Dual space

If  $V$  and  $W$  are vector spaces, we live happily with  $\mathcal{L}(V, W)$ . It's a space of linear maps. It's a space of  $m \times n$  matrices. We're totally comfortable.

Make the choice  $W = \mathbf{F}$ . It's a special case, so we're still comfortable. It's a space of  $1 \times n$  matrices. Chill.

Now say that  $\mathcal{L}(V, \mathbf{F})$  is the *dual space*  $V'$ . Suddenly we're uneasy.

Refer to the linear maps  $V \rightarrow \mathbf{F}$  in  $\mathcal{L}(V, \mathbf{F})$  as *linear functionals*. Our palms are sweaty.

Use Greek letters like  $\varphi$  for the linear maps  $W \rightarrow \mathbf{F}$  and we're quaking.

Welcome to §3F.

## Dual space

The vector space dual to a space  $V$  is  $V' = \mathcal{L}(V, \mathbf{F})$ . If  $V$  has dimension  $n$ ,  $V'$  has dimension  $1 \cdot n = n$ .

Suppose that  $V$  has a basis  $v_1, \dots, v_n$ . Is there a natural basis of  $V'$  that results from  $v_1, \dots, v_n$ ?

This question becomes *easier* if we replace  $\mathbf{F}$  by  $W$  and say that  $w_1, \dots, w_m$  is a basis for  $W$ . Then  $\mathcal{L}(V, W)$  is the space of  $m \times n$  matrices; it has a basis consisting of the matrices with a 1 in the  $k$ th row and  $\ell$ th column and 0s elsewhere (for  $k = 1, \dots, m$  and  $\ell = 1, \dots, n$ ). The matrix with a 1 in place  $\ell, k$  and 0s elsewhere represents the linear map sending  $v_k$  to  $w_\ell$  and all other basis vectors of  $V$  to 0.

## Dual space

Again: a basis of  $\mathcal{L}(V, W)$  is given by the linear maps sending  $v_k$  to  $w_\ell$  and all other basis vectors of  $V$  to 0. There is one for each  $k$  between 1 and  $n$  and each  $\ell$  between 1 and  $m$ .

Now take  $W = \mathbf{F}$  and use the list of length one “1” as a basis of  $\mathbf{F}$ . Then  $V' = \mathcal{L}(V, \mathbf{F})$  has a basis consisting of the  $n$  different linear maps gotten by sending some  $v_k$  to 1 and all other  $v_j$  to 0. If  $k$  is between 1 and  $n$ , the linear map taking  $v_k$  to 1 and the other basis vectors to 0 is called  $\varphi_k$ .

The linear maps  $\varphi_1, \varphi_2, \dots, \varphi_n$  form a basis of  $\mathcal{L}(V, \mathbf{F})$  that is called the “dual basis”; it’s the basis dual to  $v_1, \dots, v_n$ .

## Dual basis

Again, if  $V$  has dimension  $n$ ,  $V' = \mathcal{L}(V, \mathbf{F})$  also has dimension  $n$ .

If  $v_1, \dots, v_n$  is a basis of  $V$ ,  $\varphi_1, \dots, \varphi_n$  is the basis of  $V'$  that is dual to  $v_1, \dots, v_n$ . Here's a nifty formula:

$$\varphi_k : v_j \mapsto \begin{cases} 1 & \text{if } j = k; \\ 0 & \text{if } j \neq k. \end{cases}$$

A more compact version:

$$\varphi_k(v_j) = \delta_{kj}.$$



## Dual basis gives coordinates

Now suppose that  $v$  is a vector in  $V$ . Then there are unique scalars  $\lambda_1, \dots, \lambda_n$  such that  $v = \lambda_1 v_1 + \dots + \lambda_n v_n$ .

### Formula (3.114)

For  $k = 1, \dots, n$ ,  $\lambda_k = \varphi_k(v)$ .

Compute to see this:

$$\varphi_k(v) = \varphi_k\left(\sum_j \lambda_j v_j\right) = \sum_j \lambda_j \varphi_k(v_j) = \sum_j \lambda_j \delta_{kj} = \lambda_k.$$

## Special case where $V = \mathbf{F}^n$

If  $V = \mathbf{F}^n$  and the basis is the standard basis  $e_1, \dots, e_n$ , then the  $\lambda_j$  for a vector  $v = (x_1, \dots, x_n)$  are the coordinates  $x_1, x_2$ , etc. Thus

$$\varphi_k((x_1, \dots, x_n)) = x_k$$

for all  $k = 1, \dots, n$ .

## Dual map

If  $T : V \rightarrow W$  is a linear map, there is an induced linear map

$$T^* : \mathcal{L}(W, \mathbf{F}) \rightarrow \mathcal{L}(V, \mathbf{F}), \quad \psi \in \mathcal{L}(W, \mathbf{F}) \longmapsto \psi \circ T \in \mathcal{L}(V, \mathbf{F}).$$

This map is called  $T'$  and is said to be the map *dual to*  $T$ . We write

$$T' : W' \rightarrow V'.$$

## Dual maps

Axler lists a number of basic properties of dual maps (3.120 in LADR):

- $(S + T)' = S' + T'$  for  $S, T \in \mathcal{L}(V, W)$ ;
- $(\lambda T)' = \lambda T'$  for  $T \in \mathcal{L}(V, W)$ ;
- $(ST)' = T'S'$  for  $T \in \mathcal{L}(V, W)$  and  $S \in \mathcal{L}(W, X)$ .

These are all things that you should check. For example,  $(ST)'$  is the map from  $X'$  to  $V'$  taking  $\varphi \in \mathcal{L}(X, \mathbf{F})$  to  $\varphi \circ (ST)$ . But

$$\varphi \circ (ST) = (\varphi \circ S) \circ T = T'(\varphi \circ S) = T'(S'\varphi).$$

## Matrix of dual map

Let  $T : V \rightarrow W$  be a linear map with  $V$  and  $W$  finite-dimensional. Choose bases  $v_1, \dots, v_n$  and  $w_1, \dots, w_m$  of  $V$  and  $W$ . The matrix of  $T$  relative to these bases is

$$\mathcal{M}(T) = (a_{ij}), \quad Tv_j = \sum_{i=1}^m a_{ij} w_i \text{ for } j = 1, \dots, n.$$

The dual of  $T$  is the map  $T' : W' \rightarrow V'$ ,  $\psi \mapsto \psi \circ T$ .

Let  $\varphi_1, \dots, \varphi_n$  be the basis of  $V'$  dual to  $v_1, \dots, v_n$ . Analogously, let  $\psi_1, \dots, \psi_m$  be the basis of  $W'$  dual to  $w_1, \dots, w_m$ .

Then  $T$  and  $T'$  are represented by matrices  $\mathcal{M}(T)$  and  $\mathcal{M}(T')$  of dimensions  $m \times n$  and  $n \times m$ , respectively.

### Formula

The matrices  $\mathcal{M}(T)$  and  $\mathcal{M}(T')$  are transposes of each other.



# Matrix of dual map

## Formula

The matrices  $\mathcal{M}(T)$  and  $\mathcal{M}(T')$  are transposes of each other.

If  $\mathcal{M}(T') = (b_{ij})$ , then  $T'(\psi_j) = \psi_j T = \sum_i b_{ij} \varphi_i$  for each  $j = 1, \dots, m$ . The formula to be proved is  $b_{ij} = a_{ji}$  for each  $i$  and  $j$ . I.e., the formula states (for each  $j$ )

$$\psi_j T \stackrel{?}{=} \sum_i a_{ji} \varphi_i.$$

The two sides of the desired equality are linear maps  $V \rightarrow W$ . A key fact is that two linear maps  $V \rightarrow W$  are equal if they agree on the basis vectors  $v_1, \dots, v_n$ .

Thus the formula is equivalent to the equality

$$\psi_j(Tv_k) \stackrel{?}{=} \sum_i a_{ji} \varphi_i(v_k)$$

for all  $j = 1, \dots, m$  and  $k = 1, \dots, n$ .

# Matrix of dual map

## Formula

The matrices  $\mathcal{M}(T)$  and  $\mathcal{M}(T')$  are transposes of each other.

The left-hand side of the equality to be verified is

$$\psi_j\left(\sum_i a_{ik} w_i\right) = \sum_i a_{ik} \psi_j(w_i) = a_{jk},$$

the point being that  $\psi_j(w_i)$  is 0 except when  $i = j$ , when it's 1.

The right-hand side  $\sum_i a_{ji} \varphi_i(v_k)$  also collapses to a single

term, and for the same reason:  $\varphi_i(v_k)$  is 0 except when  $i = k$  (when it's 1). The single term is  $a_{jk}$ , as for the left-hand side.