## Recursive Kate proofs

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## 1 Two layer Libert/Kate

Think of our file as a vector  $V \in \mathbb{F}_q^N$ . We wish to have a "two-layer" version of Kate for proof of retrievability, where the prover's work is only  $O(\sqrt{N})$ .

**Notation/terminology** Let  $\mathbb{G}$  be the source group of a pairing friendly curve over a field  $\mathbb{F}_r$ ; such that  $|\mathbb{G}| = q$ . Let  $\mathbb{H}$  be a subgroup of a pairing friendly curve of size r, over a field K.

Constructing  $\mathbb{G}$ ,  $\mathbb{H}$  using the zexe curves we have  $\log q = 255$ ,  $\log r = 377$ ,  $\log |K| = 768$ . and  $\mathbb{H}$  be a pairing friendly curve of order r. We use the notation  $[n] = \{1, \ldots, n\}$ . For a vector  $x \in \mathbb{F}_q^n$  and subset  $T \subset [n]$  we denote  $x_T \in \mathbb{F}_q^{|T|}$  to be x restricted to the indices in T

**Libert Commitments** We will use the Libert vector commitment for vectors in  $\mathbb{F}_q^n$  as a black box. It has the following properties

- A commitment to a vector  $(x_1, \ldots, x_n) \in \mathbb{F}_q^n$  is one element of  $\mathbb{G}$ .
- After a preprocessing taking  $O(n^2)$  operations in  $\mathbb{G}$ , for any subset  $S \subset [n]$  of size  $t \leq n$ , and vector of coefficients  $(r_1, \ldots, r_t) \in \mathbb{F}_q^t$ ,  $\sum_{i=1}^t r_i \cdot x_{T(i)}$  can be opened with t group operations and the proof of correct opening is a single element of  $\mathbb{G}$ .

An important observation about Libert commitments, is

Claim 1.1. Let  $C = \mathsf{Lib}(x_1, \ldots, x_n)$ , and  $T \subset [n]$  be of size t. Fix  $C_T \in \mathbb{G}$ . One can construct in O(t) group operations a proof that  $C_T = \mathsf{Lib}(x_T)$ . The proof consists merely of two  $\mathbb{G}$  elements and one  $\mathbb{F}_q$  element.

*Proof.* We describe the proof. Assume for simplicity of notation that  $T = \{1, ..., t\}$ . The verifier (or using hash of  $C_T$ ) chooses random  $r_1, ..., r_t \in \mathbb{F}_q^t$ . The prover than opens C with  $(r_1, ..., r_t, 0, ..., 0)$  and  $C_T$  with  $(r_1, ..., r_t)$ . If  $C_T$  is not a commitment to  $x_T$  the probability that both open to the same value is 1/q (or at most t/q if using the derandomized choice  $r_i = r^i$  for the coefficients).

#### 1.1 The two-layer scheme

The two-layer scheme proceeds as follows.

#### Commitment to file:

- 1. Let  $n = m := \sqrt{N}$ . Split the file into n blocks of m elements. And compute for each block a Kate commitment  $g_i := [f_i(s)]_1 \in \mathbb{G}$  where  $f_i \in \mathbb{F}_q[X]$  has degree smaller than m.
- 2. Let  $v = (x_1, \ldots, x_n, y_1, \ldots, y_n)$  be the coordinates of  $g_1, \ldots, g_n$ . Compute a libert commitment C to v. And output C as the commitment to the file.

**Remark 1.2.** For simplicity we comit in Step 2 separately to all x, y coordinates. It should be enough to commit just to the sign of y and thus pack many y's into a single field element, thus cutting down the length of v

### Proof of retrievability

- 1. We have a challenge which is a subset  $T \subset [n]$  of blocks, we think of t := |T| as constant. For simplicity of notation assume  $T = \{1, \ldots, t\}$ . The prover P now wishes to show he knows the contents of the first t blocks.
- 2. Assume the challenge also includes a uniform elements  $\lambda, r \in \mathbb{F}_q$ . Denote for  $i \in [t], \lambda_i := \lambda^i$ . P sends the element  $g \in \mathbb{G}$ , which is allegedly  $\sum_{i \in T} \lambda^i \cdot g_i$ . Note that this is exactly the Kate commitment to  $f(X) := \sum_{i \in T} \lambda^i \cdot f_i(X)$ . P will send a commitment  $C_T = \text{Lib}(x_1, \ldots, x_t, y_1, \ldots, y_t)$  and prove it is correct using the protocol from Claim 1.1.
- 3. Now, let C be a circuit over  $\mathbb{F}_r$  whose public inputs are  $C_T, g, \lambda_1, \ldots, \lambda_t$ ; and private inputs are  $x_1, \ldots, x_t, y_1, \ldots, y_t$  that checks that
  - (a)  $C_T = \mathsf{Lib}(x_1, \dots, x_t, y_1, \dots, y_t)$
  - (b)  $g = \sum_{i \in T} \lambda_i \cdot (x_i, y_i) = \sum_{i \in T} \lambda_i \cdot g_i$

P will provide a SNARK proof using the curve  $\mathbb{H}$  that C accepts - thus proving that indeed g is the Kate commitment to f.

4. P will now open f(r). Note that if P indeed has the blocks of T stored he can compute the coefficients of f in  $t \cdot n = O(\sqrt{N})$  operations. However, if he is missing any of the coefficients of  $\{f_i\}_{i \in T}$  his success probability is negligible.

One important thing to notice is that in the third step the circuit size is  $O(t \cdot \log q)$ , as we are combining t group elements in it; i.e. there is no dependence on n.

costs and comparissons We estimate the cost of this method in terms of  $\mathbb{G}1$  exponentations vs straightforward Kate, and Merkle inclusion proofs. To account also for field operations, and operations on  $\mathbb{H}$ , We use the following conversion rates, the first two derived from https://github.com/arielgabizon/pairing/blob/benchresults/benchresults.txt

- 1.  $\mathbb{G}_2 \exp = 3.5 \mathbb{G}_1 \exp$
- 2.  $\mathbb{F}_r$  mult = 1/4300  $\mathbb{G}_1$  exp

We estimate the number of constraints for C will be roughly 1000t. A rough estimate seems to be a prover work of 7000t exponentiations for the proof of C (This is counting a  $\mathbb{G}_2$  exponentation as 3.5  $\mathbb{G}_1$  exponentations in the Groth16 scheme. As these are on a curve with twice as many bits, let's counting them as 14000t. plus another  $\sqrt{N}$  for computing the proof for the opening of f.

Thinking of say  $t = 200, N = 200000^2 = 40Mil$ . we get roughly a seven hundred thoundand exponentiations per opening rather than 40 million using straightforward Kate

# 2 A version of Kate with $\sqrt{n}$ opening time and $n^{1.5}$ size CRS

The idea is to just sum up independent Kate commitments, but have a CRS that allows us to verifably "narrow down" to one of the commitments during opening.

- 1. **CRS:** Let  $t := \sqrt{n}$ . Sample uniform  $x_1, \ldots, x_t, \alpha_1, \ldots, \alpha_t \in \mathbb{F}$ . Output for each  $i \in [t], j \in \{0, \ldots, t-1\}$   $\left[x_i^j\right]_1$ , and for each  $i \in [t], j \in \{0, \ldots, t-1\}, \ell \in [t] \setminus \{i\}$  the element  $\alpha_\ell x_i^j$ .
- 2. Commit:  $cm(a_1, ..., a_n)$ : Split the input to t blocks of size t and let  $f_i \in \mathbb{F}_{< t}[X]$  be the polynomial whose values/coefficient are the i'th block. Define

$$f(X_1, \dots, X_t) := \sum_{i \in [t]} f_i(X_i)$$

The commitment to cm(a) is  $f(x_1, \ldots, x_n)$ .

3. open(cm, i, r): Prover computes  $H \in \mathbb{F}_{< t}[X]$  similarly to Kate

$$H(X_i) := (f_i(X_i) - f_i(r))/(X_i - r)$$

and

$$f_{\neq i}(X_1,\ldots,X_n) := \sum_{i'\neq i} f_i(X_i)$$

Sends three proof elements:

$$H = [H(x_i)]_1, W = [f_{\neq i}(x_1, \dots, x_n)]_1, W' = \alpha_i \cdot W$$

4.  $\operatorname{ver}(\operatorname{cm}, H, W, W', i, r, s)$ : The intiution of verification is to do the regular Kate check on the i'th polynomial with  $\operatorname{cm} - W$  as the commitment; and to use a "knowledge check" to verify that  $\operatorname{cm} - W$  is really the commitment to  $f_i$  - by checking W doesn't have any terms in  $X_i$  (and thus  $\operatorname{cm} - W$  contains all  $X_i$  terms from W).

To verify that  $f_i(r) = s$  check that

$$e(W, \alpha_i) = e(W', [1]_2),$$

and similarly to Kate:

$$e(\mathsf{cm} - s, [1]_2) = e(H, [X_i - r]_2) \cdot e(W, [1]_2)$$

# 3 Reducing SRS size to $\sqrt{n}$ by committing in the target group

- 1. **CRS:** Let  $t := \sqrt{n}$ . Sample uniform  $x, \alpha_1, \ldots, \alpha_t \in \mathbb{F}$ . Output for each  $j \in \{0, \ldots, t-1\}$   $[x^j]_1$ , and for each  $i \in [t]$  the elements  $[\alpha_i]_2$ ,  $[\alpha_i \cdot x]_2$ .
- 2. Commit:  $cm(a_1, ..., a_n)$ : Split the input to t blocks of size t and let  $f_i \in \mathbb{F}_{< t}[X]$  be the polynomial whose values/coefficient are the i'th block. Define

$$f(X) := \sum_{i \in [t]} \alpha_i f_i(X)$$

The commitment to cm(a) is

$$[f(x, \alpha_1, \dots, \alpha_t)]_T = \prod_{i \in [t]} e(f_i(x), \alpha_i)$$

3. open(cm, i, r): Prover computes  $h \in \mathbb{F}_{< t}[X]$  similarly to Kate

$$H(X) := (f_i(X) - f_i(r))/(X - r)$$

and

$$f_{\neq i}(X, \alpha_1, \dots, \alpha_t) := \sum_{j \neq i} \alpha_j f_j(X)$$

The prover sends two proof elements:

$$H = [H(x)]_1, W = [f_{\neq i}(x, \alpha_1, \dots, \alpha_t)]_T = \prod_{j \in [t] \setminus \{i\}} e(f_j(x), \alpha_j)$$

4.  $\operatorname{ver}(\operatorname{cm}, H, W, i, r, s)$ : The intiution of verification is to do the regular Kate check on the *i*'th polynomial with  $\operatorname{cm} - W$  as the commitment.

To verify that  $f_i(r) = s$  check that

$$W\cdot e(H,[\alpha_i(x-r)]_2)=\operatorname{cm}\cdot [-s]_T\,.$$

### References