

# Recursive Kate proofs

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## 1 Two layer Libert/Kate

Think of our file as a vector  $V \in \mathbb{F}_q^N$ . We wish to have a “two-layer” version of Kate for proof of retrievability, where the prover’s work is only  $O(\sqrt{N})$ .

**Notation/terminology** Let  $\mathbb{G}$  be the source group of a pairing friendly curve over a field  $\mathbb{F}_r$ ; such that  $|\mathbb{G}| = q$ . Let  $\mathbb{H}$  be a subgroup of a pairing friendly curve of size  $r$ , over a field  $K$ .

Constructing  $\mathbb{G}, \mathbb{H}$  using the zexe curves we have  $\log q = 255, \log r = 377, \log |K| = 768$ .

and  $\mathbb{H}$  be a pairing friendly curve of order  $r$ . We use the notation  $[n] = \{1, \dots, n\}$ . For a vector  $x \in \mathbb{F}_q^n$  and subset  $T \subset [n]$  we denote  $x_T \in \mathbb{F}_q^{|T|}$  to be  $x$  restricted to the indices in  $T$

**Libert Commitments** We will use the Libert vector commitment for vectors in  $\mathbb{F}_q^n$  as a black box. It has the following properties

- A commitment to a vector  $(x_1, \dots, x_n) \in \mathbb{F}_q^n$  is one element of  $\mathbb{G}$ .
- After a preprocessing taking  $O(n^2)$  operations in  $\mathbb{G}$ , for any subset  $S \subset [n]$  of size  $t \leq n$ , and vector of coefficients  $(r_1, \dots, r_t) \in \mathbb{F}_q^t$ ,  $\sum_{i=1}^t r_i \cdot x_{T(i)}$  can be opened with  $t$  group operations and the proof of correct opening is a single element of  $\mathbb{G}$ .

An important observation about Libert commitments, is

**Claim 1.1.** *Let  $C = \text{Lib}(x_1, \dots, x_n)$ , and  $T \subset [n]$  be of size  $t$ . Fix  $C_T \in \mathbb{G}$ . One can construct in  $O(t)$  group operations a proof that  $C_T = \text{Lib}(x_T)$ . The proof consists merely of two  $\mathbb{G}$  elements and one  $\mathbb{F}_q$  element.*

*Proof.* We describe the proof. Assume for simplicity of notation that  $T = \{1, \dots, t\}$ . The verifier (or using hash of  $C_T$ ) chooses random  $r_1, \dots, r_t \in \mathbb{F}_q^t$ . The prover then opens  $C$  with  $(r_1, \dots, r_t, 0, \dots, 0)$  and  $C_T$  with  $(r_1, \dots, r_t)$ . If  $C_T$  is *not* a commitment to  $x_T$  the probability that both open to the same value is  $1/q$  (or at most  $t/q$  if using the derandomized choice  $r_i = r^i$  for the coefficients).  $\square$

### 1.1 The two-layer scheme

The two-layer scheme proceeds as follows.

### Commitment to file:

1. Let  $n = m := \sqrt{N}$ . Split the file into  $n$  blocks of  $m$  elements. And compute for each block a Kate commitment  $g_i := [f_i(s)]_1 \in \mathbb{G}$  where  $f_i \in \mathbb{F}_q[X]$  has degree smaller than  $m$ .
2. Let  $v = (x_1, \dots, x_n, y_1, \dots, y_n)$  be the coordinates of  $g_1, \dots, g_n$ . Compute a libert commitment  $C$  to  $v$ . And output  $C$  as the commitment to the file.

**Remark 1.2.** *For simplicity we comit in Step 2 separately to all  $x, y$  coordinates. It should be enough to commit just to the sign of  $y$  and thus pack many  $y$ 's into a single field element, thus cutting down the length of  $v$*

### Proof of retrievability

1. We have a challenge which is a subset  $T \subset [n]$  of blocks, we think of  $t := |T|$  as constant. For simplicity of notation assume  $T = \{1, \dots, t\}$ . The prover  $P$  now wishes to show he knows the contents of the first  $t$  blocks.
2. Assume the challenge also includes a uniform elements  $\lambda, r \in \mathbb{F}_q$ . Denote for  $i \in [t], \lambda_i := \lambda^i$ .  $P$  sends the element  $g \in \mathbb{G}$ , which is allegedly  $\sum_{i \in T} \lambda^i \cdot g_i$ . Note that this is exactly the Kate commitment to  $f(X) := \sum_{i \in T} \lambda^i \cdot f_i(X)$ .  $P$  will send a commitment  $C_T = \text{Lib}(x_1, \dots, x_t, y_1, \dots, y_t)$  and prove it is correct using the protocol from Claim 1.1.
3. Now, let  $C$  be a circuit over  $\mathbb{F}_r$  whose public inputs are  $C_T, g, \lambda_1, \dots, \lambda_t$ ; and private inputs are  $x_1, \dots, x_t, y_1, \dots, y_t$  that checks that

- (a)  $C_T = \text{Lib}(x_1, \dots, x_t, y_1, \dots, y_t)$
- (b)  $g = \sum_{i \in T} \lambda_i \cdot (x_i, y_i) = \sum_{i \in T} \lambda_i \cdot g_i$

$P$  will provide a SNARK proof using the curve  $\mathbb{H}$  that  $C$  accepts - thus proving that indeed  $g$  is the Kate commitment to  $f$ .

4.  $P$  will now open  $f(r)$ . Note that if  $P$  indeed has the blocks of  $T$  stored he can compute the coefficeints of  $f$  in  $t \cdot n = O(\sqrt{N})$  operations. However, if he is missing any of the coefficients of  $\{f_i\}_{i \in T}$  his success probability is negligible.

One important thing to notice is that in the third step the circuit size is  $O(t \cdot \log q)$ , as we are combining  $t$  group elements in it; i.e. there is no dependence on  $n$ .

**costs and comparissons** We estimate the cost of this method in terms of  $\mathbb{G}_1$  exponentiations vs straightforward Kate, and Merkle inclusion proofs. To account also for field operations, and operatios on  $\mathbb{H}$ , We use the following conversion rates, the first two derived from <https://github.com/arielgabizon/pairing/blob/benchresults/benchresults.txt>

1.  $\mathbb{G}_2 \text{ exp} = 3.5 \mathbb{G}_1 \text{ exp}$
2.  $\mathbb{F}_r \text{ mult} = 1/4300 \mathbb{G}_1 \text{ exp}$

We estimate the number of constraints for  $C$  will be roughly  $1000t$ . A rough estimate seems to be a prover work of  $7000t$  exponentiations for the proof of  $C$  (This is counting a  $\mathbb{G}_2$  exponentiation as 3.5  $\mathbb{G}_1$  exponentiations in the Groth16 scheme. As these are on a curve with twice as many bits, let's counting them as  $14000t$ . plus another  $\sqrt{N}$  for computing the proof for the opening of  $f$ .

Thinking of say  $t = 200$ ,  $N = 200000^2 = 40\text{Mil.}$  we get roughly a seven hundred thousand exponentiations per opening rather than 40 million using straightforward Kate

## 2 A version of Kate with $\sqrt{n}$ opening time and $n^{1.5}$ size CRS

The idea is to just sum up independent Kate commitments, but have a CRS that allows us to verifiably “narrow down” to one of the commitments during opening.

1. **CRS:** Let  $t := \sqrt{n}$ . Sample uniform  $x_1, \dots, x_t, \alpha_1, \dots, \alpha_t \in \mathbb{F}$ . Output for each  $i \in [t], j \in \{0, \dots, t-1\}$   $\left[x_i^j\right]_1$ , and for each  $i \in [t], j \in \{0, \dots, t-1\}, \ell \in [t] \setminus \{i\}$  the element  $\alpha_\ell x_i^j$ .
2. **Commit:**  $\text{cm}(a_1, \dots, a_n)$ : Split the input to  $t$  blocks of size  $t$  and let  $f_i \in \mathbb{F}_{< t}[X]$  be the polynomial whose values/coefficient are the  $i$ 'th block. Define

$$f(X_1, \dots, X_t) := \sum_{i \in [t]} f_i(X_i)$$

The commitment to  $\text{cm}(a)$  is  $f(x_1, \dots, x_n)$ .

3. **open(cm,  $i, r$ ):** Prover computes  $H \in \mathbb{F}_{< t}[X]$  similarly to Kate

$$H(X_i) := (f_i(X_i) - f_i(r)) / (X_i - r)$$

and

$$f_{\neq i}(X_1, \dots, X_n) := \sum_{i' \neq i} f_{i'}(X_{i'})$$

Sends three proof elements:

$$H = [H(x_i)]_1, W = [f_{\neq i}(x_1, \dots, x_n)]_1, W' = \alpha_i \cdot W$$

4. **ver(cm,  $H, W, W', i, r, s$ ):** The intuition of verification is to do the regular Kate check on the  $i$ 'th polynomial with  $\text{cm} - W$  as the commitment; and to use a “knowledge check” to verify that  $\text{cm} - W$  is really the commitment to  $f_i$  - by checking  $W$  doesn't have any terms in  $X_i$  (and thus  $\text{cm} - W$  contains all  $X_i$  terms from  $W$ ).

To verify that  $f_i(r) = s$  check that

$$e(W, \alpha_i) = e(W', [1]_2),$$

and similarly to Kate:

$$e(\text{cm} - s, [1]_2) = e(H, [X_i - r]_2) \cdot e(W, [1]_2)$$

### 3 Reducing SRS size to $\sqrt{n}$ by committing in the target group

The idea is to just sum up independent Kate commitments, but have a CRS that allows us to verifiably “narrow down” to one of the commitments during opening.

1. **CRS:** Let  $t := \sqrt{n}$ . Sample uniform  $x_1, \dots, x_t, \alpha_1, \dots, \alpha_t \in \mathbb{F}$ . Output for each  $j \in \{0, \dots, t-1\}$   $[x^j]_1$ , and for each  $i \in [t]$  the elements  $[\alpha_i]_2, [\alpha_i \cdot x]_2$ .
2. **Commit:**  $\text{cm}(a_1, \dots, a_n)$ : Split the input to  $t$  blocks of size  $t$  and let  $f_i \in \mathbb{F}_{< t}[X]$  be the polynomial whose values/coefficient are the  $i$ 'th block. Define

$$f(X) := \sum_{i \in [t]} \alpha_i f_i(X)$$

The commitment to  $\text{cm}(a)$  is

$$[f(x, \alpha_1, \dots, \alpha_t)]_2 = \prod_{i \in [t]} e(f_i(x), \alpha_i)$$

3. **open**( $\text{cm}, i, r$ ): Prover computes  $H \in \mathbb{F}_{< t}[X]$  similarly to Kate

$$H(X_i) := (f_i(X_i) - f_i(r)) / (X_i - r)$$

and

$$f_{\neq i}(X, \alpha_1, \dots, \alpha_t) := \sum_{j \neq i} \alpha_j f_j(X)$$

Sends three proof elements:

$$H = [H(x)]_1, W = [f_{\neq i}(x, \alpha_1, \dots, \alpha_t)]_t$$

4. **ver**( $\text{cm}, H, W, W', i, r, s$ ): The intuition of verification is to do the regular Kate check on the  $i$ 'th polynomial with  $\text{cm} - W$  as the commitment; and to use a “knowledge check” to verify that  $\text{cm} - W$  is really the commitment to  $f_i$  - by checking  $W$  doesn't have any terms in  $X_i$  (and thus  $\text{cm} - W$  contains all  $X_i$  terms from  $W$ ).

To verify that  $f_i(r) = s$  check that

$$W \cdot e(H, [\alpha_i(x - r)]_2) = \text{cm} \cdot [-s]_t.$$

## References