# φ:\* Cached quotients for fast lookups

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#### Abstract

We present a protocol for checking the values of a committed polynomial  $f(X) \in \mathbb{F}_{< N}[X]$  over a multiplicative subgroup  $\mathbb{H} \subset \mathbb{F}$  of size n are contained in a table  $\mathfrak{t} \in \mathbb{F}^N$ . After an  $O(N \log N)$  preprocessing step, the prover algorithm runs in time  $O(n \log n)$ . Thus, we continue to improve upon the recent breakthrough sequence of results[ZBK+22, PK22, ?, ?] starting from Caulk [ZBK+22], which achieve sublinear complexity in the table size N. The two most recent works in this sequence [?, ?] achieved prover complexity  $O(n \cdot \log^2 n)$ .

Moreover, 4 has the following attractive features

- 1. As in [ZBK<sup>+</sup>22, PK22, ?] our construction relies on homomorphic table commitments, which makes them amenable to vector lookups in the manner described in Section 4 of [GW20].
- 2. As opposed to  $[ZBK^+22, PK22, ?, ?]$  the  $\mathfrak{A}$  verifier doesn't involve pairings with prover defined  $\mathbb{G}_2$  points, which makes recursive aggregation of proofs more convenient.
- 3. The construction can be altered to a version we call  $\mathfrak{cq}^*$  so that we lose the mentioned aggregability, increase preprocessing time to  $O(n \cdot N)$ , and in return reduce prover complexity to a *linear* number of field and group operations!

## 1 Introduction

The lookup problem is fundamental to the efficiency of modern zk-SNARKs. Somewhat informally, it asks for a protocol to prove the values of a committed polynomial  $\phi(X) \in \mathbb{F}_{< n}[X]$  are contained in a table T of size N of predefined legal values. When the table T corresponds to an operation without an efficient low-degree arithmetization in  $\mathbb{F}$ , such a protocol produces significant savings in proof construction time for programs containing the operation. Building on previous work of [BCG<sup>+</sup>18], **plookup** [GW20] was the first to explicitly describe a solution to this problem in the polynomial-IOP context.

<sup>\*</sup>Pronounced "seek you".

**plockup** described a protocol with prover complexity quasilinear in both n and N. This left the intriguing question of whether the dependence on N could be made *sublinear* after performing a preprocessing step for the table T. Caulk [ZBK+22] answered this question in the affirmative by leveraging bi-linear pairings, achieving a run time of  $O(n^2 + n \log N)$ . Caulk+ [PK22] improved this to  $O(n^2)$  getting rid of the dependence on table size completely.

However, the quadratic dependence on n of these works makes them impractical for a circuit with many lookup gates. We resolve this issue by giving a protocol called  $\mathfrak{cq}$  that is quasi-linear in n and has no dependence on N after the preprocessing step.

## 1.1 Comparison of results

Table 1: Scheme comparison. n = witness size, N = Table size, "Aggregatable" = All prover defined pairing arguments in  $\mathbb{G}_1$ 

Scheme	Preprocessing	Proof size	Prover Work	Verifier Work	Homomorphic?	Aggregatable?
Caulk [ZBK+22]	$O(N \log N) \mathbb{F}, \mathbb{G}_1$	$14  \mathbb{G}_1,  1  \mathbb{G}_2,  4  \mathbb{F}$	$3n + m - \ell \mathbb{G}_1 \exp,$ $n \mathbb{G}_2 \exp$	$2 \mathbb{G}_1, 1 \mathbb{G}_2$	1	х
Caulk+ [PK22]	$O(N \log N) \mathbb{F}, \mathbb{G}_1$	$7  \mathbb{G}_1,  1  \mathbb{G}_2,  2  \mathbb{F}$	$18n \mathbb{G}_1 \exp$	4 G <sub>1</sub> , 2 F	1	Х
Flookup [?]	$O(N \log^2 N) \mathbb{F}, \mathbb{G}_1$	6 G <sub>1</sub> , 1 G <sub>2</sub> , 4 F	$273n \mathbb{G}_1 \exp$	20 G <sub>1</sub> , 16 F	X	Х
baloo [?]	$O(N \log N) \mathbb{F}, \mathbb{G}_1$	$12  \mathbb{G}_1,  1  \mathbb{G}_2,  4  \mathbb{F}$	$8n \mathbb{G}_1 \exp$	6 G <sub>1</sub> , 4 F	1	Х
cq	$O(N \log N) \mathbb{F}, \mathbb{G}_1$	$3n + 3a \mathbb{G}_1, 1 \mathbb{G}_2$	$ \begin{array}{l} 11n + 11a \ \mathbb{G}_1 \ \exp \ , \\ \approx 54(n+a)\log(n+a) \ \mathbb{F} \ \text{mul} \end{array} $	$7 \mathbb{G}_1, 6 \mathbb{F}$	1	1
cq*	$O(N \cdot n) \mathbb{F}, \mathbb{G}_1$	$n+a \mathbb{G}_1, 1 \mathbb{G}_2$	$\begin{array}{l} 9n + 9a \ \mathbb{G}_1 \ \exp \ , \\ \approx 54(n+a)\log(n+a) \ \mathbb{F} \ \mathrm{mul} \end{array}$	$9 \mathbb{G}_1, 6 \mathbb{F}$	<b>✓</b>	х

Table with relative proof size, prover ops, verifier ops proof-size caulk caulk+ flookup baloo 12  $\mathbb{G}_1$ , 1  $\mathbb{G}_2$ , 4  $\mathbb{F}$  this work 6 G1, 1 G2

### 1.2 Technical Overview

The innovation of Caulk While [ZBK+22, PK22, ?, ?] use preprocessing and pairings to extract a subtable of witness size;

Our approach here we use preprocessing and pairings more directly to run an existing lookup protocol - mylookup, in time independent from table size -logarithmic derivative method Let's review this protocol: It relies on the following lemma from [?] that says that  $f|_{\mathbb{H}} \in \mathfrak{t}$  if and only if for some  $m \in \mathbb{F}^N$ 

$$\sum_{i \in [N]} \frac{m_i}{X + t_i} = \sum_{i \in [n]} \frac{1}{X + f_i}$$

Roughly, the protocol of [?] checks this identity on a random  $\beta$ , by sending polynomials A and B that agree on  $\mathbb{V}$  with the rational function values of the LHS and RHS respectively. Given commitments to A, B we can check the equality holds via various sumcheck techniques, e.g. that descirbed in [BCR<sup>+</sup>19]. The RHS is not a problem because it is a

sum of size n. Interpolating A, and computing its commitment is actually not a problem either, because the number of non-zero values is at most n. So if we precompute the commitments to the Lagrange base of  $\mathbb V$  we're fine.

The main challenge, and innovation, is to convince the verifier V that A is correctly formed.

This protocol is amenable, because polynomials involved have sparsity depending on witness - For large table problem is computing A that agrees with  $m/(\mathfrak{t}+\beta)$  on  $\mathbb{V}$ 

- Need way to compute A

## 2 Preliminaries

#### 2.1 Notation:

H- small space V- big space Lagrange bases for big and small space AGM - real and ideal pairing checks, agm - real and ideal pairing KZG

## 2.2 log derivative method

Lemma from mylookup

**Lemma 2.1.** Given  $f \in \mathbb{F}^n$ , and  $t \in \mathbb{F}^N$ , we have  $f \subset t$  as sets if and only if for some  $m \in \mathbb{F}^N$  the following identity of rational functions holds

$$\sum_{i \in [n]} \frac{1}{X + f_i} = \sum_{i \in [N]} \frac{m_i}{X + t_i}.$$

## 3 Cached quotients

Notation: In this section and the next we use the following conventions.  $\mathbb{V} \subset \mathbb{F}$  denotes a mutliplicative subgroup of order N which is a power of two. We denote by  $\mathbf{g}$  a generator of  $\mathbb{V}$ . Hence,  $\mathbb{V} = \{\mathbf{g}, \mathbf{g}^2, \dots, \mathbf{g}^N = 1\}$ . Given  $P \in \mathbb{F}[X]$  and integer  $i \in [N]$ , we denote  $P_i := P(\mathbf{g}^i)$ . For  $i \in [N]$ , we denote by  $L_i \in \mathbb{F}_{< N}[X]$  the i'th Lagrange polynomial of  $\mathbb{V}$ . Thus,  $(L_i)_i = 1$  and  $(L_i)_j = 0$  for  $i \neq j \in [N]$ .

For a polynomial  $A(X) \in \mathbb{F}_{< N}[X]$ , we say it is *n*-sparse if  $A_i \neq 0$  for at most n values  $i \in [N]$ . The sparse representation of such A consists of the (at most) n pairs  $(i, A_i)$  such that  $A_i \neq 0$ . We denote  $\operatorname{supp}(A) := \{i \in [N] | A_i \neq 0\}$ .

The main result of this section is a method to compute a commitment to a quotient polynomial - derived from a product with a preprocessed polynomial; in a number of operations depending only on the sparsity of the other polynomial in the product.

The result crucially relies on the following lemma derived from a result of Feist and Khovratovich[FK].

**Lemma 3.1.** Fix  $T \in \mathbb{F}_{< N}[X]$ , and a subgroup  $\mathbb{V} \subset \mathbb{F}$  of size N. There is an algorithm that given the  $\mathbb{G}_1$  elements  $\{[x^i]_1\}_{i\in\{0,\dots,N\}}$  computes for  $i\in[N]$ , the elements  $q_i:=[Q_i(x)]_1$  where  $Q_i(X)\in\mathbb{F}[X]$  is such that

$$L_i(X) \cdot T(X) = T_i \cdot L_i(X) + Z_{\mathbb{V}}(X) \cdot Q_i(X)$$

in  $O(N \cdot \log N)$   $\mathbb{G}_1$  operations.

Proof. Recall the definition of the Lagrange polynomial

$$L_i(X) = \frac{Z_{\mathbb{V}}(X)}{Z'_{\mathbb{V}}(\mathbf{g}^i)(X - \mathbf{g}^i)}.$$

Substituting this definition, we can write the quotient  $Q_i(X)$  as

$$Q_i(X) = \frac{T(X) - T_i}{Z'_{\mathbb{V}}(\mathbf{g}^i)(X - \mathbf{g}^i)} = Z'_{\mathbb{V}}(\omega^i)^{-1}K_i(X),$$

for  $K_i(X) := \frac{T(X) - T_i}{X - \mathbf{g}^i}$ . Note that the values  $\{[K_i(X)]_1\}_{i \in [N]}$  are exactly the KZG opening proofs of T(X) at the elements of  $\mathbb{V}$ . Thus, the algorithm of Feist and Khovratovich [FK, Tom] can be used to compute commitments to all the proofs  $[K_i(X)]_1$  in  $O(N \log N)$   $\mathbb{G}_1$ -operations. This works by writing the vector of  $[K_i(X)]_1$  as a the product of a matrix with the vector of  $[X^i]_1$ . This matrix is a DFT matrix times a Toeplitz matrix, both of which have algorithms for evaluating matrix vector products in  $O(N \log N)$  operations. Thus, all the KZG proofs can be computed in  $O(N \log N)$  field operations and operations in  $\mathbb{G}_1$ .

Finally, the algorithm just needs to scale each  $[K_i(X)]_1$  by  $Z'_{\mathbb{V}}(\omega^i)$  to compute  $[Q_i(X)]_1$ . Conveniently, these values admit a very simple description when  $Z_{\mathbb{V}}(X) = X^N - 1$  is a group of roots of unity.

$$Z'_{\mathbb{V}}(X)^{-1} = (NX^{N-1})^{-1} \equiv X/N \mod Z_{\mathbb{V}}(X)$$

In total, the prover computes the coefficients of T(X) in  $O(N \log N)$  field operations, computes the KZG proofs for  $T(\omega^i) = t_i$  in  $O(N \log N)$  group operations, and then scales these proofs by  $\omega^i/n$  in O(N) group operations. In total, this takes  $O(N \log N)$  field and group operations in  $\mathbb{G}_1$ .

We're now ready to state the main theorem of this section.

**Theorem 3.2.** Fix integer parameters  $0 \le n \le N$  such that n, N are powers of two. Fix  $T \in \mathbb{F}_{< N}[X]$ , and a subgroup  $\mathbb{V} \subset \mathbb{F}$  of size N. Let  $\operatorname{srs} = \left\{ \begin{bmatrix} x^i \end{bmatrix}_1 \right\}_{i \in [0, \dots, N]}$  for some  $x \in \mathbb{F}$ . There is an algorithm  $\mathscr{A}$  that after a preprocessing step of  $O(N \log N)$   $\mathbb{F}$ - and  $\mathbb{G}_1$ -operations starting with  $\operatorname{srs}$  does the following.

Given input  $A(X) \in \mathbb{F}_{< N}[X]$  that is n-sparse and given in sparse representation,  $\mathscr{A}$  computes in O(n)  $\mathbb{F}$ -operations and n  $\mathbb{G}_1$ -operations the element  $\mathsf{cm} = [Q(x)]_1$  where  $Q \in \mathbb{F}_{< N}[X]$  is such that

$$A(X) \cdot T(X) = Q(X) \cdot Z_{\mathbb{V}}(X) + R(X),$$

for  $R(X) \in \mathbb{F}_{< N}[X]$ .

*Proof.* The preprocessing step consists of computing the quotient commitments  $[Q_i(X)]_1$  in  $O(N \log N)$  operations, as described in Lemma 3.1. As stated in the lemma, for each  $i \in [N]$  we have

$$L_i(X) \cdot T(X) = T_i \cdot L_i(X) + Z_{\mathbb{V}}(X) \cdot Q_i(X).$$

By assumption, the polynomial A(X) can be written as a linear combination of at most n summands in the Lagrange basis of  $\mathbb{V}$ .

$$A(X) = \sum_{i \in \text{supp}(A)} A_i \cdot L_i(X)$$

Substituting this into the product with T(X), and substituting each of the products  $L_i(X)T(X)$  with the appropriate cached quotient  $Q_i(X)$  we find

$$A(X)T(X) = \sum_{i \in \text{supp}(A)} A_i \cdot L_i(X)T(X) = \sum_{i \in \text{supp}(A)} A_i \cdot T_i L_i(X) + A_i \cdot Z_{\mathbb{V}}(X)Q_i(X)$$
$$= \sum_{i \in \text{supp}(A)} A_i \cdot T_i L_i(X) + Z_{\mathbb{V}}(X) \cdot \sum_{i \in \text{supp}(A)} A_i \cdot Q_i(X).$$

Observing that the terms of the first sum are all of degree smaller than N, we get that

$$Q(X) = \sum_{i \in \text{supp}(A)} A_i \cdot Q_i(X)$$
$$R(X) = \sum_{i \in \text{supp}(A)} A_i T_i \cdot L_i(X)$$

Hence, commitments to both the quotient Q(X) and remainder R(X) can be computed in at most n group operations as

$$[Q(X)]_1 = \sum_{i \in \text{supp}(A)} A_i \cdot [Q_i(X)]_1$$
$$[R(X)]_1 = \sum_{i \in \text{supp}(A)} A_i T_i \cdot [L_i(X)]_1$$

## 4 Main protocol

**Definition 4.1.**  $\mathcal{R}$  is all pairs  $(\mathsf{cm}, f)$  such that  $\mathsf{cm}$  is a commitment to f and  $f|_{\mathbb{H}} \subset T$ . ...bla problem is relation is defined only after srs is chosen

#### 4.1 Definitions

Ad-hoc dfn of ks protocol for table lookup Relations dependent on srs. Tuple  $gen, IsInTable_{\mathbb{H}}$ 

- $gen(\mathfrak{t}, N) \to srs$
- IsInTable<sub>H</sub> a protocol between **P** and **V** where **P** has input  $f \in \mathbb{F}_{< n}[X]$ , **V** has  $[f(x)]_1$ . Both have  $\mathfrak{t}$  and srs. such that
  - Completeness:If  $f|_{\mathbb{H}} \subset \mathfrak{t}$  then **V** outputs acc with probability one.
  - Knowledge soundness in the algebraic group model: For any  $\mathfrak{t} \in \mathbb{F}^n$ , the probability of any algebraic  $\mathcal{A}$  to win the following game is  $\mathsf{negl}(\lambda)$ 
    - 1. Let  $srs = gen(\mathfrak{t}, N)$ .
    - 2.  $\mathcal{A}$  sends a message cm and values  $f_1, \ldots, f_n$  such that cm =  $\sum_{i \in [n]} f_i \cdot [L_i(x)]_1$ .
    - 3.  $\mathcal{A}$  and  $\mathbf{V}$  engage in the protocol  $\mathsf{lsInTable}_{\mathbb{H}}(\mathfrak{t},\mathsf{cm})$  with  $\mathcal{A}$  taking the role of  $\mathbf{P}$ .
    - 4.  $\mathcal{A}$  wins if
      - \* V outputs acc
      - \*  $f|_{\mathbb{H}} \not\subset \mathfrak{t}$ .

Main protocol: Preprocessed inputs:  $[Z_{\mathbb{V}}(x)]_2$ ,  $[T(x)]_2$  Input  $(\mathsf{cm}, f)$ .

## Round 1:Committing to the multiplicites vector

- 1. **P** computes poly  $m \in \mathbb{F}_{\leq N}[X]$  such that  $m_i = \text{number of times } \mathfrak{t}_i$  appears in  $f|_{\mathbb{H}}$
- 2. **P** sends  $m := [m(x)]_1$ .

# Round 2:Interpolating the rational identity at a random $\beta$ ; checking the identity for A using pairings

- 1. V chooses and sends random  $\beta \in \mathbb{F}$ .
- 2. **P** computes  $A \in \mathbb{F}_{\langle N}[X]$  such that for  $i \in [N]$ ,  $A_i = m_i/(\mathfrak{t}_i + \beta)$ .
- 3. **P** sends  $a := [A(x)]_1$ .
- 4. **P** computes  $q_a := [Q_A(x)]_2$  where  $Q_A \in \mathbb{F}_{< N}[X]$  is such that

$$A(X)(T(X) + \beta) - m(X) = Q_A(X) \cdot Z_{\mathbb{V}}(X)$$

5. **P** computes  $B \in \mathbb{F}_{< n}[X]$  such that for  $i \in [n]$ ,  $B_i = 1/(f_i + \beta)$ .

- 6. **P** sends  $q_b := [B(x)]_1$ .
- 7. **P** computes  $Q_B(X)$  such that

$$B(X)(f(x) + \beta) - 1 = Q_B(X) \cdot Z_{\mathbb{H}}(X)$$

- 8. **P** computes and sends the value  $a_0 := A(0)$ .
- 9. **V** sets  $b_0 := (N \cdot a_0)/n$ .
- 10. **P** computes and sends  $p = [P(x)]_1$  where

$$P(X) := A(X) \cdot X^{d-N}$$

11. V checks that A encodes the correct values:

$$e(\mathbf{a}, [T(x)]_2 + [\beta]_2) = e(\mathbf{q}_{\mathbf{a}}, [Z_{\mathbb{V}}(x)]_2) \cdot e(\mathbf{m}, [1]_2)$$

12. V checks that A has the appropriate degree:

$$e(\mathbf{a}, \left[ x^{d-N} \right]_2) = e(\mathbf{p}, [1]_2).$$

## Round 3: Checking the identities for B at random $\gamma \in \mathbb{F}$

- 1. **V** sends random  $\gamma, \eta, \zeta \in \mathbb{F}$ .
- 2. **P** sends  $b_{\gamma} := B(\gamma), Q_{b,\gamma} := Q_B(\gamma), f_{\gamma} := f(\gamma).$
- 3. As part of checking the correctness of  $q_b$ , V computes  $Z_{\mathbb{H}}(\gamma) = \gamma^n 1$  and computes

$$Q_{b,\gamma} := \frac{b_{\gamma} \cdot (f_{\gamma} + \beta) - 1}{Z_{\mathbb{H}}(\gamma)}$$

4. As part of checking P is correct,  $\mathbf{V}$  computes

$$P_{\gamma} := b_{\gamma} \cdot \gamma^{d-n}$$

- 5. To perform a batched KZG check for the correctness of the values  $a_{\gamma}, b_{\gamma}, f_{\gamma}, P_{\gamma}$ 
  - (a) **V** sends random  $\eta \in \mathbb{F}$ . **P** and **V** separately compute

$$v := b_{\gamma} + \eta \cdot f_{\gamma} + \eta^2 \cdot Q_{b,\gamma} + \eta^3 \cdot P_{\gamma}$$

(b) **P** computes  $\pi_{\gamma} := [h(x)]_1$  for

$$h(X) := \frac{B(X) + \eta \cdot f(X) + \eta^2 \cdot Q_B(X) + \eta^3 \cdot P(X) - v}{X - \gamma}$$

(c) V computes

$$c := b + \eta \cdot f + \eta^2 \cdot q_b + \eta^3 \cdot p$$

and checks that

$$e(c - [v]_1 + \gamma \cdot \pi_{\gamma}, [1]_2) = e(\pi_{\gamma}, [x]_2)$$

- 6. To perform a batched KZG check for the correctness of the values  $a_0, b_0$ 
  - (a) **P** and **V** separately compute

$$u := a_0 + \zeta \cdot b_0$$
.

(b) **P** computes and sends  $\pi_0 := [h_0(x)]_1$  for

$$h_0(X) := \frac{A(X) + \zeta \cdot B(X)}{X}$$

(c) V computes

$$c_0 := a + \zeta b$$

and checks that

$$e(\mathbf{c}_0 - [u]_1, [1]_2) = e(\pi_0, [x]_2)$$

Stats: verifier pairings:4 - pair a with random combination of T and  $[x^{d-N}]_2$ , pair  $q_a$  with  $Z_{\mathbb{V}}$ .

**Lemma 4.2.** The element  $q_A$  in Step 4 can be computed in  $n \log n$   $\mathbb{G}_2$ -operations and  $O(n \log n)$   $\mathbb{F}$ -operations

**Lemma 4.3.** The elements  $\pi_0, \pi_\gamma$  can be computed in  $2 \cdot n \log n$   $\mathbb{G}_1$ -operations and  $O(n \log n)$   $\mathbb{F}$ -operations

Knowledge soundness proof: Look at the following events

## References

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