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software engineering dependability

Software Quality Assurance Overview

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Construction Methods



large 00 funct. decomp. **Semi-formal** Automaton based formal Pseudo-Code Text Predicate calculus informal Algebraic small simple complex universal specific clear/definite interpretable

Construction Methods: Prognosis



- Text will be eclipsed increasingly
- Object-oriented development methods (OOA, OOD, OOP) will establish increasingly due to their excellent properties with regard to the mastery of large software systems
 - The standard for OOA and OOD is UML presently
 - The standards in programming are C++ and Java
- In some applications functional decomposion techniques (e.g. SA) will be preserved
- Formal techniques will remain confined to specific application areas

Situation Analysis of Software Development in Practice

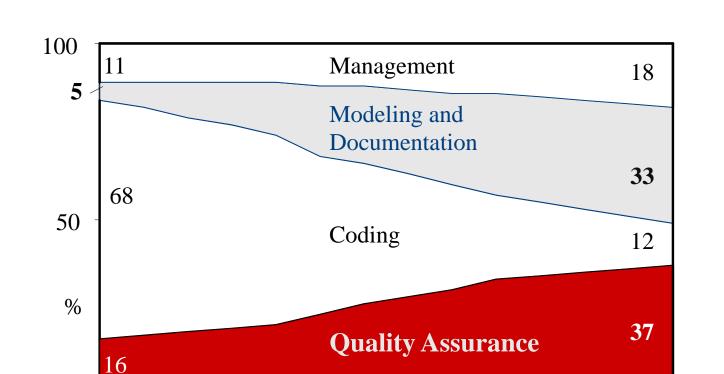


- Question: Who ensures that the construction steps are perfectly done?
- Answer: Nobody!
- Consequence: The software development is not completed with the implementation of the code. Often extensive tests are necessary.
- Typical approach:
 - Ensure that the development processes are suitable → quality management
 - assurance (can also be done more or less formally)

Situation Analysis of Software Development in Practice: Increasing Quality Requirements

- For 50% of the failures in the industrial sector software faults are responsible
- According to Cusumano the located defects have developed in 1000 lines of source code as follows:
 - 1977: on average 7- 20 defects
 - 1994: on average 0,2 0,05 defects
 - In 17 years the defect rate could be lowered about 100 fold
- Increasing burdens
 - Application software is often used 20 years or longer
 - As the application environment of this application software changes permanently this software also has to be adapted constantly. These permanent adaptation processes often cause two-thirds of all software costs.

Situation Analysis of Software Development in Practice



According to data from: Jones C., Applied software measurement, New York: McGraw-Hill 1991

Development Costs (MM)

149 405 1099 2994 8192 30118

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Situation Analysis of Software Development in Practice



Design methods:

- Still widespread use of informal methods (text)
- High interest in semi-formal methods (in particular UML)
- Minor use of formal methods
- Quality management:
 - Trend towards the certification of quality management processes (ISO 9001)
 - Stage of capability maturity model-based assessment methods (e.g. SPICE)
- Quality assurance methods:
 - Informal methods are frequently applied (testing, review techniques)
 - Formal methods (proofs) often fail concerning the complexity of the software and the properties of modern programming languages
 - Stochastic methods are not widespread, but are increasingly required in critical application areas in particular

Categories of Quality Assurance Methods



- Informal Methods:
 - Methods based on plausibility which produce incomplete results
 - Testing
 - Inspection and review
- Stochastic Methods:
 - Methods which produce statistically reliable, quantified results
 - Stochastic reliability analysis
- Formal Methods:
 - Methods which produce formally complete results on the basis of formal specifications
 - Formal verification techniques (Proofs)

Quality Assurance Methods



large

Systematic Dynamic Test

informal

Inspection

Stochastic Reliability Analysis

stochastic

Symbolic Model Checking

formal

Proof of Correctness

small

simple universal incomplete complex specific complete

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Quality Assurance Methods: Prognosis



- Systematic informal methods are widely used and are obligatory for many application areas where they are required by appropriate standards
 - Function-oriented test planning
 - Tool supported structural testing
- Test support is essential (e.g. regression tests)
- Static analyses are additionally used
 - Inspections in early phases
 - Tool supported analyses of code in addition to the analyses performed by the compiler (in particular concerning the languages C / C++ / Java)

Situation Analysis: General Consequences



Mature Processes ...

- ... are necessary, but barely offer a differentiation of competitors
- ... operate confidence-building, but provide no further statements

Design methods:

- Informal methods are simple and universal, but often insufficient
- Semi-formal methods allow the description of extensive software, but not the description of critical properties of technical software (e.g. safety).
- Formal methods are powerful, but are often too specific

Quality assurance methods:

- Informal methods are indispensable, but produce no sufficient completeness (testing, inspection methods)
- Formal methods (proofs) provide to some degree complete results, but often fail due to preconditions, that are not fulfilled
- Stochastic methods generate well-defined, reliable results, but require mathematical knowledge which is often not given in practice respectively tools which are not available on the market

Consequences



- Software quality has to be assured:
 - Evaluation, validation and improvement of development processes
 - Accompanying quality assurance during the early development phases
 - Testing of the implemented software (the code)
- The software is large → several test phases are required
- Highly different demands on software (experimental prototype up to engine control of a commercial aircraft) → need of different methods between "trial" and "proof"
- It is not possible to guarantee, that code is fault-free → it is required to determine the residual risks → quantitative analysis methods

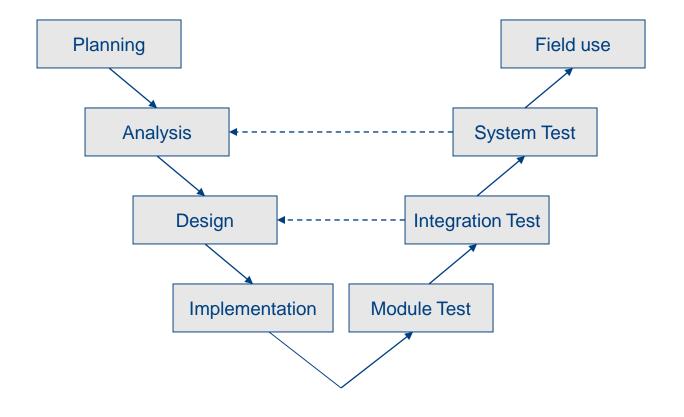
Test Phases



- The precondition for testing large software systems is their modular structure. Monolithically realized large systems cannot be tested.
- Module/Unit test
 - Testing of the modules
 - Testing the correct function of a module w.r.t. the module specification.
- Integration test
 - Testing of the interaction of the modules
 - Incremental assembly of the modules building the integrated system. Testing of their correct interaction.
- System-/Acceptance test
 - Testing of the functionality and efficiency of a software with regard to the requirements determined in the definition phase.
- Benefit of testing in different phases is the reduction of the respective complexity to a reasonable level.

Test Phases





Classification of the Quality Assurance Methods



- The analytic quality assurance techniques are
 - dynamic or
 - static.
- They aim at either
 - the proof of the correctness,
 - the detection of faults or
 - the determination of particular module properties.
- Analytical quality assurance can be divided into
 - Formal verification,
 - Symbolic testing,
 - Dynamic Testing, and
 - Static analysis.
- Sub-categories are necessary.

Test Methods Dynamic Test



- Properties of dynamic testing:
 - The executable program is provided with concrete input values and is executed
 - Program may be tested in the real environment
 - Never complete (it is not possible to test all possible inputs)
 - Correctness of the tested program cannot be proven.
- Characteristics of the application of dynamic test methods in practice:
 - widely-used.
 - Often unsystematically applied.
 - Tests often not reproducible.
 - Diffuse activity (management difficulties).

Test Methods Static Analysis



• Properties:

- No program execution is required.
- No input values are selected.
- The static analysis concentrates on particular partial aspects.
- It is no proof of correctness.
- Some static analyses can detect faults directly.

• Sub-categories:

- Measurement (Metrics)
- Generation of diagrams and tables
- Data flow anomaly analysis
- Testing of programming conventions
- Inspection and review techniques

Test Methods Formal Verification



Properties:

- Formal verification uses mathematical techniques to prove the consistency between specification and implementation.
- A formal specification is necessary.
- Verification may be almost completely automated (exception: e.g. finding loop invariants).
- Requires preconditions which are often not fulfilled in practice.