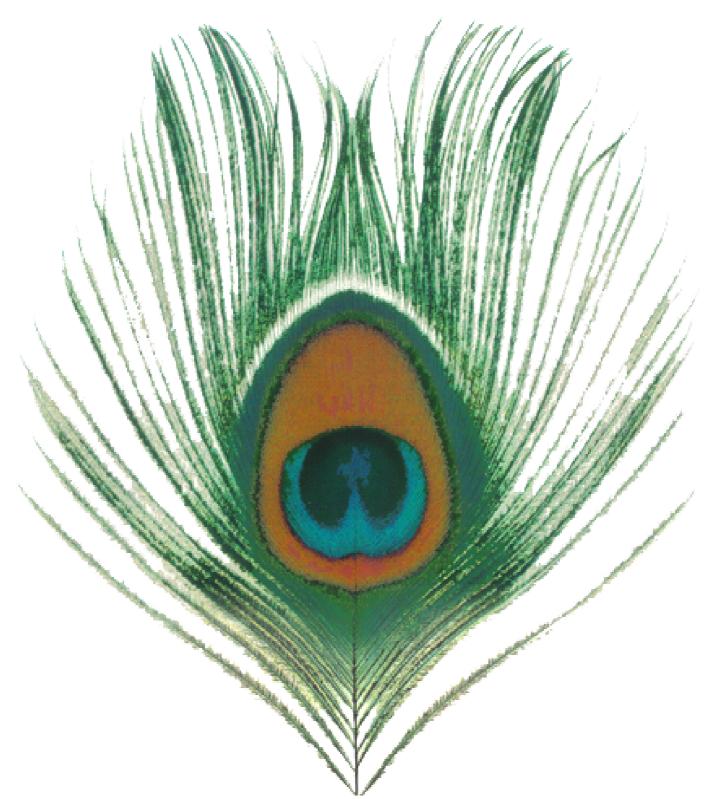
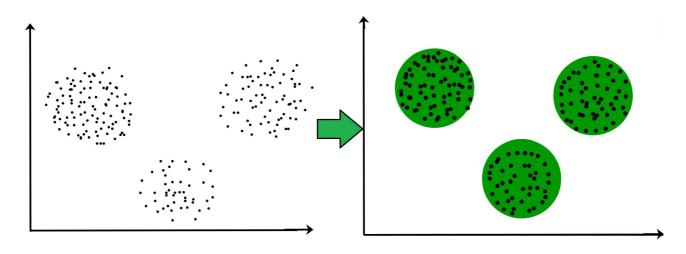
## HIERARCHICAL CLUSTERING

**ARIHARASUDHAN** 



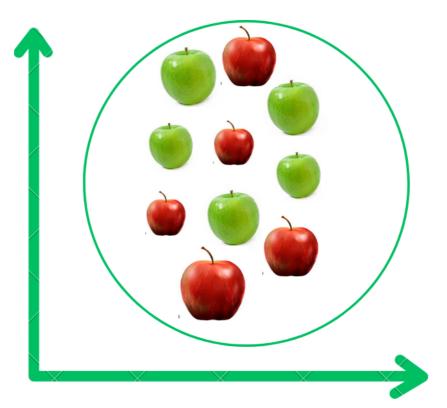
#### THE CLUSTERING

Ah... The World knows what it is.. Clustering is a well-known unsupervised algorithm that groups data points, which can be images or any type of data, into clusters. We have also encountered the Gaussian Mixture Model (GMM), which is a softclustering algorithm. GMM assigns probabilities to data points, indicating to which component or cluster they belong. GMM makes an assumption that the data distribution is a mixture of Gaussian distributions. Besides, K-Means clustering is widely known! All these algorithms somehow group the data into clusters as shown below.

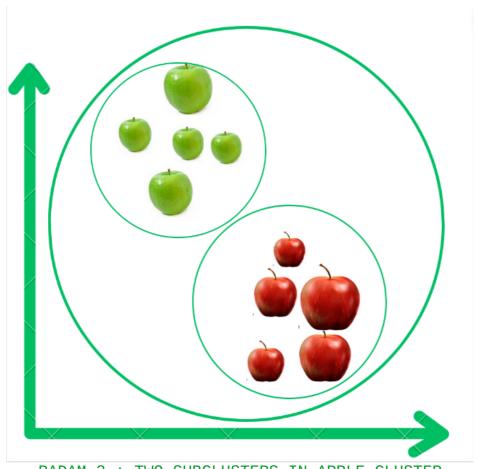


#### THE HIERARCHICAL CLUSTERING

Now, what if we are tasked with further clustering the already formed clusters? That's where hierarchical clustering comes in! For instance, if we've already clustered images into two groups representing bears and apples, The Apple Cluster might contain subclusters of green apples and red apples, similar to The Bear Cluster, which can contain subclusters of grizzly and panda bears. We now need to subcluster the initial clusters, creating subclusters for the Apple Cluster and The Bear Cluster. The Apple Cluster should be further divided into two subclusters, and the same goes for The Bear Cluster. How can we achieve this? Will it be efficient? Let's discuss in the further pa(ss)ages. These following two padams show what we refer to.



PADAM 1 : THE APPLE CLUSTER



PADAM 2 : TWO SUBCLUSTERS IN APPLE CLUSTER

#### **CLUSTERING METHODS**

Clustering clusters into subclusters is a hierarchical clustering problem, which is a more advanced technique than traditional clustering. In case, we have clusters like "apples," and we want to further divide them into subclusters like "green apples" and "red apples." To achieve this, we can use techniques like agglomerative hierarchical clustering or divisive hierarchical clustering. These are some commonly used techniques to perform hierarchical clustering. Ensure that we have data representing our initial clusters. Then, identify the features that will be used for subclustering. In our case, features could be color, size and other characteristics of the apples.

As we have disussed, two common methods are agglomerative and divisive clustering.

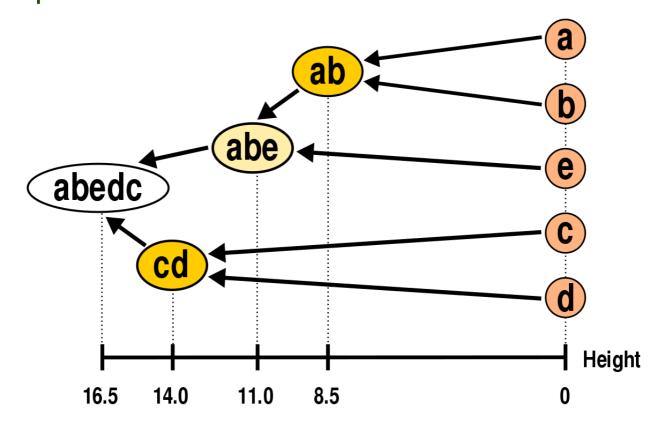
#### AGGLOMERATIVE CLUSTERING

- ☆ Iteratively merge clusters that
  are most similar based on our
  chosen similarity metric (e.g.,
  Euclidean distance, cosine
  similarity).
- ☆ Continue merging clusters until we have the desired subclusters.

#### **DIVISIVE CLUSTERING**

- ☆ Start with all data points as one cluster.
- ☆ Continue splitting clusters until we have the desired subclusters.

We may need to specify the number of subclusters we want or use a stopping criterion for hierarchical clustering. Common approaches include cutting the hierarchical tree (dendrogram) at a certain height or using a specific number of clusters.



Use a dendrogram to visualize the hierarchical structure and subclusters. This will help you identify where to cut the tree to obtain our subclusters.

Finally, based on the cut we make in the dendrogram, assign each data point (apple) to a subcluster. Evaluate the quality of your subclusters using appropriate metrics and adjust the clustering parameters if necessary.

#### LET'S DO IT

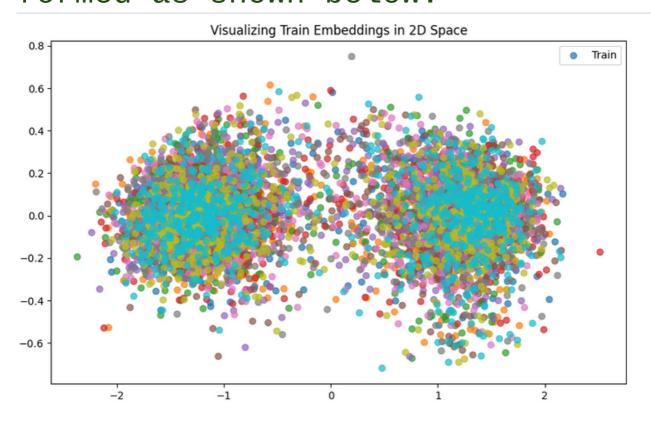
In order to understand it deeply, let me introduce one use-case. We have a datafolder consisting of the subfolders of ODD and EVEN. The ODD folder have images of MNIST ODD Digits like 1,3,5,7 and The EVEN folder have images of MNIST EVEN Digits like 2,4,6,8 as shown below.

- ▼ □ ODDEVEN\_DIGITS
  - EVEN
  - D ODD

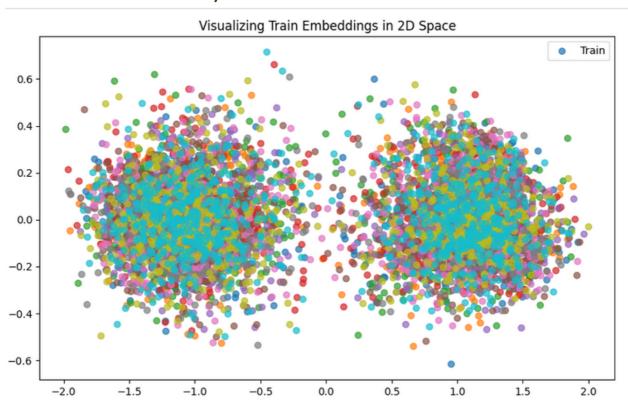
Now, we need to form two clusters. One should be the ODD Cluster and another one should be the EVEN Cluster. Let's use a triplet loss training to efficiently make two clusters. I use the following simple CNN Model to extract image embeddings.

```
class EmbeddingNet(nn.Module):
    def __init__(self):
        super(EmbeddingNet, self).__init__()
        self.convnet = nn.Sequential(
     nn.Conv2d(1, 32, 5), nn.PreLU(), nn.MaxPool2d(2, stride=2),
     nn.Conv2d(32, 64, 5), nn.PReLU(), nn.MaxPool2d(2, stride=2))
        self.fc = nn.Sequential(
            nn.Linear(64 * 53 * 53, 256),
            nn.PReLU(),
            nn.Linear(256, 256),
            nn.PReLU(),
            nn.Linear(256, 2))
    def forward(self, x):
        output = self.convnet(x)
        output = output.view(output.size()[0], -1)
        output = self.fc(output)
        return output
    def get_embedding(self, x):
        return self.forward(x)
```

### On 10<sup>th</sup> epoch, the clusters were formed as shown below.



On 15<sup>th</sup> epoch, the clusters were formed like,



now we have two clusters So, representing The ODD and EVEN classes. We want to perform subclustering... The Cluster ODD itself has the embeddings images in the subfolders 1,3,5 & Cluster 7. Similarly, The EVEN has the embeddings of images the subfolders 2,4,6 & 8. Now, we have to perform a hierarchical clustering that clusters the formed clusters into n In our case, both ODD and clusters have images of 4 classes such as 1,3,5 & 7 and 2,4,6 respectively. So, we need subcluster the formed clusters into 8 sub-clusters.

```
import numpy as np
from sklearn.cluster import AgglomerativeClustering
import matplotlib.pyplot as plt

train_embs = train_embs.cpu()
odd_cluster_indices = [i for i, label in enumerate(train_labels) if label[0] == "ODD"]
even_cluster_indices = [i for i, label in enumerate(train_labels) if label[0] == "EVEN"]

# Create a function to visualize subclusters
def visualize_subclusters(data, sublabels, title):
    unique_labels = np.unique(sublabels)
    plt.figure(figsize=(8, 6))
    for label in unique_labels:
        plt.scatter(data[sublabels == label][:, 0], data[sublabels == label][:, 1], label=f'Subcluster {label}')
    plt.title(title)
    plt.legend()
    plt.show()
```

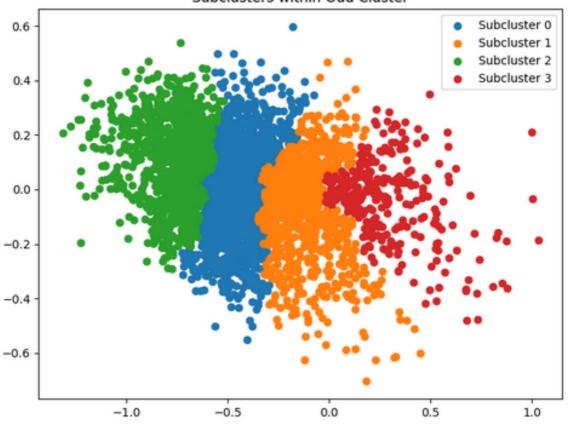
In the above-provided code, two odd\_cluster\_indices lists, and even\_cluster\_indices, are generated based the on train\_labels array. Data points divided into these clusters by checking the initial characters of their labels. points with labels starting placed in are the odd cluster indices list, "EVEN" those starting with are placed in the even\_cluster\_indices list. This separation serves as the subsequent clustering analysis of data points belonging to "ODD" and "EVEN" clusters. Next step is the important one.

```
# Check if there are data points in the clusters
if len(odd_cluster_indices) > 0:
    odd_cluster_data = train_embs[odd_cluster_indices].cpu()
    n_subclusters_odd = 4  # Number of subclusters for Odd cluster
    agglomerative_odd = AgglomerativeClustering(n_clusters=n_subclusters_odd, metric='euclidean', linkage='ward')
    odd_cluster_sublabels = agglomerative_odd.fit_predict(odd_cluster_data)
    visualize_subclusters(odd_cluster_data, odd_cluster_sublabels, "Subclusters within Odd Cluster")

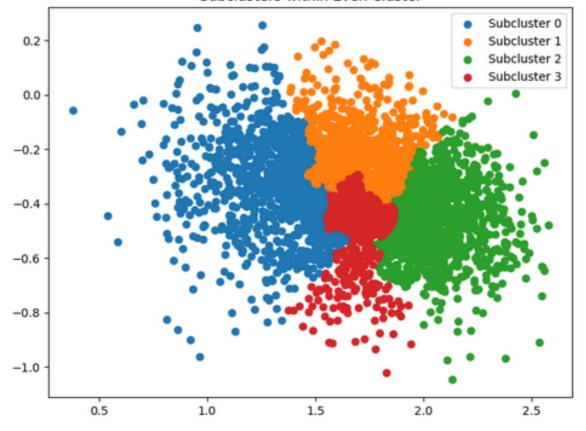
if len(even_cluster_indices) > 0:|
    even_cluster_data = train_embs[even_cluster_indices].cpu()
    n_subclusters_even = 4  # Number of subclusters for Even cluster
    agglomerative_even = AgglomerativeClustering(n_clusters=n_subclusters_even, metric='euclidean', linkage='ward')
    even_cluster_sublabels = agglomerative_even.fit_predict(even_cluster_data)
    visualize_subclusters(even_cluster_data, even_cluster_sublabels, "Subclusters within Even Cluster")
```

In this section of the code, the script checks if there are any data points in the "ODD" and "EVEN" clusters by verifying the lengths of the odd\_cluster\_indices and even\_cluster\_indices lists. If data points exist in either cluster, further analysis is conducted. For the "ODD" cluster, an Agglomerative Clustering algorithm is applied with the specified number of subclusters (n\_subclusters\_odd=4) and using the Euclidean distance metric with the Ward linkage method. This creates subcluster assignments within the ODD cluster, which are then visualized using the visualize\_subclusters function. The same process is repeated for the EVEN cluster. This code allows for hierarchical clustering within the "ODD" and "EVEN" clusters.

#### Subclusters within Odd Cluster



#### Subclusters within Even Cluster



We can incorporate A NeuralNet to refine the formed sub-clusters.

# MERCI