

Week 2b

Thursday, September 17, 2020 10:30 AM



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Week 2b

Chapter 5

Week 2b: Matrix Transformations

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5.1 3D Rotations [45 minutes]

We can extend the idea of 2D rotations to 3D rotations. The simplest approach is to think of 3D rotations as a composition of rotations about different axes. First let's define the rotation matrices for counterclockwise rotations of angle θ about the x , y and z axes respectively.

$$\mathbf{R}_x = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix} \quad (5.1)$$

$$\mathbf{R}_y = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix} \quad (5.2)$$

$$\mathbf{R}_z = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (5.3)$$

For example, to first rotate a vector \mathbf{v} counterclockwise by θ about the x axis followed by counterclockwise by ϕ about the z axis, you need to do the following

$$\begin{bmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix} \mathbf{v} \quad (5.4)$$

We will next look at some sequence of physical rotations and relate them to these rotation matrices.

Exercise 5.1

Hold a closed book in front of you, with the top of the book towards the ceiling ($+z = (0, 0, 1)$ direction) and the cover of the book pointed towards you ($+x = (1, 0, 0)$ direction), which leaves the

5.1.4: $\mathbf{v} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$

$$5.1.4: v = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\theta = 90^\circ$$

$$\begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{bmatrix} v$$

$$\begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix} v$$

$$= \begin{bmatrix} 0 & -1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$5.1.5: \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} \theta & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

$$5.1.6: \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = R_{\text{rot}} R_{\times 90^\circ} v$$

$$5.1.6: \begin{bmatrix} Y \\ 0 \end{bmatrix} = R_{z90^\circ} R_{x90^\circ} V$$

$$V = R_{x-90^\circ} R_{z-90^\circ} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$V = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$V = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \checkmark$$

5.1.7:

$\theta = 90^\circ$

$$\begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{bmatrix} V \text{ (from 5.1.4)}$$

$$\begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \checkmark \text{ Same}$$

5.1.8: Show: $(R_z R_x)^{-1} = R_x^{-1} R_z^{-1}$

Given arbitrary vector \vec{v}

$$\text{let } \vec{w} = R_z(R_x \vec{v})$$

$$\vec{v} = R_x^{-1} R_z^{-1} \vec{w}$$

$$\text{Since } (R_z R_x) \vec{v} = \vec{w}$$

$$\text{let } \vec{u} = R_z R_x \vec{v}$$

$$\vec{v} = (R_z R_x)^{-1} \vec{u}$$

$$R_x^{-1} R_z^{-1} \vec{w} = (R_z R_x)^{-1} \vec{u}$$

$$\vec{w} = \vec{u}$$

$$R_x^{-1} R_z^{-1} = (R_z R_x)^{-1}$$

opening side of the book pointing towards your right ($+y = (0, 1, 0)$) and the spine toward the left.

1. Rotate the book by 90 degrees counter-clockwise about the x -axis, then from this position, rotate the book by 90 degrees counter-clockwise about the z -axis. Which direction is the cover of the book facing now?
2. Return to the starting position. Now rotate the book by 90 degrees counter-clockwise about the z axis, and then from this position, rotate the book by 90 degrees counter-clockwise about the x axis. Which direction is the cover of the book facing now? Is it the same as in part a?
3. An operation "commutes" if changing the order of operation doesn't change the result. Do 3D rotations commute? **NO**
4. The cover of the book is originally pointed towards $(1, 0, 0)$. Multiply this vector with the appropriate sequence of rotation matrices from above to reproduce your motions from part 1. Do you end up with the correct final cover direction?
5. Multiply the $(1, 0, 0)$ vector with the appropriate sequence of rotation matrices to reproduce the motions from part 2. Do you end up with the correct final cover direction?
6. Multiply the result of the previous part by the appropriate sequence of rotation matrices to return to the original $(1, 0, 0)$ vector.
7. From either of your answers to part 4 or part 5, try, instead of operating on the $(1, 0, 0)$ vector sequentially with one rotation matrix and then the other, take the product of the two rotation matrices first, and then multiply $(1, 0, 0)$ with the resultant matrix. Does this reproduce your answer?
8. Based on your answers to the previous parts, show that $(R_z R_x)^{-1} = R_x^{-1} R_z^{-1}$. This is a general property of matrix inverses – it works for all square, invertible matrices, not just rotation matrices!

$+y?$

5.2 Reflection and Shearing [30 minutes]

In this activity we will meet reflection and shearing matrices, which will allow us to explore transformation matrices in general.

Reflection

Exercise 5.2

What do the following *reflection* matrices do? Think about it first, draw some sketches and then test your hypothesis in MATLAB using the rectangle with vertices $(0, 0)$, $(2, 0)$, $(2, 1)$, and $(0, 1)$. How much does the area of your basic rectangle change, if at all? What is the inverse of each?

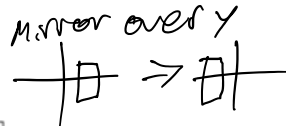
1.

$$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

mirror over x
 $\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

2.

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$



3.

$$\begin{bmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{bmatrix}$$

mirror over line @ θ

Shearing

Exercise 5.3

What do the following *shearing* matrices do? Think about it first, draw some sketches and then test your hypothesis in MATLAB with the rectangle with vertices $(0, 0)$, $(2, 0)$, $(2, 1)$, and $(0, 1)$. How much does the area of your basic rectangle change, if at all? What is the inverse of each?

1.

$$\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

$\rightarrow (0,0) (2,0) (3,1) (1,1)$
moves to the right
Proportional
w/ x axis

2.

$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

3.

$$\begin{bmatrix} 1 & 2k \\ 0 & 1 \end{bmatrix}$$

4.

$$\begin{bmatrix} 1 & 0 \\ 2k & 1 \end{bmatrix}$$

5.3 Matrix Summary [15 minutes]

- Matrices are holders of data, e.g. temperature data, coordinates of points, etc
- Matrices are transformation operators, e.g. rotation, reflection, shearing.
- Matrices have algebraic properties:
 - $\mathbf{A} + \mathbf{B} = \mathbf{B} + \mathbf{A}$
 - $(\mathbf{AB})\mathbf{C} = \mathbf{A}(\mathbf{BC})$
 - $\mathbf{AB} \neq \mathbf{BA}$ (don't always commute)
- 2D Rotation Matrix (does commute)

$$\mathbf{R}(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

- 3D Rotation Matrices (do not commute about different axes)
- Order of operations: \mathbf{ABv} implies that \mathbf{B} acts on \mathbf{v} , and then \mathbf{A} acts on the result. Alternatively, compute the product \mathbf{AB} , and use it to act on \mathbf{v} .
- Inverse Matrix: undoes a transformation
 - $\mathbf{A}^{-1}\mathbf{A} = \mathbf{I}$
 - $(\mathbf{AB})^{-1} = \mathbf{B}^{-1}\mathbf{A}^{-1}$

Exercise 5.4

Read through the matrix summary, and discuss concepts that you are still confused by.

Solution 5.1

1. The cover is now facing toward the $+y$ axis (the positive part of the y axis).
2. The cover is now facing the $+z$ axis. This is different than in part a.
3. Since the answers for the first two parts are different, 3D rotations do not commute.
4. Let \mathbf{v} be the vector that represents the initial direction of the cover of the book,

$$\mathbf{v} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}.$$

Rotation by 90 degrees counterclockwise around the x axis is given by

$$\mathbf{R}_x = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}.$$

so that the new vector becomes

$$\mathbf{R}_x \mathbf{v} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

Rotation by 90 degrees counterclockwise around the z axis is given by

$$\mathbf{R}_z = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

so that the new vector becomes

$$\mathbf{R}_z \mathbf{R}_x \mathbf{v} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

which is the correct final direction.

5. Using the matrices from above,

$$\mathbf{R}_x \mathbf{R}_z \mathbf{v} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}.$$

6. To rotate 90 degrees clockwise around the x axis we use the matrix

$$\mathbf{R}_x^{-1} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$$

and to rotate 90 degrees clockwise around the z axis we use the matrix

$$\mathbf{R}_z^{-1} = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Then we can return the vector $(0, 0, 1)$ to its original position $(1, 0, 0)$ by

$$\mathbf{R}_x^{-1} \mathbf{R}_z^{-1} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}.$$

7. We can multiply the rotation matrices together and perform a single matrix multiplication. For part d, the relevant matrix product is

$$\mathbf{R}_z \mathbf{R}_x = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

and we see that

$$\mathbf{R}_z \mathbf{R}_x \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

as expected.

8. We can see from the previous parts that

$$(\mathbf{R}_z \mathbf{R}_x)^{-1} = \mathbf{R}_x^{-1} \mathbf{R}_z^{-1}.$$

In other words, when you take the inverse, the order of operations must swap!

Solution 5.2

1. This matrix reflects everything over the y -axis. In the figure below, the original blue rectangle becomes the orange rectangle. The area of the rectangle stays the same.

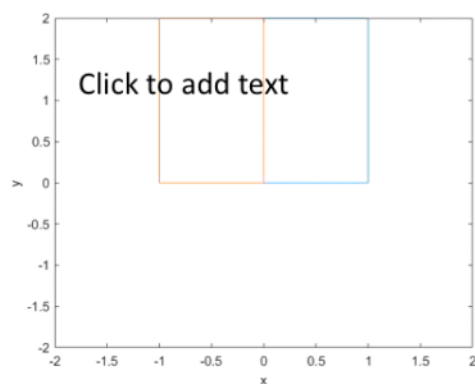
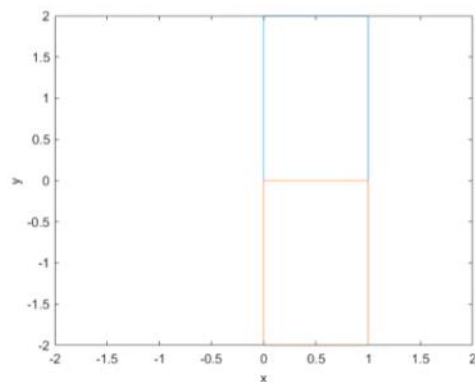


Figure 5.1: Reflection over y -axis.

2. This matrix reflects everything over the x -axis. In the figure below, the original blue rectangle becomes the orange rectangle. The area of the rectangle stays the same.

Figure 5.2: Reflection over x -axis.

3. For example, let $\theta = 30$ degrees. Then the rectangle is reflected along the line that is 30 degrees counterclockwise from the x -axis. In the figure below, the original blue rectangle becomes the orange rectangle.

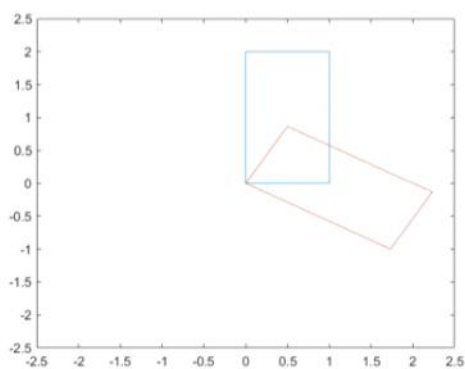
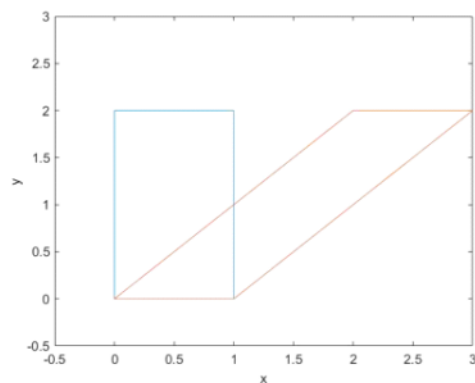


Figure 5.3: Reflection over 30 degree line.

Notice that, if we plug in $\theta = 90$, we get the matrix from part 1, which reflects over the x -axis (i.e., 90 degree line) and, if we plug in $\theta = 0$, we get the matrix from part 2, which reflects over the y -axis (i.e., the 0 degree line).

Solution 5.3

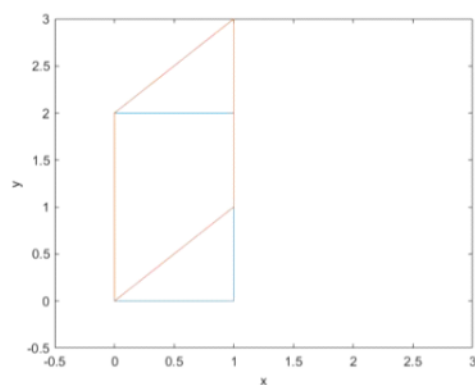
1. This shearing matrix pulls the points along horizontal lines and the strength of the pull is proportional to the y coordinate. In the figure below, the blue rectangle is sheared to become the orange rectangle:

Figure 5.4: Shearing in x direction.

The area of the rectangle does not change. The inverse is

$$\begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix}.$$

2. This shearing matrix pulls the points along vertical lines and the strength of the pull is proportional to the x coordinate. In the figure below, the blue rectangle is sheared to become the orange rectangle:

Figure 5.5: Shearing in y direction.

The area of the rectangle does not change. The inverse is

$$\begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix}.$$

3. This shearing matrix pulls the points along horizontal lines and the strength of the pull is proportional to the y coordinate and the constant k (the bigger the k , the stronger the pull). In the figure below, with $k = 2$, the blue rectangle is sheared to become the orange rectangle:

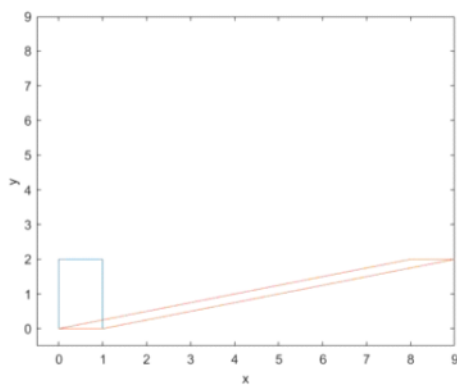


Figure 5.6: Shearing in x direction with $k = 2$.

The area of the rectangle does not change. The inverse is

$$\begin{bmatrix} 1 & -4 \\ 0 & 1 \end{bmatrix}.$$

4. This shearing matrix pulls the points along vertical lines and the strength of the pull is proportional to the x coordinate and the constant k (the bigger the k , the stronger the pull). In the figure below, with $k = 2$, the blue rectangle is sheared to become the orange rectangle:

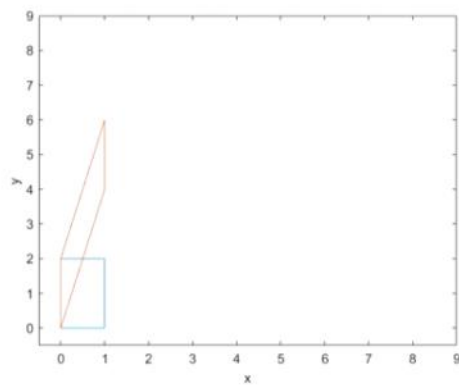


Figure 5.7: Shearing in y direction with $k = 2$.

The area of the rectangle does not change. The inverse is

$$\begin{bmatrix} 1 & 0 \\ -4 & 1 \end{bmatrix}.$$