

Data Structures & Algorithms

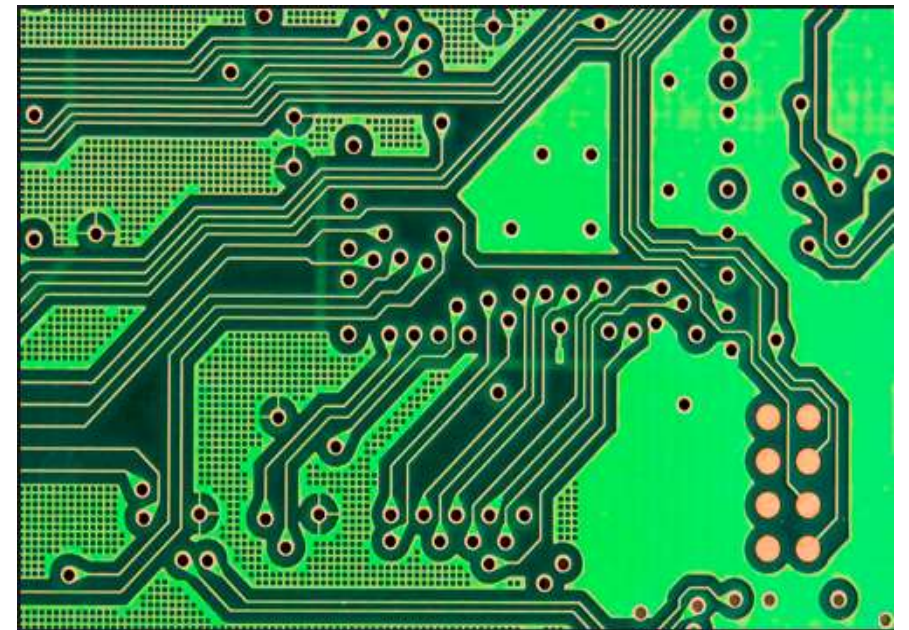
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Minimum Spanning Tree
Shortest Path
Topological Sorting
P vs. NP

Minimum Spanning Tree

Minimum Spanning Tree

- Suppose you have designed a printed circuit board
- You want to make sure that you have used the minimum number of traces
 - no extra connections between pins; would take up extra room
- How can you find these extra traces, if any?



Minimum Spanning Tree

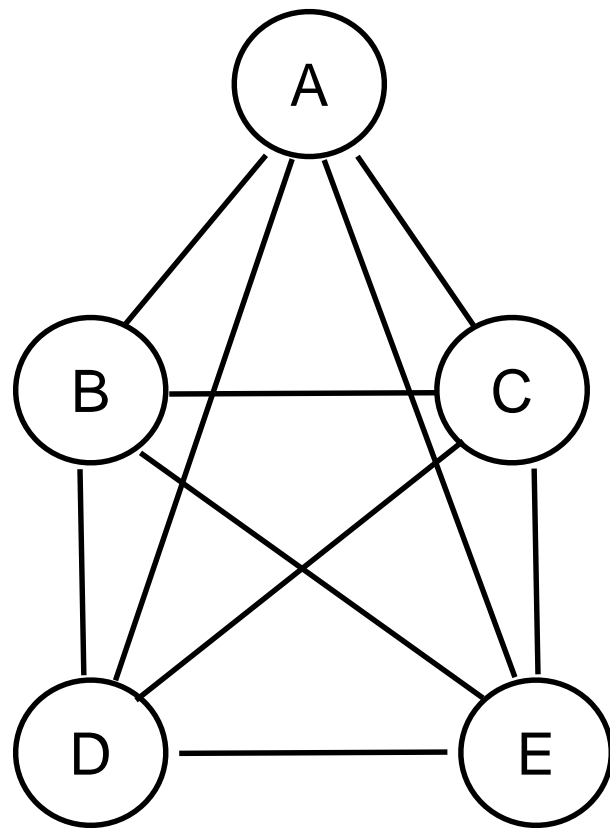
- The trick is to imagine this circuit as a graph

Pins & Traces -> Vertices & Edges

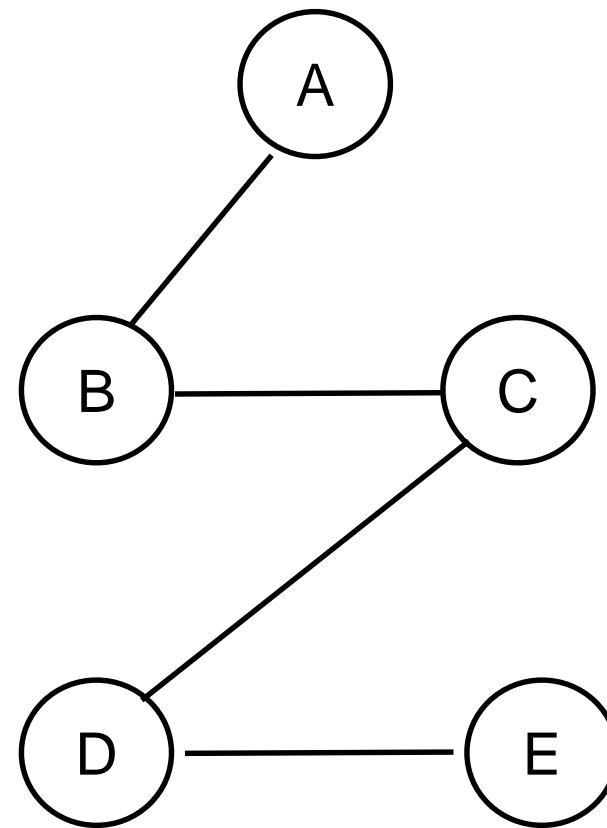
- Reduce this graph such that it has the same vertices with the minimum number of edges to connect them

Minimum Spanning Tree

Minimum Spanning Tree



Extra Edges



Minimum Number of Edges

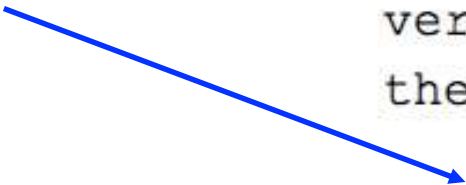
Remember: There are many possible minimum spanning trees for a given set of vertices
Notice that number of edges in MST is one less than the number of vertices!

Minimum Spanning Tree

- For unweighted graphs, every spanning tree is the minimum spanning tree
- DFS and BFS can be used
- Execute, and record the edges travelled

Minimum Spanning Tree

```
while( !theStack.isEmpty() )           // until stack empty
{                                       // get stack top
    int currentVertex = theStack.peek();
    // get next unvisited neighbor
    int v = getAdjUnvisitedVertex(currentVertex);
    if(v == -1)                        // if no more neighbors
        theStack.pop();                // pop it away
    else                               // got a neighbor
    {
        vertexList[v].wasVisited = true; // mark it
        theStack.push(v);                // push it
        // display edge
        displayVertex(currentVertex);    // from currentV
        displayVertex(v);                // to v
        System.out.print(" ");
    }
} // end while(stack not empty)
```



Displaying both the current vertex and its next visited vertex
The two vertices define the edge

Minimum Spanning Tree

- We can find MST of a graph only if it is connected.
- Otherwise, we can find a union of MSTs for each of its connected component – *Minimum Spanning Forest*

Minimum Spanning Trees (MST) with Weighted Graphs

Weighted Graphs

- A graphs where each edge has a weight
- For example, in a weighted graph of cities, a weight could represent the
 - Distance between cities
 - Cost to fly between cities
 - Number of automobile trips made annually between them

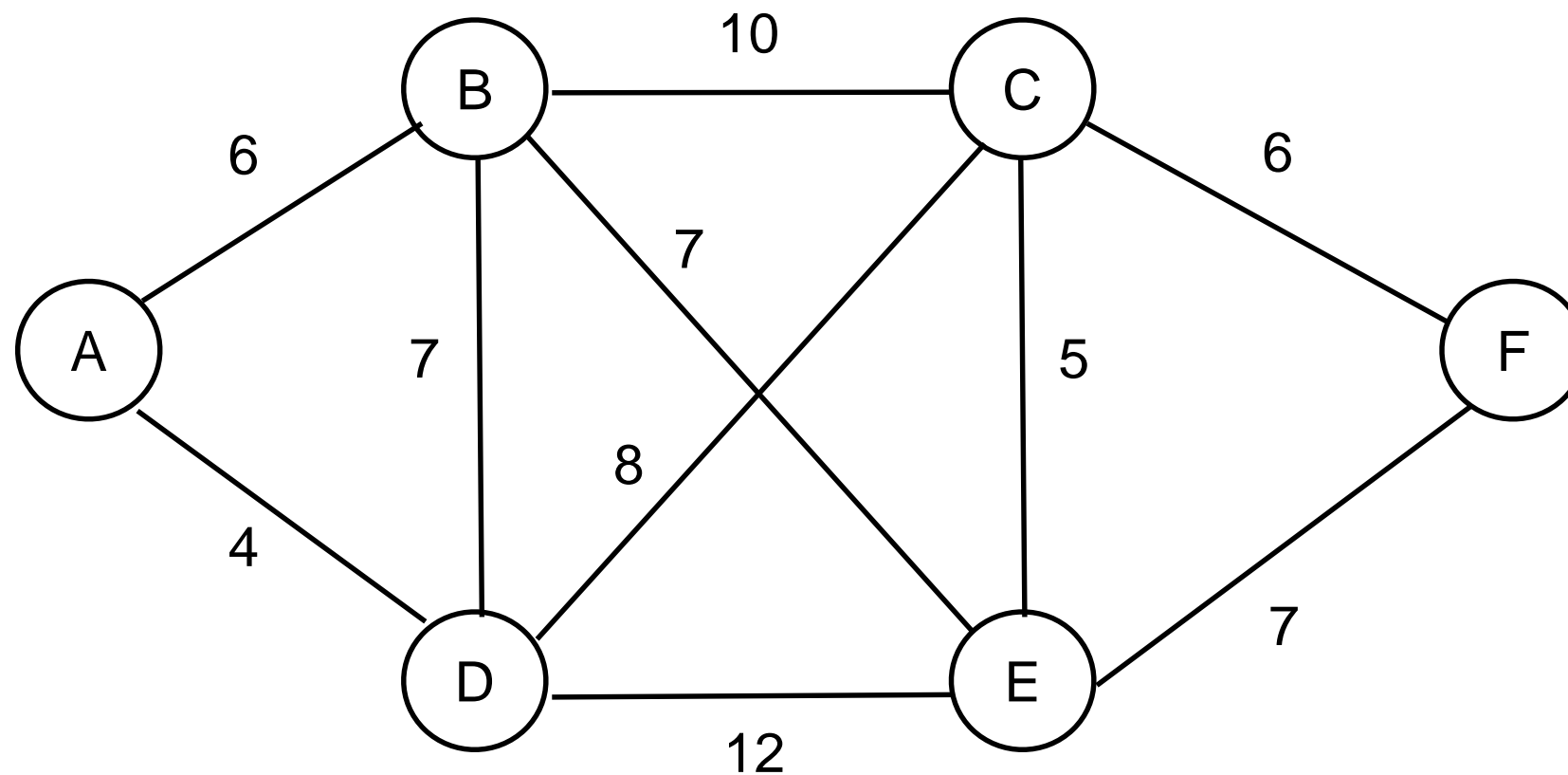
MST with Weighted Graphs

- Creating such a tree is a bit more difficult with weighted graphs
- Let's try to understand the process with the help of an example

MST with Weighted Graphs

- We want to install a cable television line that connects six cities
- We need five links ($n = 6$), but which five links would those be
- Let's say we are given the following information

MST with Weighted Graphs



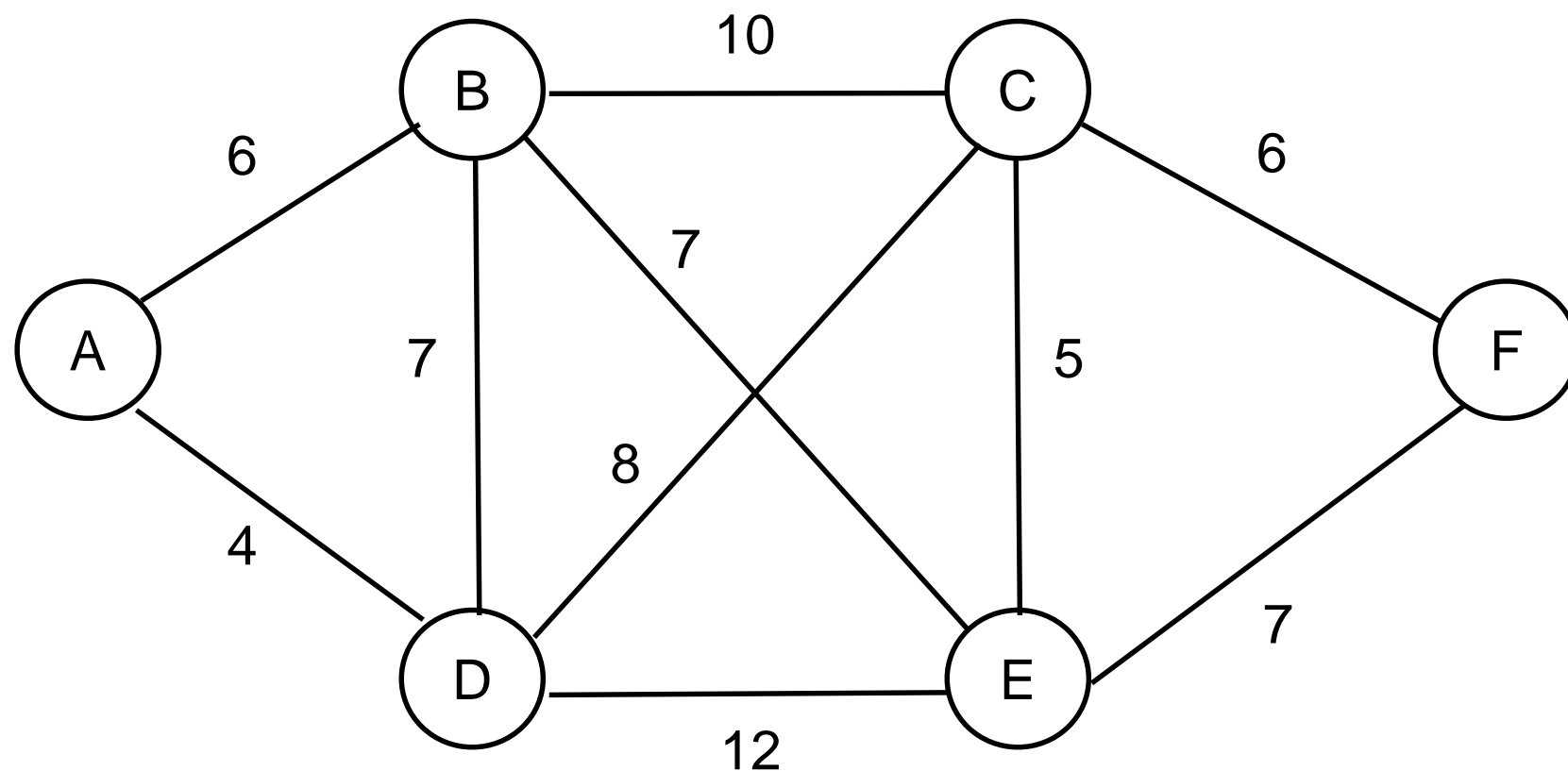
Weight: cost of installing cable

Some links are missing; let's assume that they are just too expensive that there is no point in considering them
But the algorithm will work fine even if they were present.

MST with Weighted Graphs

- Given this information, we need to generate the minimum spanning tree for this graph
- Let's see how to do this.

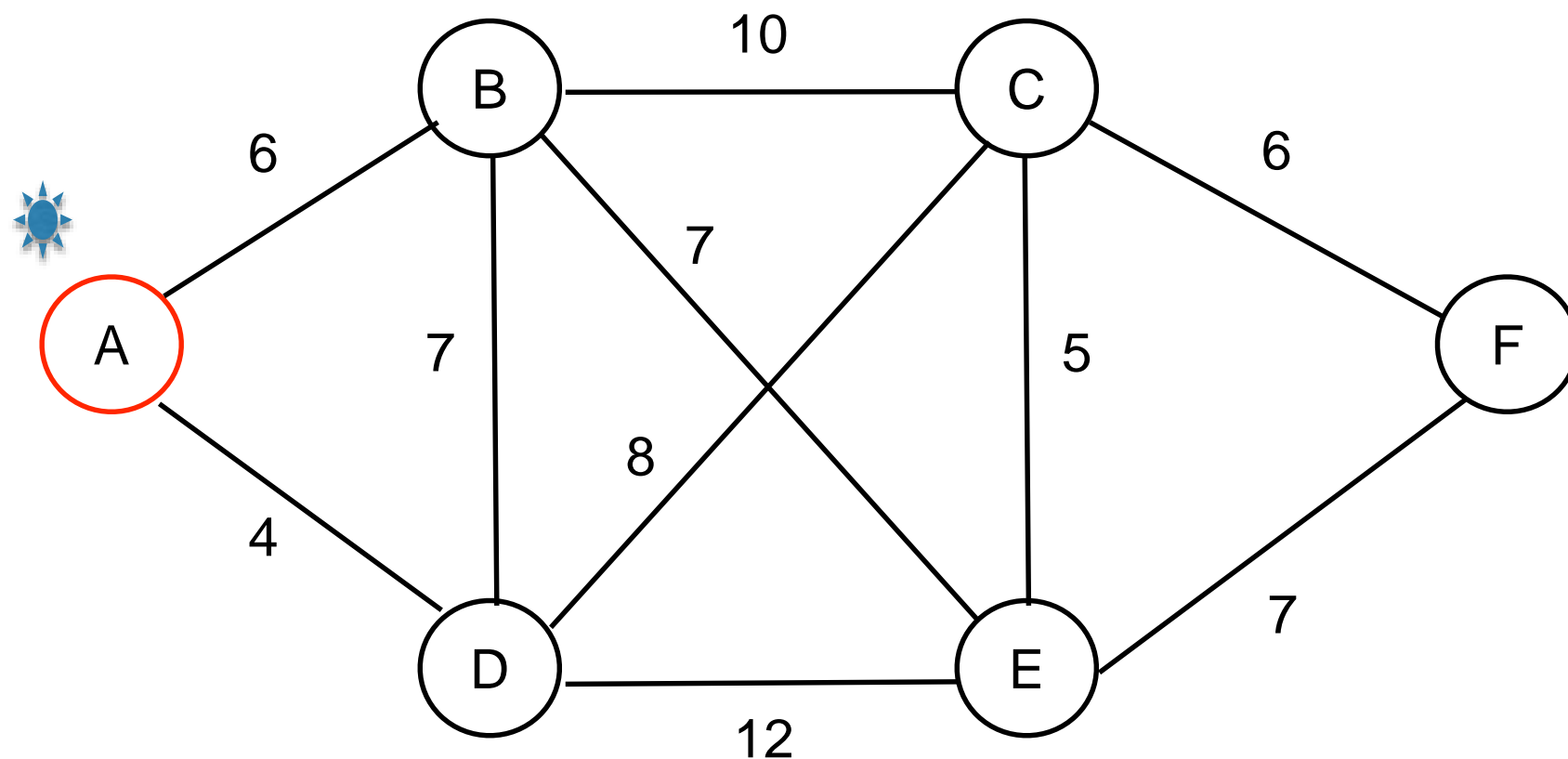
MST with Weighted Graphs



Edge	Weight

- Start with any city; Let's pick A
- Create an office in A
- Measure the weight of the adjacent edges and insert them in the list on the right

MST with Weighted Graphs

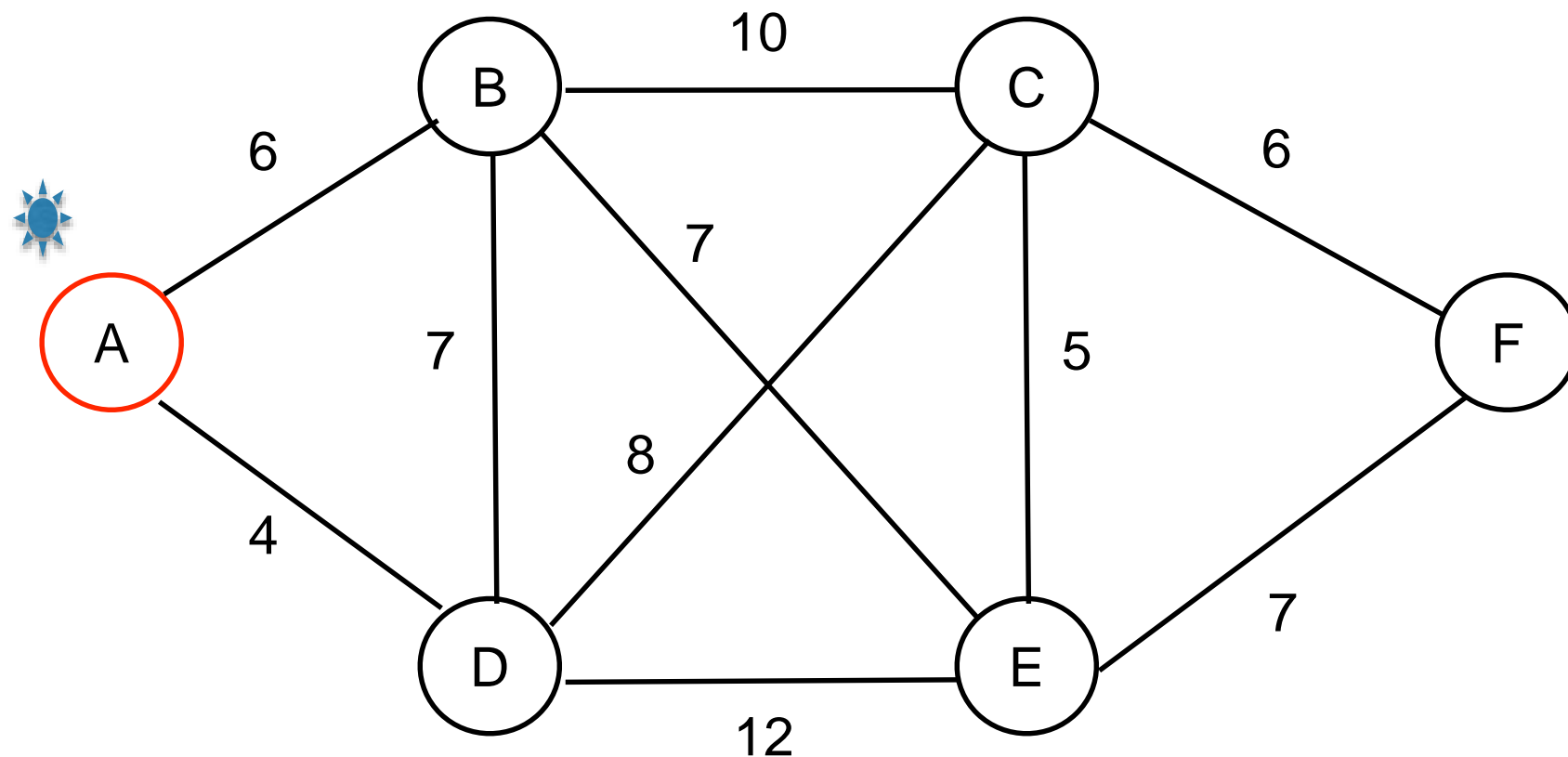


Edge	Weight
AB	6
AD	4



Indicates where a new office has just been built!

MST with Weighted Graphs



Edge	Weight
AB	6
AD	4

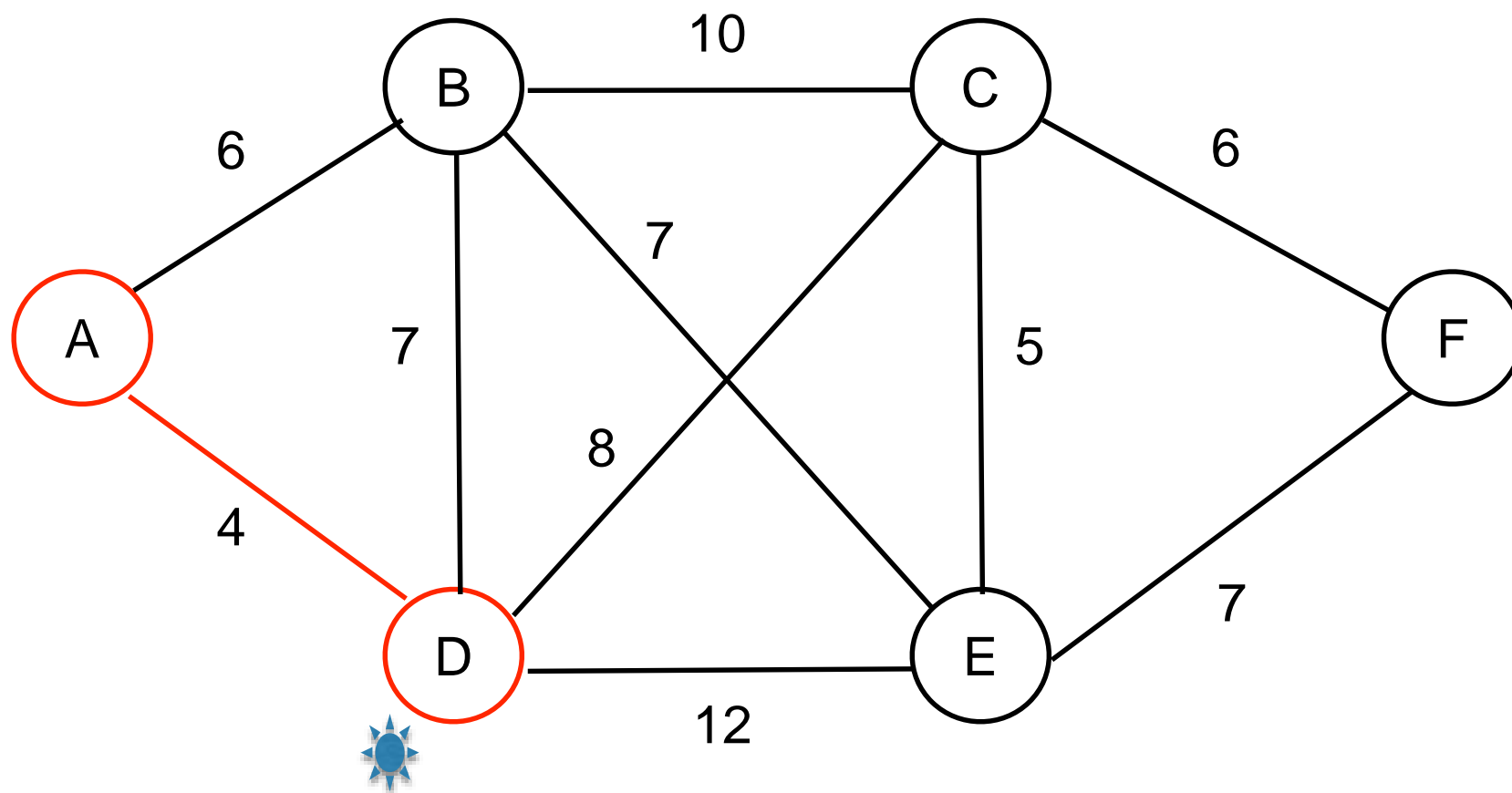
- From the list, pick the cheapest link
- Install it
- Remove it from the list
- Create an office in the new city.

→ Greedy Algorithm

Greedy Algorithms

- Try to find solutions to problems step-by-step
 - A partial solution is incrementally expanded towards complete solution
 - In each step, there are several ways to expand the partial solution:
 - The best alternative for the moment is chosen, the others are discarded
- At each step the choice must be **locally optimal** – this is the central point of this technique

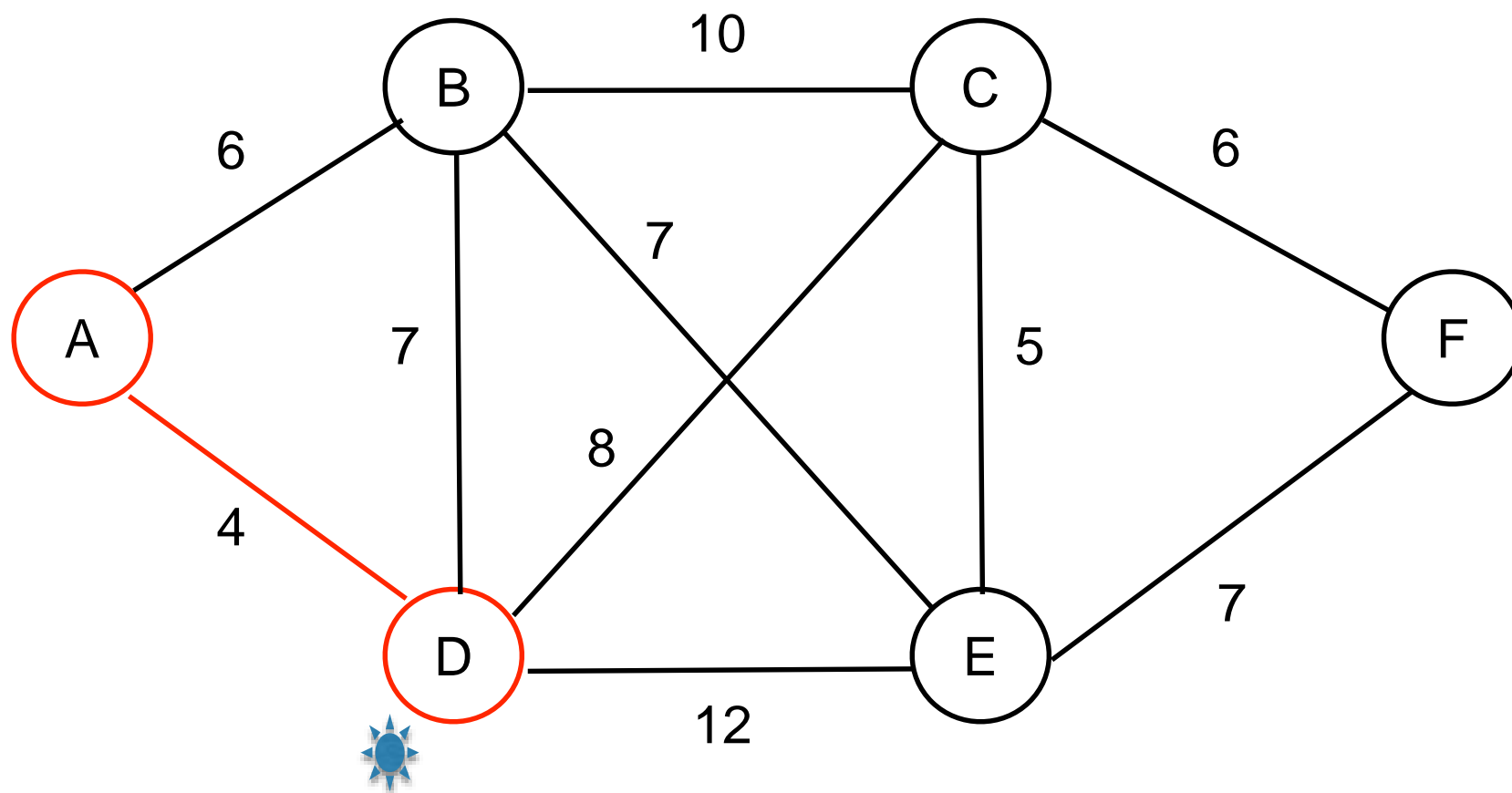
MST with Weighted Graphs



Edge	Weight
AB	6

- Now we have offices at A, and D
- And one link AD
- D is the newest office

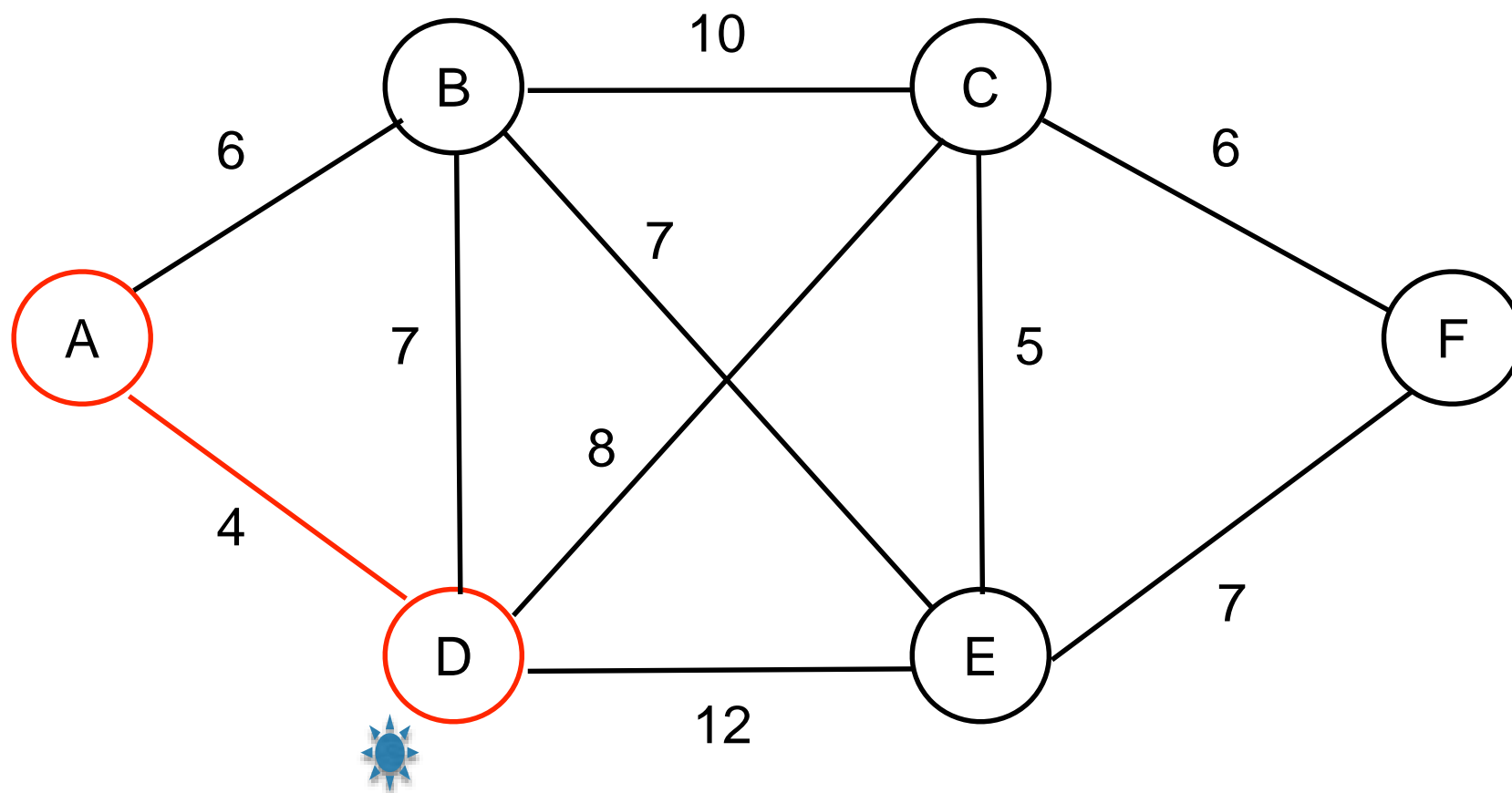
MST with Weighted Graphs



Edge	Weight
AB	6

- Repeat the process for D.

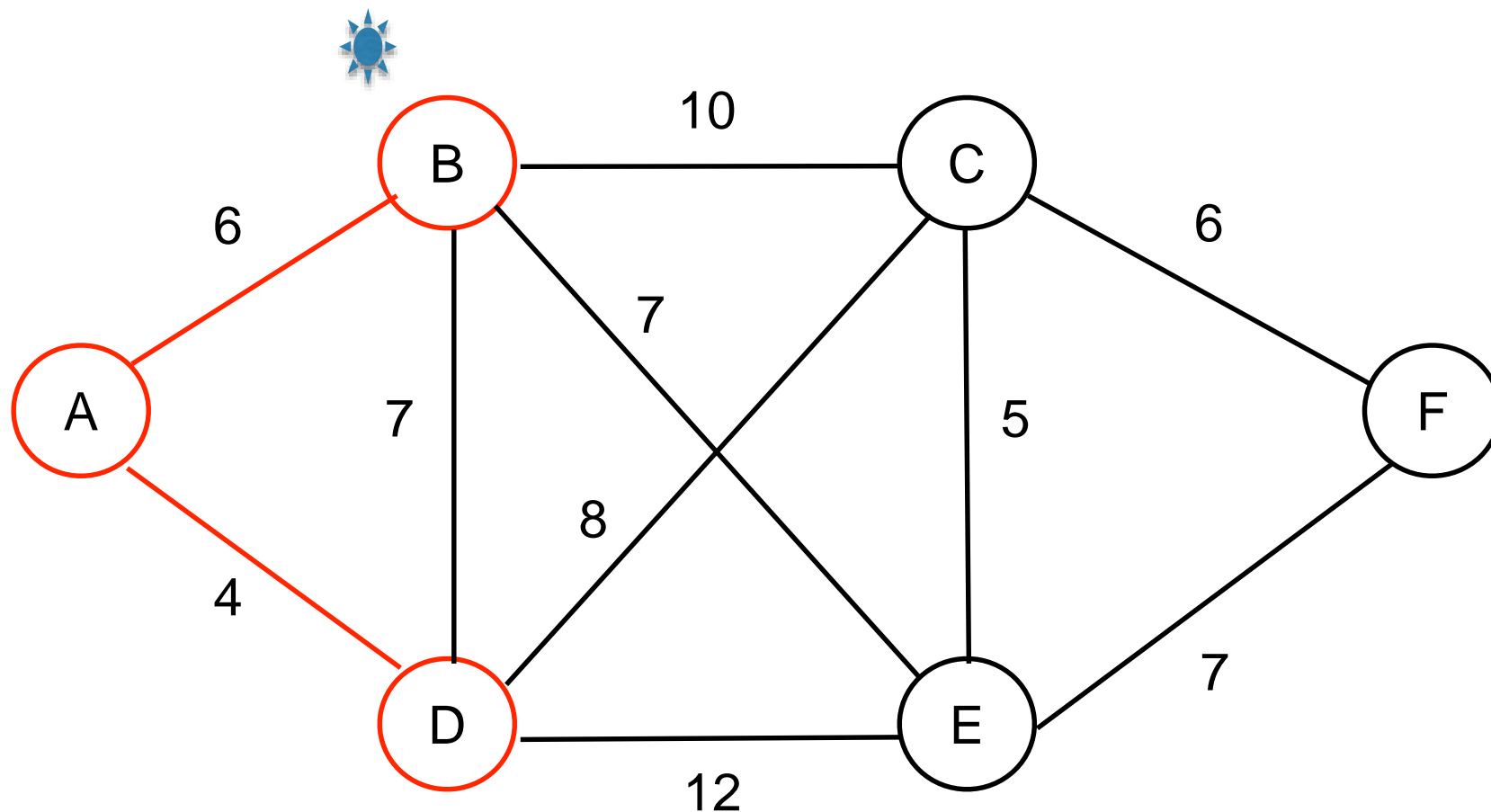
MST with Weighted Graphs



Edge	Weight
AB	6
DB	7
DC	8
DE	12

- Again, choose the cheapest from the list.
- Yeah, you got it, we always choose the cheapest from the list

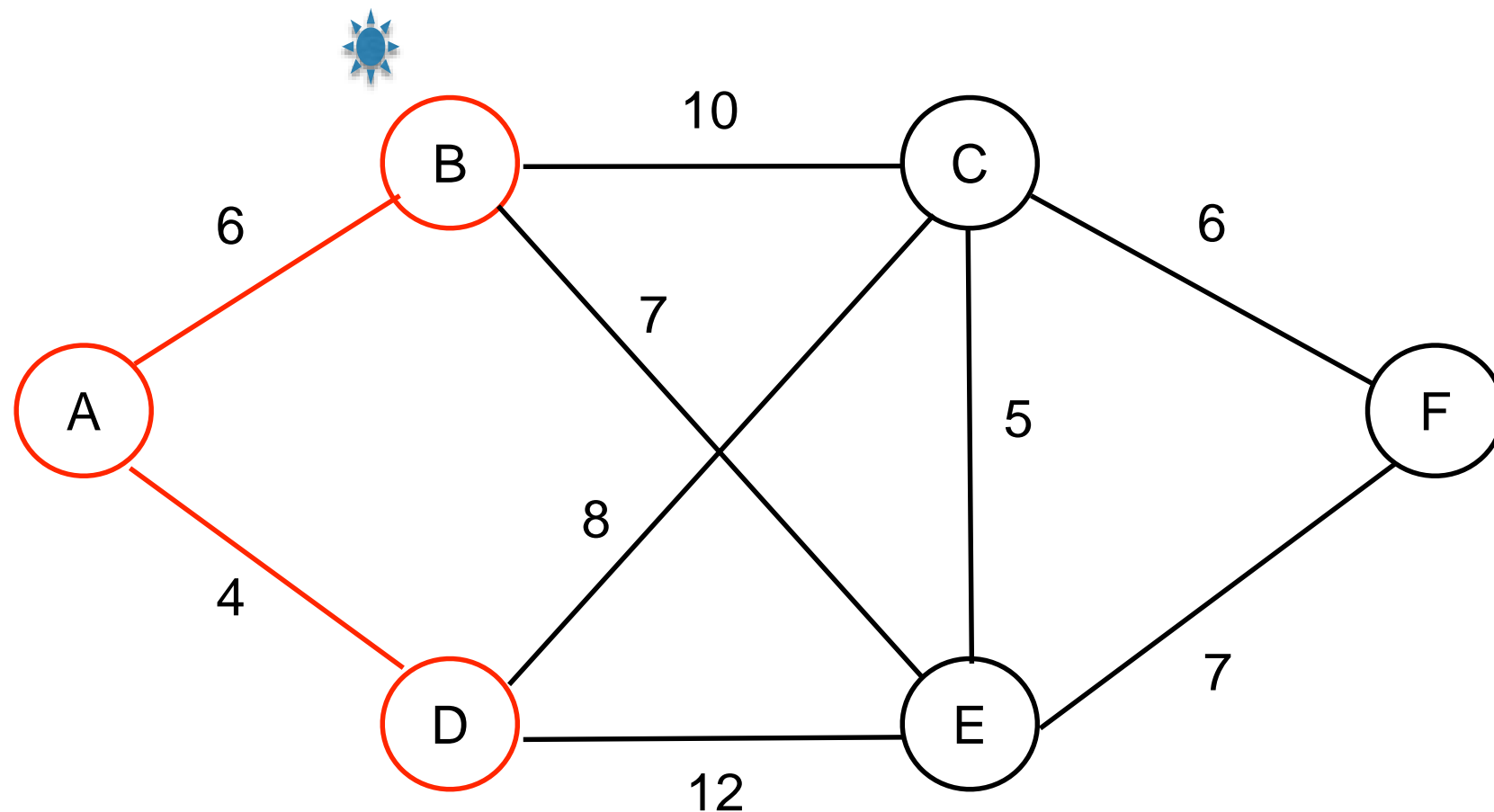
MST with Weighted Graphs



Edge	Weight
DB	7
DC	8
DE	12

- Note:
 - DB is redundant, that is, B already has an office
 - Thus we will remove it from the list, too!
 - Remove from the list:
 - The one that is the cheapest
 - And those that are redundant

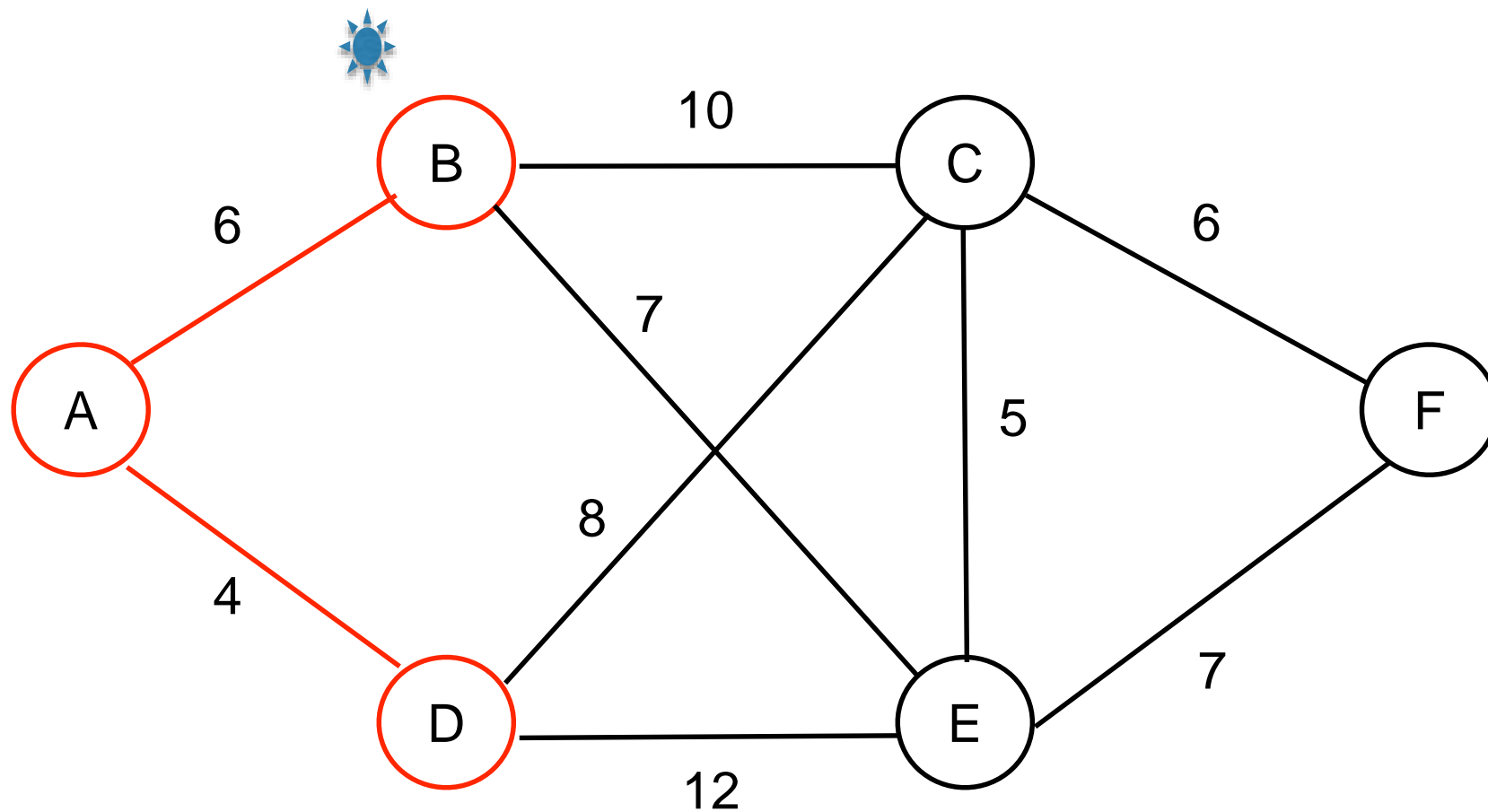
MST with Weighted Graphs



Edge	Weight
DC	8
DE	12

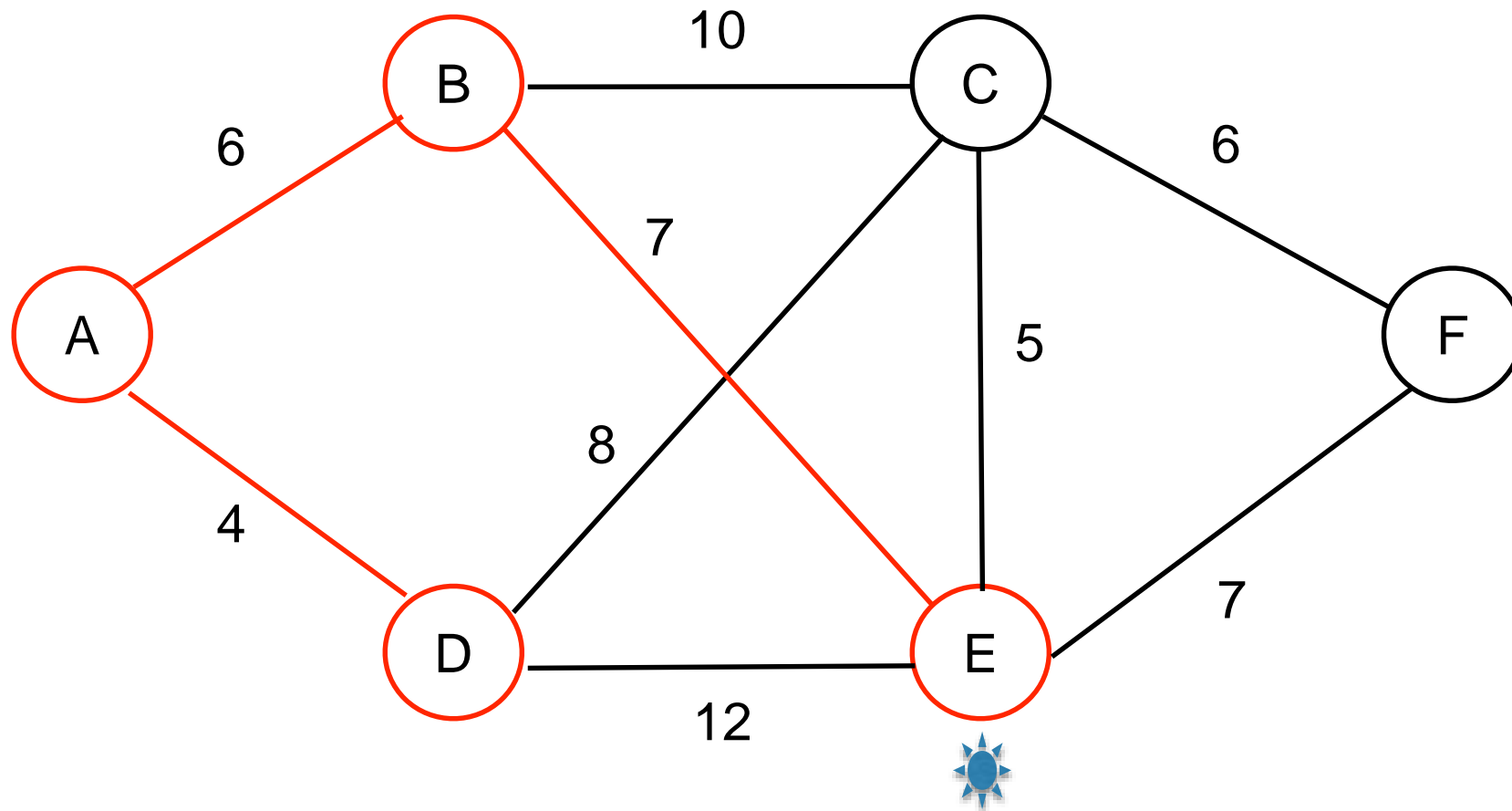
- Now B is the newest office
- Repeat the same for B

MST with Weighted Graphs



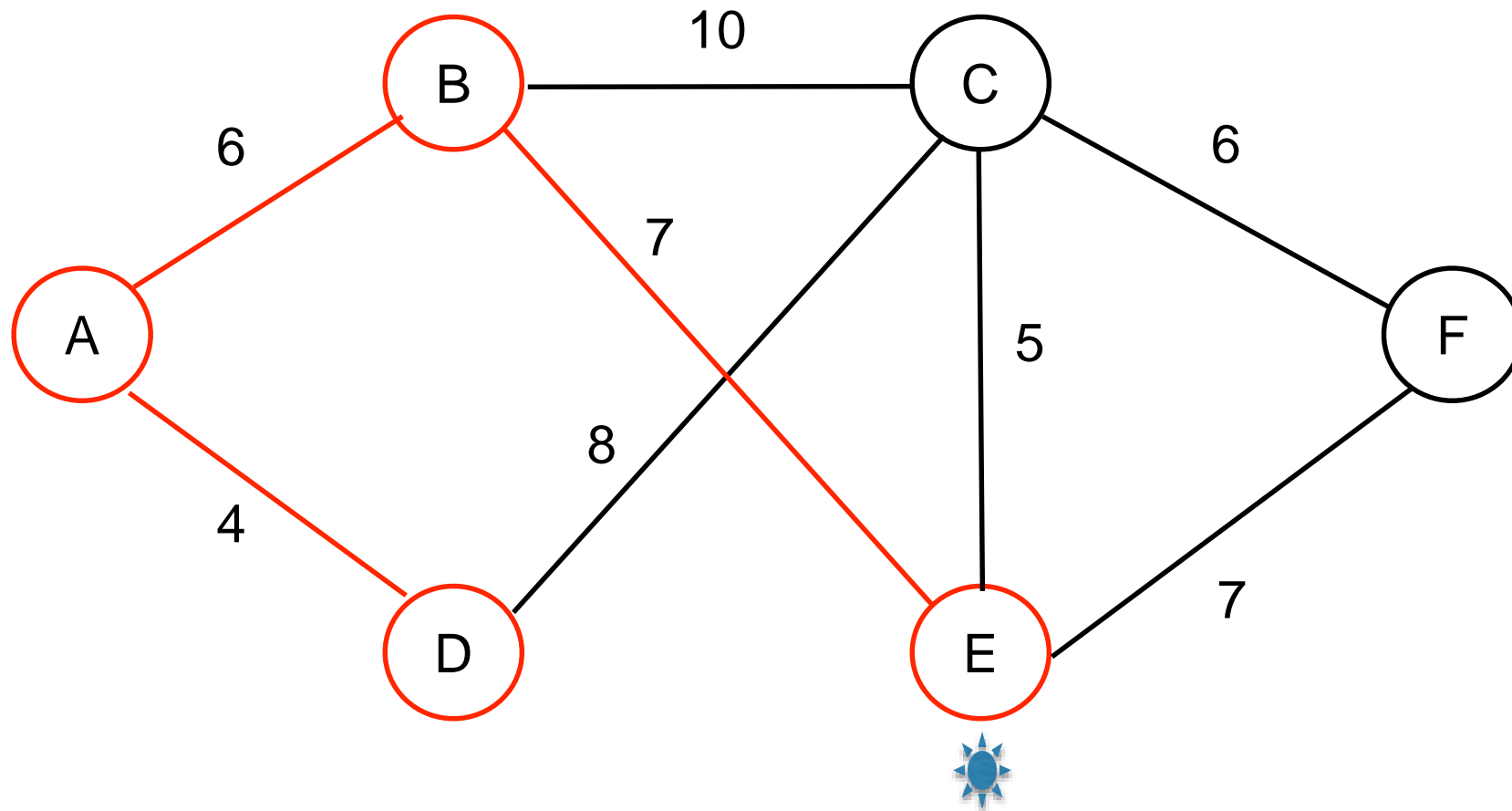
Edge	Weight
DC	8
DE	12
BC	10
BE	7

MST with Weighted Graphs



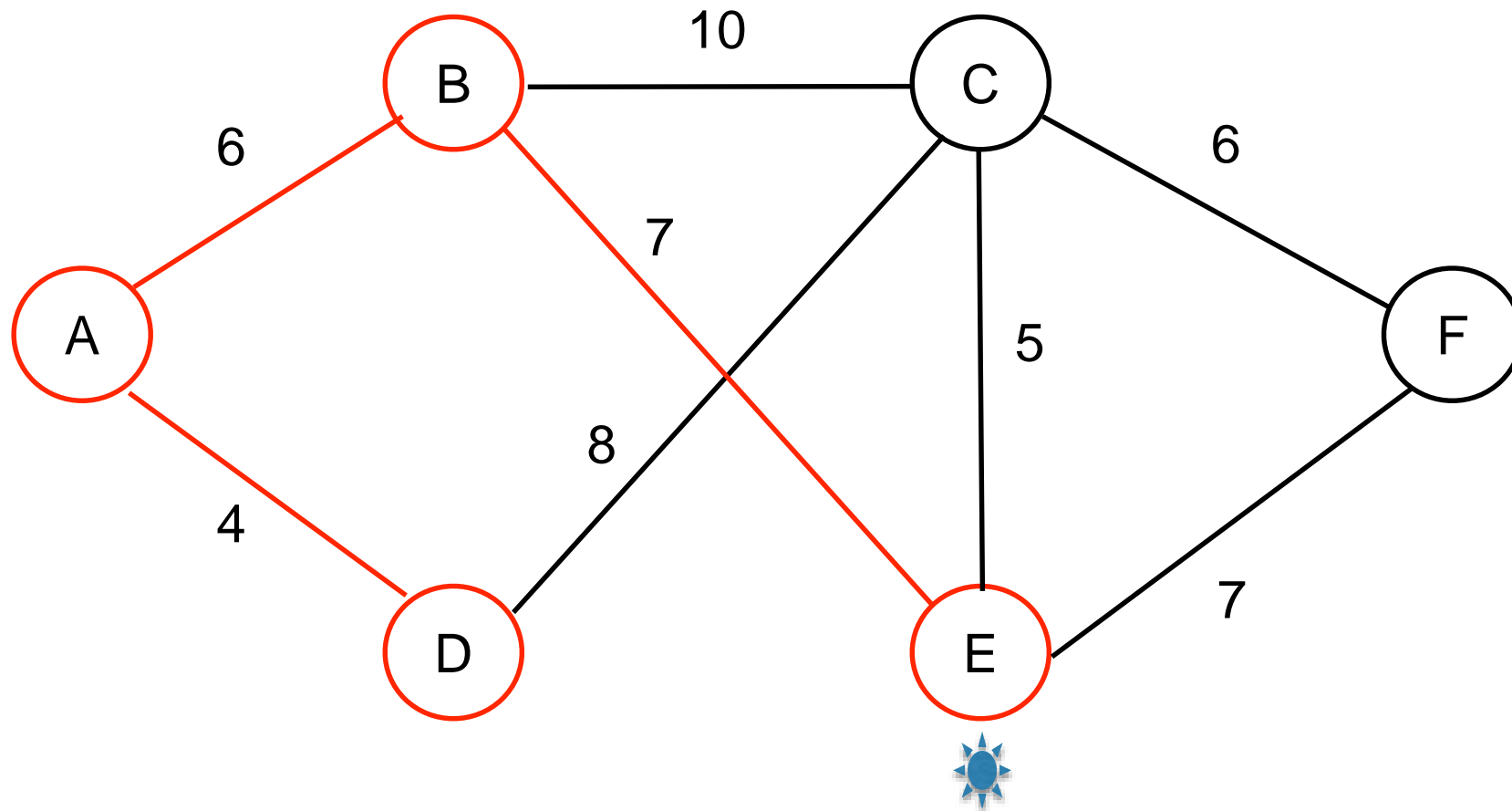
Edge	Weight
DC	8
DE	12
BC	10

MST with Weighted Graphs



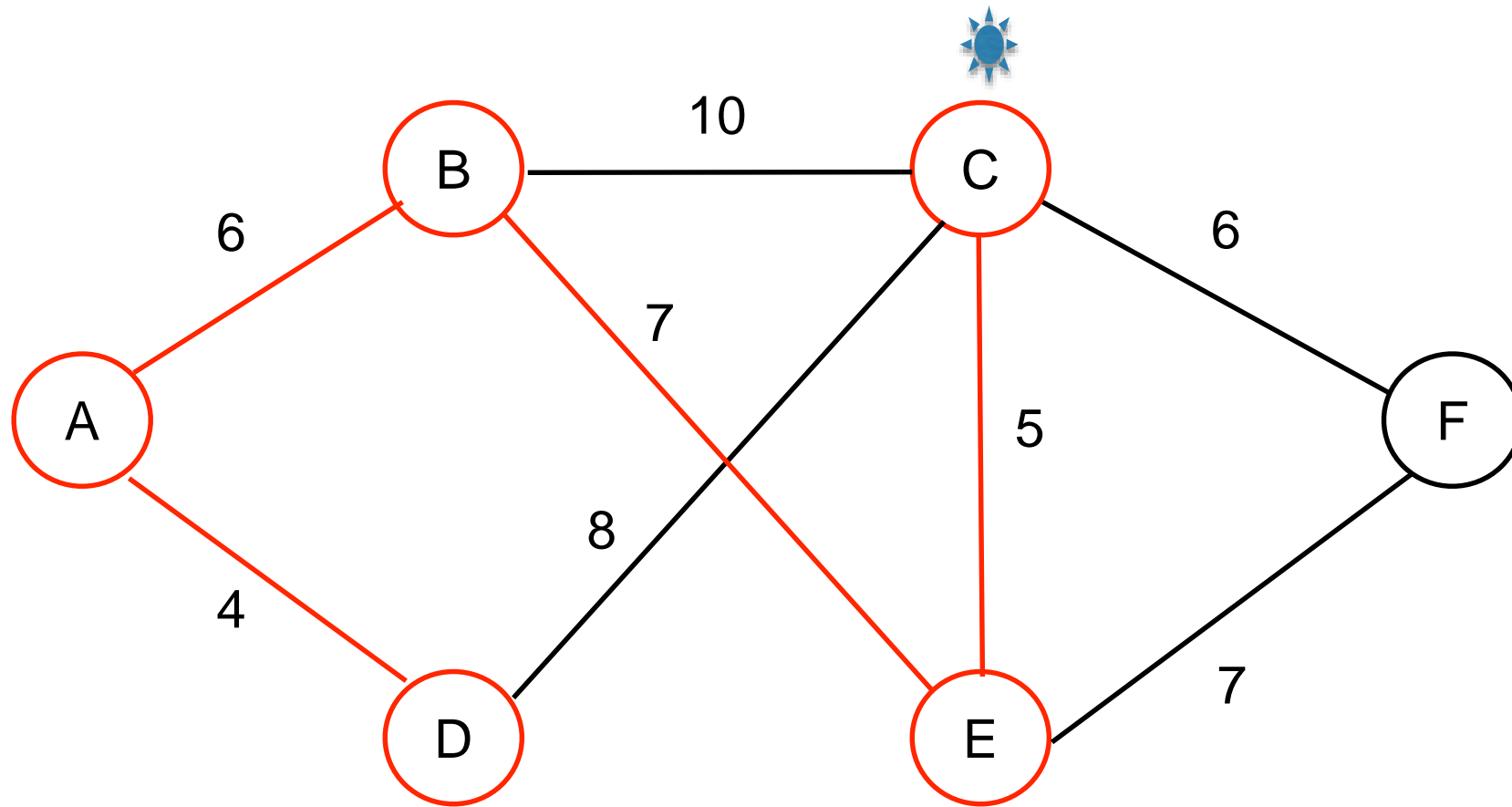
Edge	Weight
DC	8
BC	10

MST with Weighted Graphs



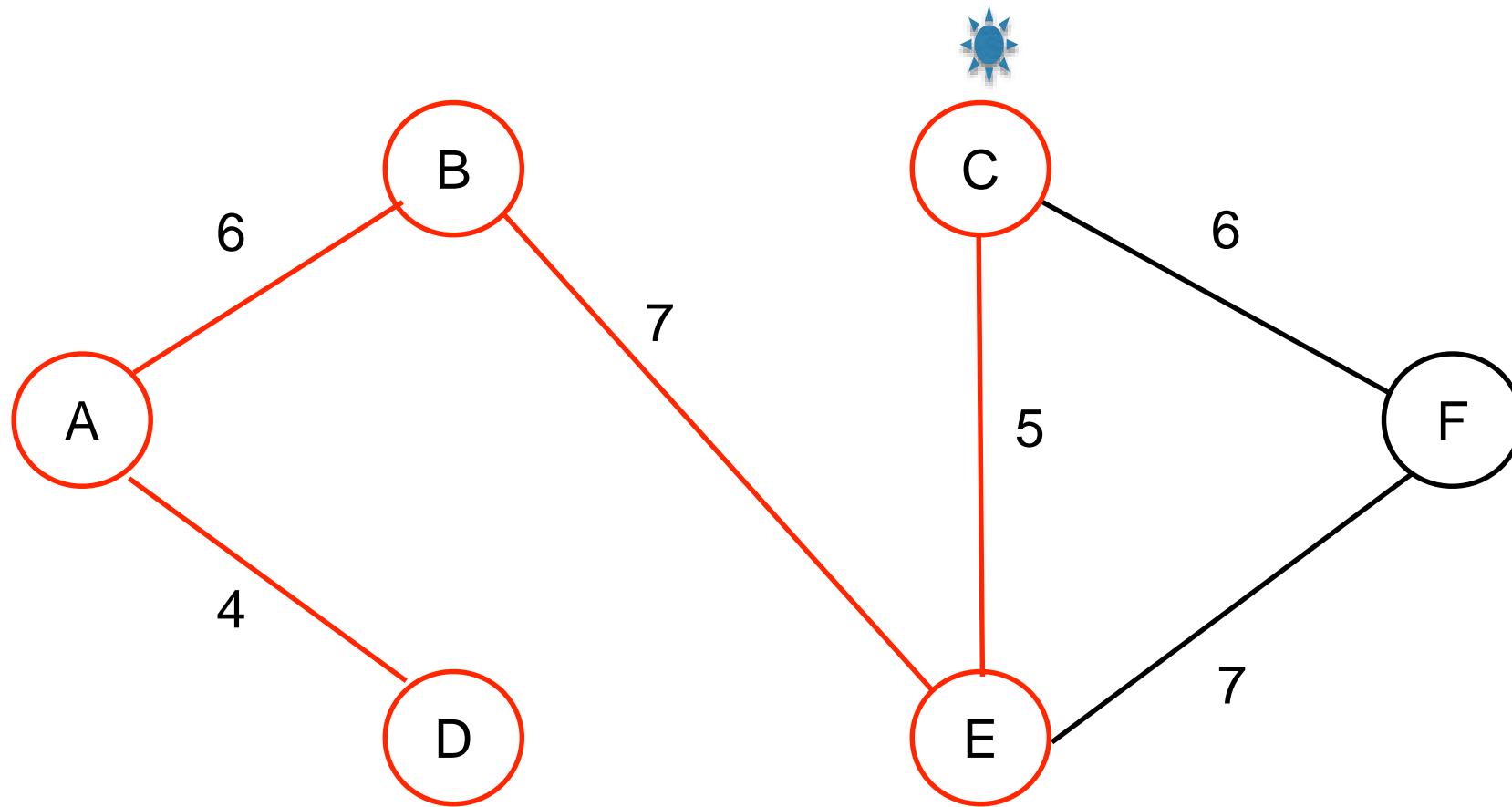
Edge	Weight
DC	8
BC	10
EC	5
EF	7

MST with Weighted Graphs



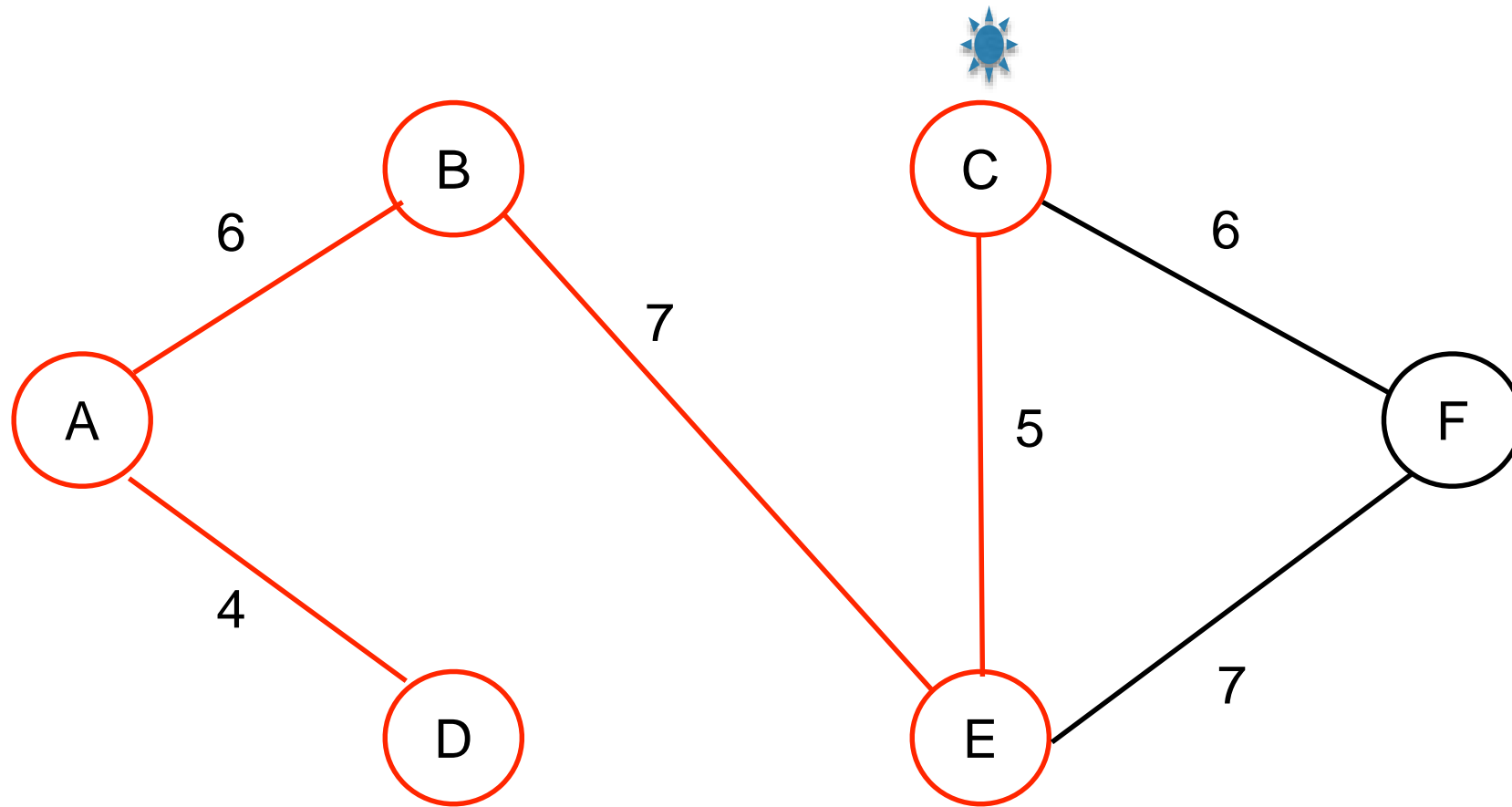
Edge	Weight
DC	8
BC	10
EF	7

MST with Weighted Graphs



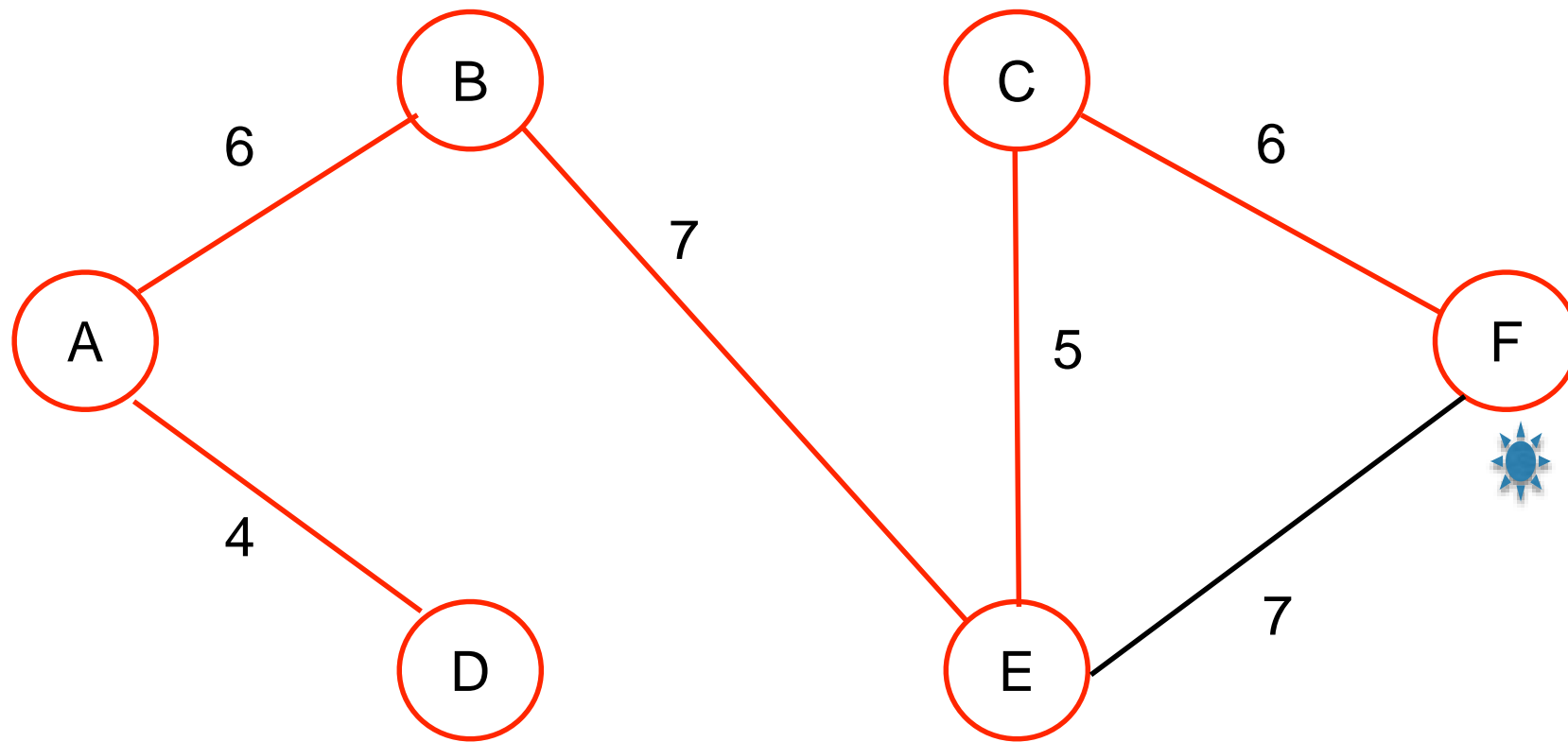
Edge	Weight
EF	7

MST with Weighted Graphs



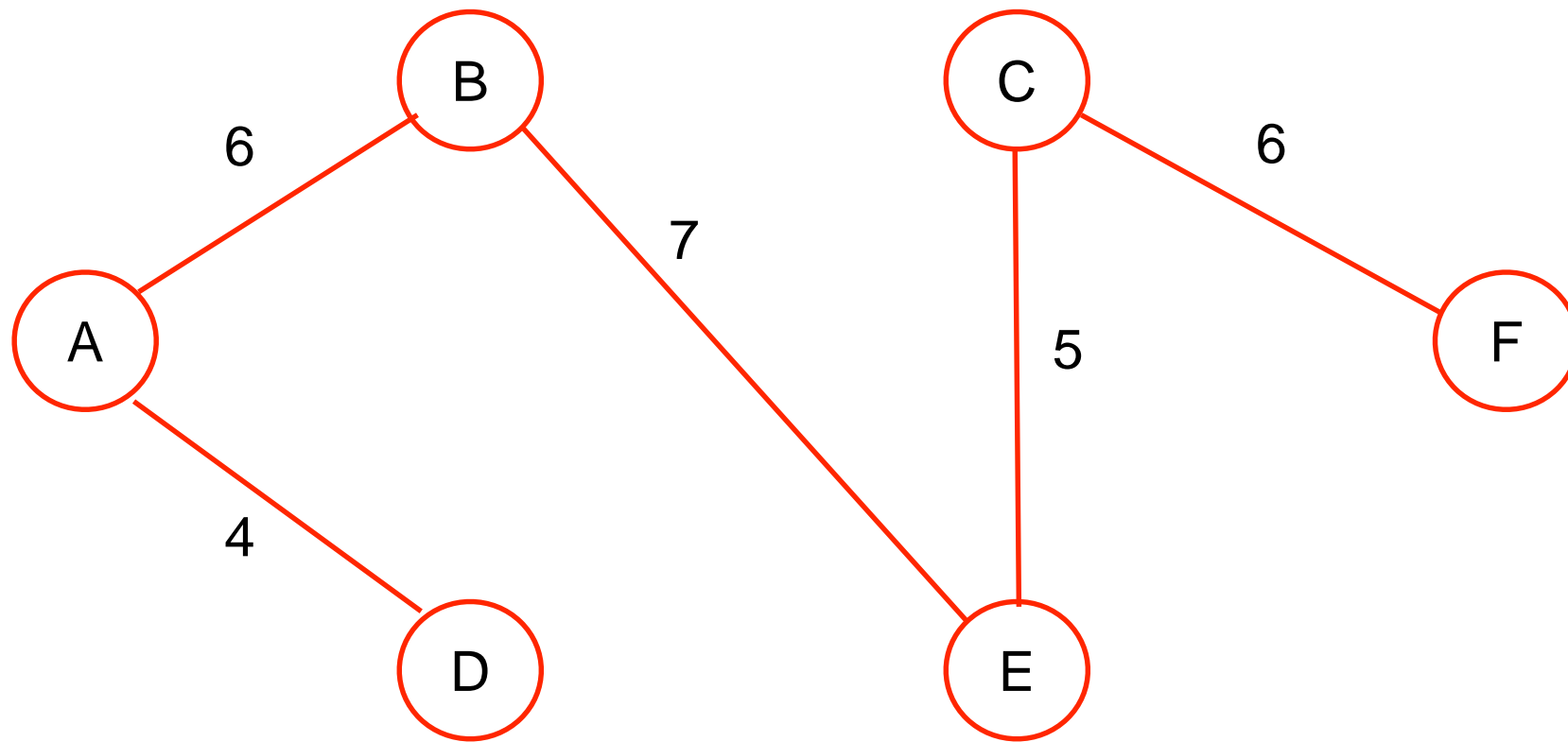
Edge	Weight
EF	7
CF	6

MST with Weighted Graphs



Edge	Weight
EF	7

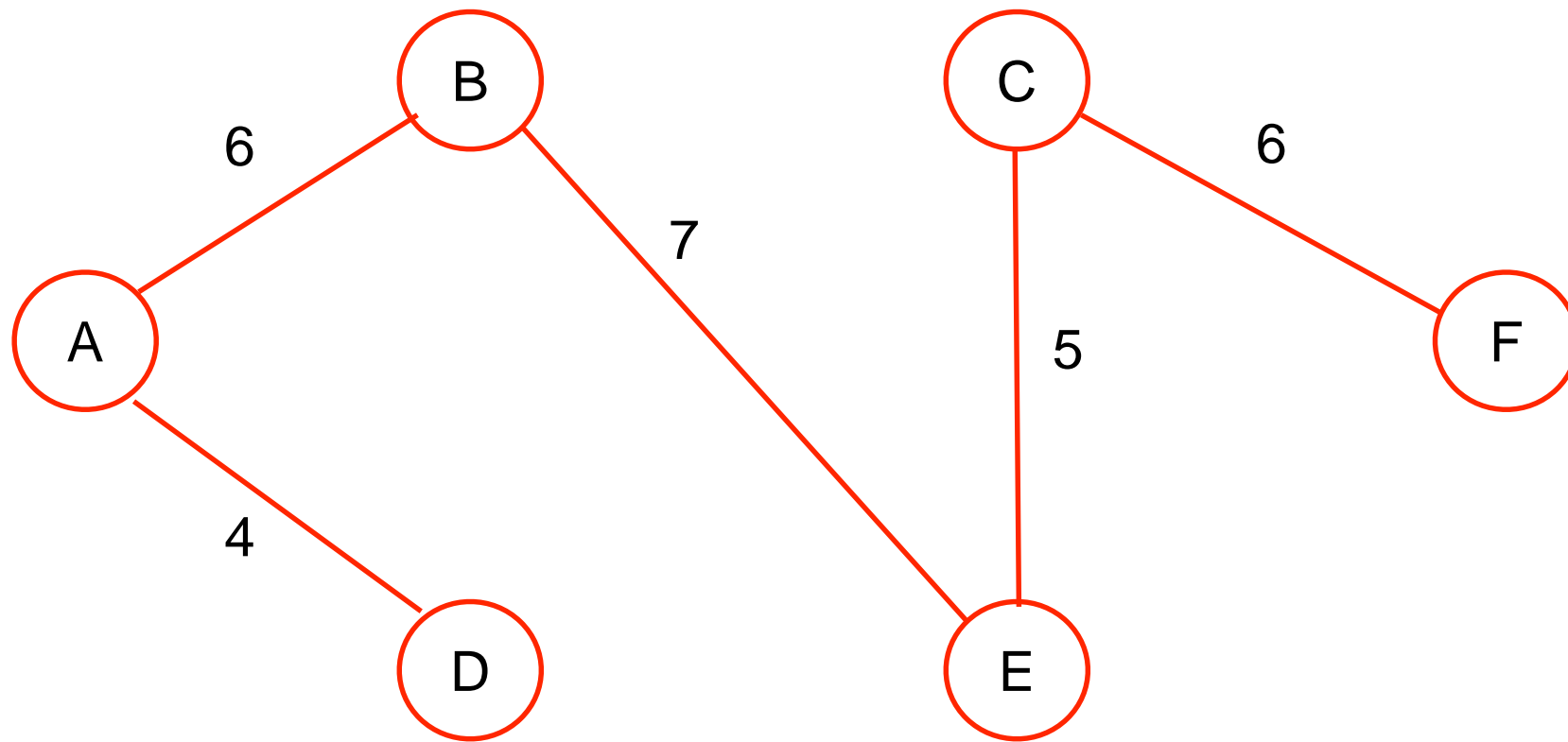
MST with Weighted Graphs



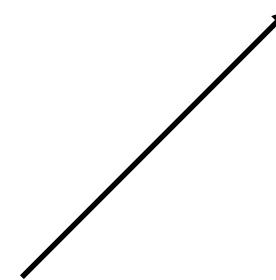
Edge	Weight

The Resultant Minimum Spanning Tree

MST with Weighted Graphs

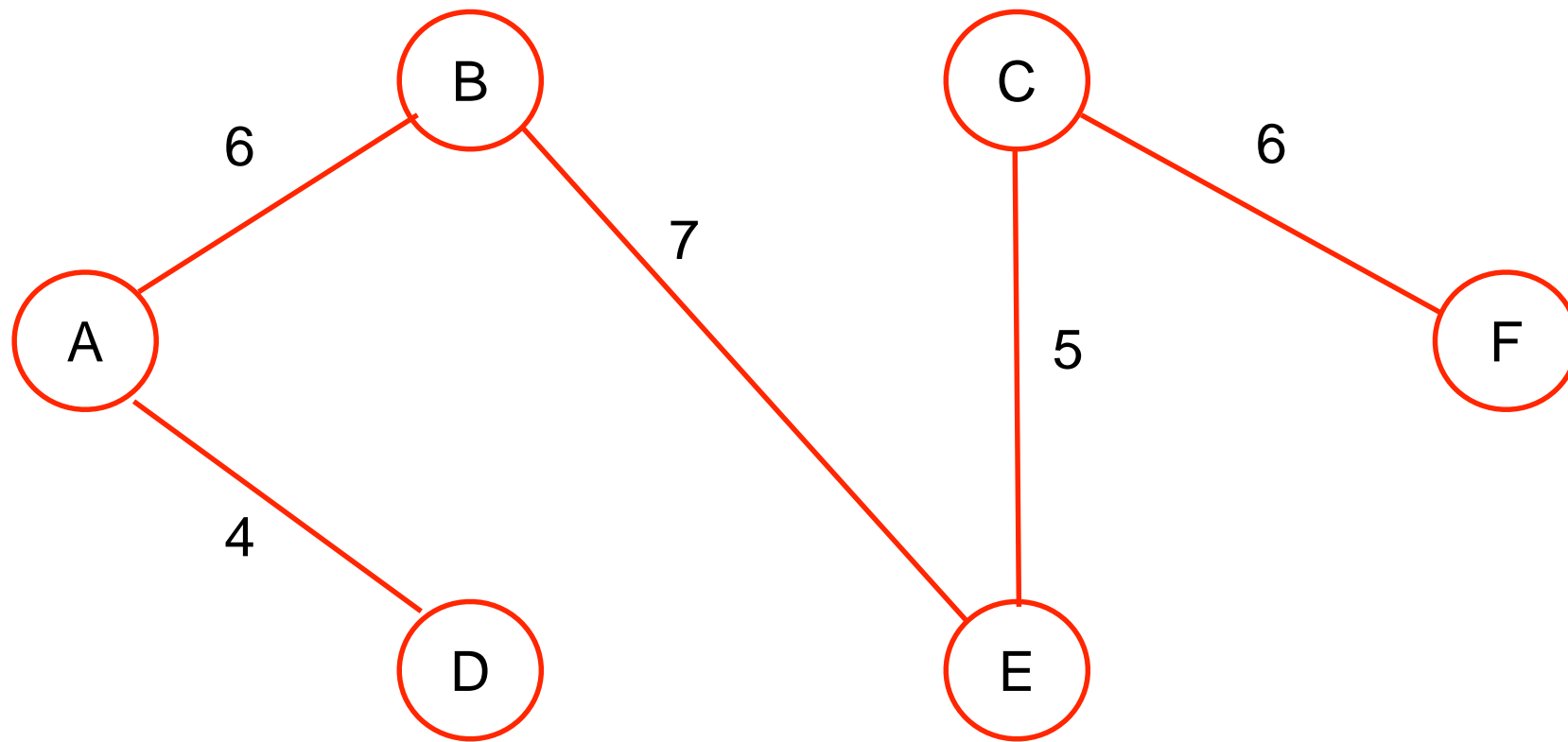


Edge	Weight



Which ADT would you choose to implement this list?

MST with Weighted Graphs



Edge	Weight

Which ADT would you choose to implement this list?

Priority Queue

Prim-Jarnik's Algorithm

- This idea (which you just learned) of finding the MST is the basis of Prim's algorithm
- However, there is a slight modification to the basic idea to make it more efficient
- More specifically, this modification is regarding the PQ and its entries

PQ in Prim's Algorithm

- Each entry in the PQ is of the following type
 - $\{D[v], (v, e)\}$
- Where v is the vertex, $D[v]$ is its key, and e is the incident edge with the minimum weight

PQ in Prim's Algorithm

- Each entry in the PQ is of the following type
 - $\{D[v], (v, e)\}$
- Where v is the vertex, $D[v]$ is its key, and e is the incident edge with the minimum weight



Thus only one edge is stored for each vertex. It is the one that has the lowest weight.

Prim's Algorithm (1)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (2)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G  **Starting vertex**

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

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if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (3)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$  Set its key to 0

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (4)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

← For every other vertex, set its key to infinity

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (5)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.



Initial tree

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (6)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

← Create PQ.
Notice the "None"

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

Prim's Algorithm (7)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

← 1. While Q is not empty, remove the vertex u with the minimum key, and put it in T using e

Prim's Algorithm (8)

Algorithm PrimJarnik(G):

Input: An undirected, weighted, connected graph G with n vertices and m edges

Output: A minimum spanning tree T for G

Pick any vertex s of G

$D[s] = 0$

for each vertex $v \neq s$ **do**

$D[v] = \infty$

Initialize $T = \emptyset$.

Initialize a priority queue Q with an entry $(D[v], (v, \text{None}))$ for each vertex v , where $D[v]$ is the key in the priority queue, and (v, None) is the associated value.

while Q is not empty **do**

$(u, e) = \text{value returned by } Q.\text{remove_min}()$

 Connect vertex u to T using edge e .

for each edge $e' = (u, v)$ such that v is in Q **do**

 {check if edge (u, v) better connects v to T }

if $w(u, v) < D[v]$ **then**

$D[v] = w(u, v)$

 Change the key of vertex v in Q to $D[v]$.

 Change the value of vertex v in Q to (v, e') .

return the tree T

1. While Q is not empty, remove the vertex u with the minimum key, and put it in T using e

2. And, do the following

“For every adjacent vertex v of u , replace its key $D[v]$ with the weight $w(u, v)$, only if it is less than the key “

Prim's Algorithm Time Complexity

- Three main tasks
 1. Creation of PQ – $O(|V| \log |V|)$
 2. Emptying the PQ – $O(|V| \log |V|)$
 3. Updating the PQ – $O(|E| \log |V|)$
- Thus, T: $O(|E| \log |V|)$

Shortest Path

Shortest Path

- Given a weighted graph and two vertices u and v , we want to find a path of minimum total weight between u and v .
 - Length of a path is the sum of the weights of its edges.
- Applications
 - Internet packet routing
 - Flight reservations
 - Driving directions

Shortest Path Properties

Property 1:

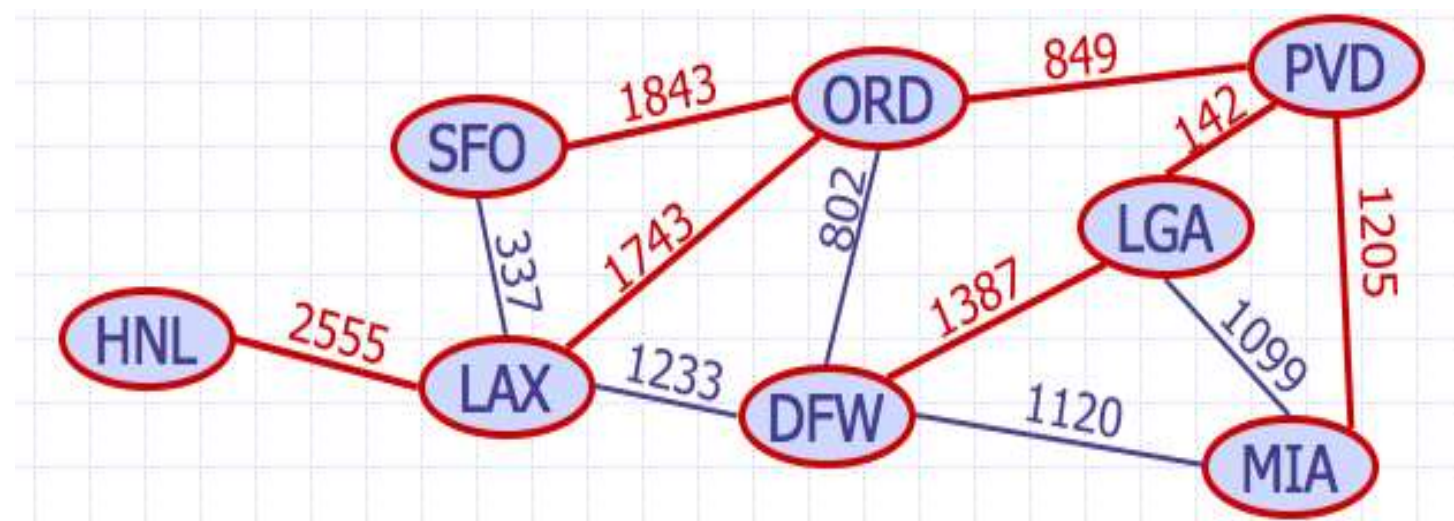
A subpath of a shortest path is itself a shortest path

Property 2:

There is a tree of shortest paths from a start vertex to all the other vertices

Example:

Tree of shortest paths from Providence (PVD)



Dijkstra's Algorithm (1)

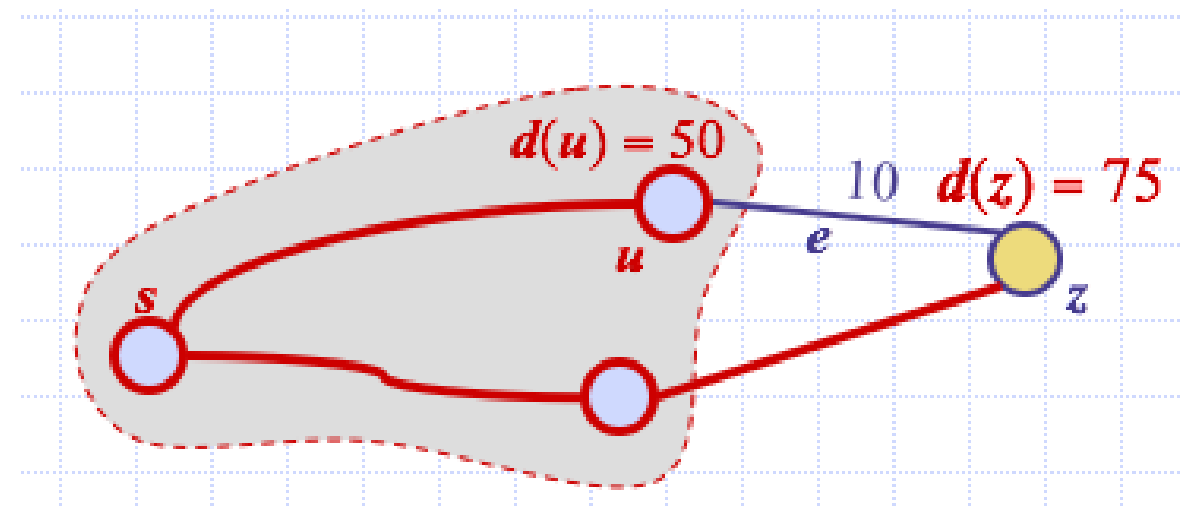
- Finds the shortest path from a given node u to every other node in G
- Works on the same idea as the Prim's algorithm, with a **small difference**

What's the Similarity with Prim's Algorithm?

- We grow a “cloud” of vertices, beginning with s and eventually covering all the vertices
- We store (in a PQ) with each vertex v a label $d(v)$ representing the distance of v from s
- At each step
 - We add to the cloud the vertex u outside the cloud with the smallest distance label, $d(u)$
 - We update the labels of the vertices adjacent to u

What's the difference?

- Consider an edge $e = (u, z)$ such that
 - u is the vertex most recently added to the cloud
 - z is not in the cloud



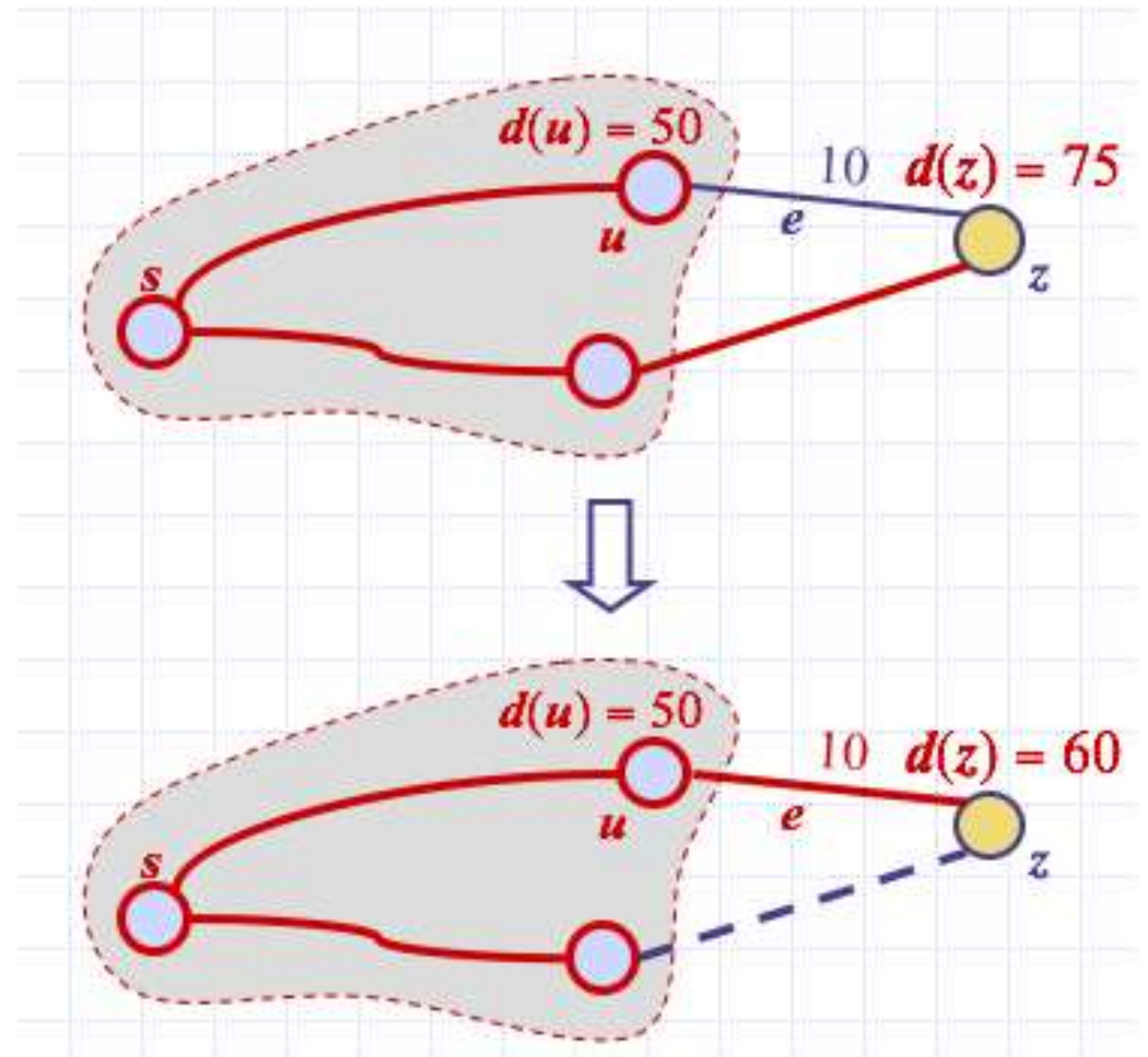
- The relaxation of edge e updates distance $d(z)$ as follows:

$$d(z) \leftarrow \min\{d(z), d(u) + \textit{weight}(e)\}$$

What's the difference?

- Consider an edge $e = (u, z)$ such that
 - u is the vertex most recently added to the cloud
 - z is not in the cloud
- The relaxation of edge e updates distance $d(z)$ as follows:

$$d(z) \leftarrow \min\{d(z), d(u) + \text{weight}(e)\}$$



Pseudo code

Algorithm ShortestPath(G, s):

Input: A weighted graph G with nonnegative edge weights, and a distinguished vertex s of G .

Output: The length of a shortest path from s to v for each vertex v of G .

Initialize $D[s] = 0$ and $D[v] = \infty$ for each vertex $v \neq s$.

Let a priority queue Q contain all the vertices of G using the D labels as keys.

while Q is not empty **do**

 {pull a new vertex u into the cloud}

$u =$ value returned by $Q.remove_min()$

for each vertex v adjacent to u such that v is in Q **do**

 {perform the *relaxation* procedure on edge (u, v) }

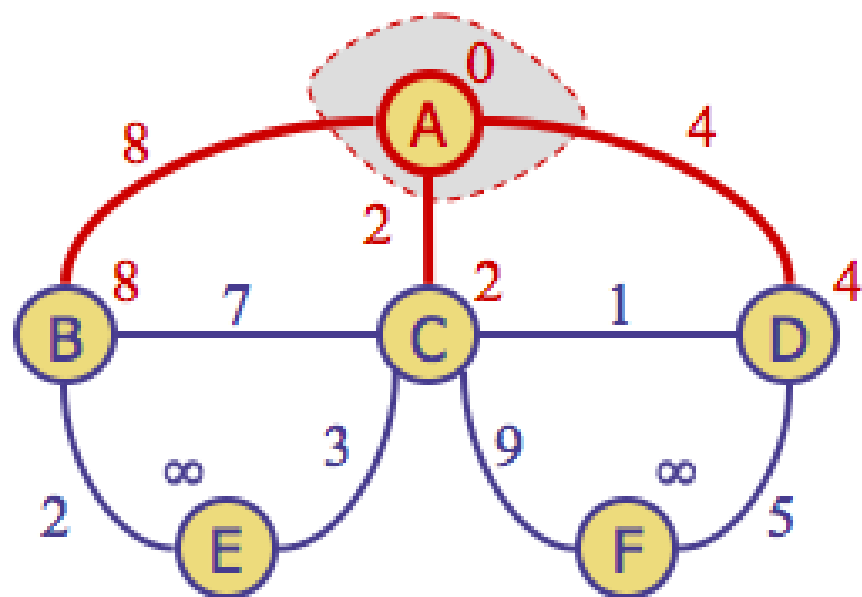
if $D[u] + w(u, v) < D[v]$ **then**

$D[v] = D[u] + w(u, v)$

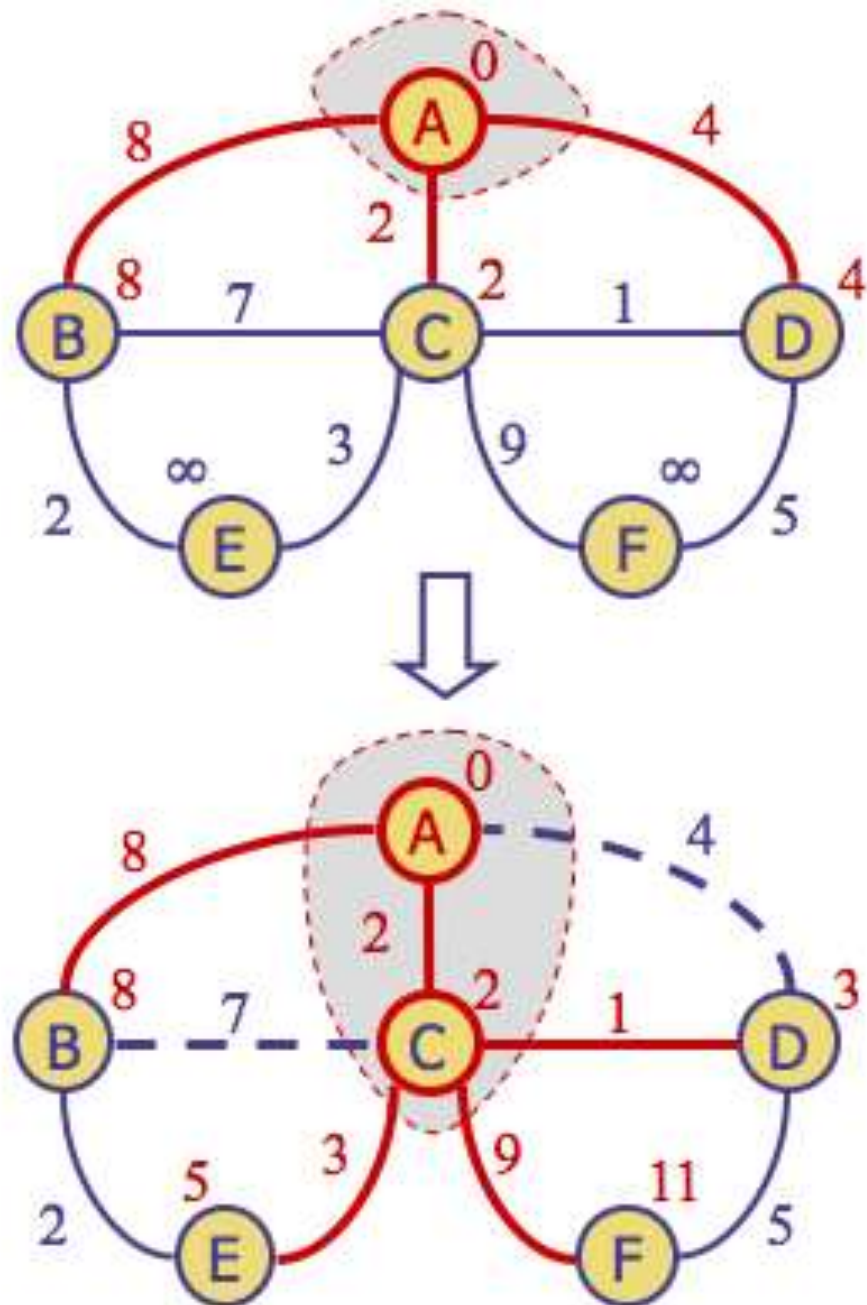
 Change to $D[v]$ the key of vertex v in Q .

return the label $D[v]$ of each vertex v

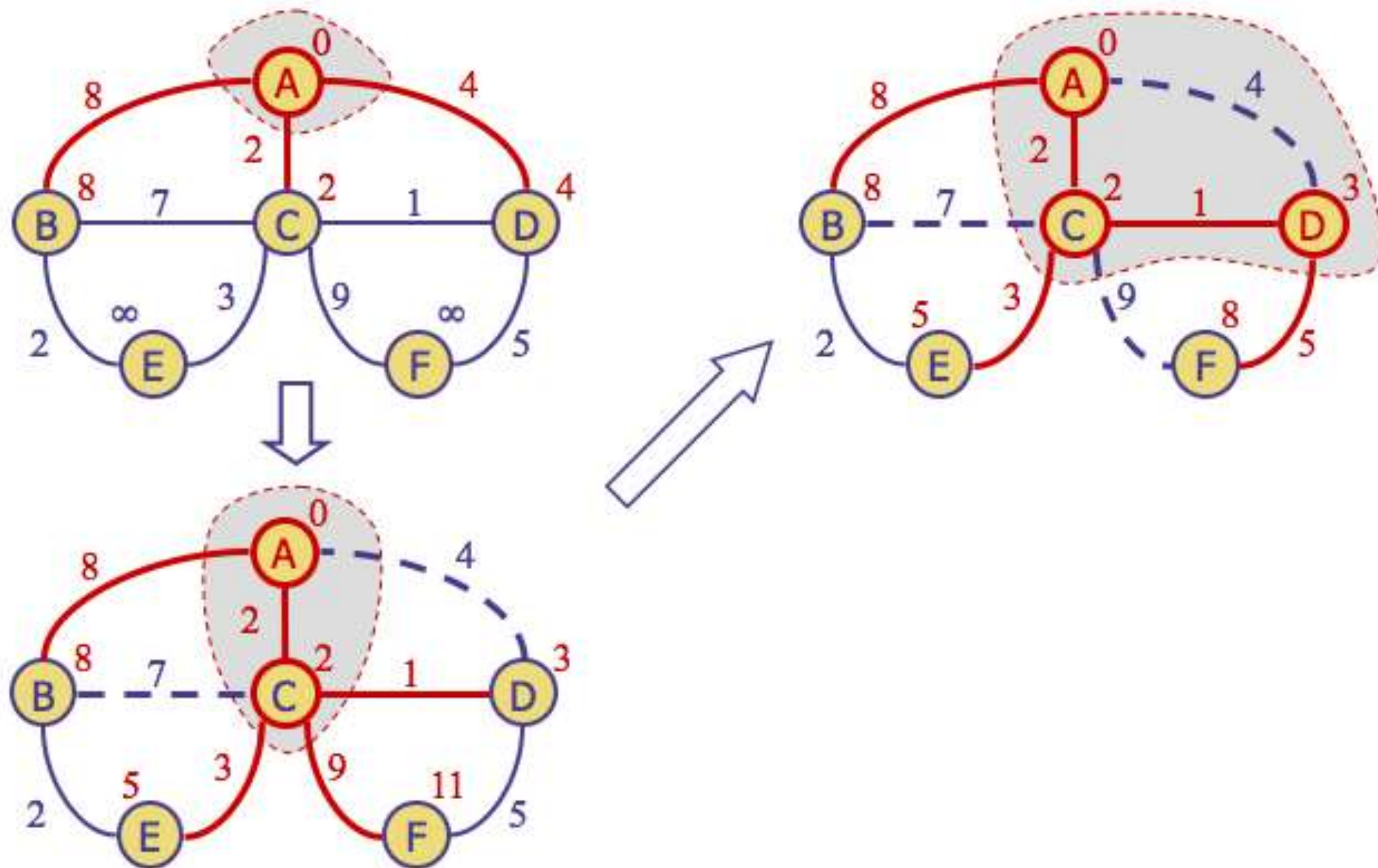
Example



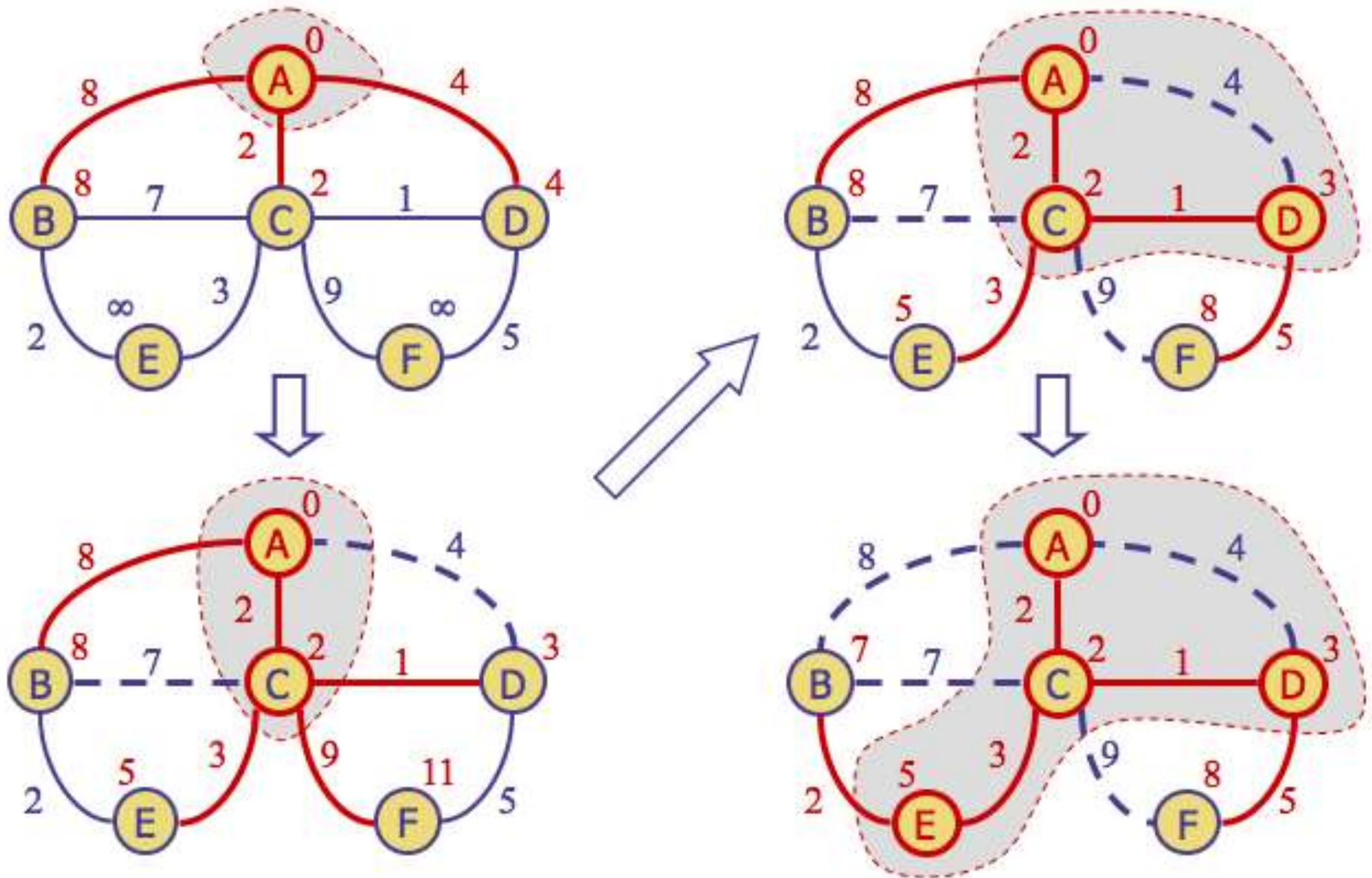
Example



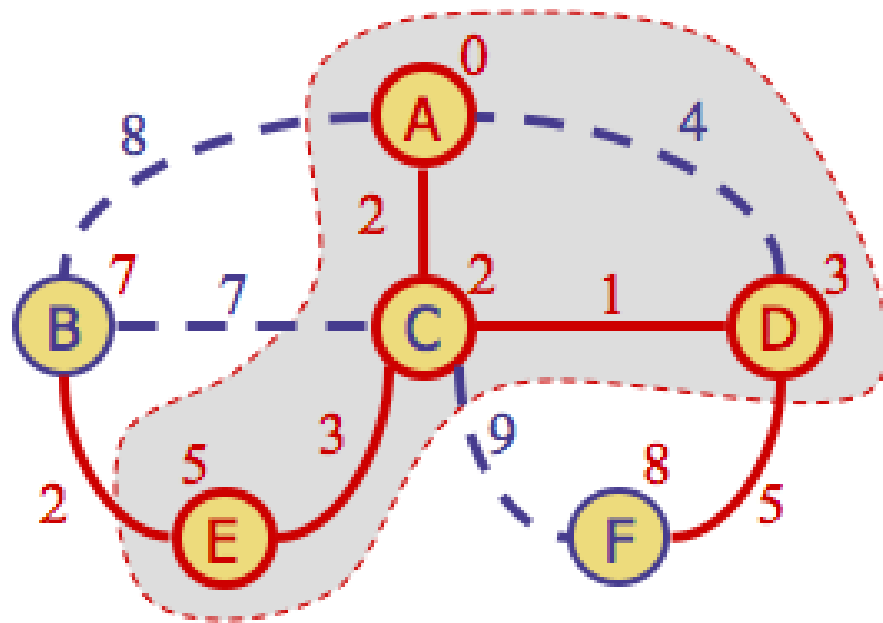
Example



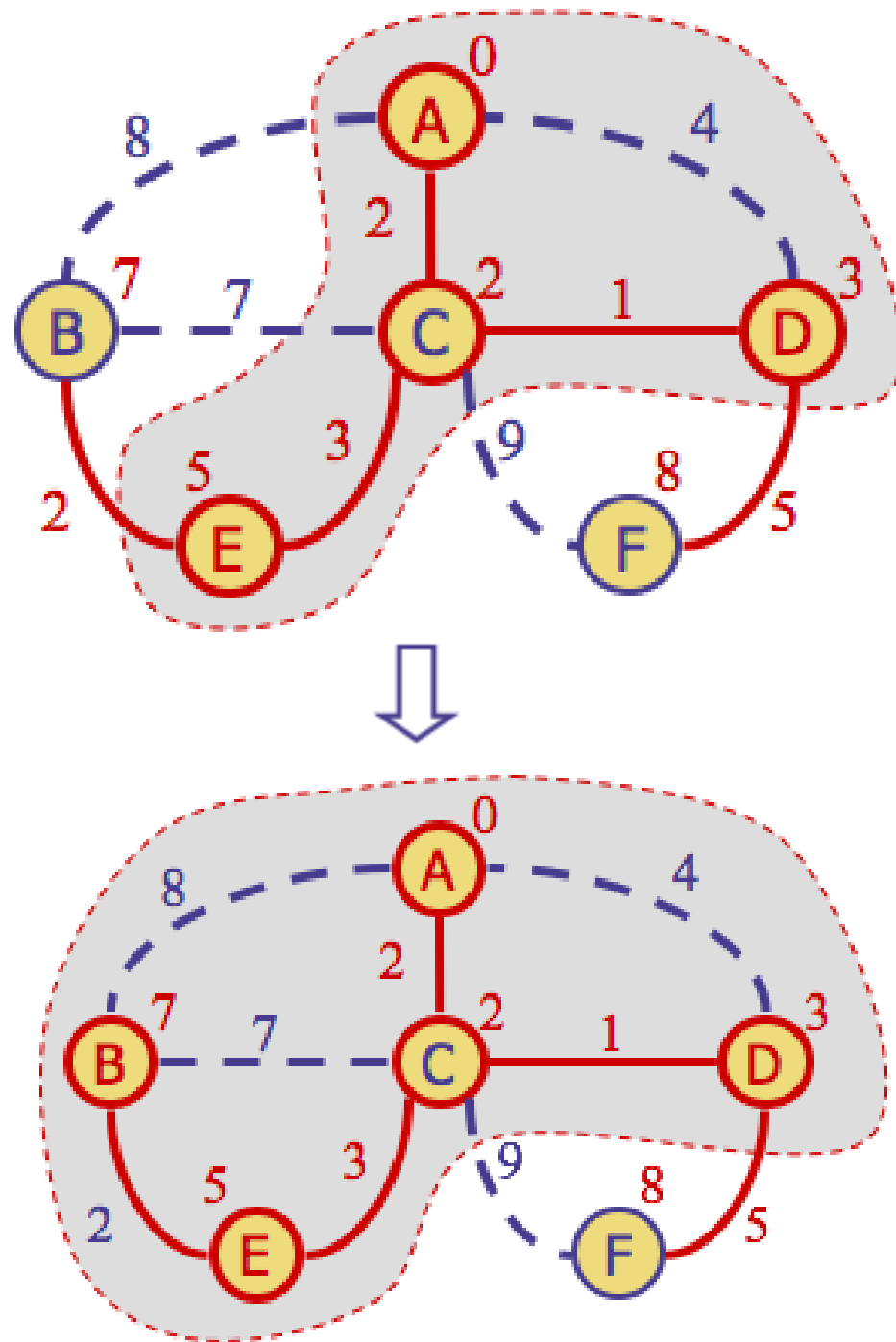
Example



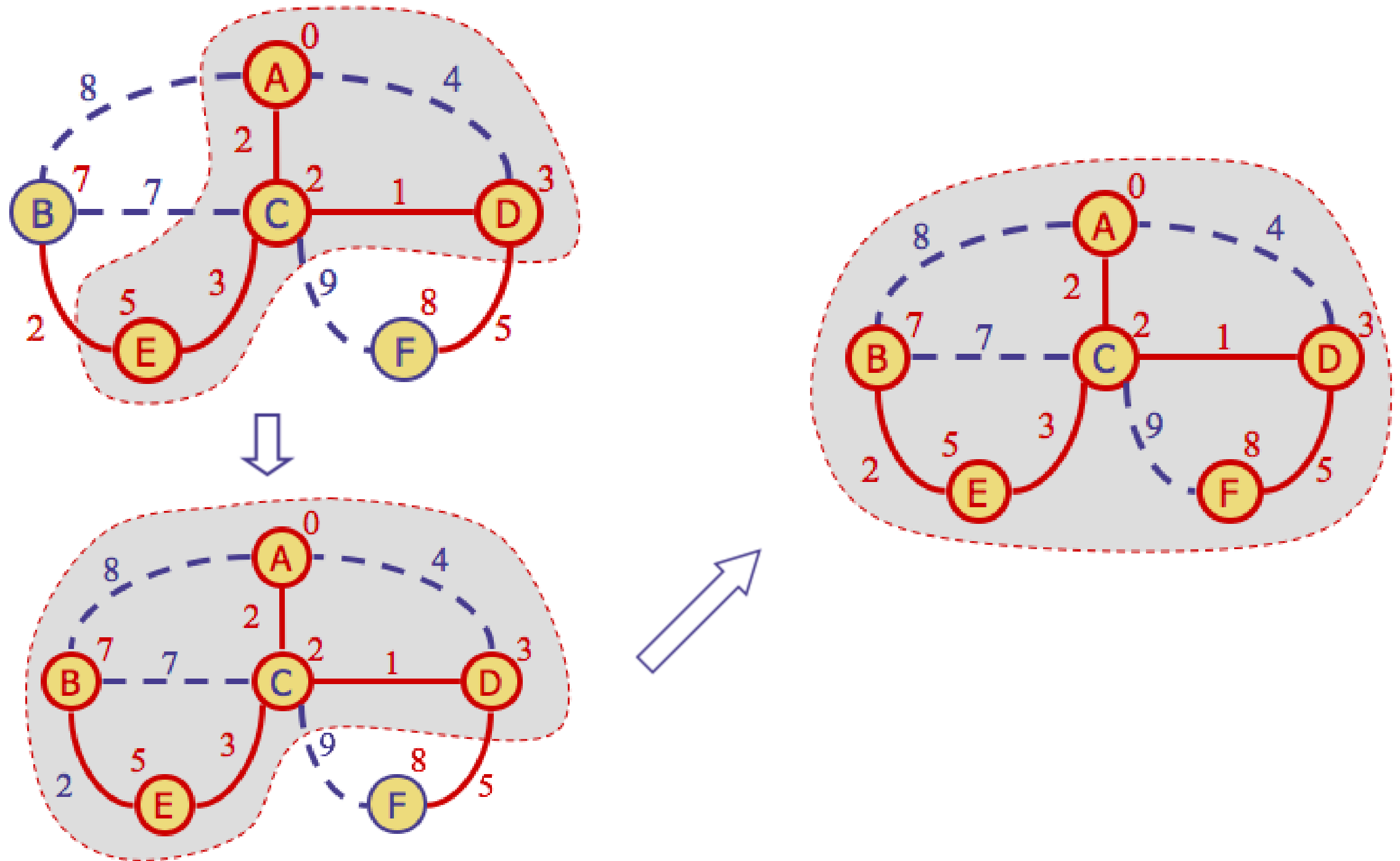
Example



Example



Example

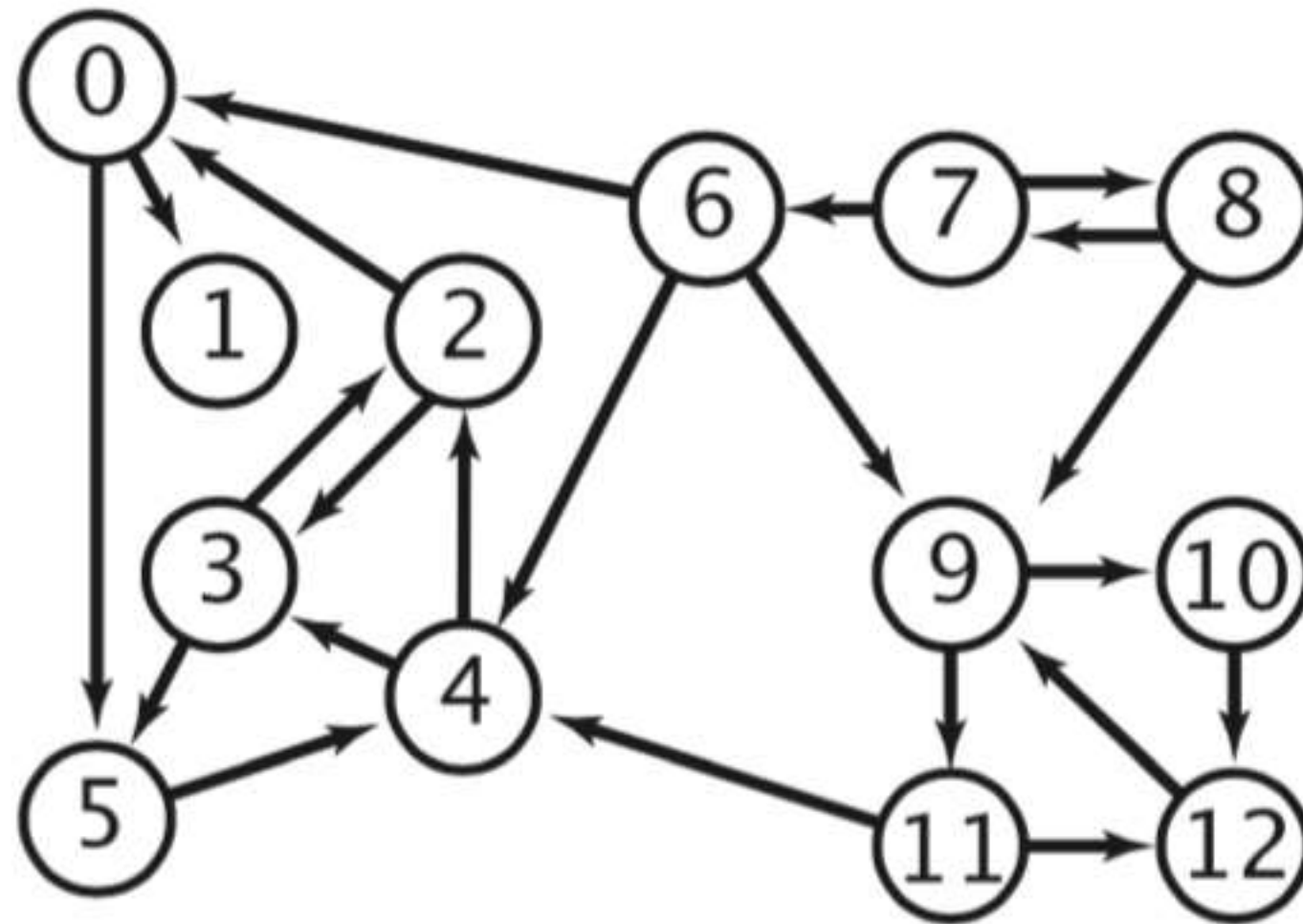


Time Complexity

- Three main tasks
 1. Creation of PQ – $O(|V| \log(|V|))$
 2. Emptying the PQ – $O(|V| \log(|V|))$
 3. Updating the PQ – $O(|E| \log(|V|))$
- Thus, T: $O(|E| \log(|V|))$

Topological Sorting with Directed Graphs

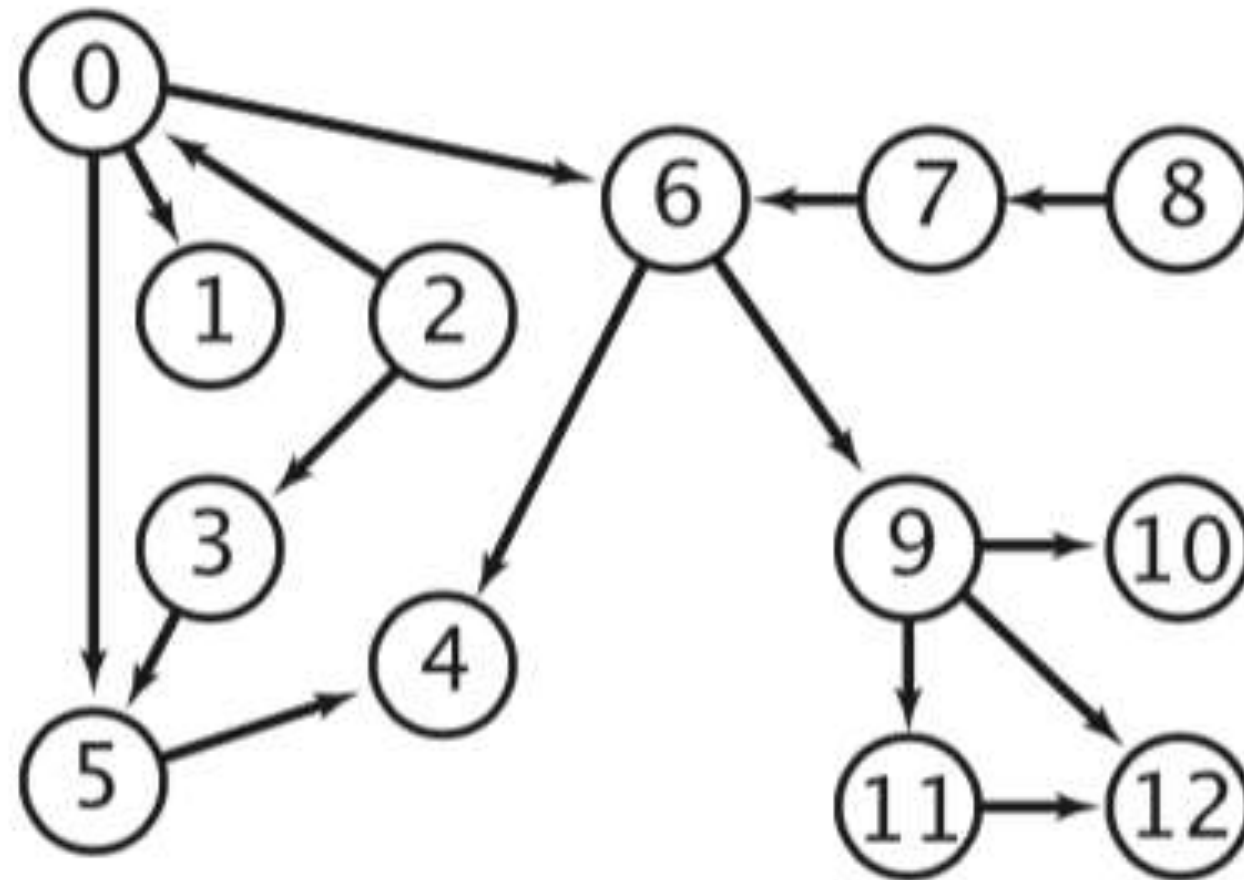
Directed Graphs



outdegree, indegree, directed path, directed cycle

Directed Acyclic Graphs

- A digraph with no directed cycle



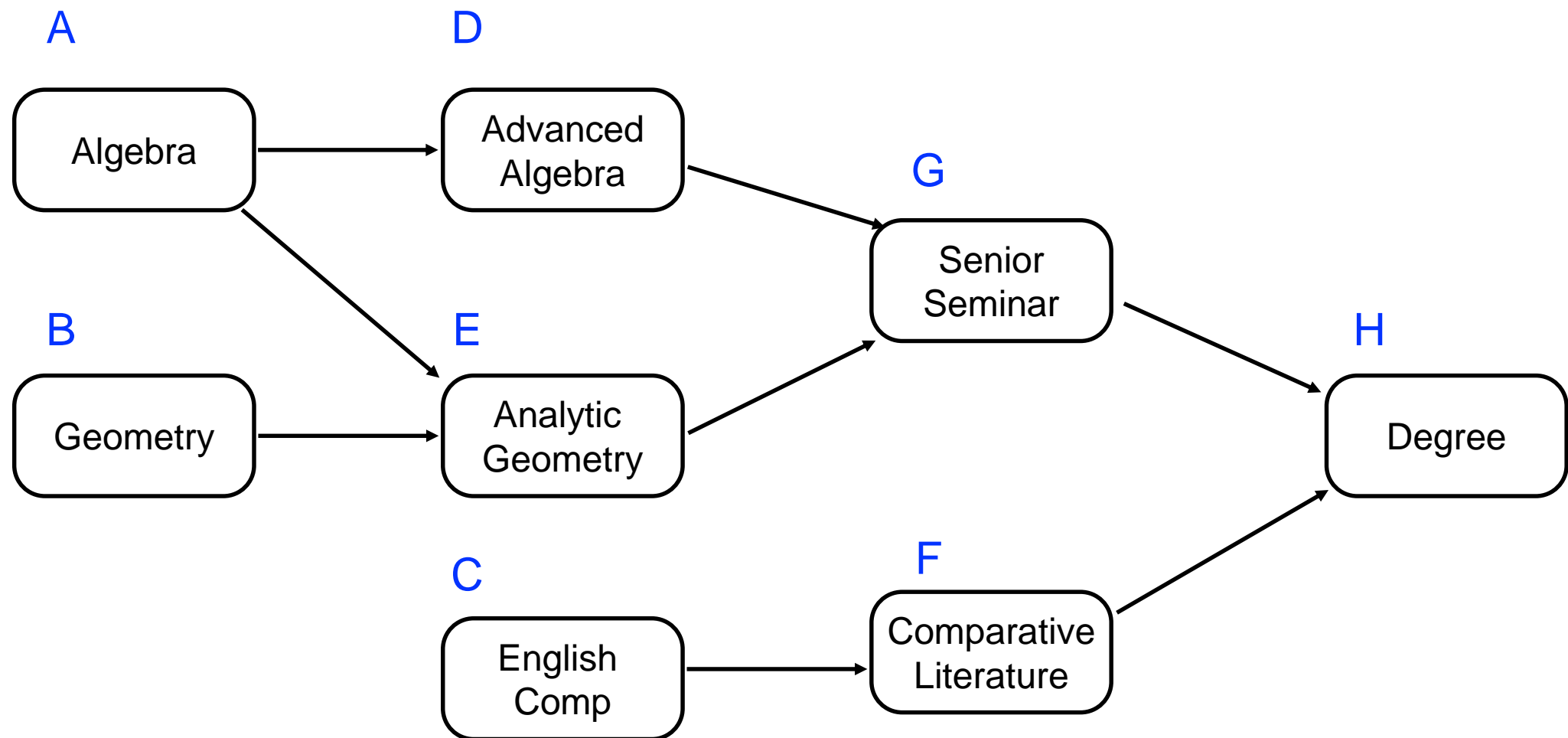
Topological Sorting

- Most important operation on directed acyclic graphs (DAGs)
- It orders vertices on a line such that all directed edges go from left to right
- Why is it important?

Topological Sorting

- Sometimes, students cannot just take any course they want
- Some courses have prerequisites
- Look at the example on the next slide

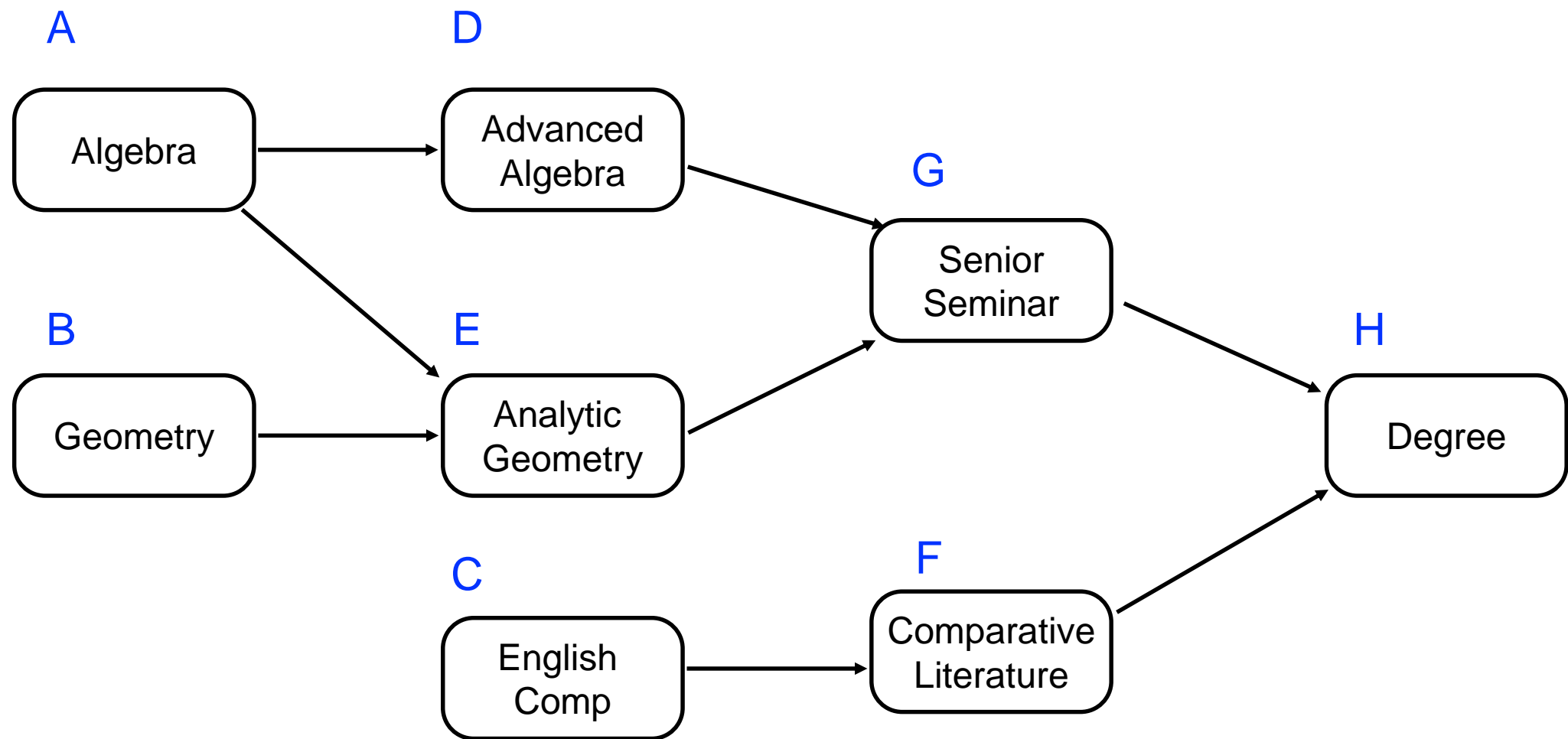
Topological Sorting



Topological Sorting

- You can use topological sorting to list the courses in the order you need to take them
- Arranged this way, the graph is called **topological sorted**
- For example,

Topological Sorting

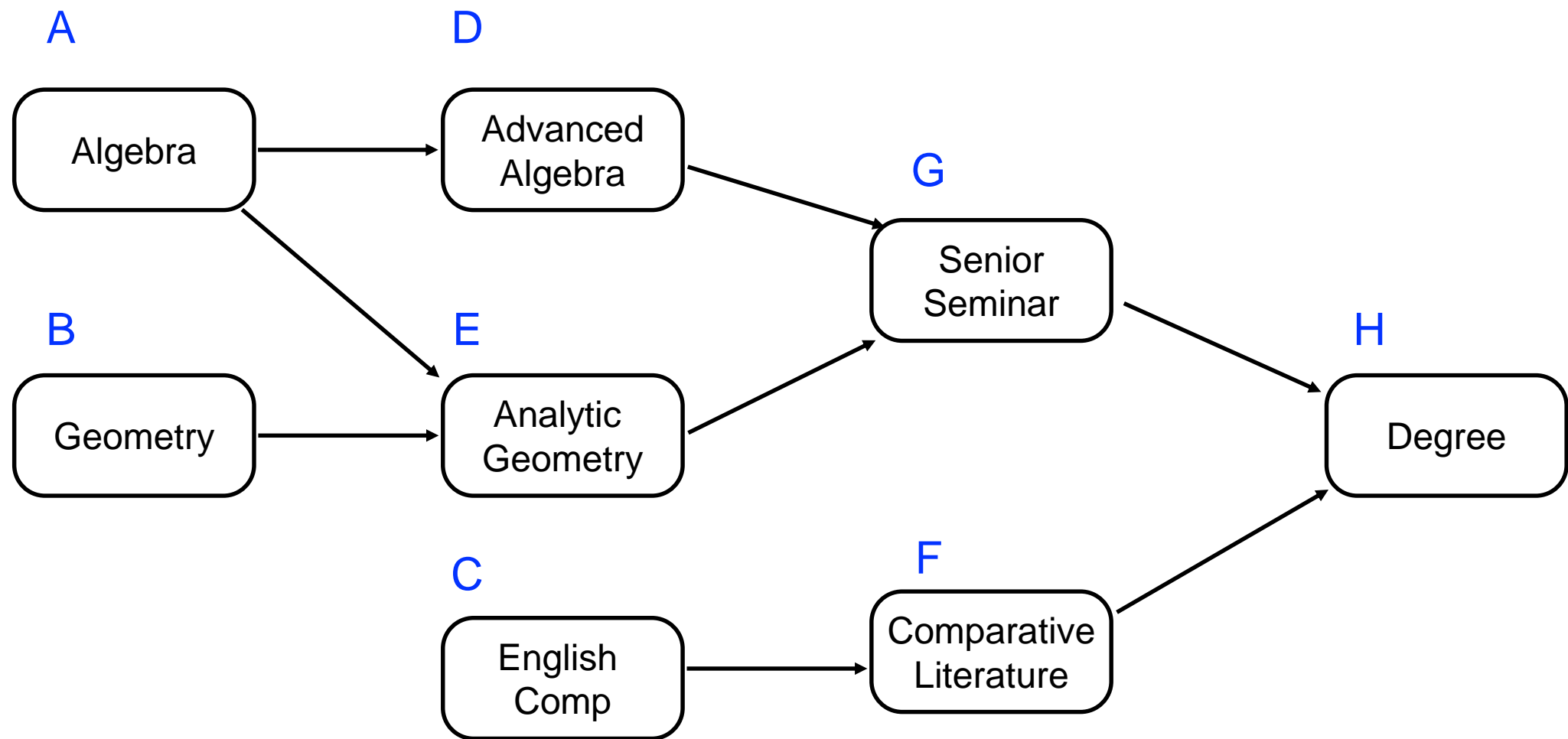


BAEDGCFH

Topological Sorting

- Many possible ordering would satisfy the course prerequisites
- For example,

Topological Sorting



CFBAEDGH

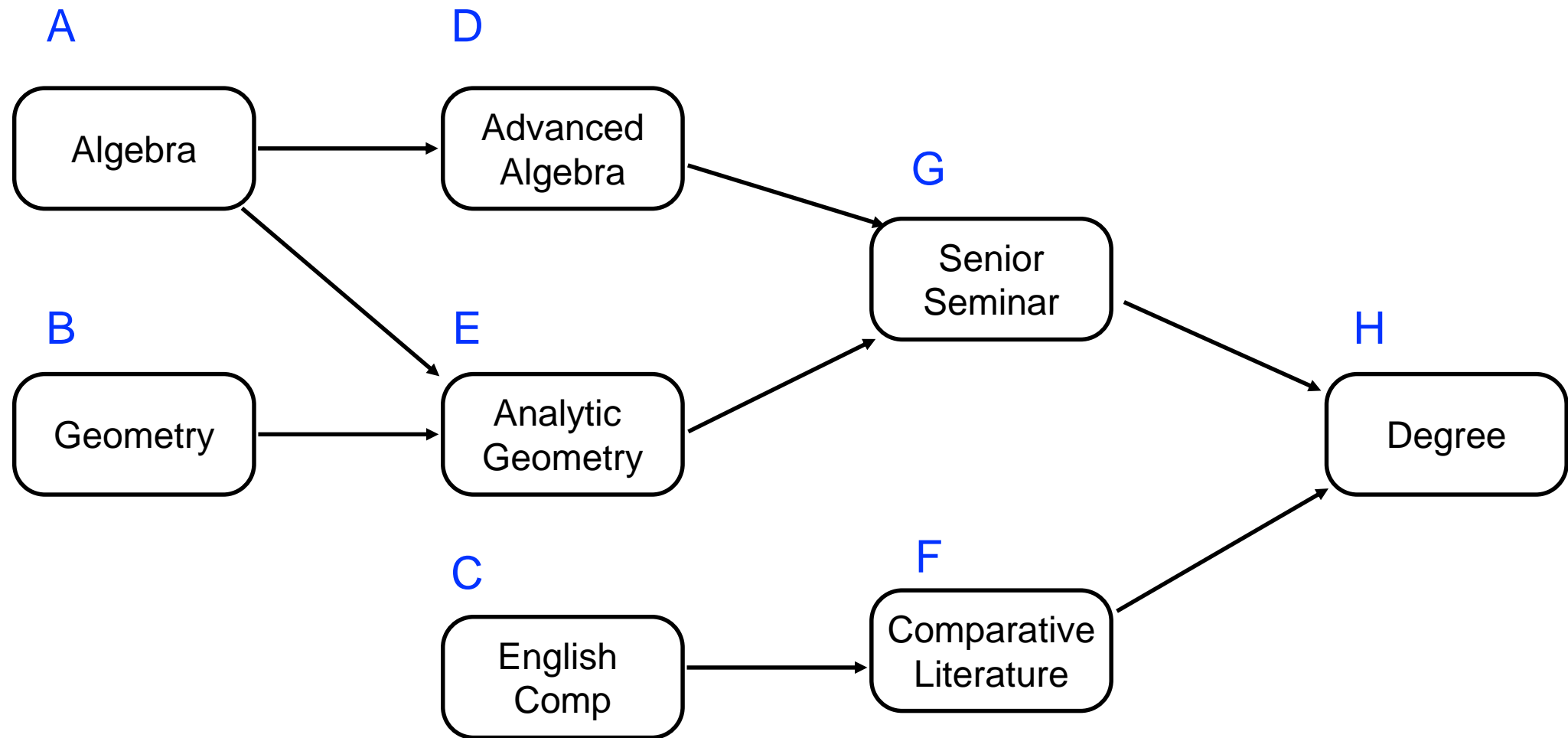
Topological Sorting

- There are many other possible orderings as well
- When you use an algorithm to generate a topological sort, the approach you take and the details of the code determine which of various valid sorting are generated
- Topological sorting can be used to model job scheduling as well

Topological Sorting

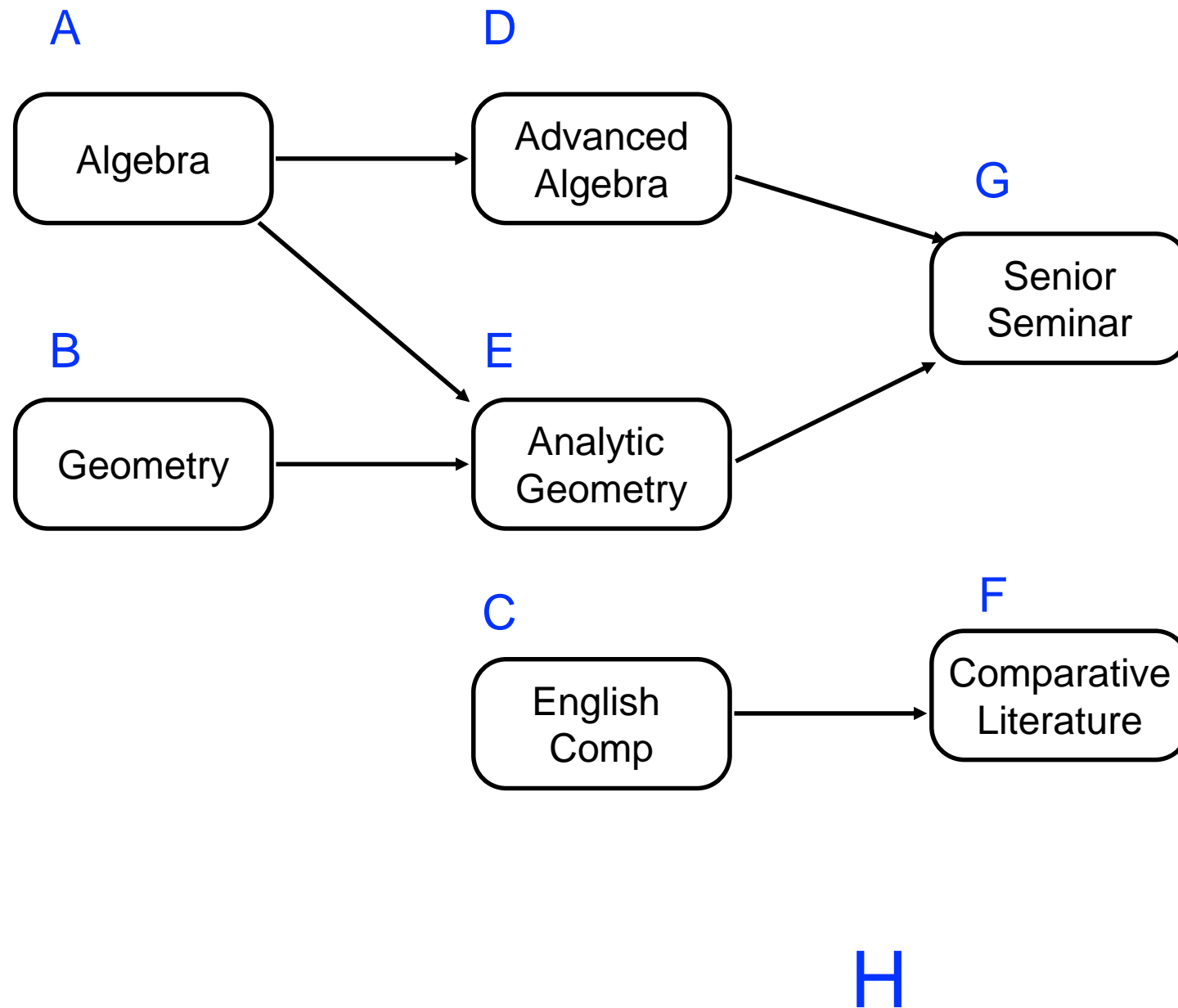
- A simple algorithm
- Uses a list
- Given a DAG, take the following steps
 - Step 1: Find a vertex that has no successors
 - Step 2; Delete this vertex from the graph, and insert its label at the beginning of the list
- Repeat Step 1 and Step 2, until all the vertices are gone
- The list shows the vertices arranged in topological order

Topological Sorting

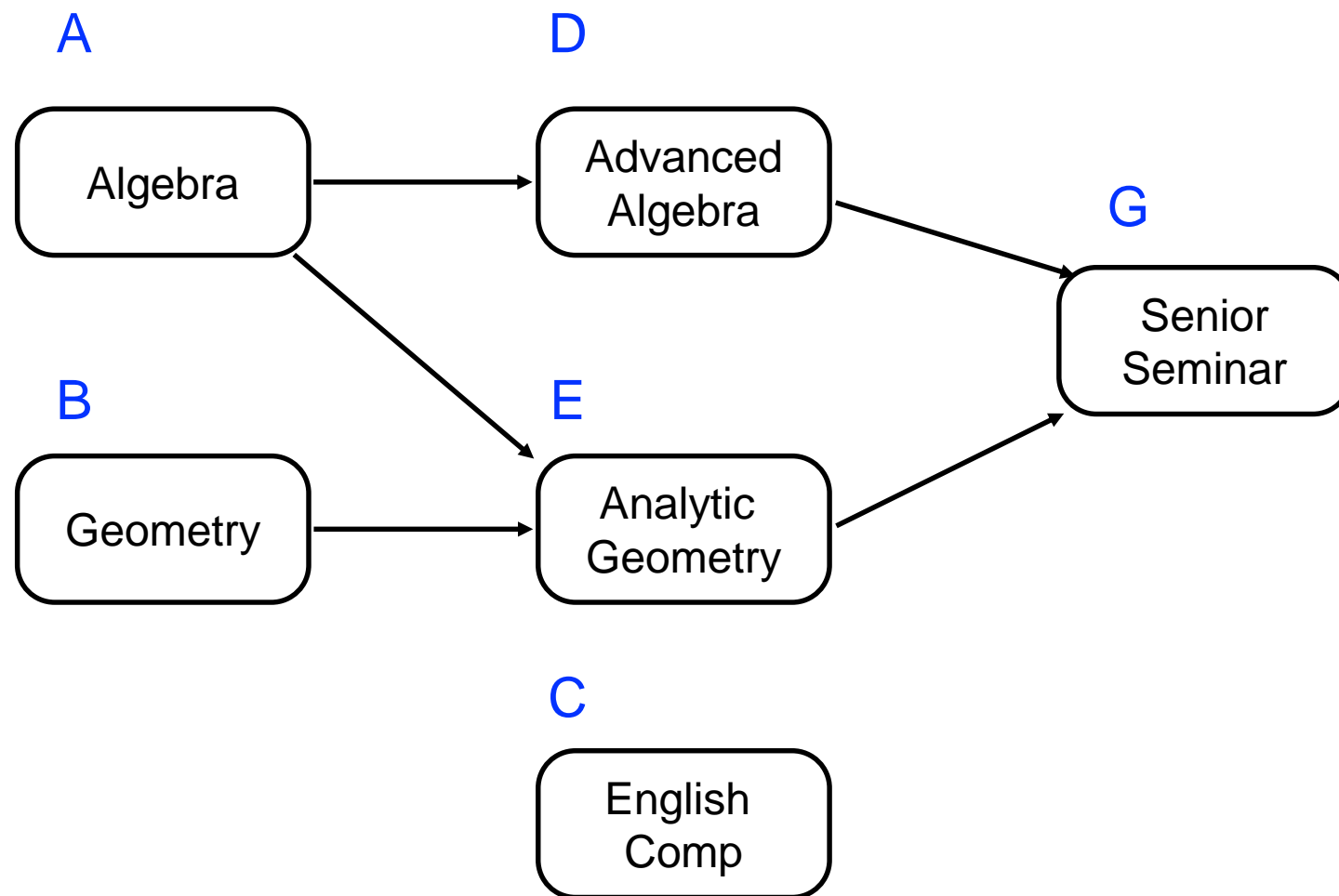


Which vertex has no successors?

Topological Sorting

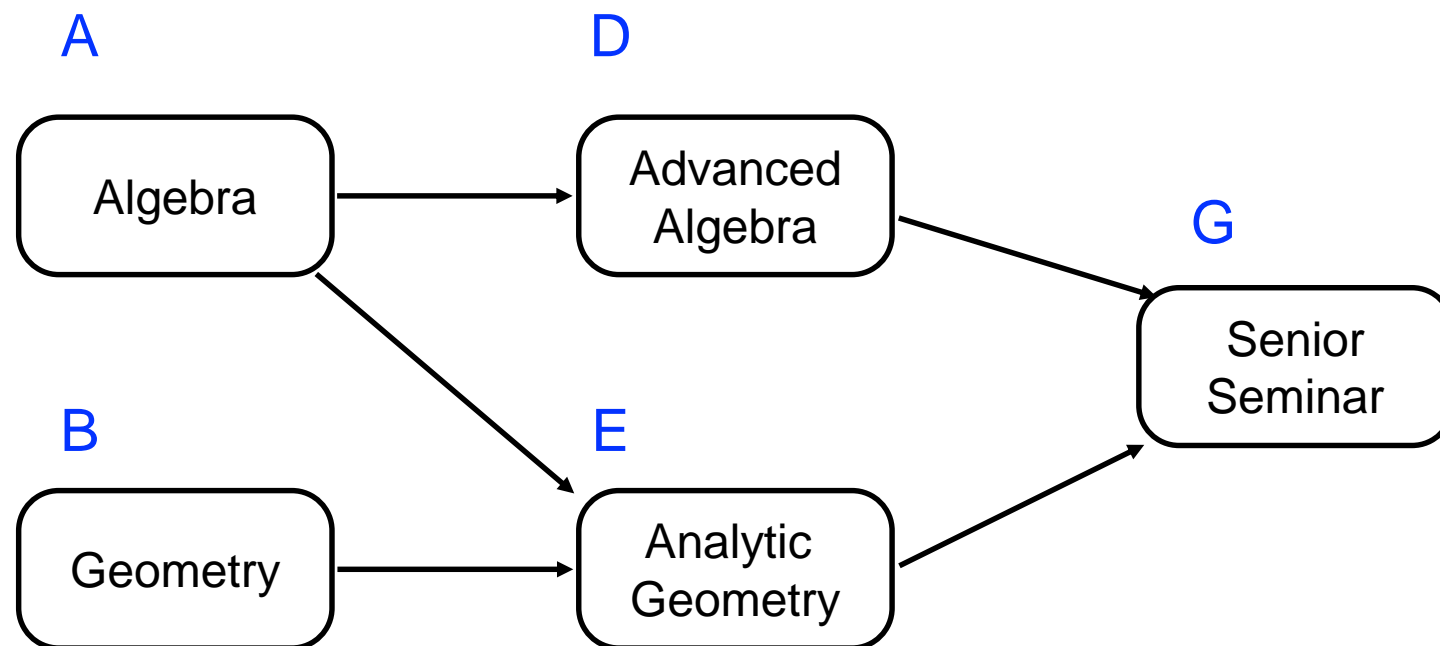


Topological Sorting



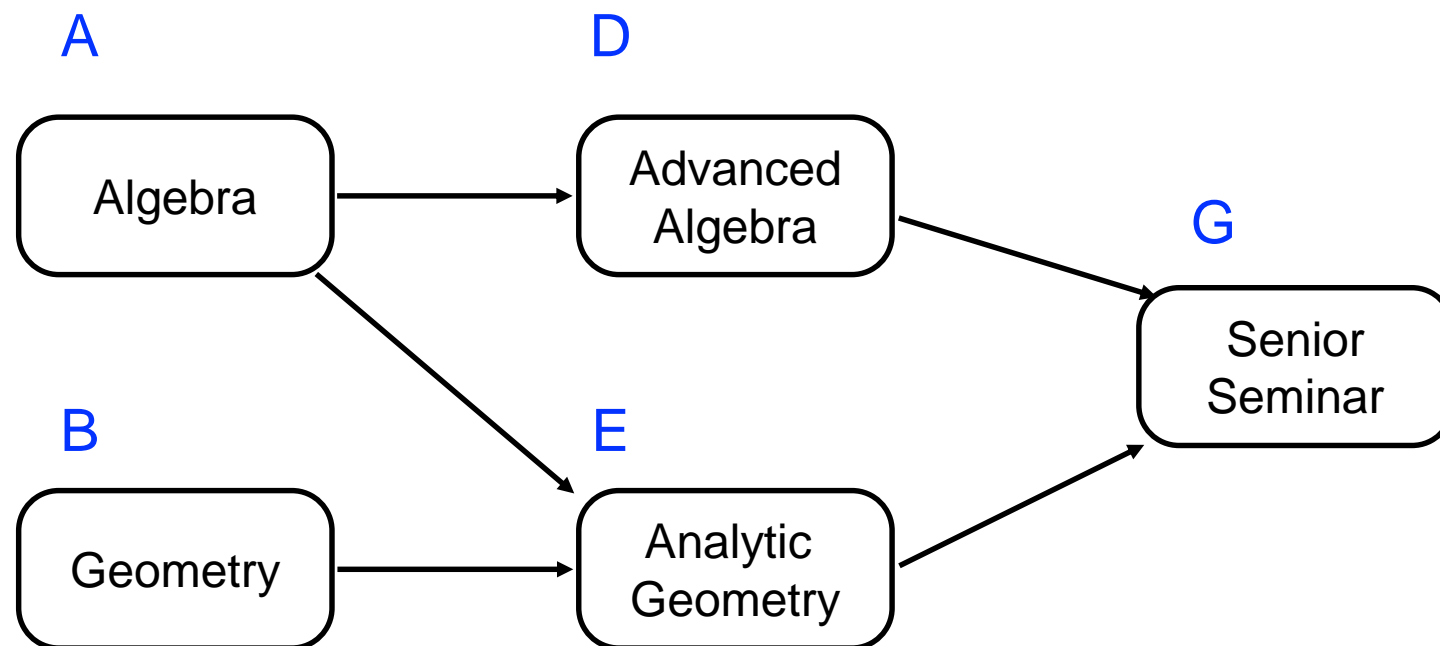
FH

Topological Sorting



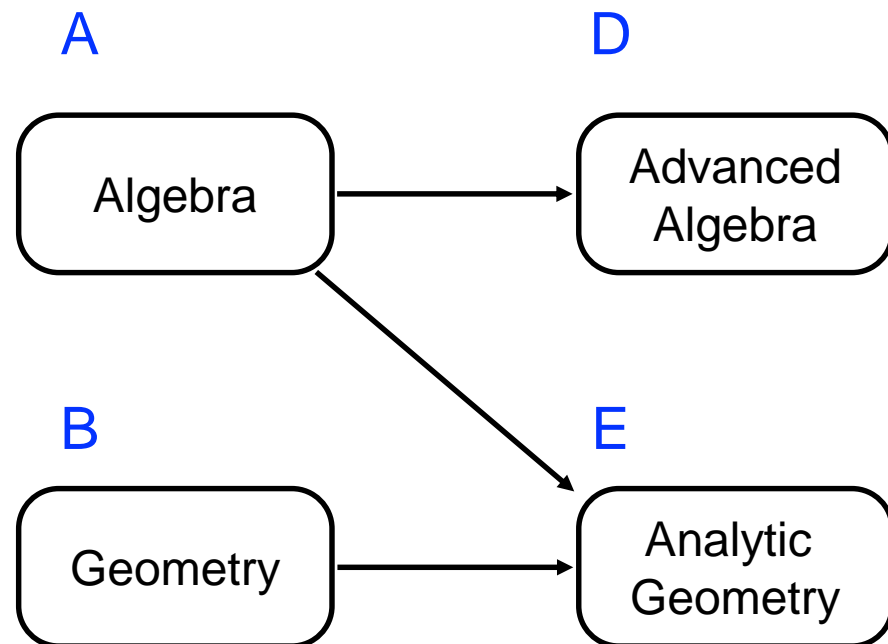
CFH

Topological Sorting



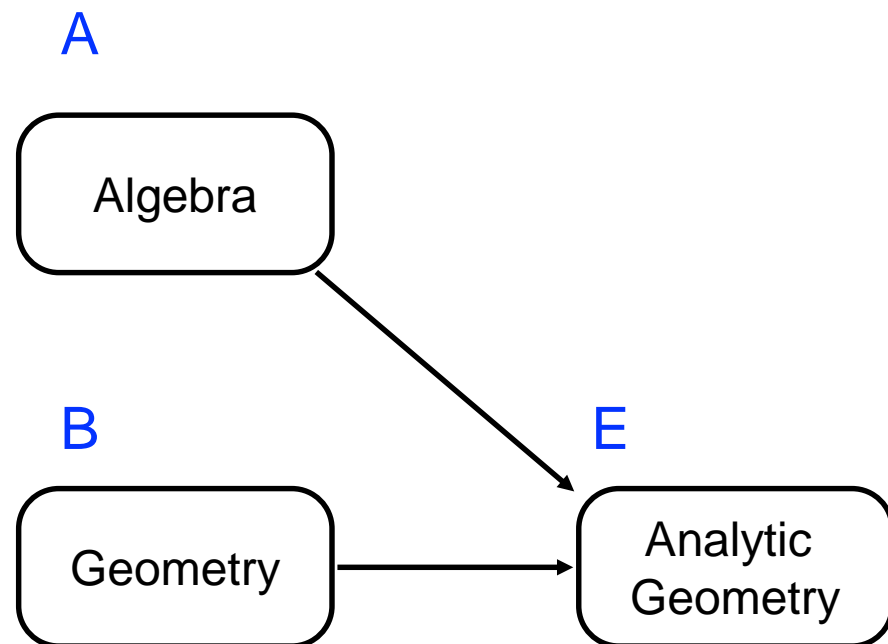
CFH

Topological Sorting



GCFH

Topological Sorting



DGCFH

Topological Sorting

A

Algebra

B

Geometry

EDGCFH

Topological Sorting

B

Geometry

AEDGCFH

Topological Sorting

BAEDGCFH

Topological Sorted Graph

Topological Sorting

- Note: one kind of graph that the topological sort algorithm cannot handle is a graph with **cycles**
 - A path that ends where it started

Topological Sorting

```
public void topo()                // topological sort
{
    int orig_nVerts = nVerts;    // remember how many verts

    while(nVerts > 0)            // while vertices remain,
    {
        // get a vertex with no successors, or -1
        int currentVertex = noSuccessors();
        if(currentVertex == -1)    // must be a cycle
        {
            System.out.println("ERROR: Graph has cycles");
            return;
        }
    }
}
```

Topological Sorting

```
// insert vertex label in sorted array (start at end)
sortedArray[nVerts-1] = vertexList[currentVertex].label;

deleteVertex(currentVertex); // delete vertex
} // end while

// vertices all gone; display sortedArray
System.out.print("Topologically sorted order: ");
for(int j=0; j<orig_nVerts; j++)
    System.out.print( sortedArray[j] );
System.out.println("");

} // end topo
```


Topological Sorting

```
public int noSuccessors() // returns vert with no successors
{
    // (or -1 if no such verts)
    boolean isEdge; // edge from row to column in adjMat

    for(int row=0; row<nVerts; row++) // for each vertex,
    {
        isEdge = false; // check edges
        for(int col=0; col<nVerts; col++)
        {
            if( adjMat[row][col] > 0 ) // if edge to
            {
                isEdge = true; // another,
                break; // this vertex
            } // has a successor
        } // try another
        if( !isEdge ) // if no edges,
            return row; // has no successors
    }
    return -1; // no such vertex

} // end noSuccessors()
```

P vs. NP

Problem Complexity

- Some problems are easy to solve, whereas others are extremely hard to solve
- For example: Multiplication vs. Factoring

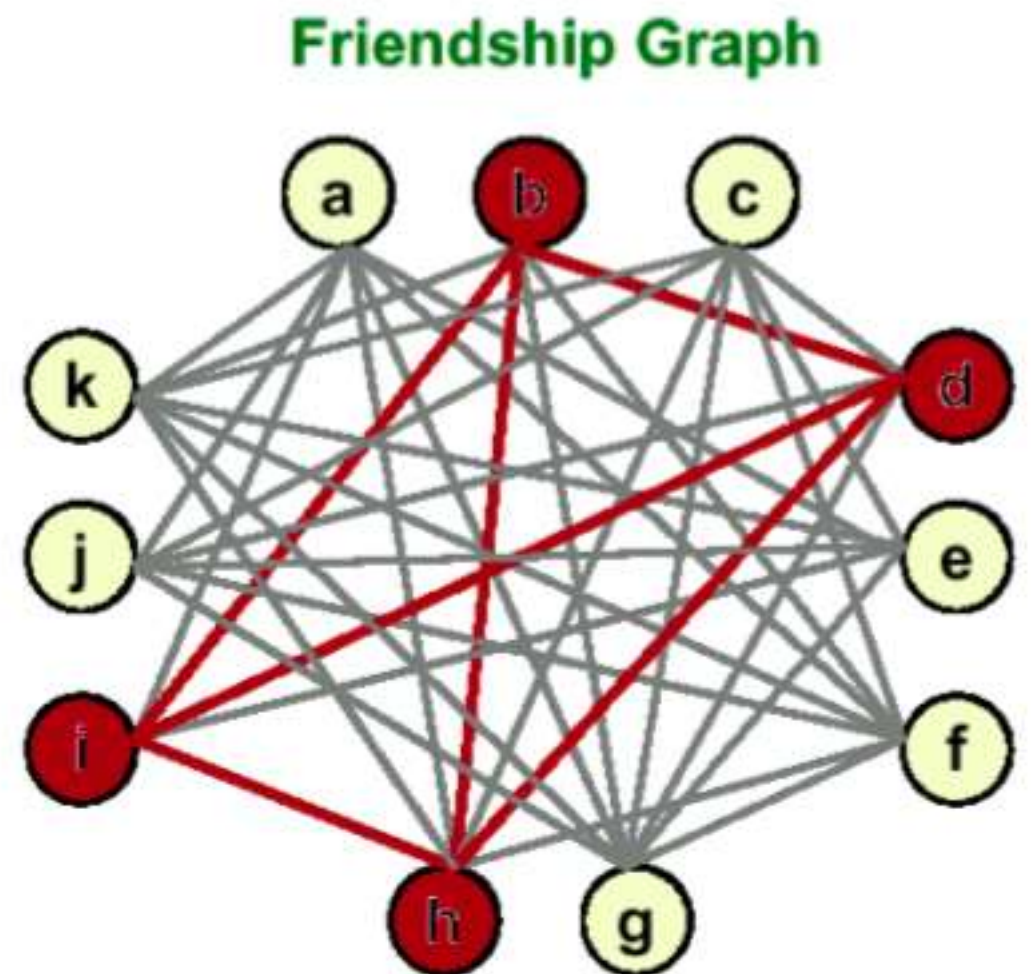
$$9 \times 13 = ? \quad \text{vs.} \quad ? \times ? = 91$$

Why is factoring a hard problem?

- Unlike multiplication, where we can zoom in onto the answer
- All we can do for factoring is to **search** for the answer
- **Brute Force Search**
 - Divide by 2, 3, 5, 7, ... until find a factor
 - Very slow when the search space is huge

Clique Example

- Given n people and their pairwise relationships, is there a group of s people such that every pair in the group knows each other
 - people: a, b, c, \dots, k
 - friendships: $(a,e), (a,f), \dots$
 - clique size: $s = 4$
 - YES, $\{b,d,i,h\}$ – a **certificate**



Bigger Clique Problems

- Finding the largest cliques in 100s of nodes
- can take centuries by searching

Other Problem that Might Require Searching

- Scheduling
- Map coloring
- Travelling salesman
- Rule generation
- Tons of more examples...

Is Searching Necessary?

- Michael Sipser (MIT) refers to it as the “Needle in a Haystack Problem”

Is searching necessary?



Is Searching Necessary?

- Michael Sipser (MIT) refers to it as the “Needle in a Haystack Problem”

Is searching necessary?

Not, if you have a magnet!



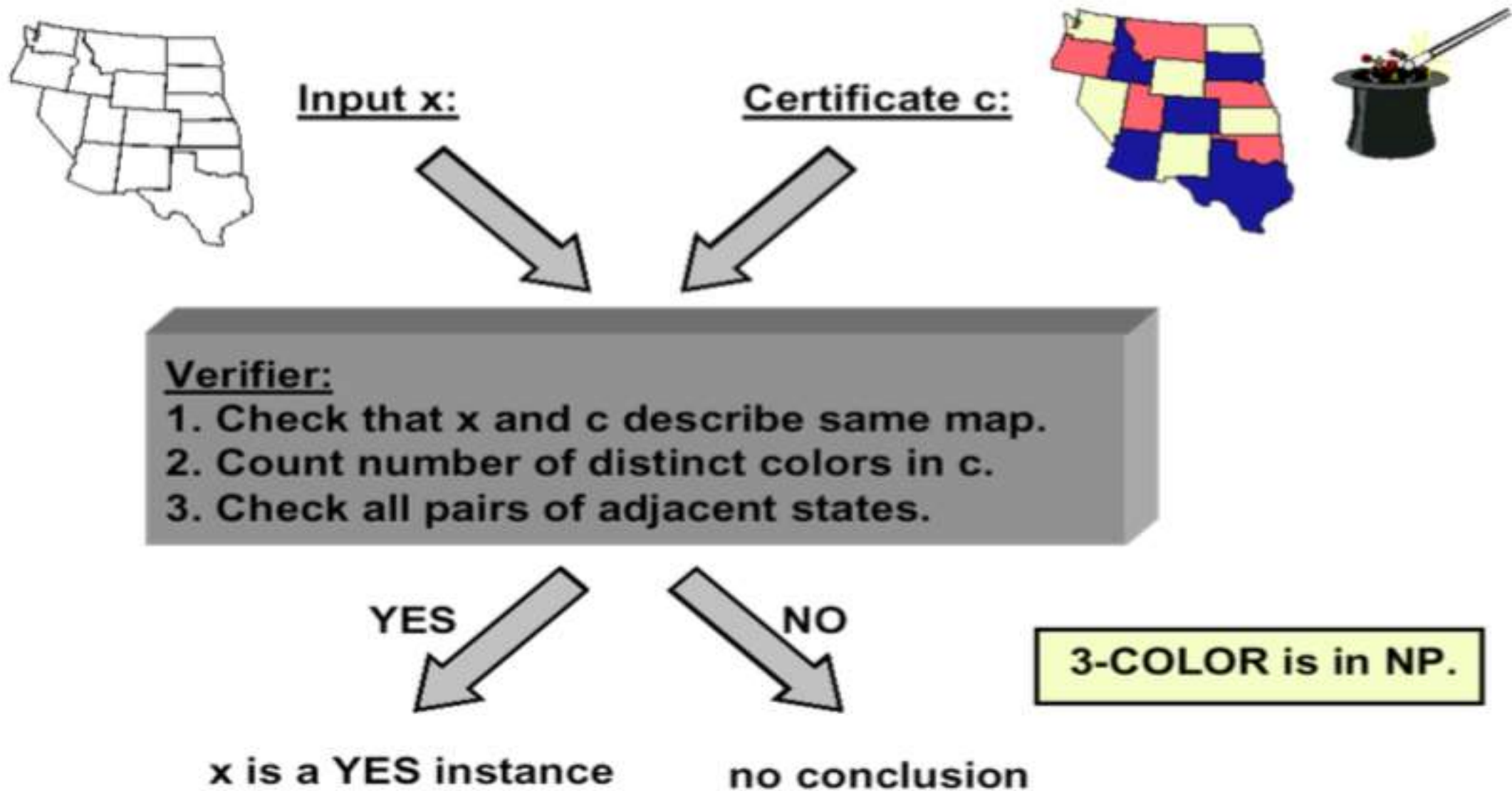
P vs. NP Question

- Can we solve search problems without searching?

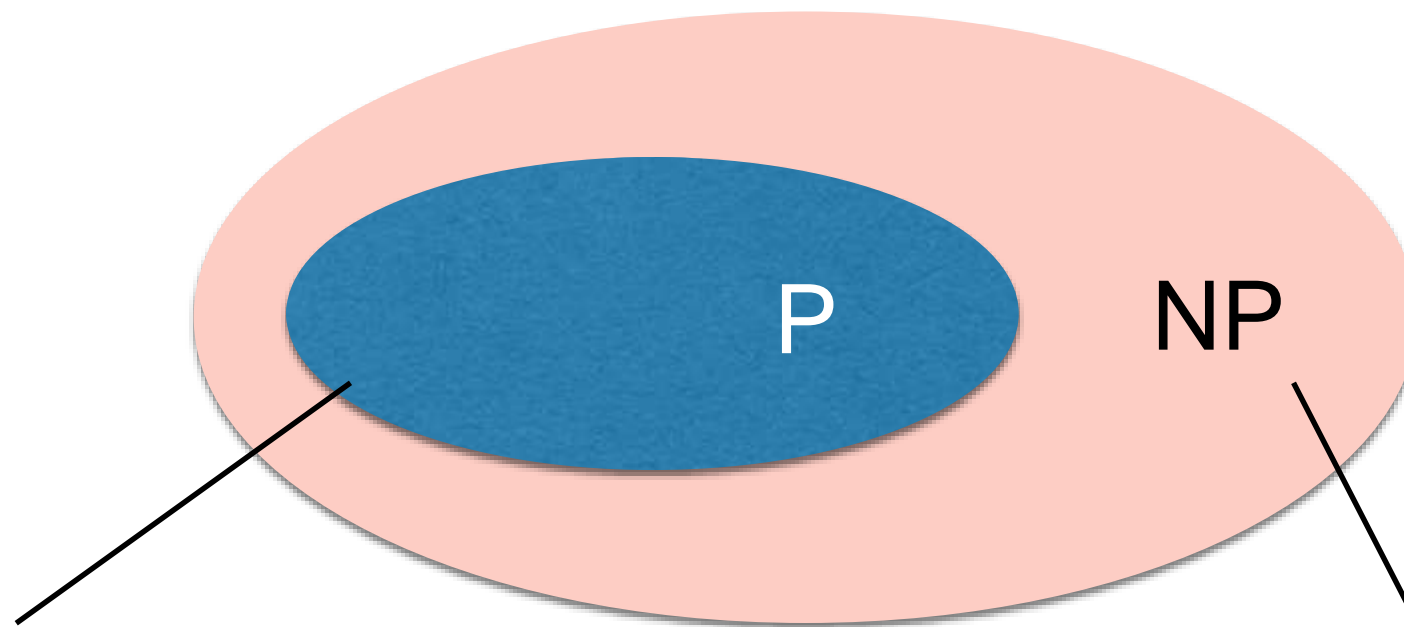
P and NP

- P – Polynomial Time
- Quickly solvable problems
- NP – Nondeterministic Polynomial Time
- Quickly checkable problems

Certificates



The P and NP Classes



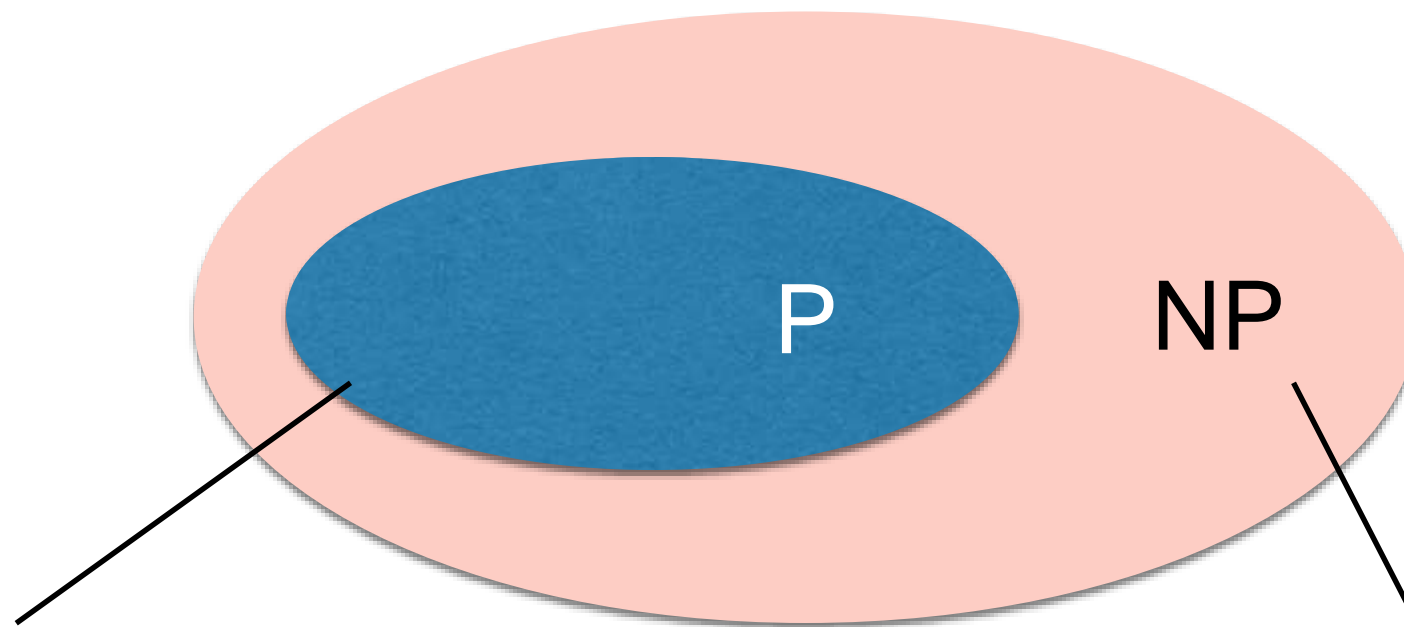
Easily solvable problems:

- Multiplication
- Sorting

Easily checkable problems:

- Factoring
- Clique

The P and NP Classes



Easily solvable problems:

- Multiplication
- Sorting

Easily checkable problems:

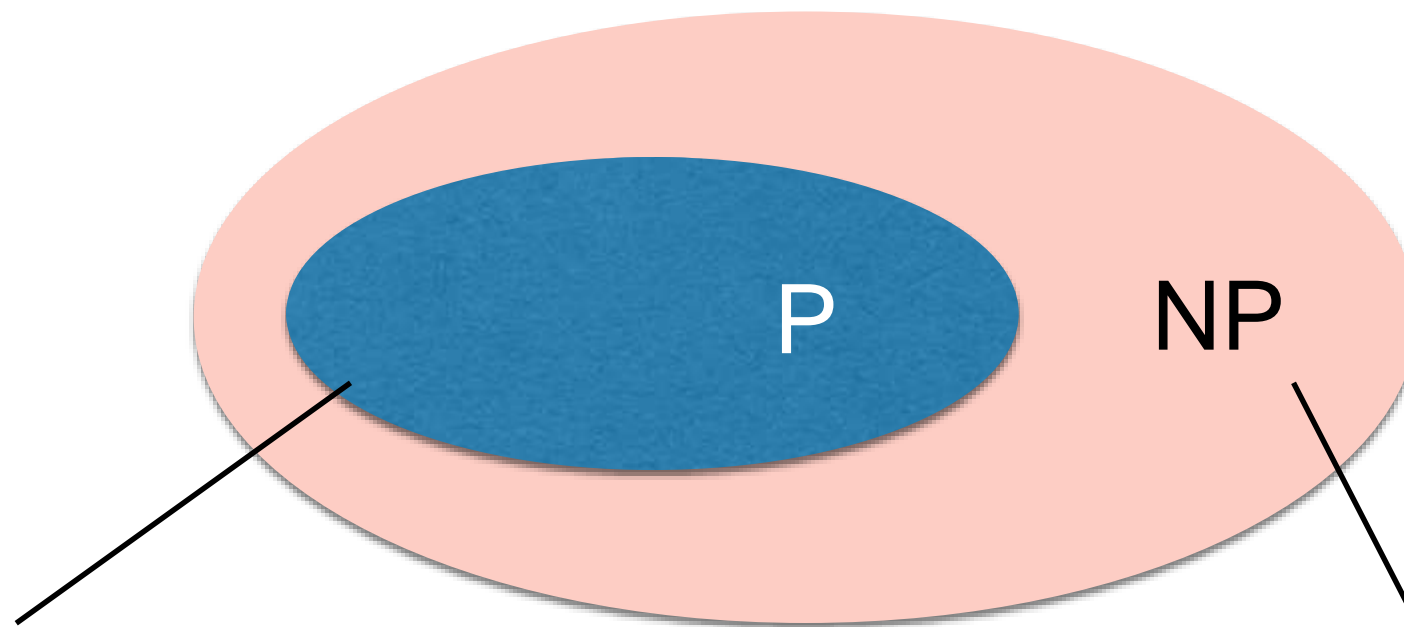
- Factoring
- Clique

$P = NP$

or

$P \neq NP$

The P and NP Classes



Easily solvable problems:

- Multiplication
- Sorting

Easily checkable problems:

- Factoring
- Clique

$P = NP$

or

$P \neq NP$

Unknown!

Final Remark

- NP Complete – “Hardest Computational Problems in NP”

NP → Transform → NP- Complete

For example:

Factoring Problem → Transform → Clique

“Thus if it can be solved efficiently, then any other problem in NP can be solved efficiently”