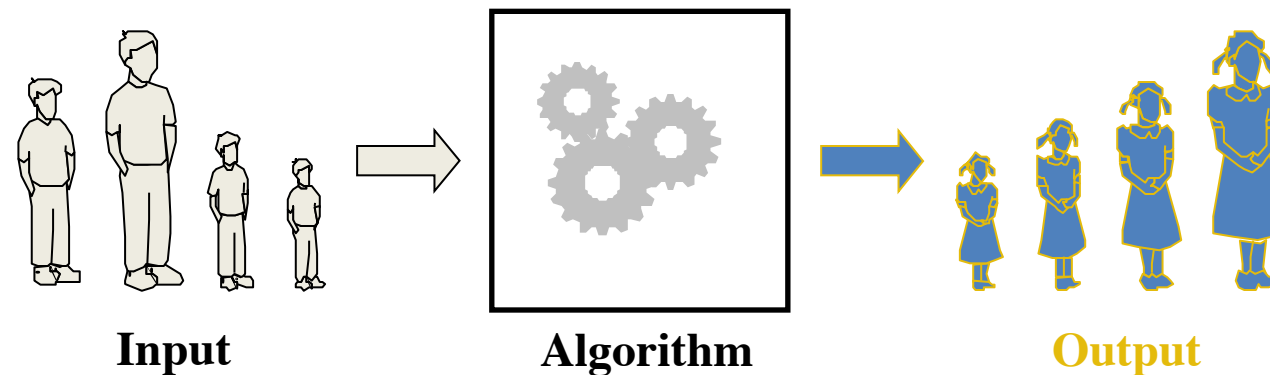


# Data Structures & Algorithms

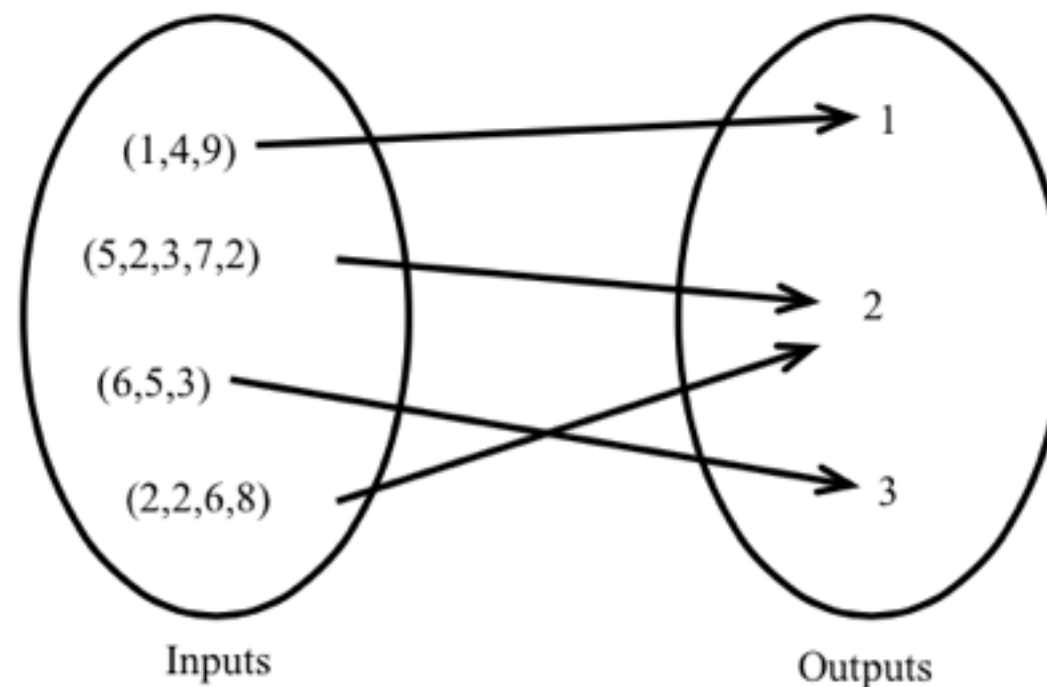
Adil M. Khan  
Professor of Computer Science  
Innopolis University

# Algorithm Analysis

# Algorithm



**A more specific example: Find Minimum!**



Think of a few more examples as an exercise!

# Algorithm

- Another way
  - A tool to solve a well-defined computational problem
  - The statement of the problem defines the desired input/output relationship

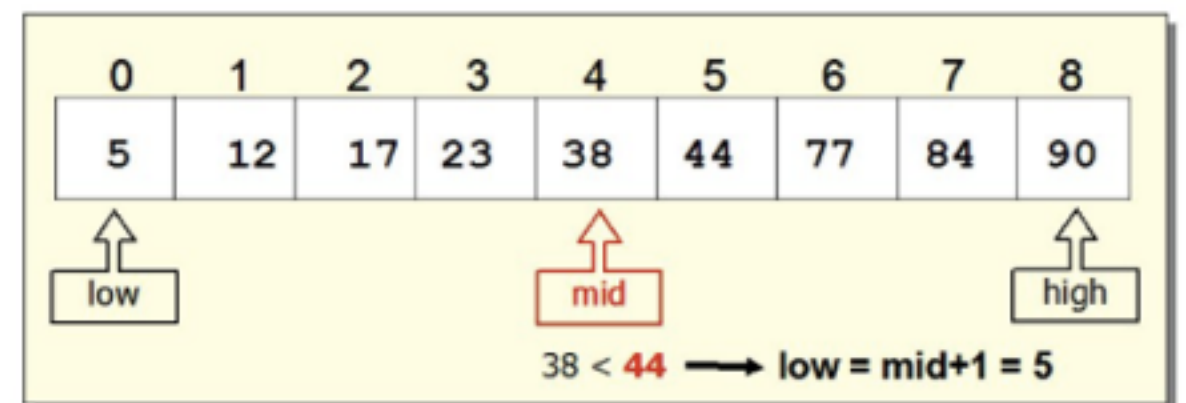
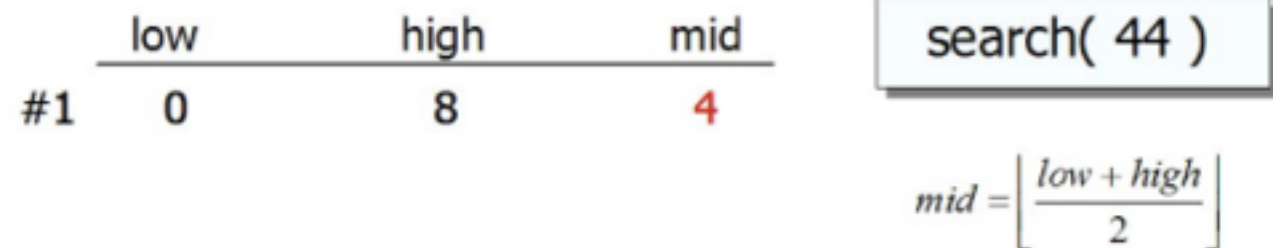
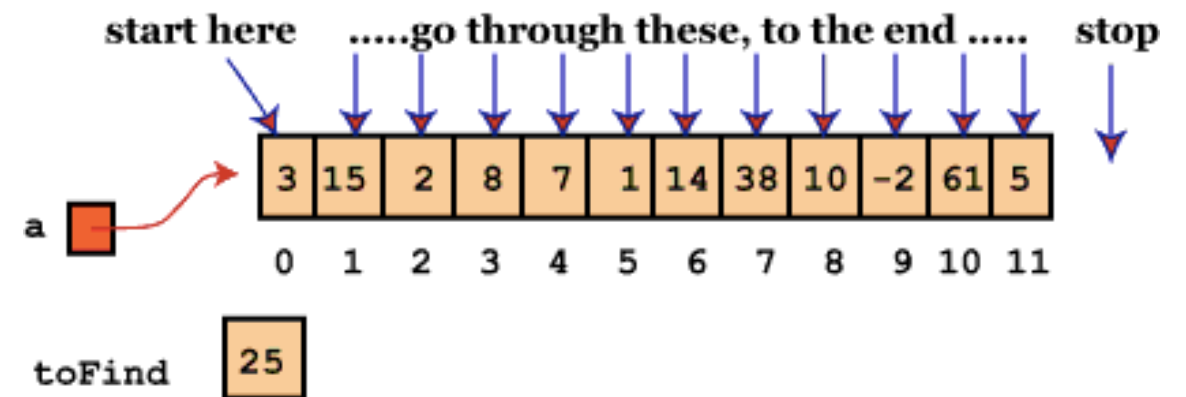
**“Sorting a sequence of numbers into non-decreasing order”**

# Two Characteristics of Algorithmic Problems

- They have practical applications
- They have many candidate solutions

# Why Analyze Algorithms?

- Allows us to:
  - Compare the merits of two alternative approaches to a problem we need to solve
  - Determine whether a proposed solution will meet required resource constraints before we invest money and time coding



Performed before coding!

# Analyzing Algorithms

- How do we analyze algorithms?

**Complexity Analysis:** predicting the resources that an algorithm requires!

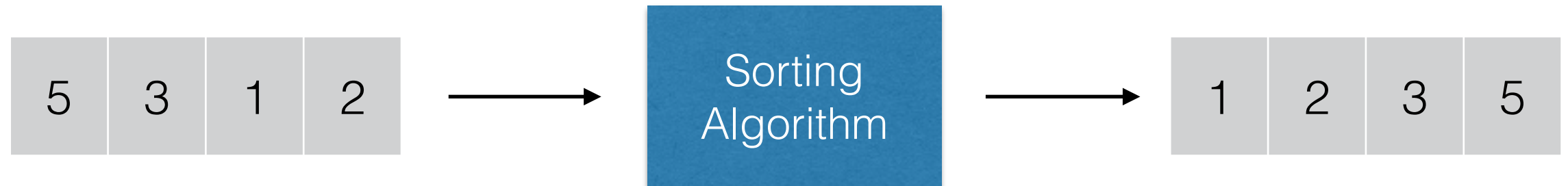
- ***Time Complexity:*** amount of time that an algorithm takes to run to completion
- ***Space Complexity:*** amount of memory that an algorithm needs to run to completion

# Time Complexity



# How to Measure Time Complexity?

- As mentioned earlier, an algorithm can be considered as a black box that transforms input objects into output objects



- Consider the amount of **time (T)** consumed as a **function of the input size (n) —  $T(n)$**

# Input Size ( $n$ )

- The  $n$  could be
  - The number of items in a container
  - The length of a string or file
  - The number of digits (or bits) in an integer
  - The degree of a polynomial

# How to Measure Time Complexity?

- Even for inputs of the same size, the time consumed can be very different

***Example:*** an algorithm that finds the first prime number in an array by scanning it left to right

What can happen?

Think of a few more examples as an exercise!

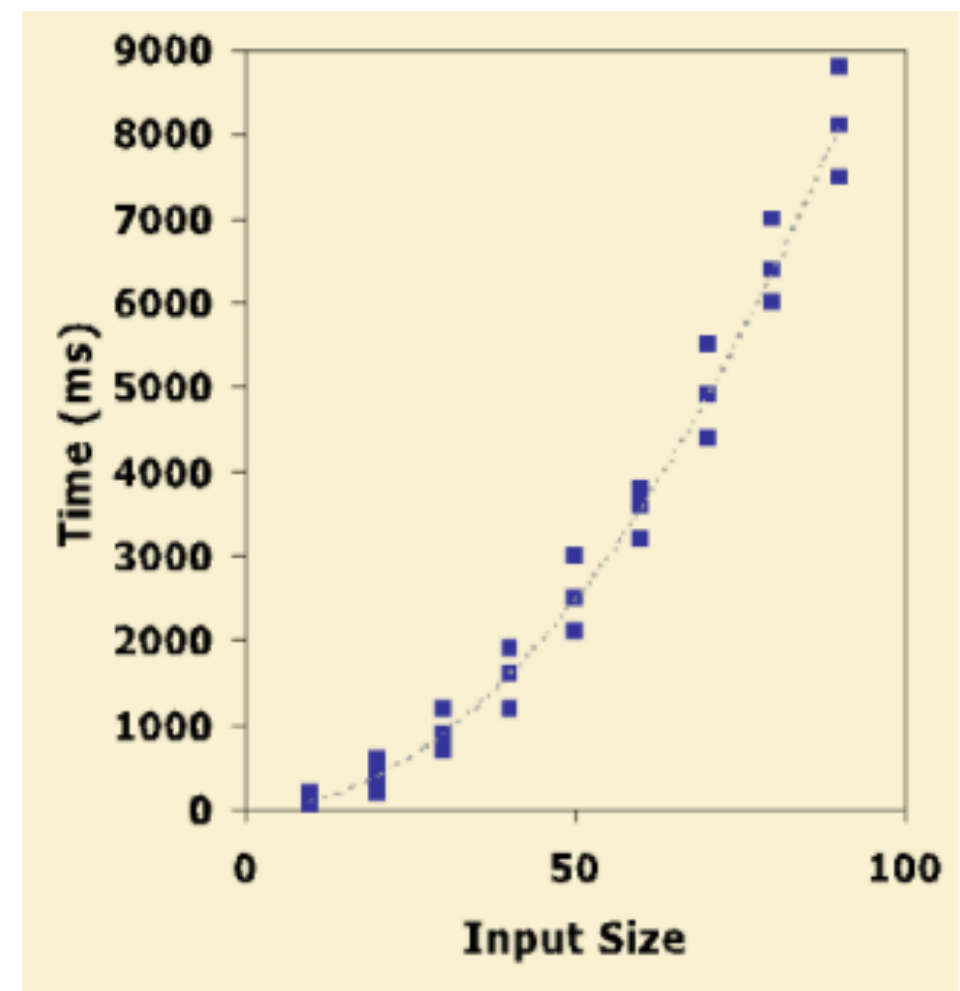
# How to Measure Time Complexity?

- Analyze running time for the
  - ***best case:*** usually useless
  - ***average case:*** very difficult to determine
  - ***worst case:*** a safer choice

Why is the worst case a safer choice?

# How to Measure Worst-Case Time Complexity?

- ***Experimental Evaluation***
  - Write a program implementing the algorithm
  - Run it with inputs of varying size and composition
  - Measure the actual running time
  - Plot the results



What is wrong with this approach?

# How to Measure Worst-Case Time Complexity?

- ***Theoretical Approach***

- Pseudocode description of the algorithm instead of an implementation
- Characterize running time as a function of the input size, ***n***
- Allows us to evaluate the running time of an algorithm independent of the hardware/software environment

# Pseudocode

- A high-level description of an algorithm
- More structured than English prose
- Less detailed than a program

Example: find max element of an array

**Algorithm** *arrayMax*(*A*, *n*)

**Input:** array *A* of *n* integers

**Output:** maximum element of *A*

*currentMax*  $\leftarrow$  *A*[0]

**for** *i*  $\leftarrow$  1 **to** *n* - 1 **do**

**if** *A*[*i*] > *currentMax* **then**

*currentMax*  $\leftarrow$  *A*[*i*]

**return** *currentMax*

# Measuring Time Complexity

- Consider this statement in your algorithm

$x = x + 1;$

- What we want to measure is
  - ***Execution time:*** The time a single execution of this statement would take
  - ***Frequency count:*** The number of times it is executed



# Measuring Time Complexity

- Total time taken is ***approximately*** the product of execution time and the frequency count
- However, execution time is tied to the underlying machine and the compiler, so we neglect it and only concentrate on the frequency count
- Frequency count will vary based on the size of the data set used, that is, ***input to the algorithm***

# Measuring Time Complexity

- Example 1

$x = x + 1$

- Example 2

for  $i = 1$  to  $n$

$x = x + 1$

- Example 2

for  $i = 1$  to  $n$

for  $j = 1$  to  $n$

$x = x + 1$

Determine the frequency count for the assignment statement in these three examples!

# Measuring Time Complexity

- Example 1
  - There is no loop
  - frequency count is **1**
- Example 2
  - inside a for-loop
  - frequency count is  **$n$**  — statement is execute  **$n$**  times
- Example 3
  - Nested loops — loop within a loop
  - frequency count is  **$n^2$**

# Primitive Operations

- $x = x + 1$  is an example of primitive operations
- Some other examples include

Primitive Operations Examples	
Evaluating and expression	$x^2 + ey$
Indexing into an array	$A[5]$
Calling a method	$\text{mySort}(A, n)$
Returning from a method	$\text{return}(cnt)$

# Measuring Time Complexity

- To measure the time complexity
  - We count the total number of primitive operations for an algorithm as a function of the input size  **$T(n)$**

# Measuring Time Complexity

	step	$n > 1$
1	procedure fibonacci {print nth term}	1
2	read(n)	2
3	if n<0	3
4	then print(error)	4
5	else if n=0	5
6	then print(0)	6
7	else if n=1	7
8	then print(1)	8
9	else	9
10	fnm2 := 0;	10
11	fnm1 := 1;	11
12	FOR i := 2 to n DO	12
13	fn := fnm1 + fnm2;	13
14	fnm2 := fnm1;	14
15	fnm1 := fn	15
16	end	16
17	print(fn) ;	17

# Measuring Time Complexity

	step	$n > 1$
1    procedure fibonacci {print nth term}	1	1
2        read(n)	2	
3        if n<0	3	
4            then print(error)	4	
5            else if n=0	5	
6                then print(0)	6	
7                else if n=1	7	
8                    then print(1)	8	
9                else	9	
10                    fnm2 := 0;	10	
11                    fnm1 := 1;	11	
12                    FOR i := 2 to n DO	12	
13                        fn := fnm1 + fnm2;	13	
14                        fnm2 := fnm1;	14	
15                        fnm1 := fn	15	
16                    end	16	
17                    print(fn) ;	17	

# Measuring Time Complexity

	step	$n > 1$
1    procedure fibonacci {print nth term}	1	1
2        read(n)	2	1
3        if n<0	3	
4            then print(error)	4	
5            else if n=0	5	
6                then print(0)	6	
7                else if n=1	7	
8                    then print(1)	8	
9                else	9	
10                    fnm2 := 0;	10	
11                    fnm1 := 1;	11	
12                FOR i := 2 to n DO	12	
13                    fn := fnm1 + fnm2;	13	
14                    fnm2 := fnm1;	14	
15                    fnm1 := fn	15	
16                end	16	
17                print(fn) ;	17	



# Measuring Time Complexity

	step	$n > 1$
1 <code>procedure fibonacci {print nth term}</code>	1	1
2 <code>read(n)</code>	2	1
3 <code>if n &lt; 0</code>	3	1
4 <code>then print(error)</code>	4	
5 <code>else if n = 0</code>	5	
6 <code>then print(0)</code>	6	
7 <code>else if n = 1</code>	7	
8 <code>then print(1)</code>	8	
9 <code>else</code>	9	
10 <code>fnm2 := 0;</code>	10	
11 <code>fnm1 := 1;</code>	11	
12 <code>FOR i := 2 to n DO</code>	12	
13 <code>fn := fnm1 + fnm2;</code>	13	
14 <code>fnm2 := fnm1;</code>	14	
15 <code>fnm1 := fn</code>	15	
16 <code>end</code>	16	
17 <code>print(fn) ;</code>	17	

# Measuring Time Complexity

	step	$n > 1$
1    procedure fibonacci {print nth term}	1	1
2        read(n)	2	1
3        if n<0	3	1
4            then print(error)	4	0
5            else if n=0	5	
6                then print(0)	6	
7                else if n=1	7	
8                    then print(1)	8	
9                else	9	
10                    fnm2 := 0;	10	
11                    fnm1 := 1;	11	
12                FOR i := 2 to n DO	12	
13                    fn := fnm1 + fnm2;	13	
14                    fnm2 := fnm1;	14	
15                    fnm1 := fn	15	
16                end	16	
17                print(fn) ;	17	

# Measuring Time Complexity

	step	$n > 1$
1    procedure fibonacci {print nth term}	1	1
2       read(n)	2	1
3       if n<0	3	1
4           then print(error)	4	0
5           else if n=0	5	1
6               then print(0)	6	0
7               else if n=1	7	1
8                   then print(1)	8	0
9               else	9	1
10                   fnm2 := 0;	10	1
11                   fnm1 := 1;	11	1
12               FOR i := 2 to n DO	12	$n$
13                   fn := fnm1 + fnm2;	13	
14                   fnm2 := fnm1;	14	
15                   fnm1 := fn	15	
16               end	16	
17               print(fn) ;	17	

# Measuring Time Complexity

	step	$n > 1$
1 <code>procedure fibonacci {print nth term}</code>	1	1
2 <code>read(n)</code>	2	1
3 <code>if n &lt; 0</code>	3	1
4 <code>then print(error)</code>	4	0
5 <code>else if n = 0</code>	5	1
6 <code>then print(0)</code>	6	0
7 <code>else if n = 1</code>	7	1
8 <code>then print(1)</code>	8	0
9 <code>else</code>	9	1
10 <code>fnm2 := 0;</code>	10	1
11 <code>fnm1 := 1;</code>	11	1
12 <code>FOR i := 2 to n DO</code>	12	$n$
13 <code>fn := fnm1 + fnm2;</code>	13	$n - 1$
14 <code>fnm2 := fnm1;</code>	14	
15 <code>fnm1 := fn</code>	15	
16 <code>end</code>	16	
17 <code>print(fn) ;</code>	17	

# Measuring Time Complexity

	step	n>1
1 procedure fibonacci {print nth term}	1	1
2 read(n)	2	1
3 if n<0	3	1
4 then print(error)	4	0
5 else if n=0	5	1
6 then print(0)	6	0
7 else if n=1	7	1
8 then print(1)	8	0
9 else	9	1
10 fnm2 := 0;	10	1
11 fnm1 := 1;	11	1
12 FOR i := 2 to n DO	12	n
13 fn := fnm1 + fnm2;	13	n-1
14 fnm2 := fnm1;	14	n-1
15 fnm1 := fn	15	n-1
16 end	16	n-1
17 print(fn) ;	17	1

$$T(n) = 5n + 5$$

# Growth Rate

- Changing hardware/software environment
  - Affects  **$T(n)$**  by a constant factor
  - Does not alter the growth rate of  **$T(n)$**
- Thus, we focus on the big-picture which is the growth rate of an algorithm
- PrintArray algorithm (Example) has a linear growth rate, that is, it grows proportionally with  **$n$**

# Growth Rate

- Remember, growth rate is not affected by
  - constant factors
  - lower-order terms
- Examples:
  - $10^2n + 10^5$  is a linear function
  - $10^2n^2 + 10^5n$  is a quadratic function

# Growth Rate

- Why is it not affected by the constant factors and the lower order terms?
- **$6n$  vs.  $3n$**  — getting a computer twice as fast makes the former same as the latter
- **$2n$  vs.  $2n + 8$**  — difference becomes insignificant when  $n$  becomes larger and larger
- **$x^3$  vs.  $kx^2$**  — the former will always eventually overtake the latter no matter how big you make  **$k$**



# Measuring Time Complexity

- So for our example:  $T(n) = 5n + 5$
- But we just learned that constant terms don't matter
- Thus  $T(n) = n$ .

# Big-Oh Notation

- Or asymptotic analysis
- The big-oh notation is widely used to characterize running times and space bounds of an algorithm
- The big-oh notation allows us to ignore constant factors and lower order terms and focus on the main components of a function that affect its growth

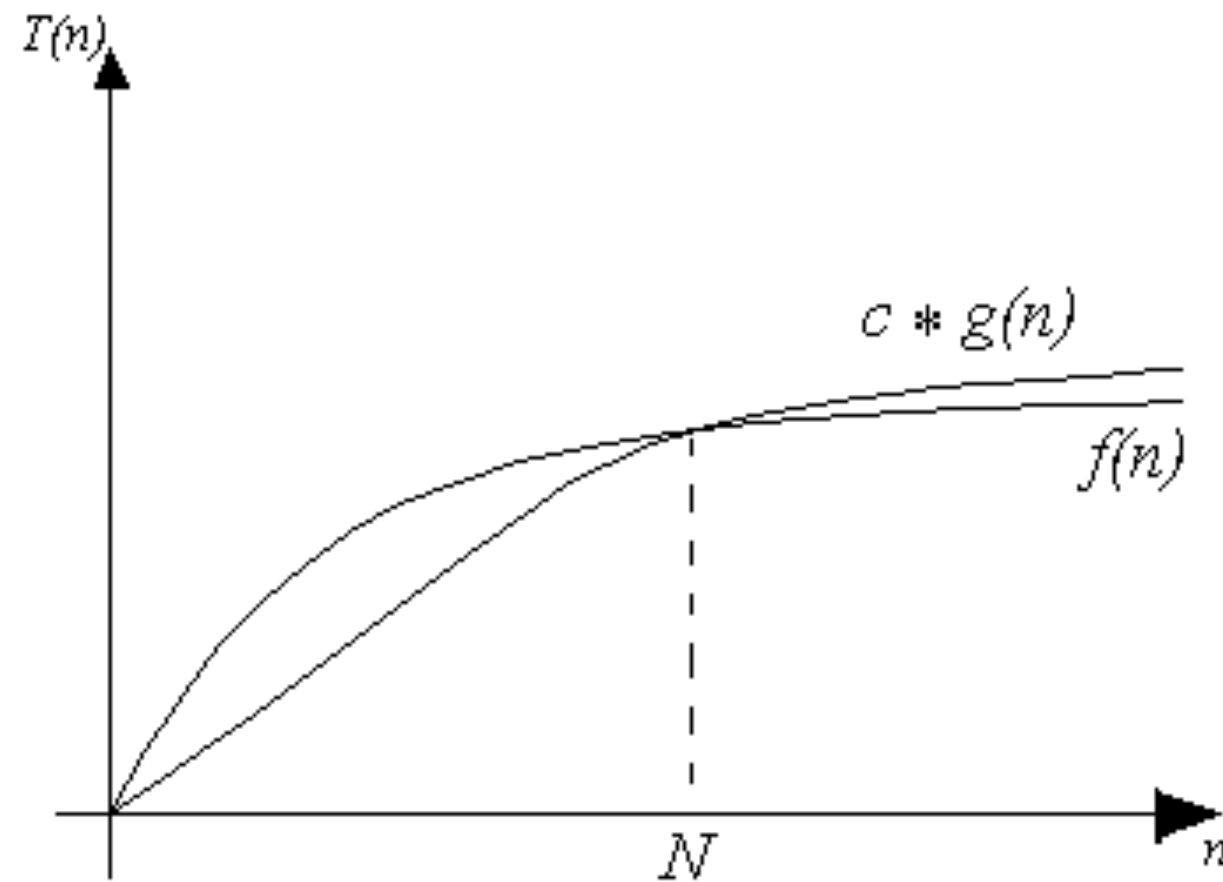
# Big-Oh Notation

- Given functions  **$f(n)$**  and  **$g(n)$** , we say that  **$f(n)$**  is in  **$O(g(n))$**  if there exist two constants  **$c$**  and  **$k$**  such that

$$f(n) \leq cg(n) \text{ for all } n \geq k$$

# Big-Oh Notation

- In other words,  **$f(n)$**  is bounded above by a constant times of  **$g(n)$**



Here,  **$N$**  is representing  **$k$**

# Big-Oh Notation

Suppose  $f(n) = 2n^2 + 4n + 10$   
and  $f(n) = O(g(n))$  where  $g(n) = n^2$

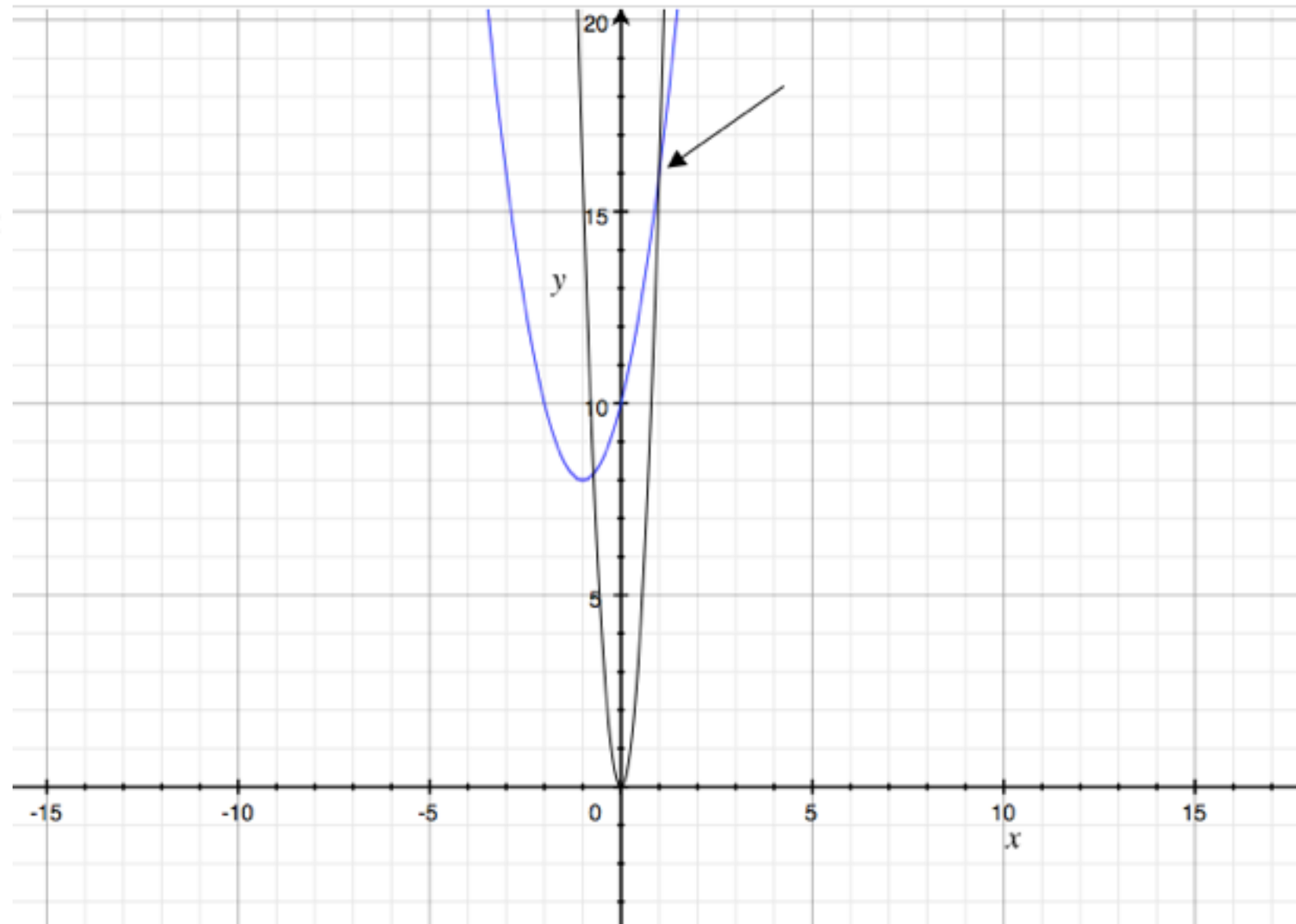
Proof:

$$f(n) = 2n^2 + 4n + 10$$

$$f(n) \leq 2n^2 + 4n^2 + 10n^2 \quad \text{for } n \geq 1$$

$$f(n) \leq 16n^2$$

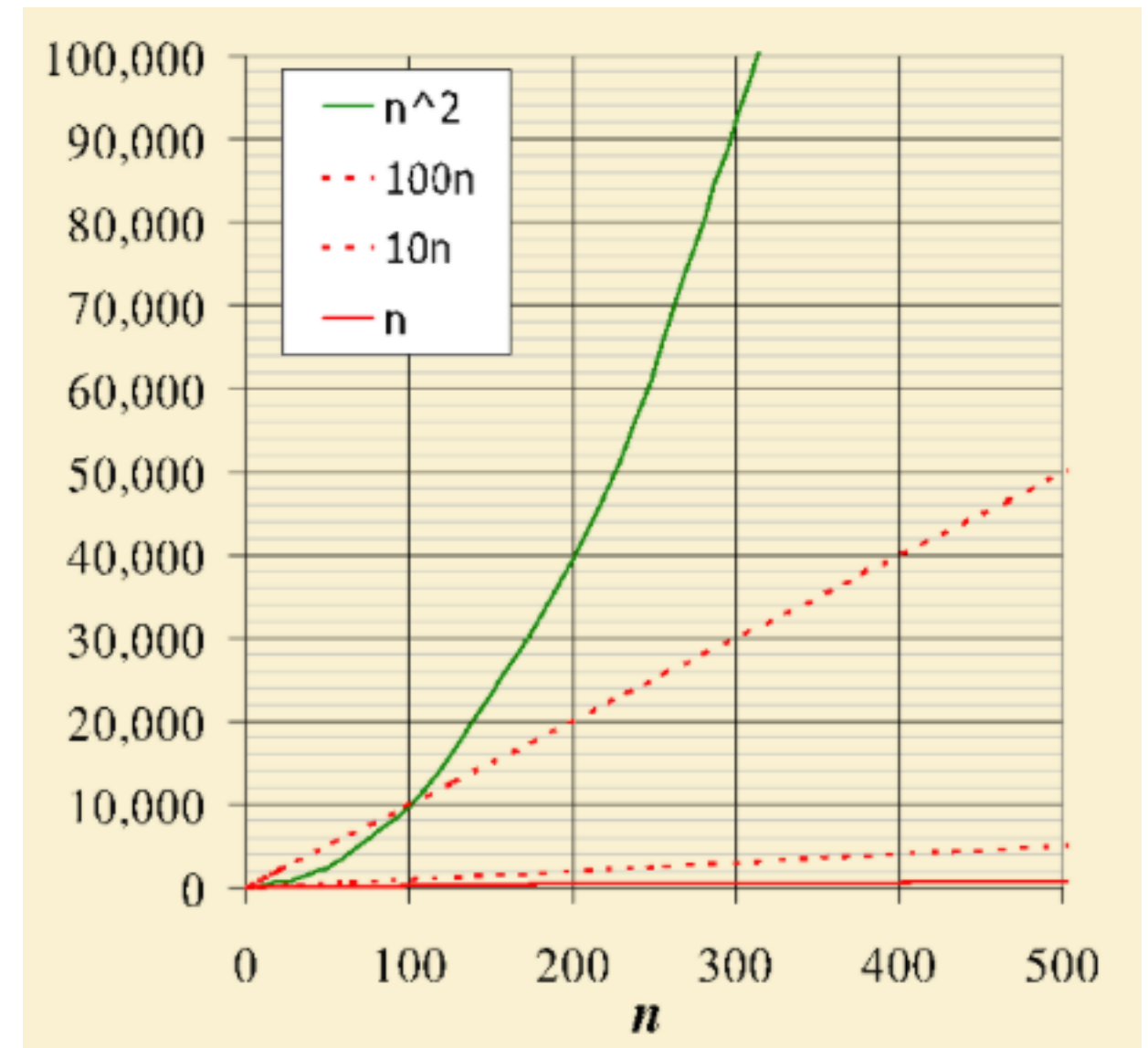
$$f(n) \leq 16g(n) \quad \text{Where } c = 16 \text{ and } k = 1$$



# Big-Oh Notation

- $n^2$  is not  $O(n)$

- $n^2 \leq cn$
- $n \leq c$
- The above inequality cannot be satisfied since  $c$  must be a constant



# Big-Oh and Algorithm Analysis

- $f(n)$  will normally represent the computing time of some algorithm
- Time complexity  **$T(n)$**
- $f(n)$  can also represent the amount of memory an algorithm needs to run
- Space complexity  **$S(n)$**

# Big-Oh and Time Complexity

- If an algorithm has a time complexity of  **$O(g(n))$**  it means that its execution will take no longer than a constant times of  **$g(n)$**
- More formally,  $g(n)$  is an asymptotic upper bound for  $f(n)$



# Time Complexity

$O(1)$       Constant (computing time)

$O(n)$       Linear (computing time)

$O(n^2)$       Quadratic (computing time)

$O(n^3)$       Cubic (computing time)

$O(2^n)$       Exponential (computing time)

$O(\log n)$     is faster than  $O(n)$  for sufficiently large  $n$

$O(n \log n)$     is faster than  $O(n^2)$  for sufficiently large  $n$

# Time Complexity

n	T(n)							
	1	logn	n	nlogn	n <sup>2</sup>	n <sup>3</sup>	2 <sup>n</sup>	n!
10	1 mic-sec	3.32 mic-sec	10 mic-sec	33.2 mic-sec	100 mic-sec	1 mil-sec	1.02 mil-sec	3.63 sec
20	1 mic-sec	4.32 mic-sec	20 mic-sec	86.4 mic-sec	400 mic-sec	8 mil-sec	1.05 sec	771 cent
50	1 mic-sec	5.64 mic-sec	50 mic-sec	282 mic-sec	2.5 mil-sec	125 mil-sec	35.7 years	9 * 10 <sup>50</sup> cent
100	1 mic-sec	6.64 mic-sec	100 mic-sec	664 mic-sec	10 mil-sec	1 sec	4 * 10 <sup>14</sup> cent	-
1000	1 mic-sec	9.97 mic-sec	1 mil-sec	9.97 mil-sec	1 sec	16.7 min	-	-
1000000	1 mic-sec	19.9 mic-sec	1 sec	19.9 sec	11.57 days	317 cent	-	-

# Time Complexity

$$f_1(n) = 10n + 25n^2$$

$$O(n^2)$$

$$f_2(n) = 20n \log n + 5n$$

$$O(n \log n)$$

$$f_3(n) = 12n \log n + 0.05n^2$$

$$O(n^2)$$

$$f_4(n) = n^{1/2} + 3n \log n$$

$$O(n \log n)$$

# Time Complexity

## Arithmetic of Big-Oh

if

$$T_1(n) = O(f(n)) \text{ and } T_2(n) = O(g(n))$$

then

$$T_1(n) + T_2(n) = O(\max(f(n), g(n)))$$

# Time Complexity

## Arithmetic of Big-Oh

if

$$f(n) \leq g(n)$$

then

$$O(f(n) + g(n)) = O(g(n))$$

# Time Complexity

## Arithmetic of Big-Oh

if

$$T_1(n) = O(f(n)) \text{ and } T_2(n) = O(g(n))$$

then

$$T_1(n) T_2(n) = O(f(n) g(n))$$

# Space Complexity

- Determine how much space an algorithm requires by analyzing its storage requirements as a function of the input size
- ***Example:***
  - Let's say, our algorithm reads a stream of ***n*** characters
  - But always stores a constant number of them
  - then, its space complexity is ***O(1)***

# Space Complexity

- ***Another Example:***
  - Let's say, our algorithm reads a stream of ***n*** characters
  - and stores all of them
  - then, its space complexity is ***O(n)***



# Space Complexity

- ***Exercise:***
  - Let's say, our algorithm reads a stream of ***n*** characters
  - and stores all of them, and each record results in the creation of a constant number of other records
  - then, its space complexity is ?

# Space Complexity

- ***Another Exercise:***
  - Let's say, our algorithm reads a stream of ***n*** characters
  - and stores all of them, and each record results in the creation of a number of new records — the number is proportional to the size of the data
  - then, its space complexity is ?

# Time-Space Tradeoff

- Generally, decreasing the time complexity of an algorithm results in increasing its space complexity — and vice versa
- This is called the time-space tradeoff
- ***Example:*** Storing a sparse matrix as a two-dimensional linked list vs. a two-dimensional array

# Big-Oh Notation

- Gives us the upper bound - worst case time complexity
- What if we are interested in:
  - Average case time complexity
  - Best case time complexity
- Especially when they differ significantly

Big-Omega & Big-Theta

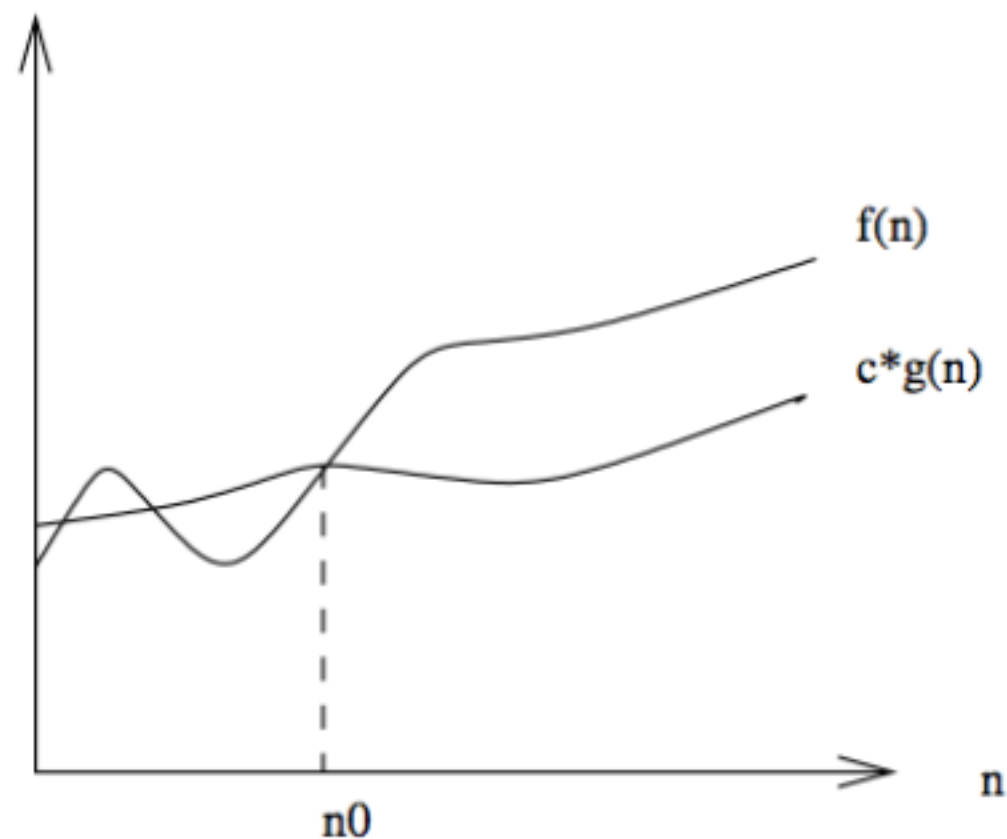
# Big-Omega

- Asymptotic lower bound
- Given functions  **$f(n)$**  and  **$g(n)$** , we say that  **$f(n)$**  is in ***big-omega*** of  $g(n)$  if there exist two constants  **$c$**  and  **$k$**  such that

$$f(n) \geq cg(n), \text{ for all } n \geq k$$

# Big-Omega

- In other words,  **$f(n)$**  is bounded below by a constant times of  **$g(n)$**



Here,  **$n0$**  is representing  **$k$**

- Lower bounds are useful because they say that an algorithm requires **at least** so much time

# Big-Theta

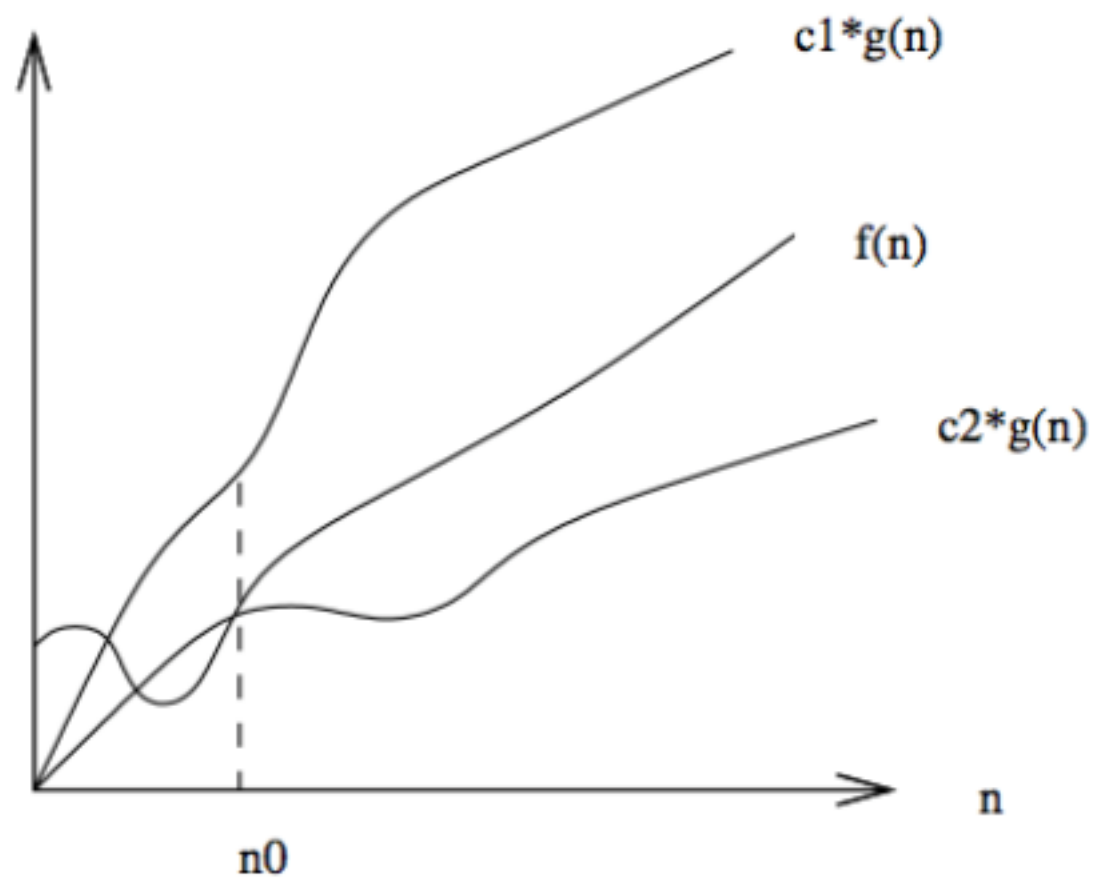
- Given functions  **$f(n)$**  and  **$g(n)$** , we say that  **$f(n)$**  is ***big-theta*** of  $g(n)$  if there exist constants  **$c_1$** ,  **$c_2$**  and  **$k$**  such that

$$f(n) \leq c_1 g(n), \text{ and, } f(n) \geq c_2 g(n) \text{ for all } n \geq k$$



# Big-Theta

- In other words,  **$f(n)$**  is bounded above by  **$c1$**  times of  **$g(n)$**  and below by  **$c2$**  times of  **$g(n)$**



Here,  **$n_0$**  is representing  **$k$**

# Putting Them Together

