

On Galois representations associated to Hilbert modular forms

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Introduction

Let F be a totally real number field and let I denote the set of embeddings $\tau: F \hookrightarrow \mathbf{R}$. Let $k = (k_\tau) \in \mathbf{Z}_{>0}^I$ and suppose all the k_τ have the same parity. Then there is a notion of Hilbert cusp form of weight k and level n (an ideal of \mathcal{O}_F). There are also certain Hecke operators T_q for q a prime of F and S_a for a an ideal of F prime to n . We give precise definitions at the start of section one. Suppose that f is such a form and that $f|T = \theta(T)f$ for T any of the above Hecke operators. Then it is known that the field L_f generated over \mathbf{Q} by the $\theta(T)$ is a number field. We shall denote its ring of integers by \mathcal{O}_f . The following conjecture is familiar:

Conjecture 1. *Let f be as described above and let \mathfrak{p} be a prime of \mathcal{O}_f (above a rational prime p), then there is a continuous representation:*

$$\rho: \text{Gal}(F^{ac}/F) \rightarrow GL_2(\mathcal{O}_{f,\mathfrak{p}})$$

which is unramified outside np and such that if q is a prime of F not dividing np then:

$$\begin{aligned} \text{tr } \rho(\text{Frob } q) &= \theta(T_q), \\ \det \rho(\text{Frob } q) &= \theta(S_q) \mathbf{N} q. \end{aligned}$$

In the case that each $k_\tau \geq 2$ the conjecture has been proved whenever:

1. $[F:\mathbf{Q}]$ odd.
2. $[F:\mathbf{Q}]$ even and f corresponding to an automorphic representation $\pi_f = \otimes \pi_{f,v}$ such that for some finite place v , $\pi_{f,v}$ is special or supercuspidal.
3. p is an ordinary prime for f (i.e. p is prime to $\theta(T_q)$ for each prime q of F above p).

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Cases 1 and 2 were established in successively greater generality by Eichler, Shimura, Deligne, Ohta and Rogawski and Tunnell. Case 3 was established by Wiles by different methods, working from the results for cases 1 and 2. Although for a given eigenform f , infinitely many primes p seem to be ordinary for f , one can not at present show that a general f will be ordinary at any prime p . The purpose of this article is to prove the conjecture in the case of $[F:\mathbf{Q}]$ even and each $k_\tau \geq 2$.

The conjecture can be strengthened to describe the restriction of ρ to the decomposition group D_q for $q|n$ but $q \nmid p$. In the Cases 1, 2 and 3 above such a result has been proved by Deligne, Langlands, Carayol and Wiles. We shall prove such a strengthened result in the cases we treat. In fact our main theorem will be:

Theorem 2. *Let $[F:\mathbf{Q}]$ be even, f as described above and $\nmid p$ a prime of \mathcal{O}_f (lying above a rational prime p) then there is a continuous representation:*

$$\rho: \text{Gal}(F^{\text{ac}}/F) \rightarrow \text{GL}_2(\mathcal{O}_{f,\rho})$$

which is unramified outside np and such that if q is a prime of F not dividing np then:

$$\begin{aligned} \text{tr } \rho(\text{Frob } q) &= \theta(T_q), \\ \det \rho(\text{Frob } q) &= \theta(S_q) \mathbf{N} q. \end{aligned}$$

Moreover if $q|n$, $q \nmid p$ and $\theta(T_q) \neq 0$ and if $\sigma \in D_q$ lies above Frob_q then:

$$\begin{aligned} \text{tr } \rho(\sigma) &= \theta(T_q) + \chi(\sigma) (\mathbf{N} q) \theta(T_q)^{-1}, \\ \det \rho(\sigma) &= \chi(\sigma) \mathbf{N} q. \end{aligned}$$

Here χ is the continuous Galois character extending the map $\text{Frob } q \mapsto \theta(S_q)$ for q a prime not dividing np .

If f is a newform and $q \nmid p$ this describes $\rho|D_q$ unless f is associated to an automorphic representation $\otimes \pi_\nu$ with π_q either supercuspidal, special, or principal series coming from two ramified characters. In the first two cases $\rho|D_q$ is described by the work of Carayol [C] and in the third we may twist f by a finite character to reduce it to a case we can treat (π_q principal series, coming from a pair of characters at least one of which is unramified).

It should be mentioned that in Case 3 above Wiles has described the restriction of ρ to the decomposition group D_q for $q|p$. Also the case $k_\tau=1$ for all τ has been treated by Deligne-Serre, Rogawski-Tunnell and Wiles.

Our method of proof follows the method Wiles used for the “ \mathcal{A} -adic” case, see [W]. The idea is to find congruences between the form f and forms of level $n\lambda$ for suitable primes λ which are “new at λ ” and fall into Case 2. We can then build the desired representation from those already constructed using Wiles’ method of “pseudo-representations” (see [W]).

The congruences we need are generalisations of those first studied by Ribet in [R]. Here we establish their existence by first using the correspondence of Jacquet and Langlands to switch to modular forms on a totally definite quaternion algebra and then essentially following the original method of Ribet. We

learnt the idea of switching to a totally definite quaternion algebra to establish congruences between modular forms from Hida's paper [H]. To show that we have found sufficient congruences we use a result of Brylinski and Labesse ([BL]).

After this article was written, Blasius and Rogawski [BR] found a completely different proof of conjecture one, which relies on the fact that $U(2)$ is an endoscopic group of $U(2, 1)$. In the Cases 1–3 above this gives no new information. In the cases considered in this paper their method has the advantage that it shows the representation obtained is of Hodge-Tate type. However they are unable to describe the restriction of ρ to the decomposition group at bad primes.

It is a pleasure to acknowledge the debt this work owes to that of Wiles [W] and that of Ribet [R]. The author has also benefited from many discussions with Fred Diamond. Finally I would like to thank Andrew Wiles for his constant help and encouragement.

1. Congruences

We shall let F denote a totally real field of even degree, say d . We shall let I denote the set of embeddings $F \hookrightarrow \mathbf{R}$. We shall let A denote $M_2(F)$ and D denote the unique quaternion algebra over F ramified at exactly all the infinite places. We shall fix a maximal order \mathcal{O}_D of D . Choose a subfield K of \mathbf{C} which is Galois over \mathbf{Q} , which splits D and such that there is an isomorphism $j: \mathcal{O}_D \otimes_{\mathbf{Z}} \mathcal{O}_K \xrightarrow{\sim} M_2(\mathcal{O}_K)^I$. There are algebraic groups G^A and G^D over F with $G^A(F) = A^\times$ and $G^D(F) = D^\times$ along with the reduced norm morphisms $v_A: G^A \rightarrow \mathbf{G}_m$ and $v_D: G^D \rightarrow \mathbf{G}_m$.

If L is a number field we shall let \mathbf{A}_L denote its ring of adeles which we decompose into its finite and infinite parts as $\mathbf{A}_L = L_f \times L_\infty$. If G is an algebraic group over F we shall write G_f and G_∞ for $G(F_f)$ and $G(F_\infty)$ respectively. We fix isomorphisms $M_2(\mathcal{O}_{F,v}) \cong \mathcal{O}_D \otimes_{\mathcal{O}_F} \mathcal{O}_{F,v}$. This gives an isomorphism $G_f^D \cong G_f^A$ which we shall use to identify these groups, which we shall denote simply as G_f .

Fix $k = (k_\tau) \in \mathbf{Z}^I$ such that each component k_τ is ≥ 2 and such that all components have the same parity. Set $t = (1, \dots, 1) \in \mathbf{Z}^I$ and set $m = k - 2t$. Also choose $v \in \mathbf{Z}^I$ such that each $v_\tau \geq 0$, some $v_\tau = 0$ and $m + 2v = \mu t$ for some $\mu \in \mathbf{Z}_{\geq 0}$.

Now if $f: G^A(\mathbf{A}_F) \rightarrow \mathbf{C}$ and $u = u_f u_\infty \in G_f^A \times G_\infty^A$ then we define:

$$(f|_k u)(x) = j(u_\infty, z_0)^{-k} v(u_\infty)^{v+k-t} f(x u^{-1})$$

where:

- $z_0 = (\sqrt{-1}, \dots, \sqrt{-1}) \in \mathcal{Z}^I$, with \mathcal{Z} denoting the upper half complex plane
- $j: G_\infty^A \times \mathcal{Z}^I \rightarrow \mathbf{C}^I$ by $\begin{pmatrix} a_\tau & b_\tau \\ c_\tau & d_\tau \end{pmatrix} \times z_\tau \mapsto (c_\tau z_\tau + d_\tau)$.

If $U \subset G_f$ is an open compact subgroup we define $S_k^A(U)$ to be the set of functions from $GL_2(F) \backslash G^A(\mathbf{A}_F)$ to \mathbf{C} satisfying the following conditions:

1. $f|_k u = f$ for all $u \in UC_\infty$ where $C_\infty = (\mathbf{R}^\times \cdot SO_2(\mathbf{R}))^I \subset G_\infty^A$.

2. For all $x \in G_f$ the function $f_x: \mathcal{L}^I \rightarrow \mathbf{C}$ defined by:

$$u z_0 \mapsto j(u, z_0)^k v(u)^{t-k-v} f(xu)$$

for $u \in G_\infty^A$ is holomorphic (it is easily checked to be well defined).

3. $\int_{\mathbf{A}_F/F} f\left(\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} x\right) da = 0$ for all $x \in G^A(\mathbf{A}_F)$ and da an additive Haar measure on \mathbf{A}_F/F .

If U and U' are open compact subgroups in G_f and if $x \in G_f$ we define a Hecke operator:

$$[U \times U']: S_k^A(U) \rightarrow S_k^A(U')$$

by:

$$f \mapsto \sum f|_k x_i$$

where $U \times U' = \coprod U x_i$.

We shall introduce the following notation, where n denotes an ideal of \mathcal{O}_F and λ denotes a prime of F not dividing n :

• $U_0 = \prod_q GL_2(\mathcal{O}_{F,q})$ where q runs over finite primes of F .

• $U(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in U_0 \mid c \in n, a-1 \in n \right\}$.

• $U(n, \lambda) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in U_0 \mid c \in n\lambda, a-1 \in n \right\}$.

• $S_k^A(n) = S^A(U(n))$ and $S^A(n, \lambda) = S_k^A(U(n, \lambda))$.

• T_q , for q a prime of F , will denote the Hecke operator $\left[U \begin{pmatrix} 1 & 0 \\ 0 & \pi_q \end{pmatrix} U \right]$ where π_q is an element of F_f which is 1 everywhere except at q where it is a uniformiser.

• S_a , for a fractional ideal of F , will denote the Hecke operator $\left[U \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} U \right]$ where $\alpha = \prod_q \pi_q^{v_q(a)}$ (note that although π_q is not uniquely determined by q , T_q

is well defined if $U = U(n)$ or $U(n, \lambda)$, and that S_a is well defined if $U = U(n)$ or $U(n, \lambda)$ with $(a, n) = 1$).

• $\mathbf{T}_k(n)$ will denote the \mathbf{Z} -algebra in $\text{End}(S^A(n))$ generated by all the T_q for q a prime of F and the S_a for a an integral ideal of F prime to n .

• $\mathbf{T}_k(n, \lambda)$ will denote the \mathbf{Z} -algebra in $\text{End}(S^A(n, \lambda))$ generated by all the T_q for $q \neq \lambda$ a prime of F and the S_a for a an integral ideal of F prime to $n\lambda$.

• if $f \in S_k^A(n)$ is an eigenform of the Hecke algebra $\mathbf{T}_k(n)$ we shall let $\theta_f: \mathbf{T}_k(n) \rightarrow \mathbf{C}$ denote the morphism determined by $f|_k T = \theta_f(T) f$ for all $T \in \mathbf{T}_k(n)$. Also L_f will denote the number field generated by the image of θ_f and \mathcal{O}_f its integers. (It is a theorem of Shimura that L_f is a number field (see for example [Sa]).)

We also have an embedding:

$$S_k^A(n)^2 \hookrightarrow S_k^A(n, \lambda),$$

$$(f_1, f_2) \mapsto f_1 + f_2 \bmod \begin{pmatrix} \pi_\lambda & 0 \\ 0 & 1 \end{pmatrix}$$

which is compatible with the action of the Hecke operators T_q for $q \neq \lambda$ and S_a for a prime to $n\lambda$. It is well known that there is a unique $\mathbf{T}_k(n, \lambda)$ submodule of $S_k^A(n, \lambda)$, which we shall denote $S_k^A(n, \lambda)^{\text{new}}$, such that we have a direct sum of $\mathbf{T}_k(n, \lambda)$ modules:

$$S_k^A(n, \lambda) = S_k^A(n)^2 \oplus S_k^A(n, \lambda)^{\text{new}}.$$

We shall let $\mathbf{T}_k(n, \lambda)^{\text{old}}$ and $\mathbf{T}_k(n, \lambda)^{\text{new}}$ denote the image of $\mathbf{T}_k(n, \lambda)$ in $\text{End}(S_k^A(n)^2)$ and $\text{End}(S_k^A(n, \lambda)^{\text{new}})$, respectively.

We can now state the main result of this section:

Theorem 1. *Let $f \in S_k^A(n)$ be an eigenform of the Hecke algebra $\mathbf{T}_k(n)$. Then there is a non-zero ideal E_f of \mathcal{O}_f such that for all primes $\lambda \nmid n$ of F there is an ideal \mathcal{I}_λ of \mathcal{O}_f and a map:*

$$\begin{aligned} \mathbf{T}_k(n, \lambda)^{\text{new}} \otimes \mathcal{O}_f &\longrightarrow \mathcal{O}_f / \mathcal{I}_\lambda, \\ T_q &\mapsto \theta_f(T_q), \\ S_a &\mapsto \theta_f(S_a) \end{aligned}$$

where for all primes λ of \mathcal{O}_f not dividing $N\lambda$:

$$v_\lambda(\mathcal{I}_\lambda) \geq v_\lambda(\theta_f(T_\lambda^2 - S_\lambda(N\lambda + 1)^2)) - v_\lambda(E_f(N\lambda + 1)).$$

Remarks. Roughly speaking the point of this theorem is that the morphism $\theta_f: \mathbf{T}_k(n, \lambda) \rightarrow \mathcal{O}_f$, which a priori factors through $\mathbf{T}_k(n, \lambda)^{\text{old}}$, also factors through $\mathbf{T}_k(n, \lambda)^{\text{new}}$ when it (θ_f) is considered modulo an ideal \mathcal{I}_λ which is essentially given as $\theta_f(T_\lambda^2 - S_\lambda(1 + N\lambda)^2)$, at least up to an error term $E_f(N\lambda + 1)$ which will be easily controllable. Alternatively one could understand this theorem as saying there exists $f' \in S_k^A(n, \lambda)^{\text{new}}$ with $f \equiv f' \pmod{\mathcal{I}_\lambda}$ with an appropriate notion of congruence. For a discussion of these two ways of interpreting congruences between modular forms the reader might like to consult [R] (this treats the case $F = \mathbf{Q}$, but conceptually this makes no difference).

We have contented ourselves with a statement which is sufficient for our purpose of constructing Galois representations and whose proof is as uncomplicated as possible. In fact in the case $k=2t$ we can choose E_f such that $E_f | (\theta_f(T_q)^{h_F} - (Nq+1)^{h_F})$ for all primes $q \nmid n$ and $(E_f | \theta_f(T_q)^{h_F} - (Nq)^{h_F})$ for all primes $q | n$, where h_F denotes the strict class number of F . The proof just requires slightly more care (see the end of this section for more details).

To prove this theorem we introduce modular forms for the algebra D . Our exposition follows that of Hida [H], as indeed did our exposition of Hilbert modular forms above.

We must first define some modules. For any ring R and for $a, b \in \mathbf{Z}_{\geq 0}$ we let $S_{a,b}(R)$ denote the right $M_2(R)$ module $S^a(R^2)$ (the a^{th} symmetric power, i.e., the maximal symmetric quotient of the a^{th} tensor power) with $M_2(R)$ action:

$$x\alpha = (\det \alpha)^b x S^a(\alpha).$$

If R^2 has natural basis e_1, e_2 then $S_{a,b}(R)$ has a basis f_0, \dots, f_a where $f_i = e_1^{\otimes i} \otimes e_2^{\otimes (a-i)}$. With respect to this basis we define a duality:

$$\begin{aligned} \langle \cdot, \cdot \rangle: S_{a,b}(R)^2 &\rightarrow R, \\ (x, y) &\mapsto (xw)^t y \end{aligned}$$

where $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in M_2(R)$. Note that:

$$\langle x\alpha, y\alpha \rangle = xw(w^{-1}\alpha w^t\alpha)^t y = (\det \alpha)^{a+2b} \langle x, y \rangle$$

as $w^{-1}\alpha w = (\det \alpha)^t \alpha^{-1}$.

Now for $k \in \mathbf{Z}^I$, m, v, μ as before set:

$$L_k = \bigotimes_I S_{m_\tau, v_\tau}(\mathbf{C}).$$

This has a G_∞^D action via the map $j: G_\infty^D \rightarrow GL_2(\mathbf{C})^I$ which we chose. We define a duality on L_k by:

$$\langle \bigotimes x_\tau, \bigotimes y_\tau \rangle = \prod \langle x_\tau, y_\tau \rangle,$$

and then:

$$\langle x\alpha, y\alpha \rangle = (\mathbf{N} v \alpha)^\mu \langle x, y \rangle.$$

Finally if $\mathcal{O}_K \subset R \subset \mathbf{C}$ then we define $L_k(R)$ to be:

$$\bigotimes_I S_{m_\tau, v_\tau}(R)$$

which is an R lattice in L_k and inherits an action of \mathcal{O}_D^\times (via j). Then $\langle \cdot, \cdot \rangle$ gives a duality $L_k(R)^2 \rightarrow R$.

If $f: G^D(\mathbf{A}) \rightarrow L_k$ and $u = u_f u_\infty \in G^D(\mathbf{A})$ we set:

$$(f|_k u)(x) = f(xu^{-1}) \cdot u_\infty.$$

For $U \subset G_f$ an open compact subgroup, set:

$$\begin{aligned} S_k^D(U) &= \{f: D^\times \backslash G^D(\mathbf{A}_F) \rightarrow L_k \mid f|_k u = f \ \forall u \in UG_\infty^D\} \\ &= \{f: G_f/U \rightarrow L_k \mid f(\alpha x) = f(x) \cdot \alpha^{-1} \ \forall x \in G_f, \alpha \in D^\times\} \end{aligned}$$

(where the action of D^\times is via $j: D^\times \rightarrow GL_2(K)^I$). We also define $I_k(U)$ to be zero unless $k=2t$ in which case it consists of those elements of S_{2t}^D which factor

through $v: G_f/U \rightarrow F_f^\times/vU$. For U, U' open compact subgroups in G_f and $x \in G_f$ we define a Hecke operator:

$$[UxU']: S_k^D(U) \rightarrow S_k^D(U'), \\ f \mapsto \sum f|_k x_i$$

where $UxU' = \coprod Ux_i$. It is easy to check that $[UxU']: I_k(U) \rightarrow I_k(U')$.

It is a theorem of Jacquet and Langlands [JL] (as completed by Arthur [A]) and of Shimizu [Su] that there are compatible isomorphisms:

$$i_U: S_k^D(U)/I_k(U) \xrightarrow{\sim} S_k^D(U)$$

for each U , which commute with the action of all the Hecke operators $[UxU']$ (see Theorem 2.1 of [H] for the theorem in this form).

We also set $X(U)$ to be the finite set:

$$D^\times \backslash G_f/U$$

and define a duality on $S_k^D(U)$ by setting:

$$\langle f, g \rangle = \sum_{[x] \in X(U)} \langle f(x), g(x) \rangle (\mathbf{N} v x)^\mu.$$

Here $\mathbf{N} v$ is the composite of $\mathbf{N} v: G_f \rightarrow \mathbf{Q}_f^\times$ with the natural map $\mathbf{Q}_f^\times \rightarrow \mathbf{Q}_{>0}^\times$ (which is the identity on the diagonally embedded copy of $\mathbf{Q}_{>0}^\times$), and the definition is easily checked to be good (note $\mathbf{N} v U = \{1\}$). One can also show that:

$$\langle f|_k [UxU'], g \rangle = (\mathbf{N} v x)^\mu \langle f, g|_k [U'x^{-1}U] \rangle.$$

Although the computation is easy, we give it here as we shall have to make several more like it. Let $UxU' = \coprod Ux u_i$ so that $U' = \coprod u_i^{-1}(U' \cap x^{-1}Ux)$, then:

$$\begin{aligned} \langle f|_k [UxU'], g \rangle &= \sum_i \sum_{[y] \in X(U')} \langle f(y u_i^{-1} x^{-1}), g(y) \rangle (\mathbf{N} v y)^\mu \\ &= \sum_{[y] \in X(U' \cap x^{-1}Ux)} \langle f(y x^{-1}), g(y) \rangle (\mathbf{N} v y)^\mu \\ &= \sum_{[y] \in X(xU'x^{-1} \cap U)} \langle f(y), g(yx) \rangle (\mathbf{N} v y)^\mu (\mathbf{N} v x)^\mu \\ &= \langle f, g|_k [U'x^{-1}U] \rangle (\mathbf{N} v x)^\mu \end{aligned}$$

where the last line follows by a similar reasoning to that used in the first three lines. Note that in particular $[UxU']: I_k(U)^\perp \rightarrow I_k(U')^\perp$.

We define $S_k^D(n)$, $S_k^D(n, \lambda)$, $\mathbf{T}_k^D(n)$ and $\mathbf{T}_k^D(n, \lambda)$ by analogy with the case of S^A . Again we get a map:

$$\begin{aligned} i: S_k^D(n)^2 &\rightarrow S_k^D(n, \lambda), \\ (f_1, f_2) &\rightarrow f_1 + f_2|_k \eta \end{aligned}$$

where we set for the rest of this section $\eta = \begin{pmatrix} \pi_\lambda & 0 \\ 0 & 1 \end{pmatrix}$. In fact we have a commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & I_k(n) & = & I_k(n) & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 \rightarrow & I_k(n)^2 & \rightarrow & S_k^D(n)^2 & \rightarrow & S_k^A(n)^2 & \rightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \rightarrow & I_k(n, \lambda) & \rightarrow & S_k^D(n, \lambda) & \rightarrow & S_k^A(n, \lambda) & \rightarrow 0 \\
 & \downarrow & & & & & \\
 & 0 & & & & &
 \end{array}$$

where the map $I_k(n) \rightarrow I_k(n)^2$ is given by $f \mapsto (f, -f)$. The commutativity follows from the compatibility of the maps i_U with the Hecke operators, because the map $S_k^D(n)^2 \rightarrow S_k^D(n, \lambda)$ may be written:

$$(f_1, f_2) \mapsto f_1|_k[U(n)1U(n, \lambda)] + f_2|_k[U(n)\eta U(n, \lambda)].$$

Note that in particular $I_k(n, \lambda) \subset i S_k^D(n)^2$.

We define $S_k^D(n, \lambda)^{\text{new}}$ to be $(i S_k^D(n)^2)^\perp$. Then we have:

Lemma 1. 1. $S_k^D(U) = I_k(U) \oplus I_k(U)^\perp$.

2. $S_k^D(n, \lambda) = i S_k^D(n)^2 \oplus S_k^D(n, \lambda)^{\text{new}}$ and $S_k^D(n, \lambda)^{\text{new}} \cong S_k^A(n, \lambda)^{\text{new}}$ as modules over the Hecke algebra. (Thus $\mathbf{T}_k^D(n, \lambda)^{\text{new}} \cong \mathbf{T}_k^A(n, \lambda)^{\text{new}}$ with the obvious notation.)

Proof. First assume that $k=2t$. Then $S_k^D(U) = \mathbf{C}^{X(U)}$. We can give this space an \mathbf{R} structure by considering $\mathbf{R}^{X(U)} \subset \mathbf{C}^{X(U)}$, and the assertions of the lemma depend only on the \mathbf{R} structure. However working with $\mathbf{R}^{X(U)}$ the lemma is easy to prove as \langle, \rangle is an inner product on $\mathbf{R}^{X(U)}$.

Now assume $k \neq 2t$. There is nothing to prove for the first assertion. For the second, note that $S_k^D(n, \lambda) \cong S_k^A(n, \lambda)$ and redefine (for the moment) $S_k^D(n, \lambda)^{\text{new}}$ to be the submodule corresponding to $S_k^A(n, \lambda)^{\text{new}}$ under this isomorphism. Then $S_k^D(n, \lambda) = i S_k^D(n)^2 \oplus S_k^D(n, \lambda)^{\text{new}}$ and, because \langle, \rangle is a duality, we need only show that $\langle i S_k^D(n)^2, S_k^D(n, \lambda)^{\text{new}} \rangle = 0$ to conclude that our two definitions of $S_k^D(n, \lambda)^{\text{new}}$ coincide and hence that the lemma holds.

However if \mathbf{T} denotes the abstract Hecke algebra generated by the operators T_q and S_q for $q \nmid n\lambda$ then it is known that \mathbf{T} is diagonalisable on $i S_k^D(n)^2$ and $S_k^D(n, \lambda)^{\text{new}}$. Thus it will do to show that if $f \in i S_k^D(n)^2$ and $g \in S_k^D(n, \lambda)^{\text{new}}$ are eigenforms of \mathbf{T} then $\langle f, g \rangle = 0$. But, if not, the usual calculation shows that $\theta_g(T_q) = \theta_f(T_q) \chi(q)$ where χ is the finite character defined by $\chi(q) = \theta_f(S_q)^{-1} (\mathbf{N}q)^\mu$ for $q \nmid n\lambda$. Thus if π_f and π_g are the corresponding automorphic representations the strong multiplicity one theorem implies that $\pi_f \otimes (\chi \circ \det) = \pi_g$. However λ divides the conductor of π_g , but neither that of π_f nor that of χ which is a contradiction.

Later we shall require the following:

Lemma 2. Let $i^\dagger: S_k^D(n, \lambda) \rightarrow S_k^D(n)^2$ be the adjoint of the map $i: S_k^D(n)^2 \rightarrow S_k^D(n, \lambda)$ defined with respect to the natural pairings on $S_k^D(n, \lambda)$ and $S_k^D(n) \oplus S_k^D(n)$ (an orthogonal direct sum). Then $i^\dagger \circ i: S_k^D(n)^2 \rightarrow S_k^D(n)^2$ is given by the following matrix:

$$\begin{pmatrix} \mathbb{N}\lambda + 1 & (\mathbb{N}\lambda)^\mu S_{\lambda-1} T_\lambda \\ T_\lambda & (\mathbb{N}\lambda)^\mu (\mathbb{N}\lambda + 1) \end{pmatrix}.$$

Proof. If $i^\dagger \circ i = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ then A, B, C and D are determined by the equations:

$$\begin{aligned} \langle f, g|_k A \rangle_{U(n)} &= \langle f, g \rangle_{U(n, \lambda)}, \\ \langle f, g|_k B \rangle_{U(n)} &= \langle f|_k \eta, g \rangle_{U(n, \lambda)}, \\ \langle f, g|_k C \rangle_{U(n)} &= \langle f, g|_k \eta \rangle_{U(n, \lambda)}, \\ \langle f, g|_k D \rangle_{U(n)} &= \langle f|_k \eta, g|_k \eta \rangle_{U(n, \lambda)}. \end{aligned}$$

Easy computations like the one given above now prove the lemma. (Recall that $[U(n): U(n, \lambda)] = \mathbb{N}\lambda + 1$.)

We shall now give $S_k^D(U)$ an integral structure if $U \subset U_0$. We have two maps $D \otimes_{\mathbf{Q}} K_f \xrightarrow{\sim} M_2(K_f)^I$. The first, which we shall write as the identity, comes from our identification of $G^D(F_f)$ with $G^A(F_f)$. The second, which we shall denote as j , comes from the map $j: D \otimes_{\mathbf{Q}} K \rightarrow M_2(K)^I$. Then $j(g) = \delta g \delta^{-1}$ for some $\delta \in (\prod G^A(\mathcal{O}_{K, v}))^I$. We let G_f act on \mathcal{O}_K lattices in $L_k(K)$ through the map:

$$G_f \xrightarrow{j} M_2(K_f)^I \rightarrow \text{End}(L_k(K)) \otimes_K K_f.$$

We shall write $L \mapsto Lg$. Note that if $g \in (\prod M_2(\mathcal{O}_{F, v})) \cap G_f$ then $L_k(R)g \subset L_k(R)$. If R is a ring such that $\mathbf{C} \supset R \supset \mathcal{O}_K$ and if $g \in G_f$ we define $L_k(R)g$ to be $L_k(\mathcal{O}_K)g \otimes R$. We now define the module of R integral modular forms $S_k^D(U; R)$ to be the module consisting of those $f \in S_k^D(U)$ such that $f(g) \in L_k(R)g^{-1}$ for all $g \in G_f$. Alternatively we can think of $S_k^D(U; R)$ as:

$$\bigoplus_{[g] \in X(U)} (L_k(R)g^{-1})^{D^\times \cap gUg^{-1}}.$$

Thus we see that $S_k^D(U; R)$ is an R lattice in $S_k^D(U)$. Also it is easily verified that if $x \in G_f \cap \prod M_2(\mathcal{O}_{F, q})$ and if $U, U' \subset U_0$ then $[U \times U']: S_k^D(U; R) \rightarrow S_k^D(U'; R)$ because:

$$\begin{aligned} (f|_k [U \times U'])(g) &= \sum f(gu_i^{-1}x^{-1}) \\ &\in \sum L_k(R)xu_i g^{-1} \subset L_k(R)g^{-1} \end{aligned}$$

where $U \times U' = \prod U \times u_i$.

We now want to examine the effect of our pairing on the integral structure.

Lemma 3. There are non-zero integers C_1 and C_2 such that for any open $U \subset U_0$:

$$C_1 \langle S_k^D(U; R), S_k^D(U; R) \rangle \subset R$$

and:

$$\langle f, S_k^D(U; R) \rangle \subset R \Rightarrow C_2 f \in S_k^D(U; R).$$

Proof. Fix a decomposition $G_f = \coprod_{j \in J} D^\times t_j U_0$. Then if $U \subset U_0$ we have $G_f = \coprod_{j \in J} \coprod_l D^\times t_j u_l U$ with each $u_l \in U_0$. Then:

$$S_k^D(U; R) = \perp_{j \in J} \perp_l (L_k(R) t_j^{-1})^{D^\times \cap t_j u_l U u_l^{-1} t_j^{-1}}.$$

The lemma is true for each of the orthogonal summands separately, so it will do to show that there are only finitely many possibilities for $L_k(R)^{D^\times \cap W}$ as W varies over open subgroups of $t_j U_0 t_j^{-1}$.

However if $X = \bigcup L_k(R)^{D^\times \cap U}$ as U varies over open compact subgroups then X is a submodule of $L_k(R)$ and in fact $X = L_k(R)^{D^\times \cap W_0}$ for some open compact subgroup W_0 . Without loss of generality we may suppose that $W_0 \subset t_j U_0 t_j^{-1}$. Then if $W \subset t_j U t_j^{-1}$:

$$L_k(R)^{D^\times \cap W} = X^{(D^\times \cap W)(D^\times \cap W_0)/(D^\times \cap W_0)}$$

but $(D^\times \cap W)(D^\times \cap W_0)/(D^\times \cap W_0)$ is constrained to be one of the finite number of subgroups of the finite group $(D^\times \cap t_j U_0 t_j^{-1})/(D^\times \cap W_0)$.

Before finally proving Theorem 1 we need one more result (the analogue of a theorem of Ihara in Ribet's proof):

Lemma 4. *Let $R \subset \mathcal{O}_K[1/N\lambda]$. There is a non-zero integer C_3 independent of λ such that for λ not dividing n :*

$$C_3^{-1} i(S_k^D(n; R)^2) \supset S_k^D(n, \lambda; R) \cap i(S_k^D(n)^2) \supset i(S_k^D(n; R)^2).$$

Proof. The second inclusion is easy. We divide the proof of the first into two cases.

Case 1 ($k=2t$). In this case $S_k^D(U; R) = R^{X(U)}$ which is how we shall think about these spaces for the moment. We consider two maps:

$$\begin{aligned} \pi_1, \pi_2: X(n, \lambda) &\rightarrow X(n), \\ \pi_1: [g] &\mapsto [g], \\ \pi_2: [g] &\mapsto [g \eta^{-1}]. \end{aligned}$$

We define an equivalence relation \sim on $X(n, \lambda)$ by $x \sim y$ if there is a chain $x = x_0, x_1, \dots, x_m = y$ such that, for each i , x_i and x_{i+1} have the same image in $X(n)$ under either π_1 or π_2 . Let y_1, \dots, y_s be representatives for the \sim equivalence classes c_1, \dots, c_s . Also define a "radius" function d on $X(n, \lambda)$ by setting $d(x)$ to be the length of the smallest chain $x = x_0, \dots, x_d = y_j$ exhibiting $x \sim y_j$ for some j .

Let $f = i(f_1, f_2) \in S_k^D(n, \lambda) \cap i(S_k^D(N)^2)$. We first claim that we may assume that $f_1(y_i) = 0$ for all i . In fact define:

$$\begin{aligned} f'_1: X(n) &\rightarrow R, \\ \pi_1 c_i &\rightarrow \{f(y_i)\} \\ f'_2: X(n) &\rightarrow R, \\ \pi_2 c_i &\rightarrow \{f(y_i)\}. \end{aligned}$$

and:

Then $f = i(f_1 - f'_1, f_2 + f'_2)$ and $(f_1 - f'_1)(y_i) = 0$ for all i .

Now assuming this we shall show by induction on $d(x)$ that $f_1(\pi_1 x)$ and $f_2(\pi_2 x)$ are in R for all x . First note that $f_1(\pi_1 x) + f_2(\pi_2 x) \in R$ so that if one is in R so is the other. If $d(x) = 0$ then $f_1(\pi_1 x) \in R$ as required. Assume $d(x) = m$ and that the result is true for all x' with $d(x') < m$. Then we can find an x' with $d(x') = m - 1$ and $\pi_i(x) = \pi_i(x')$ for $i = 1$ or 2 . Then either $f_1(\pi_1 x)$ or $f_2(\pi_2 x)$ lies in R as required.

Case 2 ($k \neq 2t$). Let $f = i(f_1, f_2) \in S_k^D(n, \lambda; R) \cap iS_k^D(n)^2$. Note that $L_k(R)\eta = L_k(R)$ and hence for $g \in G_f$:

$$f_1(g) + f_2(g\eta^{-1}) \in L_k(R)g^{-1} = L_k(R)\eta g^{-1}.$$

Thus it will do to show that $C_3 f_1 \in S_k^D(n; R)$. But if $g \in G_f$ and $u \in U(n)$ then:

- $f_1(gu) = f_1(g)$,
- $f_1(g\eta^{-1}u\eta) \in L_k(R)g^{-1} - f_2(g\eta^{-1}u) = L_k(R)g^{-1} - f_2(g\eta^{-1})$
 $= L_k(R)g^{-1} + f_1(g)$,
- and so $f_1(g\eta^{-1}u\eta) \equiv f_1(g) \pmod{L_k(R)g^{-1}}$.

Thus if V_λ is the subgroup of G_f generated by $U(n)$ and $\eta^{-1}U(n)\eta$ and if $\alpha \in D^\times \cap gV_\lambda g^{-1}$ we see that:

$$f_1(g) \equiv f_1(g)\alpha \pmod{L_k(R)g^{-1}}.$$

Let $g_1, \dots, g_r \in G_f$ represent the points of $X(n)$. Then it will do to show that there are non-zero integers $C(g_i)$ independent of λ such that if $x \in L_k$ satisfies:

$$x \equiv x\alpha \pmod{L_k(R)g_i^{-1}}$$

for all $\alpha \in D^\times \cap g_i V_\lambda g_i^{-1}$, then $C(g_i)x \in L_k(R)g_i^{-1}$. However we can find an ideal m and a non-zero integer C independent of λ and of i such that:

$$CL_k(R)g_i^{-1} \subset L_k(R) \subset C^{-1}L_k(R)g_i^{-1}$$

and $g_i V g_i^{-1} \supset W_\lambda \times W^\lambda$ where:

$$W_\lambda = \{u \in GL_2(\mathcal{O}_F) \mid \det u \in \mathcal{O}_{F, \lambda}^\times\},$$

$$W^\lambda = \{u \in \prod_{q \neq \lambda} GL_2(\mathcal{O}_{F, q}) \mid u \equiv 1 \pmod{m}\}.$$

(It is well known that $GL_2(\mathcal{O}_{F, \lambda})$ and $\eta^{-1}GL_2(\mathcal{O}_{F, \lambda})\eta$ generate W_λ .) Let Γ_m be the principal congruence subgroup of level m in $SL_2(\mathcal{O}_F)$. Then for x as above and $\alpha \in D^\times \cap (W_\lambda \times W^\lambda)$, $Cx \equiv Cx\alpha \pmod{L_k(R)}$. But if $\beta \in \Gamma_m$ then by the strong approximation theorem we can find $\alpha \in D^\times \cap (W^\lambda \times W_\lambda)$ arbitrarily congruent to $\delta^{-1}\beta\delta$ outside λ ; and hence:

$$Cx \equiv Cx\beta \pmod{L_k(R)}$$

where now the action of β is via $SL_2(F) \hookrightarrow GL_2(K)^I$ diagonally and not via j . Now considering elements $\begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$ and $\begin{pmatrix} 1 & 0 \\ m' & 1 \end{pmatrix}$ in Γ_m for $0 \neq m' \in m$ produces a constant C' depending only on m and k such that $C' C x \in L_k(R)$ and so $C' C^2 x \in L_k(R) g_i^{-1}$, as desired.

We are now in a position to prove Theorem 1. We may assume that $K \supset L_f$ and set $R = \mathcal{O}_K[1/\mathbf{N}\lambda]$. Then we can find $f' \in S_k^D(n; R)$ such that:

$$\begin{aligned} f' &\in I_k(n)^\perp, \\ f'|_k T &= \theta_f(T) f' \quad \forall T \in \mathbf{T}_k(n), \\ C_4(K f' \cap (S_k^D(n; R) + I_k(n))) &\subset R f' \end{aligned}$$

for some non-zero ideal C_4 of R depending only on K , k and n . Let $a = \theta_f(T_\lambda^2 - S_\lambda(\mathbf{N}\lambda + 1)^2)$ and let $g = ((1 + \mathbf{N}\lambda) S_\lambda f', -T_\lambda f')$ so that $a^{-1} i^\dagger \circ i(g) = (-f', 0)$. Then:

$$\begin{aligned} \langle C_1 C_3 a^{-1} i(g), i S_k^D(n)^2 \cap S_k^D(n, \lambda; R) \rangle &\subset C_1 \langle a^{-1} i(g), i(S_k^D(n; R)^2) \rangle \\ &= C_1 \langle a^{-1} i^\dagger \circ i(g), S_k^D(n; R)^2 \rangle \\ &\subset R. \end{aligned}$$

We may extend $\langle C_1 C_3 a^{-1} i(g), - \rangle$ to a linear map $S_k^D(n, \lambda; R) \rightarrow R$ and thus there is an $h \in S_k^D(n, \lambda)^{\text{new}}$ with $h + C_1 C_2 C_3 a^{-1} i(g) \in S_k^D(n, \lambda; R)$. Then for each $T \in \mathbf{T}_k^D(n, \lambda)$:

$$h|_k T - \theta_f(T) h \in a^{-1} C_1 C_2 C_3 i(g|_k T - \theta_f(T) g) + S_k^D(n, \lambda; R) = S_k^D(n, \lambda; R).$$

Thus we get a map:

$$\begin{aligned} \mathbf{T}_k(n, \lambda)^{\text{new}} \otimes R &\rightarrow R/\mathcal{J}_\lambda, \\ T_q &\mapsto \theta_f(T_q), \\ S_a &\mapsto \theta_f(S_a) \end{aligned}$$

where:

$$\begin{aligned} \mathcal{J}_\lambda &= \{x \in R \mid x h \in S_k^D(n, \lambda; R)\} \\ &\subset \{x \in R \mid x C_1 C_2 C_3^2 a^{-1} g \in S_k^D(n; R)^2 + \ker i\} \\ &\subset a(1 + \mathbf{N}\lambda)^{-1} \{x \in R \mid x C_1 C_2 C_3^2 f' \in S_k^D(n; R) + I_k(n)\} \\ &\subset a(1 + \mathbf{N}\lambda)^{-1} C_1^{-1} C_2^{-1} C_3^{-2} C_4^{-1} \end{aligned}$$

as desired.

Finally we indicate how we can control the error term as we claimed after the statement of theorem one. In the case $k=2t$ the constants C_1 , C_2 and C_3 may all be chosen to be one as we see easily from the proofs of lemmas three and four. We claim that there are infinitely many prime ideals μ such that we may choose C_4 to be any one of:

- $(\theta_f(T_q)^{h_F} - (1 + \mathbf{N}q)^{h_F}) \mu$ for $q \nmid n$,
- $(\theta_f(T_q)^{h_F} - (\mathbf{N}q)^{h_F}) \mu$ for $q \mid n$.

This would be enough to establish the remark. To prove the claim note that for infinitely many μ we may choose $f'_\mu \in S_k^D(n; R)$ such that:

$$\mu(K f'_\mu \cap S_k^D(n; R)) \subset R f'_\mu.$$

Then take:

$$f' = f'_\mu | (T_q^{h_F} - (1 + \mathbf{N} q)^{h_F})$$

for any $q \nmid n$ or:

$$f' = f'_\mu | (T_q^{h_F} - (\mathbf{N} q)^{h_F})$$

for any $q | n$. Then f' will satisfy the requirements at the start of the last paragraph because $(T_q^{h_F} - (1 + \mathbf{N} q)^{h_F})$ for $q \nmid n$ and $(T_q^{h_F} - (\mathbf{N} q)^{h_F})$ for $q | n$ kill $I_k(n)$ and preserve $I_k(n)^\perp$.

2. Galois representations

We first recall Wiles' notion of a pseudo-representation (see [W]). Let R be a ring and G a group with a distinguished element c of order two. By a pseudo-representation r of G into R we mean a collection of maps:

$$\begin{aligned} A: & G \rightarrow R, \\ D: & G \rightarrow R, \\ T: & G \rightarrow R, \\ X: & G \times G \rightarrow R \end{aligned}$$

satisfying the following conditions:

- $2A_{\sigma\tau} = A_\sigma A_\tau + X_{\sigma,\tau},$
- $2D_{\sigma\tau} = D_\sigma D_\tau + X_{\tau,\sigma},$
- $A_\sigma = T_\sigma + T_{c\sigma},$
- $D_\sigma = T_\sigma - T_{c\sigma},$
- $T_1 = 2$ and $T_c = 0,$
- $X_{c,\sigma} = X_{\sigma,c} = 0,$
- $X_{\sigma,\tau} X_{\rho,\eta} = X_{\sigma,\eta} X_{\rho,\tau},$
- $4X_{\sigma\tau,\rho\eta} = A_\sigma A_\eta X_{\tau,\rho} + A_\eta D_\tau X_{\sigma,\rho} + A_\sigma D_\rho X_{\tau,\eta} + D_\tau D_\rho X_{\sigma,\eta}.$

We define the trace of r to be $\text{Tr}(r) = T$ and the “determinant” to be $\text{Det}(r)$: $\sigma \mapsto A_\sigma D_\sigma - X_{\sigma,\sigma}$. Note that a pseudo-representation is determined by its trace (as follows at once from the first four properties). Also if $\rho: G \rightarrow GL_2(R)$ is

a representation with $\rho(c) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ then ρ determines a unique pseudo-representation r with the same trace. In fact if $\rho(\sigma) = \begin{pmatrix} a_\sigma & b_\sigma \\ c_\sigma & d_\sigma \end{pmatrix}$ then r is given by:

$$\begin{aligned} A: \quad \sigma &\mapsto 2a_\sigma, \\ D: \quad \sigma &\mapsto 2d_\sigma, \\ T: \quad \sigma &\mapsto a_\sigma + d_\sigma, \\ X: (\sigma, \tau) &\mapsto 4b_\sigma d_\tau. \end{aligned}$$

Finally if R is a principal ideal domain whose field of fractions F_R does not have characteristic two and if r is a pseudo-representation valued in R then there is a representation

$$\rho: G \rightarrow GL_2(F_R)$$

with $\rho(c) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $\text{tr } \rho = \text{Tr } r$ and $4 \det \rho = \text{Det } r$. If 2 is invertible in R or if R is the integers in a finite extension of \mathbf{Q}_2 and r is continuous from a compact group then we can take $\rho: G \rightarrow GL_2(R)$. For a proof we refer the reader to Wiles' article [W].

We now give a version of the results of Ohta [O], Rogawski-Tunnell [RT] and Carayol [C]:

Proposition 1. *Let p be a rational prime, n an ideal of F and λ a prime of F not dividing n . Then there is a continuous pseudo-representation of $\text{Gal}(F^{ac}/F)$ valued in $\mathbf{T}_k(n, \lambda)^{\text{new}} \otimes \mathbf{Z}_p$ which is unramified outside $n\lambda p$ and such that:*

$$\begin{aligned} (\text{Tr } r)(\text{Frob } q) &= T_q, \\ (\text{Det } r)(\text{Frob } q) &= 4S_q \mathbf{N} q \end{aligned}$$

for $q \nmid n\lambda p$. Moreover there is a unique continuous Galois character χ extending $\text{Frob } q \mapsto S_q$ for $q \nmid n\lambda p$; and for $q \mid n\lambda$ but $q \nmid p$ and σ in the decomposition group of q lying above $\text{Frob } q$ we have that:

$$(\text{Det } r)(\sigma) = 4\chi(\sigma) \mathbf{N} q$$

and either $T_q^{s_q} = 0$ (where s_q is the highest power of q dividing $n\lambda$) or:

$$(T_q^2 - T_q(\text{Tr } r)(\sigma) - \chi(\sigma) \mathbf{N} q)^2 = 0.$$

Proof. We can replace $\mathbf{T}_k(n, \lambda)^{\text{new}} \otimes \mathbf{Z}_p$ by $\mathbf{T}_k(n, \lambda)^{\text{new}} \otimes \mathbf{Q}_p^{ac} = \bigoplus \mathcal{R}_i$ where each \mathcal{R}_i is local with a unique map $\theta_i: \mathcal{R}_i \twoheadrightarrow \mathbf{Q}_p^{ac}$. In fact we shall show for \mathcal{R} any \mathcal{R}_i there is a representation into $GL_2(\mathcal{R})$ with the desired properties. Now it is known that there is a genuine continuous representation:

$$\rho: \text{Gal}(F^{ac}/F) \rightarrow GL_2(\mathbf{Q}_p^{ac})$$

such that for $q \nmid n \lambda p$:

$$\begin{aligned}\mathrm{tr} \rho(\mathrm{Frob} q) &= \theta(T_q), \\ \det \rho(\mathrm{Frob} q) &= \theta(S_q) \mathbf{N} q.\end{aligned}$$

Giving $\mathrm{Gal}(F^{ac}/F)$ a trivial action on \mathcal{R} we can think of $\rho: \mathrm{Gal}(F^{ac}/F) \rightarrow GL_2(\mathcal{R})$; and, because for $q \nmid n \lambda$ $T_q = \theta(T_q)$ and $S_q = \theta(S_q)$ in \mathcal{R} , $\rho(\mathrm{Frob} q)$ will satisfy the required relations for $q \nmid n \lambda p$. In particular we see that χ is well defined and $\det \rho = \chi \mathbf{N}$.

For $q \mid n \lambda$ but $q \nmid p$ there are two possibilities either $\theta(T_q) = 0$ in which case $T_q^{s_q} = 0$ in \mathcal{R} ; or $(T_q - \theta(T_q))^2 = 0$ in \mathcal{R} and if $\pi = \bigotimes \pi_v$ is the automorphic representation corresponding to \mathcal{R} then π_q is principal series or special with at least one defining character unramified. In the second case, if σ is as in the theorem then $\theta(T_q)^2 - \theta(T_q) \mathrm{tr} \rho(\sigma) - \chi(\sigma) \mathbf{N} q = 0$ (see Carayol [C]), and hence:

$$(T_q^2 - T_q(\mathrm{Tr} r)(\sigma) - \chi(\sigma) \mathbf{N} q)^2 = 0$$

in \mathcal{R} , as desired.

We can now prove our main theorem:

Theorem 2. *Let p be a rational prime, n an ideal of F and $f \in S_k^A(n)$ an eigenform for $\mathbf{T}_k(n)$, where each $k_\tau \geq 2$. Let $\theta: \mathbf{T}_k(n) \rightarrow \mathcal{O}_f$ be the corresponding morphism (i.e. $f|_k T = \theta(T)f$ for all $T \in \mathbf{T}_k(n)$) where \mathcal{O}_f denotes the integers of the number field generated by the image of θ . Let \mathfrak{p} be a prime of \mathcal{O}_f above p , then there is a continuous representation:*

$$\rho: \mathrm{Gal}(F^{ac}/F) \rightarrow GL_2(\mathcal{O}_{f, \mathfrak{p}})$$

which is unramified outside np and such that if q is a prime of F not dividing np then:

$$\begin{aligned}\mathrm{tr} \rho(\mathrm{Frob} q) &= \theta(T_q), \\ \det \rho(\mathrm{Frob} q) &= \theta(S_q) \mathbf{N} q.\end{aligned}$$

Moreover if $q \mid n$ but $q \nmid p$ then either $\theta(T_q) = 0$ or if $\sigma \in D_q$ lies above Frob_q then:

$$\begin{aligned}\mathrm{tr} \rho(\sigma) &= \theta(T_q) + \chi(\sigma) (\mathbf{N} q) \theta(T_q)^{-1}, \\ \det \rho(\sigma) &= \chi(\sigma) \mathbf{N} q\end{aligned}$$

where χ is the continuous Galois character extending $\mathrm{Frob} q \mapsto \theta(S_q)$ for q a prime not dividing np .

Proof. It will do to find a pseudo-representation with the desired properties with the condition “ $\mathrm{tr} \rho(\sigma) = \theta(T_q) + \chi(\sigma) (\mathbf{N} q) \theta(T_q)^{-1}$ for $\theta(T_q) \neq 0$ ” replaced by:

$$\theta(T_q^{s_q})(\theta(T_q^2) - \theta(T_q)(\mathrm{Tr} r)(\sigma) - \chi(\sigma) \mathbf{N} q)^2 = 0.$$

In fact it will do to show that for each $m > 0$ there is such a pseudo-representation r_m valued in $\mathcal{O}_f/\mathfrak{p}^m$, for then $r_m \equiv r_{m+1} \pmod{\mathfrak{p}^m}$ (because a continuous pseudo-representation is determined by its trace on a dense set of elements) and so we can put them together to construct r .

Assume for the moment that for each positive integer m we can find infinitely many primes λ of F such that $\mathbf{N} \lambda \equiv \alpha_\lambda / \beta_\lambda \equiv 1 \pmod{\mathfrak{p}^{t(m)}}$ where $t(m) = m + v_\mathfrak{p}(E_f)$

$+[\mathcal{O}_f:\mathbf{Z}]$, E_f is as in Theorem 1 and α_λ and β_λ are the roots of $X^2 - \theta(T_\lambda) + \theta(S_\lambda)\mathbf{N}\lambda = 0$. Then for such a λ , $v_p(1 + \mathbf{N}\lambda) \leq [\mathcal{O}_f:\mathbf{Z}]$ and:

$$\begin{aligned} \theta(T_\lambda^2 - S_\lambda(1 + \mathbf{N}\lambda)^2) &= \theta(S_\lambda)((1 + \alpha_\lambda/\beta_\lambda)(1 + \beta_\lambda/\alpha_\lambda)\mathbf{N}\lambda - (1 + \mathbf{N}\lambda)^2) \\ &\equiv 0 \pmod{\mathfrak{p}^{t(m)}}. \end{aligned}$$

Thus combining Theorem 1 with the above proposition we would obtain the desired pseudo-representation, except that we may lose information about $\text{Frob } \lambda$. However two such λ determine the same pseudo-representation and between them determine the desired information about $\text{Frob } q$ for all q .

Thus it just remains to prove that we can find infinitely many such λ . However Brylinski and Labesse [BL] have shown the existence of a continuous representation:

$$\beta: \text{Gal}(F^{ac}/F) \rightarrow GL_{2a}(\mathcal{O}_{f,\mathfrak{p}})$$

unramified outside some finite set of primes; and such that, for almost all primes q of F , which lie above a prime \bar{q} of \mathbf{Q} which splits completely in the normal closure of F , the characteristic polynomial of $\beta(\text{Frob } q)$ has roots:

$$\prod_{q' \in I_1} \alpha_{q'} \prod_{q' \in I_2} \beta_{q'}$$

as I_1, I_2 run over partitions of the primes of F above \bar{q} into two sets. Let M/\mathbf{Q} be the composite of the normal closure of F , the cyclotomic field of conductor $\mathfrak{p}^{t(m)}$ and the fixed field of $\{\sigma \in \text{Gal}(F^{ac}/F) \mid \beta(\sigma) \equiv 1 \pmod{\mathfrak{p}^{t(m)}}\}$. Then all but finitely many of the (infinite number) of primes that split completely in M will satisfy our requirements.

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