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Arithmetic Progression

k -term arithmetic progression : $a, a+d, a+2d, \dots, a+(k-1)d$.

For example, 3-term AP: $a, b=a+d, c=a+2d$ where $b=(a+c)/2$.

A subset $S \subseteq \mathbb{N}$ contains arbitrarily long AP's if S contains a k -AP for any $k \geq 3$ (Because $k=1, k=2$ trivial case.)

1 2 3 4 5 6 7 8 9 10 11-15 \dots , $S = \{1, 4, 5, 6, \dots\}$

S contains arbitrarily long AP's, but it does not contain an infinite AP.

Van der Waerden (1927) said that "If the positive integers are coloured using finitely many colors, then there are arbitrarily long monochromatic AP's."



$\{1, 2, \dots\}$

$f: \{1, \dots\} \rightarrow \{1, 2, \dots, m\}$ for every $k \geq 3$
there exist $a, d \in \{1, 2, \dots\}$ such that
 $f(a) = f(a+d) = \dots = f(a+(k-1)d)$.

Let $S \subseteq \{1, 2, \dots\}$, then the upper density of S is $J(S) = \limsup_{n \rightarrow \infty} \frac{|S \cap \{1, \dots, n\}|}{n}$
(lim-s-p exists but not lim) and $J(S) \in [0, 1]$ ($J(\mathbb{N}^+) = 1$)
probability

Erdős - Turán Conjecture (1935). $S \subseteq \{1, 2, \dots\}$. If $J(S) > 0$, then S contains arbitrarily long AP's.

(Roth (~1952) two papers about:

- If $J(S) > 0$, then S contains a 3-AP's. (Circle Method) (Roth's App. Theorem)
- $k \geq 4 \rightarrow$ Szemerédi (1969) also Roth

This Conjecture proved by Szemerédi (1975)

Green - Tao (2005). Primes contain arbitrarily long AP's. $J(\mathbb{P}) = 0$.

Roth ----- Circle Method

Hardy - Littlewood (1918)
Hardy - Littlewood
Vinogradov (1930's)

Goldbach \Rightarrow Weak Goldbach

$n \geq 4$ even

$n = p_1 + p_2$

$n \geq 7$ odd

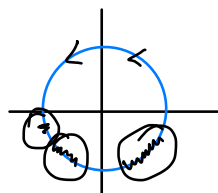
$n = p_1 + p_2 + p_3$

} If n is large enough and odd, then $n = p_1 + p_2 + p_3$.

1. What is the Circle Method?

$$A(z) = \sum_{n=0}^{\infty} a_n z^n, \quad |z| < R, \quad \text{additive series power-series analytic} \quad \text{multiplicative Dirichlet series}$$

Cauchy's Integral Formula: $a_n = \frac{1}{2\pi i} \int_{C_r} \frac{A(z)}{z^{n+1}} dz$ where C_r is the circle at 0 with radius $r < R$.



M : major arcs
 m : minor arc

$n \in \mathbb{R}$	ϕ
$ x $	C^1
	C^2
\vdots	∞ smooth
	C^∞ analytic

An Application. $n \in \mathbb{N}$, $r_k(n) = \# \{ (a_1, \dots, a_k) : n = a_1 + \dots + a_k \}$

for example, $r_2(5) = 6$, $5+0=0+5=4+1=1+4=2+3=3+2$

$r_3(4) = 15$, ...

Homework. $r_k(n) = \binom{n+k-1}{k-1}$ using some counting methods.

$K[x_1, \dots, x_k]$, $V(n, k) = \{ p \in K[x_1, \dots, x_k] \mid \deg p \leq n \} \rightarrow$ it is a vector space.
What is the basis? find $\dim V$?

$$\frac{1}{1-z} = 1 + z + z^2 + \dots, \quad |z| < 1$$

$$\frac{1}{1-z} = (1 + z + \dots)^k = \left(\sum_{n=0}^{\infty} z^n \right)^k = \sum_{n=0}^{\infty} r_k(n) z^n$$

$$\left(\frac{1}{1-z} \right)' = \frac{1}{(1-z)^2} = \left(\sum_{n=0}^{\infty} z^n \right)' = \sum_{n=1}^{\infty} n \cdot z^{n-1} = \sum_{n=0}^{\infty} (n+1) z^n = r_2(n).$$

$$\left(\frac{1}{1-z} \right)^{k-1} = \frac{(k-1)!}{(1-z)^k} = \sum_{n=k-1}^{\infty} n(n-1)\dots(n-(k-1)+1) z^{n-(k-1)} = \sum$$

$$\frac{1}{(1-z)^k} = \sum_{n=0}^{\infty} \frac{(n+k-1)\dots(n+1)}{(k-1)!} z^n = \frac{(n+k-1)\dots(n+1)}{(k-1)!} = \binom{n+k-1}{k-1}$$

$$r_k(n) = \frac{1}{2\pi i} \int_C \frac{1}{(1-z)^k} \frac{1}{z^{n+1}} dz$$

Generalized Binomial Theorem. $r \in \mathbb{C}$, $\binom{r}{k} = \frac{r(r-1)\dots(r-k+1)}{k!}$

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k.$$

n is integer (or otherwise)

$$(1-z)^{-k} = \sum_{n=0}^{\infty} \binom{-k}{n} (-1)^n z^n.$$

$A \subseteq \{1, 2, \dots\}$ If $\underbrace{A + \dots + A}_k = \mathbb{N}$, it is additive basis.

$$r_{k,A}(n) = \# \{(a_1, \dots, a_k) : n = a_1 + \dots + a_k, a_i \in A\}$$

$$A(z) = \sum_{n \in A} z^n, \quad |z| < 1, \quad A(z)^k = \sum_{n=1}^{\infty} r_{k,A}(n) z^n = r_{k,A}(n) = \frac{1}{2\pi i} \int_C \frac{A(z)^k}{z^{n+1}} dz$$

Langrange: $\mathbb{N} = \{a^2 + b^2 + c^2 + d^2 : a, b, c, d\}$, $S = \{n^2 : n \in \mathbb{N}\}$

$$4S = S + S + S + S = \mathbb{N} \quad g(2) = 4.$$

$$A(z) = \sum_{n=0}^{\infty} z^n = 1 + z + z^2 + z^3 + \dots, \quad |z| < 1$$

$$A(z)^4 = \sum_{n=0}^{\infty} p_{4,S}(n) z^n.$$

all coefficients are positive \Leftrightarrow Langrange's Theorem.

2. Partition Function. $p(n)$

$$p(0)=1, p(1)=1, p(2)=3, p(3)=5, p(4)=7, p(5)=11, p(6)=19, p(7)=30, \dots, p(100)=190502292.$$

$$\text{Hardy-Ramanujan } p(n) \sim \frac{e^{\pi \sqrt{2n/3}}}{4\sqrt{3}n} \quad \text{as } n \rightarrow \infty.$$

$$f(z) = \sum_{n=0}^{\infty} p(n) z^n \stackrel{\text{LLL}}{=} \prod_{m=1}^{\infty} (1 - z^m)^{-1}, \quad p(n) = \frac{1}{2\pi i} \int_C \frac{f(z)}{z^{n+1}} dz$$

Waring, 1770 (Conjecture). Let $\Omega_k = \{n^k : n \in \mathbb{N}\}$. There exists $s \in \mathbb{N}$ such that

$s \cdot \Omega_k = \mathbb{N}$. The smallest such s is denoted by $g(k)$

$$\hookrightarrow \underbrace{\Omega_k + \dots + \Omega_k}_{s \text{ many}}$$

$$\rightarrow \text{Langrange } g(2) = 4.$$

Waring's Conjecture was (first) proved by Hilbert.

reproved by Hardy-Littlewood using Circle Method.

$$g(3) = 9, \quad g(4) = 19$$

Waring's Conjecture: $g(k) < \infty$

$$n_0 = 2^k \left[\left(\frac{3}{2} \right)^k \right] - 1$$

$$n_0 = x_1^k + \dots + x_s^k = \underbrace{2^k + 2^k + \dots + 2^k}_{\left[\frac{3}{2} \right]^k - 1} + \underbrace{1^k + \dots + 1^k}_{s - \left[\frac{3}{2} \right]^k + 1}$$

$$g(k) \gg 2^k + \left[\left(\frac{3}{2} \right)^k \right] - 2.$$

$$23 = 2^3 + 2^3 + 1^3 + \dots + 1^3, \quad 23 \notin 8 \cdot \Omega_k$$

Homework. If $n \equiv 7 \pmod{8}$, then $n \notin \Omega_2 = \{a^2 + b^2 + c^2 : a, b, c \in \mathbb{N}\}$

$G(2) = 4$, and $G(k) =$ the least integer such that for all sufficiently large positive integers can be written as a sum of $G(k)$ k^{th} powers.

$G(3) \leq 7$, Linnik 1947

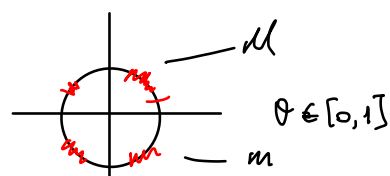
$G(4) = 16$, Bombieri 1939 (Multiplicative Number Theory)

$G(5) \leq 17$, Vaughan-Wooley, 1995

$$g_k(x) = \sum_{n=1}^{\infty} x^{n^k}$$

$$g_k(x)^s = \sum_{n=1}^{\infty} r_{s,k}(n) x^n$$

$$\begin{aligned} \mathcal{R}_{k,s}(n) &= \# \{ (m_1, \dots, m_s) \in \mathbb{N}^s : m_1^k + \dots + m_s^k = n \} \\ &= \frac{1}{2\pi i} \int_C \frac{g_k(x)^s}{x^{n+1}} dx \end{aligned}$$



Vinogradov used the exponential sums. $e(\theta) = e^{2\pi i \theta}$

$$\int_0^1 e(\theta h) d\theta = \begin{cases} 0 & h \in \mathbb{Z} - \{0\} \\ 1 & h = 0 \end{cases}$$

Homework

Suppose $n = x_1^k + \dots + x_s^k$, $x_i \leq n^{1/k}$,

$$(f_k(\theta))^s = \left(\sum_{1 \leq x \leq X} e(\theta x^k) \right)^s$$

$$f_k(\theta) = \sum_{1 \leq x \leq X} e(\theta x^k) \text{ and}$$

$$= \sum_{1 \leq x_1 \leq X} \dots \sum_{1 \leq x_s \leq X} e(\theta(x_1^k + \dots + x_s^k))$$

$$= \sum_{1 \leq m \leq sX^k} \mathcal{I}_{s,k}^*(m) e(\theta m), \quad \mathcal{I}_{s,k}^*(m) = \# \{ m_1, \dots, m_s \in \mathbb{N} \cap [1, X] : m_1^k + \dots + m_s^k = m \}$$

$$= \sum_{1 \leq m \leq sX^k} \mathcal{I}_{s,k}^*(m) \int_0^1 e(\theta(m-n)) d\theta$$

$$= \mathcal{I}_{s,k}^*(n) \text{ using Orthogonality.}$$

$$= \mathcal{I}_{s,k}(n)$$

$$* \quad \hat{\tau}(\theta) = \sum_{\substack{p \leq N \\ p: \text{prime}}} e(p\theta)$$

T_N : the number of twin primes $p \leq N$

p twin: $p, p+2$ primes

Open: $\lim_{N \rightarrow \infty} T_N = \infty$

Homework. Show that $T_N = \int_0^1 |\hat{f}_N(\theta)|^2 e(-2\theta) d\theta$

$\int_0^1 |\hat{f}_N(\theta)|^2 d\theta = \pi(N)$: the # of primes $p \leq N$

\rightarrow PNT, $\pi(N) \sim N / \log N$

$$\pi(N) = \int_2^N \frac{dt}{\log t} + \mathcal{O}(N)$$

$$= \text{Li}(N) + \mathcal{O}(N)$$

$$\mathcal{O}(N) = O(\sqrt{N} \log N)$$

\Leftrightarrow Riemann Hypothesis (1860)

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}, \quad \text{Re}(s) > 1$$

Vinogradov's Identity.

$$\Lambda(n) = \begin{cases} \log p, & n = p^m \\ 0, & \text{otherwise} \end{cases}$$

: Von Mangoldt's function.

PNT

$$\sum_{n \leq N} \Lambda(n) \sim N$$

$$\sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s} = -\frac{\zeta'(s)}{\zeta(s)}$$

$$S(\alpha) = \sum_{k \leq N} \Lambda(k) e(k\alpha), \quad r(n) = \sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n}} \Lambda(k_1) \Lambda(k_2) \Lambda(k_3)$$

$$r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha$$

Theorem. For any fixed $A > 0$, $r(N) = \frac{1}{2} \mathcal{O}(N) N^2 + O\left(\frac{N}{(\log N)^A}\right)$

$$\hookrightarrow \prod_{p|N} \left(1 - \frac{1}{(p-1)^2}\right) \prod_{p \nmid N} \left(1 + \frac{1}{(p-1)^2}\right)$$

For Goldbach, $C(N) = \sum_{k_1 + k_2 = N} n(k_1) n(k_2)$, then $C(N) = \int_0^1 S(\alpha)^2 e(-N\alpha) d\alpha$. H.W

For these lectures, we are interesting with Roth's Theorem. (Higher Fourier Analysis). Equivalent statement with it is that "for any $\delta > 0$, there exists

$N = N(\delta)$ such that if $n \geq N$, $A \subseteq \{1, \dots, n\}$, $|A| \geq \delta n$, then A contains a 3-AP's.

Roth's Theorem.

1) $B \subseteq \{1, 2, \dots\}$, $\gamma(B) > 0$, $\gamma(B) = \limsup_{n \rightarrow \infty} \frac{|B \cap \{1, \dots, n\}|}{n}$, then there exists a 3-AP in B , i.e., there are $a, a_2 \in B$ such that $\frac{a+a_2}{2} \in B$, i.e., there are a, d such that a and a and $a+2d$ in B .

Equivalently,

2) For any $\delta > 0$, $\exists N = N(\delta)$ such that if $n \geq N$, $A \subseteq \{1, \dots, n\}$, $|A| \geq \delta n$, then A contains a 3-AP.

Or equivalently

3) $M(n) = \max \{|S| : S \subseteq \{1, \dots, n\} \text{ } S \text{ does not contain a 3-AP}\}$ $\lim_{n \rightarrow \infty} \frac{M(n)}{n} = 0$

H.W: Show that 1, 2, 3 are equivalent.

First we show that $\gamma = \lim_{n \rightarrow \infty} \frac{M(n)}{n}$ exists and we prove that $\gamma = 0$. Define $\delta(n) = \frac{M(n)}{n}$.

Proof

(Existence) Note that $M(m+n) \leq M(m) + M(n)$

$$\begin{aligned} \text{Let } n_2 \geq n_1. \quad M(n_2) &= M\left(n_1, \left[\frac{n_2}{n_1}\right] + n_2 - n_1 \left[\frac{n_2}{n_1}\right]\right) \\ &\leq \left[\frac{n_2}{n_1}\right] M(n_1) + M\left(n_2 - n_1 \left[\frac{n_2}{n_1}\right]\right) \\ &\leq \frac{n_2}{n_1} M(n_1) + M(n_1) \end{aligned}$$

$$\begin{array}{ccc} & m & m+n \\ & \downarrow & \\ 1 & & n \end{array}$$

$$\begin{aligned} n_2 &= qn_1 + r \\ q &= \left[\frac{n_2}{n_1}\right]. \end{aligned}$$

Dividing both sides by n_2 $\delta(n_2) \leq \delta(n_1) + \frac{n_1}{n_2}$. Hence $\limsup_{n_2 \rightarrow \infty} \delta(n_2) \leq \delta(n_1)$
 $\limsup_{n_2 \rightarrow \infty} \delta(n_2) \geq \liminf_{n_1 \rightarrow \infty} \delta(n_1)$. This gives $\gamma_2 \geq \gamma_1$. Thus $\gamma_1 \geq \gamma_2$. $\gamma_1 = \gamma_2 = \gamma$.

Therefore, we show above $\exists \gamma$. Now we need to show that $\gamma = 0$.

($\gamma = 0$) $M \subseteq \{1, \dots, n\}$ 3-AP free $|M| = M(n)$. $f(\alpha) = \sum_{x \in M} e(\alpha x)$ where $e(\theta) = e^{2\pi i \theta}$

$$\begin{aligned} \text{Consider } \int_0^1 f^2(\alpha) f(-2\alpha) d\alpha &= \int_0^1 \sum_{x_1 \in M} \sum_{x_2 \in M} \sum_{x_3 \in M} e(\alpha x_1) e(\alpha x_2) e(-2\alpha x_3) d\alpha \\ &= \sum_{x_1, x_2, x_3 \in M} \int_0^1 e(\alpha(x_1 + x_2 - 2x_3)) d\alpha \quad \begin{array}{l} x_1 + x_2 - 2x_3 = 0 \\ \Leftrightarrow x_1 = x_2 = x_3 \end{array} \\ &= \sum_{x_i \in M} 1 = M(n). \end{aligned}$$

Lemma 1. (Dirichlet's Approximation Theorem) For any real number α and positive integer N , there exists integers p and q such that $1 \leq q \leq N$ and $|q\alpha - p| < 1/N$, i.e., $|\alpha - \frac{p}{q}| < 1/qN < 1/q^2$.

Proof. Let α, N be given. Consider $(n\alpha)_n$. $\alpha, 2\alpha, 3\alpha, \dots$
 $n\alpha = [n\alpha] + \{n\alpha\}$. $\{ \alpha \}, \{ 2\alpha \}, \dots, \{ n\alpha \}, \{ (N+1)\alpha \}$

$$[0, 1) = \bigcup_{k=0}^{N-1} \left[\frac{k}{N}, \frac{k+1}{N} \right)$$

There are $1 \leq m < n \leq N+1$ positive integers and $k \in \{0, \dots, N-1\}$ such that $\{n\alpha\}, \{m\alpha\}$ are in $\left[\frac{k}{N}, \frac{k+1}{N} \right)$. This means that $|\{n\alpha\} - \{m\alpha\}| < 1/N$. $\{n\alpha\} = n\alpha - [n\alpha]$ and $\{m\alpha\} = m\alpha - [m\alpha]$. Thus $|n\alpha - [n\alpha] - m\alpha + [m\alpha]| < 1/N$, this gives

$$|(n-m)\alpha - ([n\alpha] - [m\alpha])| < 1/N$$

$$|q\alpha - p| < 1/N$$

$$1 \leq q = n-m \leq N$$

Lemma. Let m be a positive integer, $\beta \in \mathbb{R}, \beta \neq 0, |\beta| \leq 1/2m$. Then

$$\left| \frac{\sin \pi m \beta}{\sin \pi \beta} \right| \geq \frac{2m}{\pi}$$

Proof. H.W.

$$U, |U| = U(n) \quad f(x) = \sum_{x \in U} e(\alpha x) \quad \text{and} \quad \int_0^1 f(x)^2 f(-2x) dx = U(n).$$

For now on, $m \leq n$. $\psi(x) = \sum_{x \in U} e(\alpha x)$ and $\Phi(x) = \psi(x) - f(x)$ where

$$f(x) = \sum_x \chi_U(x) e(\alpha x) \quad \text{and} \quad \chi_U(x) = \begin{cases} 1, & x \in U, \\ 0, & \text{otherwise.} \end{cases}$$

$$\Phi(x) = \sum_{x=1}^n c(x) e(\alpha x), \quad c(x) = \psi(x) - \chi_U(x)$$

Theorem. Suppose that $g(x) = \sum_{x=0}^{m-1} e(\alpha x)$, and $q < \frac{n}{m}$ is a natural number. Then $g(\alpha q) \Phi(x) = \sum_{h=1}^{n-mq} \sigma(h) e(\alpha(h+mq-q)) + \Phi(x)$ where for every $h=1, \dots, n-mq$, $\sigma(h) = \sum_{x=0}^{m-1} c(h+xq) \geq 0$ and $|\Phi(x)| < 2m^2q$.

Proof. Homework.

Lemma. Suppose $2m^2 < n$. For every real number α , we have

$$|\Phi(x)| < 2n(\psi(m) - \psi(n)) + 16m^2$$

Proof. By Dirichlet's Approximation Theorem there exists integers a and q satisfying $(a, q) = 1$

$1 \leq q \leq 2m$ such that

$$|\alpha - \frac{a}{q}| \leq \frac{1}{2mq}.$$

Now $g(\alpha q) = g(\alpha q - a) = g(\beta)$ and $|\beta| = |\alpha q - a| \leq 1/2m$. Thus

$$\begin{aligned} |g(\alpha q)| &= |g(\beta)| = |1 + e(\beta) + e(2\beta) + \dots + e((m-1)\beta)| \\ &\stackrel{\beta \neq 0}{=} \left| \frac{1 - e(m\beta)}{1 - e(\beta)} \right| = \left| \frac{1 - e(m\beta)}{1 - e(\beta)} \cdot \frac{e(-\frac{m\beta}{2})}{e(-\frac{m\beta}{2})} \right| \\ &= \left| \frac{\sin(\pi m\beta)}{\sin(\pi\beta)} \right| \geq \frac{2m}{\pi} \end{aligned}$$

It holds when $\beta = 0$ as well. Note that $q \leq 2m < \frac{n}{m}$. By previous lemmas,

$$\begin{aligned} \frac{m}{2} |F(\alpha)| &\leq \frac{2m}{\alpha} |D(\alpha)| \leq |g(\alpha q) \cdot F(\alpha)| \\ &\leq \sum_{h=1}^{n-mq} \alpha(h) + 2m^2 q \\ &= g(0)F(0) - D(0) + 2m^2 q \\ &\leq m F(0) + 4m^2 q \\ &\leq m F(0) + 8m^3 \end{aligned}$$

Also we know that $D(0) = \sum_{x=1}^n \delta(m) - \chi_M(x) = n \delta(m) - M(n) = n(\delta(m) - \delta(n))$. Then $|D(\alpha)| \leq 2n |\delta(m) - \delta(n)| + 16m^2$.

Proof of Roth's Theorem.

$$\begin{aligned} \text{Set } I &= \int_0^1 f^2(x) f(-2x) dx \\ &= \int_0^1 \sum_{x_1 \in M} \sum_{x_2 \in M} \sum_{j=1}^n \delta(m) e(\alpha \cdot (x_1 + x_2 - 2j)) dx \\ &= \sum_{x_1 \in M} \sum_{x_2 \in M} \sum_{j=1}^n \delta(m) \int_0^1 e(\alpha \cdot (x_1 + x_2 - 2j)) d\alpha \\ &= \sum_{x_1 \in M} \sum_{x_2 \in M} \delta(m) \quad (x_1 + x_2) \text{ even} \end{aligned}$$

Let $M(n) = M_1 + M_2$ denote the number of odd and even elements of M , respectively.

$$\text{Thus } I = \delta(m) (M_1^2 + M_2^2) \geq \frac{1}{2} \delta(m) (M_1 + M_2)^2 = \frac{1}{2} \delta(m) M(n)^2$$

$$\text{Therefore, } |M(n) - I| = \left| \int_0^1 f^2(x) (f(-2x) - \chi(-2x)) dx \right|$$

$$\leq \max_{\alpha} |D(\alpha)| \int_0^1 |f^2(x)| dx.$$

$$\begin{aligned} \text{Note that } \int_0^1 |f(x)|^2 dx &= \int_0^1 f(x) f(-x) dx = \int_0^1 \sum_{x_1 \in M} \sum_{x_2 \in M} e(\alpha(x_1 - x_2)) dx \\ &= \sum_{x_1 \in M} \sum_{x_2 \in M} \int_0^1 e(\alpha(x_1 - x_2)) dx = M(n) \end{aligned}$$

If $2m^2 < n$, then $\|U(n) - I\| \leq (2n(\delta(m) - \delta(n)) + 16m^2) \|U(n)\|$.

$$\text{Thus, } \frac{1}{2} n \|U(n)\| \delta(m) \delta(n) = \frac{1}{2} \delta(m) \|U^2(n)\| \\ \leq J$$

$$\leq \|U(n)\| + (2n(\delta(m) - \delta(n)) + 16m^2) \|U(n)\|$$

$$\text{So, } \delta(m) \delta(n) \leq \frac{2}{n} + 4(\delta(m) + \delta(n)) + 32m^2/n \quad \text{and, one deduces that}$$

$$\delta(m) \delta(n) \leq 4(\delta(m) - \delta(n)) + \frac{34m^2}{n} \quad \left(\frac{2}{n} \leq \frac{2m^2}{n}, \quad 2m^2 < n \right)$$

Letting $n \rightarrow \infty$,

$$\delta(m) T \leq 4(\delta(m) - T)$$

Again, letting $n \rightarrow \infty$,

$$T^2 \leq 0 \quad \text{and} \quad T = 0$$

□