# Lecture 11: Seq2Seq + Attention

#### Alan Ritter

(many slides from Greg Durrett)

nxk

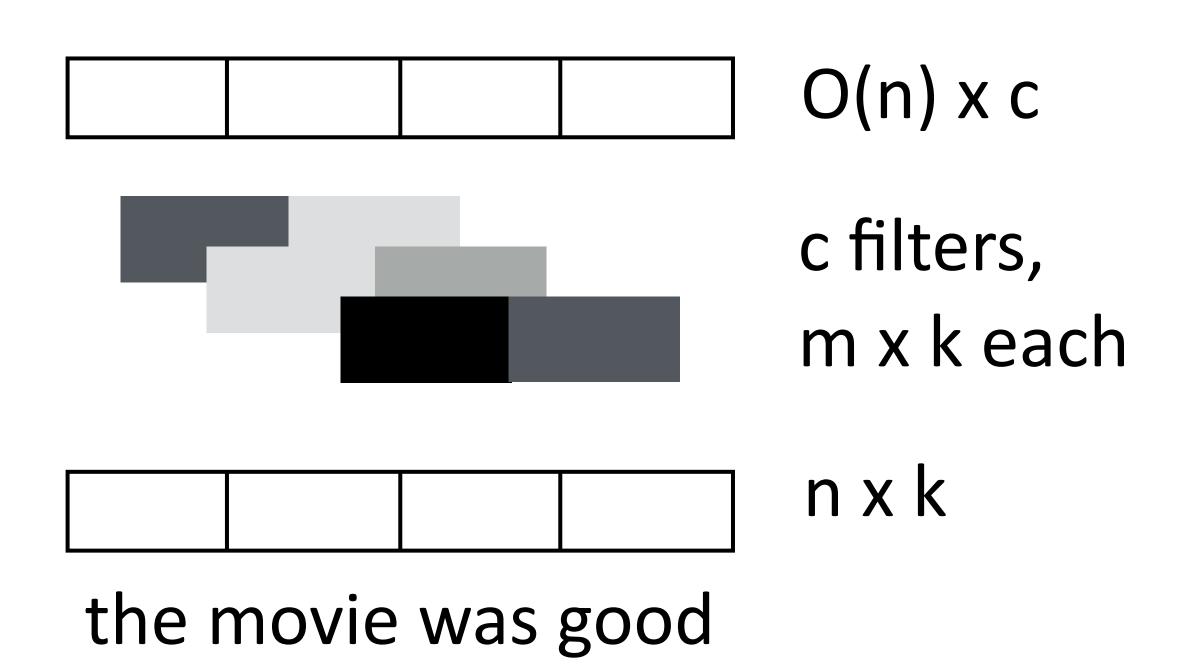
the movie was good

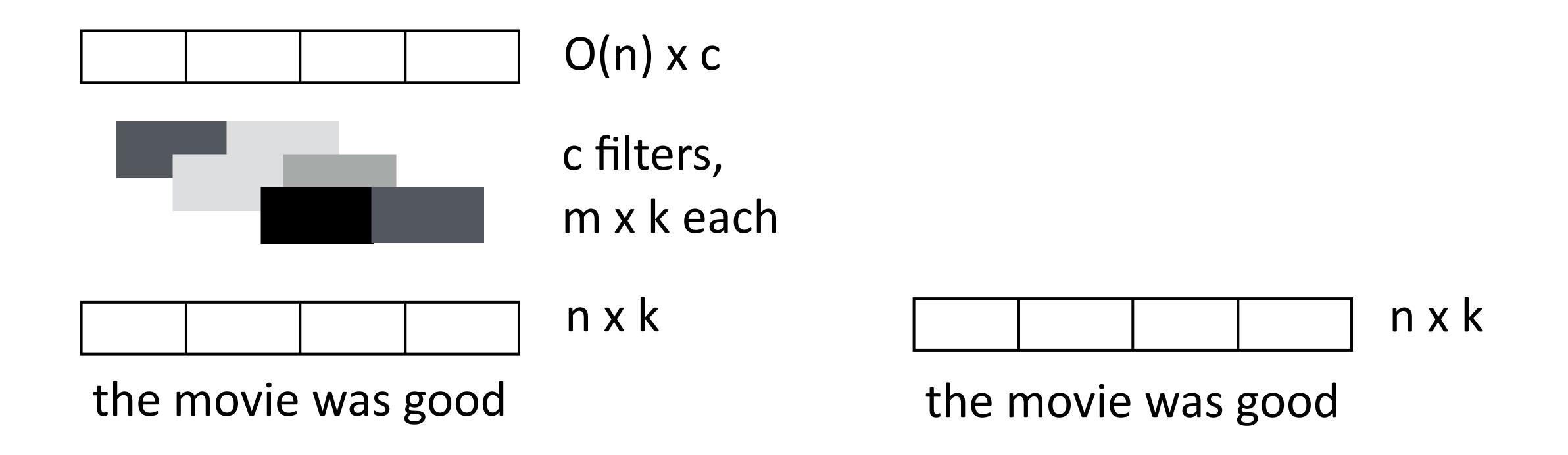


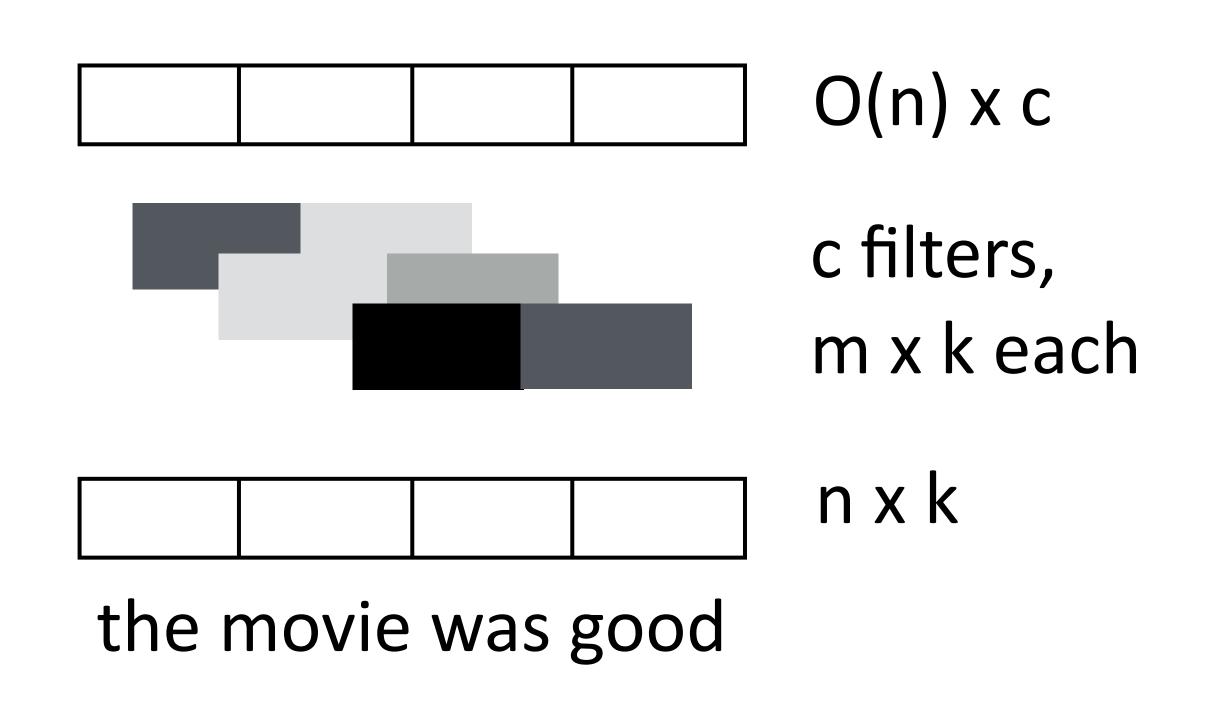
c filters, m x k each

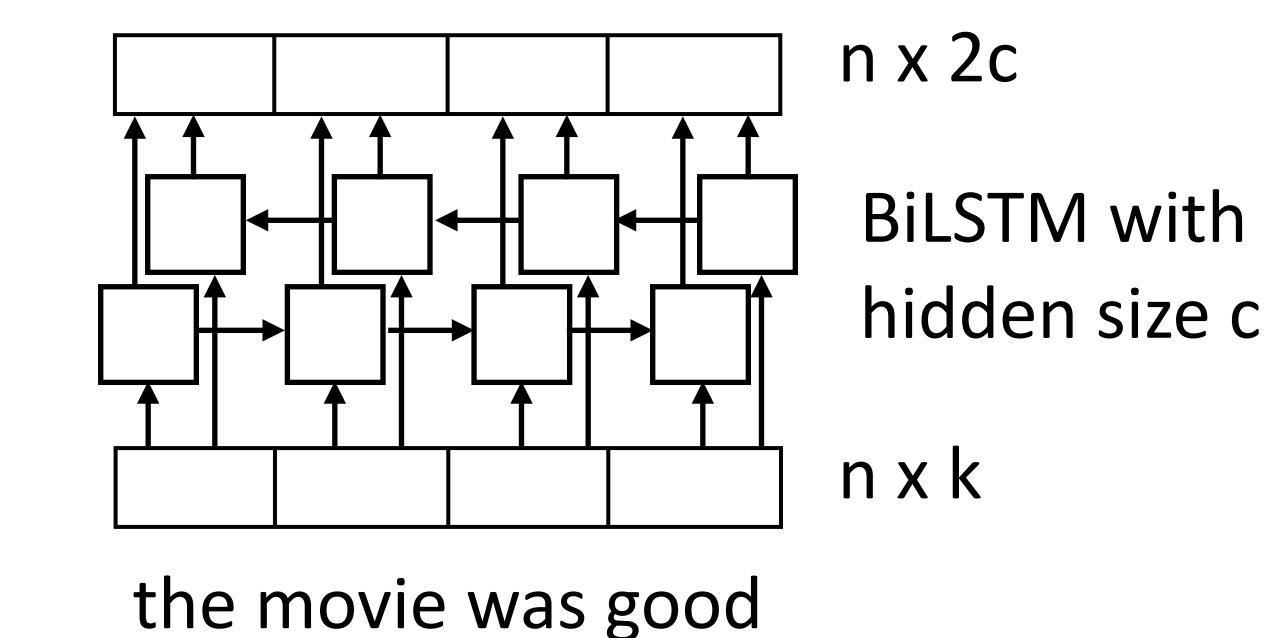
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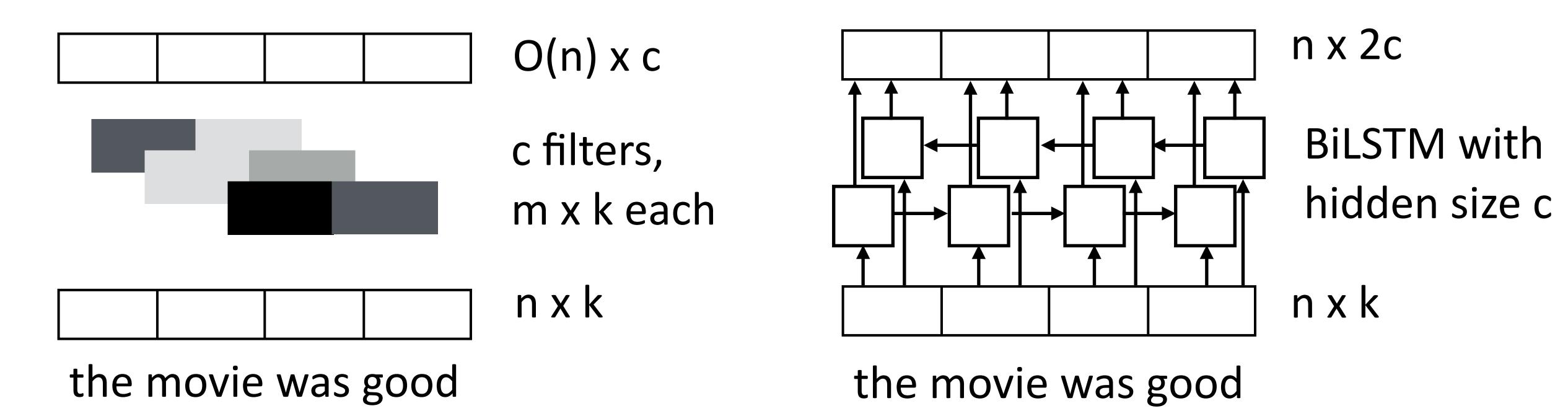
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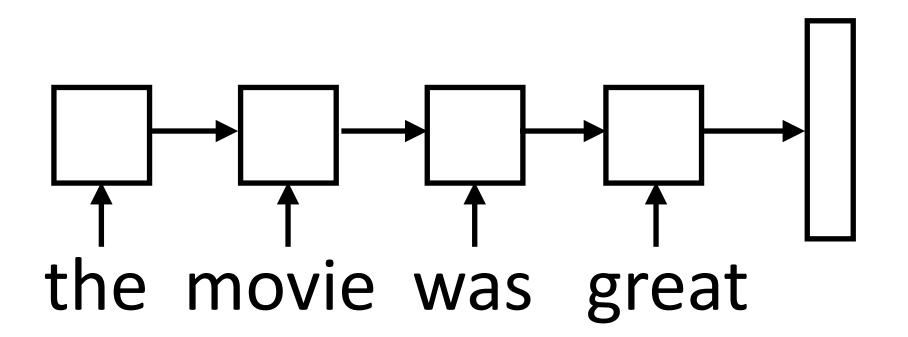




- Both LSTMs and convolutional layers transform the input using context
- LSTM: "globally" looks at the entire sentence (but local for many problems)
- CNN: local depending on filter width + number of layers

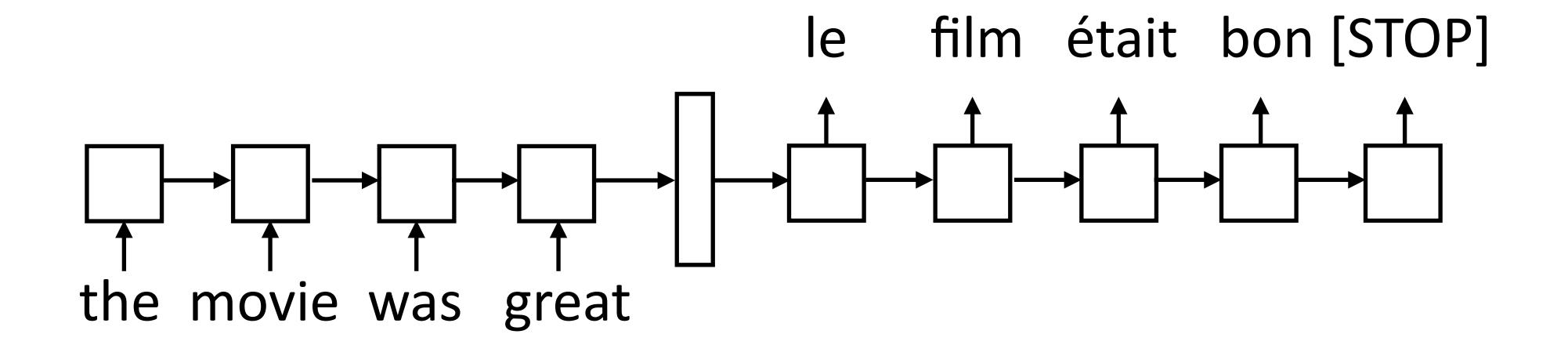
### Encoder-Decoder

Encode a sequence into a fixed-sized vector



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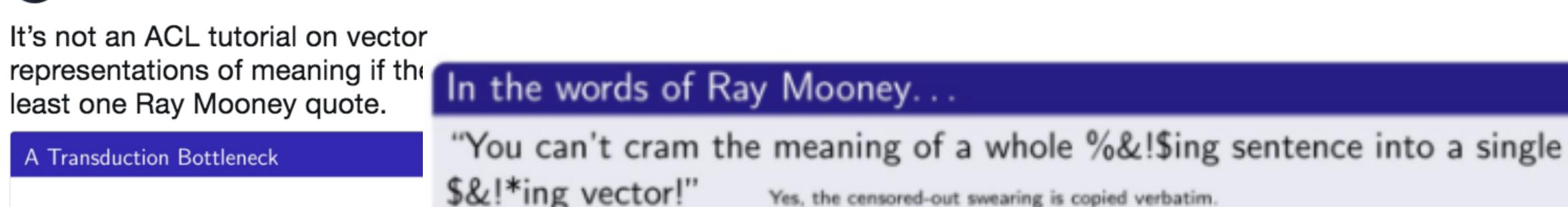


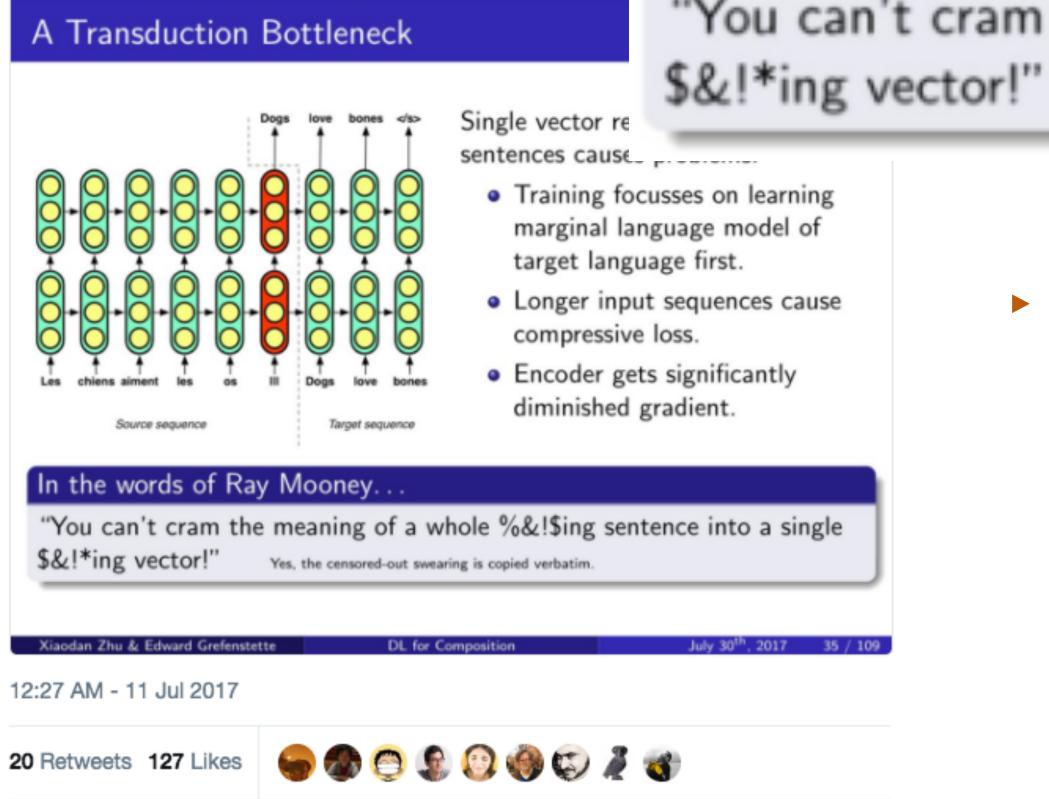
 Now use that vector to produce a series of tokens as output from a separate LSTM decoder

#### Encoder-Decoder



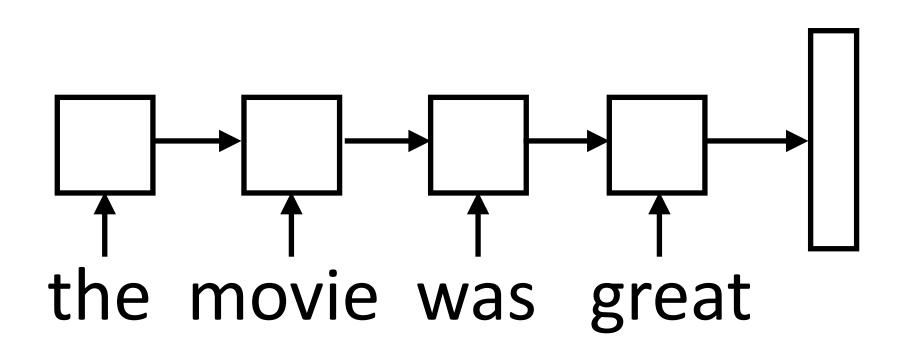
It's not an ACL tutorial on vector least one Ray Mooney quote.

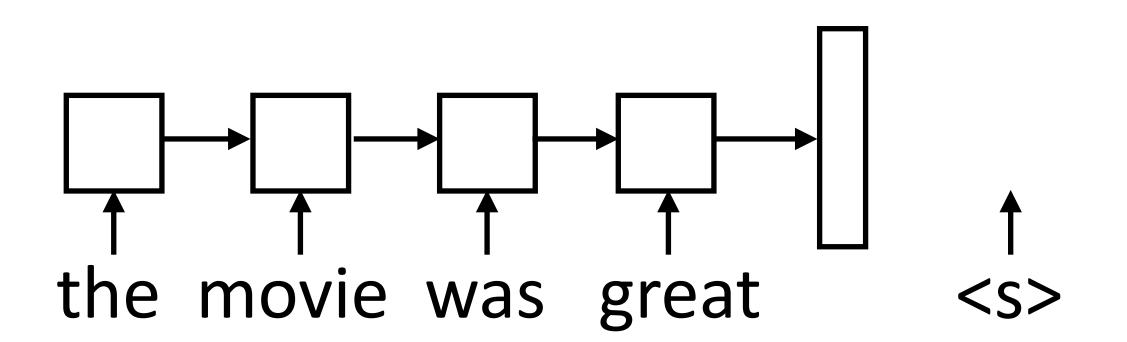




**Follow** 

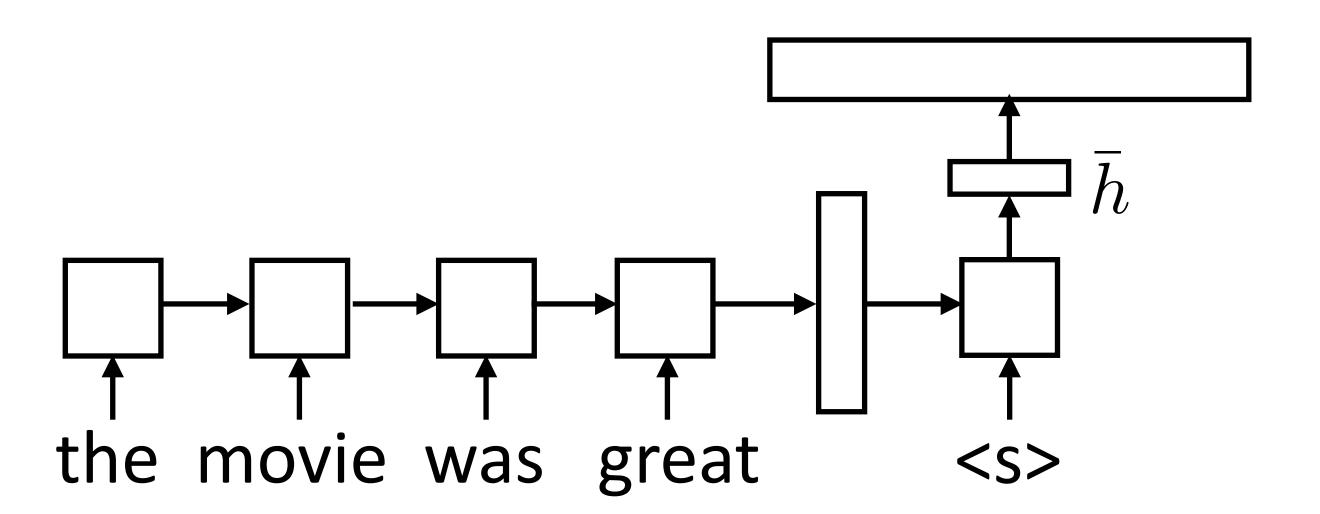
Is this true? Sort of...we'll come back to this later



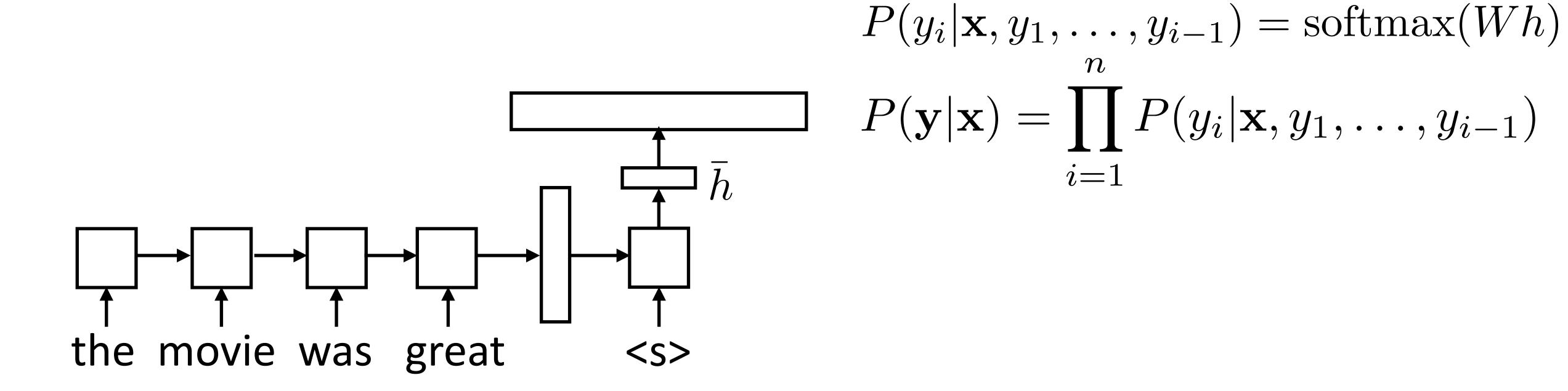


- Generate next word conditioned on previous word as well as hidden state
- W size is |vocab| x |hidden state|, softmax over entire vocabulary

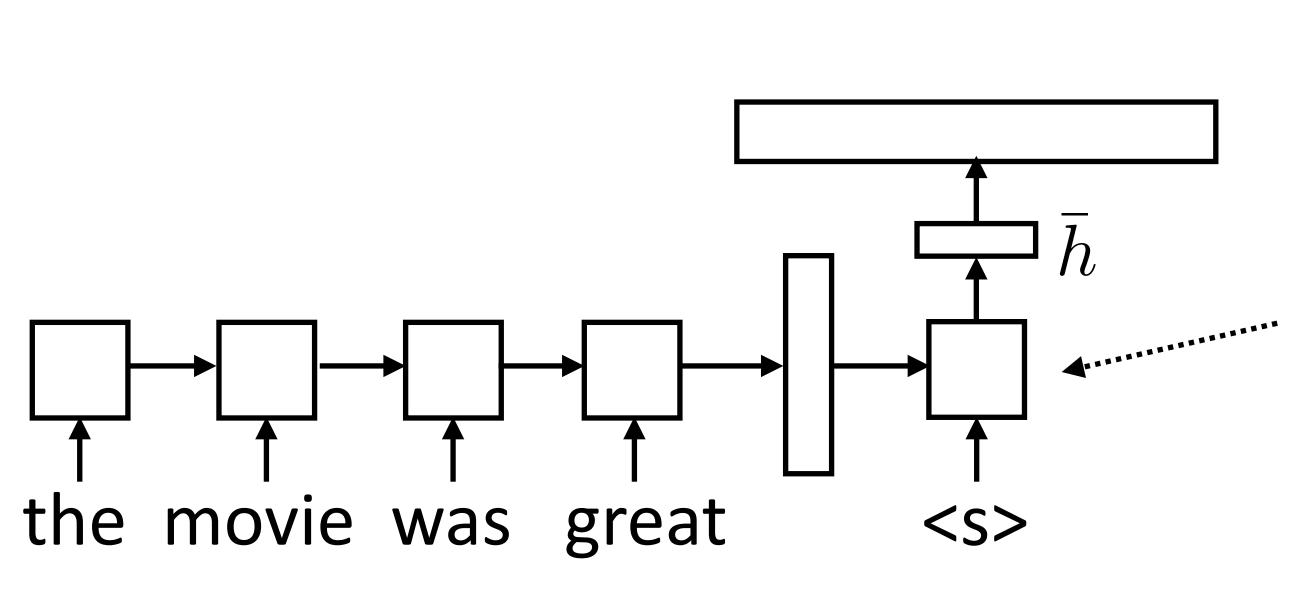
$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W\bar{h})$$



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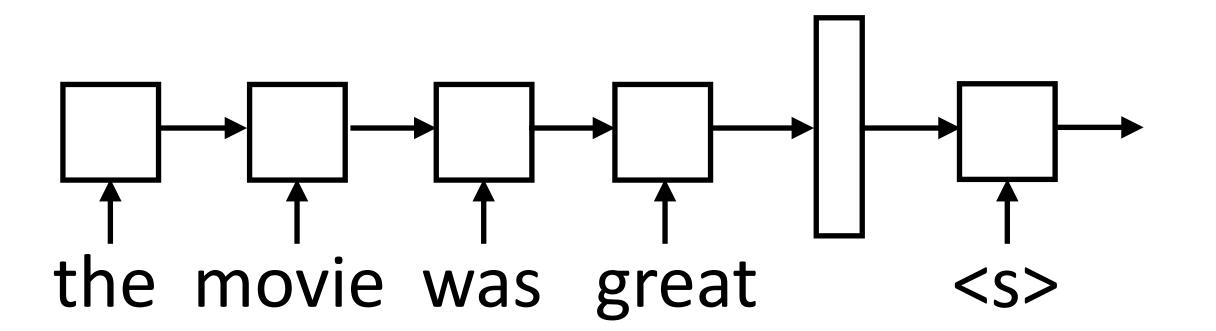
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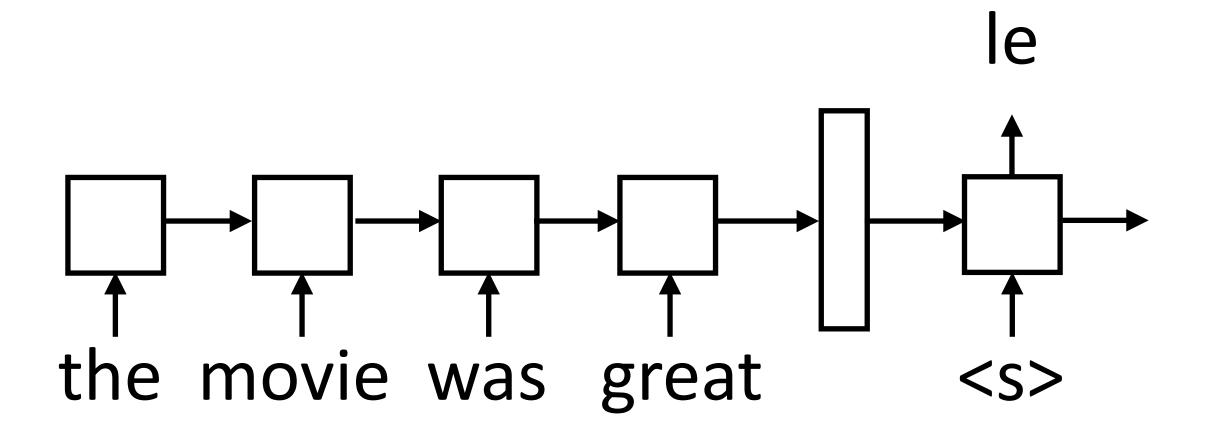


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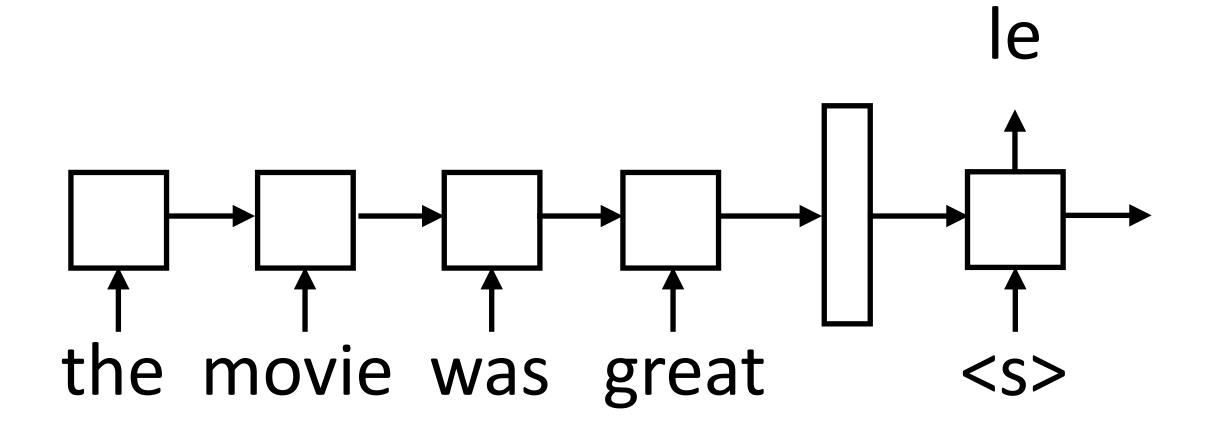
$$P(\mathbf{y}|\mathbf{x}) = \prod_{i=1}^{n} P(y_i|\mathbf{x}, y_1, \dots, y_{i-1})$$

Decoder has separate parameters from encoder, so this can learn to be a language model (produce a plausible next word given current one)



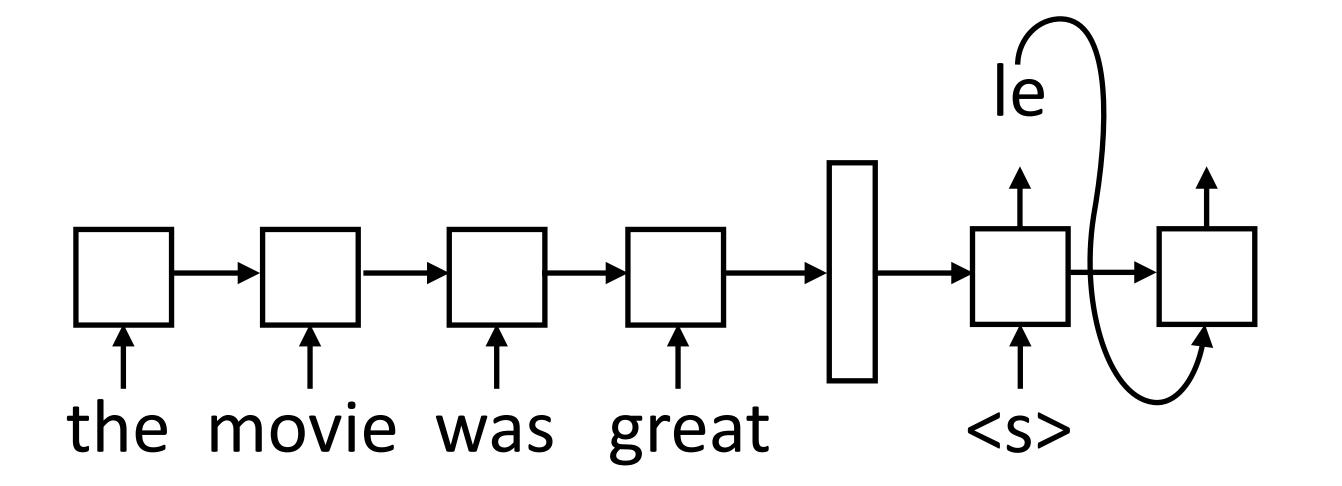


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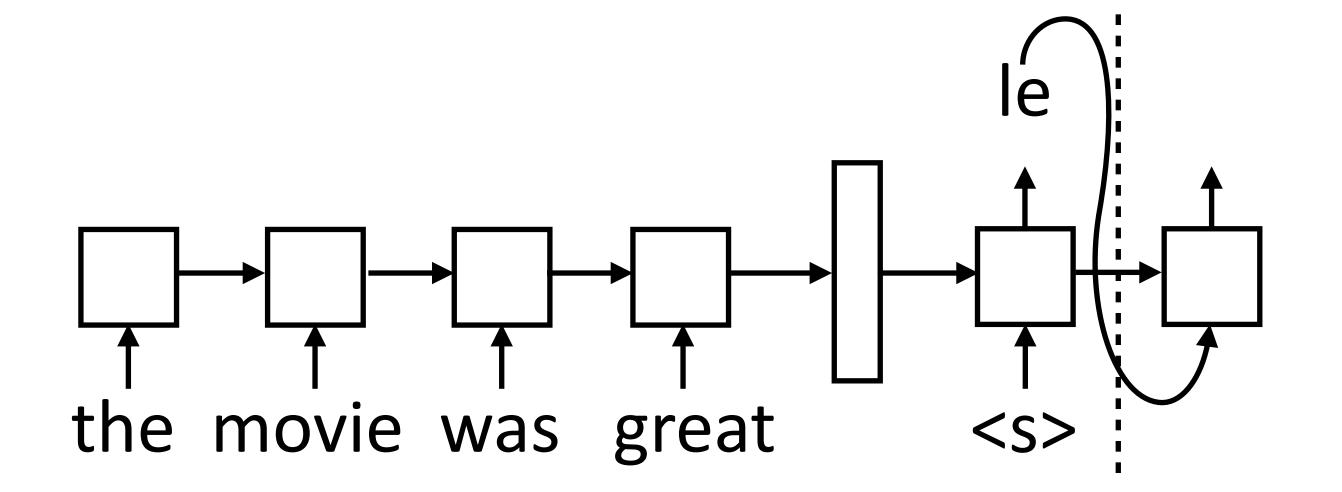


 During inference: need to compute the argmax over the word predictions and then feed that to the next RNN state

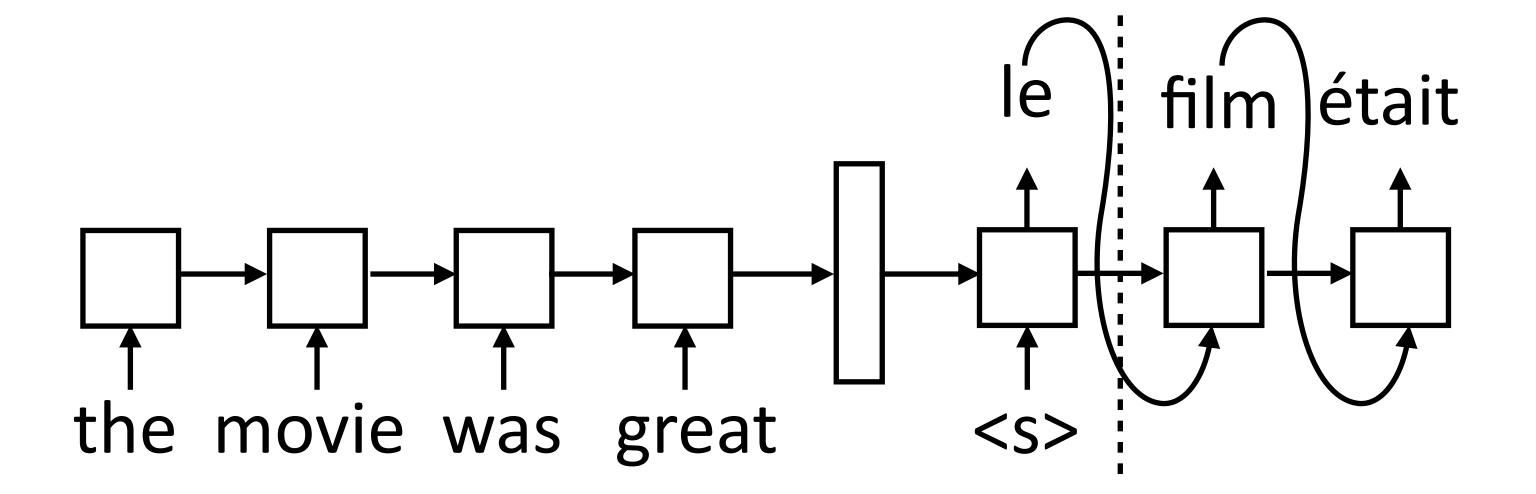
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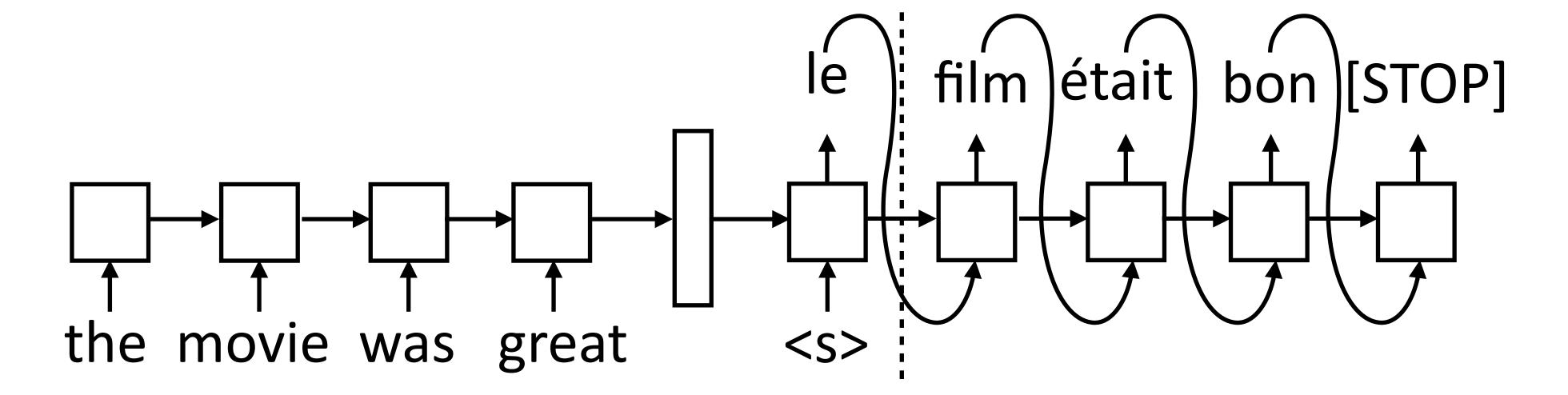
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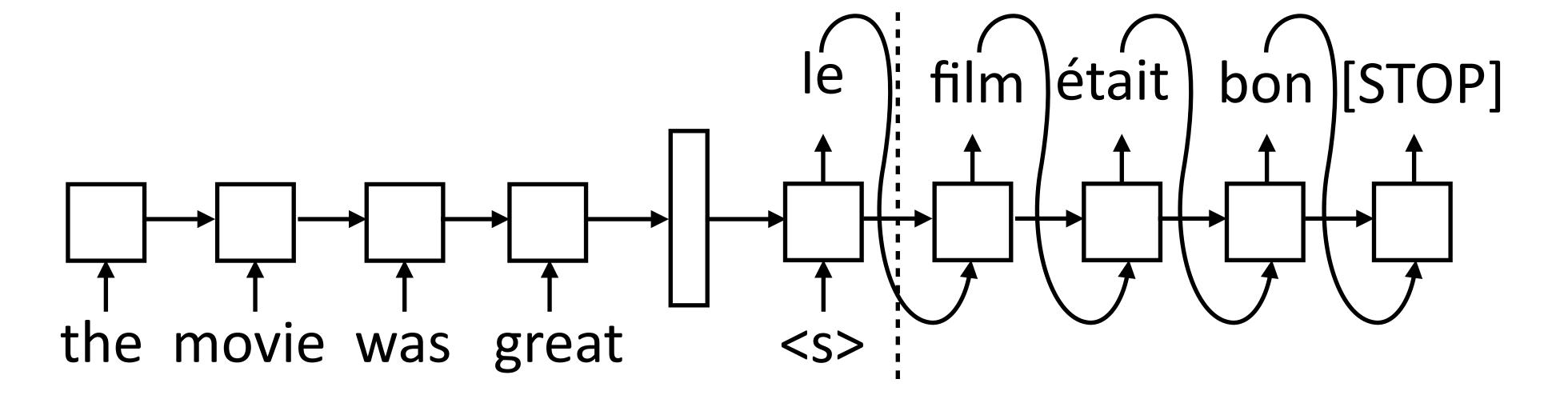
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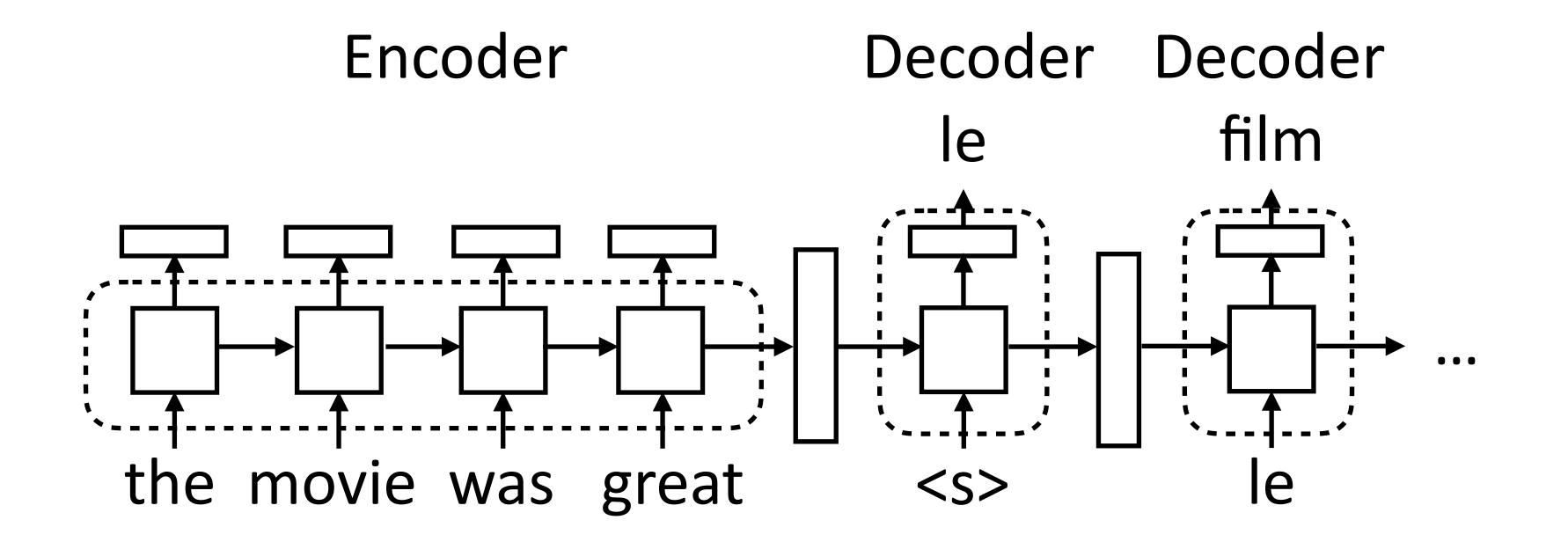


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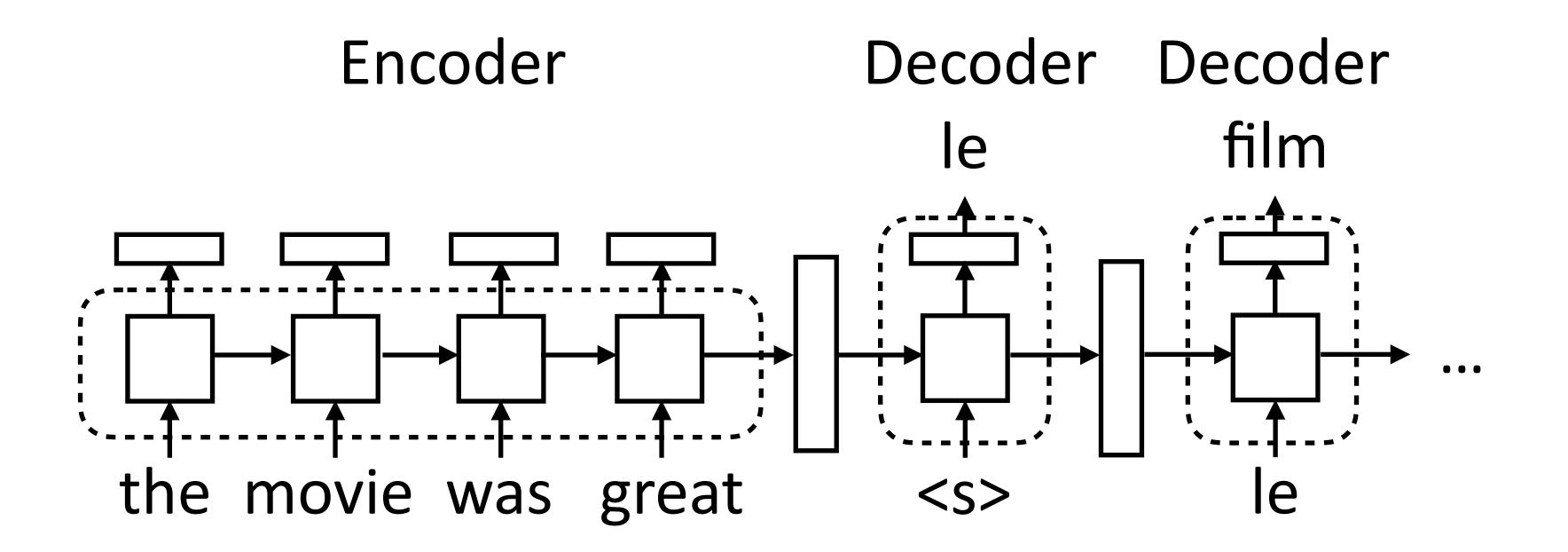


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- Decoder is advanced one state at a time until [STOP] is reached

# Implementing seq2seq Models

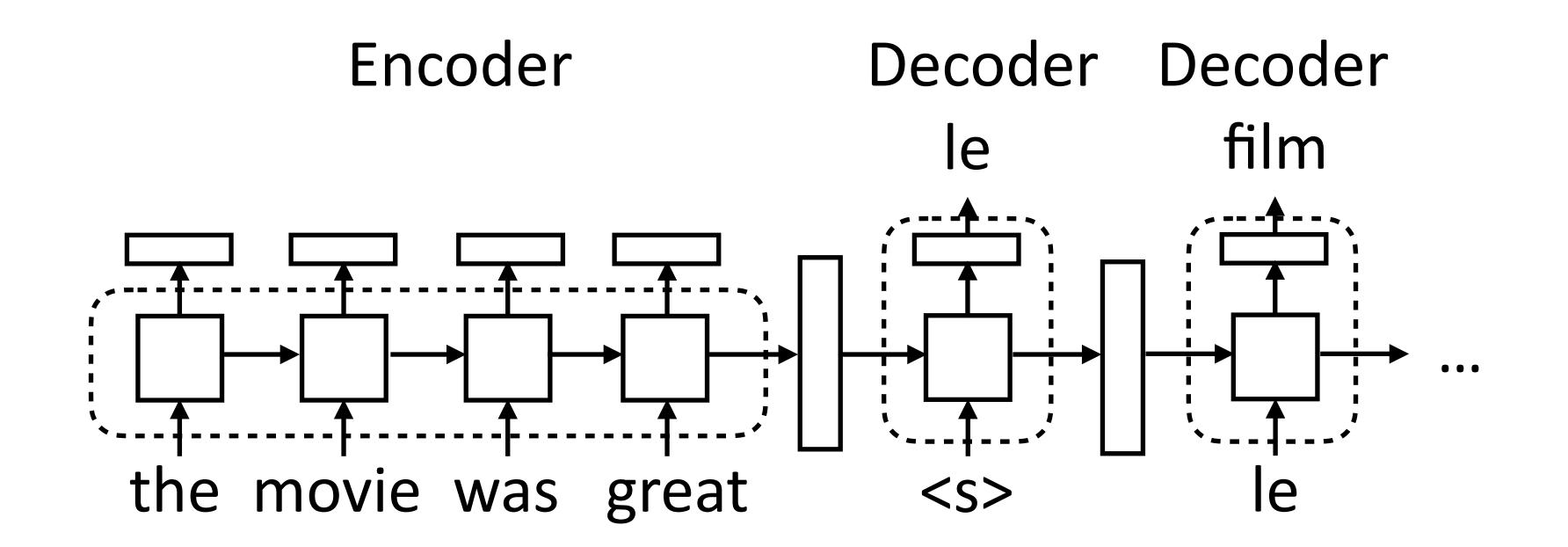


# Implementing seq2seq Models



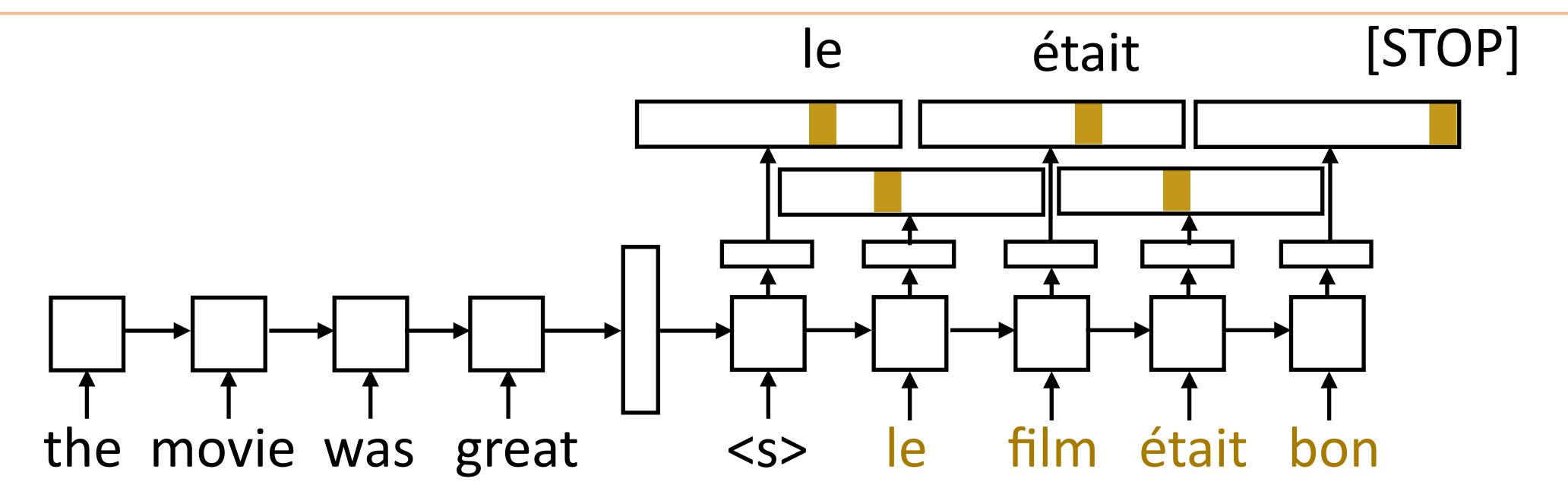
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## Implementing seq2seq Models



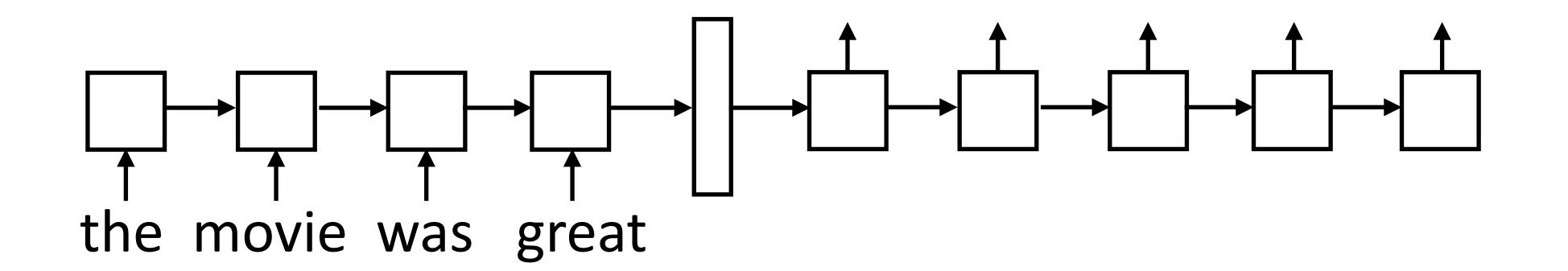
- Encoder: consumes sequence of tokens, produces a vector. Analogous to encoders for classification/tagging tasks
- Decoder: separate module, single cell. Takes two inputs: hidden state (vector h or tuple (h, c)) and previous token. Outputs token + new state

## Training

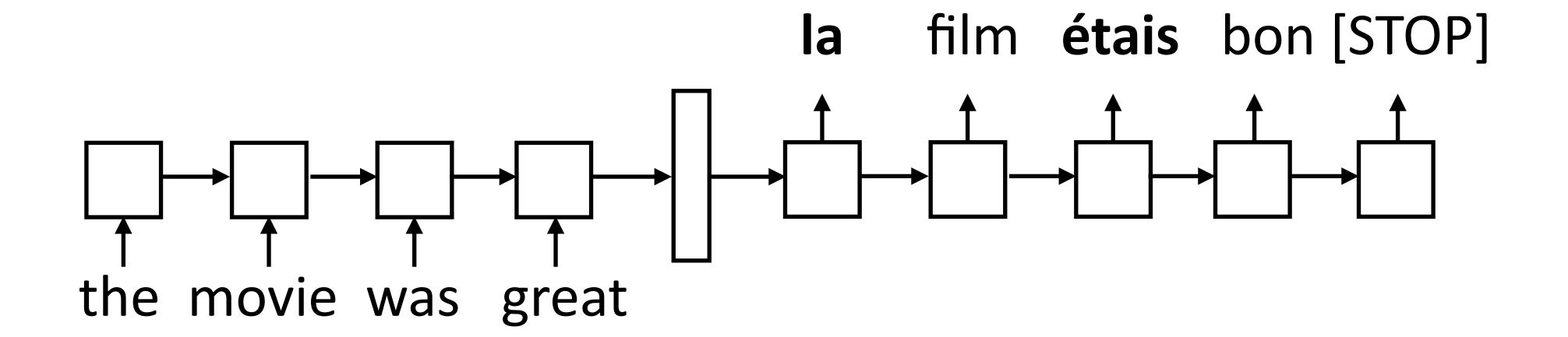


- Objective: maximize  $\sum_{(\mathbf{x},\mathbf{y})} \sum_{i=1}^{n} \log P(y_i^*|\mathbf{x},y_1^*,\ldots,y_{i-1}^*)$
- One loss term for each target-sentence word, feed the correct word regardless of model's prediction

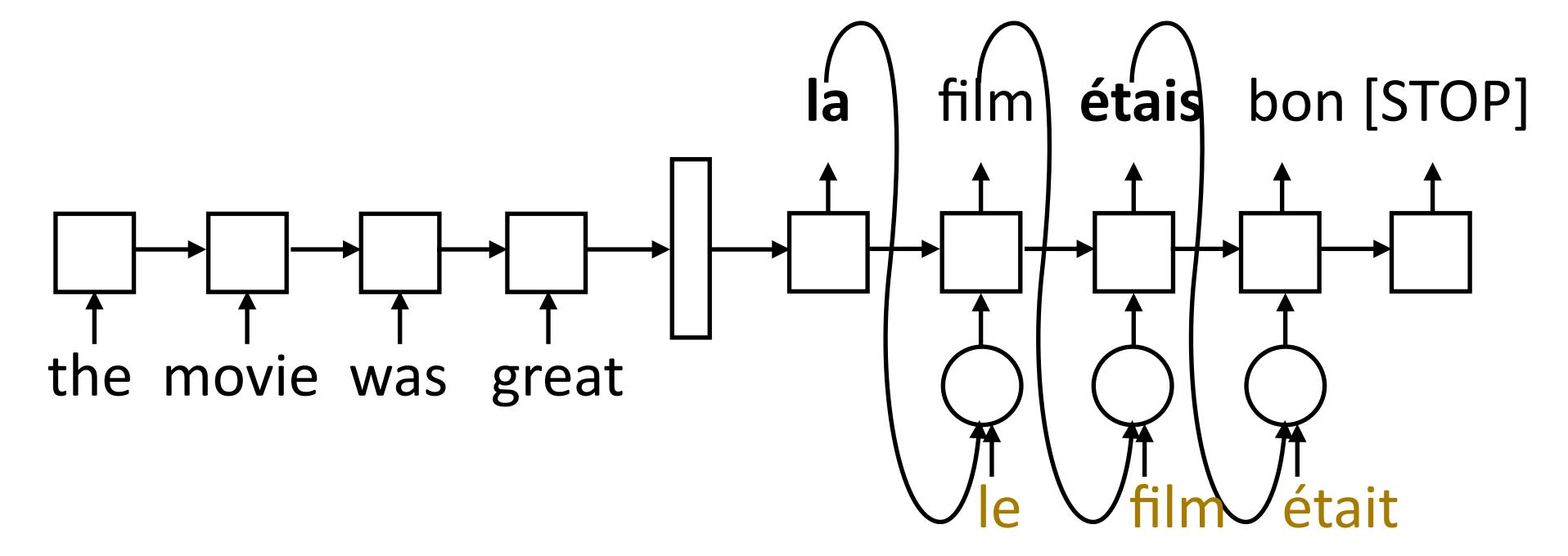
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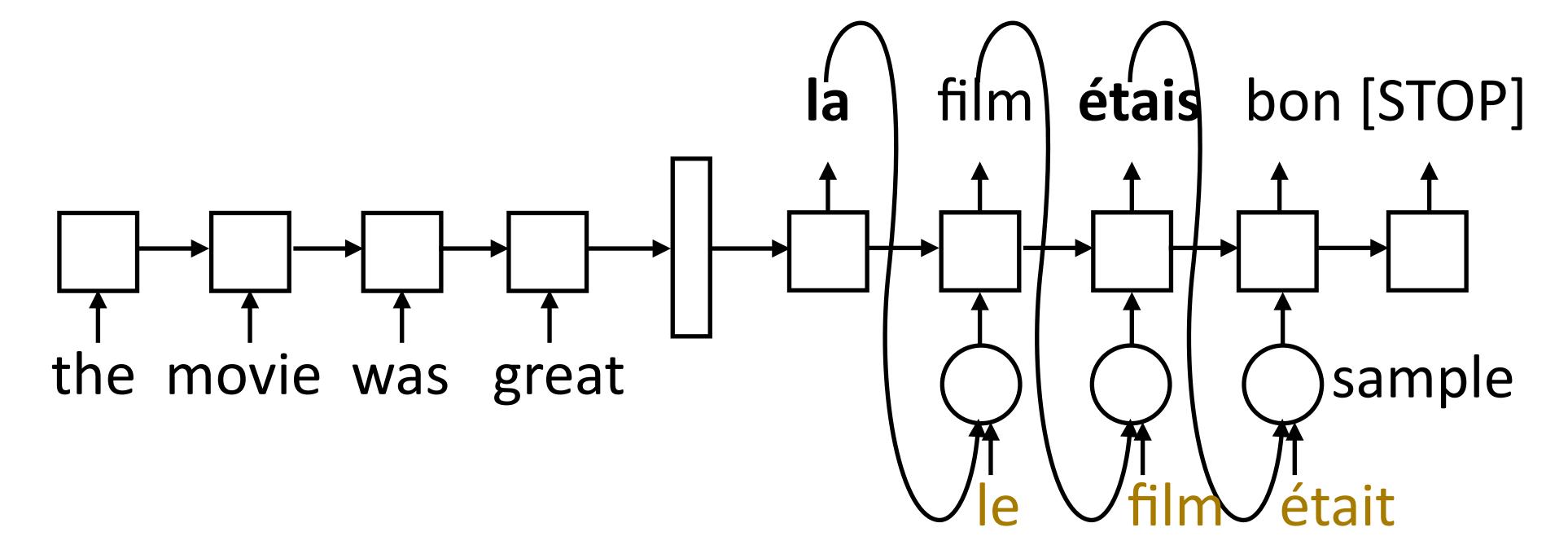


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- Scheduled sampling: with probability p, take the gold as input, else take the model's prediction
- Starting with p = 1 and decaying it works best

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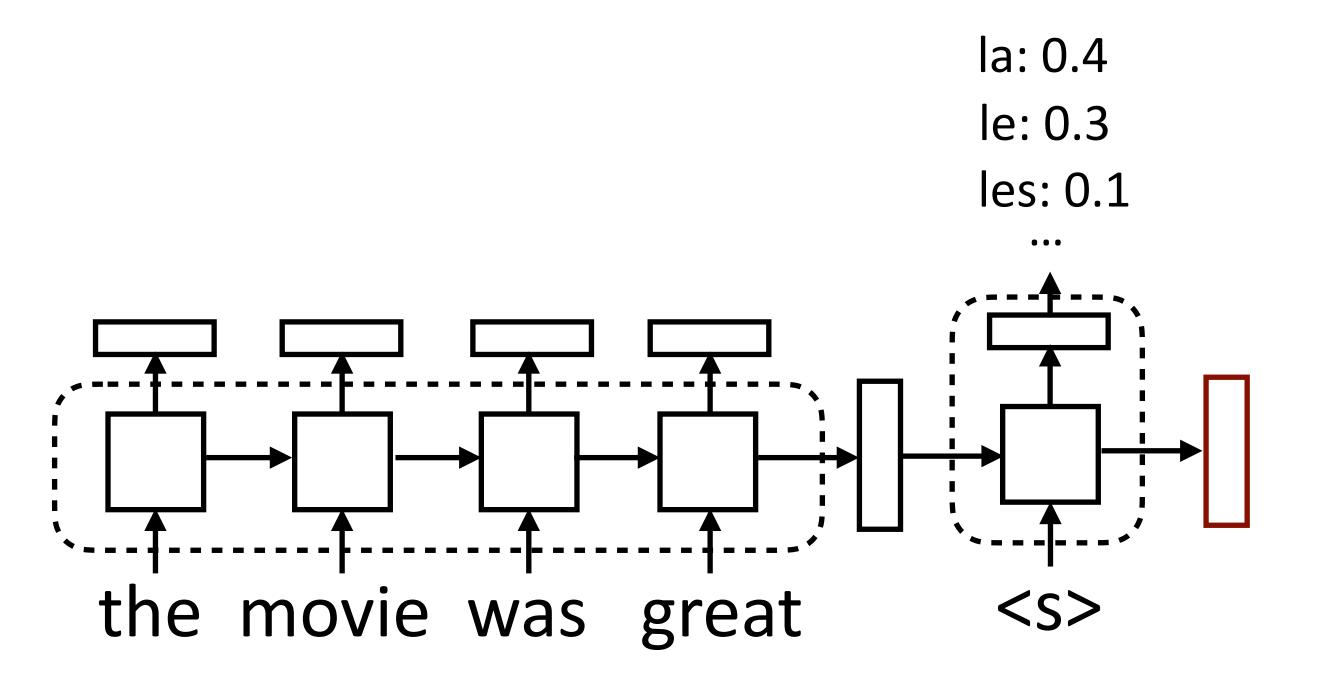
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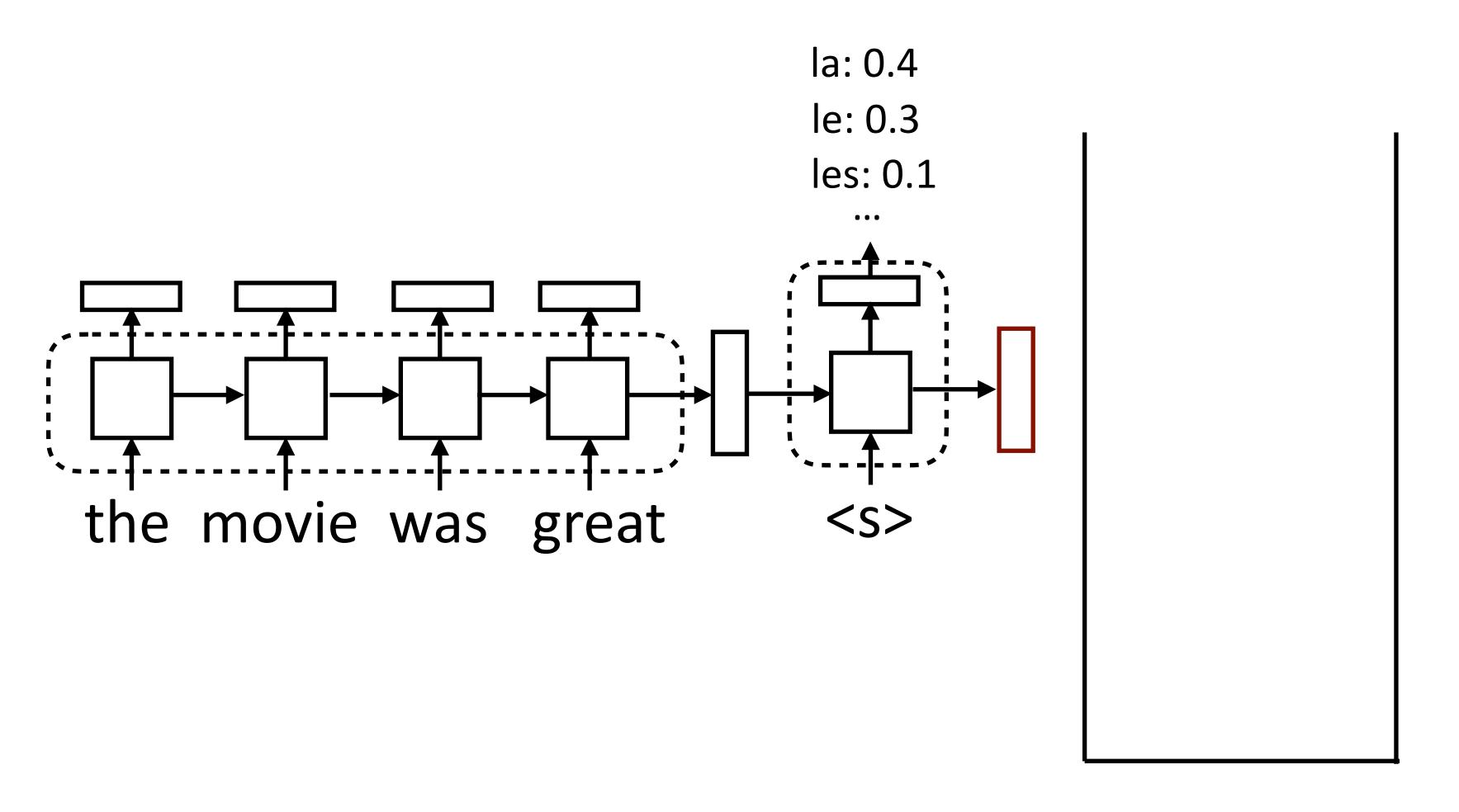
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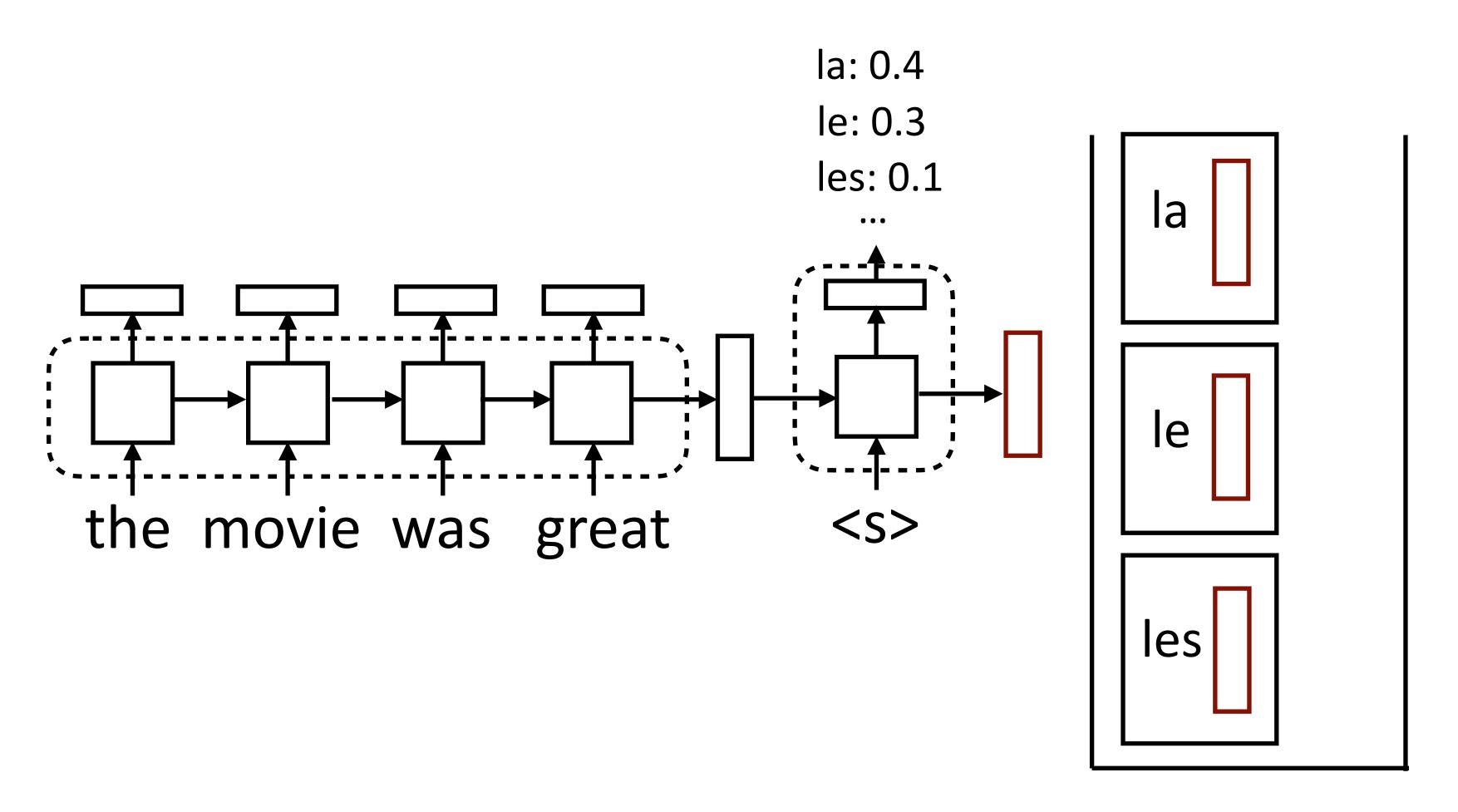
## Implementation Details

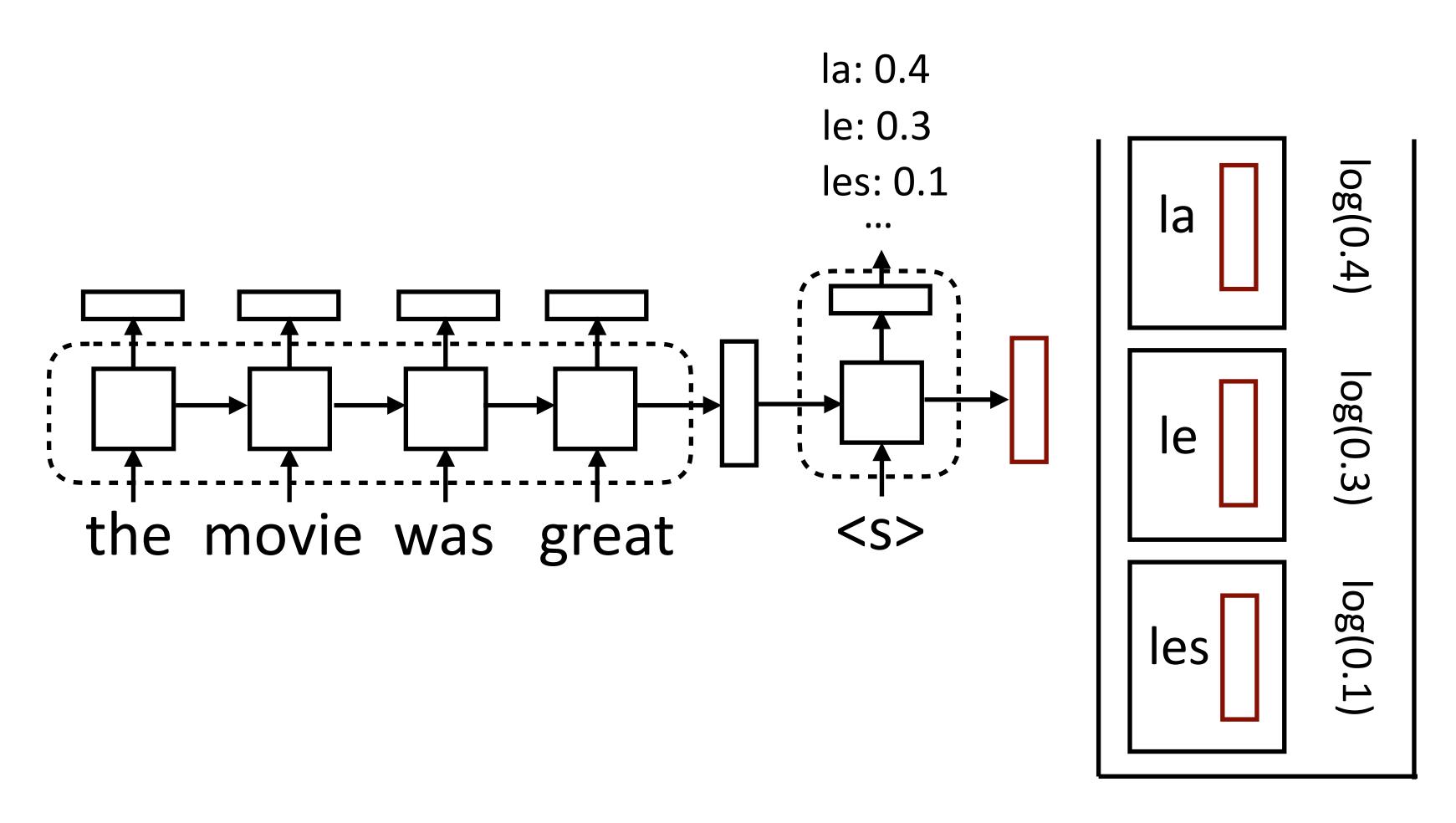
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- Encoder: Can be a CNN/LSTM/...
- Decoder: also flexible in terms of architecture (more later). Execute one step of computation at a time, so computation graph is formulated as taking one input + hidden state
- Beam search: can help with lookahead. Finds the (approximate) highest scoring sequence: n

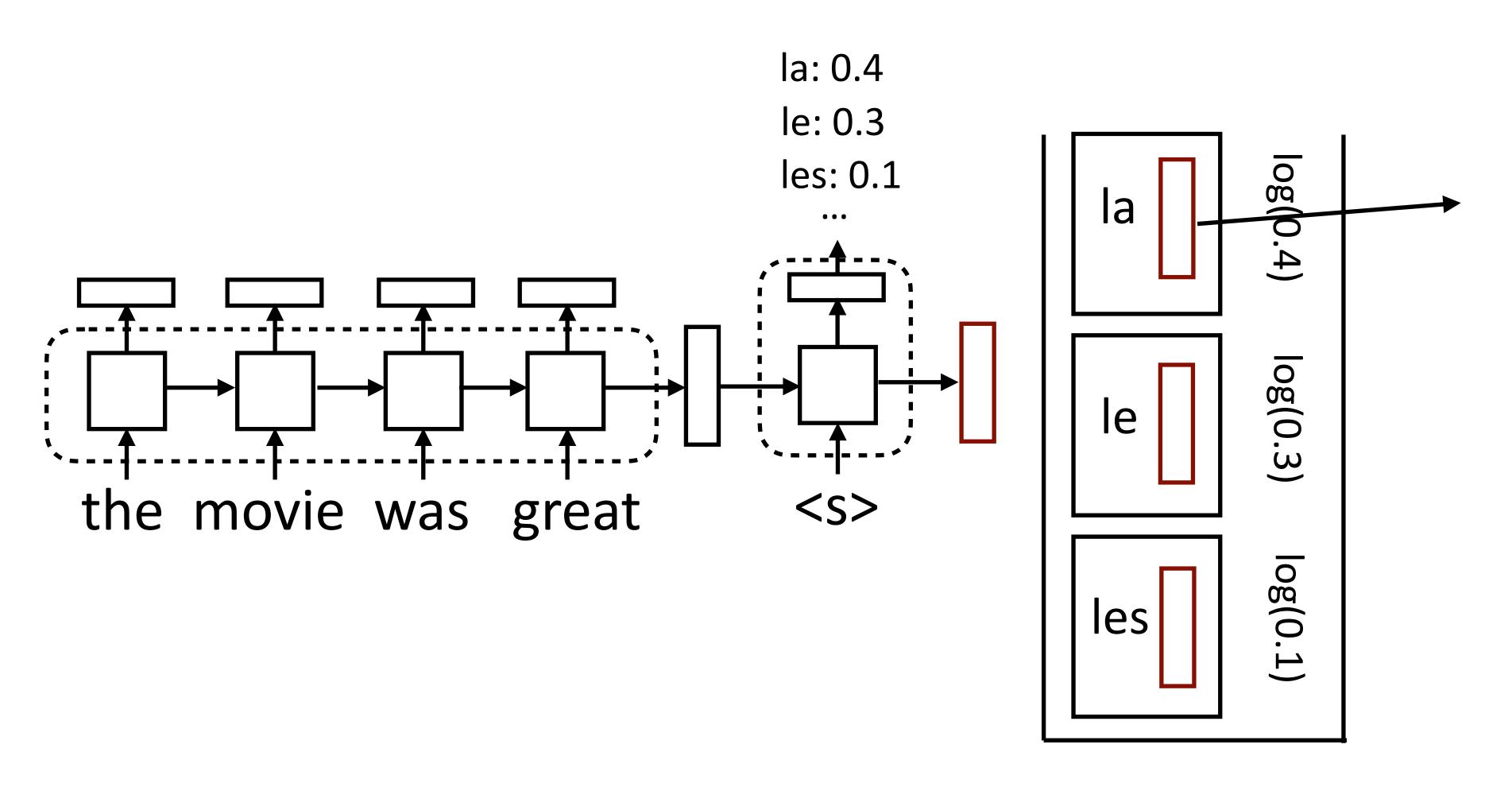
$$\underset{i=1}{\operatorname{argmax}} \prod_{i=1} P(y_i | \mathbf{x}, y_1, \dots, y_{i-1})$$











Maintain decoder state, token history in beam film: 0.4 la: 0.4 le: 0.3 les: 0.1 la log(0.3)le the movie was great **<**S>  $\log(0.1)$ les

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Do not max over the two film states! Hidden state vectors are different

```
"what states border Texas"

lambda x ( state ( x ) and border ( x , e89 ) ) )
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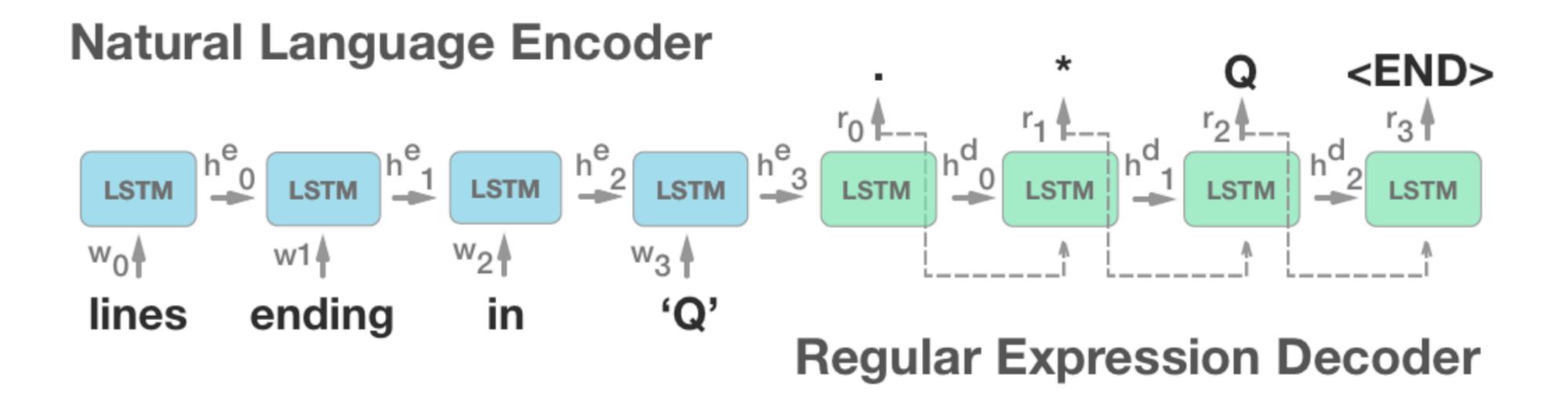
- Write down a linearized form of the semantic parse, train seq2seq models to directly translate into this representation
- No need to have an explicit grammar, simplifies algorithms
- Might not produce well-formed logical forms, might require lots of data

Jia and Liang (2015)

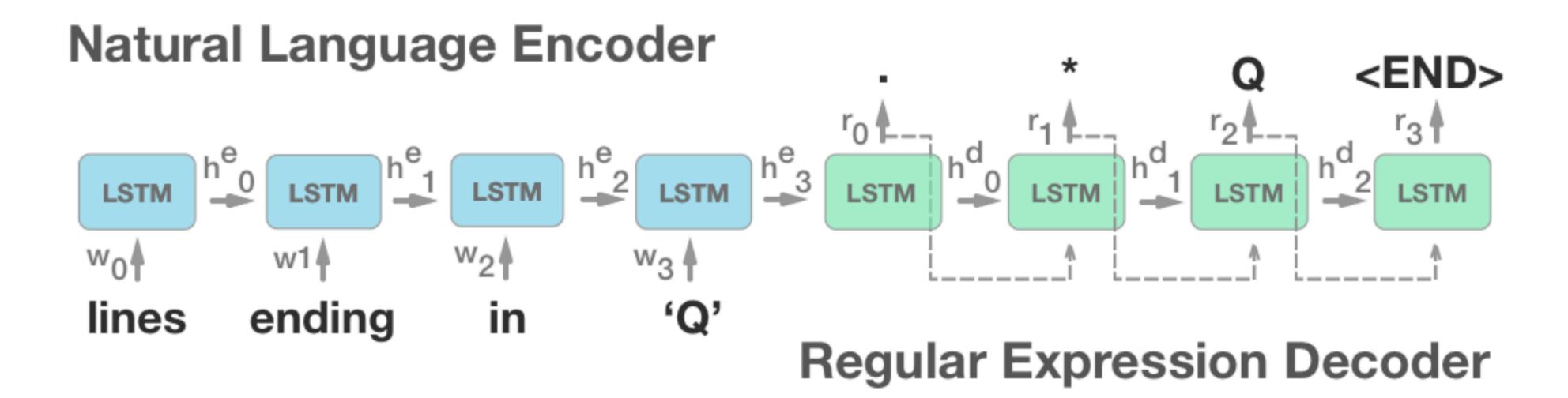
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Problem: requires a lot of data: 10,000 examples needed to get ~60% accuracy on pretty simple regexes

Locascio et al. (2016)

 Convert natural language description into a SQL query against some DB

#### Question:

How many CFL teams are from York College?

#### SQL:

```
SELECT COUNT CFL Team FROM
CFLDraft WHERE College = "York"
```

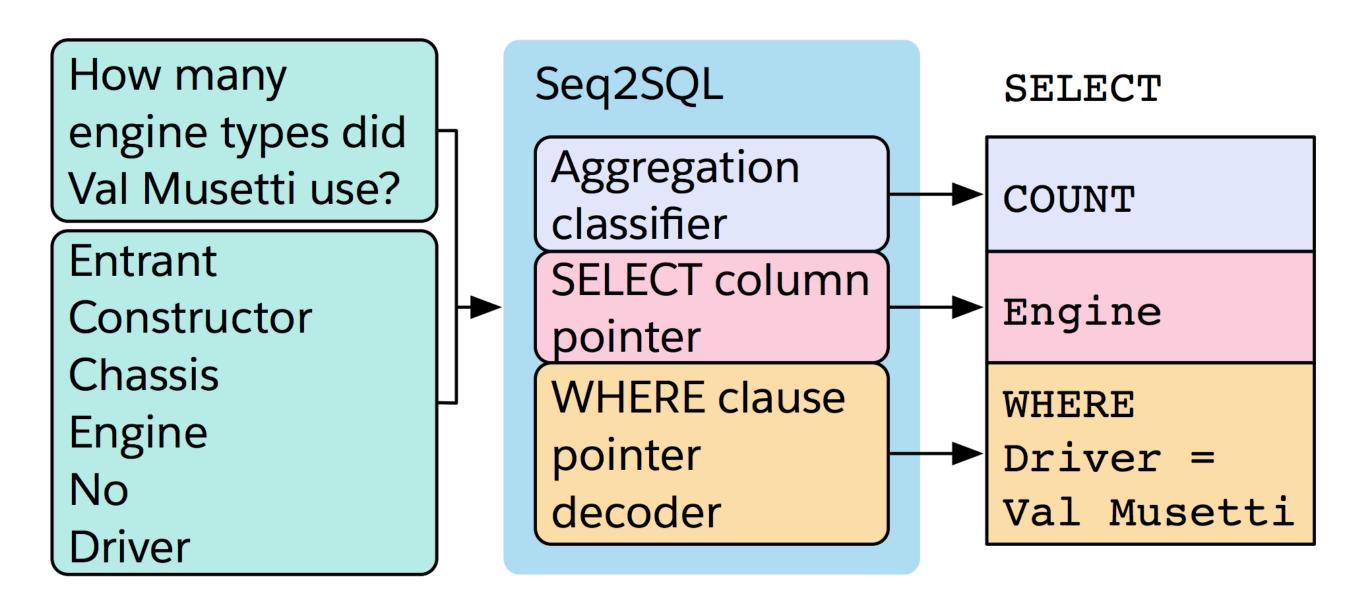
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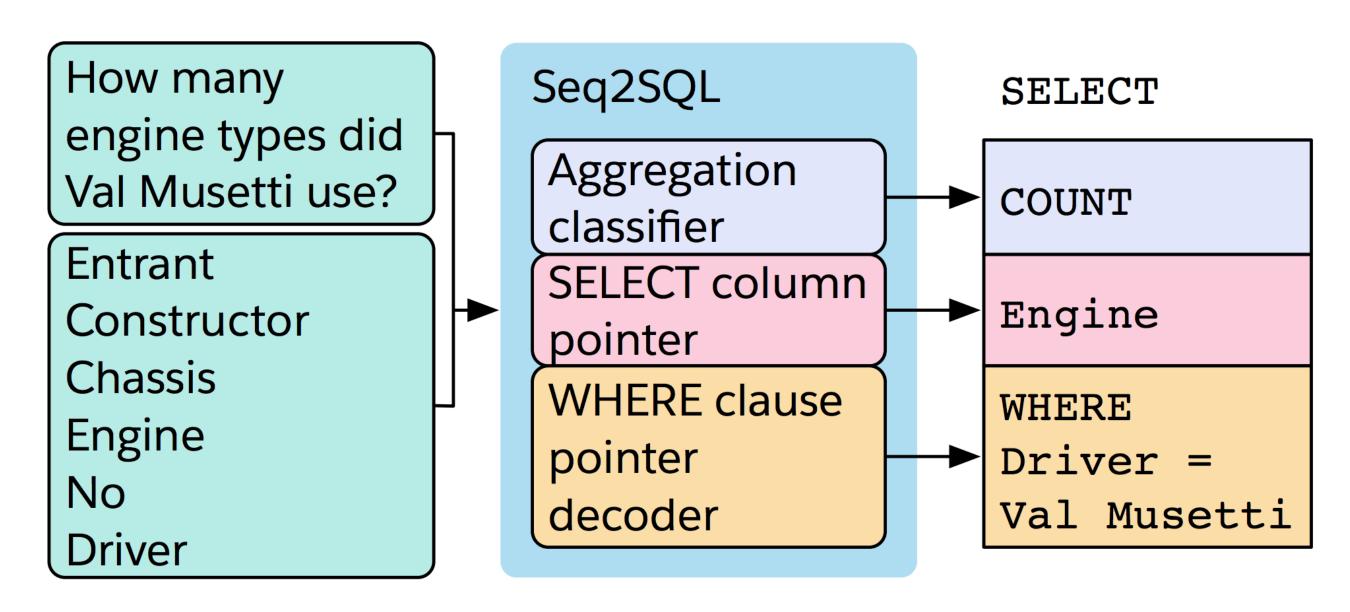
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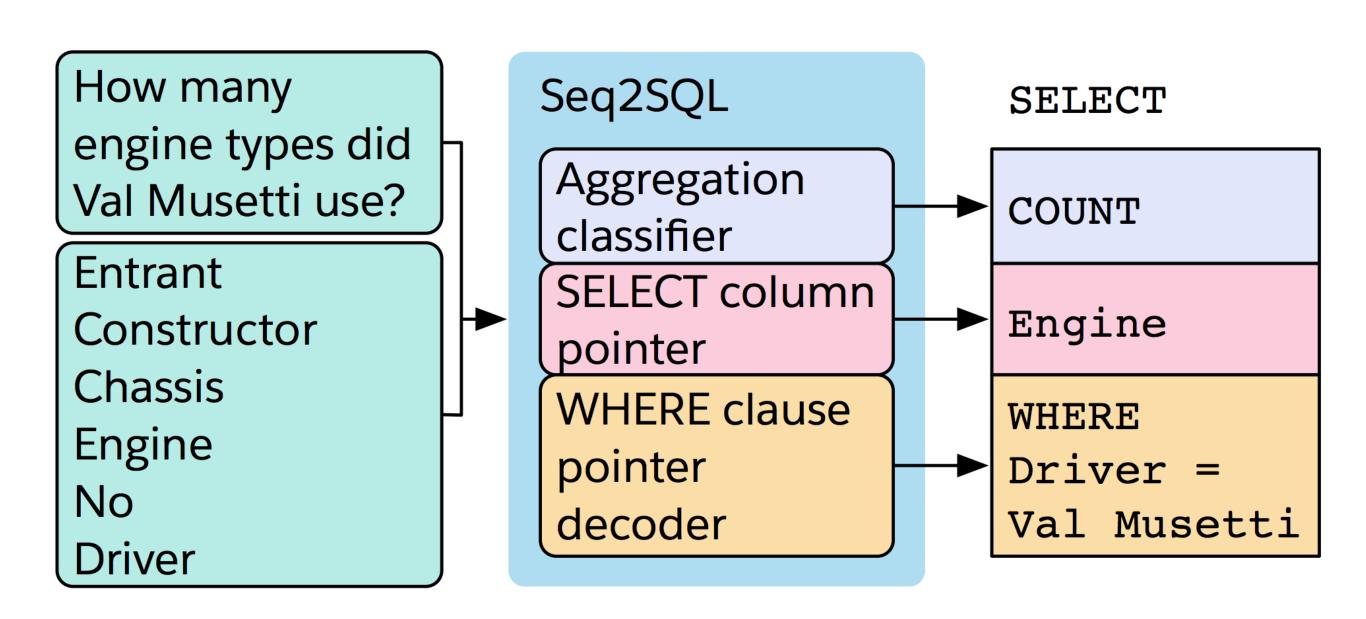
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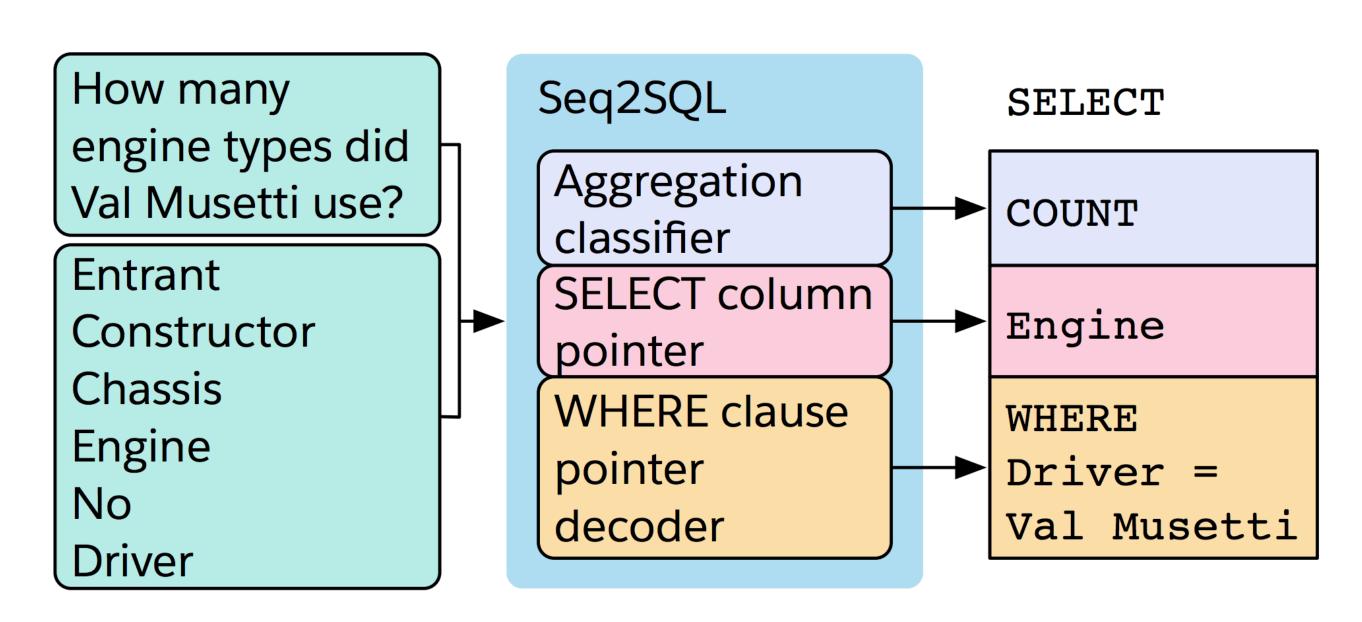
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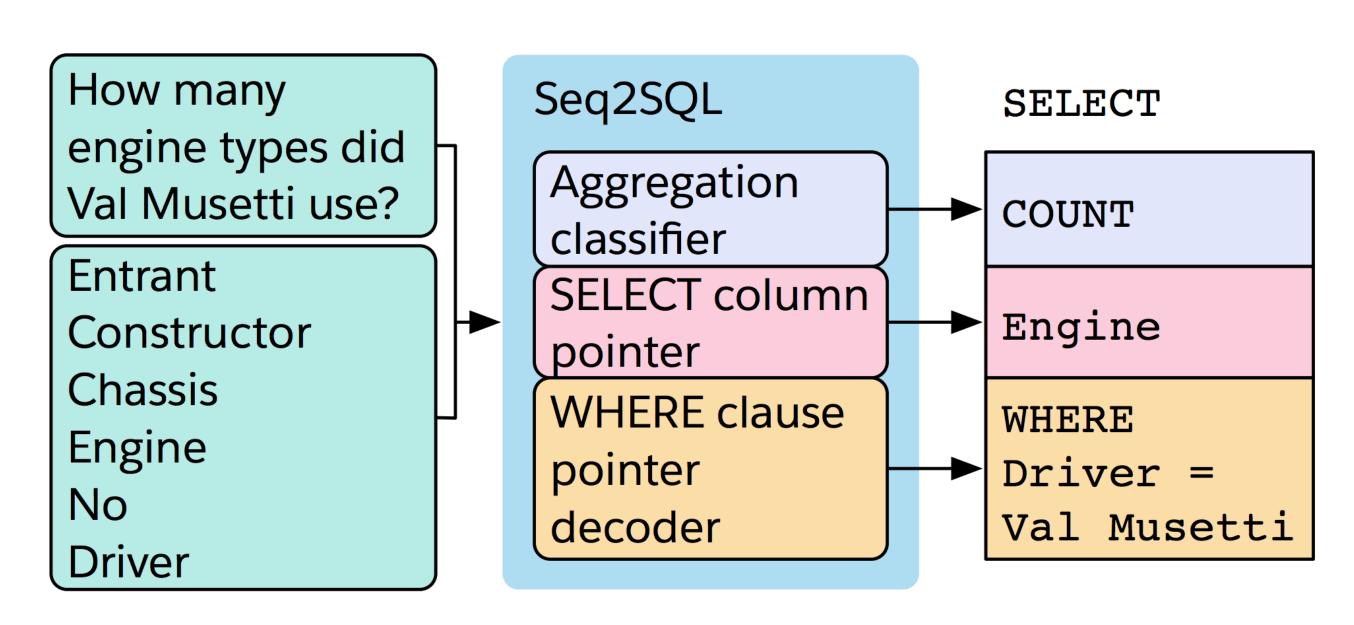
- How to ensure that wellformed SQL is generated?
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- How to capture column names + constants?
  - Pointer mechanisms

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# Attention

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Often a byproduct of training these models poorly

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Un garçon joue dans la neige  $\rightarrow$  A boy plays in the snow **boy plays boy plays** 

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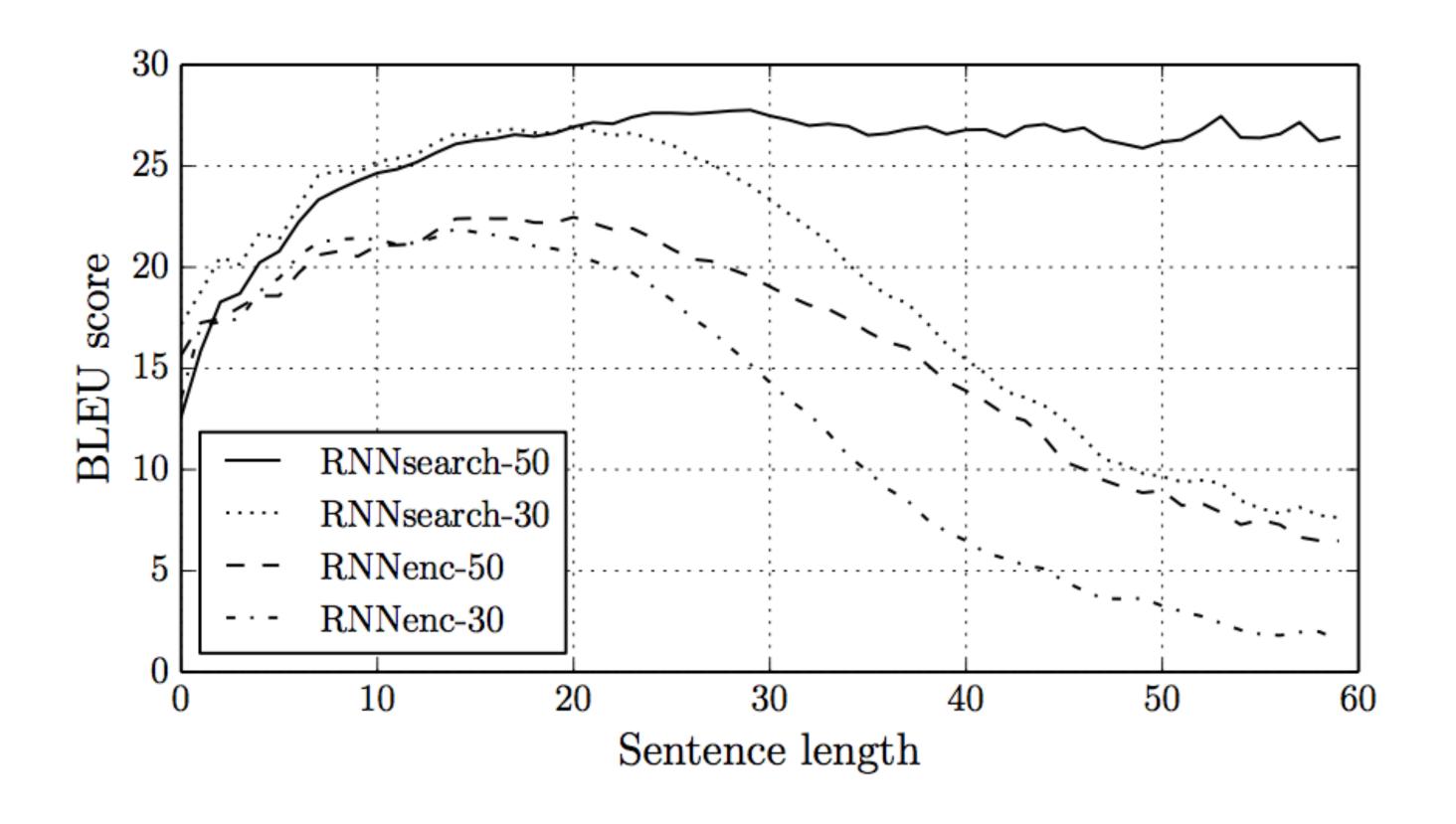
 Need some notion of input coverage or what input words we've translated

Unknown words:

```
en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning
fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin
nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin
```

No matter how much data you have, you'll need some mechanism to copy a word like Pont-de-Buis from the source to target

Bad at long sentences: 1) a fixed-size representation doesn't scale; 2)
 LSTMs still have a hard time remembering for really long periods of time



RNNsearch: introduces attention mechanism to give "variable-sized" representation

Bahdanau et al. (2014)

# Aligned Inputs

 Suppose we knew the source and target would be word-by-word translated

Suppose we knew the source and target would be word-by-word translated the movie was great

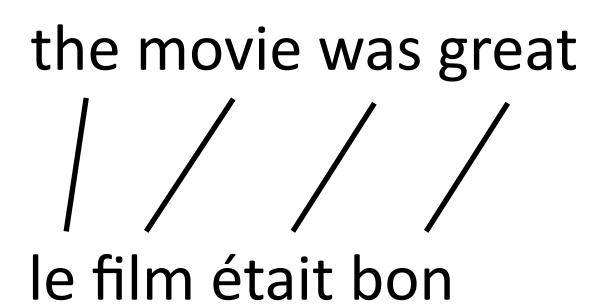
// // //
le film était bon

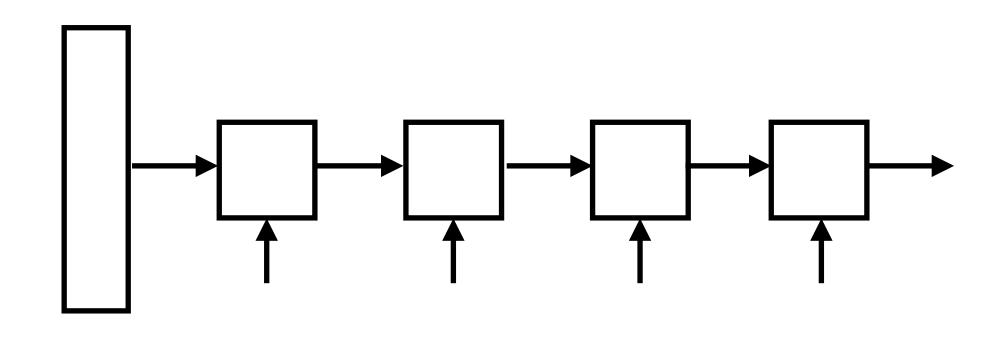
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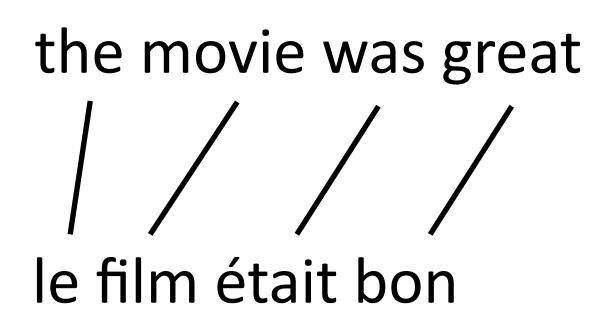
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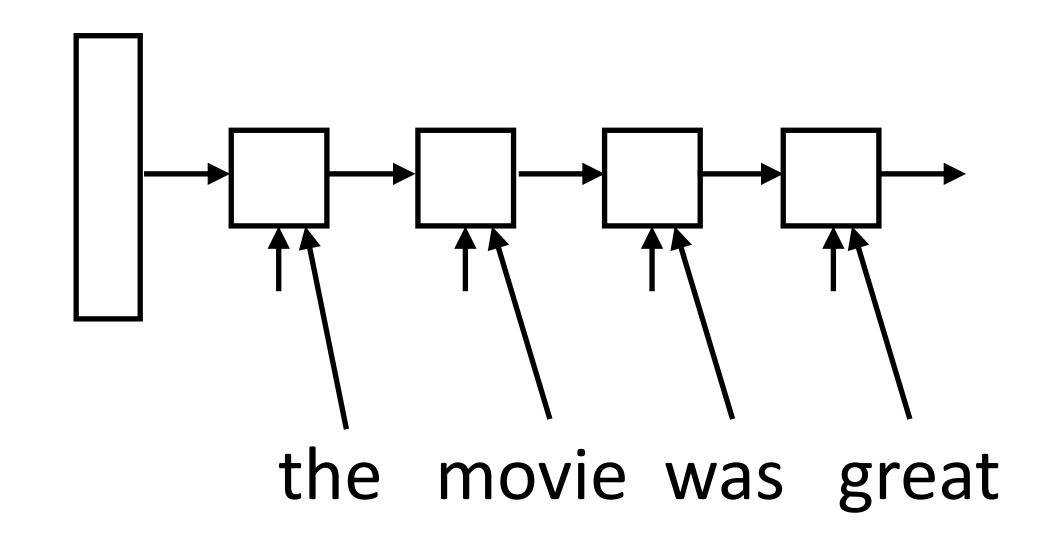
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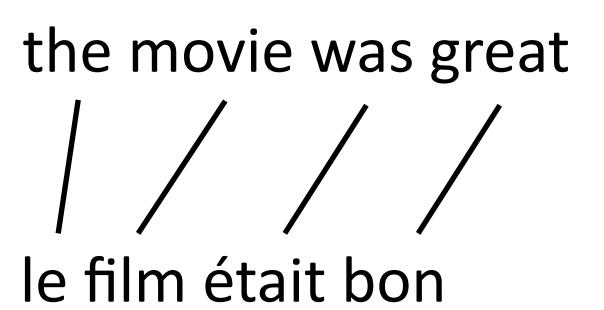


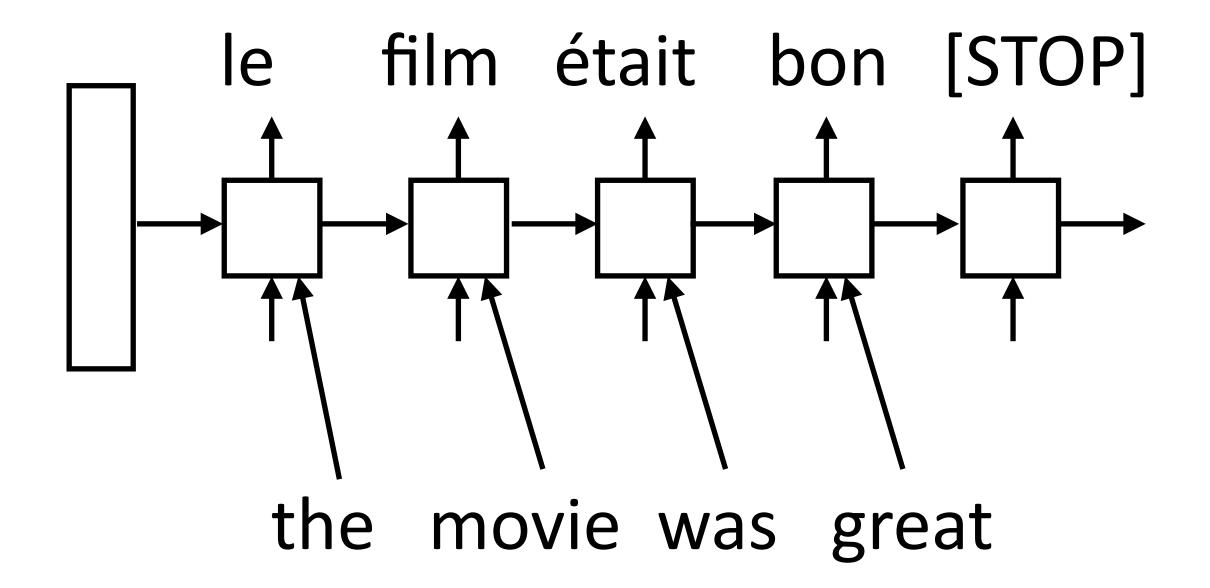
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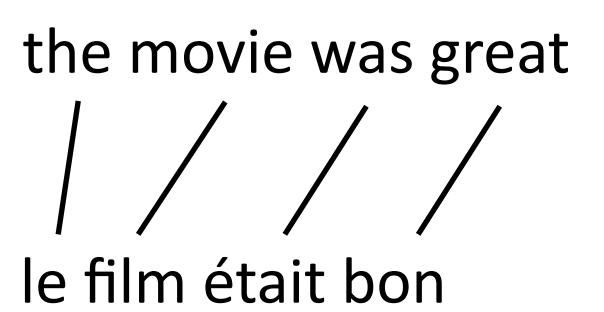


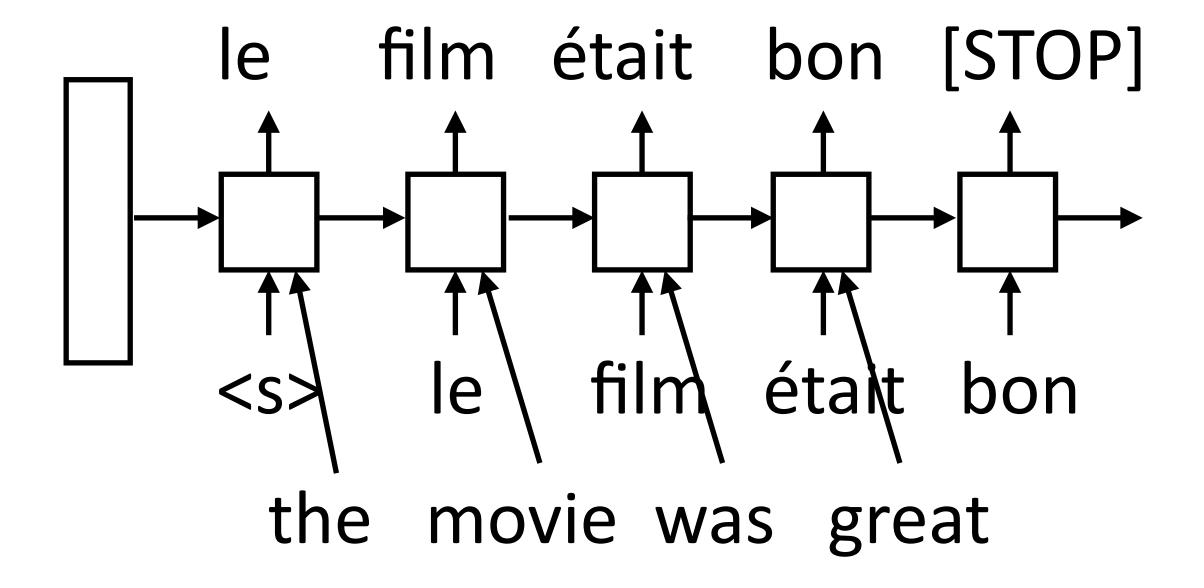
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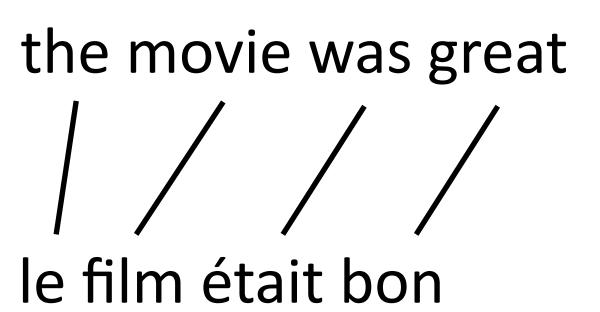


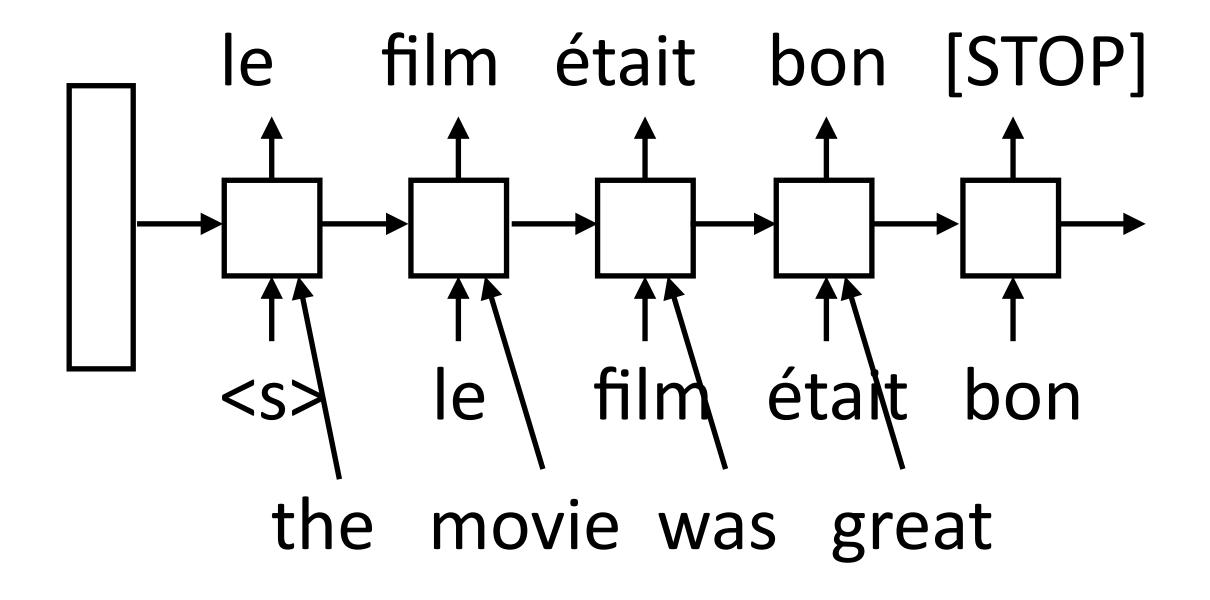


 Suppose we knew the source and target would be word-by-word translated

 Can look at the corresponding input word when translating this could scale!

Much less burden on the hidden state

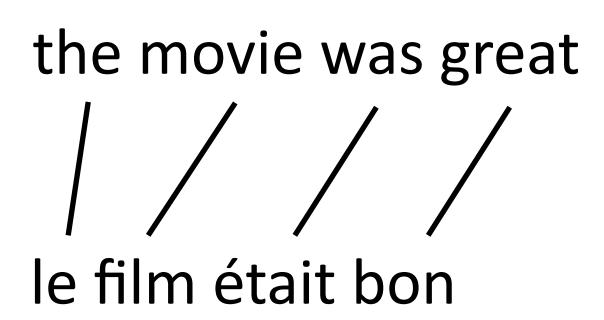


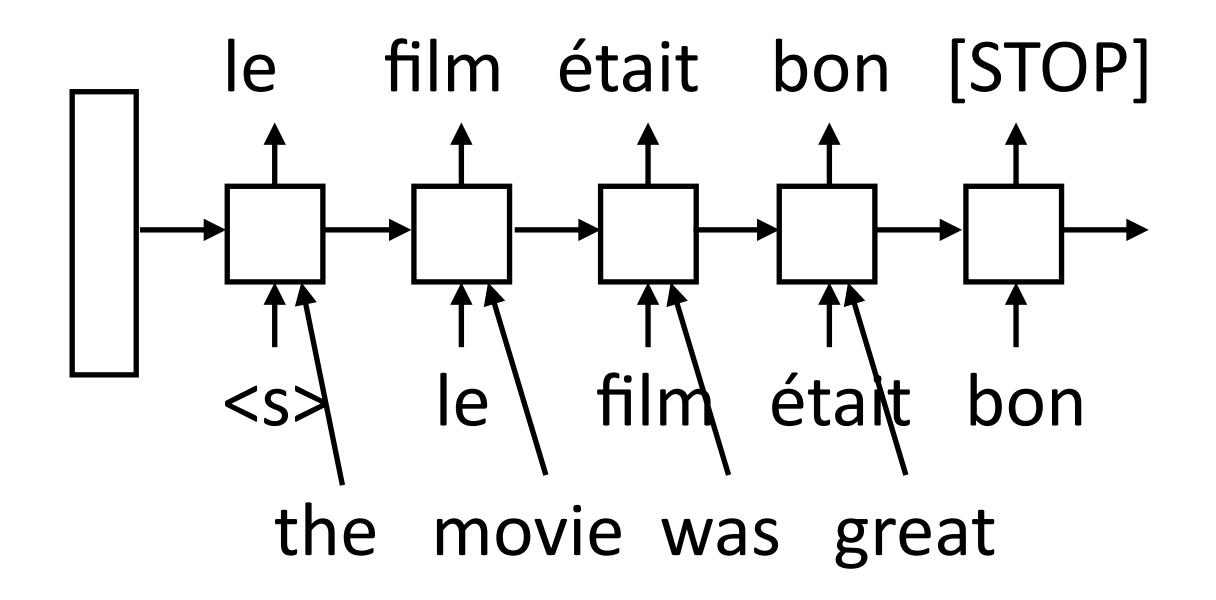


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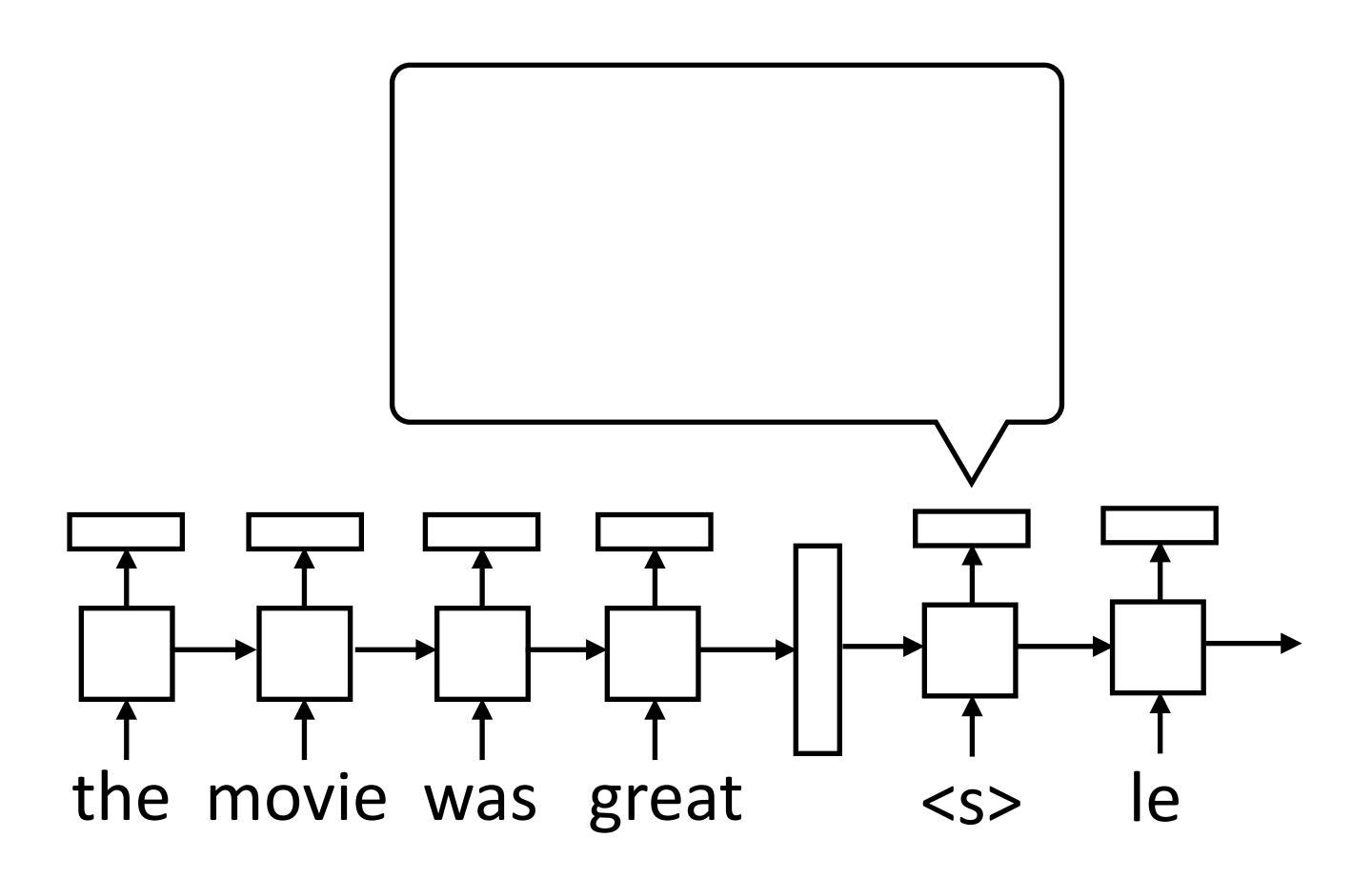
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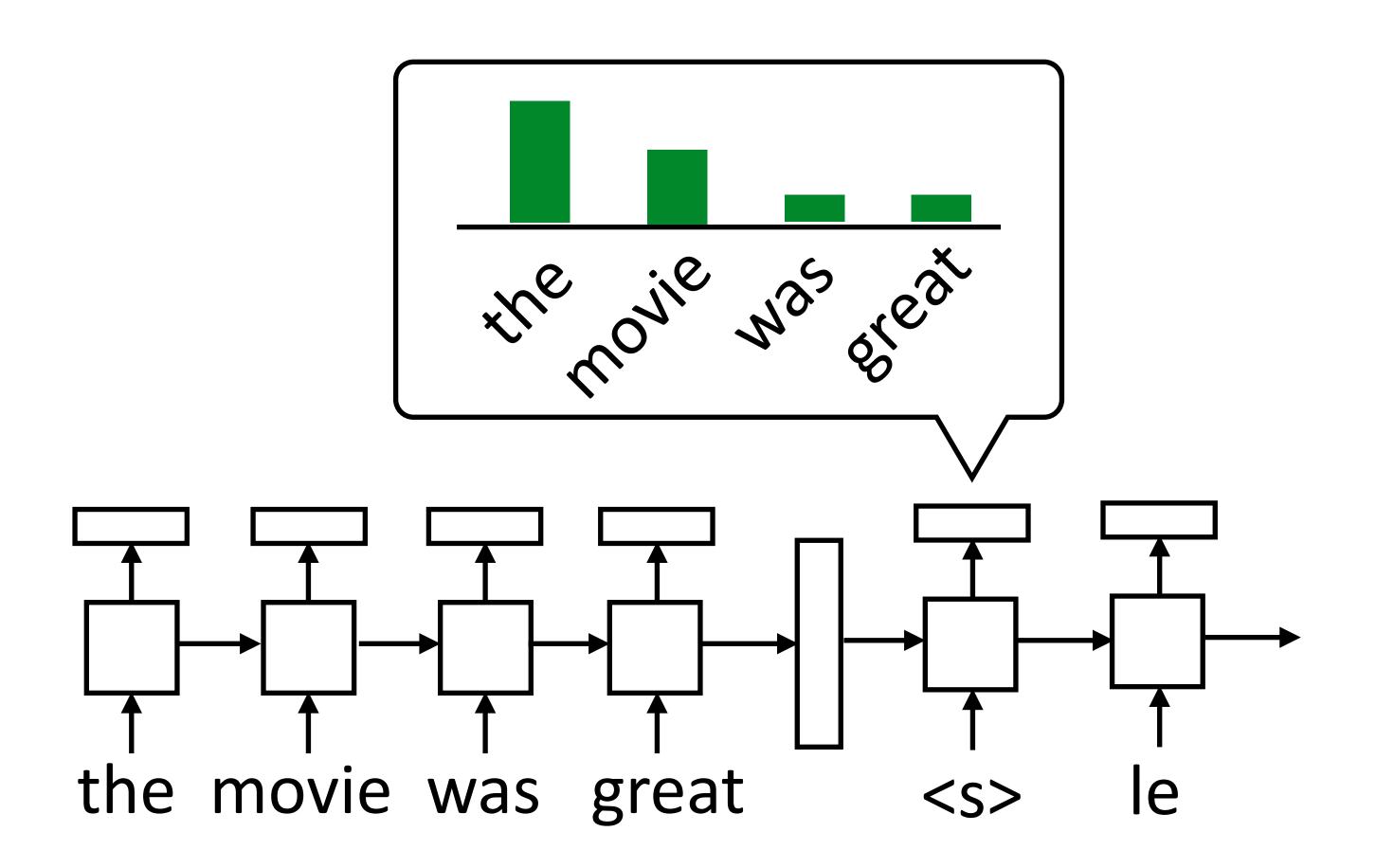
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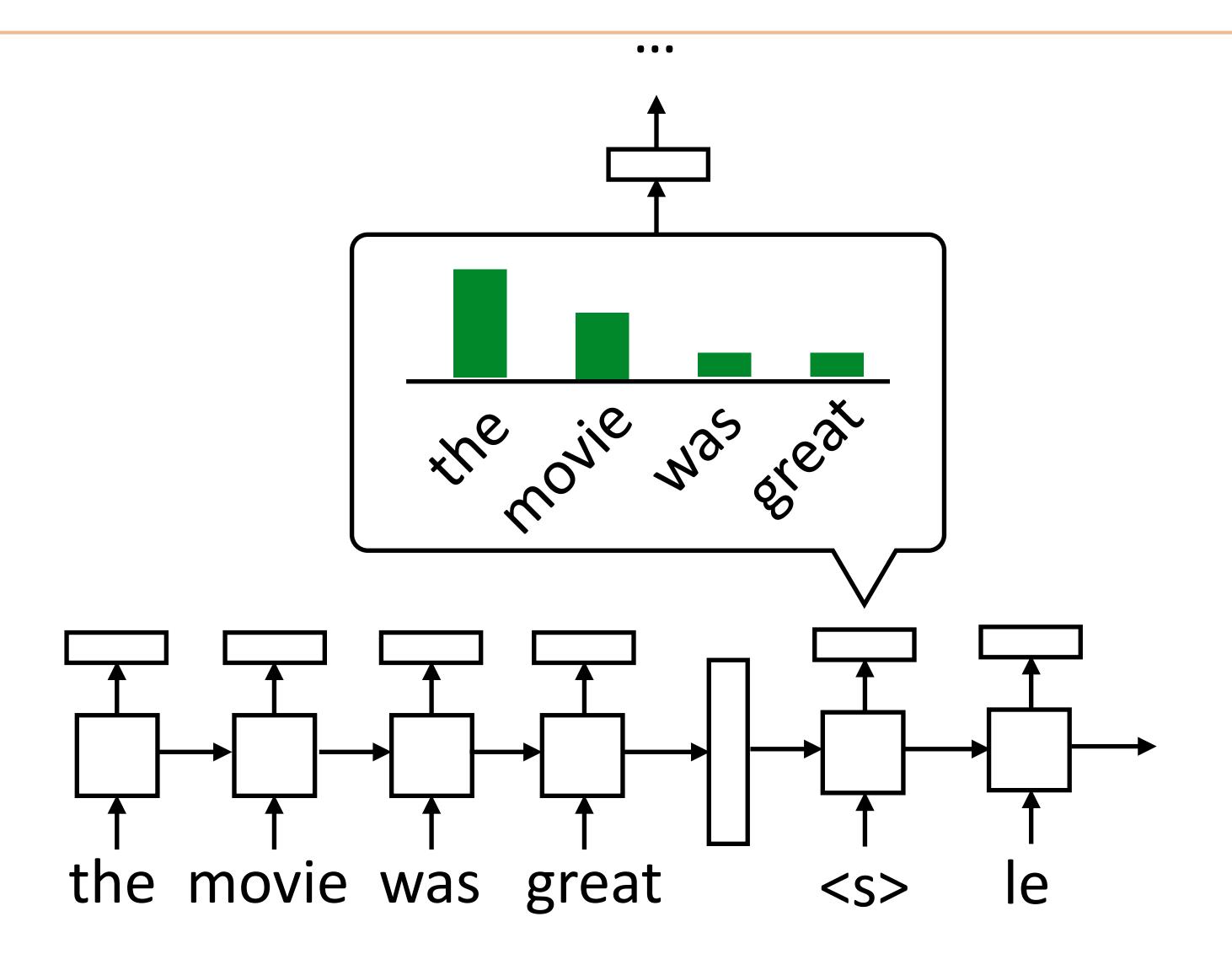


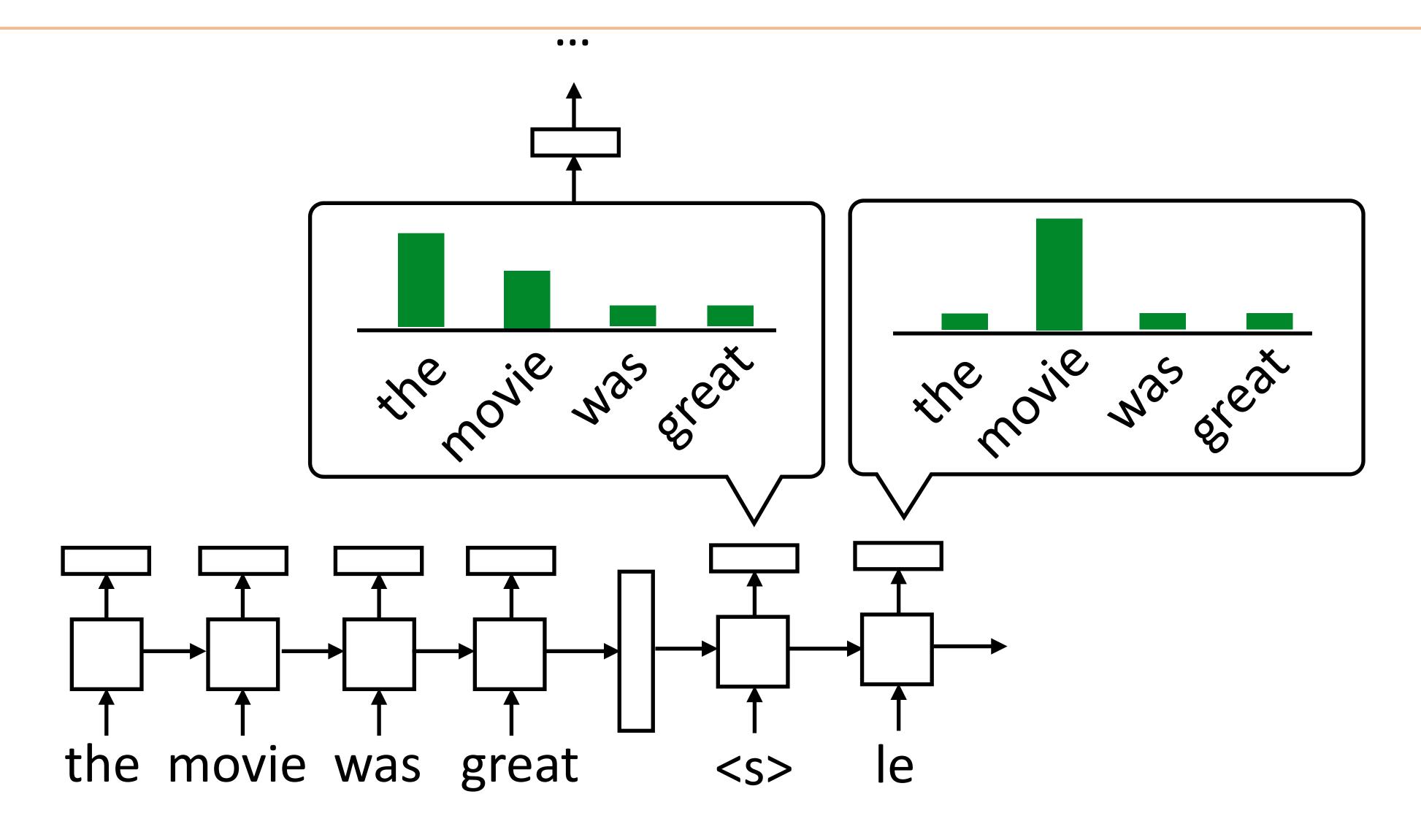


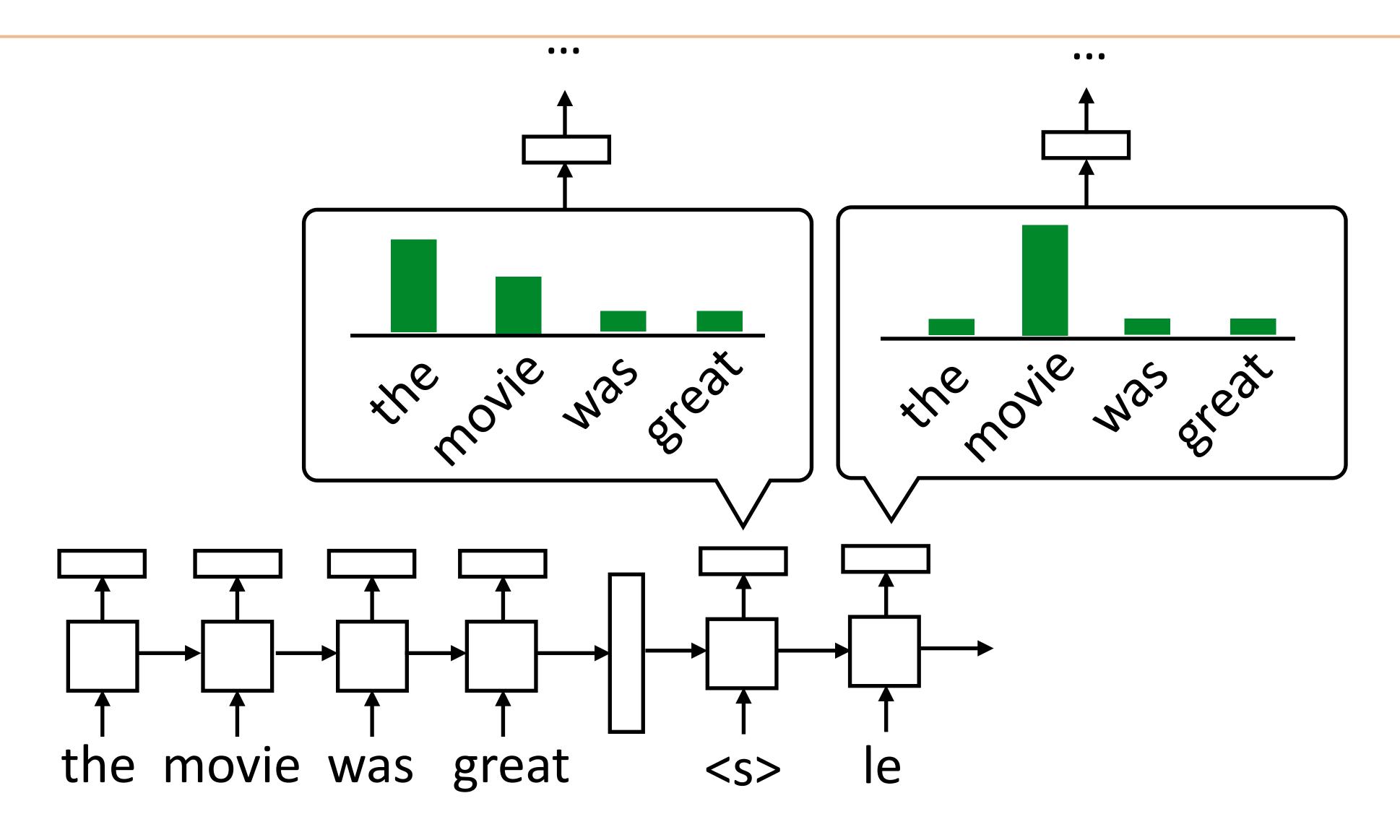
How can we achieve this without hardcoding it?

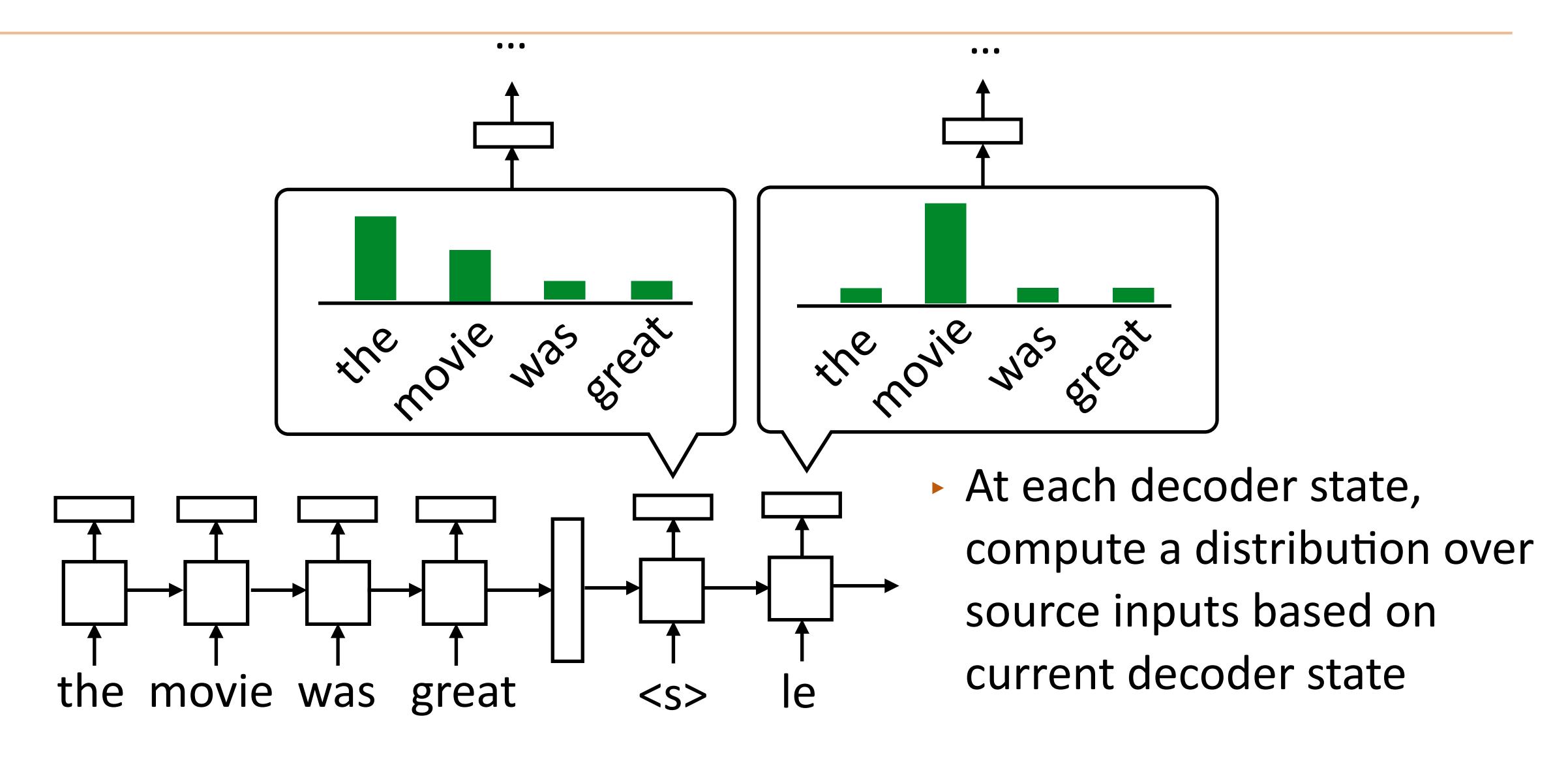


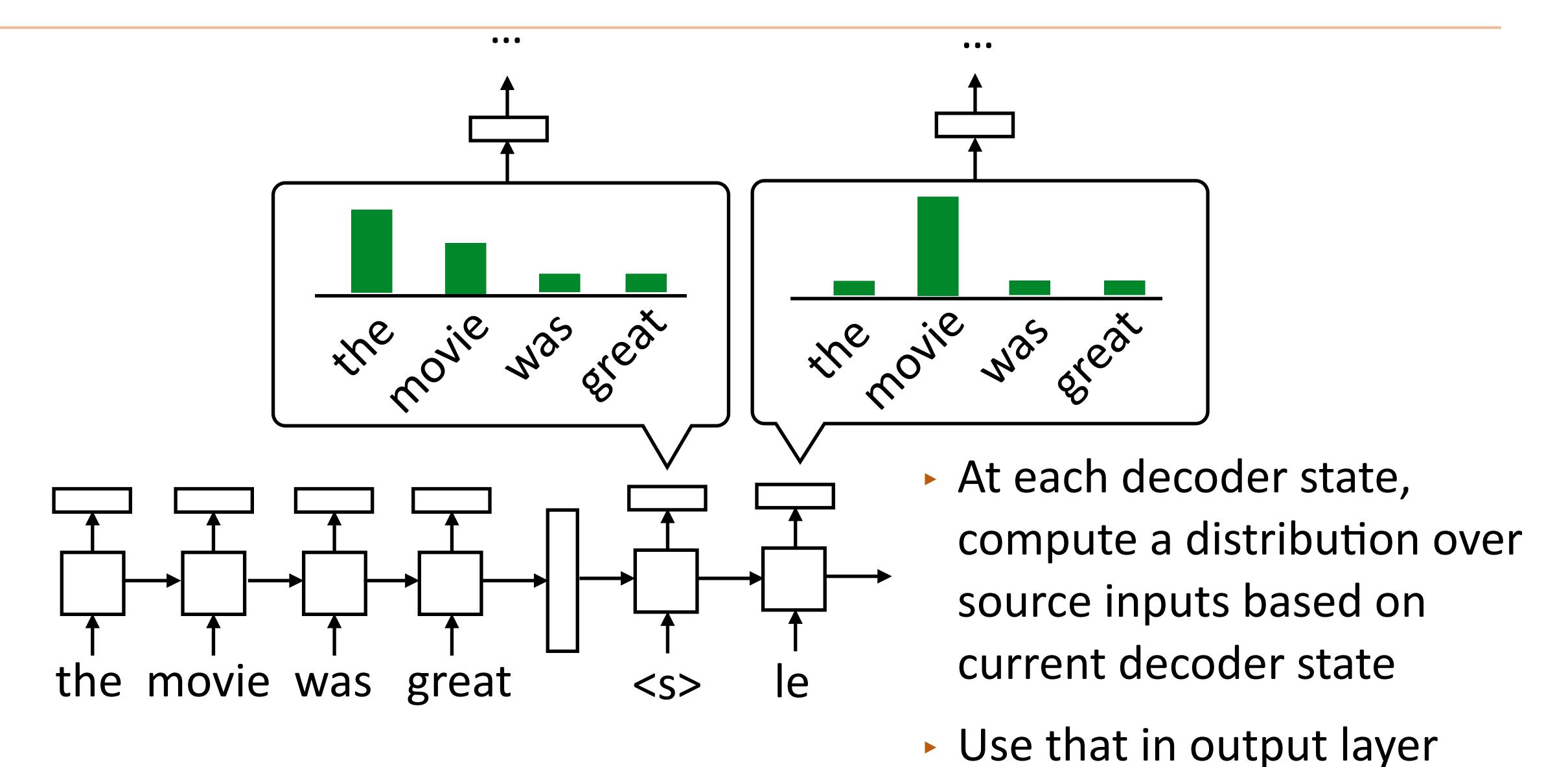




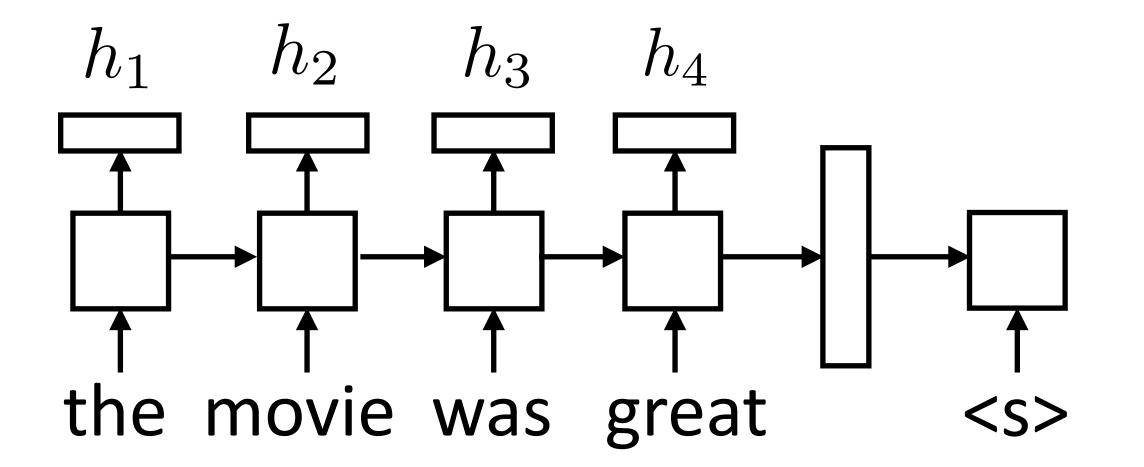




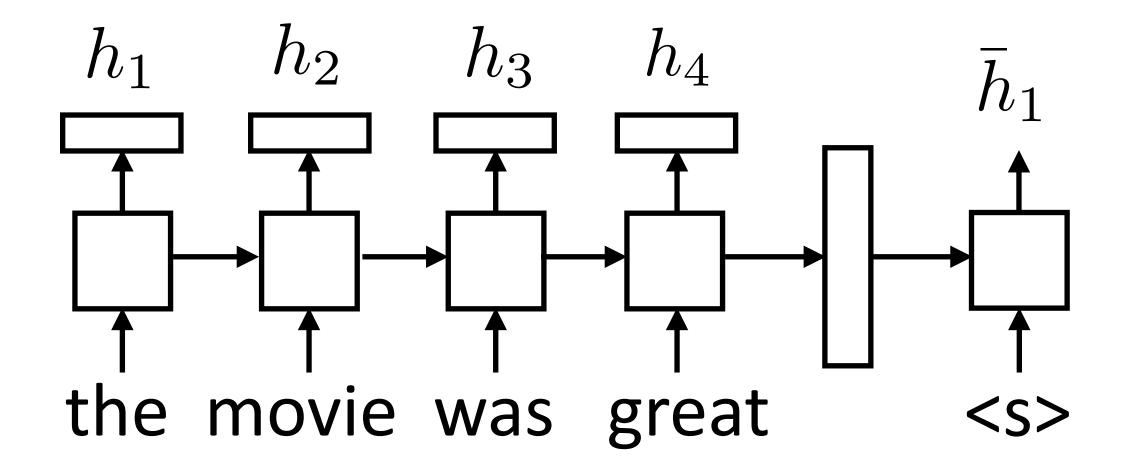




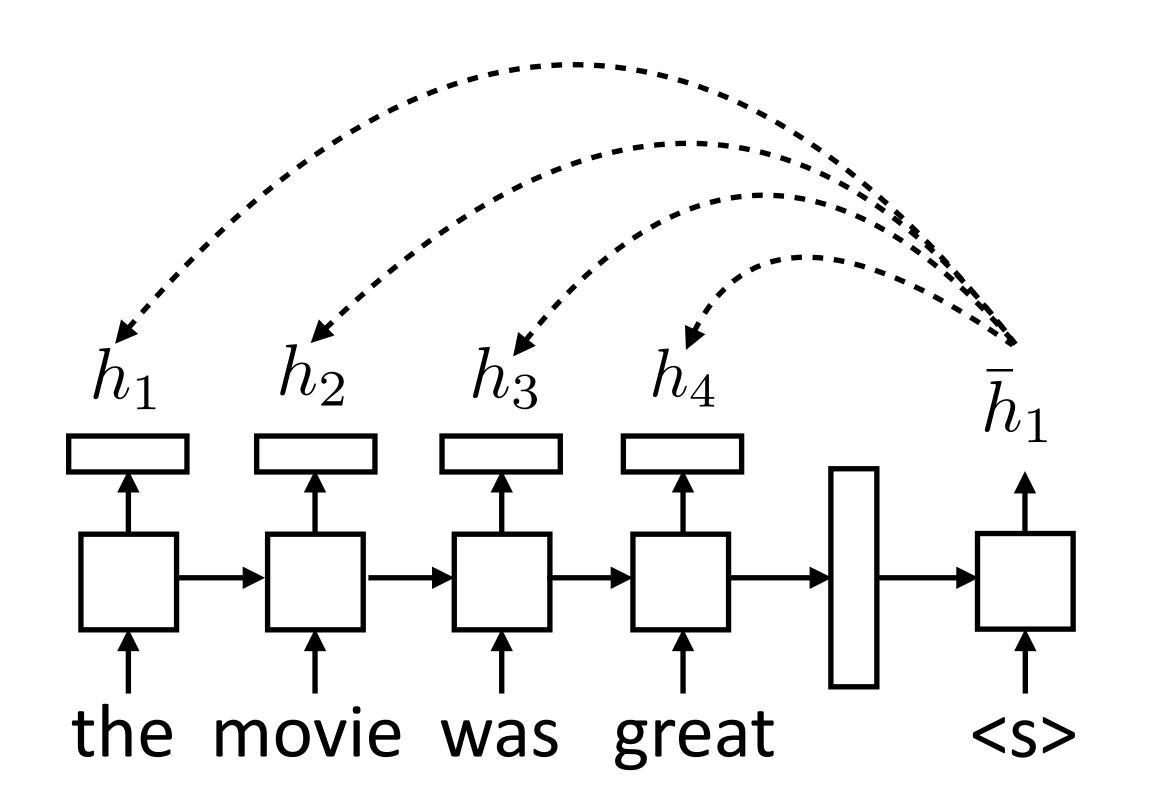
 For each decoder state, compute weighted sum of input states



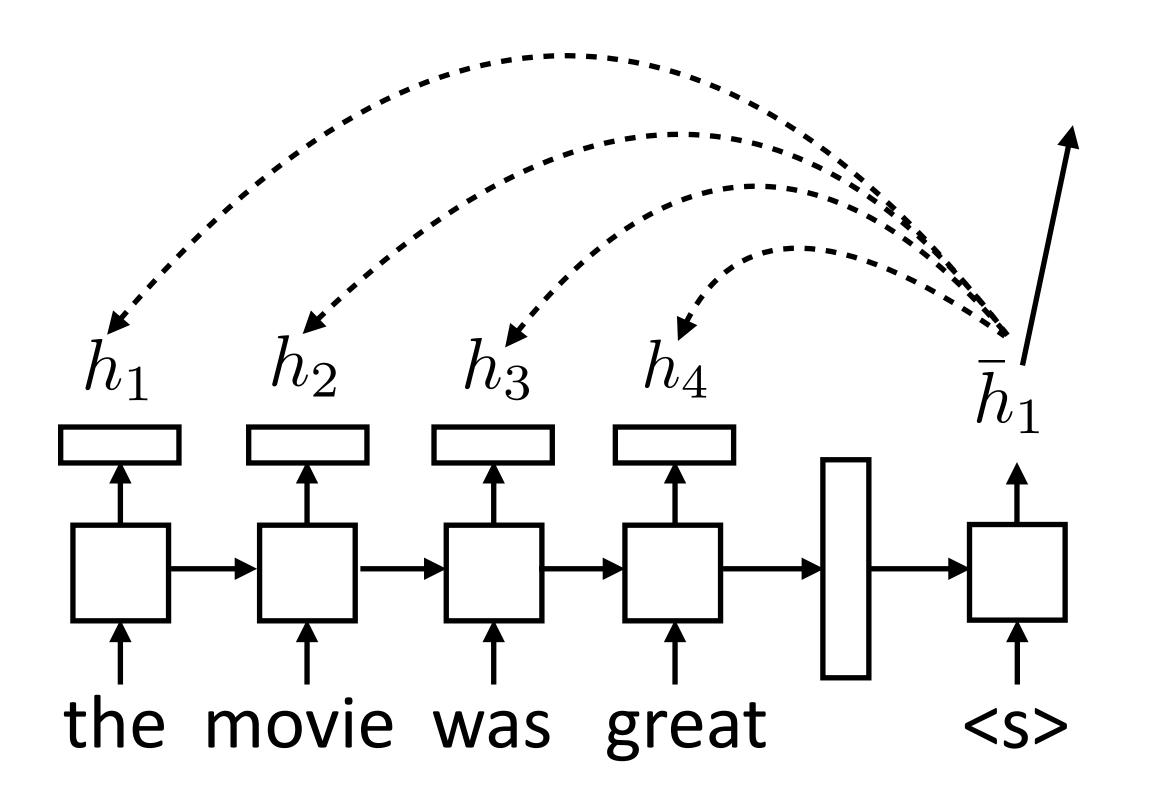
 For each decoder state, compute weighted sum of input states



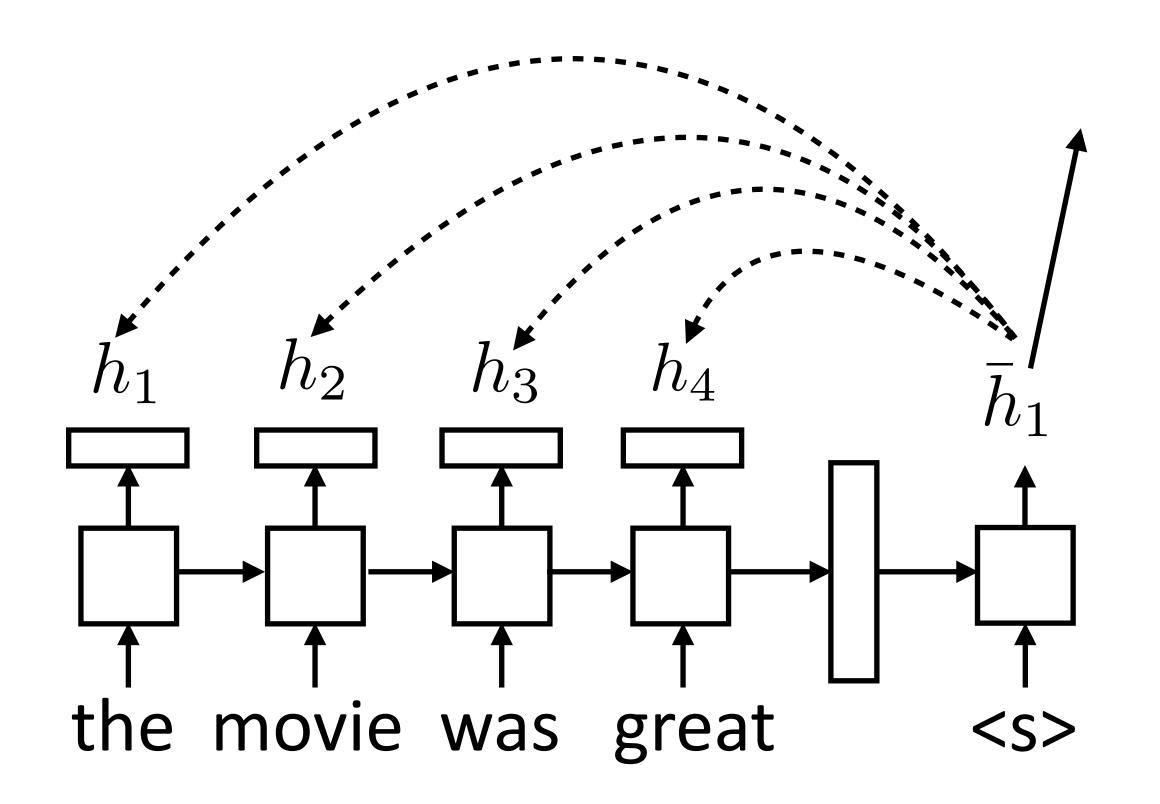
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For each decoder state,
 compute weighted sum of input states

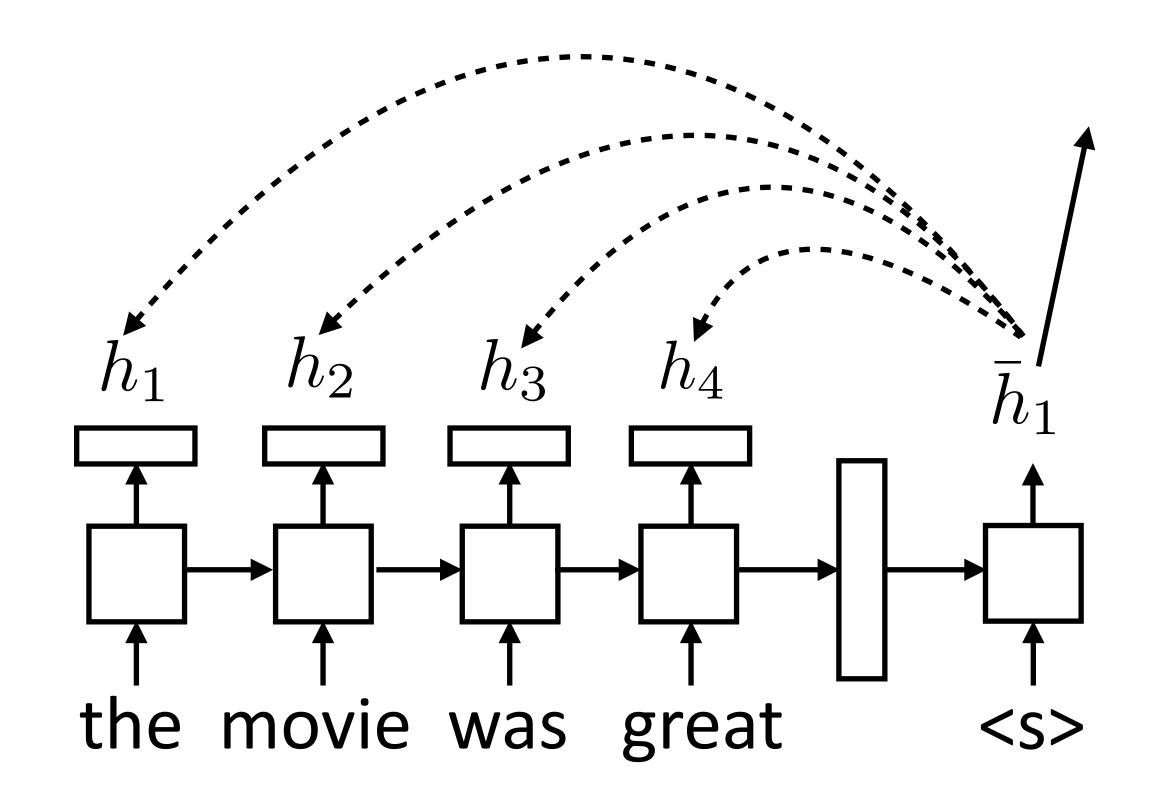


 For each decoder state, compute weighted sum of input states



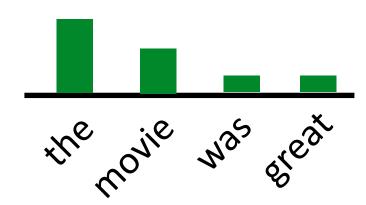
$$e_{ij} = f(\bar{h}_i, h_j)$$

 For each decoder state, compute weighted sum of input states

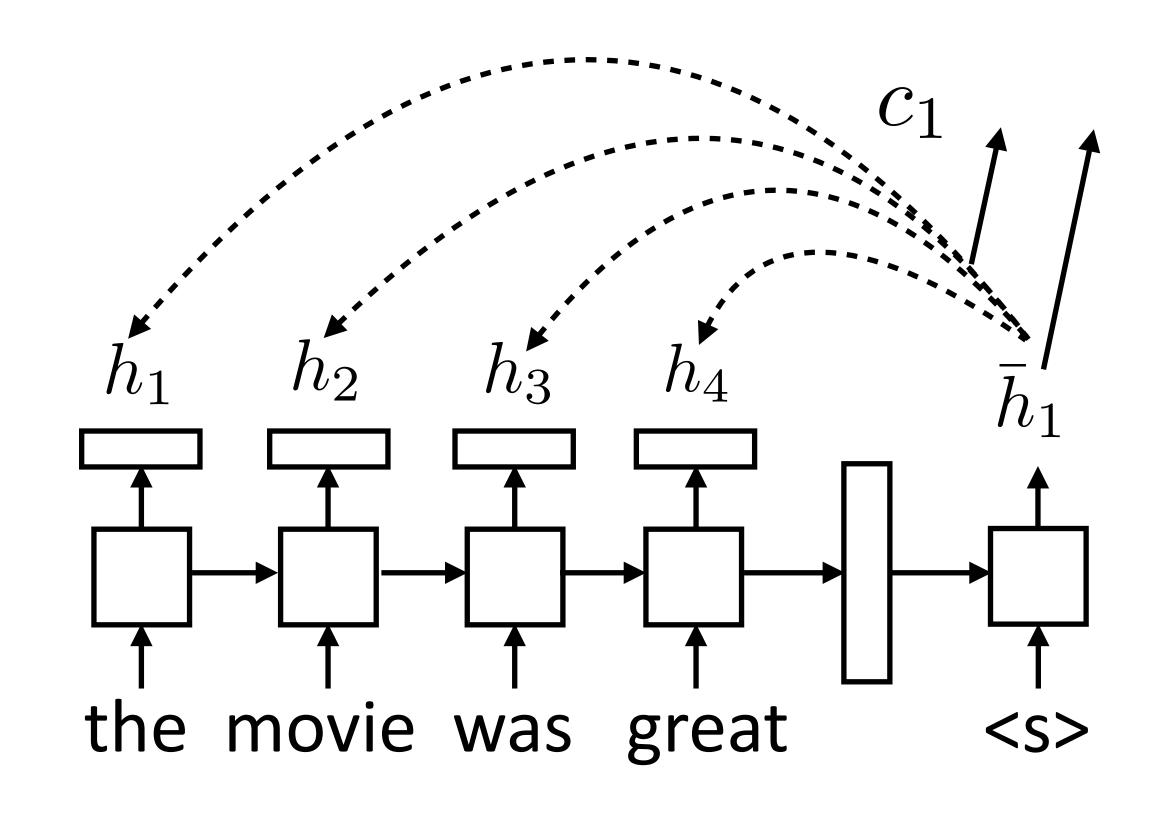


$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

$$e_{ij} = f(\bar{h}_i, h_j)$$



 For each decoder state, compute weighted sum of input states

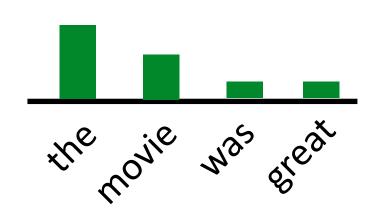


$$c_i = \sum_j \alpha_{ij} h_j$$

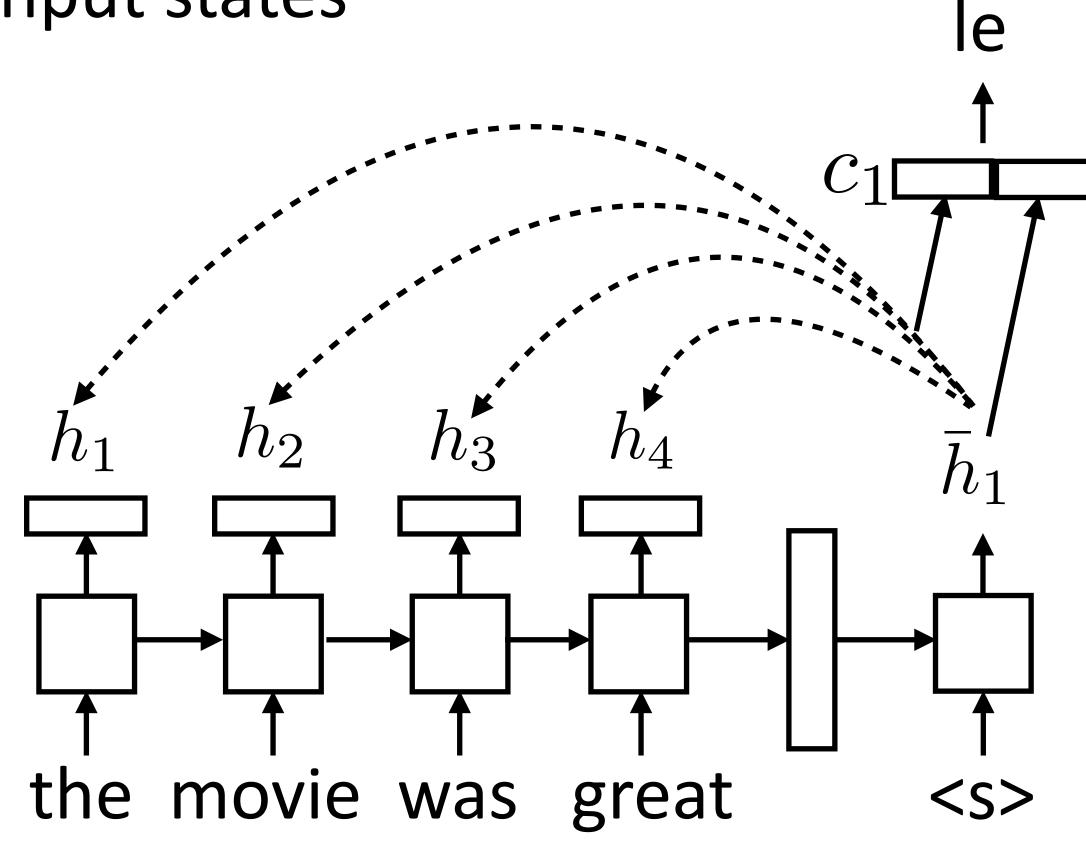
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

$$e_{ij} = f(\bar{h}_i, h_j)$$

Weighted sum of input hidden states (vector)



 For each decoder state, compute weighted sum of input states

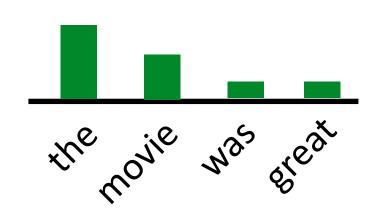


$$c_i = \sum_j \alpha_{ij} h_j$$

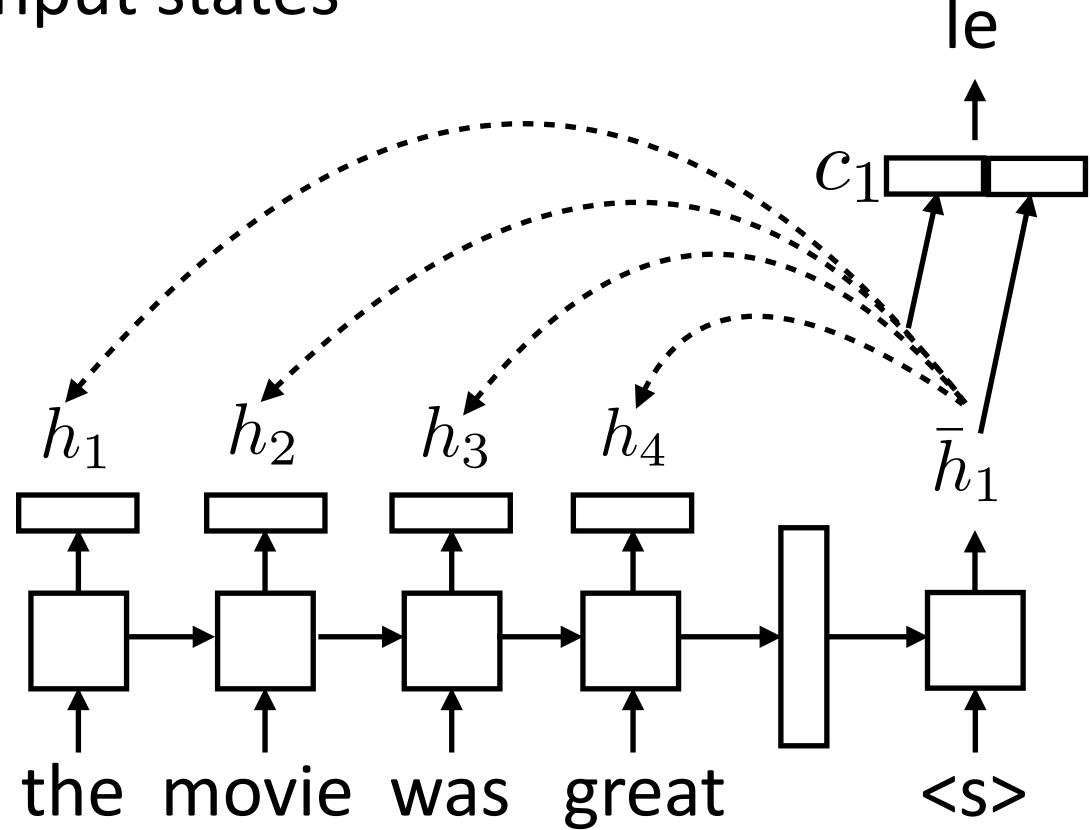
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

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Weighted sum of input hidden states (vector)



 For each decoder state, compute weighted sum of input states



$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$

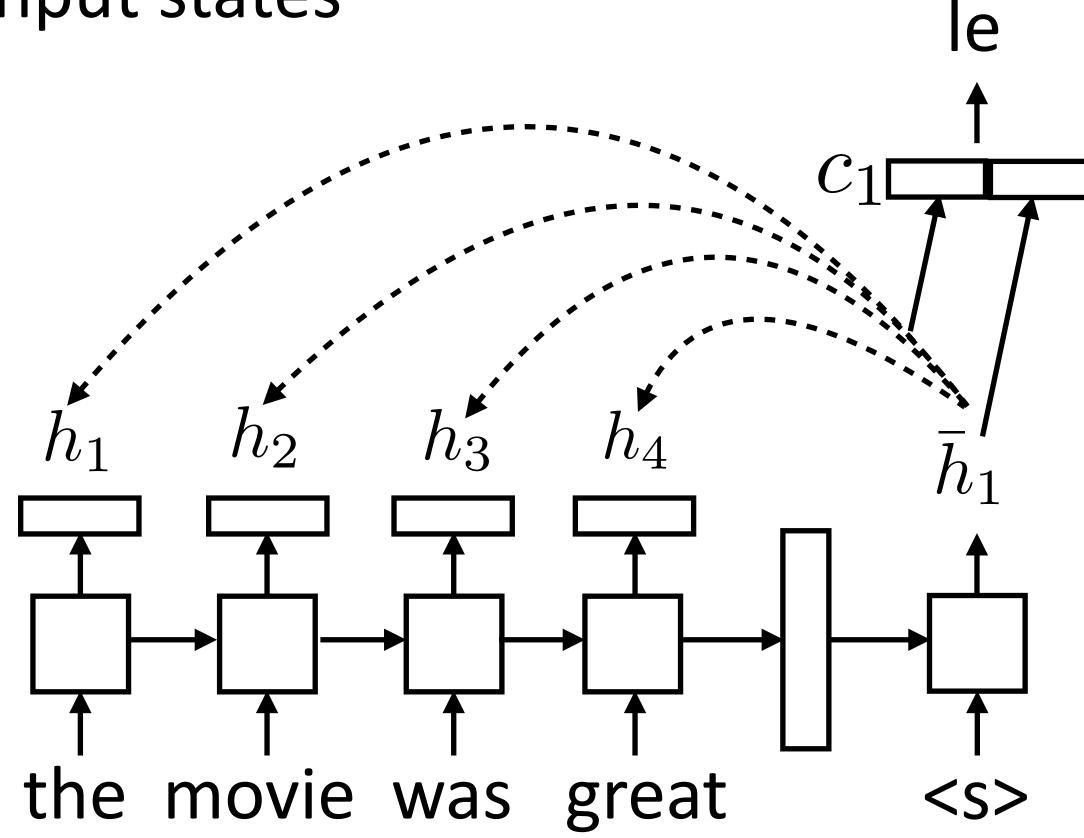
$$c_i = \sum_j \alpha_{ij} h_j$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

$$e_{ij} = f(\bar{h}_i, h_j)$$

 For each decoder state, compute weighted sum of input states

• No attn:  $P(y_i|\mathbf{x}, y_1, ..., y_{i-1}) = \operatorname{softmax}(W\bar{h}_i)$ 

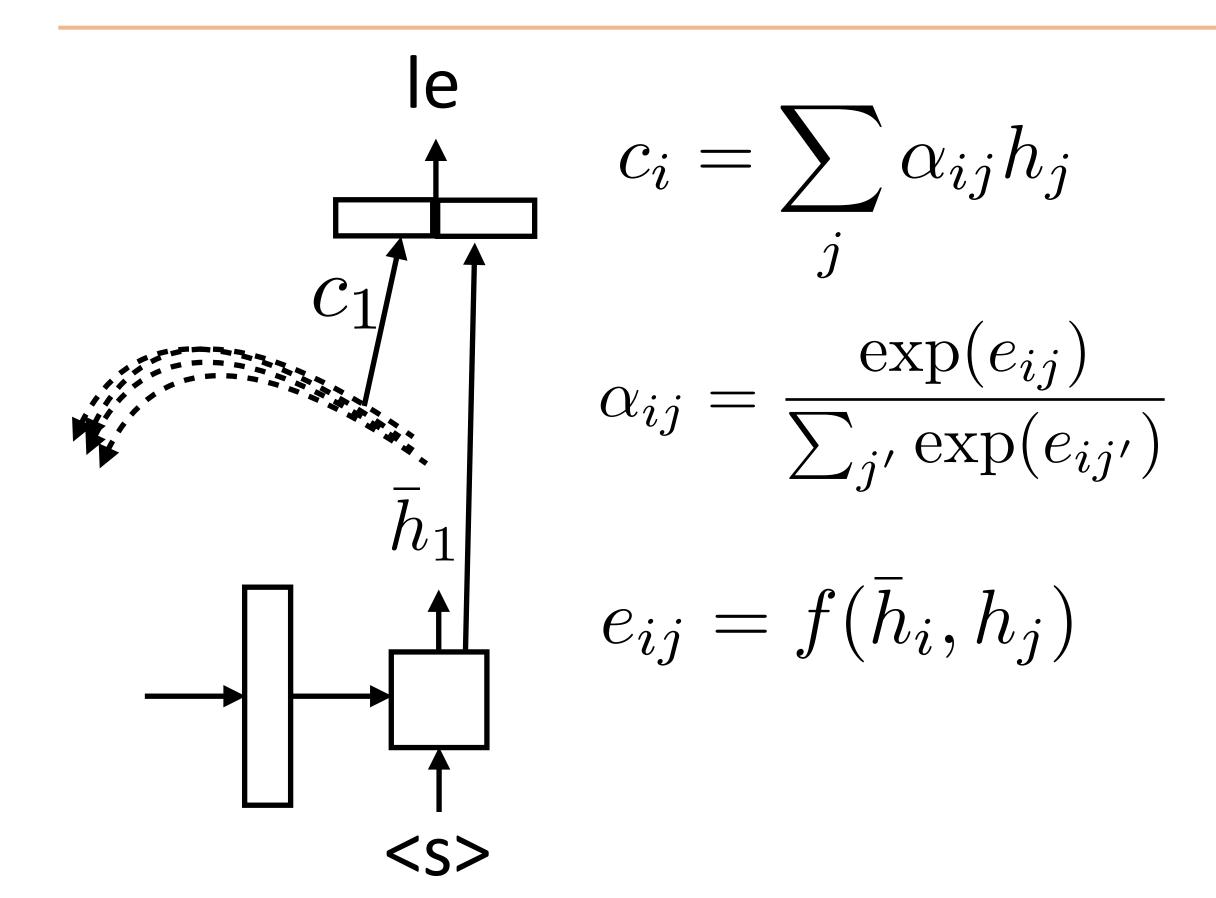


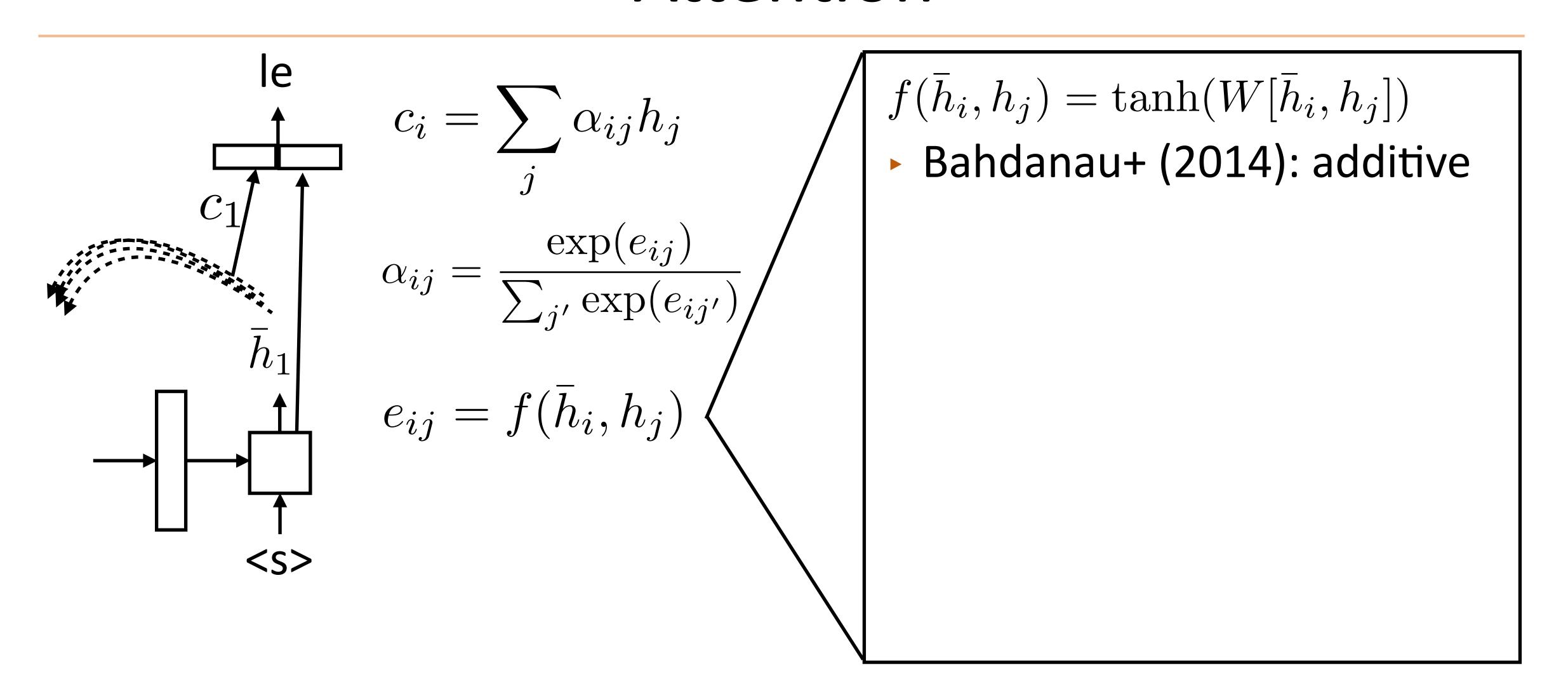
$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$

$$c_i = \sum_j \alpha_{ij} h_j$$

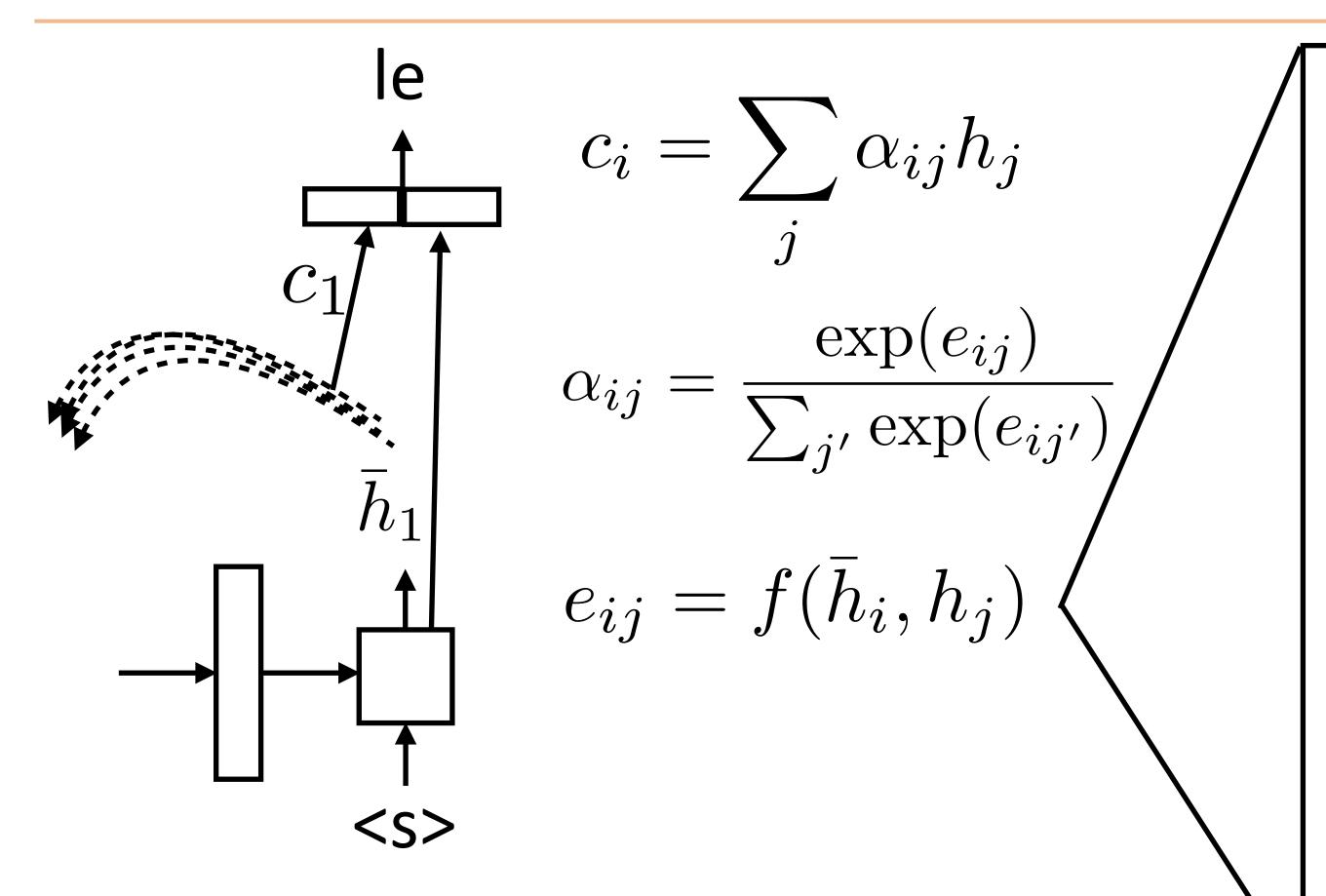
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

$$e_{ij} = f(\bar{h}_i, h_j)$$





Luong et al. (2015)

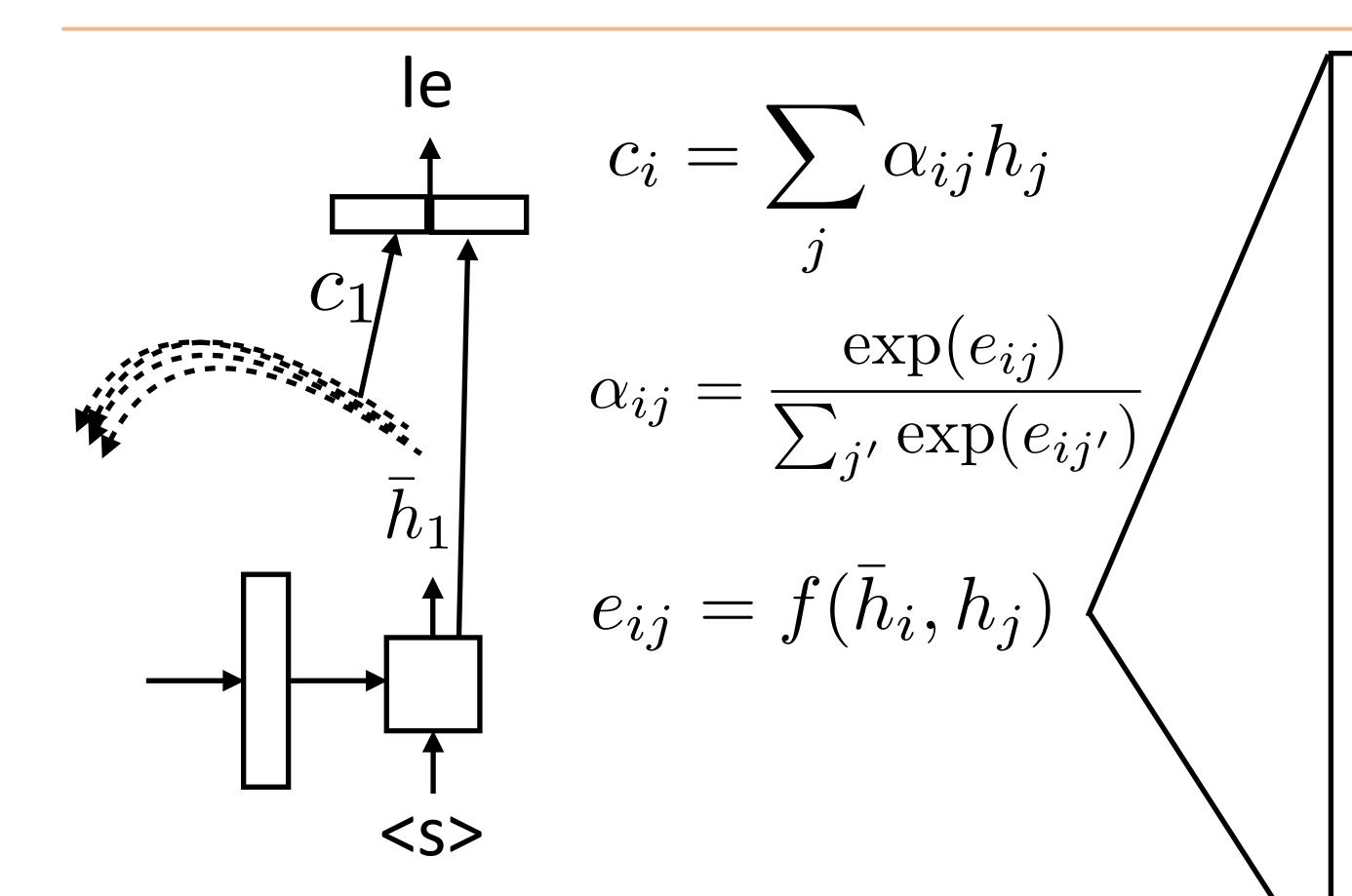


$$f(\bar{h}_i, h_j) = \tanh(W[\bar{h}_i, h_j])$$

► Bahdanau+ (2014): additive

$$f(\bar{h}_i, h_j) = \bar{h}_i \cdot h_j$$

Luong+ (2015): dot product



$$f(\bar{h}_i, h_j) = \tanh(W[\bar{h}_i, h_j])$$

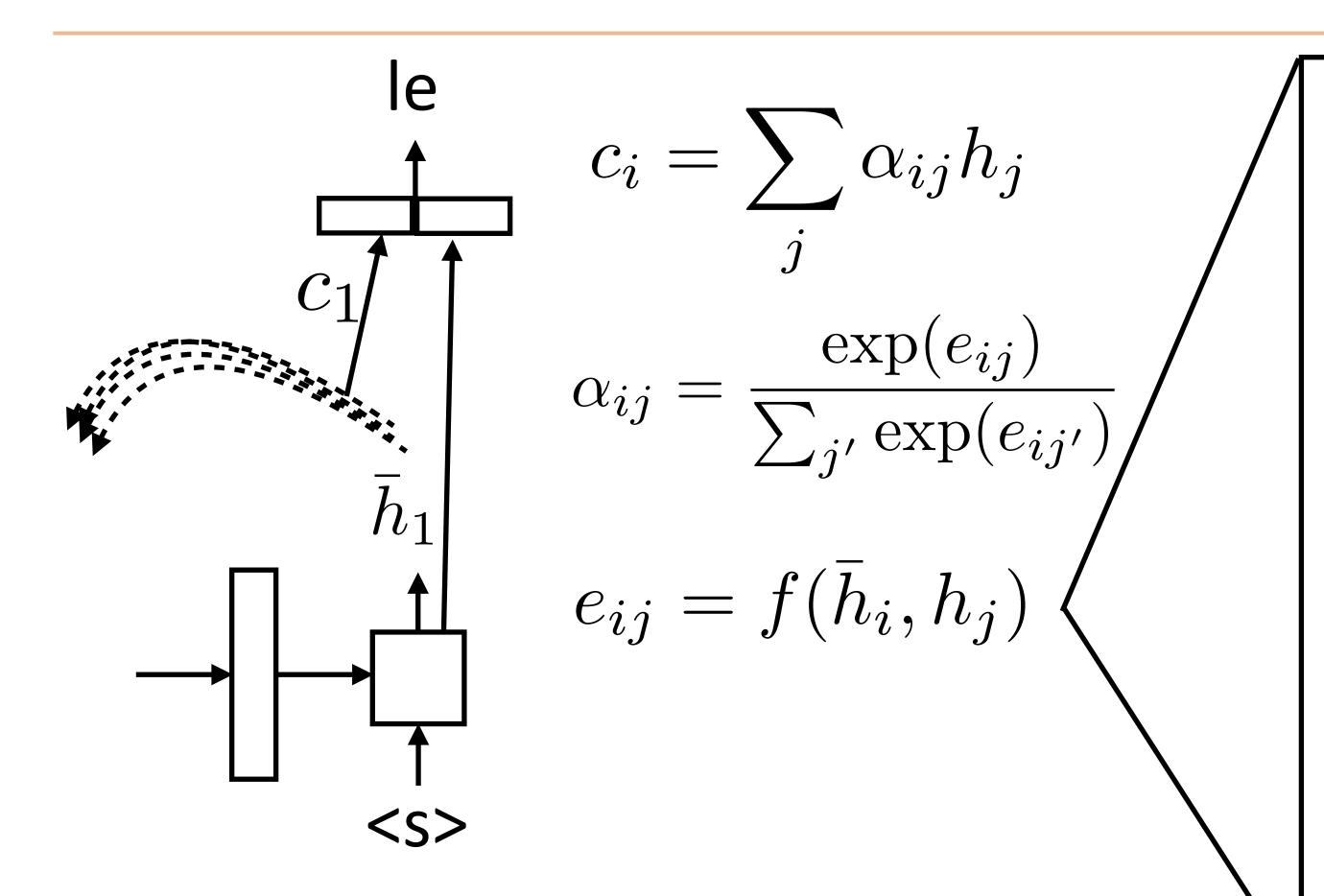
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$$f(\bar{h}_i, h_j) = \bar{h}_i \cdot h_j$$

Luong+ (2015): dot product

$$f(\bar{h}_i, h_j) = \bar{h}_i^\top W h_j$$

Luong+ (2015): bilinear



$$f(\bar{h}_i, h_j) = \tanh(W[\bar{h}_i, h_j])$$

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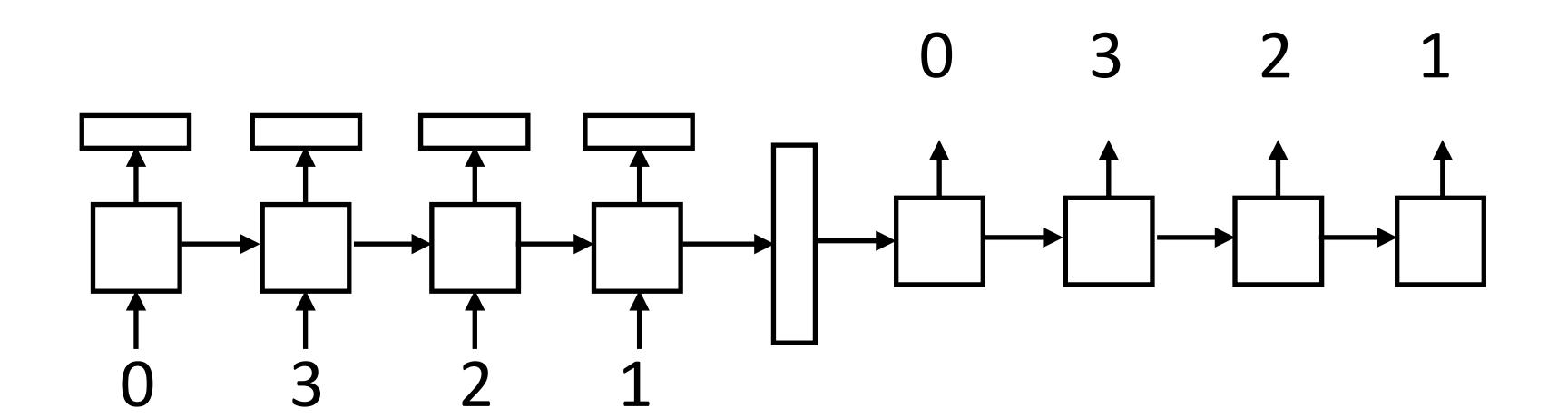
Luong+ (2015): dot product

$$f(\bar{h}_i, h_j) = \bar{h}_i^\top W h_j$$

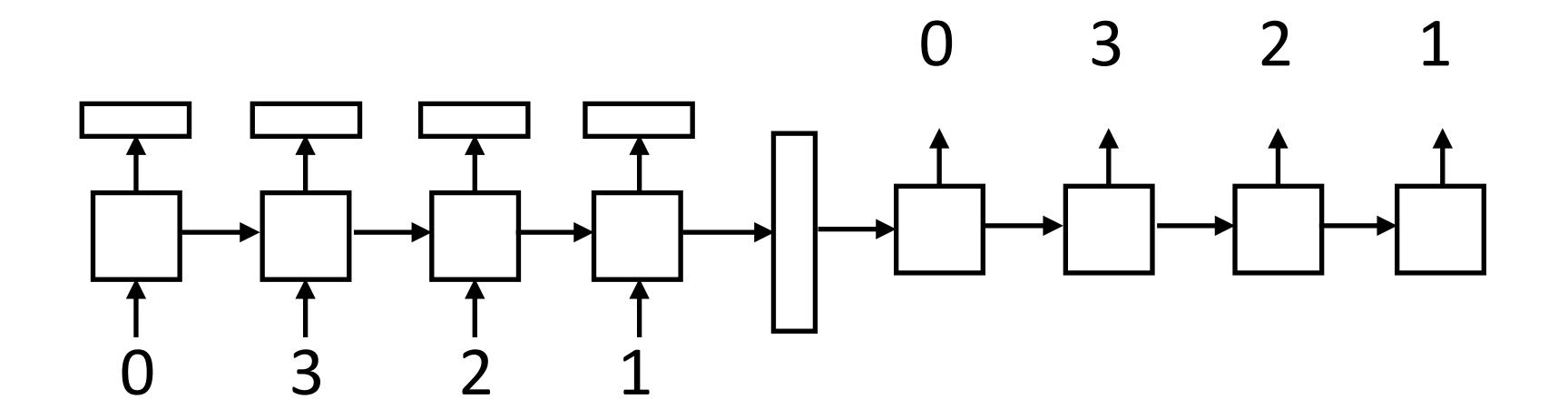
Luong+ (2015): bilinear

Note that this all uses outputs of hidden layers

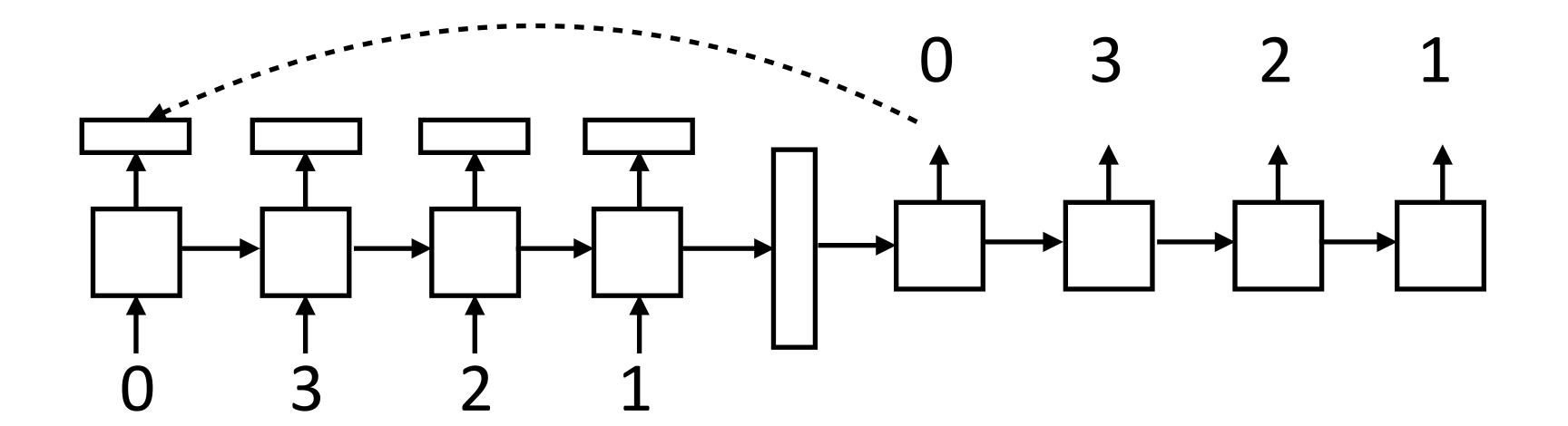
Luong et al. (2015)



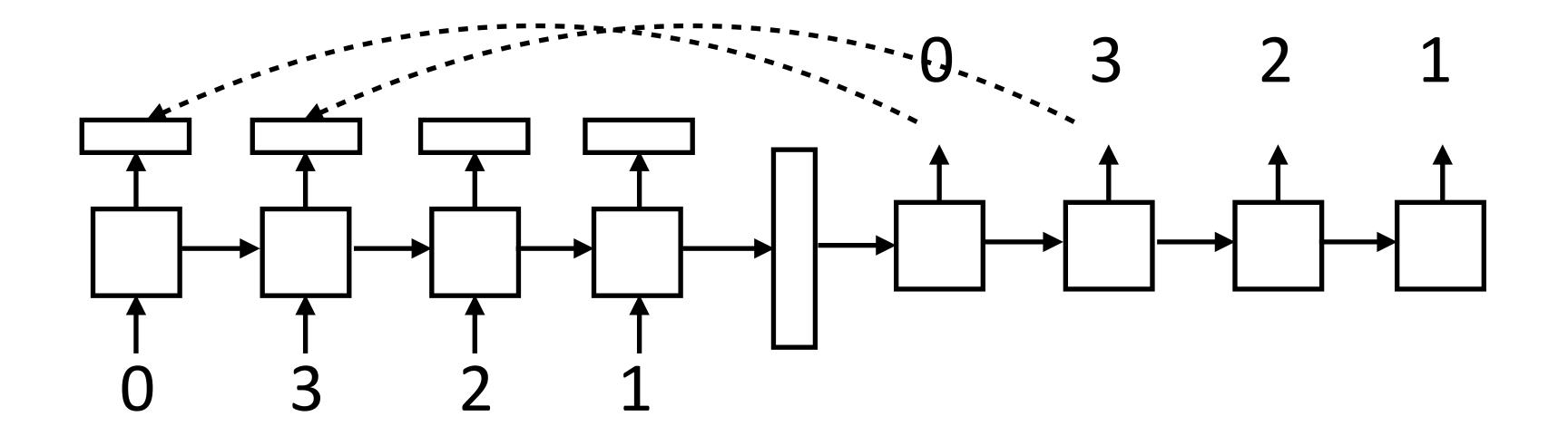
Learning to copy — how might this work?



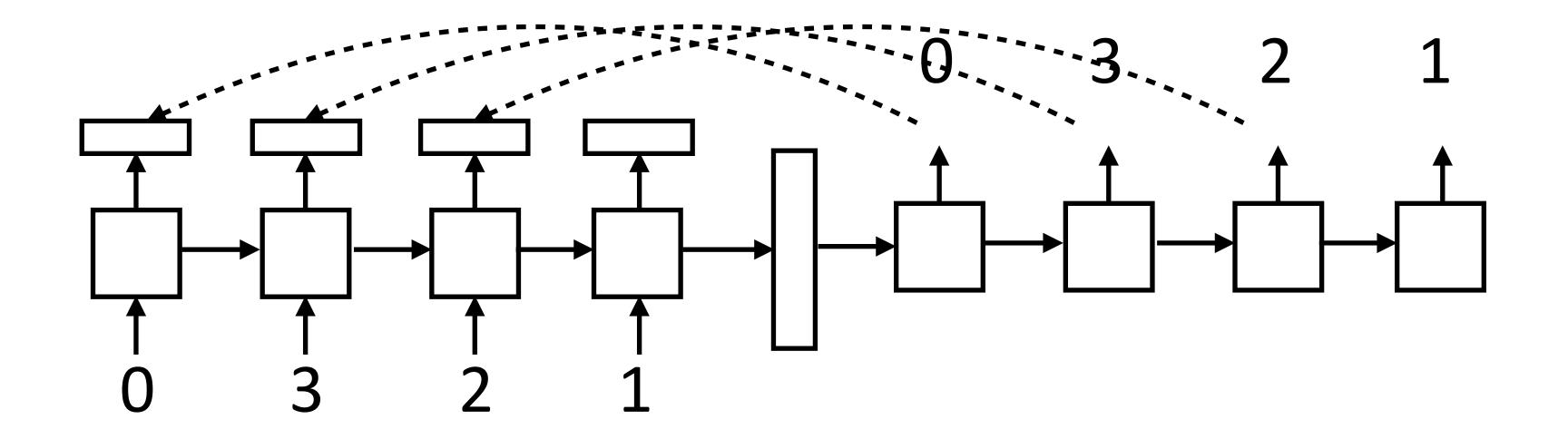
Learning to copy — how might this work?



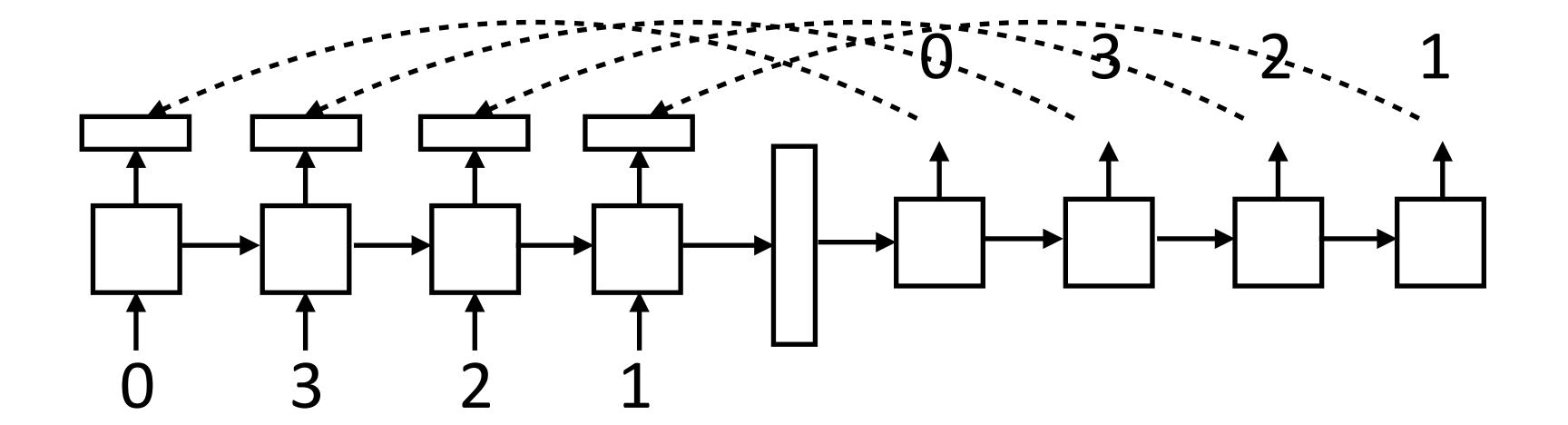
Learning to copy — how might this work?



► Learning to copy — how might this work?

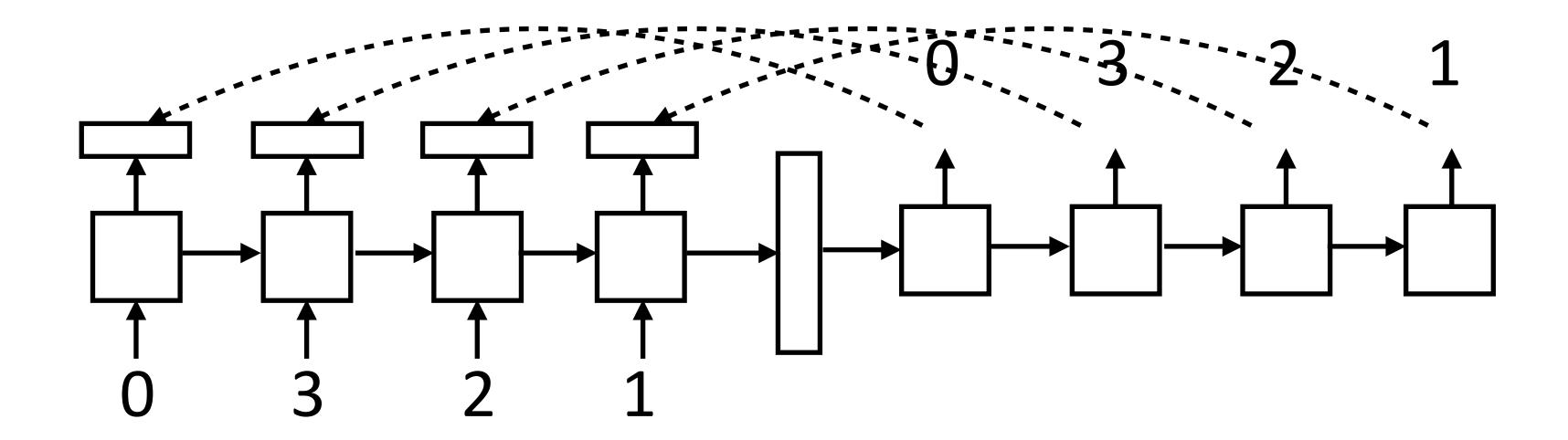


► Learning to copy — how might this work?



#### What can attention do?

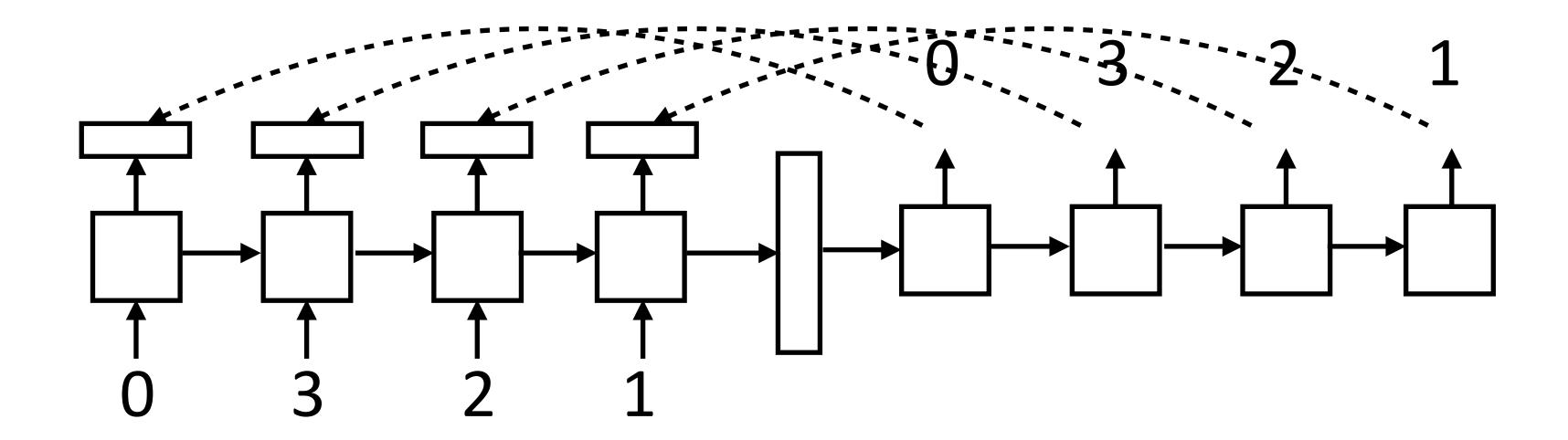
Learning to copy — how might this work?



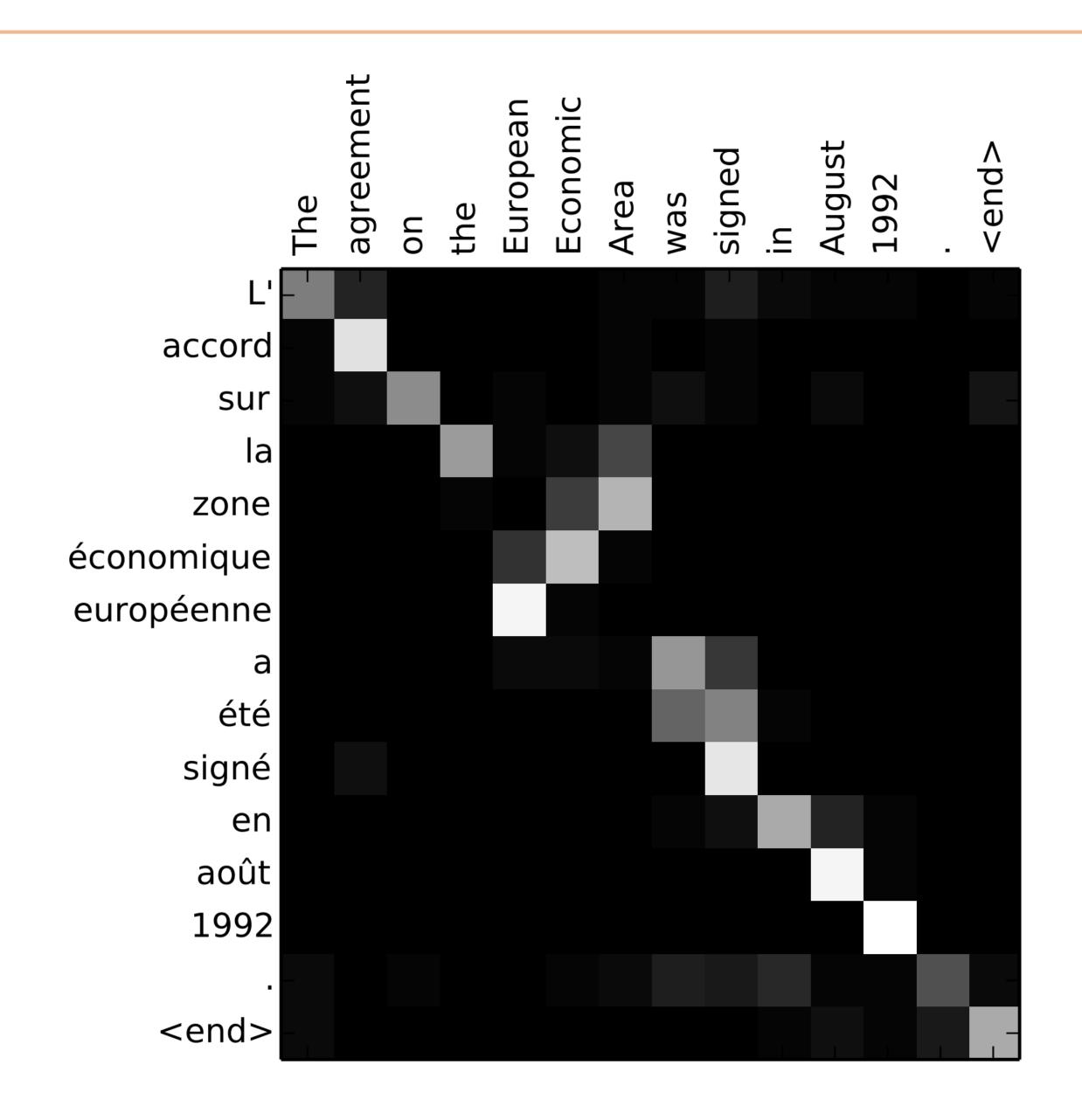
LSTM can learn to count with the right weight matrix

### What can attention do?

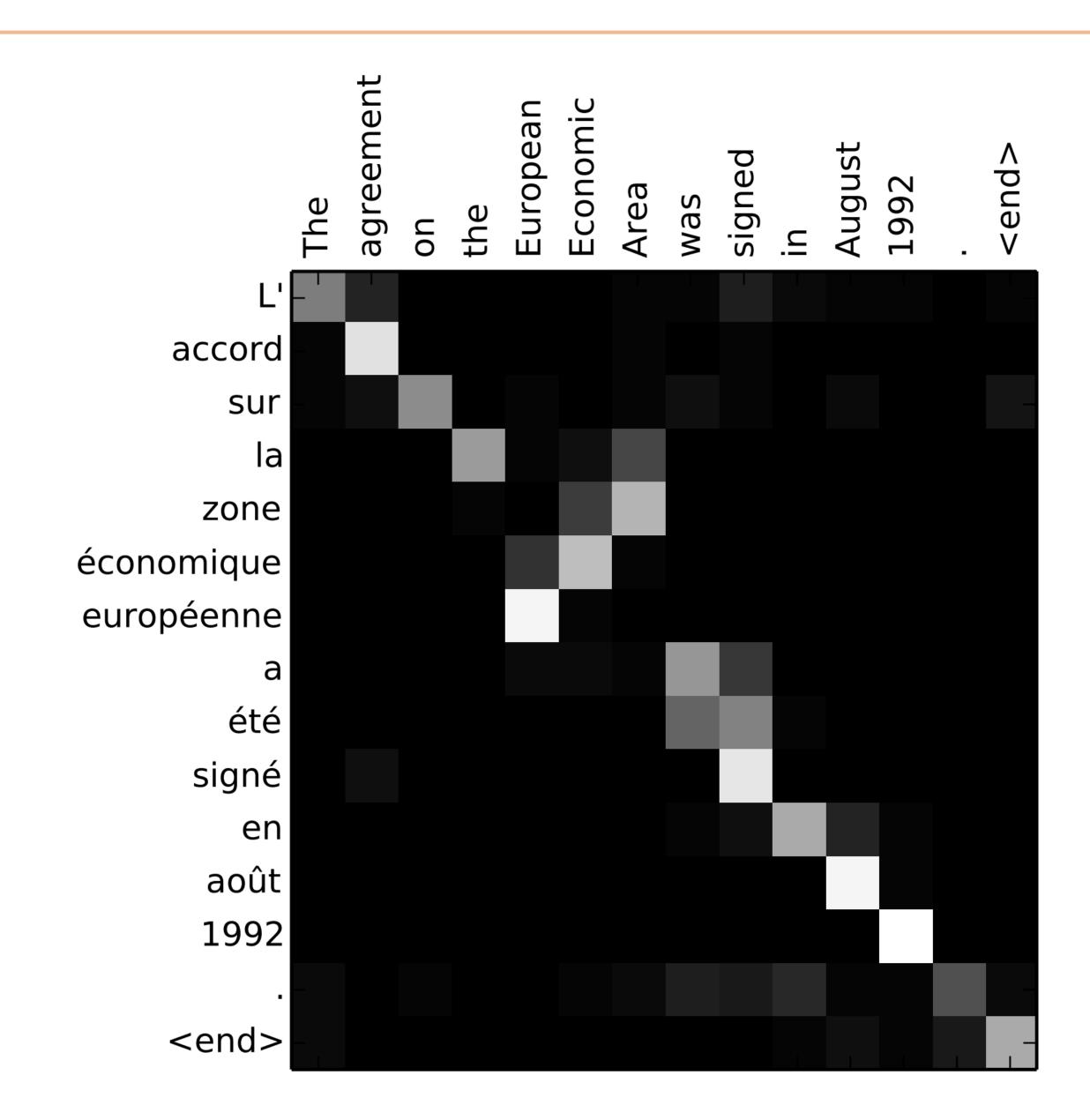
Learning to copy — how might this work?



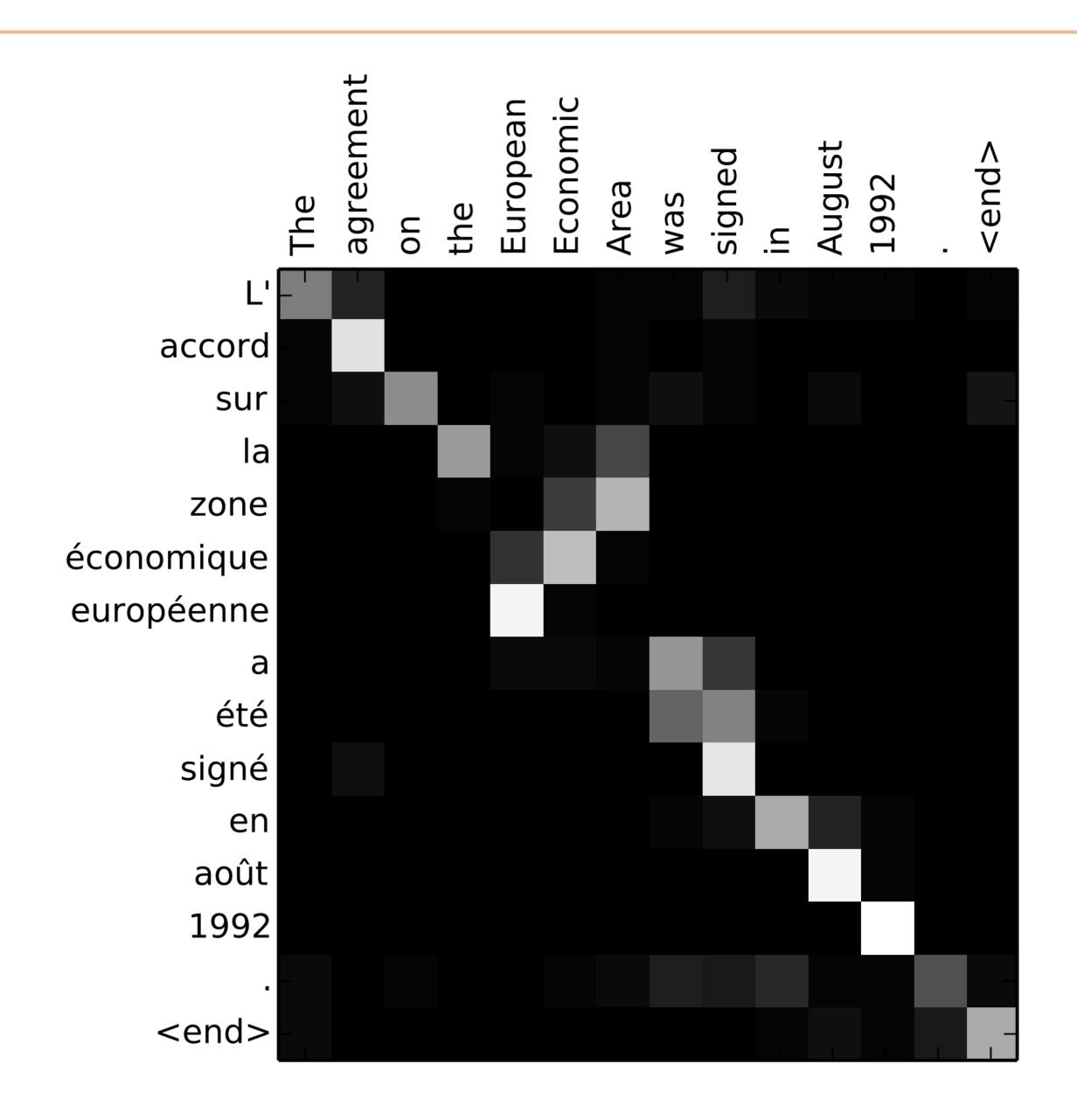
- LSTM can learn to count with the right weight matrix
- This is effectively position-based addressing



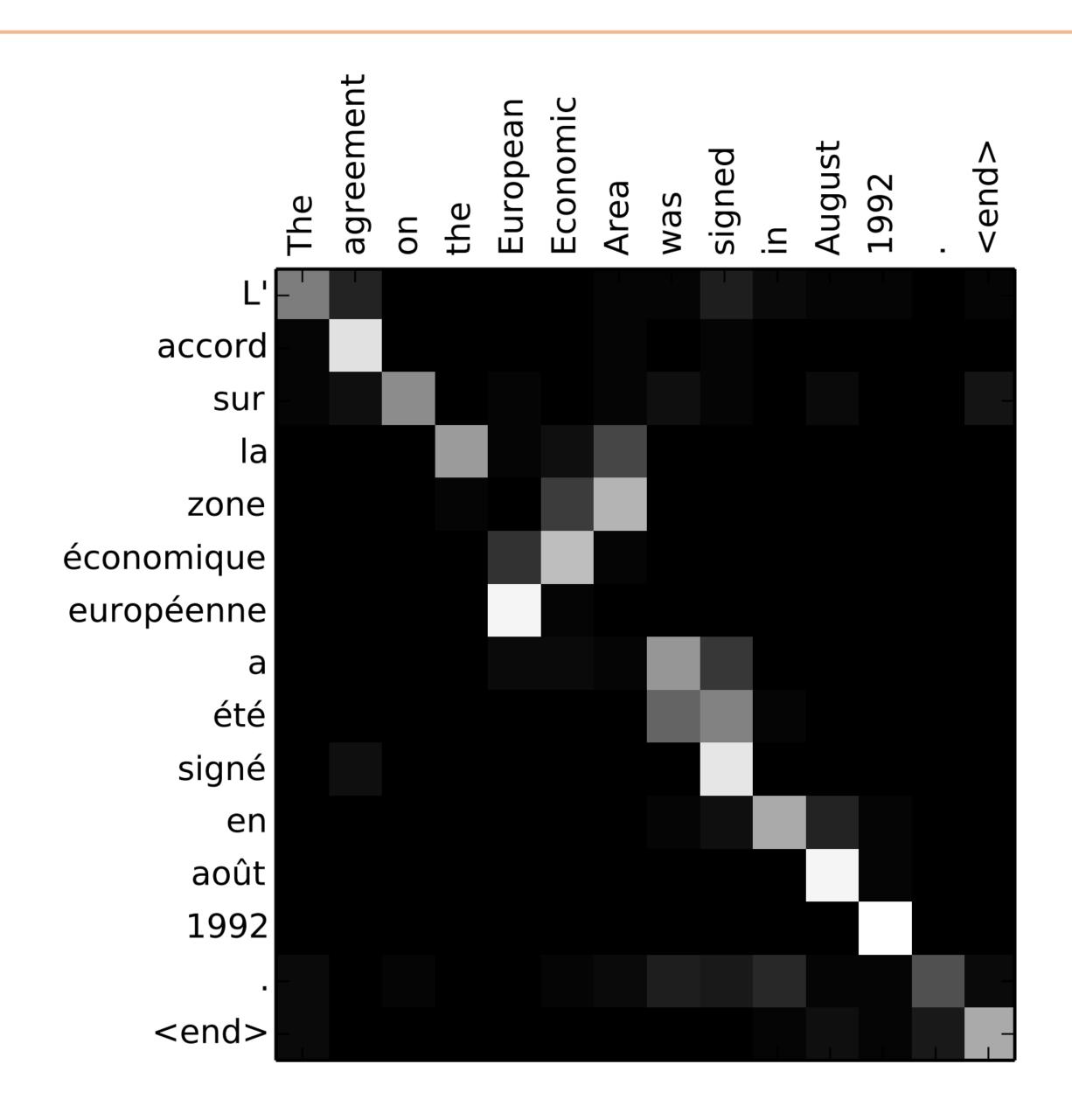
 Encoder hidden states capture contextual source word identity

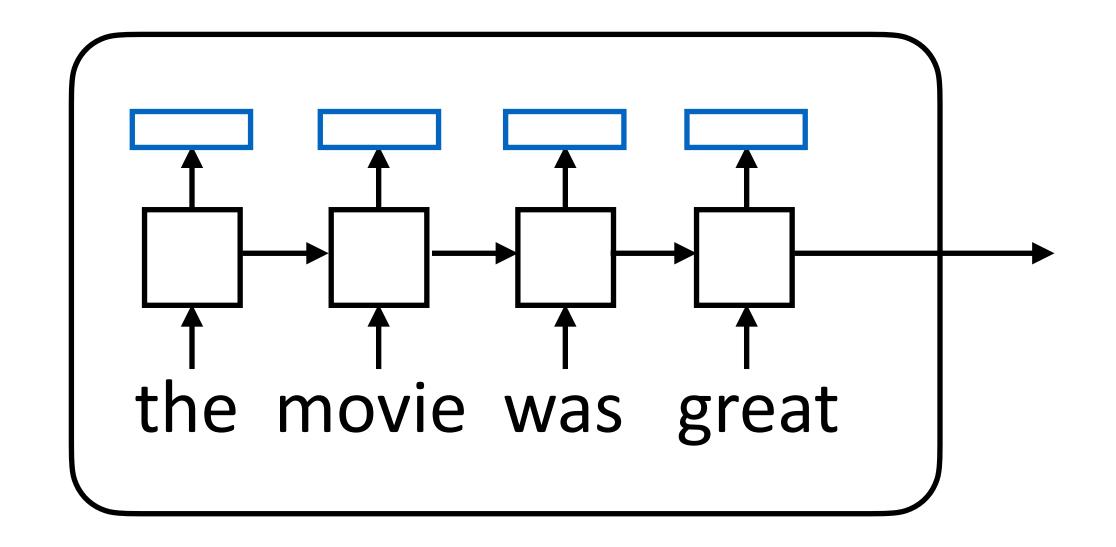


- Encoder hidden states capture contextual source word identity
- Decoder hidden states are now mostly responsible for selecting what to attend to

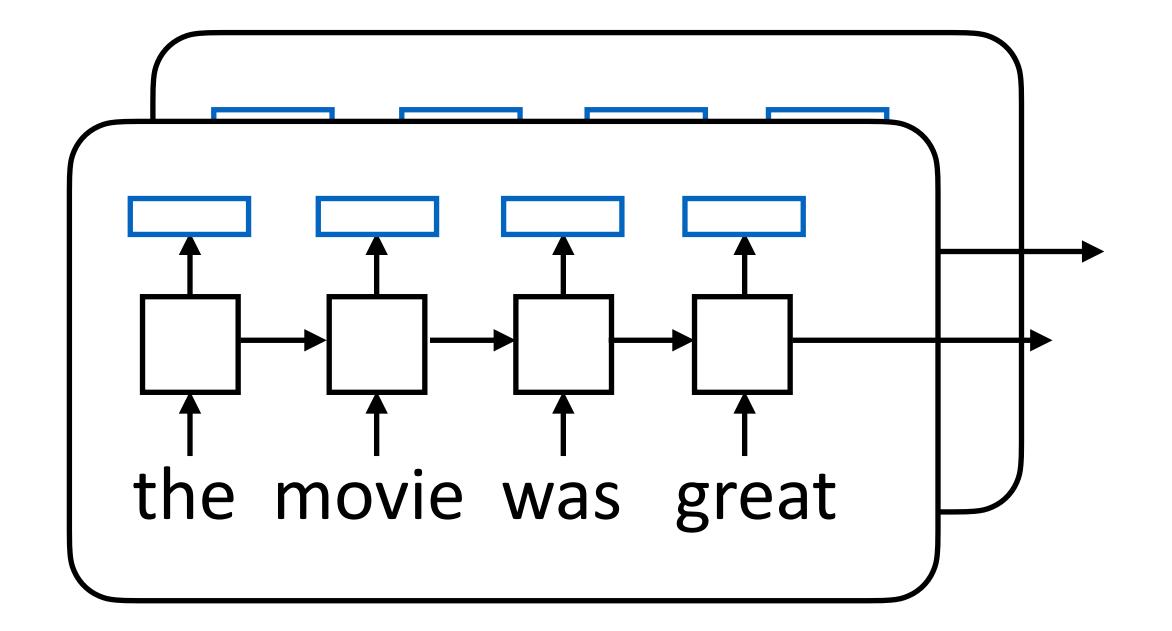


- Encoder hidden states capture contextual source word identity
- Decoder hidden states are now mostly responsible for selecting what to attend to
- Doesn't take a complex hidden state to walk monotonically through a sentence and spit out word-by-word translations

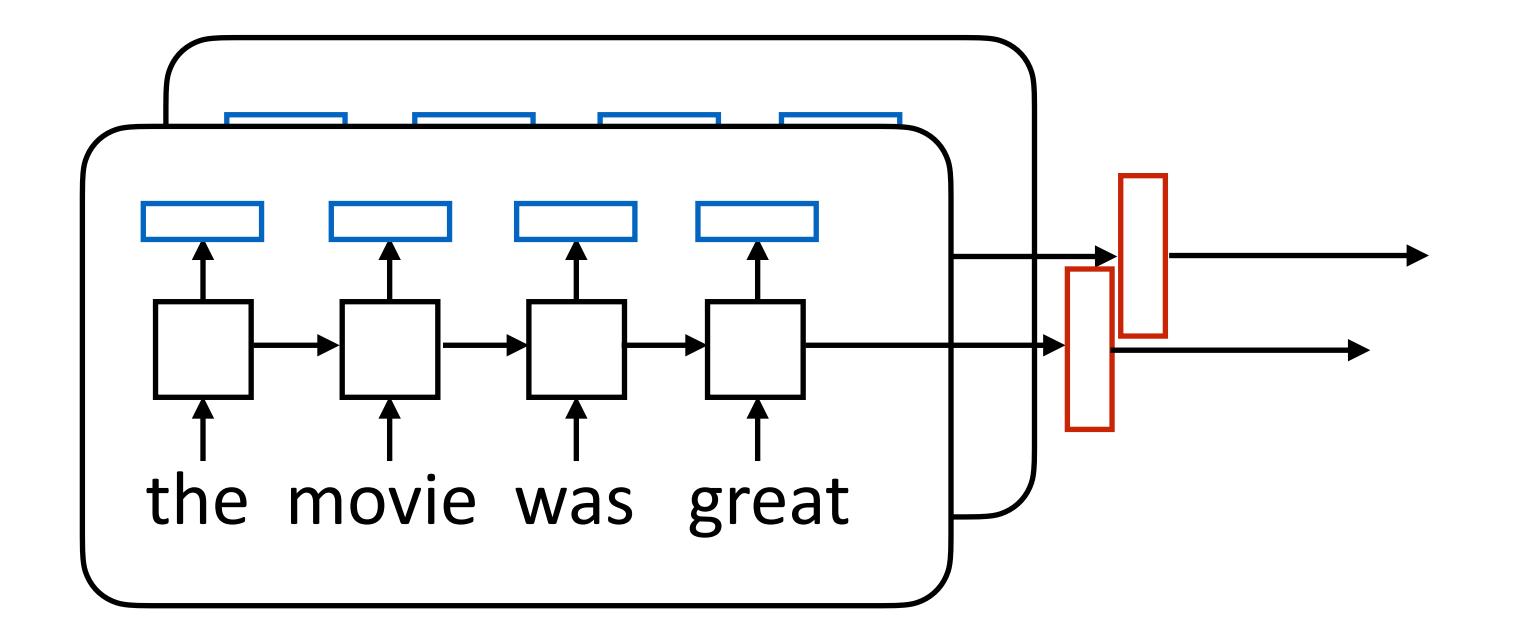




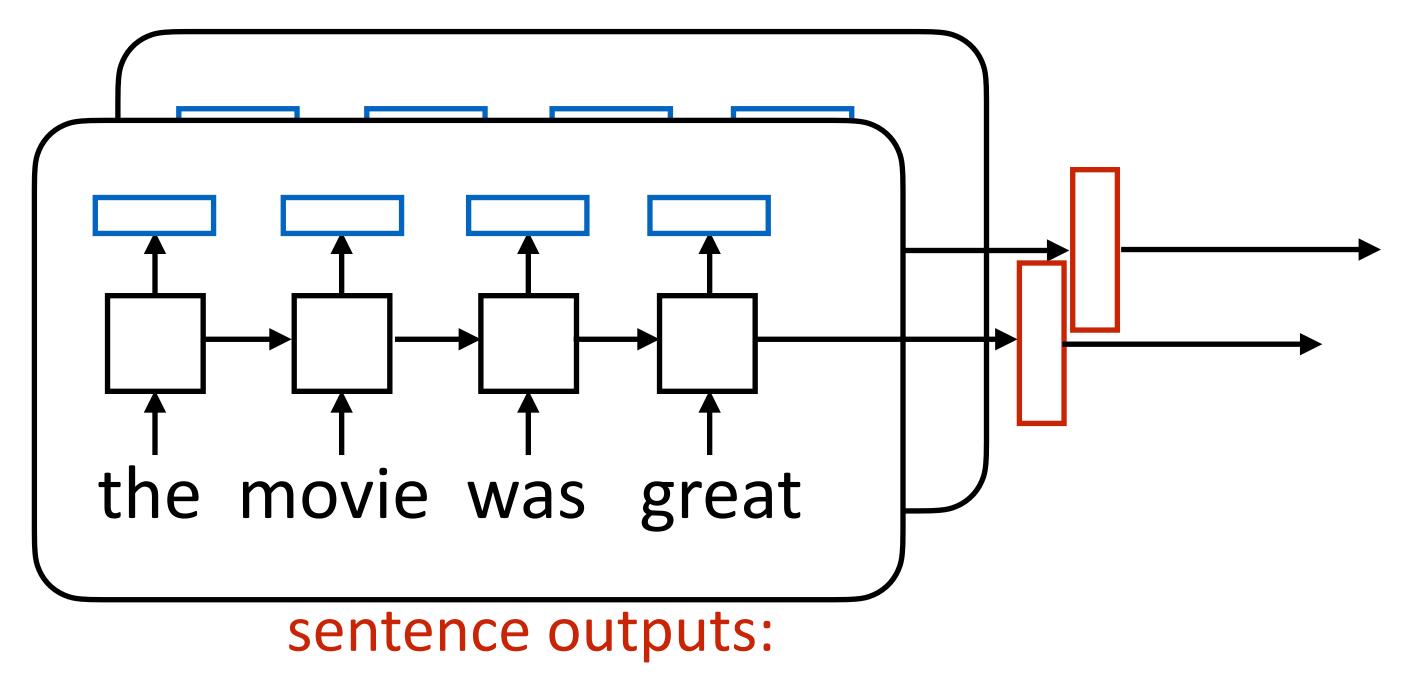
token outputs: batch size x sentence length x dimension



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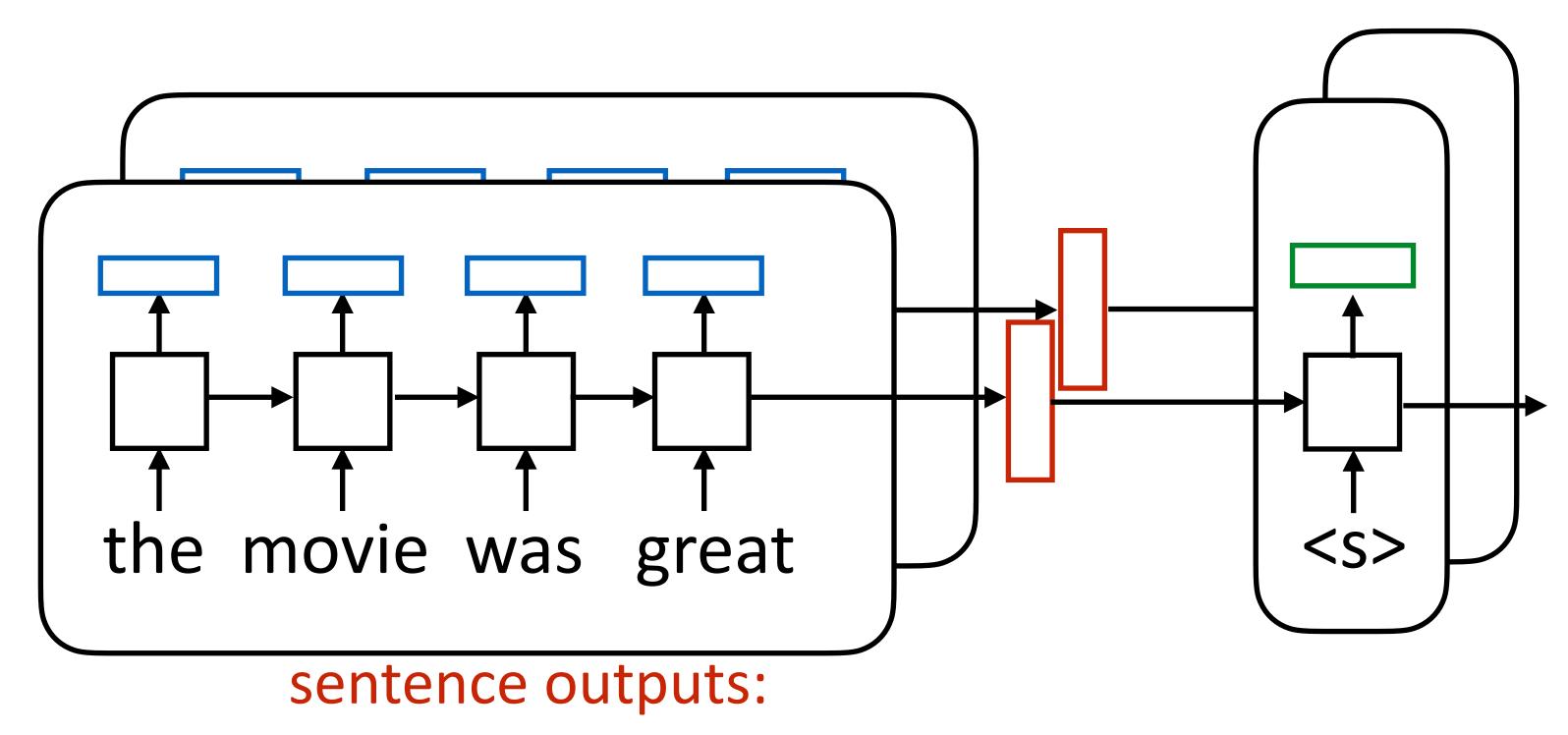


token outputs: batch size x sentence length x dimension



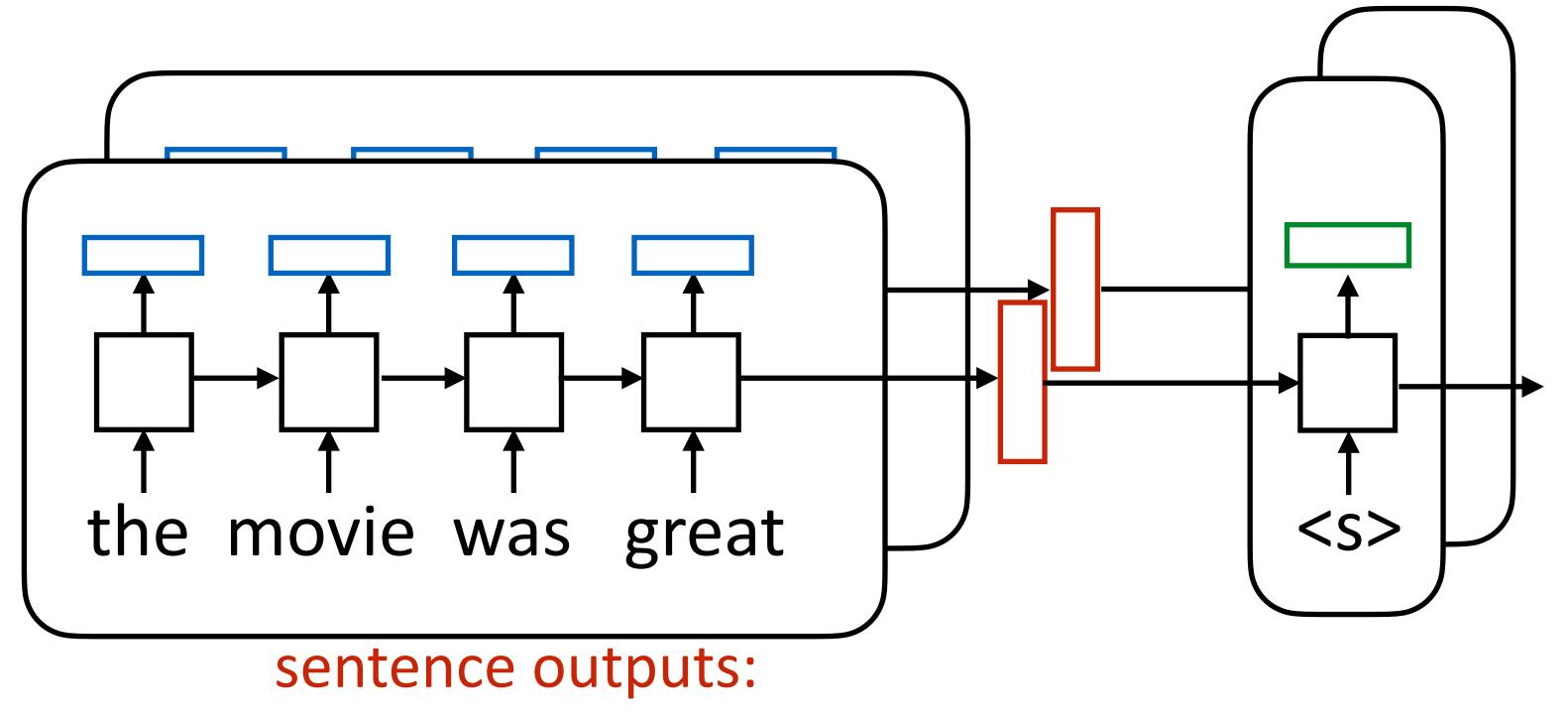
batch size x hidden size

token outputs: batch size x sentence length x dimension



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token outputs: batch size x sentence length x dimension

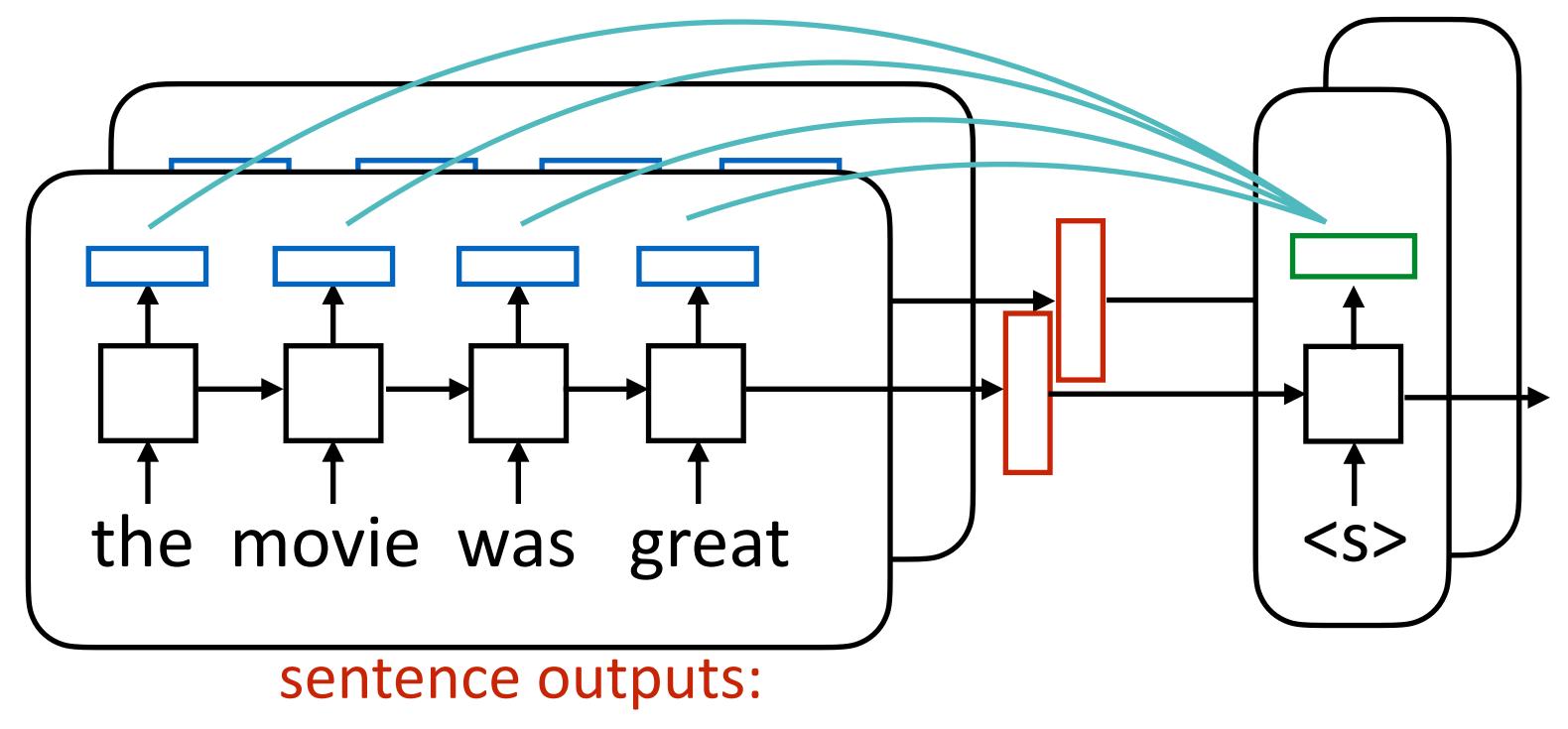


hidden state: batch size

x hidden size

batch size x hidden size

token outputs: batch size x sentence length x dimension

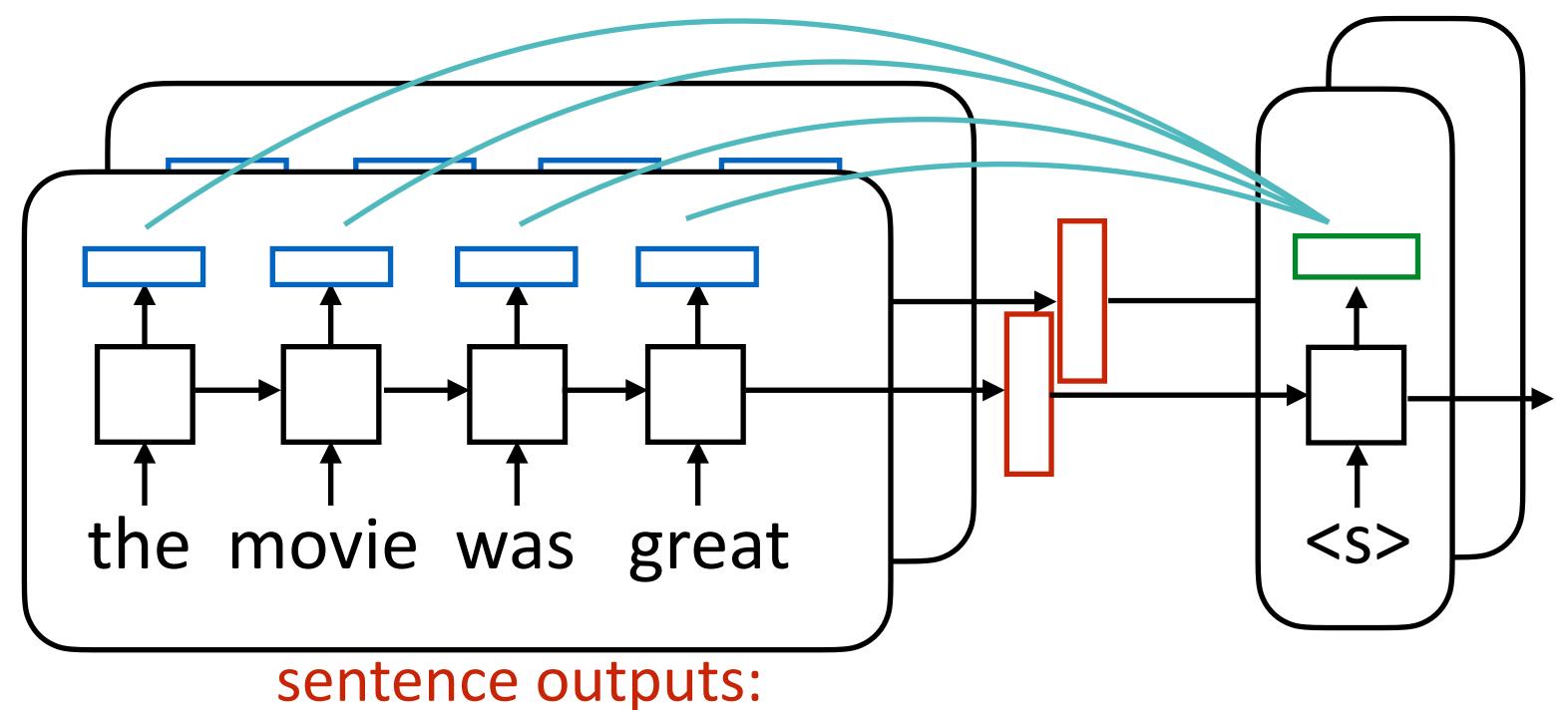


hidden state: batch size

x hidden size

batch size x hidden size

token outputs: batch size x sentence length x dimension

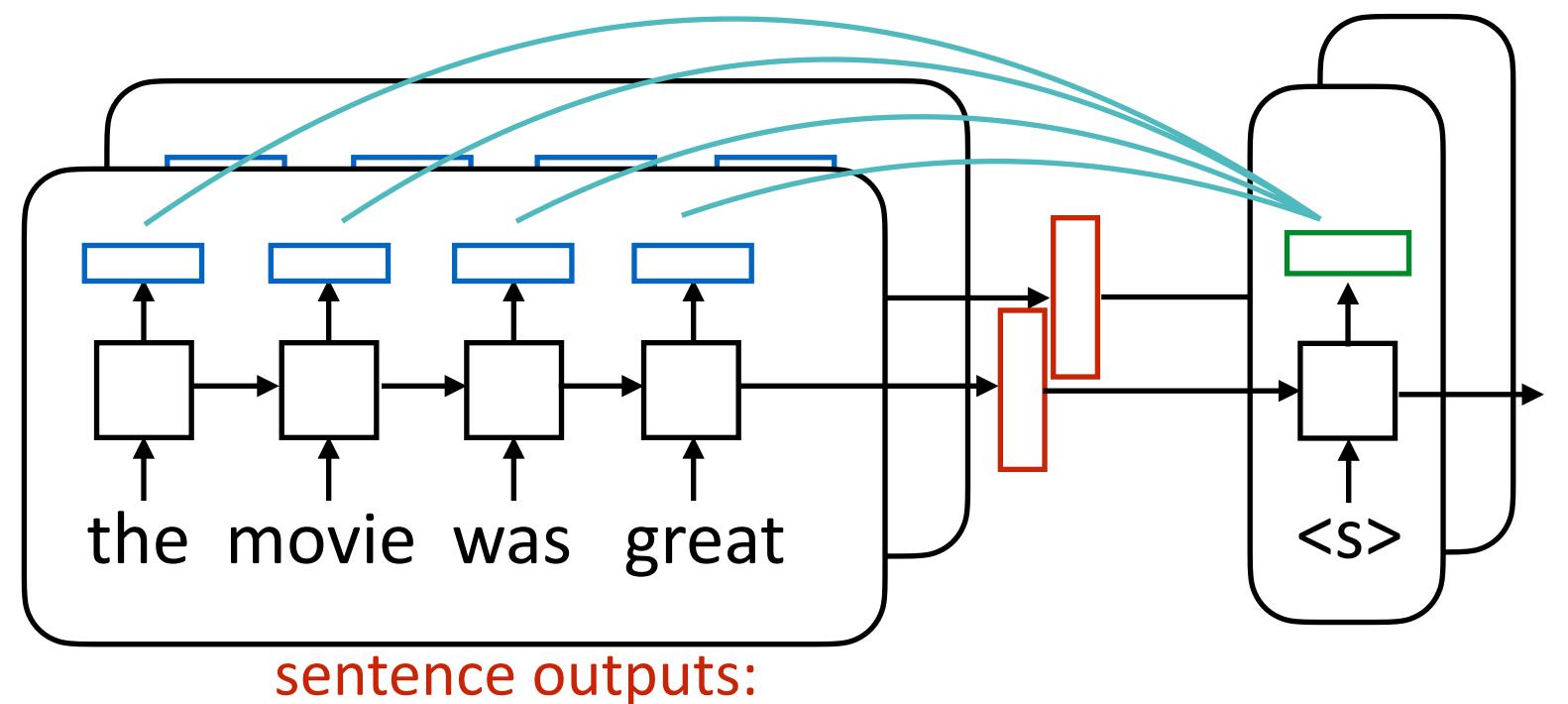


hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

batch size x hidden size

token outputs: batch size x sentence length x dimension



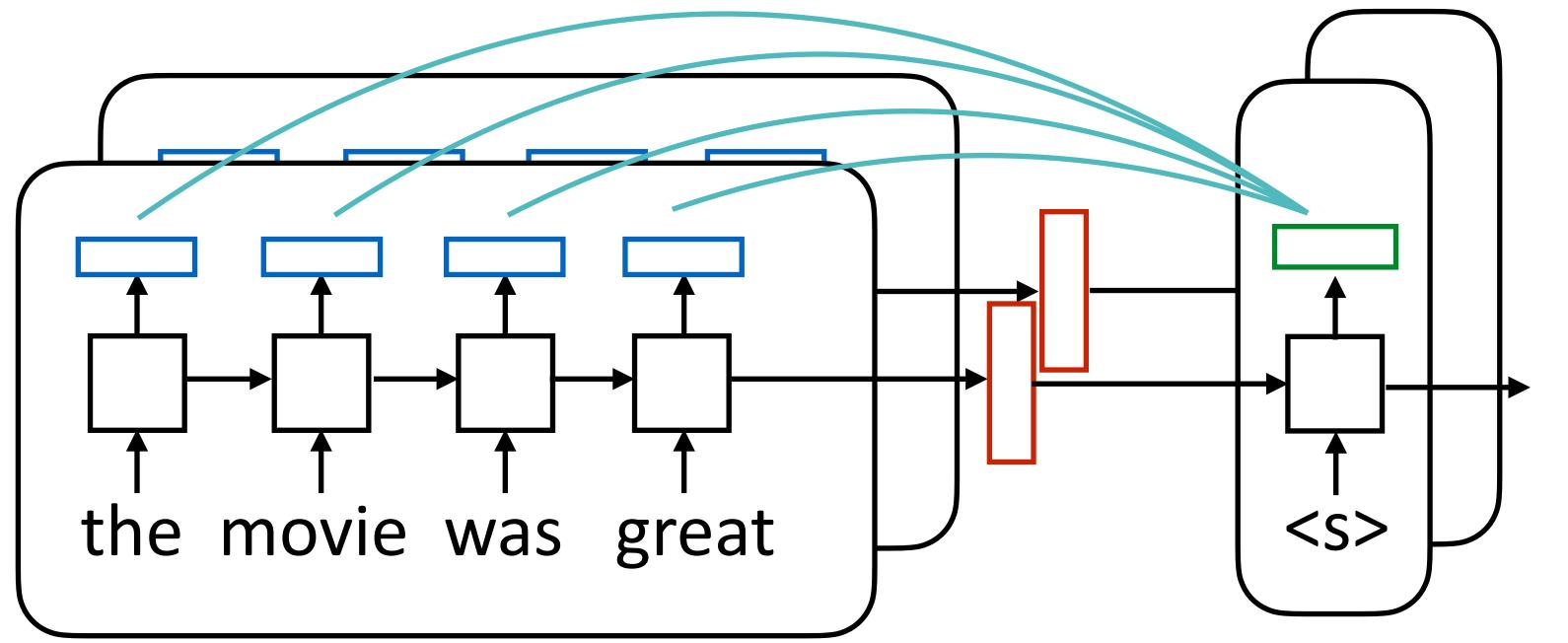
hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

batch size x hidden size

token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

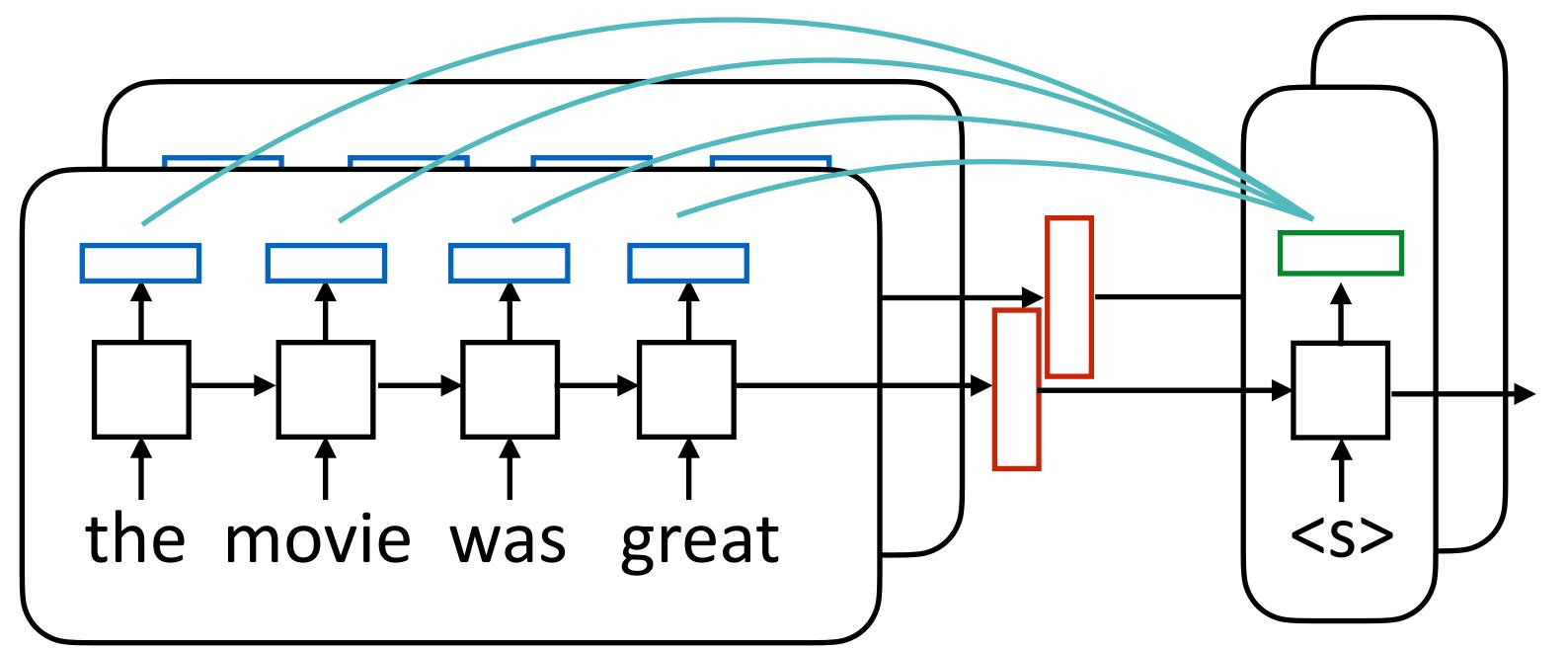
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

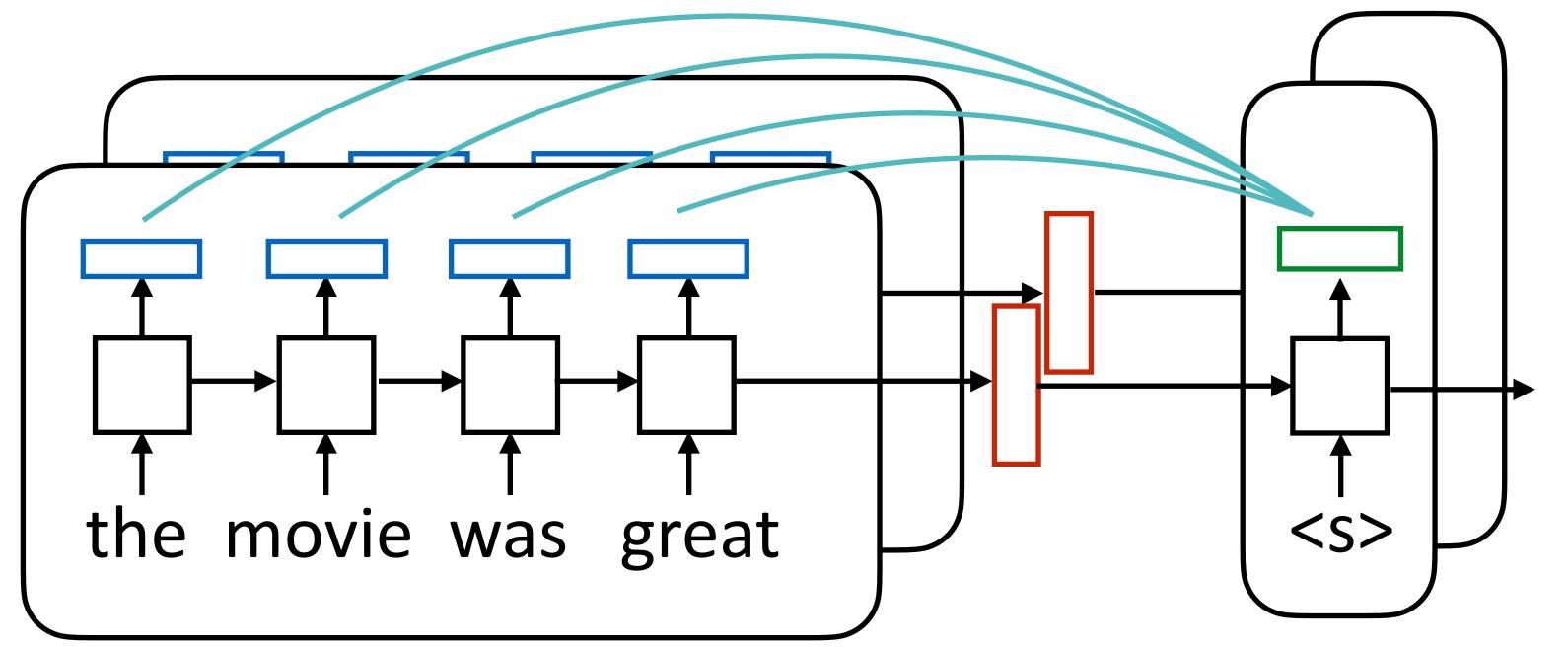
sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

c = batch size x hidden size 
$$c_i = \sum_j \alpha_{ij} h_j$$

token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

c = batch size x hidden size 
$$c_i = \sum_j \alpha_{ij} h_j$$

Make sure tensors are the right size!

 Machine translation: BLEU score of 14.0 on English-German -> 16.8 with attention, 19.0 with smarter attention (we'll come back to this later)

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Summarization/headline generation: bigram recall from 11% -> 15%

 Machine translation: BLEU score of 14.0 on English-German -> 16.8 with attention, 19.0 with smarter attention (we'll come back to this later)

Summarization/headline generation: bigram recall from 11% -> 15%

Semantic parsing: ~30% accuracy -> 70+% accuracy on Geoquery

# Copying Input/Pointers

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

```
en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

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nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin
```

Want to be able to copy named entities like Pont-de-Buis

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

Want to be able to copy named entities like Pont-de-Buis

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$
 from RNN from attention hidden state

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

fr: Le portique écotaxe de Pont-de-Buis, ... [truncated] ..., a été démonté jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

Want to be able to copy named entities like Pont-de-Buis

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$
 from RNN from attention hidden state

Still can only generate from the vocabulary

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

fr: Le portique écotaxe de Pont-de-Buis, ... [truncated]

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris

```
fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ...

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris
```

Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

```
en: The ecotax portico in Pont-de-Buis, ... [truncated] ...
fr: Le portique écotaxe de Pont-de-Buis, ... [truncated]
nn: Le unk de unk à unk, ... [truncated] ..., a été pris
```

Vocabulary contains "normal" vocab as well as

words in input. Normalizes over both of these:

the
a
...
zebra

Pont-de-Buis
ecotax

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

fr: Le portique écotaxe de Pont-de-Buis, ... [truncated]

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris

Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

$$P(y_i = w | \mathbf{x}, y_1, \dots, y_{i-1}) \propto \begin{cases} \exp W_w[c_i; \bar{h}_i] \\ h_j^\top V \bar{h}_i \end{cases}$$

the
a
...
zebra

Pont-de-Buis ecotax

if w in vocab

if  $w = x_j$ 

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

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Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

$$P(y_i = w | \mathbf{x}, y_1, \dots, y_{i-1}) \propto \begin{cases} \exp W_w[c_i; \bar{h}_i] \\ h_j^{\top} V \bar{h}_i \end{cases}$$

the
a
...
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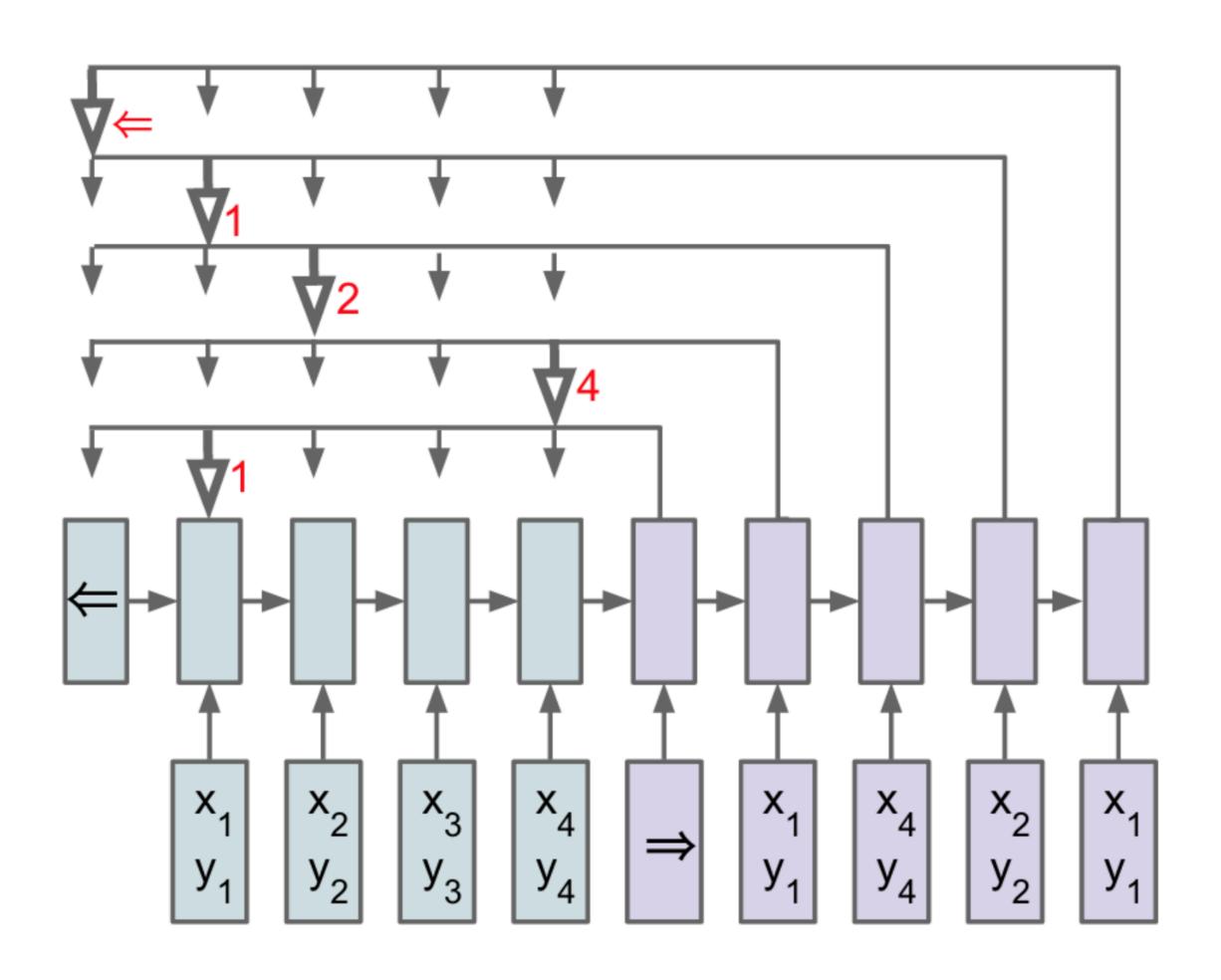
Pont-de-Buis
ecotax

if w in vocab

if  $w = x_j$ 

Bilinear function of input representation + output hidden state

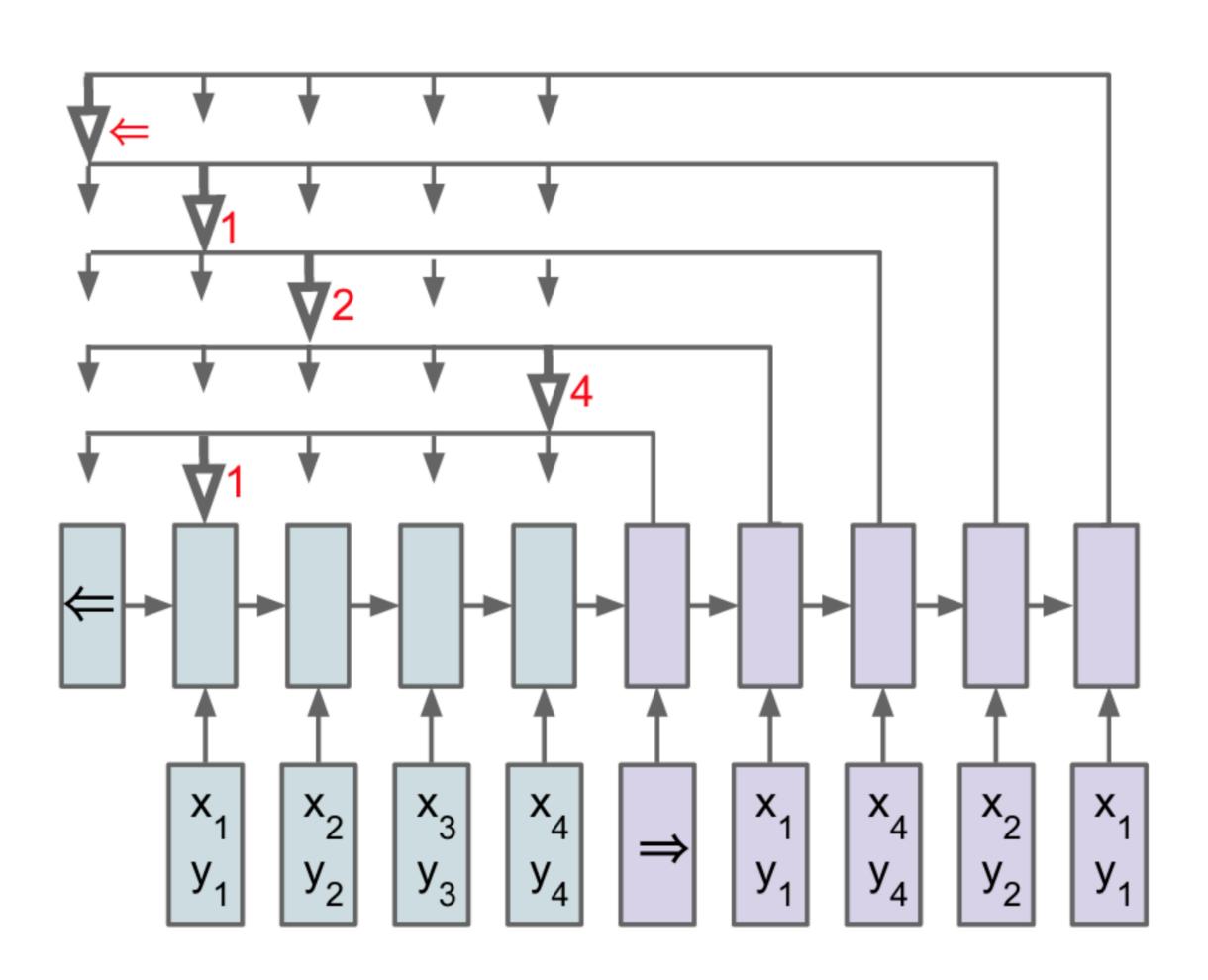
### Pointer Networks



Vinyals et al. (2015)

#### Pointer Networks

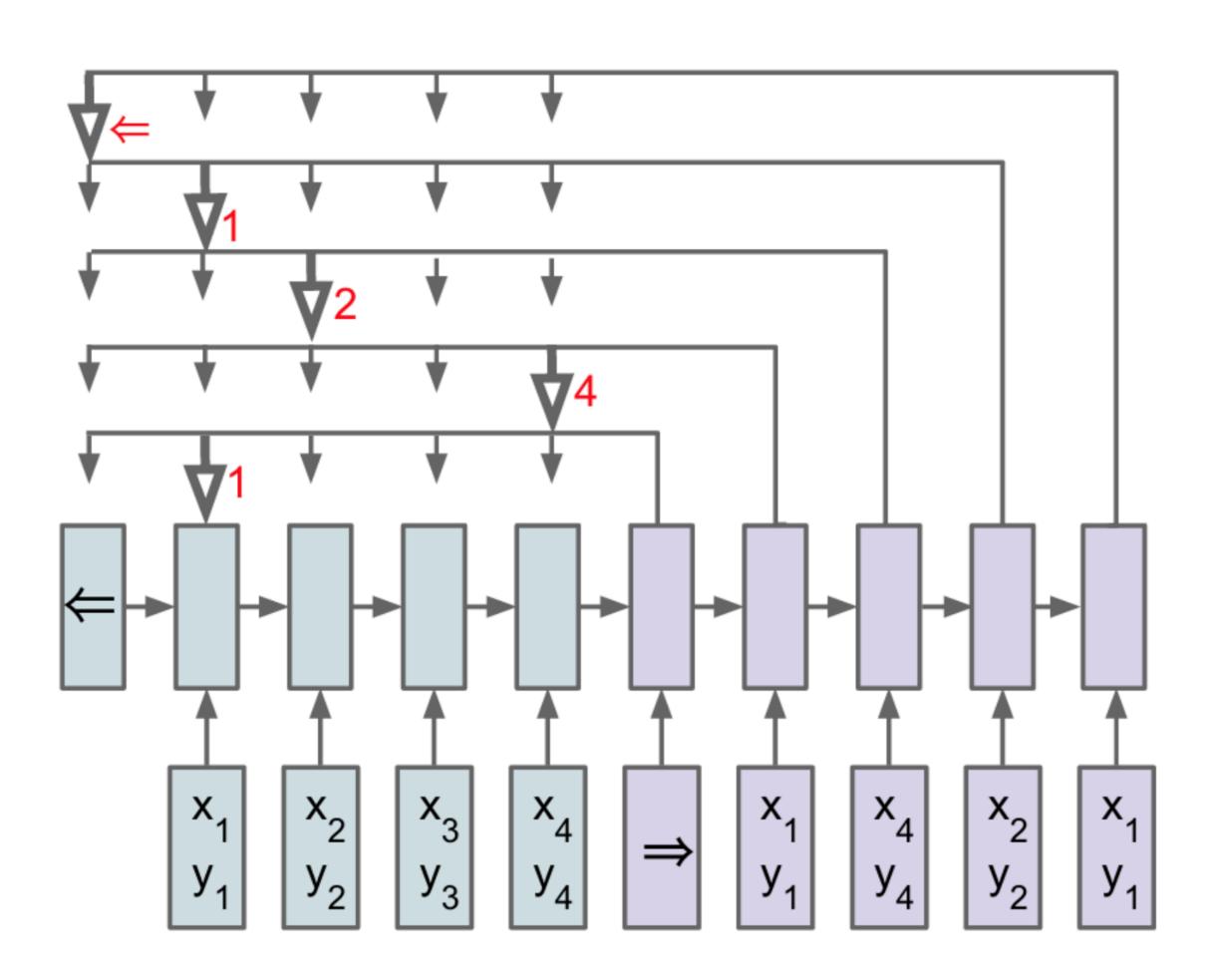
Only point to the input, don't have any notion of vocabulary



Vinyals et al. (2015)

#### Pointer Networks

- Only point to the input, don't have any notion of vocabulary
- Used for tasks including summarization and sentence ordering



Vinyals et al. (2015)

### Results

	GEO	ATIS
No Copying	74.6	69.9
With Copying	85.0	76.3

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No Copying	74.6	69.9
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 For semantic parsing, copying tokens from the input (texas) can be very useful

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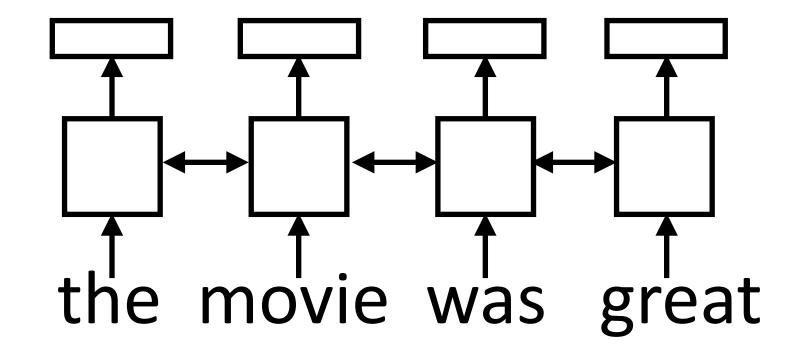
	GEO	ATIS
No Copying	74.6	69.9
With Copying	85.0	76.3

 For semantic parsing, copying tokens from the input (texas) can be very useful

In many settings, attention can roughly do the same things as copying

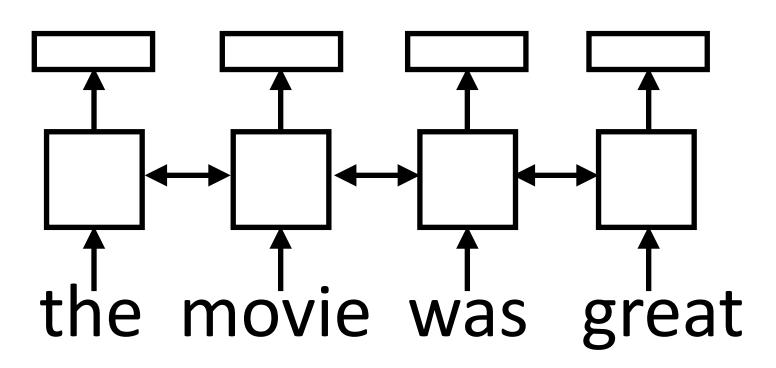
# Transformers

 LSTM abstraction: maps each vector in a sentence to a new, contextaware vector



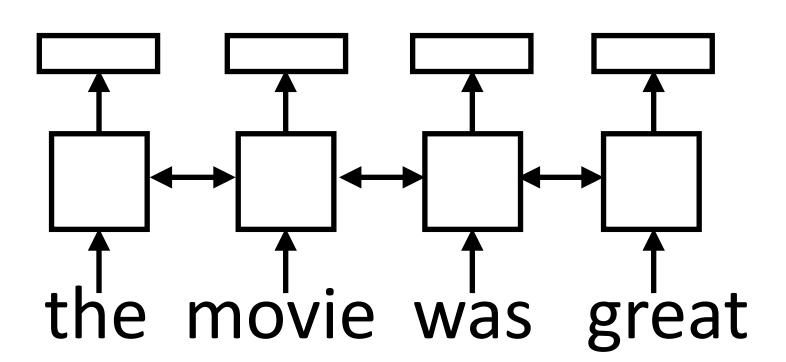
LSTM abstraction: maps each vector in a sentence to a new, contextaware vector

CNNs did something similar with filters

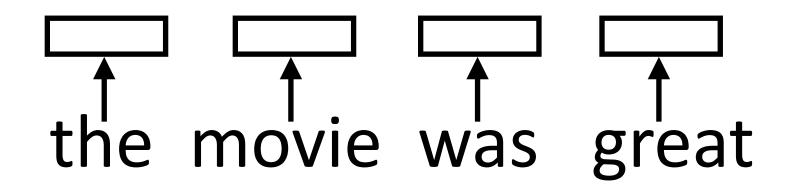


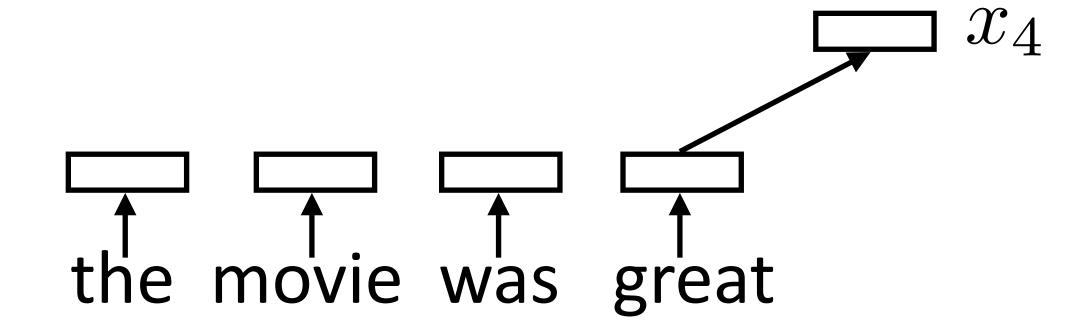
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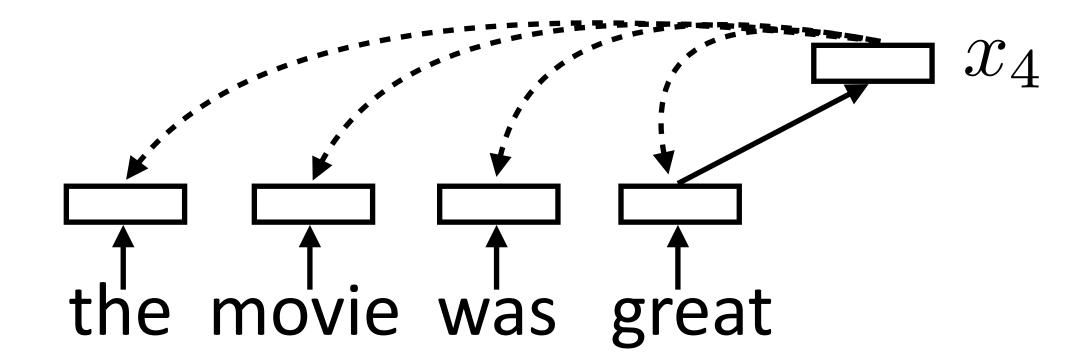
CNNs did something similar with filters

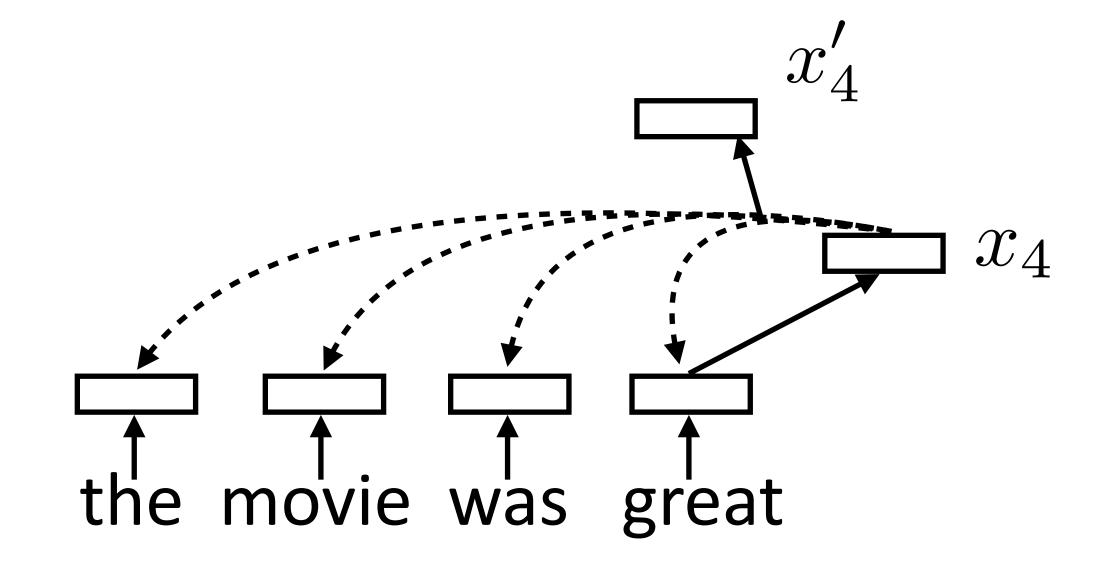


Attention can give us a third way to do this

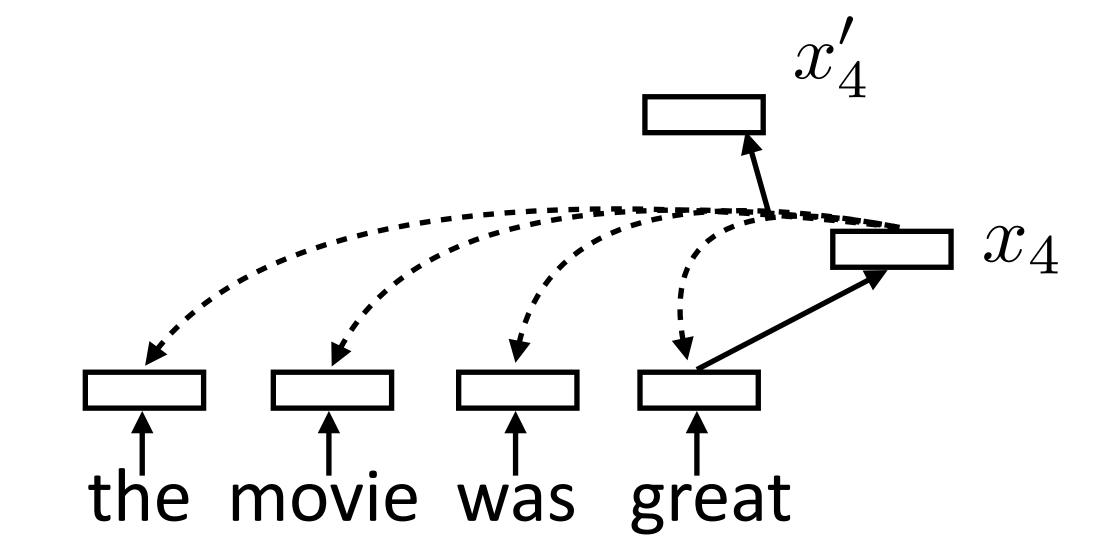




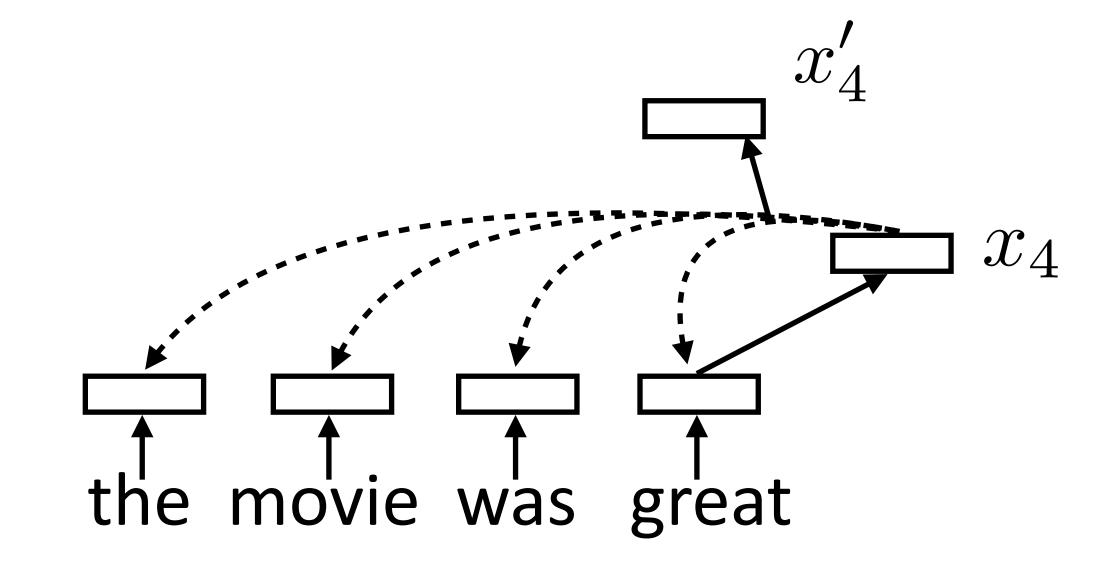




$$\alpha_{i,j} = \operatorname{softmax}(x_i^{\top} x_j)$$
 scalar

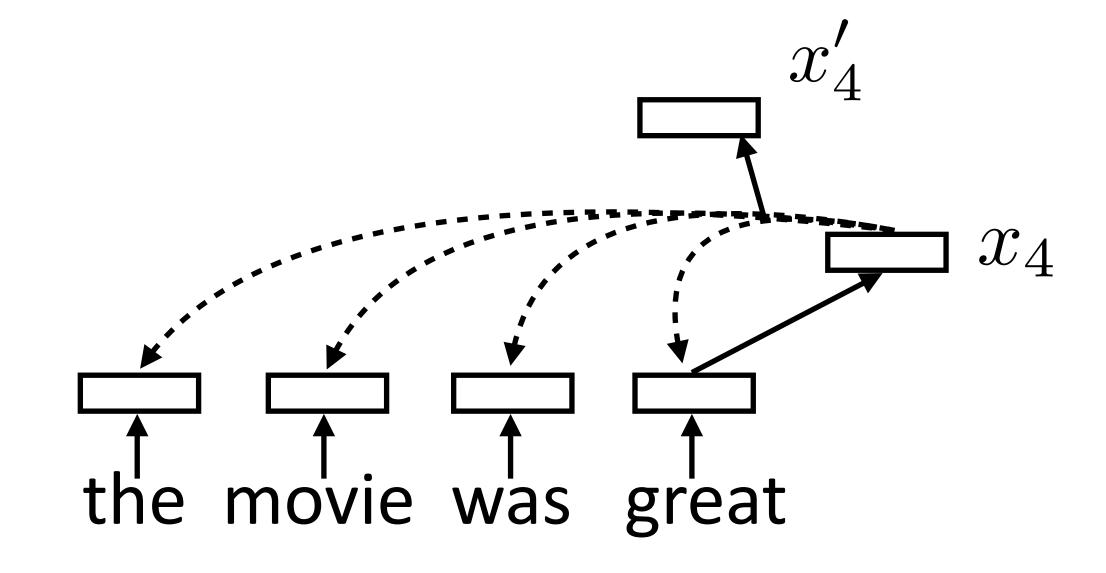


$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar  $x_i' = \sum_{j=1}^n lpha_{i,j} x_j$  vector = sum of scalar \* vector



 Each word forms a "query" which then computes attention over each word

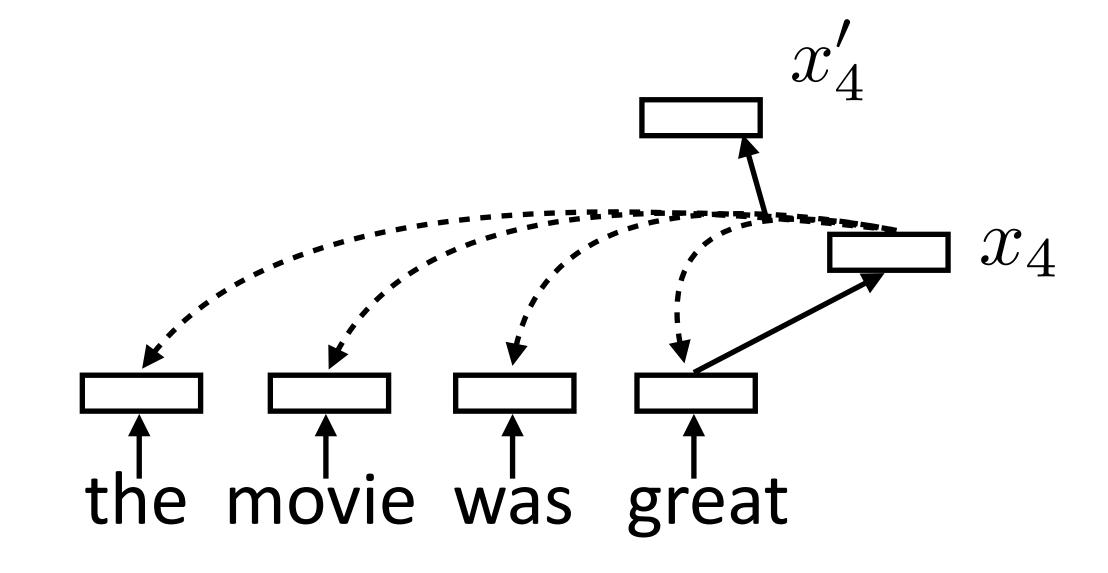
$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
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• Multiple "heads" analogous to different convolutional filters. Use parameters  $W_k$  and  $V_k$  to get different attention values + transform vectors

 Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar  $x_i' = \sum_{j=1}^n lpha_{i,j} x_j$  vector = sum of scalar \* vector

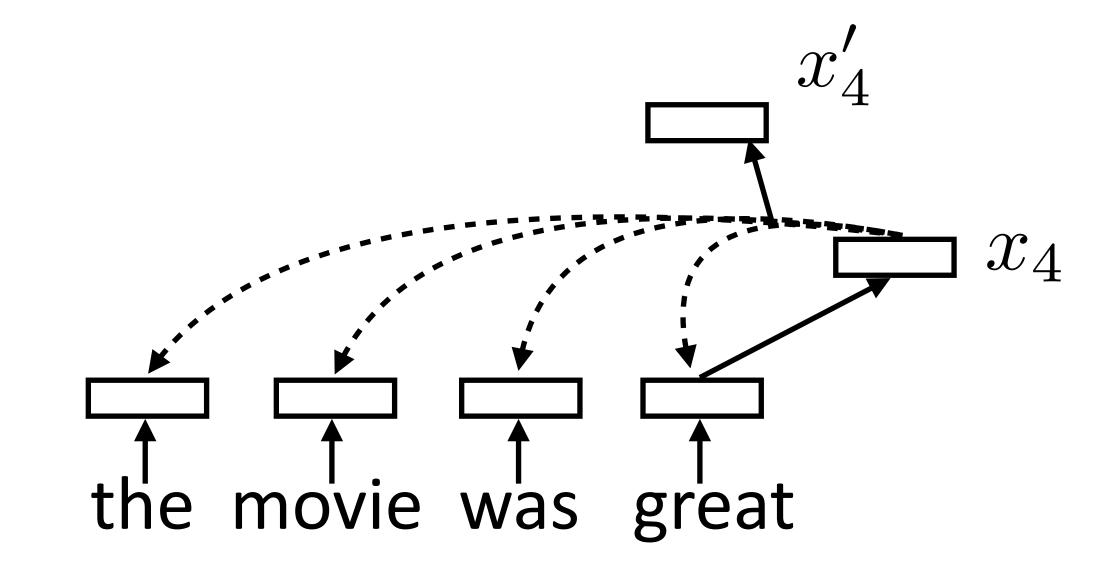


Multiple "heads" analogous to different convolutional filters. Use parameters  $W_k$  and  $V_k$  to get different attention values + transform vectors

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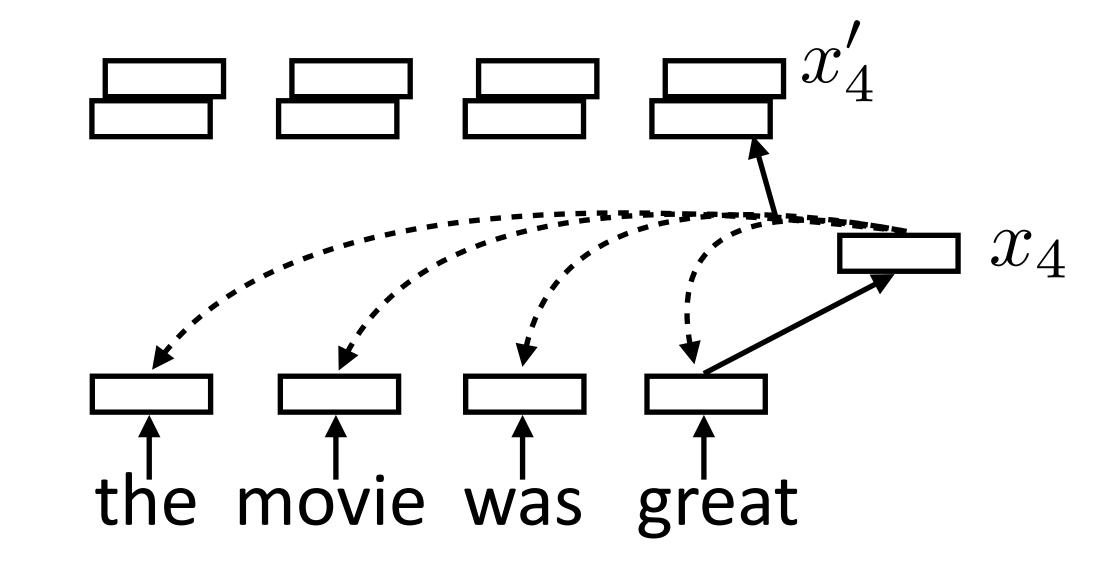
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Vaswani et al. (2017)

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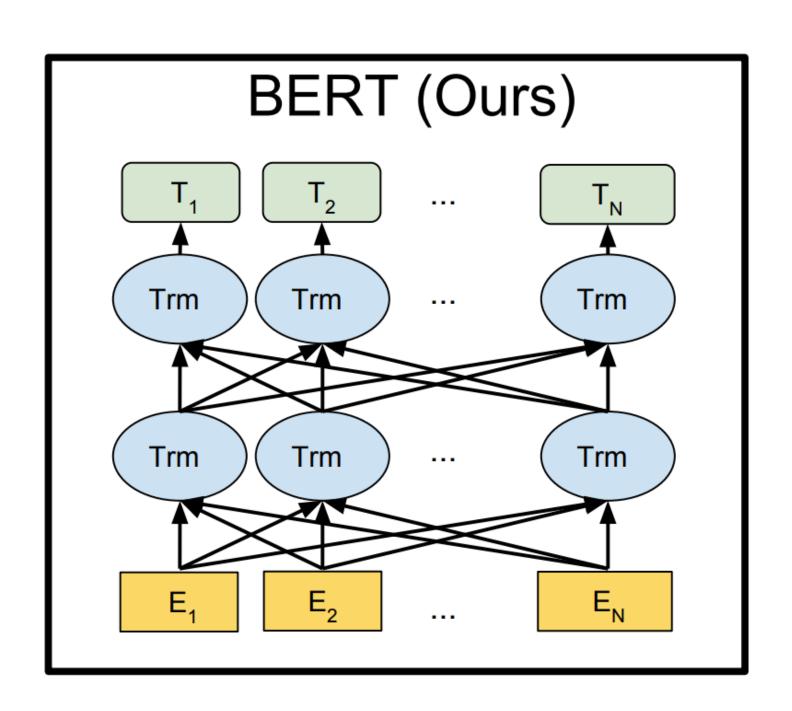
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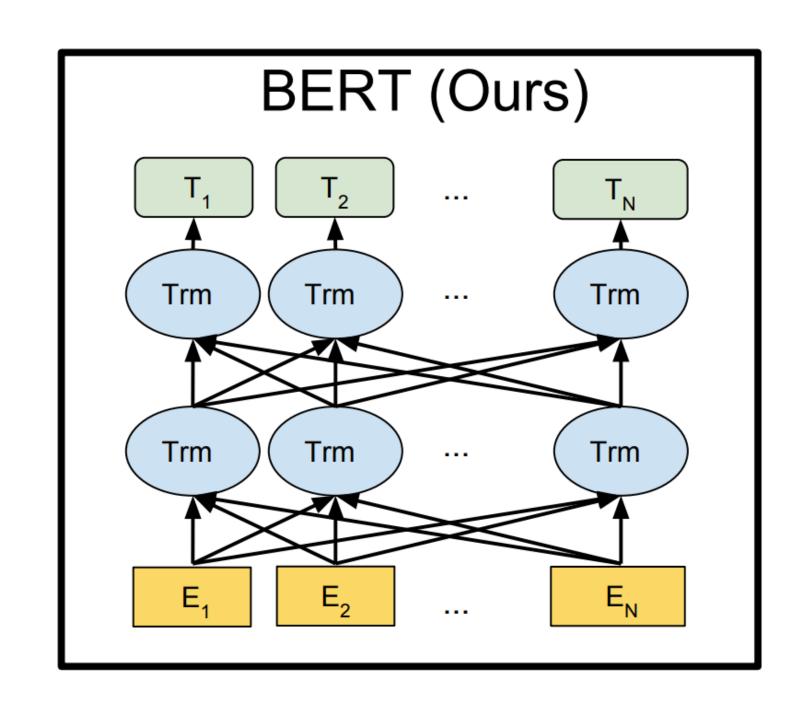
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Transformers are strong models we'll come back to later

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Information extraction, then MT, then a grab bag of things