

Lecture 16: Dialogue

Alan Ritter

(many slides from Greg Durrett)

This Lecture

- ▶ Chatbot dialogue systems
- ▶ Task-oriented dialogue
- ▶ Other dialogue applications

Chatbots

Turing Test (1950)

- ▶ Imitation game: A and B are locked in rooms and answer C's questions via typewriter. Both are trying to act like B

Turing Test (1950)

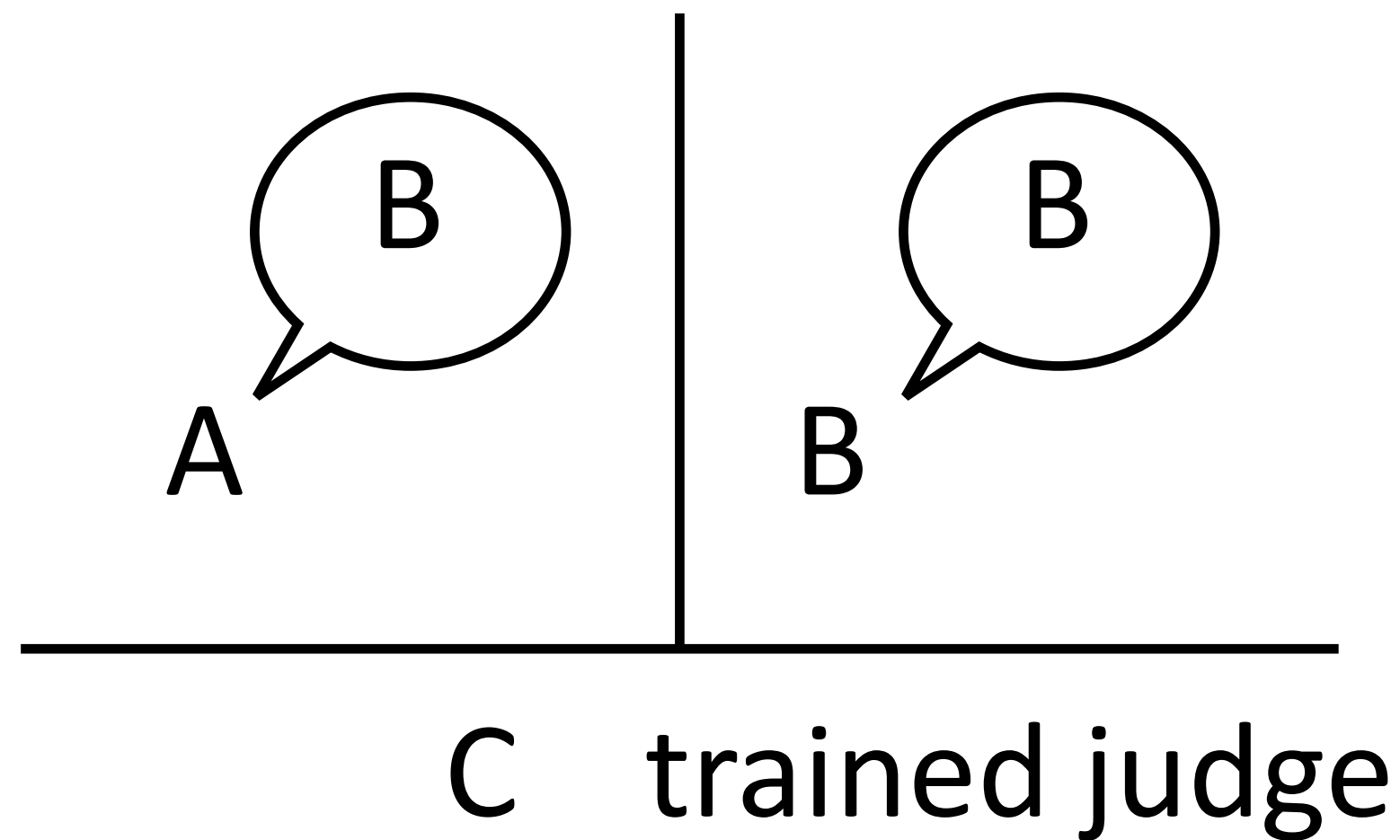
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Original Interpretation:

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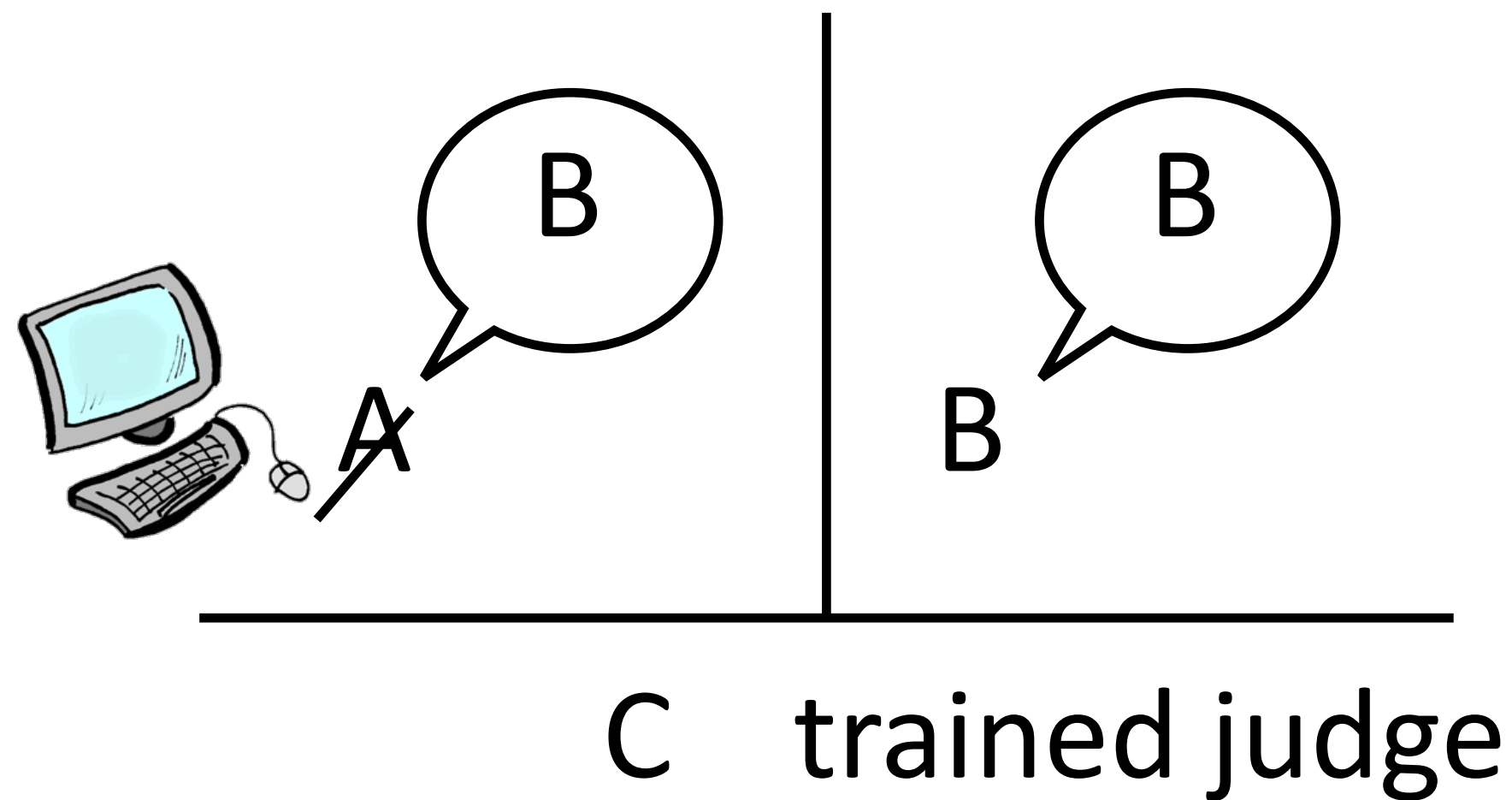
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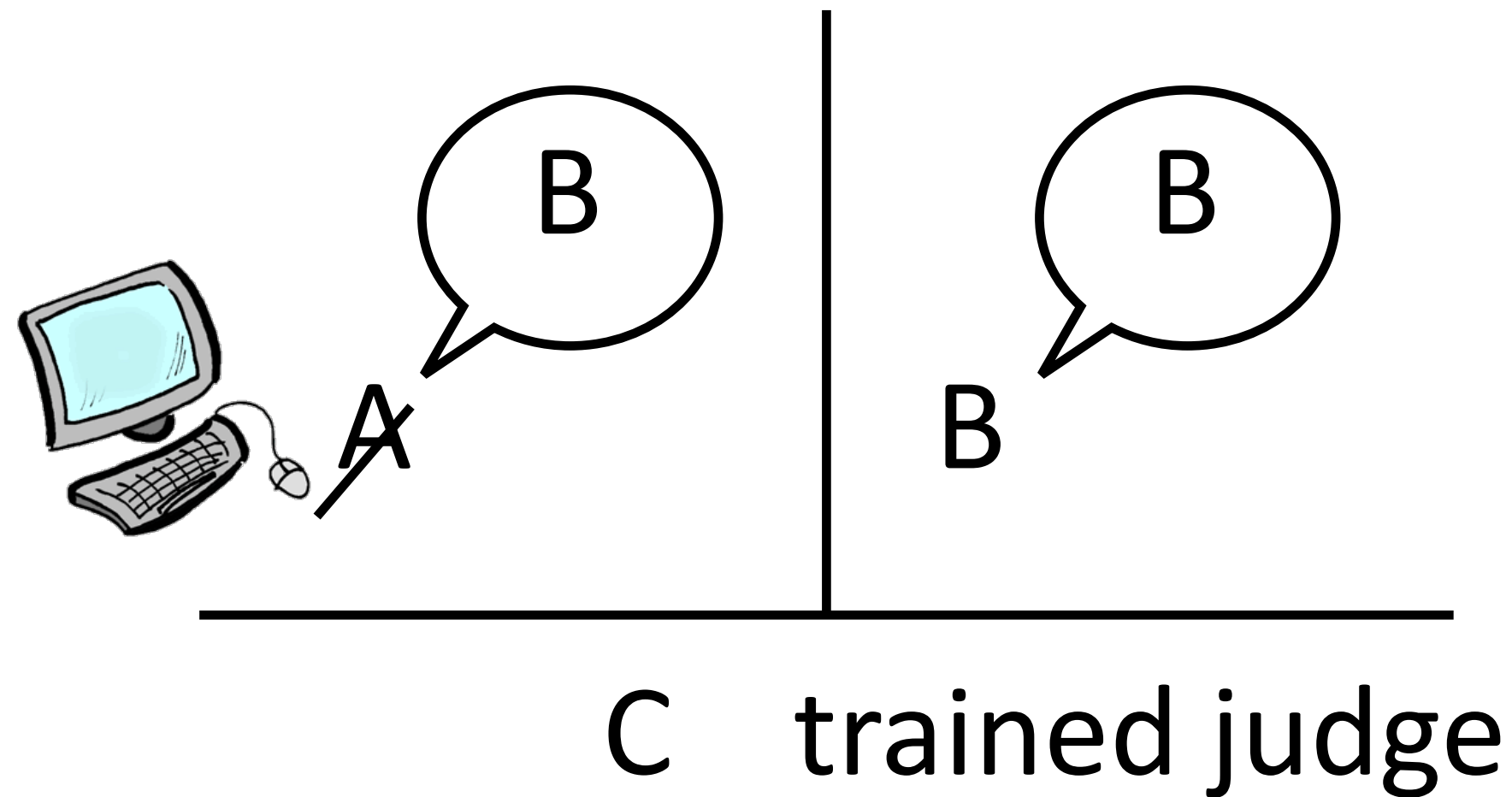
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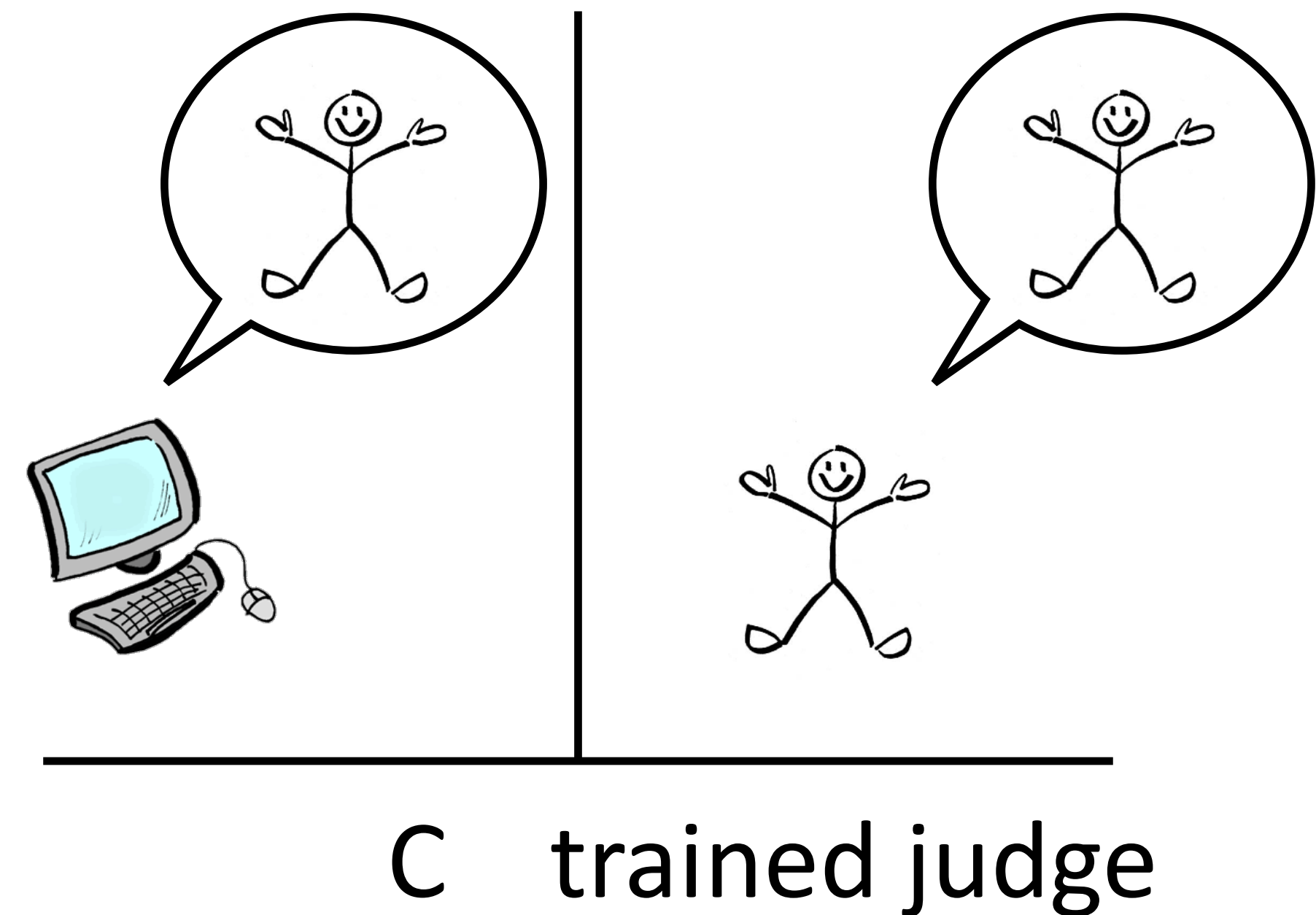
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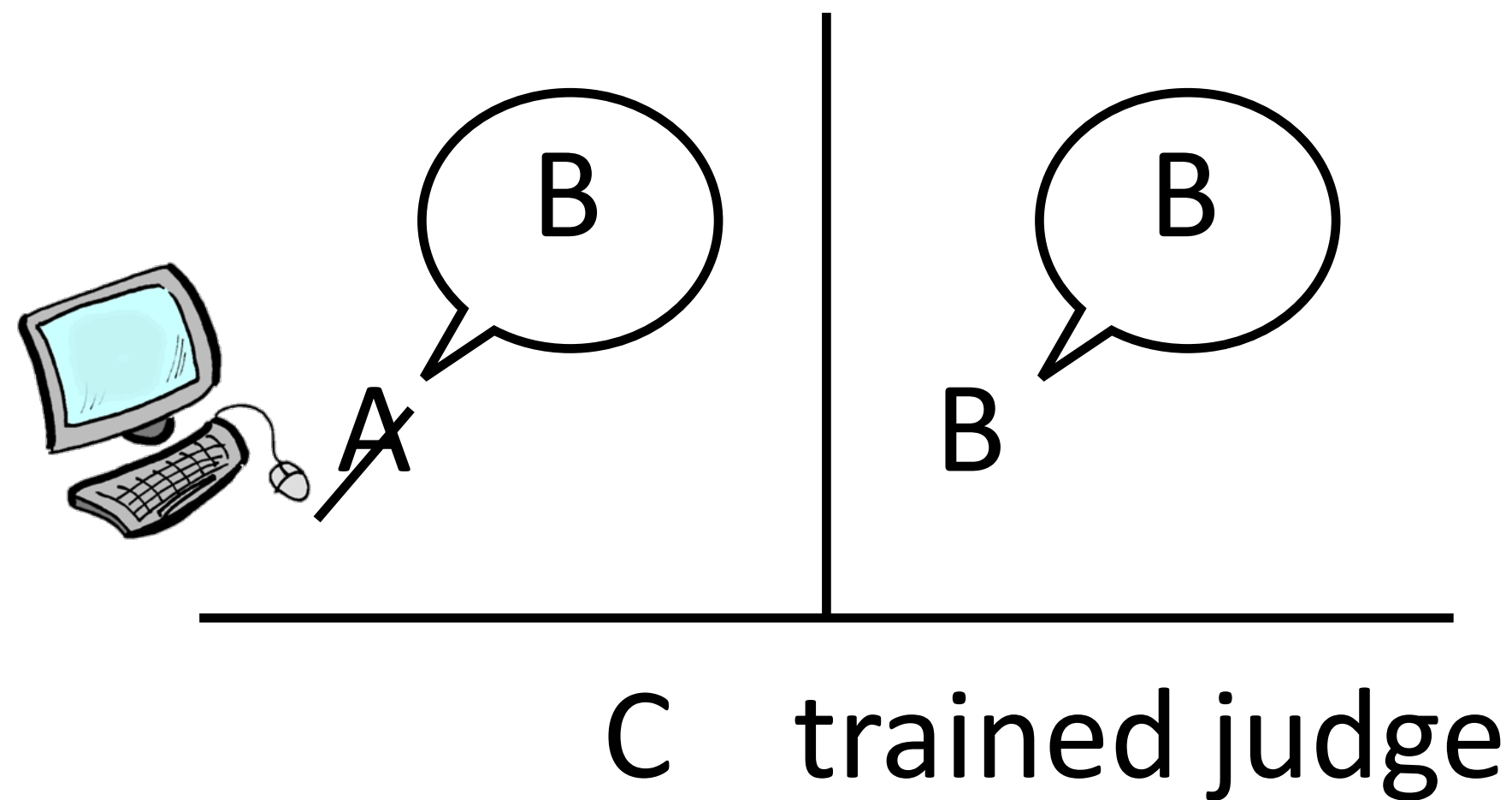
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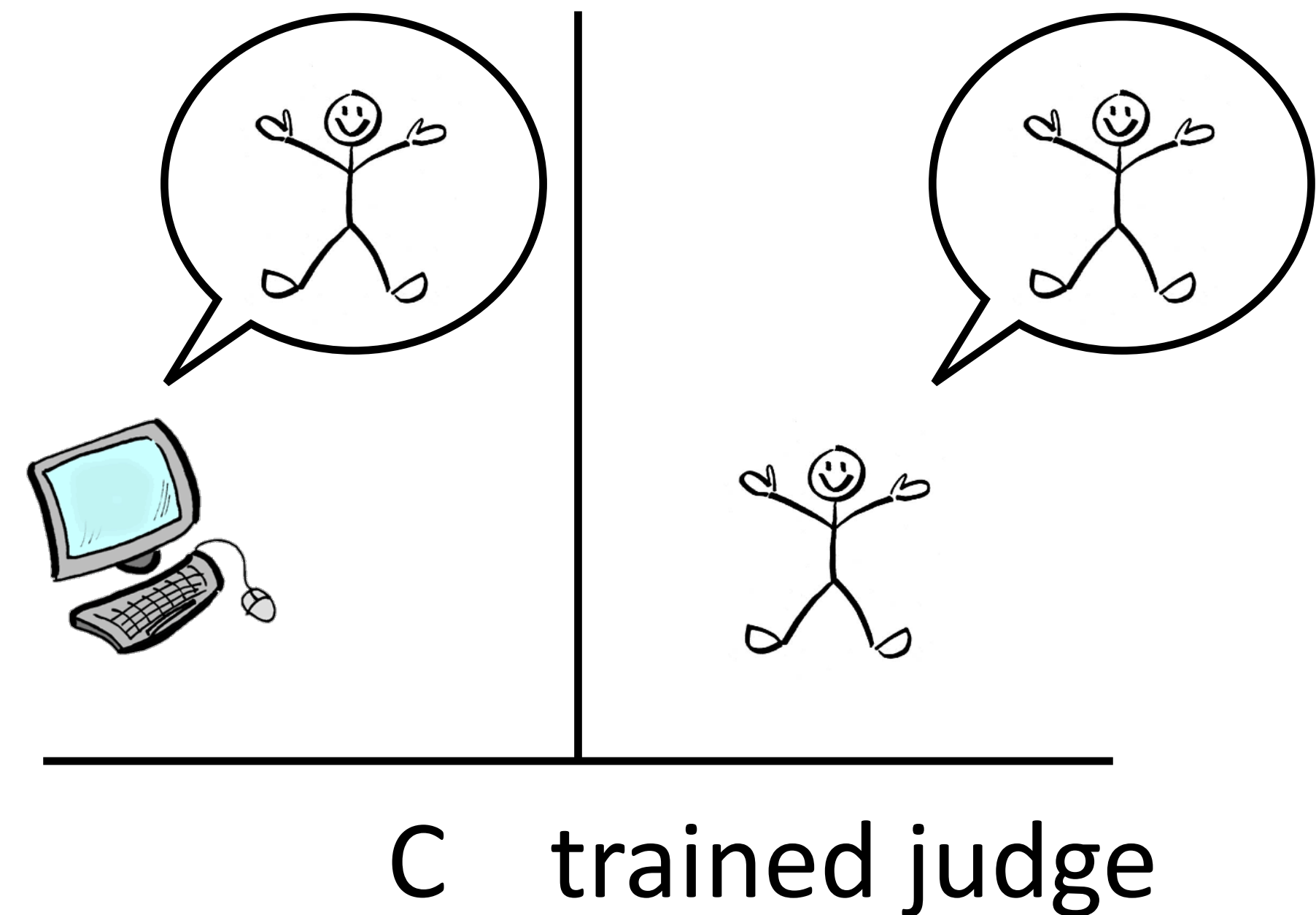
Turing Test (1950)

- ▶ Imitation game: A and B are locked in rooms and answer C's questions via typewriter. Both are trying to act like B

Original Interpretation:



Standard Interpretation:



- ▶ The test is **not** “does this computer seem human-like to random people with a web browser?”

ELIZA

- ▶ Created 1964-1966 at MIT, heavily scripted
- ▶ DOCTOR script was most successful:
repeats user's input,
asks inane questions

You are like my father in some ways.
WHAT RESEMBLANCE DO YOU SEE
You are not very aggressive but I think you don't want me to
notice that.
WHAT MAKES YOU THINK I AM NOT VERY AGGRESSIVE
You don't argue with me.
WHY DO YOU THINK I DON'T ARGUE WITH YOU
You are afraid of me.
DOES IT PLEASE YOU TO BELIEVE I AM AFRAID OF YOU
My father is afraid of everybody.
WHAT ELSE COMES TO MIND WHEN YOU THINK OF
YOUR FATHER
Bullies.
DOES THAT HAVE ANYTHING TO DO WITH THE FACT
THAT YOUR BOYFRIEND MADE YOU COME HERE

ELIZA

- ▶ Identify keyword, identify context, apply transformation rule

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(.*) you (.*) me



Why do you think I \$2 you?

You don't argue with me.

WHY DO YOU THINK I DON'T ARGUE WITH YOU

ELIZA

- Identify keyword, identify context, apply transformation rule

(.*) you (.*) me



Why do you think I \$2 you?

My (.) (.*)



What else comes to mind when you think of your \$1?

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WHY DO YOU THINK I DON'T ARGUE WITH YOU

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What else comes to mind when you think of your \$1?

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WHY DO YOU THINK I DON'T ARGUE WITH YOU

- Very little need to generate new content, but can only have one type of conversation

Cleverbot

- ▶ Carpenter (1986), online system built in 2006

User: Hi there cleverbot.

Cleverbot: How are you?

User: Doing okay. what plans do you have today?

Cleverbot: I do revision.

User: What are you revising for?

Cleverbot: Maths history.

User: How old are you?

Cleverbot: That's none of your business.

User: What is maths history?

Cleverbot: I don't know, farming maybe

Cleverbot

- ▶ Carpenter (1986), online system built in 2006
- ▶ “Nearest neighbors”: human says statement A, find a human response in human-human or human-computer chats to statement A, repeat that

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Cleverbot

- ▶ Carpenter (1986), online system built in 2006
- ▶ “Nearest neighbors”: human says statement A, find a human response in human-human or human-computer chats to statement A, repeat that
- ▶ Can often give sensible answers, but the bot doesn't really impose high-level discourse structure

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Cleverbot: How are you?

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Data-Driven Approaches

- ▶ Can treat as a machine translation problem: “translate” from current utterance to next one

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u	■
get	.	■	.	.	.
out	.	.	■	.	.
?
	i	get	off	at	5

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- ▶ Filter the data, use statistical measures to prune extracted phrases to get better performance

Data-Driven Approaches

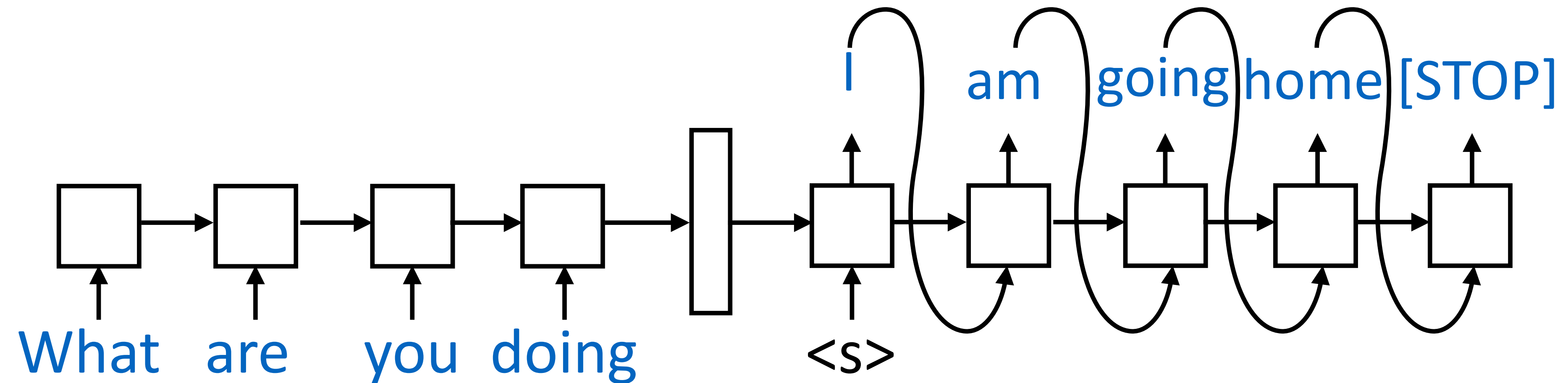
Status	MT-CHAT	MT-BASELINE	HUMAN
riding to the arc on my bike. Hopefully I won't melt into the pavement.	good luck on your bike ride arc	i ca n't wait for it ! i 'm arc is a year .	make sure to ride in the middle of the street
I really hate watching movies in the living room.	me too , what movies are you doing in room ?	me too ! i love those ?) in my mouth .	Why? no comfy spots or just too open to public
Hello Did I miss David Getting his teen choice award? Or did they not show it :(hello miss ? * he was getting his will probably win .	it 's just like what you just did the exact date hello ?	nothing yet...he presented though he is so darn cute
			Ritter et al. (2011)

Data-Driven Approaches

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I really hate watching movies in the living room.	http://kb1.cse.ohio-state.edu:8010/cgi-bin/mt_chat3.py		
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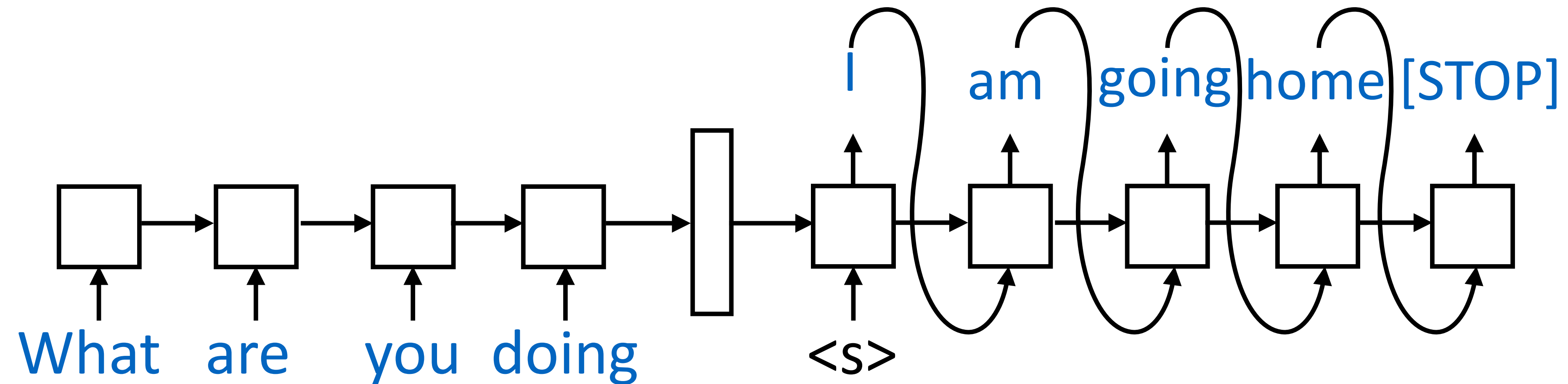
Ritter et al. (2011)

Seq2seq models



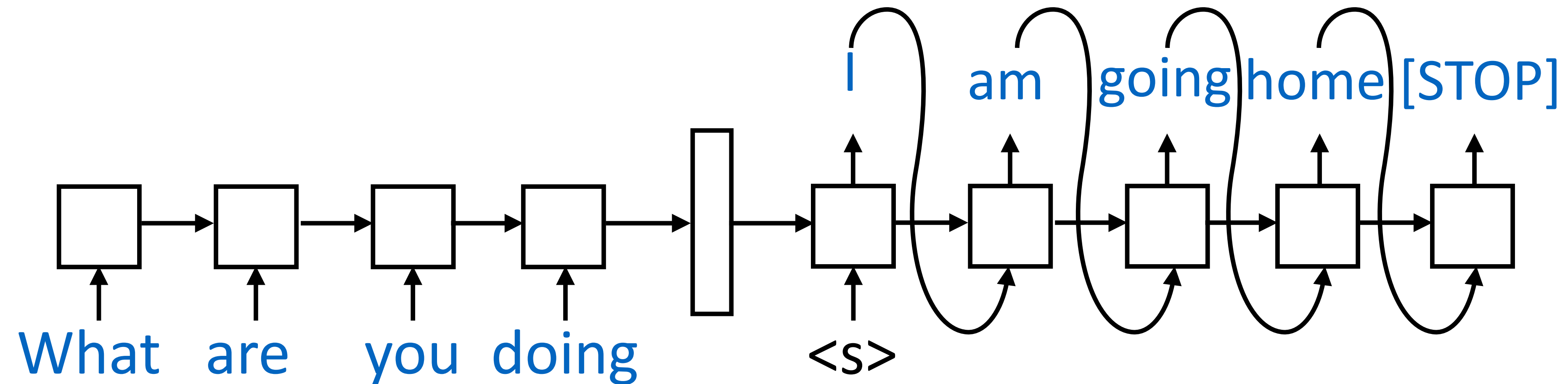
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- ▶ Why might this model perform poorly? What might it be bad at?

Seq2seq models



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- ▶ Why might this model perform poorly? What might it be bad at?

- ▶ Hard to evaluate:

System	BLEU
RANDOM	0.33
MT	3.21
HUMAN	6.08

Lack of Diversity

- ▶ Training to maximize likelihood gives a system that prefers common responses:

Input: What are you doing?	
-0.86 I don't know.	-1.09 Get out of here.
-1.03 I don't know!	-1.09 I'm going home.
-1.06 Nothing.	-1.09 Oh my god!
-1.09 Get out of the way.	-1.10 I'm talking to you.

Input: what is your name?	
-0.91 I don't know.	...
-0.92 I don't know!	-1.55 My name is Robert.
-0.92 I don't know, sir.	-1.58 My name is John.
-0.97 Oh, my god!	-1.59 My name's John.

Input: How old are you?	
-0.79 I don't know.	...
-1.06 I'm fine.	-1.64 Twenty-five.
-1.17 I'm all right.	-1.66 Five.
-1.17 I'm not sure.	-1.71 Eight.

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- ▶ Solution: mutual information criterion; response R should be predictive of user utterance U as well

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- ▶ Standard conditional likelihood: $\log P(R|U)$
- ▶ Mutual information: $\log \frac{P(R, U)}{P(R)P(U)} = \log P(R|U) - \log P(R)$
- ▶ $\log P(R)$ can reflect probabilities under a language model

Lack of Diversity

message	SEQ2SEQ	MMI $P(T S) - \lambda P(T)$
I did not get the report from an mi-6 agent.	I don't think that's a good idea.	You did the right thing, did you?
You haven't been given an assignment in this case.	I don't know what you are talking about.	I've been looking all over for you.
I'm losing my grip.	I don't know what you are talking about.	I'm the only one in the world.
I am ready to help.	Come on, come on	I have something we need to talk about.
You programmed me to gather intelligence. That's all I've ever done.	You know that, don't you?	You do have fun, don't you?
I mean, we'd have to talk to him.	I mean, I don't know.	I mean, he's a good guy.
This has nothing to do with him.	You don't know anything about him, do you?	I know him as much.
How come you never say it?	I don't know	Because I don't want to hurt you

- OpenSubtitles data

Future of chatbots

- ▶ How deep can a conversation be without more semantic grounding? Basic facts aren't even consistent...

<i>message</i>	Where do you live now?
<i>response</i>	I live in Los Angeles.
<i>message</i>	In which city do you live now?
<i>response</i>	I live in Madrid.
<i>message</i>	In which country do you live now?
<i>response</i>	England, you?

Li et al. (2016) Persona...

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- ▶ How deep can a conversation be without more semantic grounding? Basic facts aren't even consistent...
- ▶ Can force chatbots to give consistent answers, but still probably not very interesting

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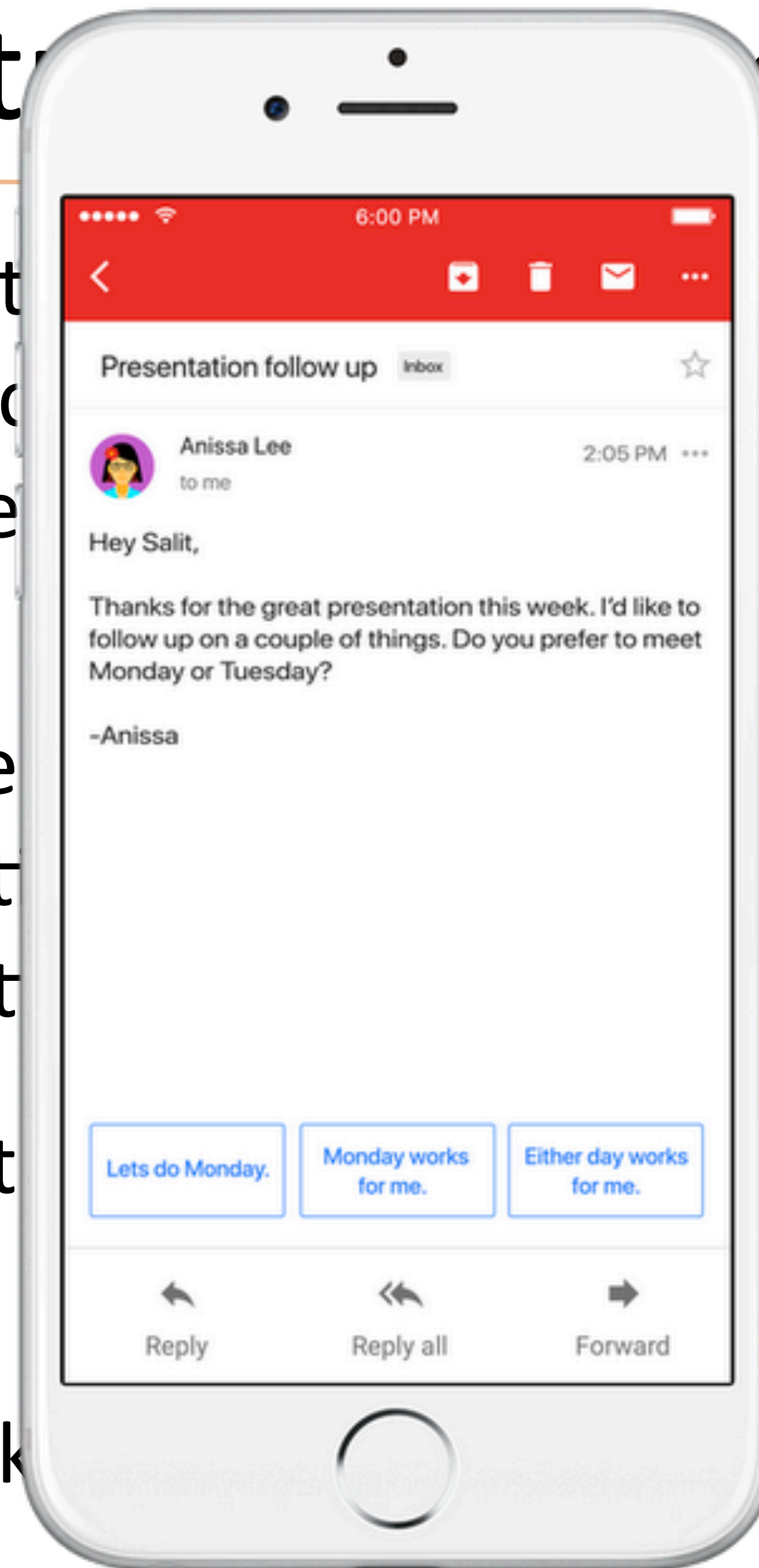
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- ▶ People do seem to like talking to them...?

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Where do you live now?

I live in Los Angeles.

Which city do you live now?

I live in Madrid.

Which country do you live now?

Spain, and, you?

Sal. (2016) Persona...

On average, average user interacts

Task-Oriented Dialogue

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- ▶ Question answering/search:

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Task-Oriented Dialogue

- ▶ Personal assistants / API front-ends:



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Siri, find me a good sushi restaurant in Chelsea



Task-Oriented Dialogue

- ▶ Personal assistants / API front-ends:



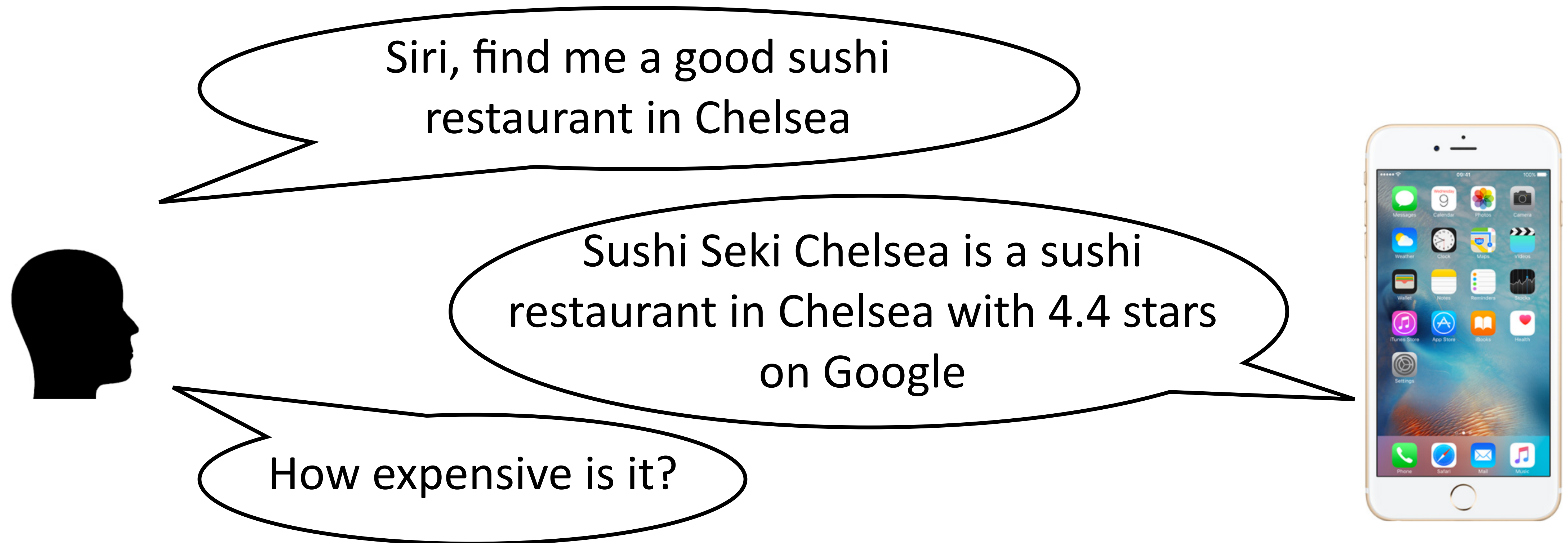
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Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google



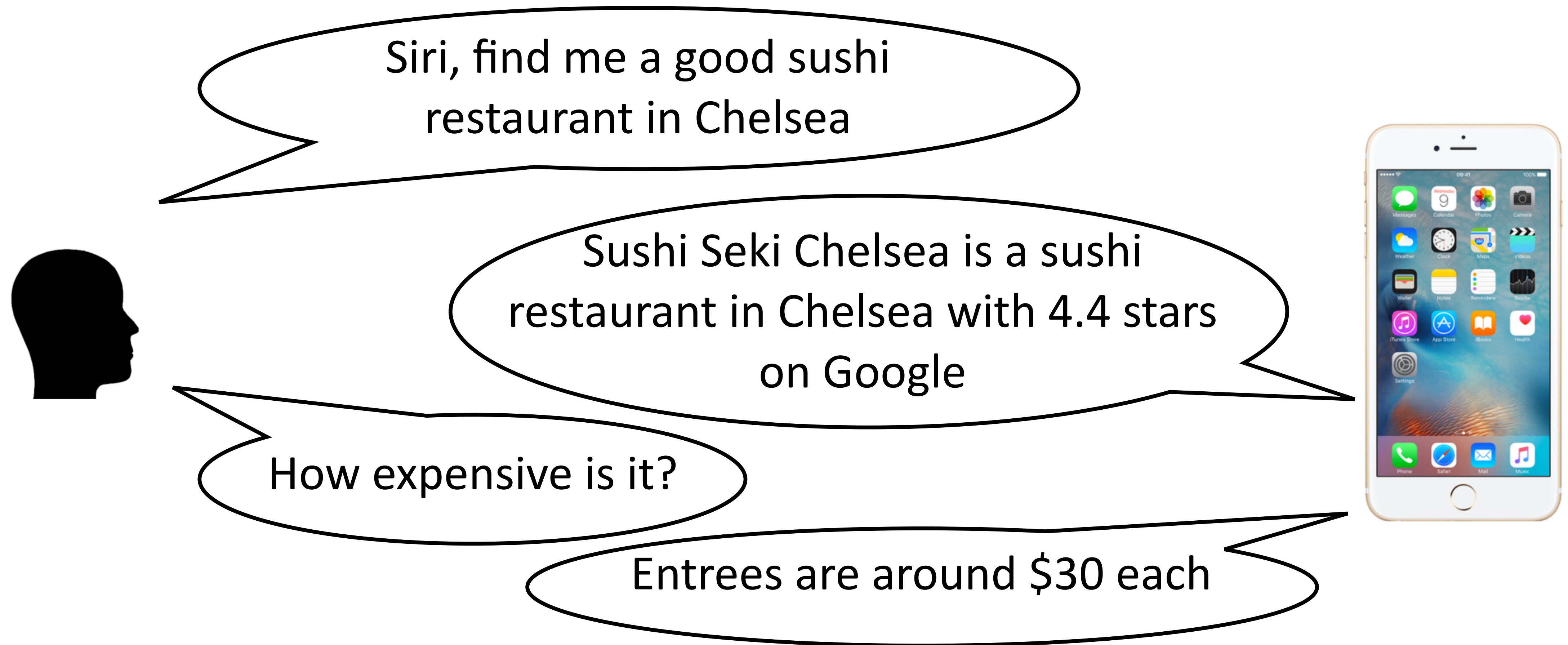
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- ▶ Personal assistants / API front-ends:



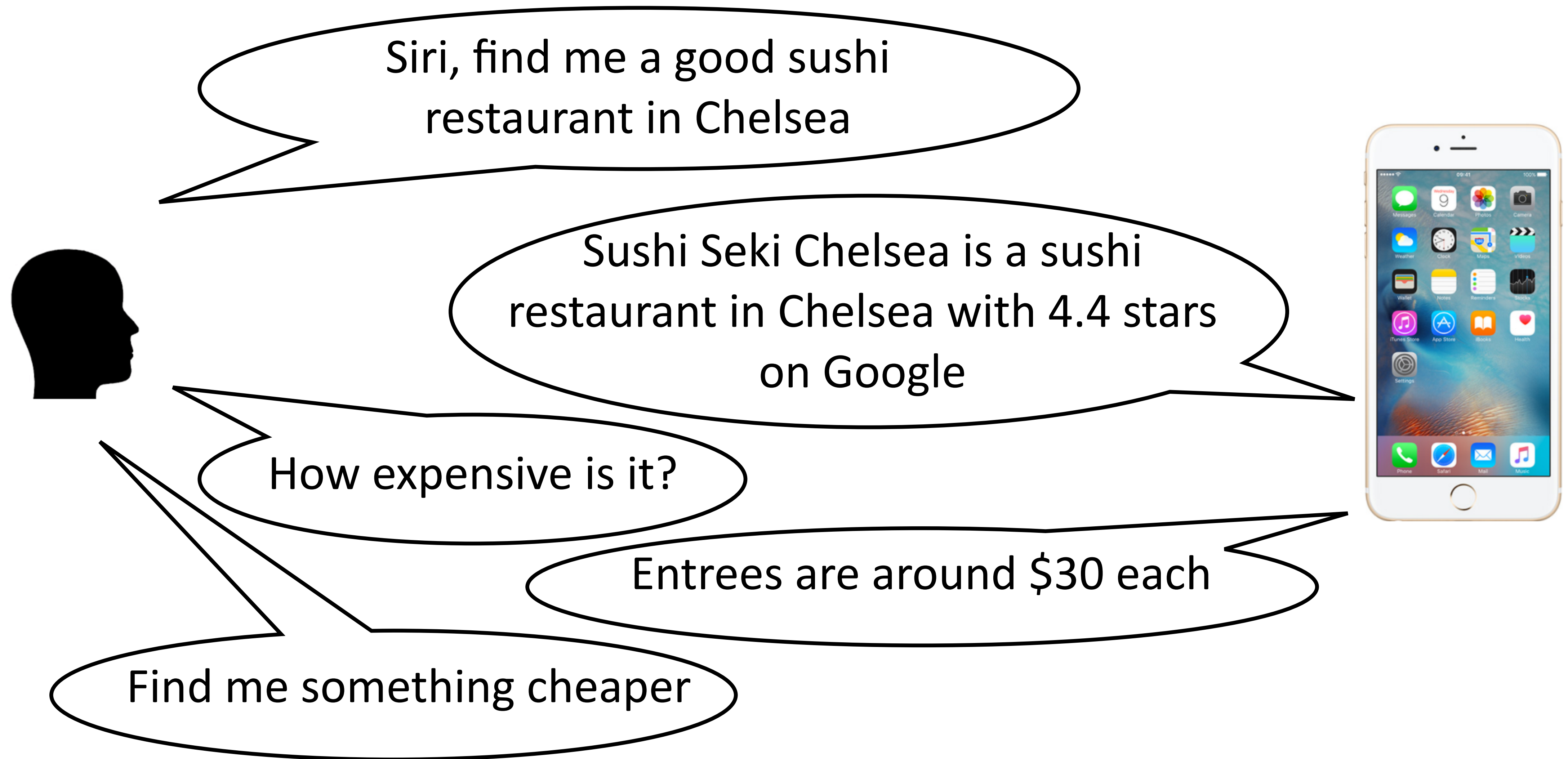
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Hey Alexa, why isn't my Amazon order here?



Task-Oriented Dialogue

- ▶ Personal assistants / API front-ends:



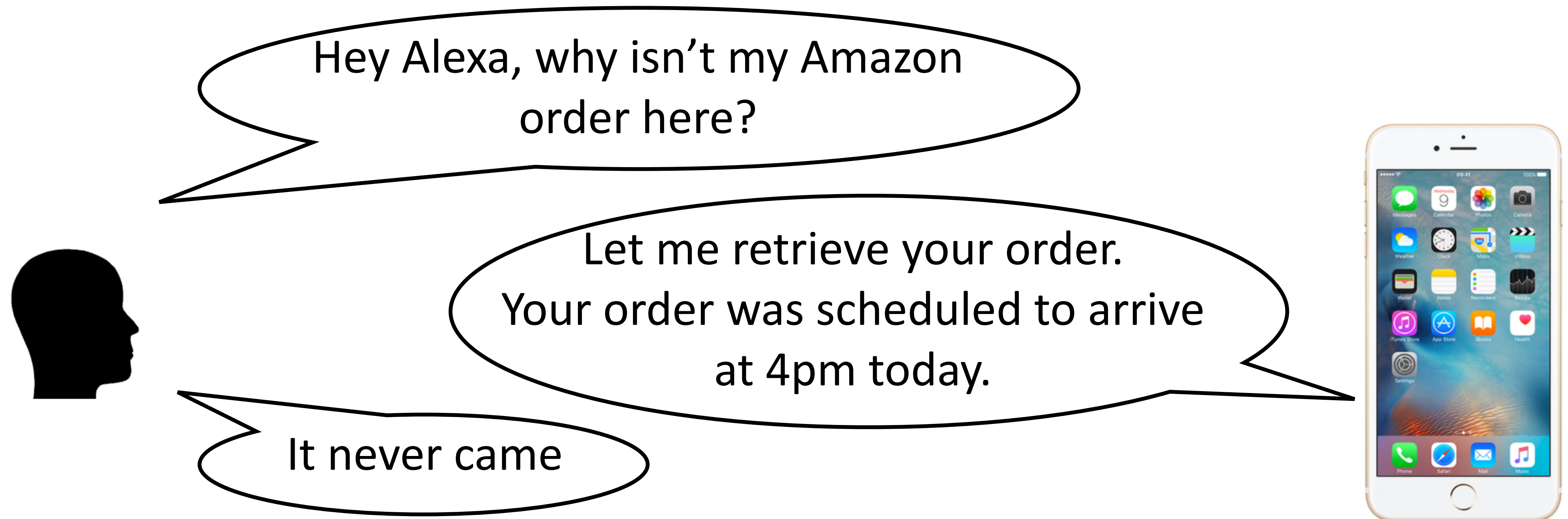
Hey Alexa, why isn't my Amazon order here?

Let me retrieve your order.
Your order was scheduled to arrive
at 4pm today.



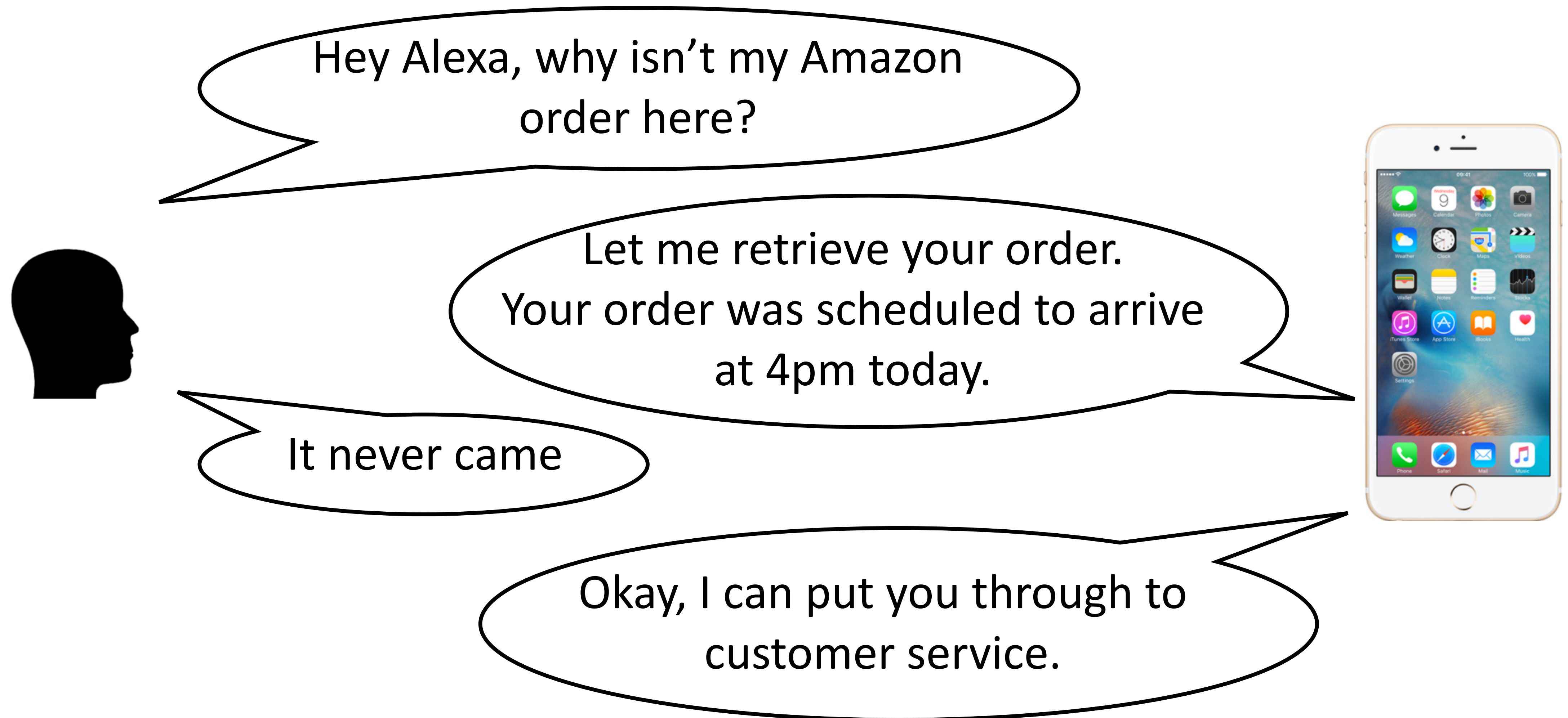
Task-Oriented Dialogue

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Task-Oriented Dialogue

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Air Travel Information Service (ATIS)

- ▶ Given an utterance, predict a domain-specific semantic interpretation

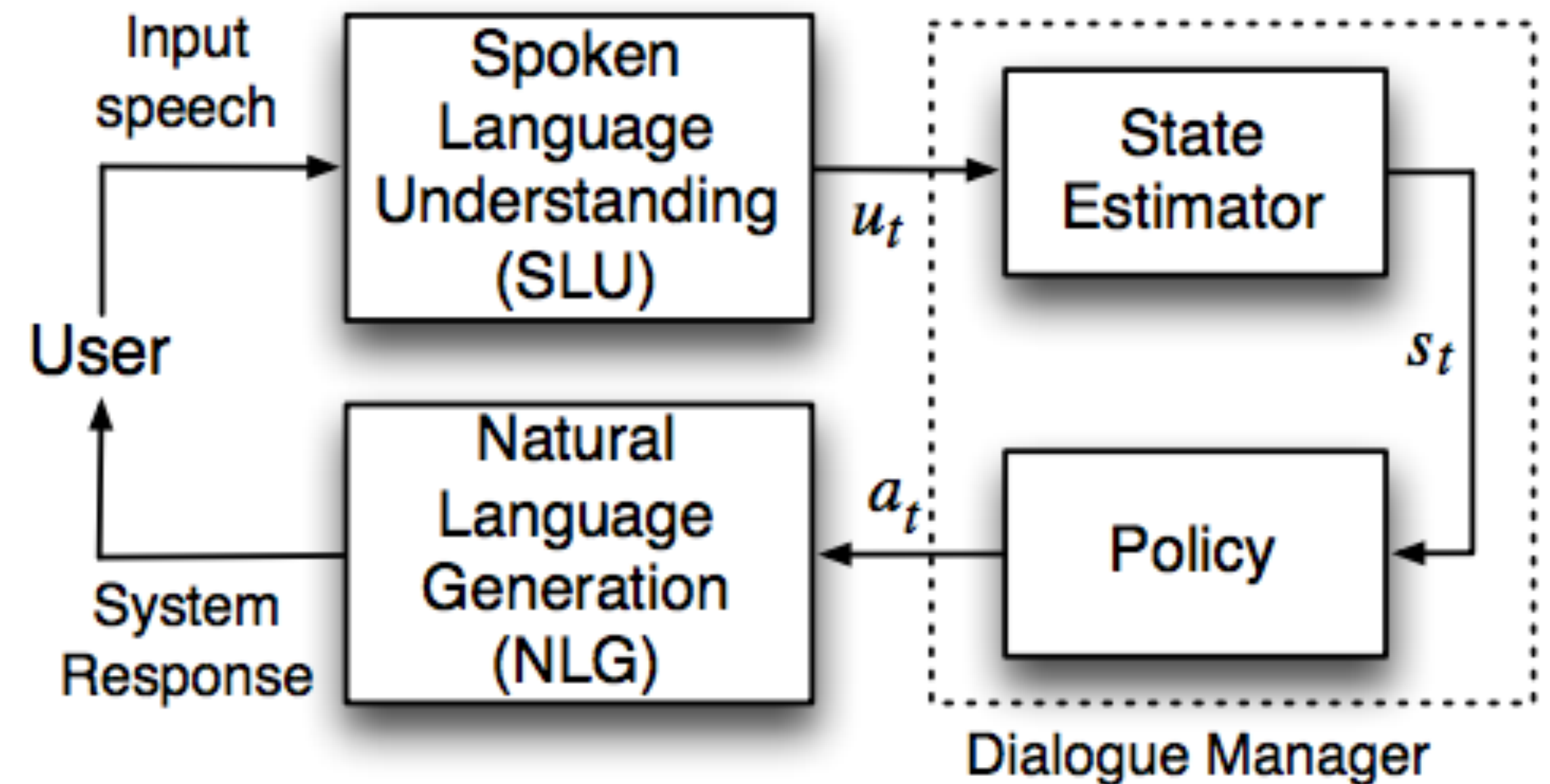
Utterance	<i>How much is the cheapest flight from Boston to New York tomorrow morning?</i>
Goal:	Airfare
Cost_Relative	<i>cheapest</i>
Depart_City	<i>Boston</i>
Arrival_City	<i>New York</i>
Depart_Date.Relative	<i>tomorrow</i>
Depart_Time.Period	<i>morning</i>

- ▶ Can formulate as semantic parsing, but simple slot-filling solutions (classifiers) work well too

DARPA (early 1990s), Figure from Tur et al. (2010)

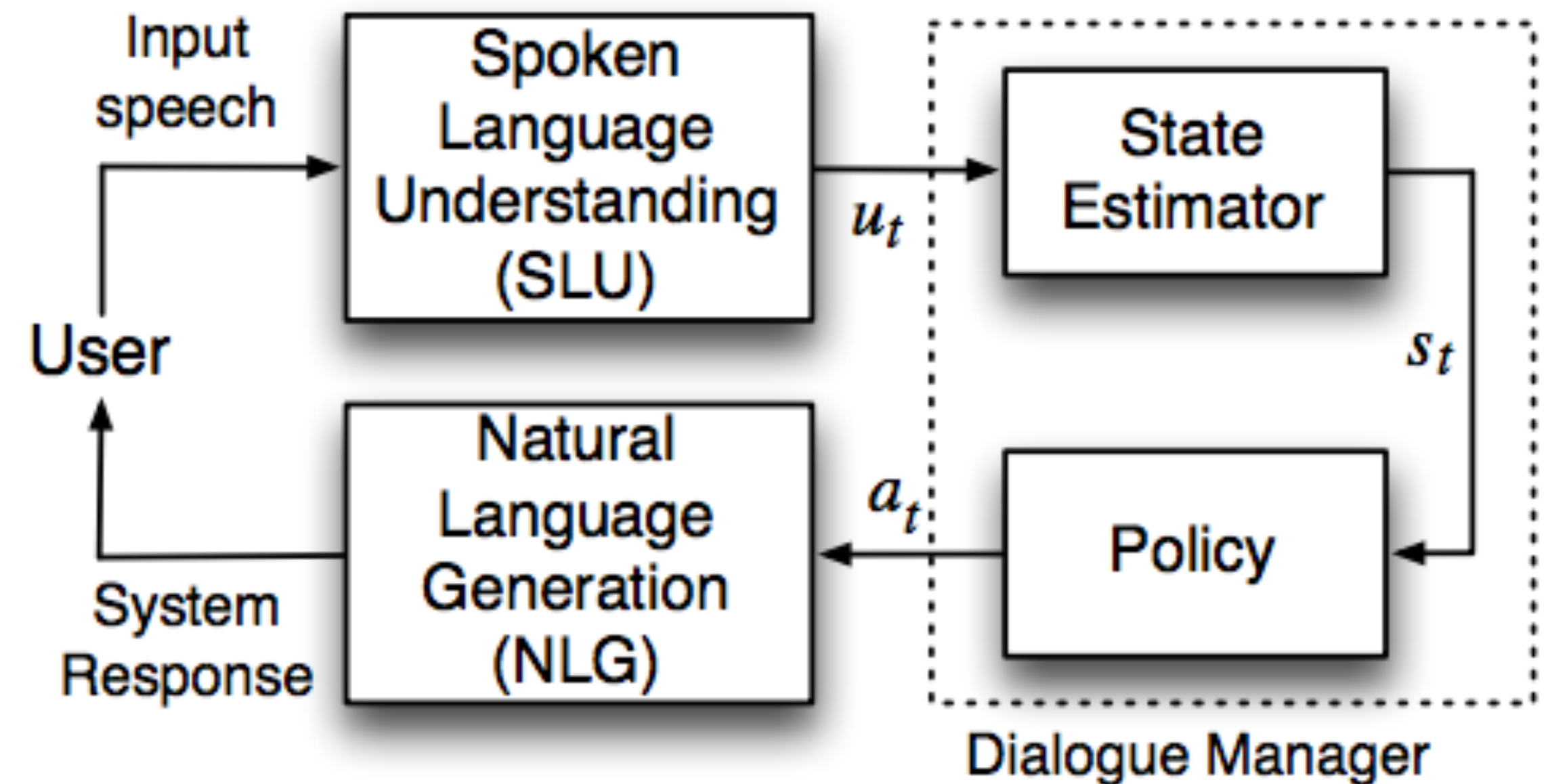
Full Dialogue Task

- Parsing / language understanding is just one piece of a system



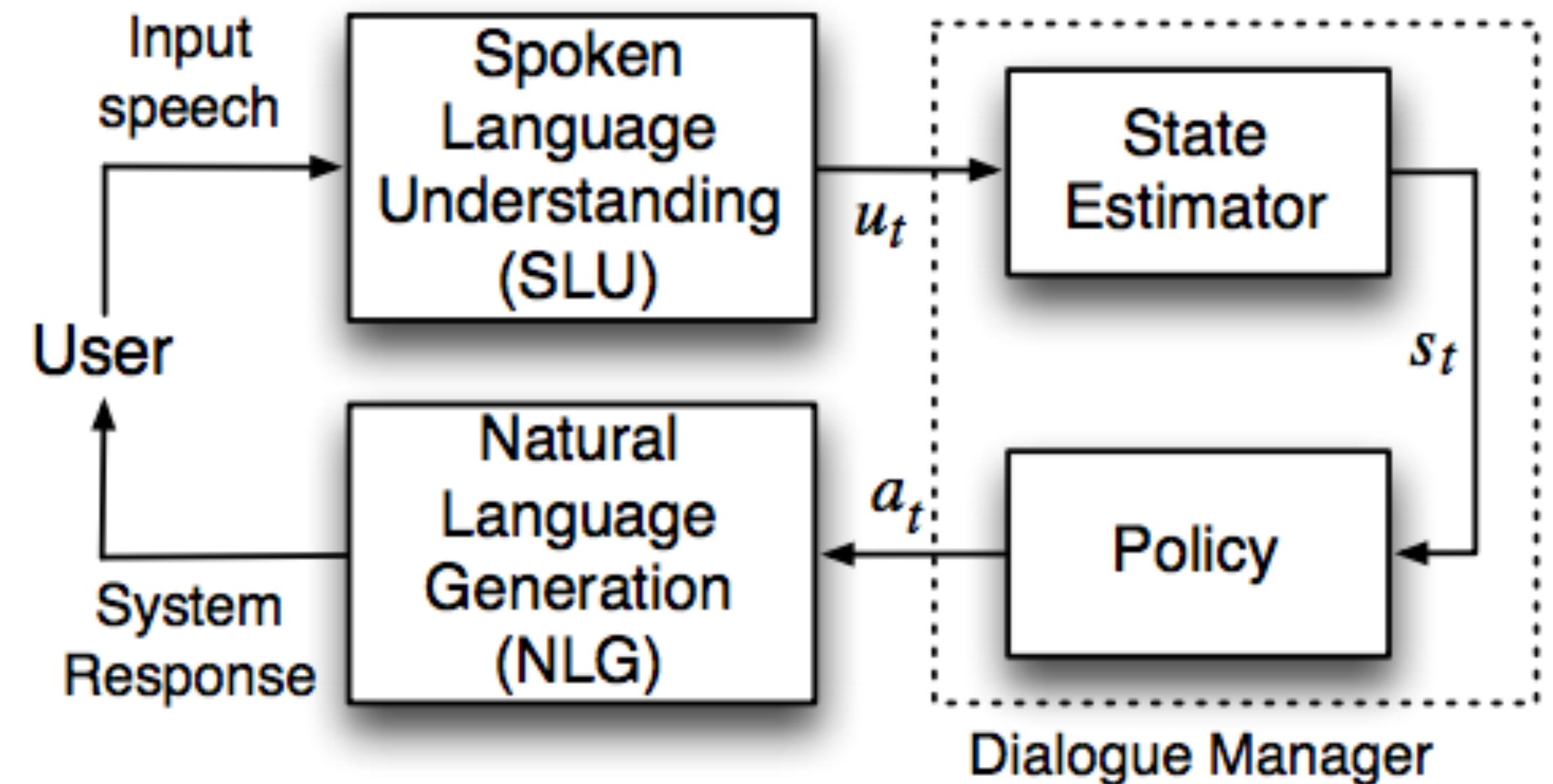
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- ▶ Dialogue state: reflects any information about the conversation (e.g., search history)



- ▶ User utterance -> update dialogue state -> take action (e.g., query the restaurant database) -> say something

Full Dialogue Task

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

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```
restaurant_type <- sushi
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location <- Chelsea
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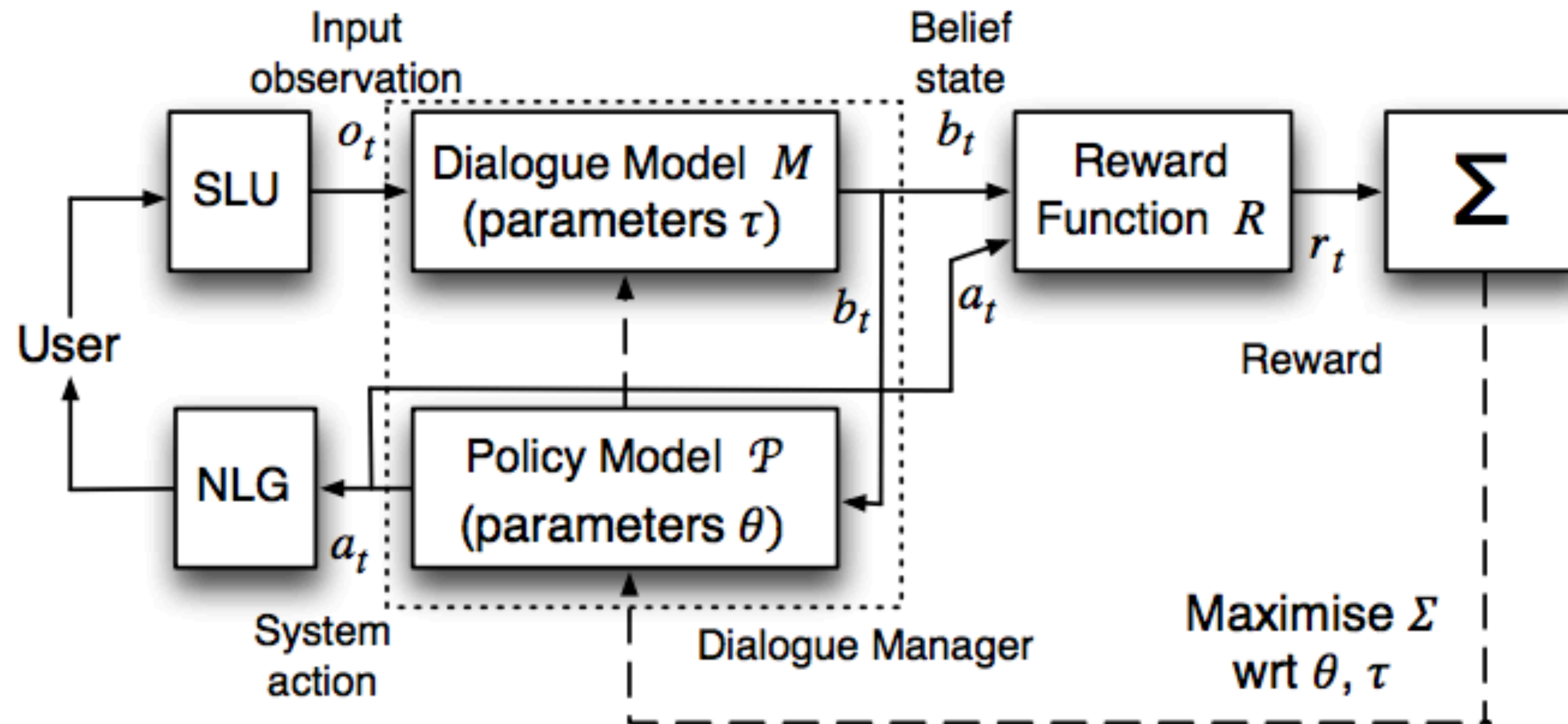
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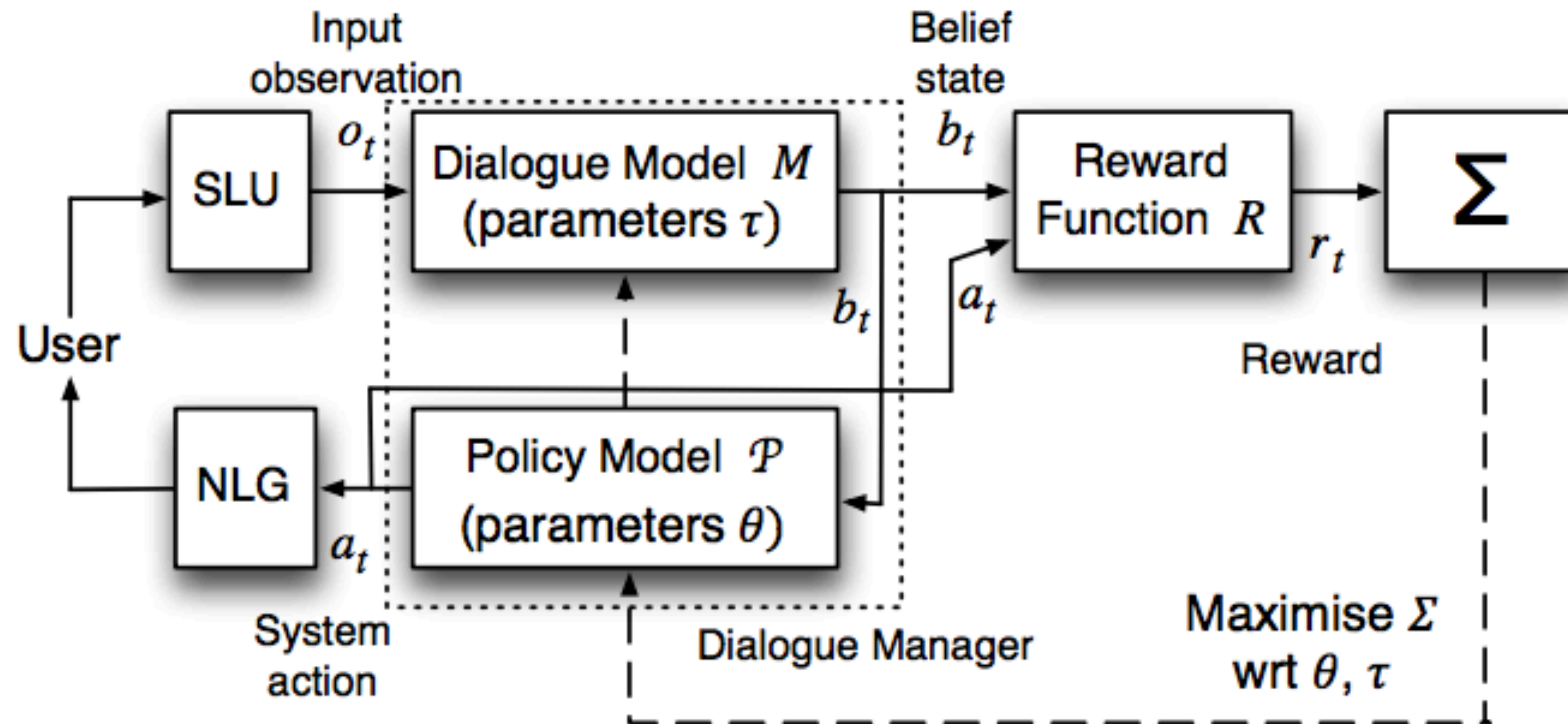
Entrees are around \$30 each

POMDP-based Dialogue Systems



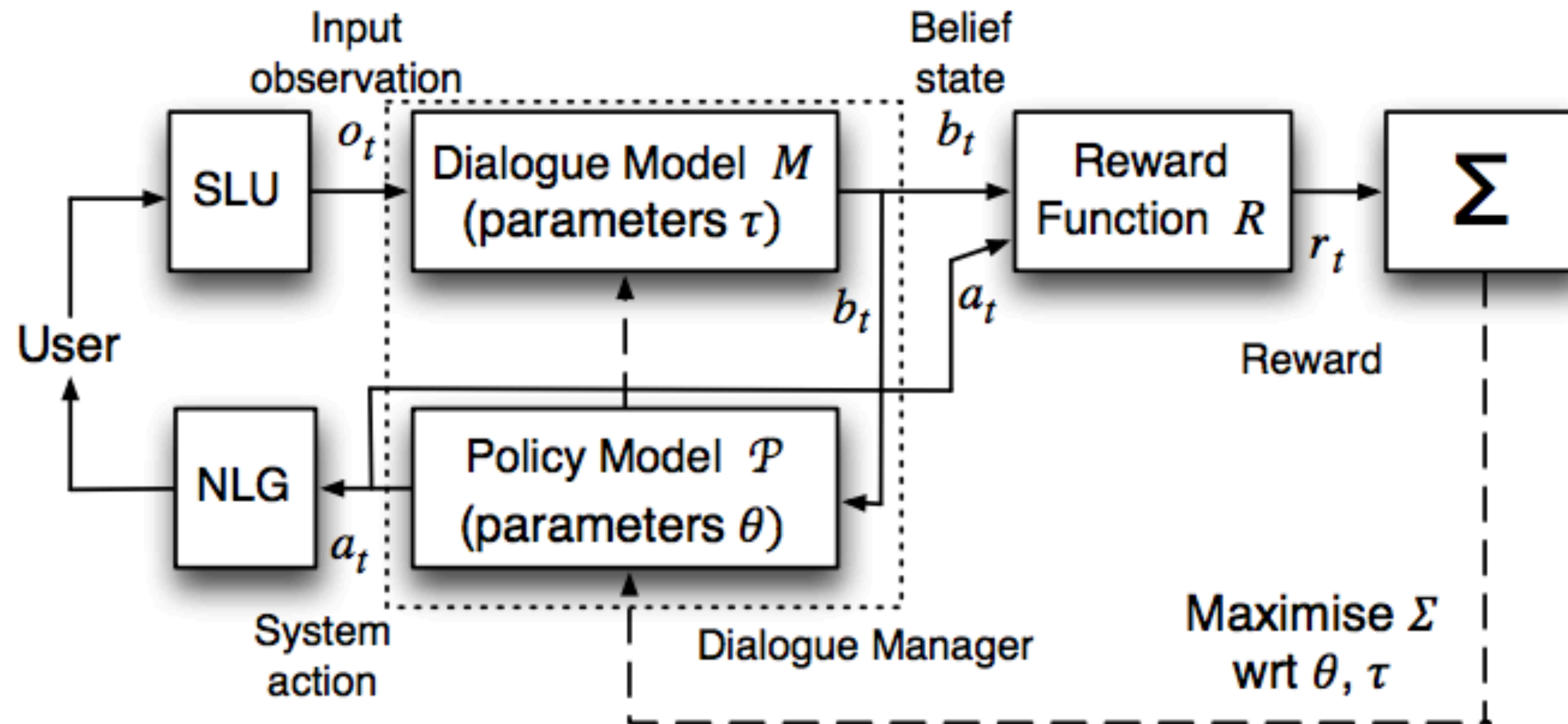
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POMDP-based Dialogue Systems



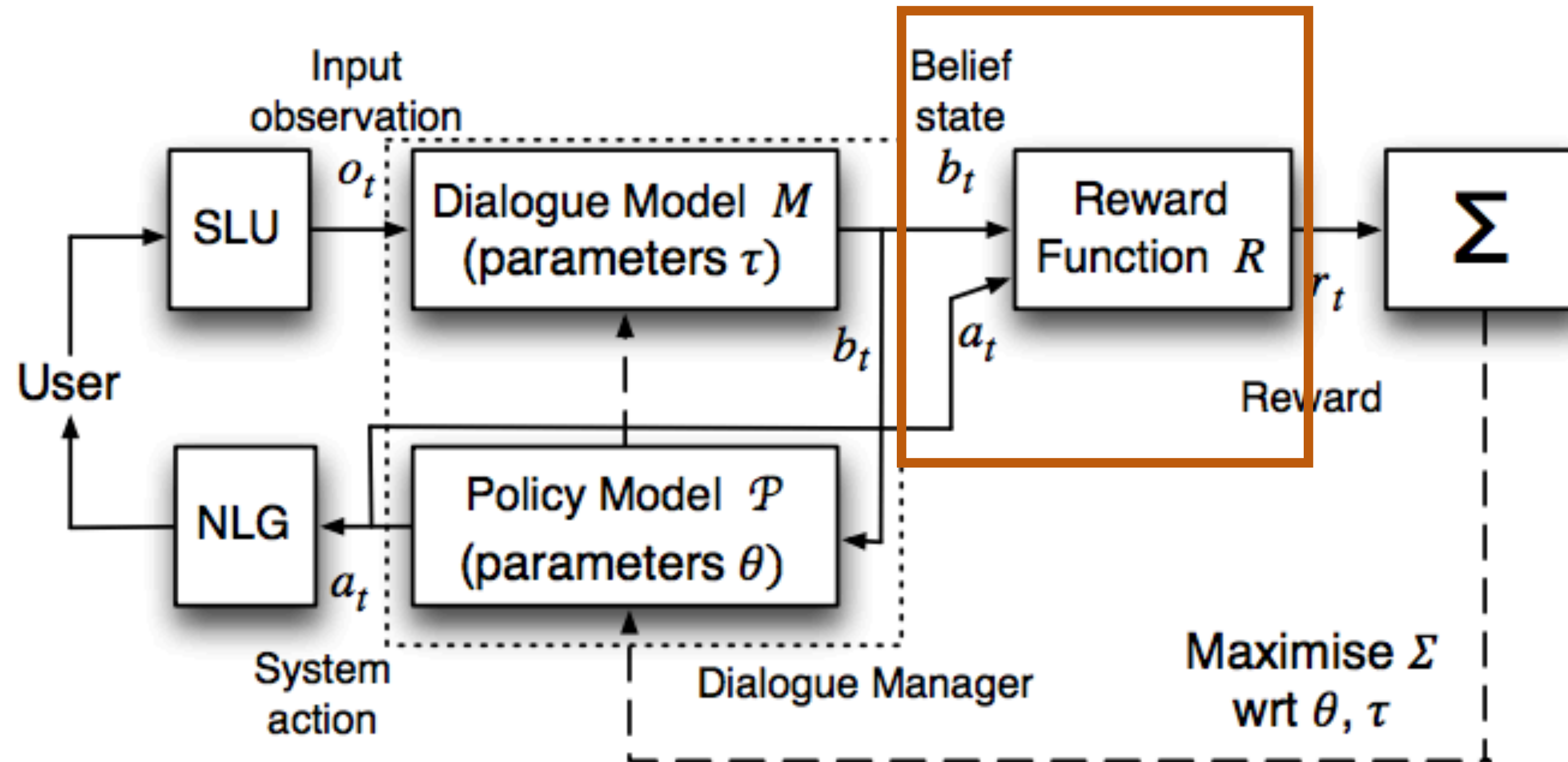
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- ▶ Dialogue model: can look like a parser or any kind of encoder model

POMDP-based Dialogue Systems



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- ▶ Dialogue model: can look like a parser or any kind of encoder model
- ▶ Generator: use templates or seq2seq model

POMDP-based Dialogue Systems



- ▶ POMDP: user is the “environment,” an utterance is a noisy signal of state
- ▶ Dialogue model: can look like a parser or any kind of encoder model
- ▶ Generator: use templates or seq2seq model
- ▶ Where do rewards come from?

Young et al. (2013)

Reward for completing task?

Find me a good sushi restaurant in Chelsea

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restaurant_type <- sushi
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```
location <- Chelsea
```

```
curr_result <- execute_search()
```

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How expensive is it?

...

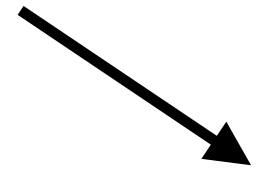
Okay make me a reservation!

```
+1 make_reservation(curr_result)
```


Reward for completing task?

Find me a good sushi restaurant in Chelsea

Very indirect signal
of what should
happen up here



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```
location <- Chelsea
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```
curr_result <- execute_search()
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Sushi Seki Chelsea is a sushi restaurant in Chelsea with
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How expensive is it?

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User gives reward?

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How expensive is it?

```
get_value(cost, curr_result)
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+1 Entrees are around \$30 each

User gives reward?

Find me a good sushi restaurant in Chelsea

How does the user
know the right
search happened?

↘ **+1**

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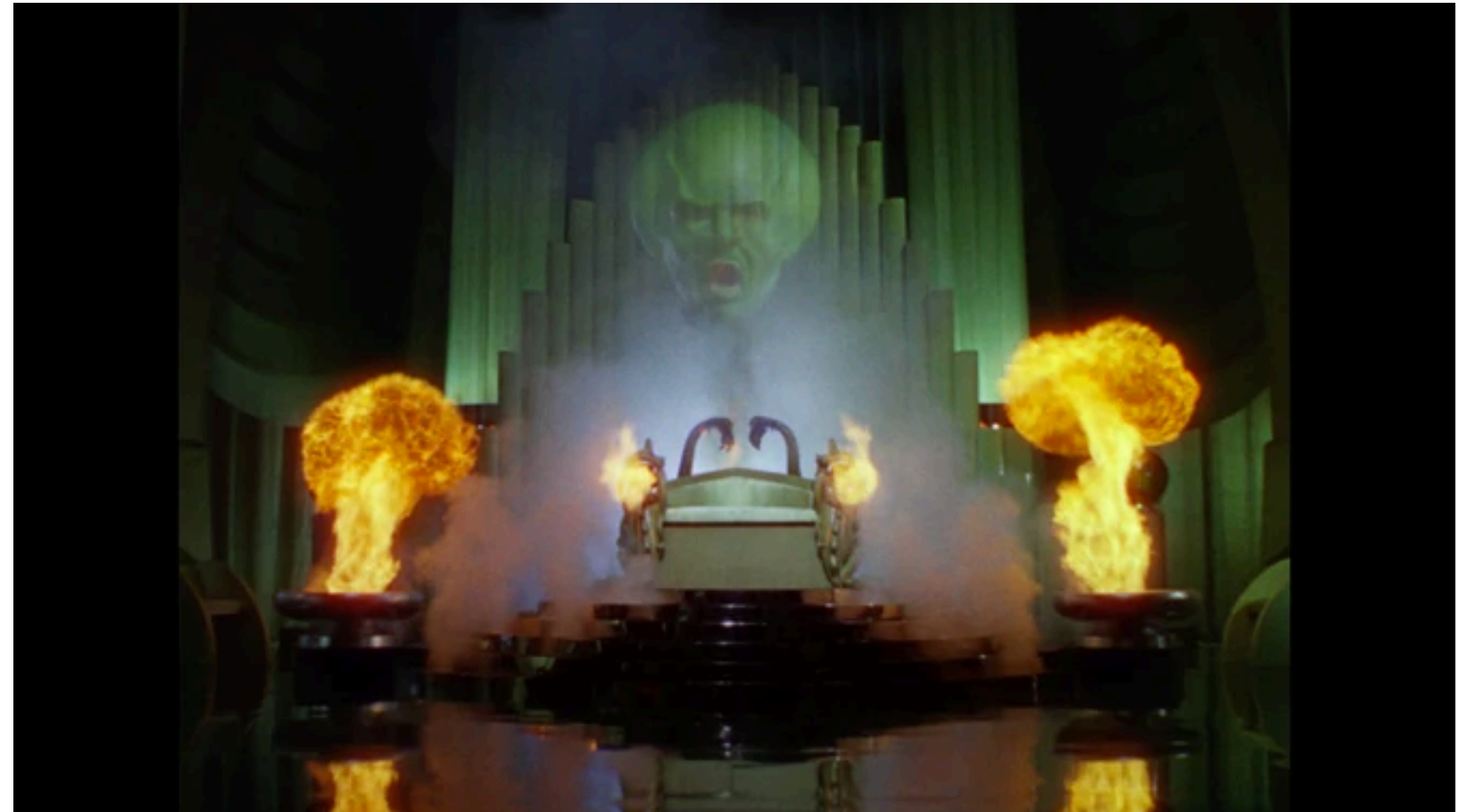
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Wizard-of-Oz

- ▶ Learning from demonstrations: “wizard” pulls the levers and makes the dialogue system update its state and take actions



Kelley (early 1980s), Ford and Smith (1982)

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

wizard enters
these { `restaurant_type <- sushi`
`location <- Chelsea`
`curr_result <- execute_search()`

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

wizard enters these

wizard types this out or invokes templates

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Full Dialogue Task

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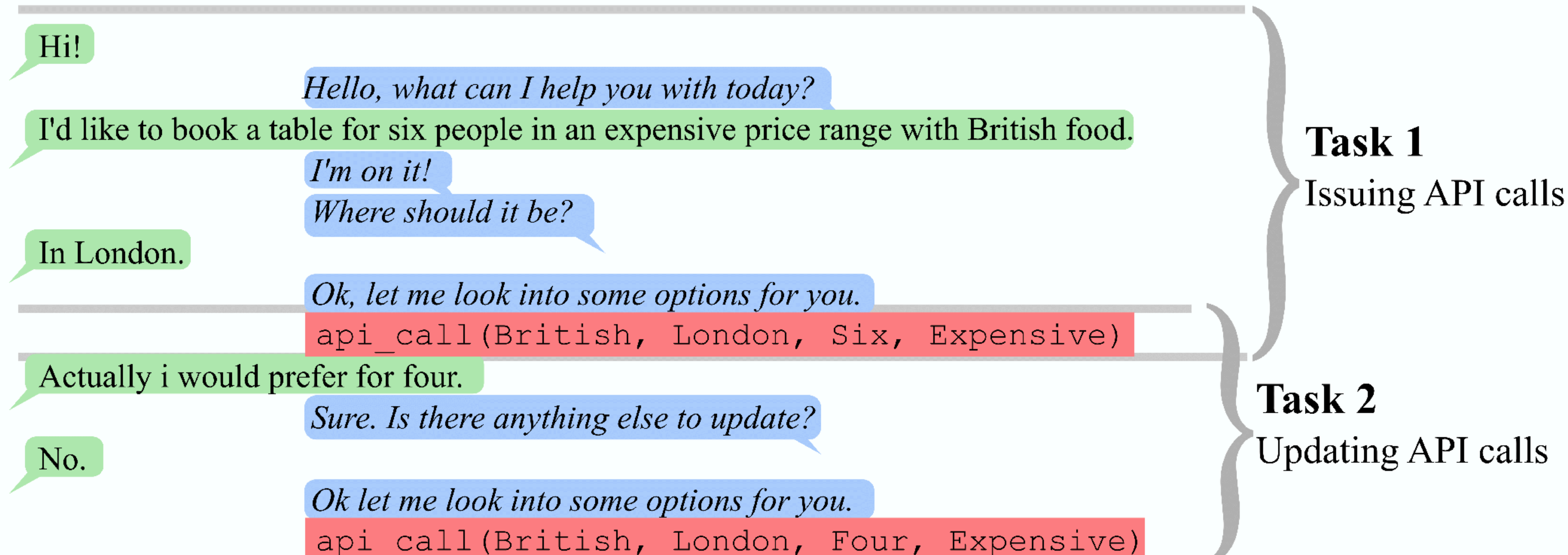
wizard enters these

wizard types this out or invokes templates

`restaurant_type <- sushi`
`location <- Chelsea`
`curr_result <- execute_search()`
Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

- ▶ Wizard can be a trained expert and know exactly what the dialogue systems is supposed to do

Learning from Static Traces



- ▶ Using either wizard-of-Oz or other annotations, can collect static traces and train from these

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
```

```
location <- Chelsea
```

```
curr_result <- execute_search()
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Full Dialogue Task

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- ▶ User asked for a “good” restaurant — does that mean we should filter by star rating? What does “good” mean?

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- ▶ User asked for a “good” restaurant — does that mean we should filter by star rating? What does “good” mean?
- ▶ Hard to change system behavior if training from static traces, especially if system capabilities or desired behavior change

Goal-oriented Dialogue

- ▶ Tons of industry interest!

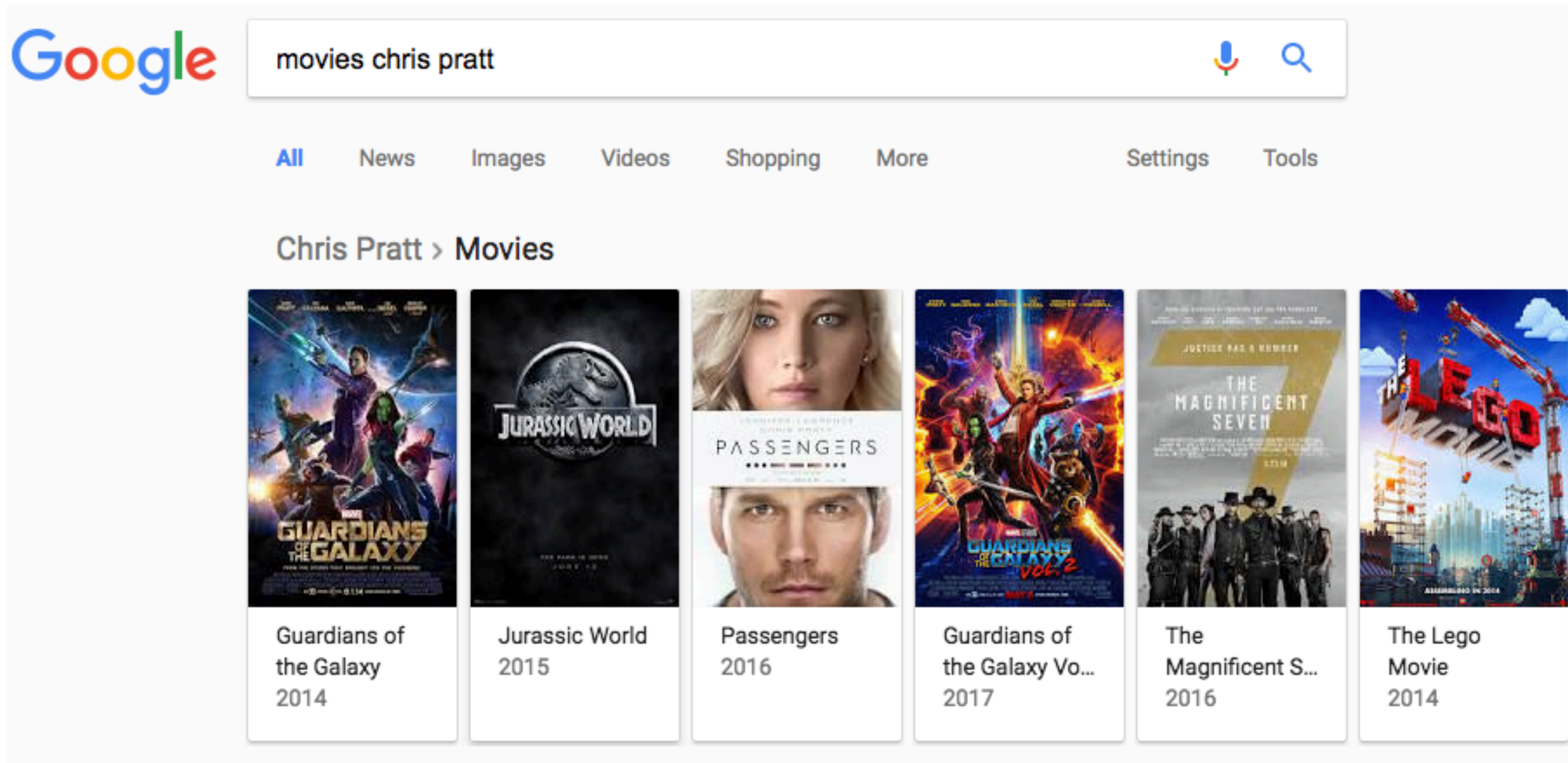
- ▶ Startups:



- ▶ Big Companies: Apple Siri (VocalIQ), Google Allo, Amazon Alexa, Microsoft Cortana, Facebook M, Samsung Bixby

Other Dialogue Applications

Search/QA as Dialogue



- “Has Chris Pratt won an Oscar?” / “Has *he* won an Oscar”

QA as Dialogue

- ▶ Dialogue is a very natural way to find information from a search engine or a QA system

Original intent:
What super hero
from Earth appeared
most recently?

1. Who are all of the
super heroes?

2. Which of them
come from Earth?

3. Of those, who
appeared most
recently?

Legion of Super Heroes Post-Infinite Crisis

<i>Character</i>	<i>First Appeared</i>	<i>Home World</i>	<i>Powers</i>
Night Girl	2007	Kathoon	Super strength
Dragonwing	2010	Earth	Fire breath
Gates	2009	Vyrge	Teleporting
XS	2009	Aarok	Super speed
Harmonia	2011	Earth	Elemental

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- ▶ Challenges:

- ▶ QA is hard enough on its own

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QA as Dialogue

- ▶ Dialogue is a very natural way to find information from a search engine or a QA system

- ▶ Challenges:

- ▶ QA is hard enough on its own
- ▶ Users move the goalposts

Original intent:
What super hero from Earth appeared most recently?

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Legion of Super Heroes Post-Infinite Crisis

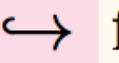
<i>Character</i>	<i>First Appeared</i>	<i>Home World</i>	<i>Powers</i>
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QA as Dialogue

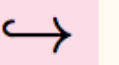
- ▶ UW QuAC dataset: Question Answering in Context

Section:  Daffy Duck, Origin & History

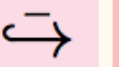
STUDENT: **What is the origin of Daffy Duck?**

TEACHER:  first appeared in Porky's Duck Hunt

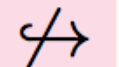
STUDENT: **What was he like in that episode?**

TEACHER:  assertive, unrestrained, combative

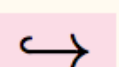
STUDENT: **Was he the star?**

TEACHER:  No, barely more than an unnamed bit player in this short

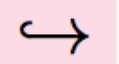
STUDENT: **Who was the star?**

TEACHER:  No answer

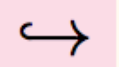
STUDENT: **Did he change a lot from that first episode in future episodes?**

TEACHER:  Yes, the only aspects of the character that have remained consistent (...) are his voice characterization by Mel Blanc

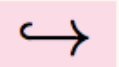
STUDENT: **How has he changed?**

TEACHER:  Daffy was less anthropomorphic

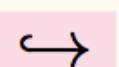
STUDENT: **In what other ways did he change?**

TEACHER:  Daffy's slobbery, exaggerated lisp (...) is barely noticeable in the early cartoons.

STUDENT: **Why did they add the lisp?**

TEACHER:  One often-repeated "official" story is that it was modeled after producer Leon Schlesinger's tendency to lisp.

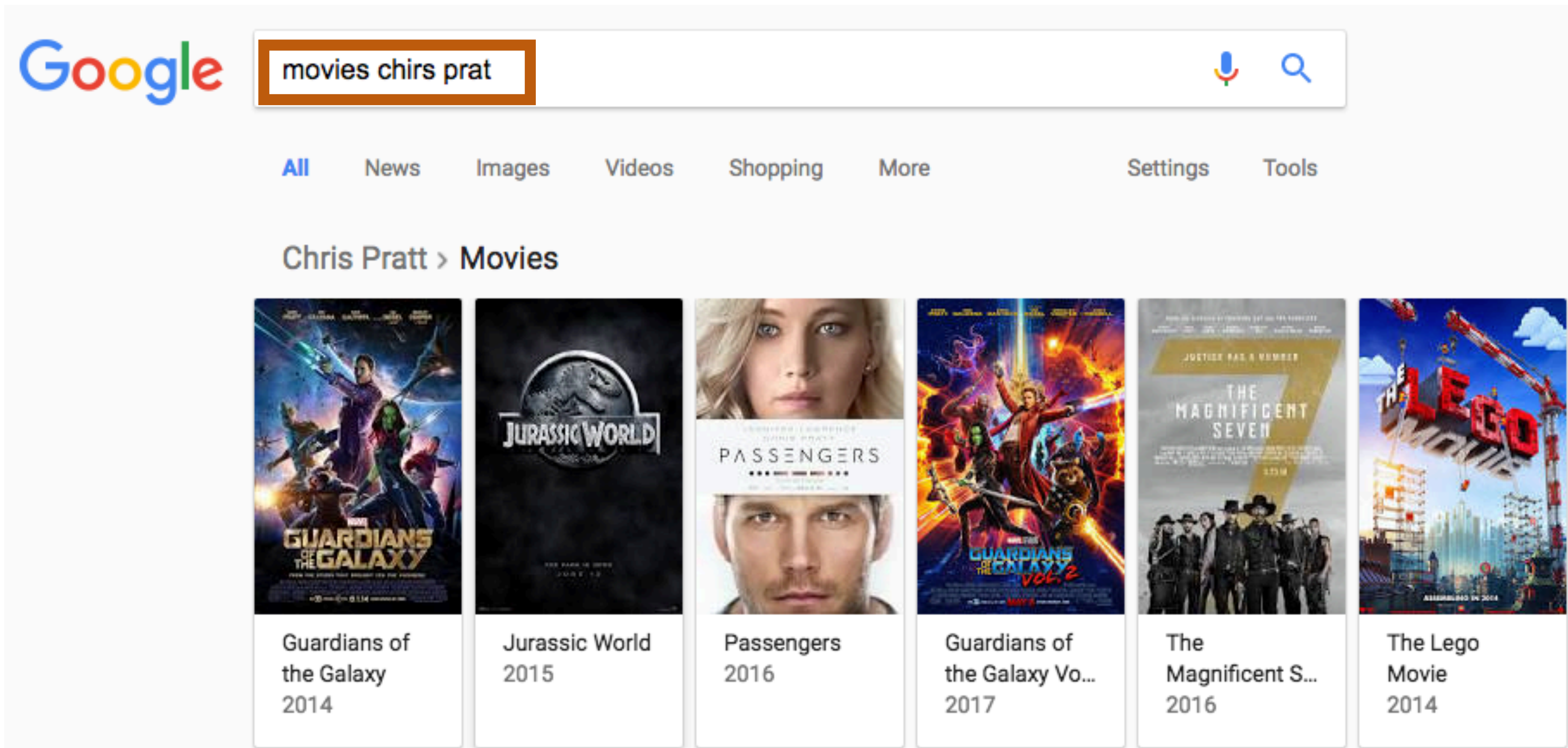
STUDENT: **Is there an "unofficial" story?**

TEACHER:  Yes, Mel Blanc (...) contradicts that conventional belief

...

Choi et al. (2018)

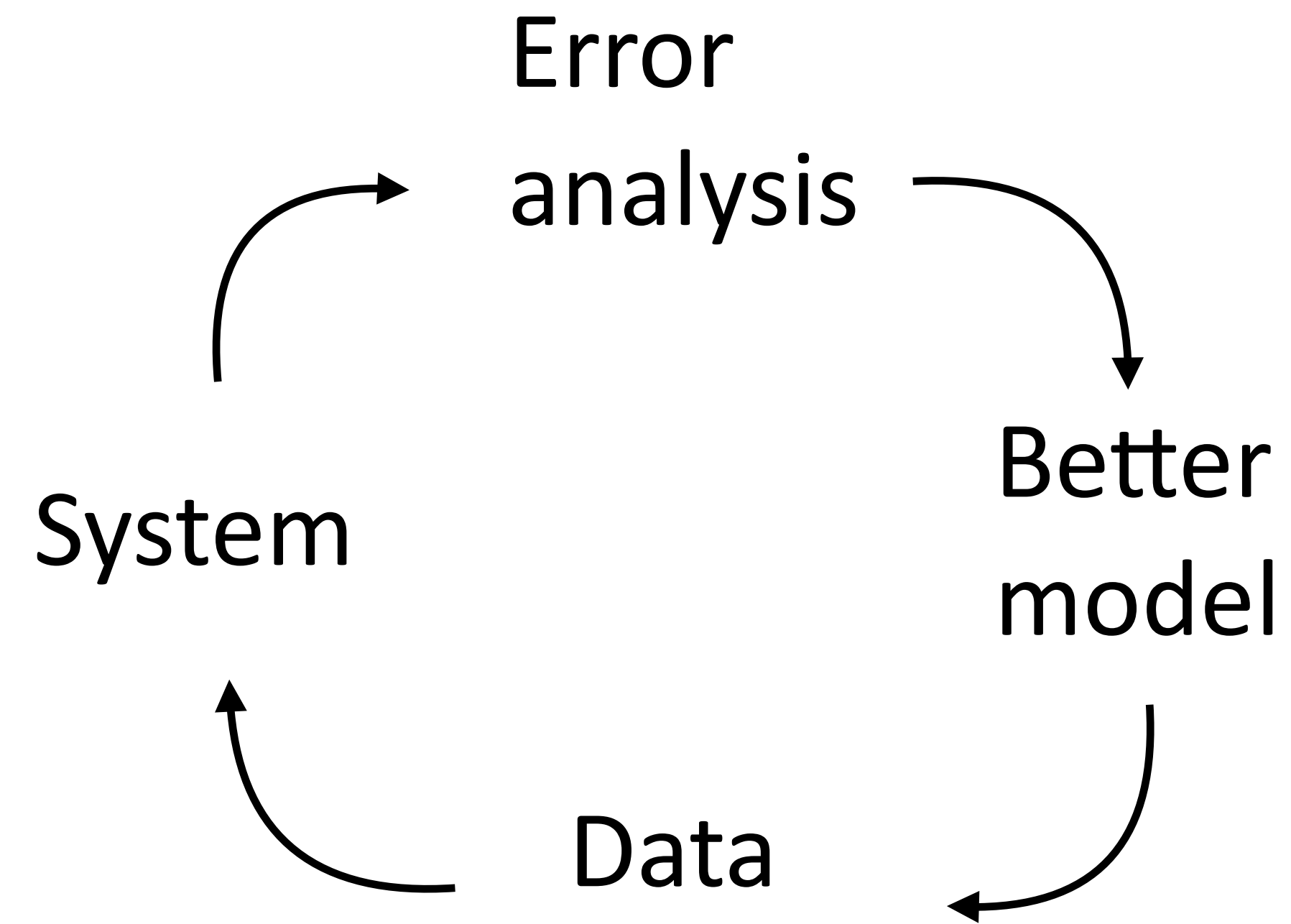
Search as Dialogue



- ▶ Google can deal with misspellings, so more misspellings happen — Google has to do more!

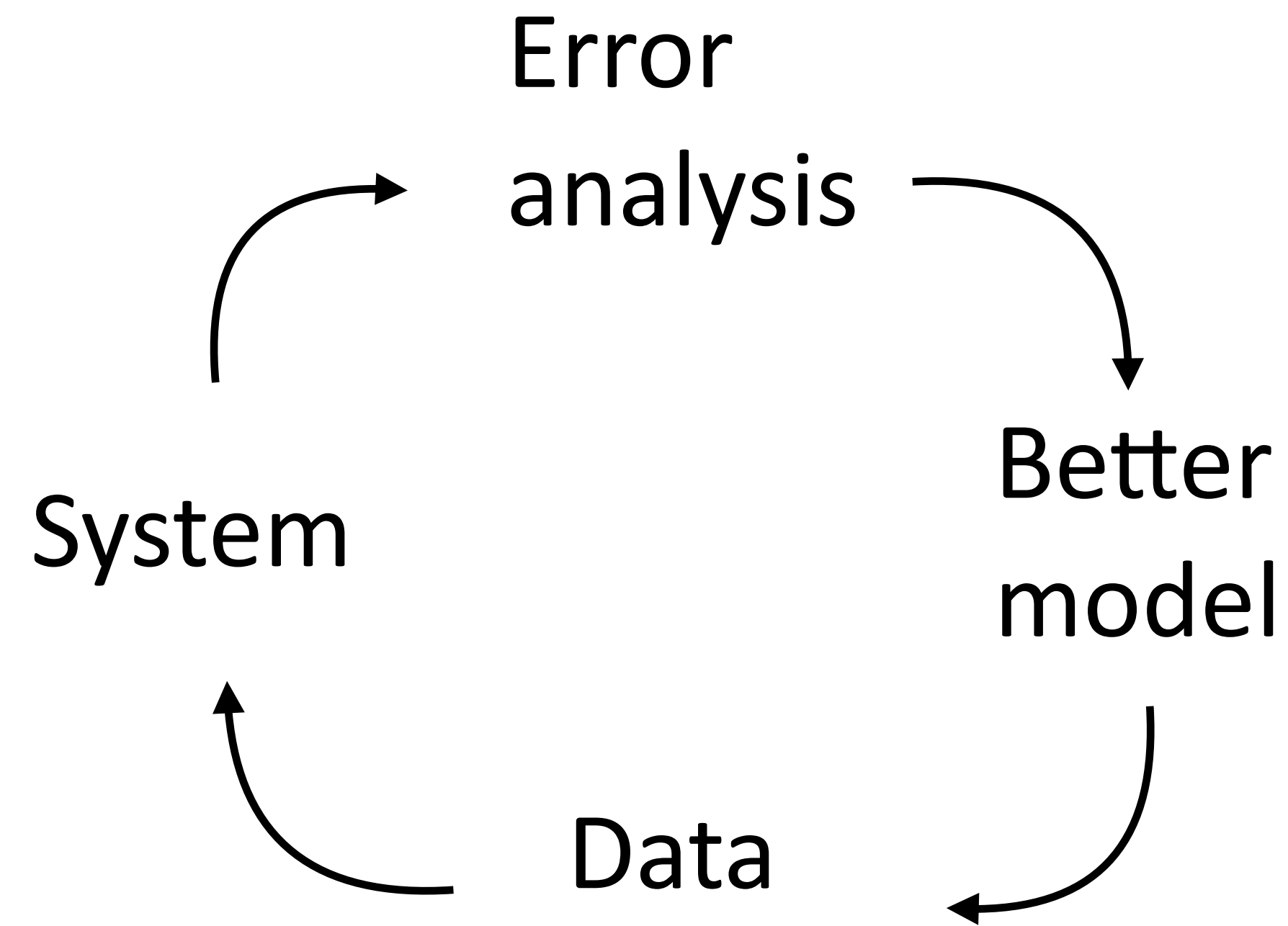
Dialogue Mission Creep

Most NLP tasks



Dialogue Mission Creep

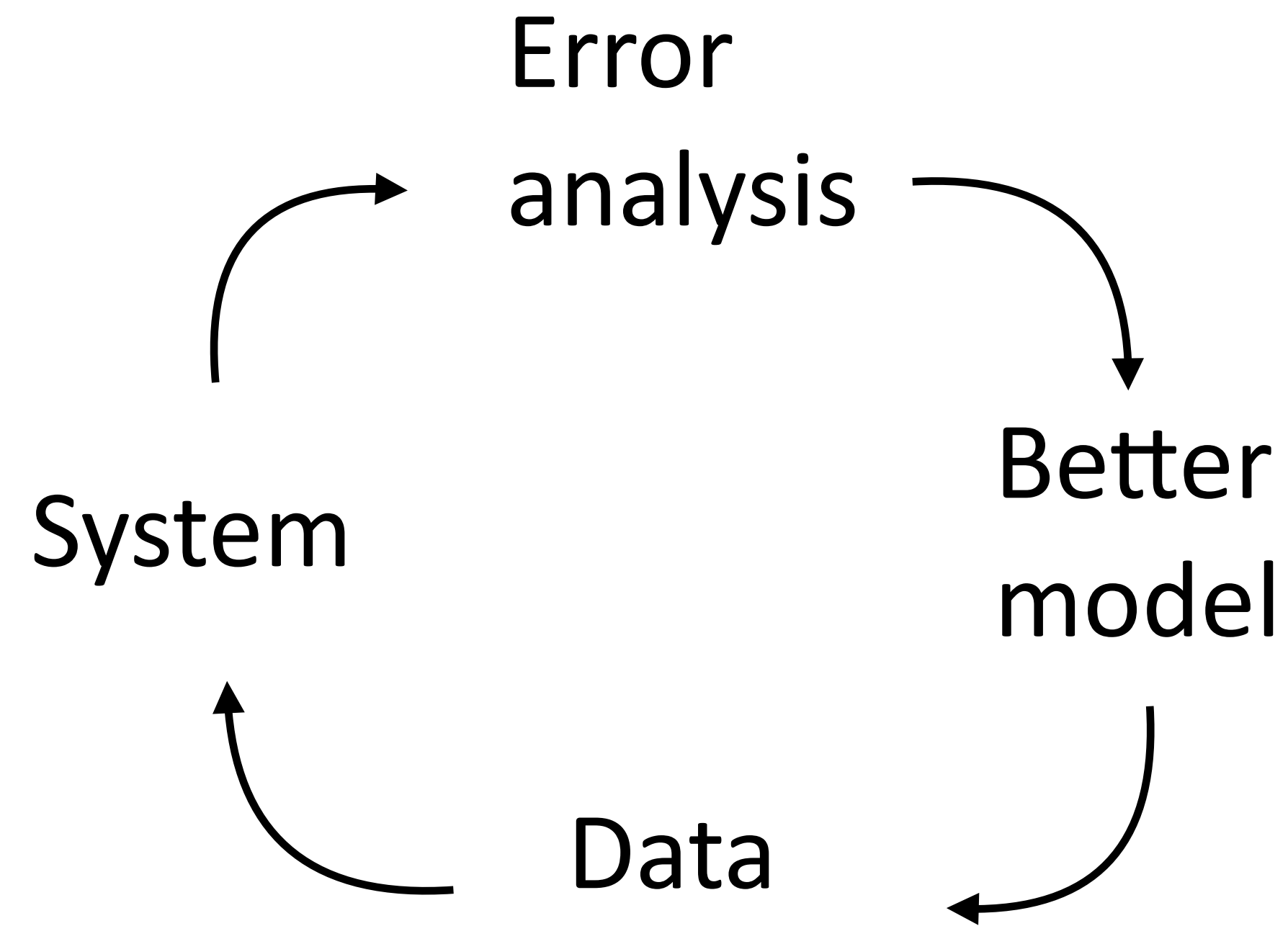
Most NLP tasks



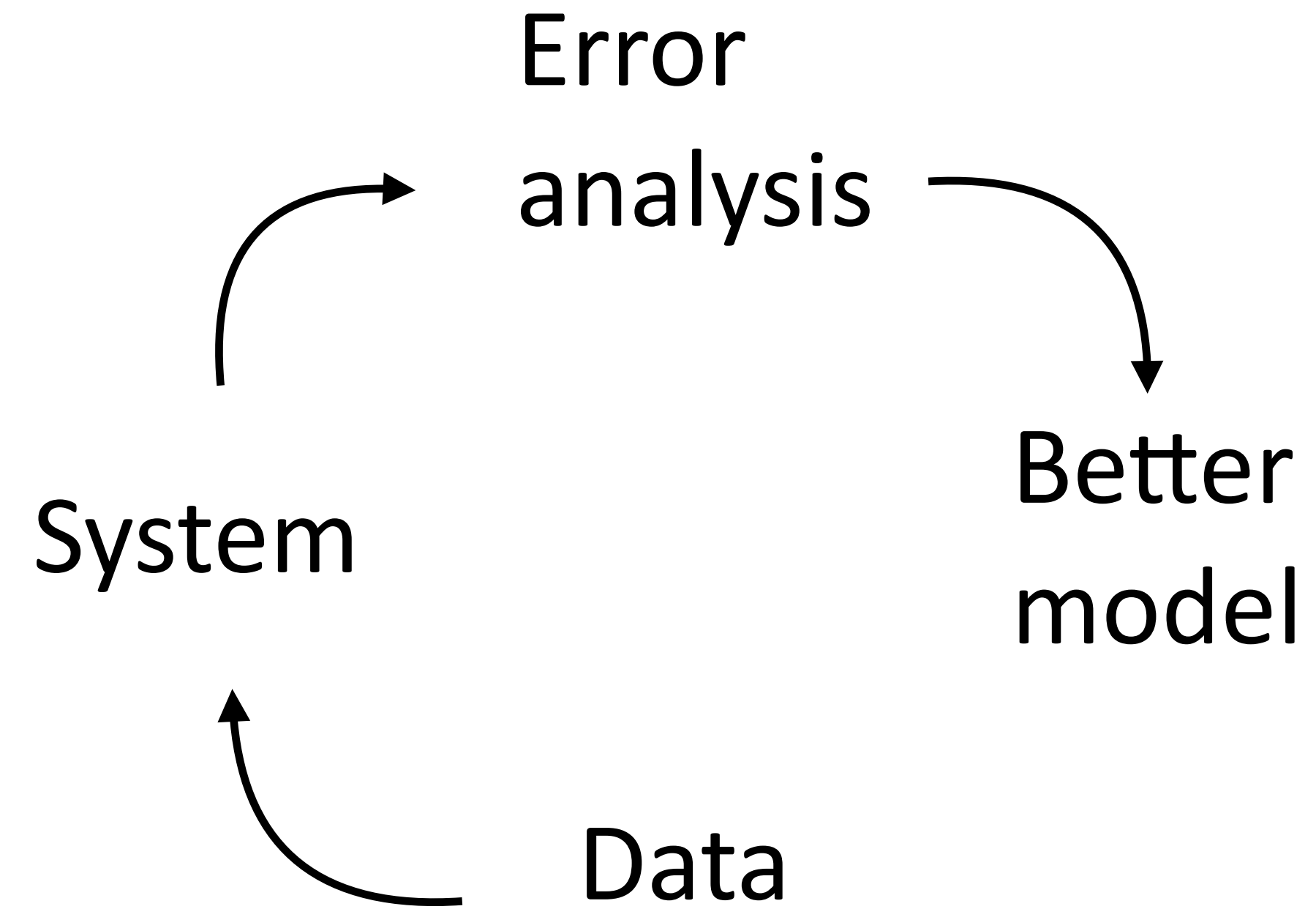
- Fixed distribution (e.g., natural language sentences), error rate $\rightarrow 0$

Dialogue Mission Creep

Most NLP tasks



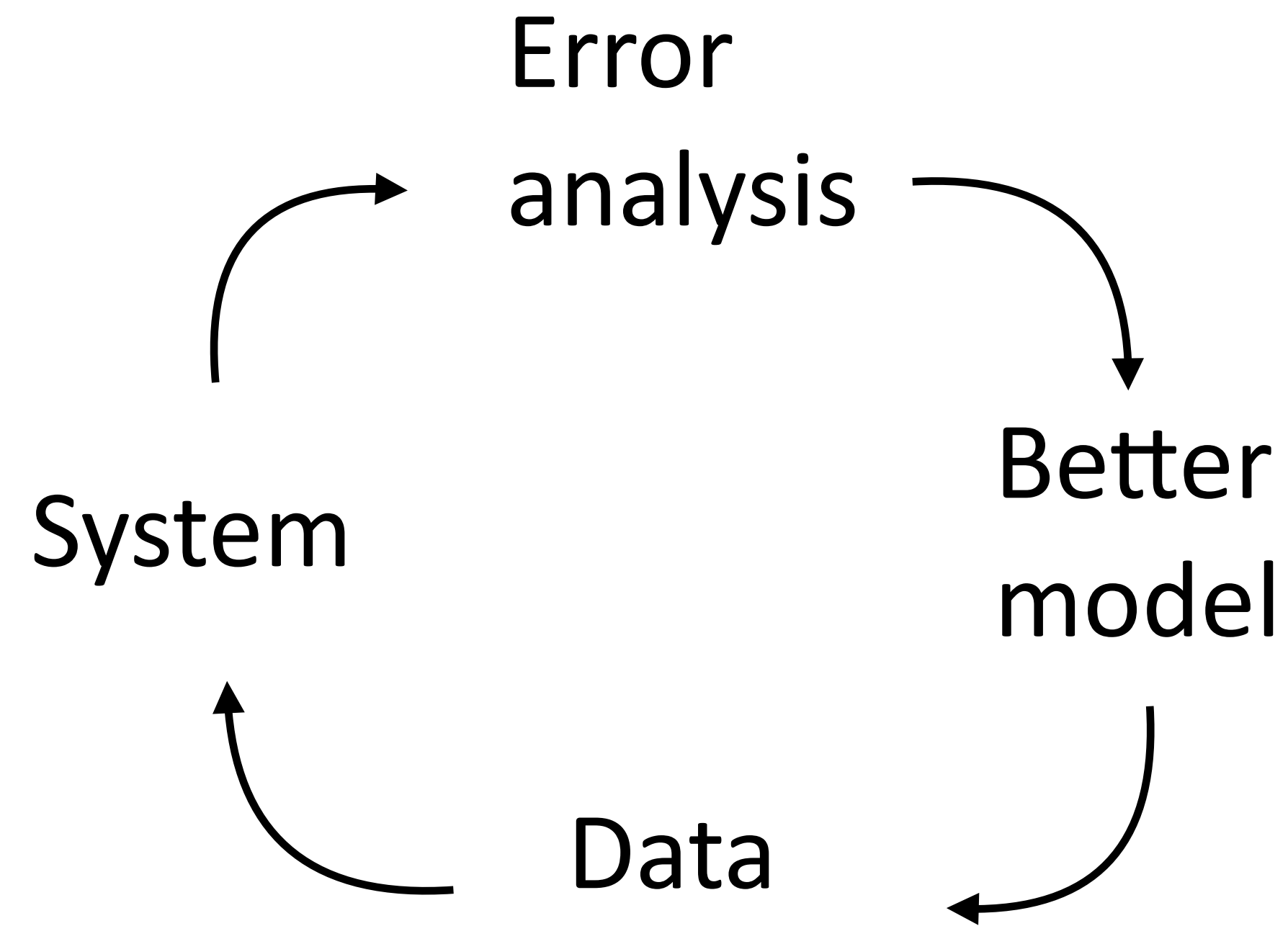
Dialogue/Search/QA



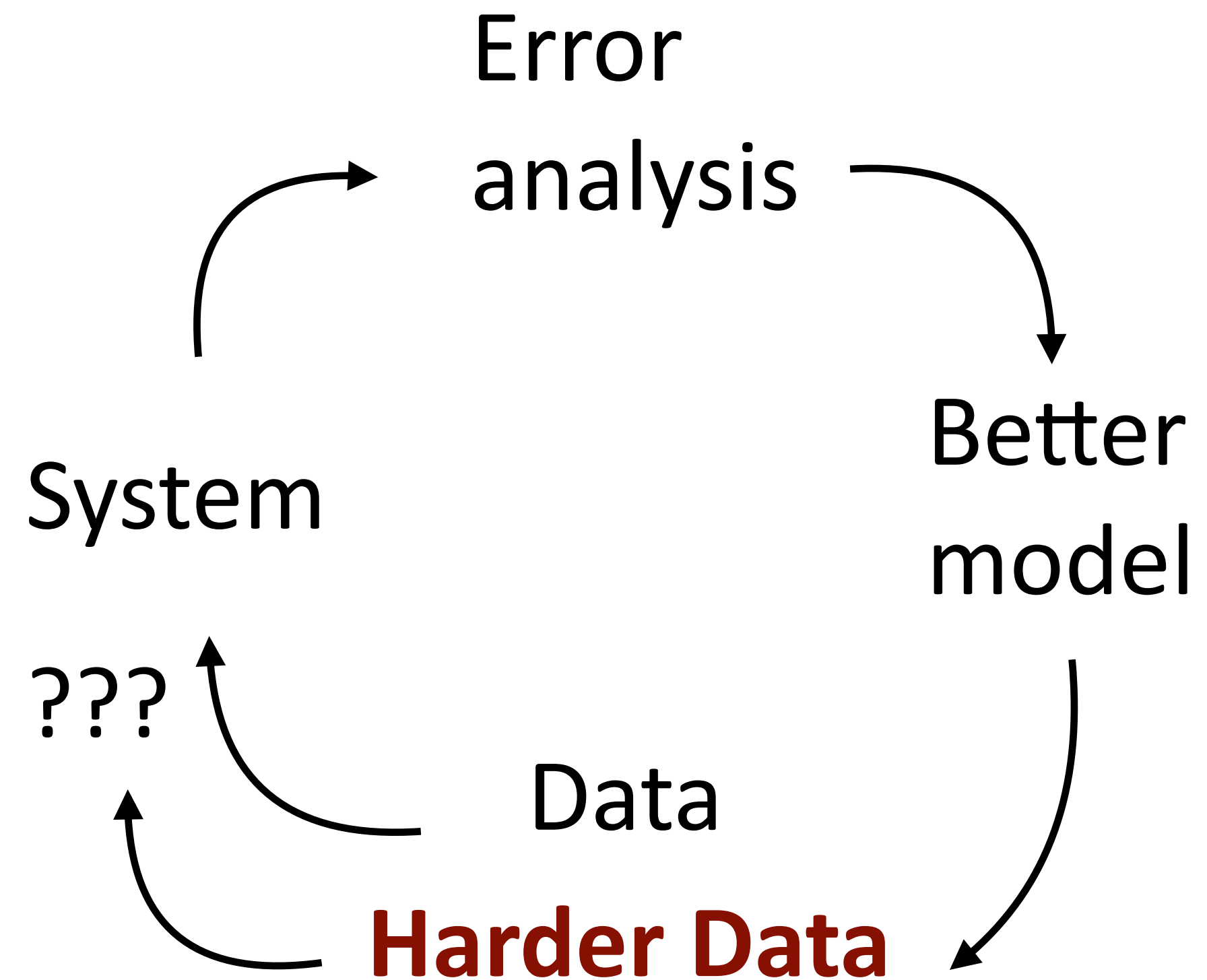
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Dialogue Mission Creep

Most NLP tasks



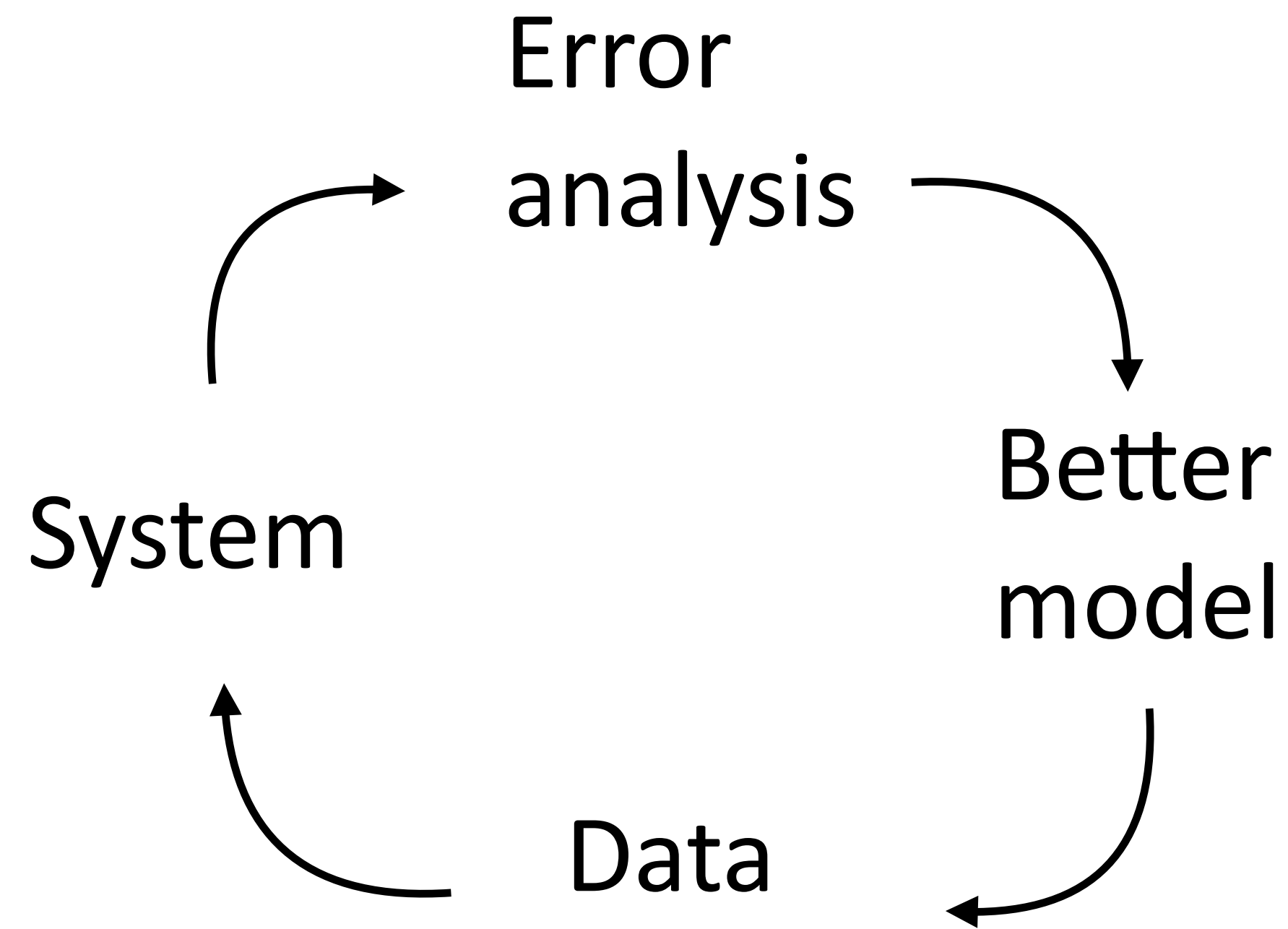
Dialogue/Search/QA



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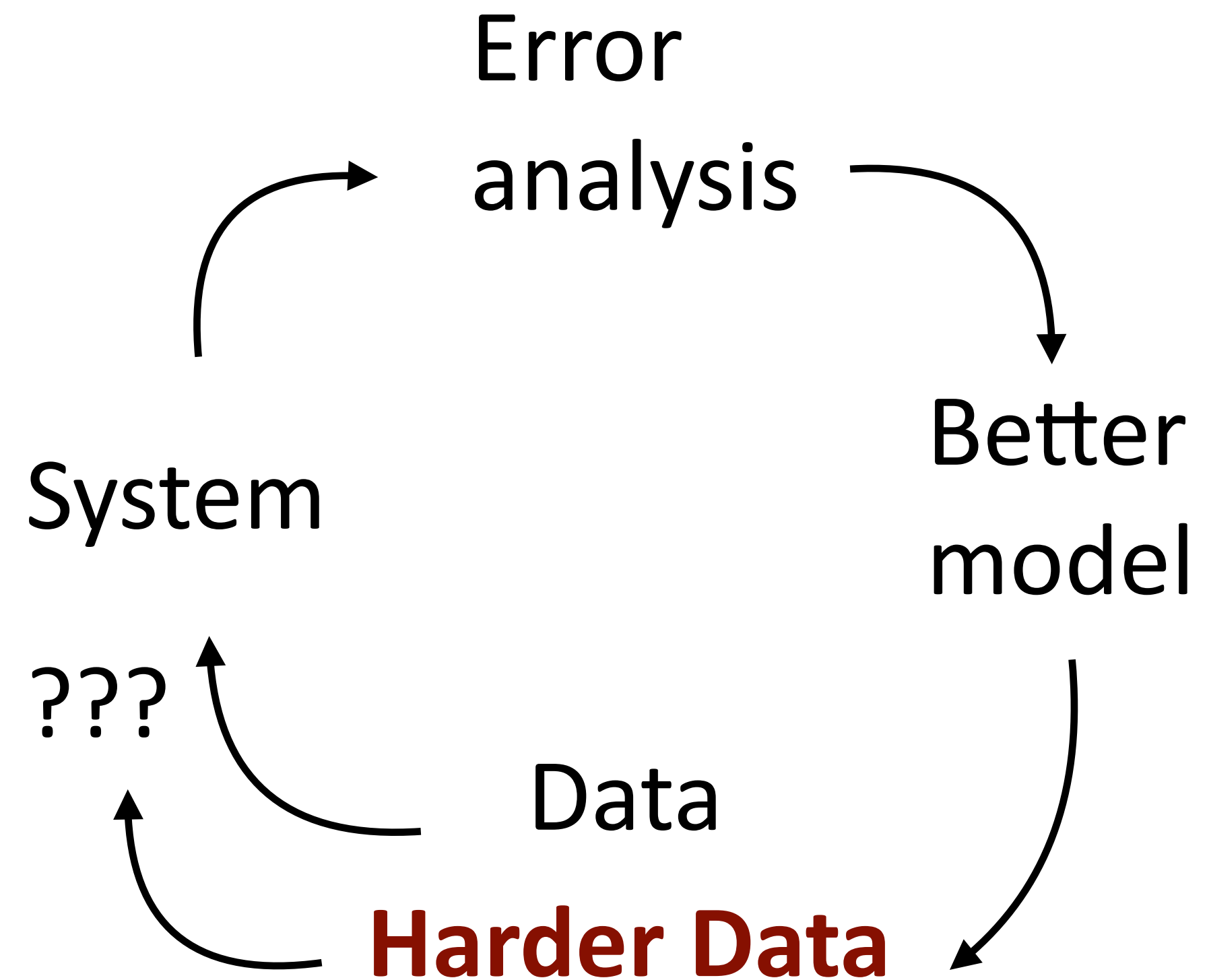
Dialogue Mission Creep

Most NLP tasks



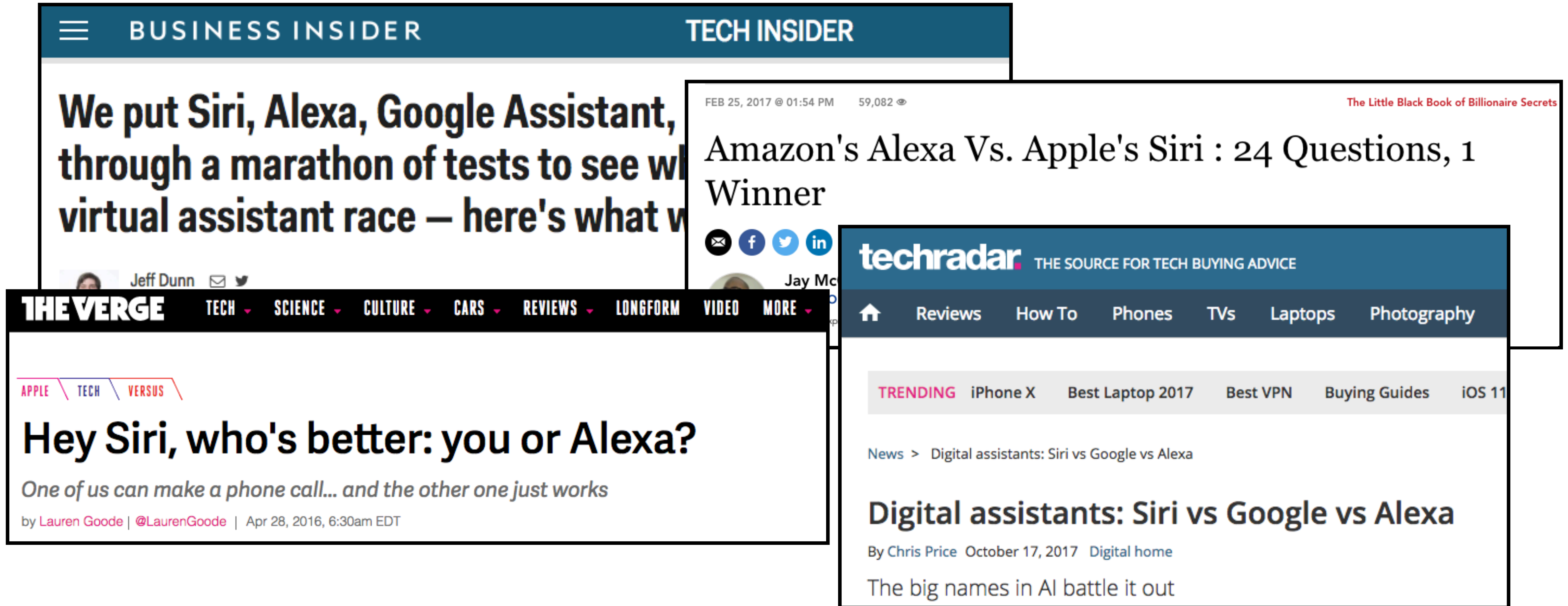
- Fixed distribution (e.g., natural language sentences), error rate $\rightarrow 0$

Dialogue/Search/QA



- Error rate \rightarrow ???; “mission creep” from HCI element

Dialogue Mission Creep



- High visibility — your product has to work really well!

Takeaways

- ▶ Some decent chatbots, applications: predictive text input, ...
- ▶ Task-oriented dialogue systems are growing in scope and complexity
- ▶ More and more problems are being formulated as dialogue — interesting applications but challenging to get working well