Lecture 16: Dialogue

Alan Ritter

(many slides from Greg Durrett)

This Lecture

- Chatbot dialogue systems
- Task-oriented dialogue
- Other dialogue applications

Chatbots

Turing Test (1950)

- Imitation game: A and B are locked in rooms and answer C's questions via typewriter. Both are trying to act like B

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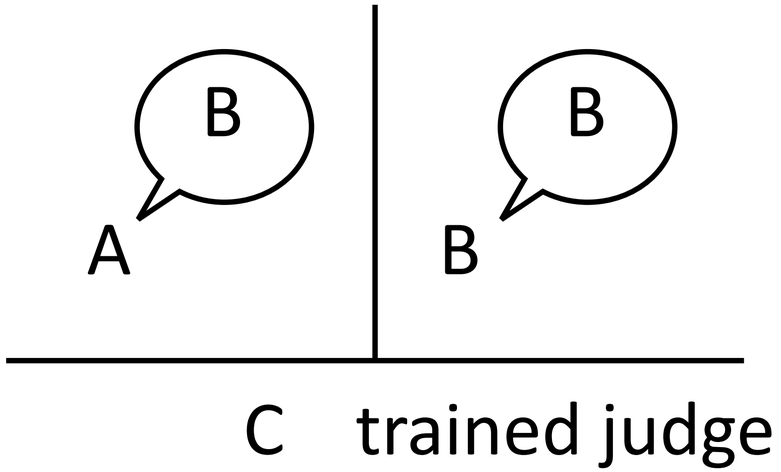

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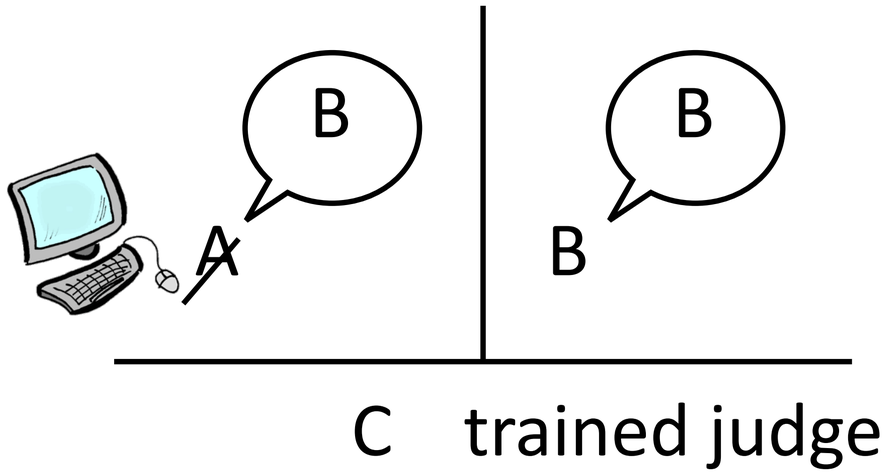

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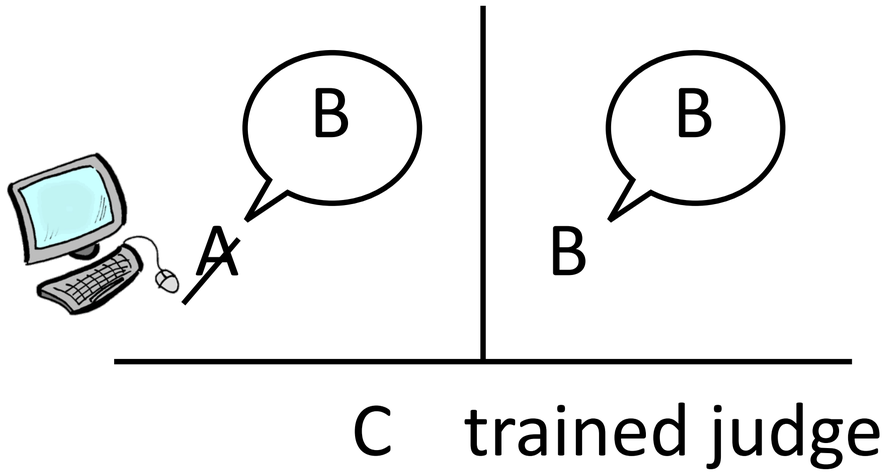

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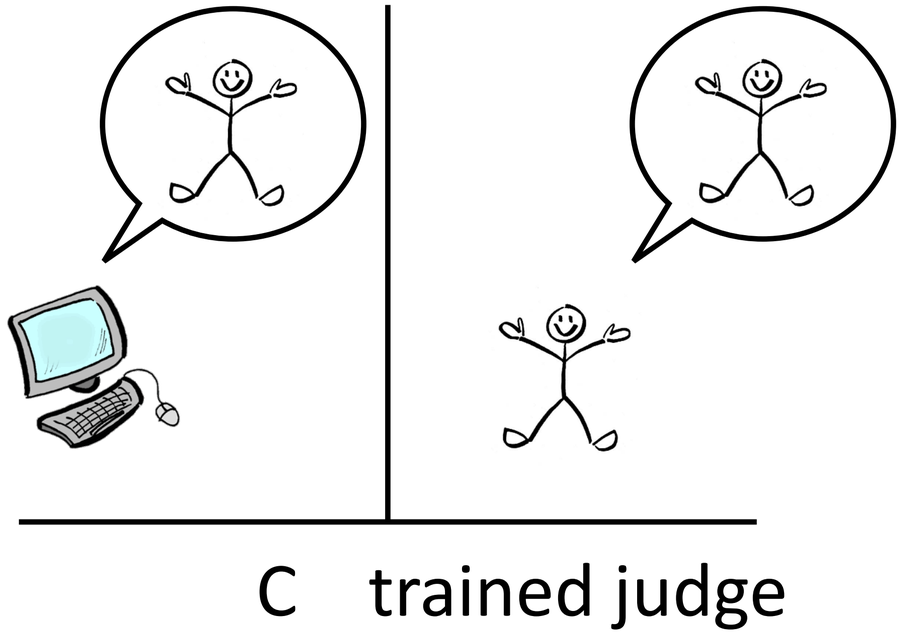

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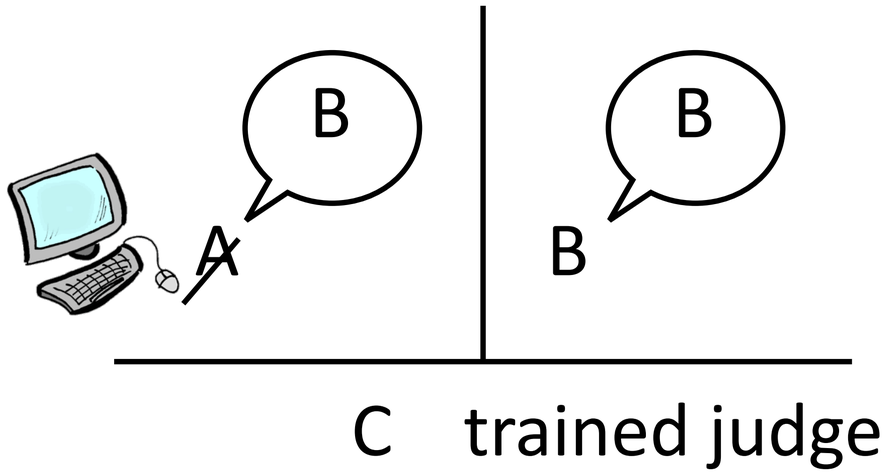

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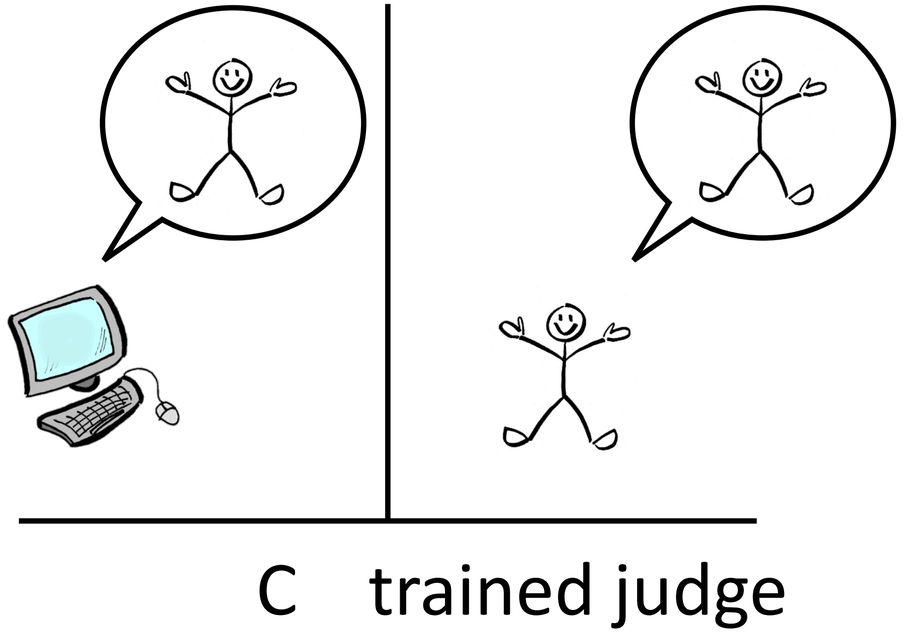

- The test is **not** "does this computer seem human-like to random people with a web browser?"

ELIZA

- Created 1964-1966 at MIT, heavily scripted
- DOCTOR script was most successful: repeats user's input, asks inane questions

You are like my father in some ways.
WHAT RESEMBLANCE DO YOU SEE
You are not very aggressive but I think you don't want me to notice that.
WHAT MAKES YOU THINK I AM NOT VERY AGGRESSIVE
You don't argue with me.
WHY DO YOU THINK I DON'T ARGUE WITH YOU
You are afraid of me.
DOES IT PLEASE YOU TO BELIEVE I AM AFRAID OF YOU
My father is afraid of everybody.
WHAT ELSE COMES TO MIND WHEN YOU THINK OF YOUR FATHER
Bullies.
DOES THAT HAVE ANYTHING TO DO WITH THE FACT THAT YOUR BOYFRIEND MADE YOU COME HERE

Weizenbaum (1966)

ELIZA

- Identify keyword, identify context, apply transformation rule

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(.*) you (.*) me

↓

Why do you think I $2 you?

You don't argue with me.
WHY DO YOU THINK I DON'T ARGUE WITH YOU

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My (.) (.*)

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What else comes to mind when you think of your $1?

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What else comes to mind when you think of your $1?

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WHY DO YOU THINK I DON'T ARGUE WITH YOU

- Very little need to generate new content, but can only have one type of conversation

Cleverbot

- Carpenter (1986), online system built in 2006

User: Hi there cleverbot.
Cleverbot: How are you?
User: Doing okay. what plans do you have today?
Cleverbot: I do revision.
User: What are you revising for?
Cleverbot: Maths history.
User: How old are you?
Cleverbot: That's none of your business.
User: What is maths history?
Cleverbot: I don’t know, farming maybe

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- “Nearest neighbors”: human says statement A, find a human response in human-human or human-computer chats to statement A, repeat that
- Can often give sensible answers, but the bot doesn’t really impose high-level discourse structure

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Data-Driven Approaches

- Can treat as a machine translation problem: “translate” from current utterance to next one

Ritter et al. (2011)

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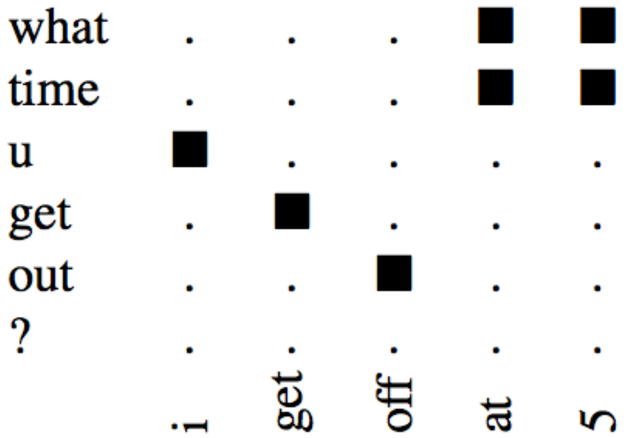

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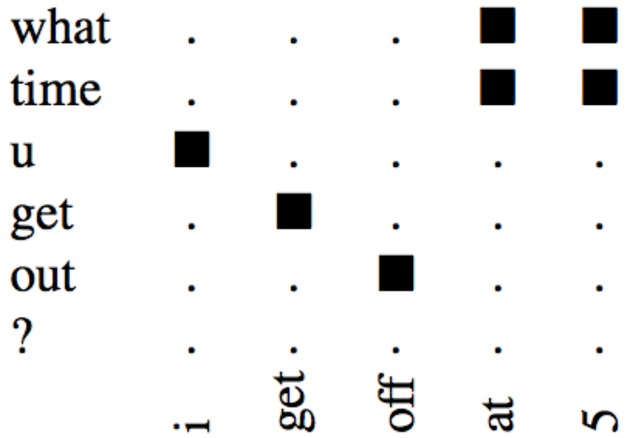

- Filter the data, use statistical measures to prune extracted phrases to get better performance

Ritter et al. (2011)

Data-Driven Approaches

Status	MT-CHAT	MT-BASELINE	HUMAN
riding to the arc on my bike. Hopefully I won't melt into the pavement.	good luck on your bike ride arc	i ca n't wait for it ! i 'm arc is a year .	make sure to ride in the middle of the street
I really hate watching movies in the living room.	me too , what movies are you doing in room ?	me too ! i love those ?) in my mouth .	Why? no comfy spots or just too open to public
Hello Did I miss David Getting his teen choice award? Or did they not show it :(	hello miss ? * he was getting his will probably win .	it 's just like what you just did the exact date hello ?	nothing yet...he presented though he is so darn cute

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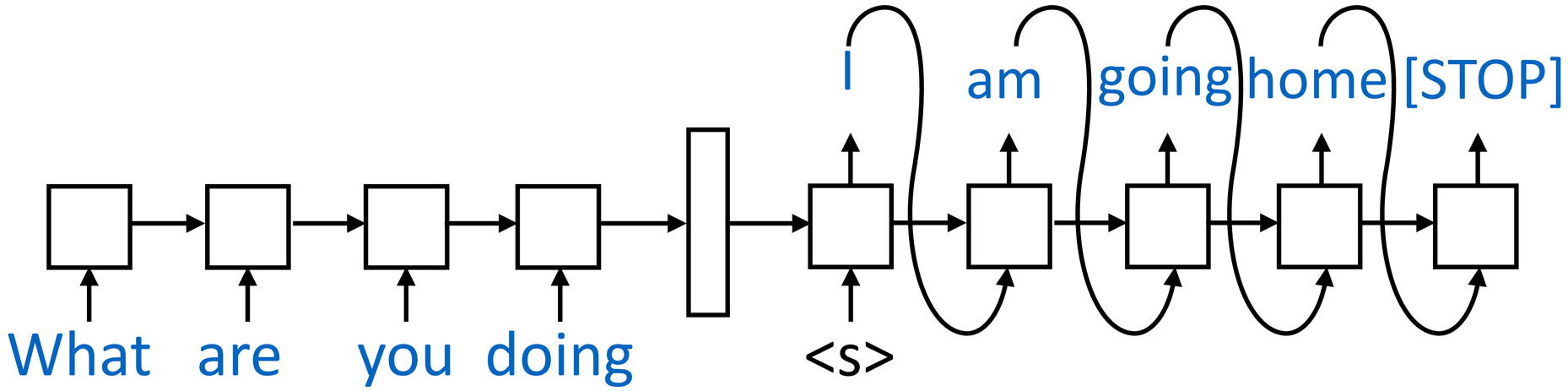
http://kb1.cse.ohio-state.edu:8010/cgi-bin/mt_chat3.py

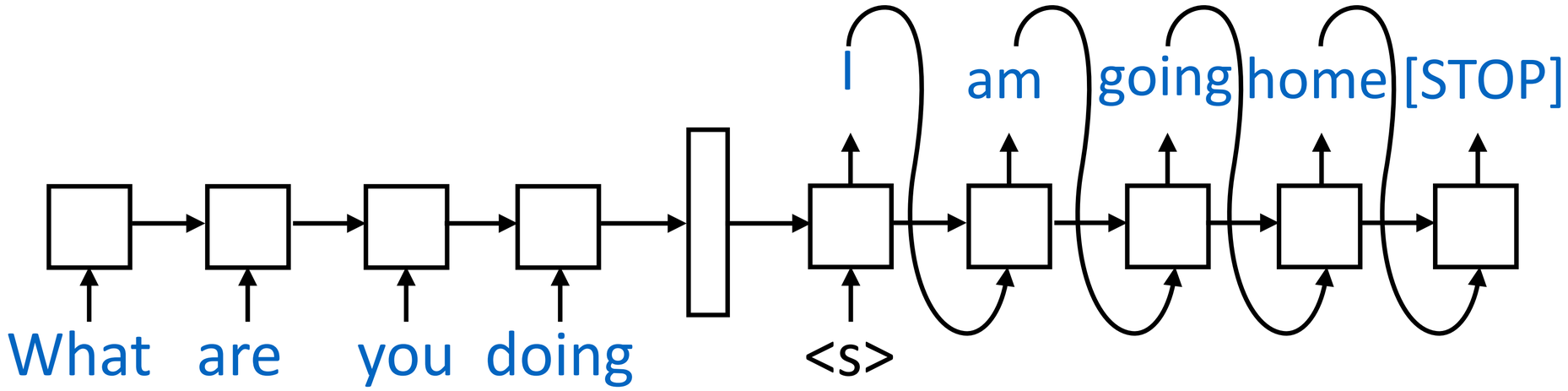
Ritter et al. (2011)

Seq2seq models



- Just like conventional MT, can train seq2seq models for this task

Seq2seq models



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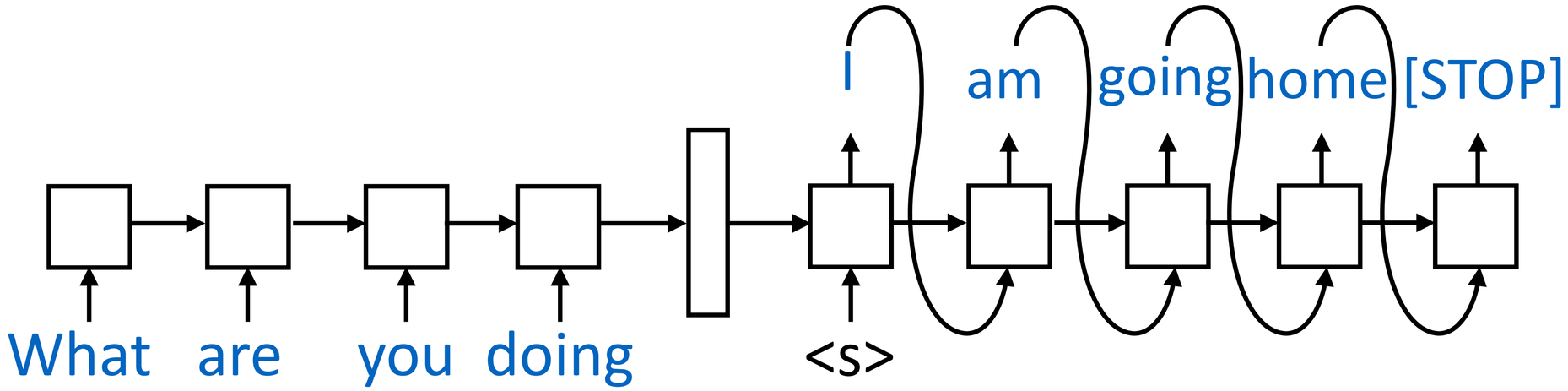
- Why might this model perform poorly? What might it be bad at?

Seq2seq models



- Just like conventional MT, can train seq2seq models for this task
- Why might this model perform poorly? What might it be bad at?
- Hard to evaluate:

System	BLEU
RANDOM	0.33
MT	3.21
HUMAN	6.08

Lack of Diversity

- Training to maximize likelihood gives a system that prefers common responses:

Input: What are you doing?	
-0.86 I don't know.	-1.09 Get out of here.
-1.03 I don't know!	-1.09 I'm going home.
-1.06 Nothing.	-1.09 Oh my god!
-1.09 Get out of the way.	-1.10 I'm talking to you.
Input: what is your name?	
-0.91 I don't know.	...
-0.92 I don't know!	-1.55 My name is Robert.
-0.92 I don't know, sir.	-1.58 My name is John.
-0.97 Oh, my god!	-1.59 My name's John.
Input: How old are you?	
-0.79 I don't know.	...
-1.06 I'm fine.	-1.64 Twenty-five.
-1.17 I'm all right.	-1.66 Five.
-1.17 I'm not sure.	-1.71 Eight.

Li et al. (2016)

Lack of Diversity

- Solution: mutual information criterion; response R should be predictive of user utterance U as well

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- Standard conditional likelihood: $\log P(R|U)$

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Lack of Diversity

- Solution: mutual information criterion; response R should be predictive of user utterance U as well
- Standard conditional likelihood: $\log P(R|U)$
- Mutual information: $\log \frac{P(R,U)}{P(R)P(U)} = \log P(R|U) - \log P(R)$
- log P(R) can reflect probabilities under a language model

Li et al. (2016)

Lack of Diversity

message	SEQ2SEQ	MMI $P(T\|S) - \lambda P(T)$
I did not get the report from an mi-6 agent.	I don't think that's a good idea.	You did the right thing, did you?
You haven't been given an assignment in this case.	I don't know what you are talking about.	I've been looking all over for you.
I'm losing my grip.	I don't know what you are talking about.	I'm the only one in the world.
I am ready to help.	Come on, come on	I have something we need to talk about.
You programmed me to gather intelligence. That's all I've ever done.	You know that, don't you?	You do have fun, don't you?
I mean, we'd have to talk to him.	I mean, I don't know.	I mean, he's a good guy.
This has nothing to do with him.	You don't know anything about him, do you?	I know him as much.
How come you never say it?	I don't know	Because I don't want to hurt you

- OpenSubtitles data

Li et al. (2016)

Meena

- 2.6B-parameter seq2seq model (larger than GPT-2)
- Trained on 341GB of online conversations scraped from public social media
- Sample responses:

Responses for "Why do you like the ocean?"	**Score**
1. I love the beach. I like that it doesn't have anything in it. Just open water.	-12.98
2. It's dark and mysterious. I discovered it when I was really young and it was a dream of mine since. The ocean is full of wonders, mysteries and great things to discover. I love snorkeling. I moved to Hawaii a year ago and I'm going to college for marine biology. So there's that.	-14.03
3. The sea sounds cool and it's really satisfying to swim in.	-14.62
4. I dunno. It's beautiful, I guess. It reflects my upbringing, I reckon.	-14.87
5. Well I'm from the pacific northwest and always somehow end up back there. I've been to Hawaii but I'm not one for the beach, it's too hot and the air is so dry.	-15.36

Adiwardana et al. (2020)

Blender

- 2.7B-param model (like the previous one), also 9.4B-parameter seq2seq model
- “Poly-encoder” Transformer architecture, some training tricks
- Three models: retrieve (from training data), generate, retrieve-and-refine
- Fine-tuning on three prior datasets: PersonaChat, Empathetic Dialogues (discuss personal situation, listener is empathetic), Wizard of Wikipedia (discuss something from Wikipedia)

Roller et al. (2020)

Blender

Will you sing me a song?

Sure, what do you want to be sung to? I can sing you a song about baking.

Yes, sing me a song about baking!

Do you want me to sing it to the tune of "Sing Along with Mitch"?

Yes, I would love that.

Ok, I'll try to get it to you by the end of the day. What else do you like to do?

No, sing it to me now!

Ok, give me a few days. I'm a teacher, so I don't have much free time.

Can you at least tell me what the lyrics are?

Sure, it goes like this: "Baking is my favorite thing to do in the world."

How does the chorus go?

It goes: BAKING IS MY FAVORITE THING TO DO IN THE WORLD!

Hey! What's up?

Not much, just got back from a surf trip. How about you? What are you up to?

Woah, where do you surf?

I live in Hawaii, so I surf all over the place. Where do you live?

I live in New York. I love Hawaii...which island do you live on?

I live on Oahu, which is the most populous island. Do you have any hobbies?

Oh cool... I've only been to Maui. I like to play the piano.

That's awesome! I love music, especially classical. What kind of music do you play?

Mostly classical! What do you think of Bach?

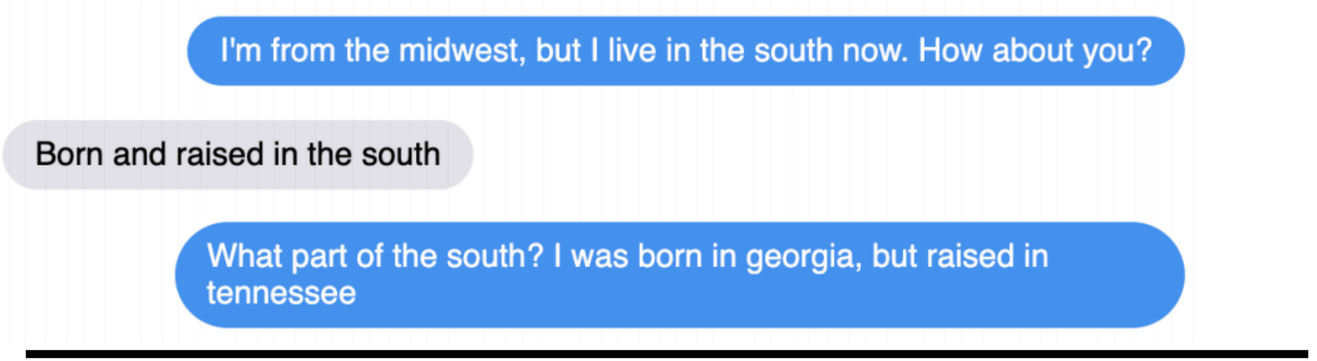
I love Bach! He was one of the most influential composers of all time.

Yeah he totally was. Who do you think is better, Bach or Mozart?

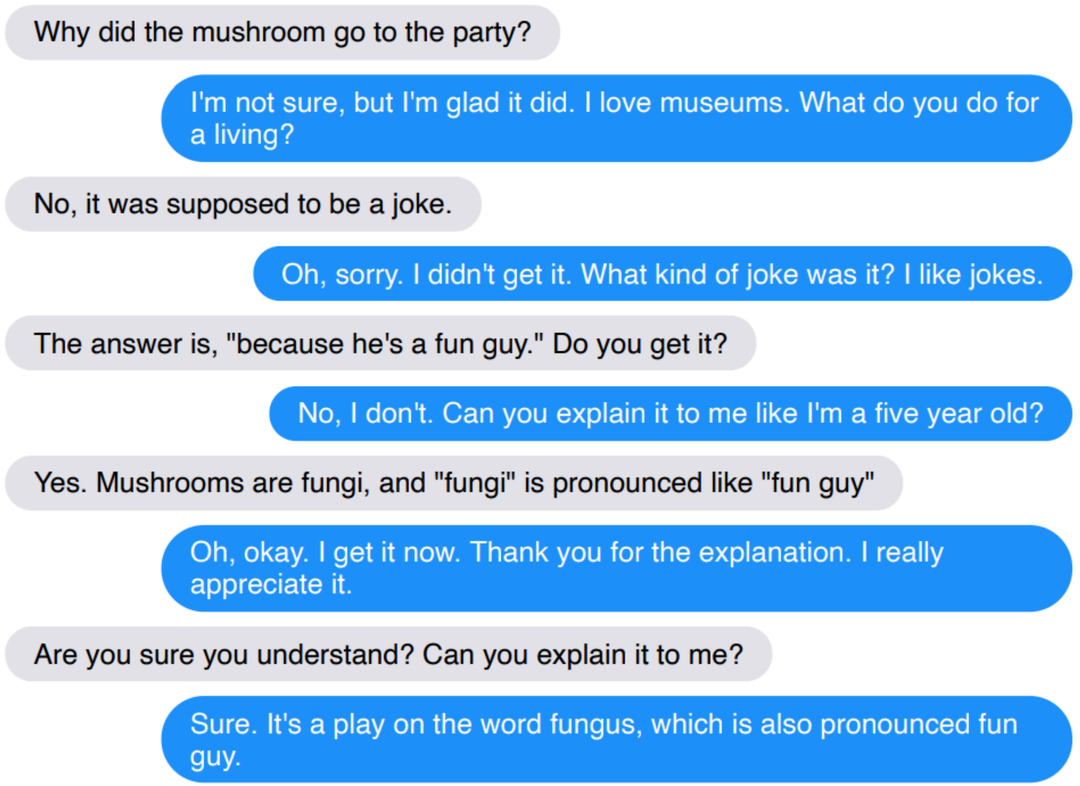
I think Bach is the better composer, but it's really hard to compare the two.

Blender

- Inconsistent responses: this model doesn't **really** have anything to say about itself



- Holding a conversation != AI
  - Can't acquire new information
  - Did it learn "fun guy"? No, it doesn't understand phonology. It probably had this in the data somewhere (stochastic parrot!)



Task-Oriented Dialogue

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- Question answering/search:

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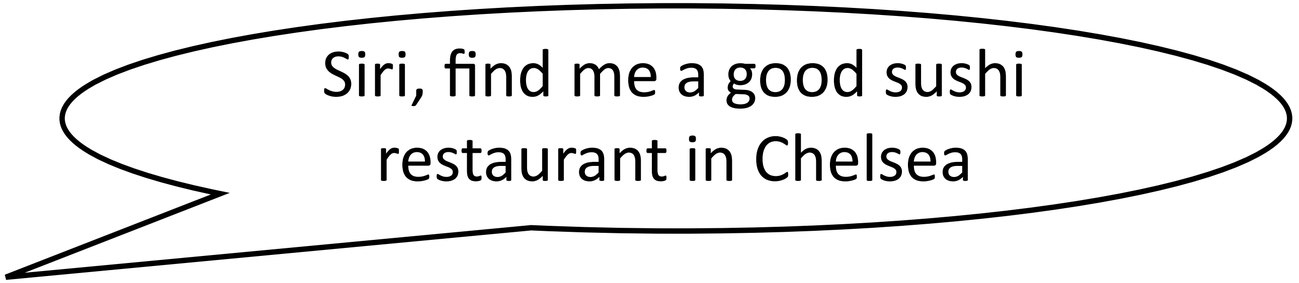

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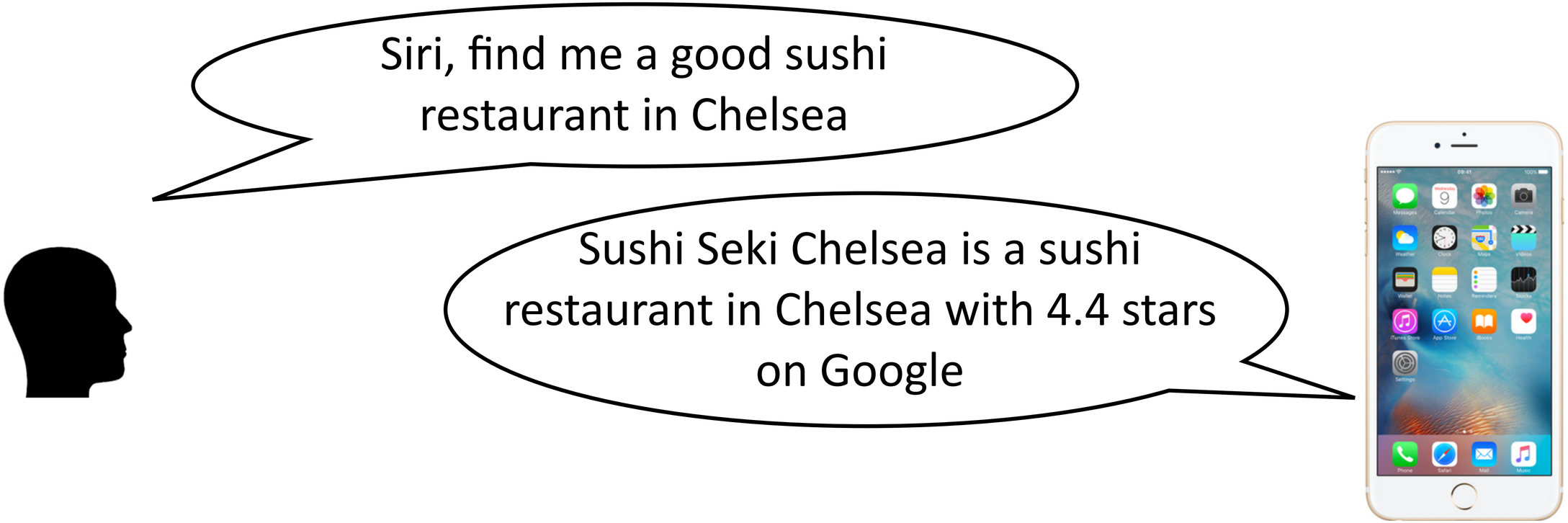

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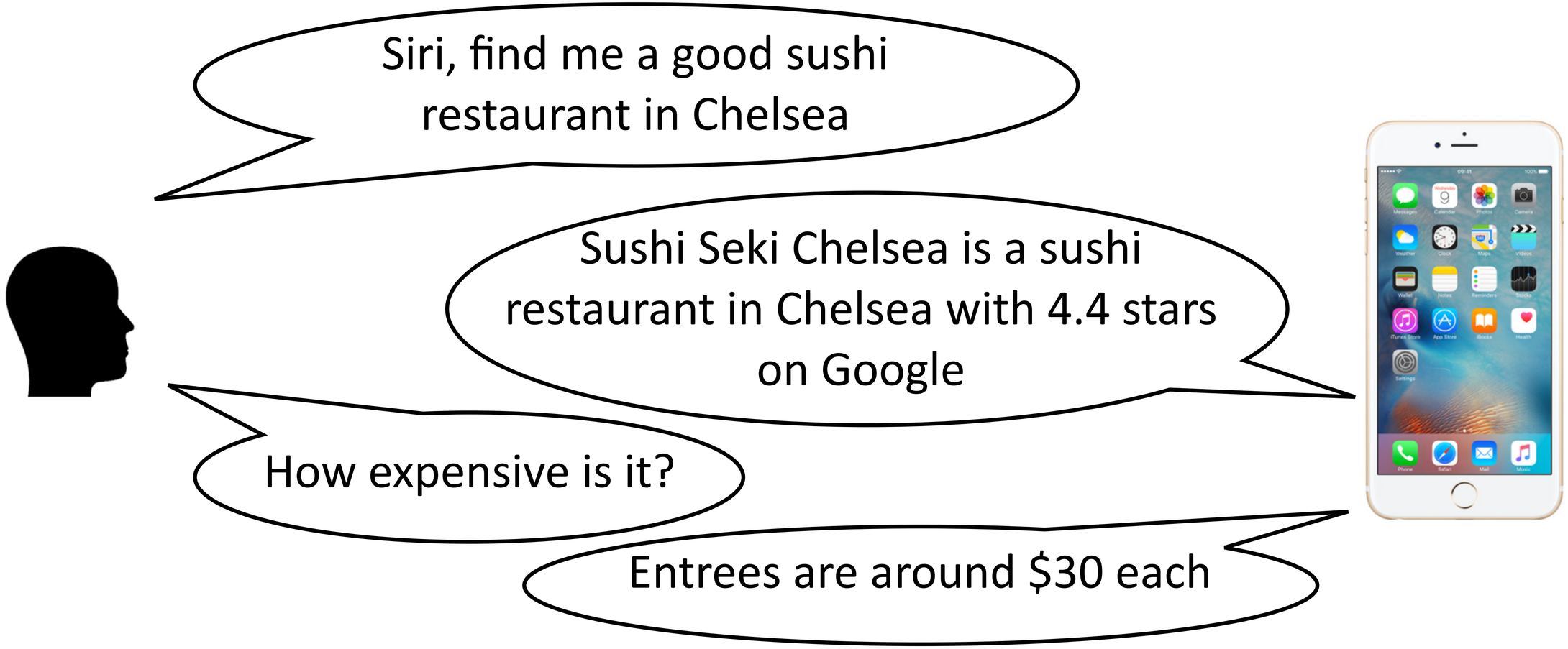

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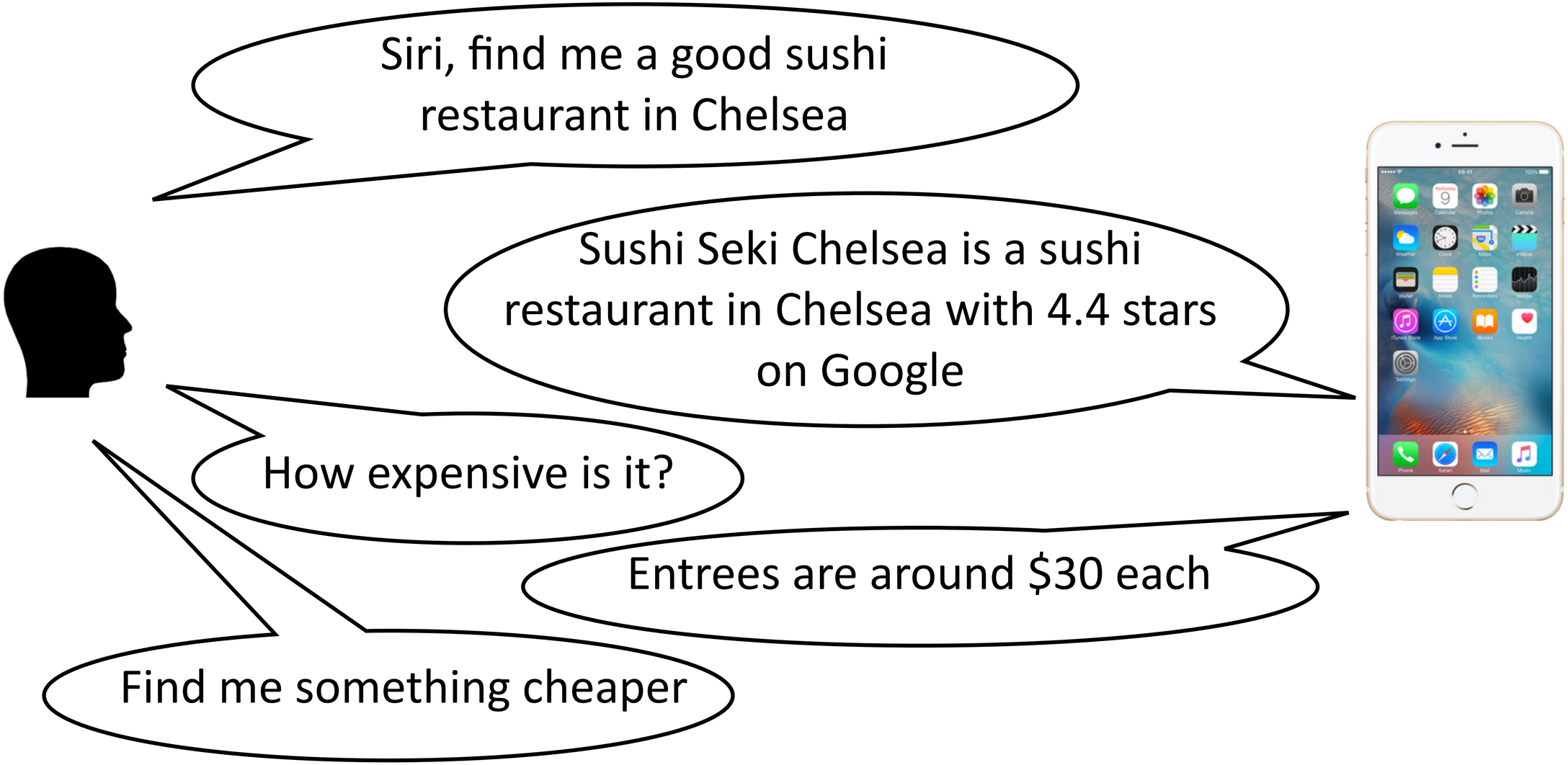

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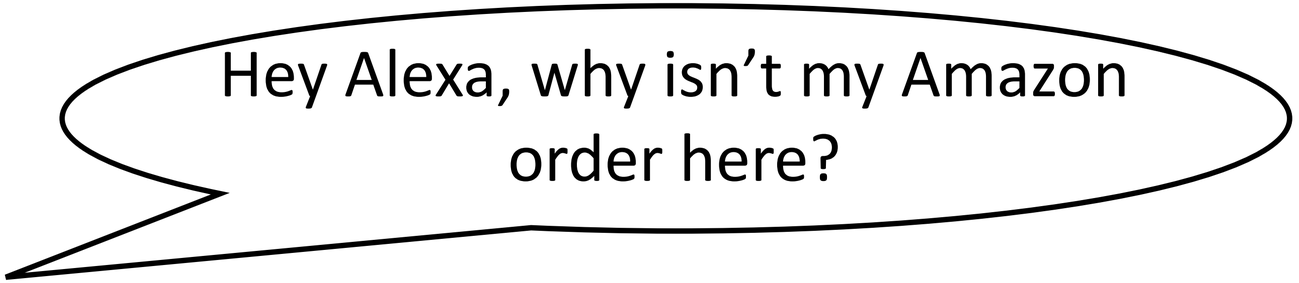

Task-Oriented Dialogue

- Personal assistants / API front-ends:

Hey Alexa, why isn't my Amazon order here?

Let me retrieve your order.
Your order was scheduled to arrive at 4pm today.

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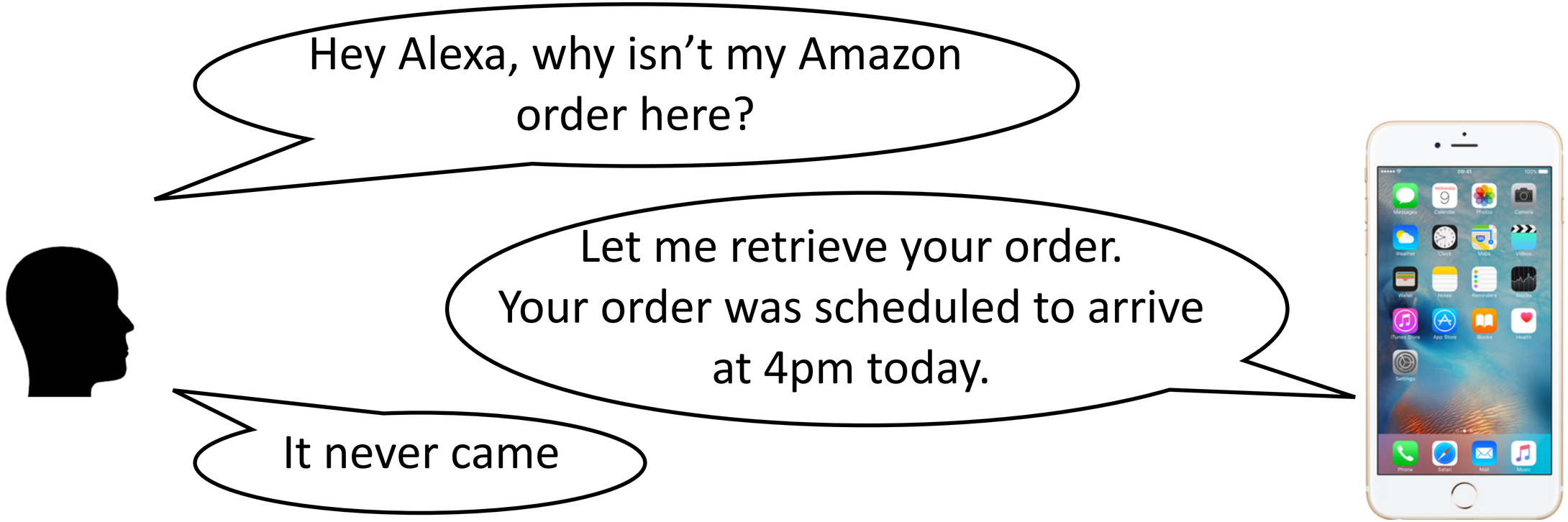


Task-Oriented Dialogue

- Personal assistants / API front-ends:

Hey Alexa, why isn't my Amazon order here?

Let me retrieve your order. Your order was scheduled to arrive at 4pm today.

It never came

Okay, I can put you through to customer service.

Air Travel Information Service (ATIS)

- Given an utterance, predict a domain-specific semantic interpretation

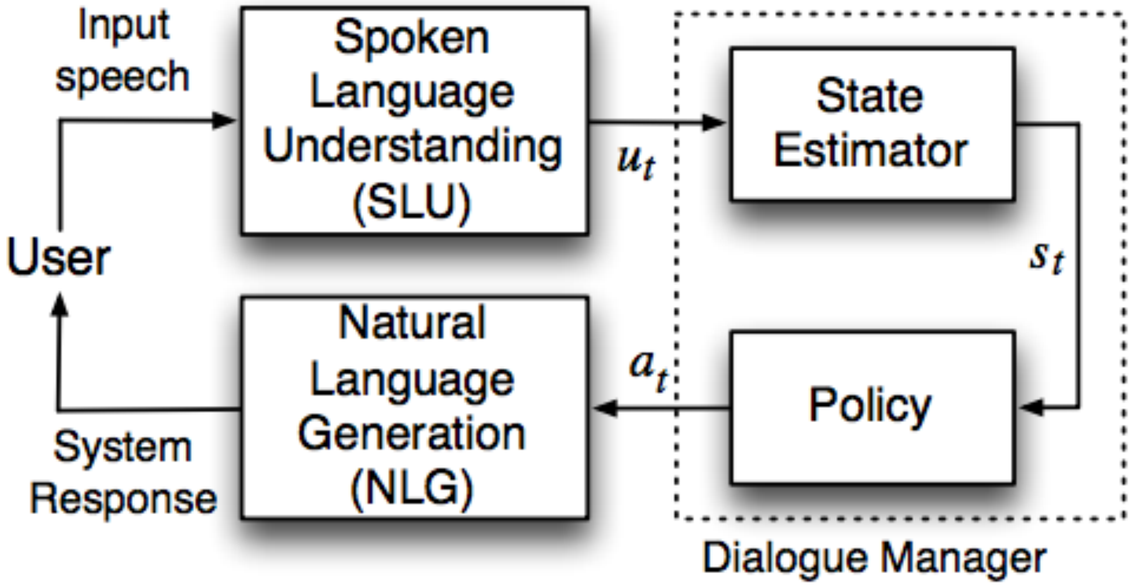
Utterance	*How much is the cheapest flight from Boston to New York tomorrow morning?*
Goal:	Airfare
Cost_Relative	*cheapest*
Depart_City	*Boston*
Arrival_City	*New York*
Depart_Date.Relative	*tomorrow*
Depart_Time.Period	*morning*

- Can formulate as semantic parsing, but simple slot-filling solutions (classifiers) work well too

DARPA (early 1990s), Figure from Tur et al. (2010)

Full Dialogue Task

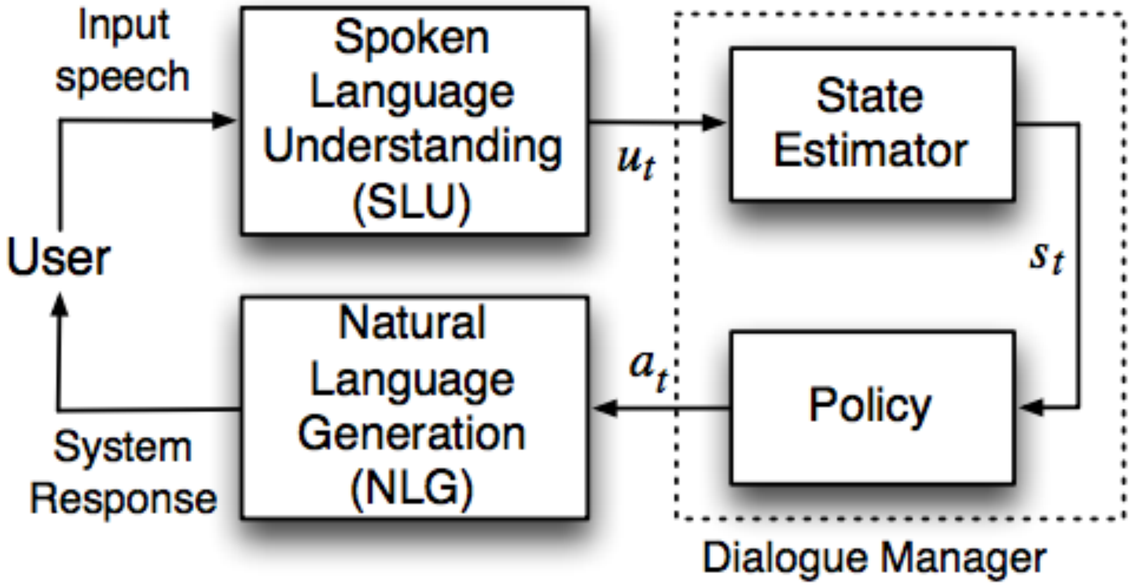
- Parsing / language understanding is just one piece of a system



Young et al. (2013)

Full Dialogue Task

- Parsing / language understanding is just one piece of a system
- Dialogue state: reflects any information about the conversation (e.g., search history)



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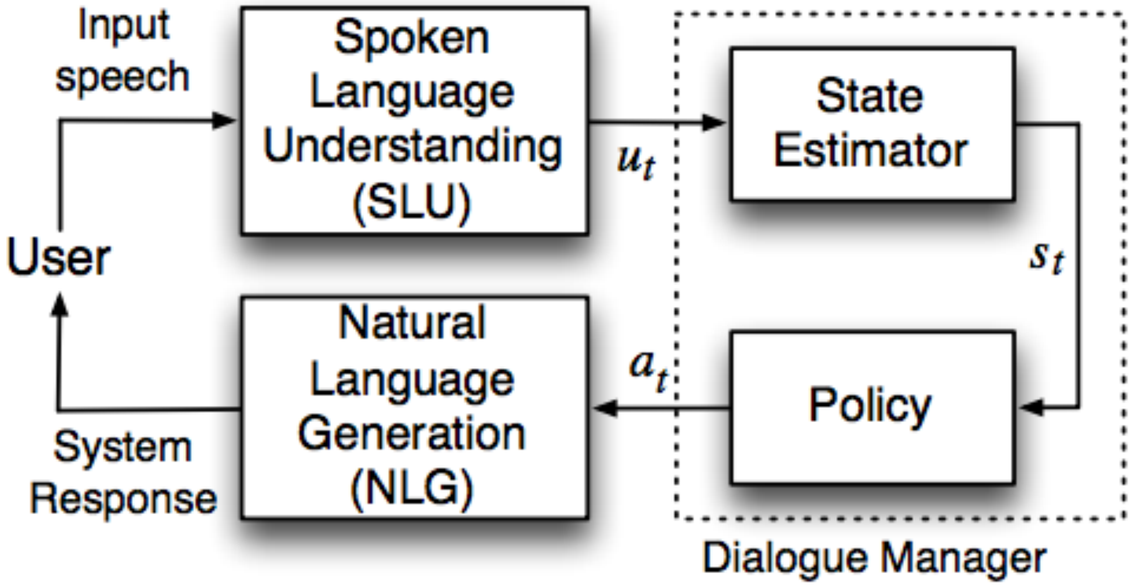

- User utterance -> update dialogue state -> take action (e.g., query the restaurant database) -> say something

Young et al. (2013)

Full Dialogue Task

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Find me a good sushi restaurant in Chelsea

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restaurant_type <- sushi
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Full Dialogue Task

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curr_result <- execute_search()
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Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

Full Dialogue Task

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Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

How expensive is it?

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```

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How expensive is it?

```
get_value(cost, curr_result)
```

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
location <- Chelsea
curr_result <- execute_search()
```

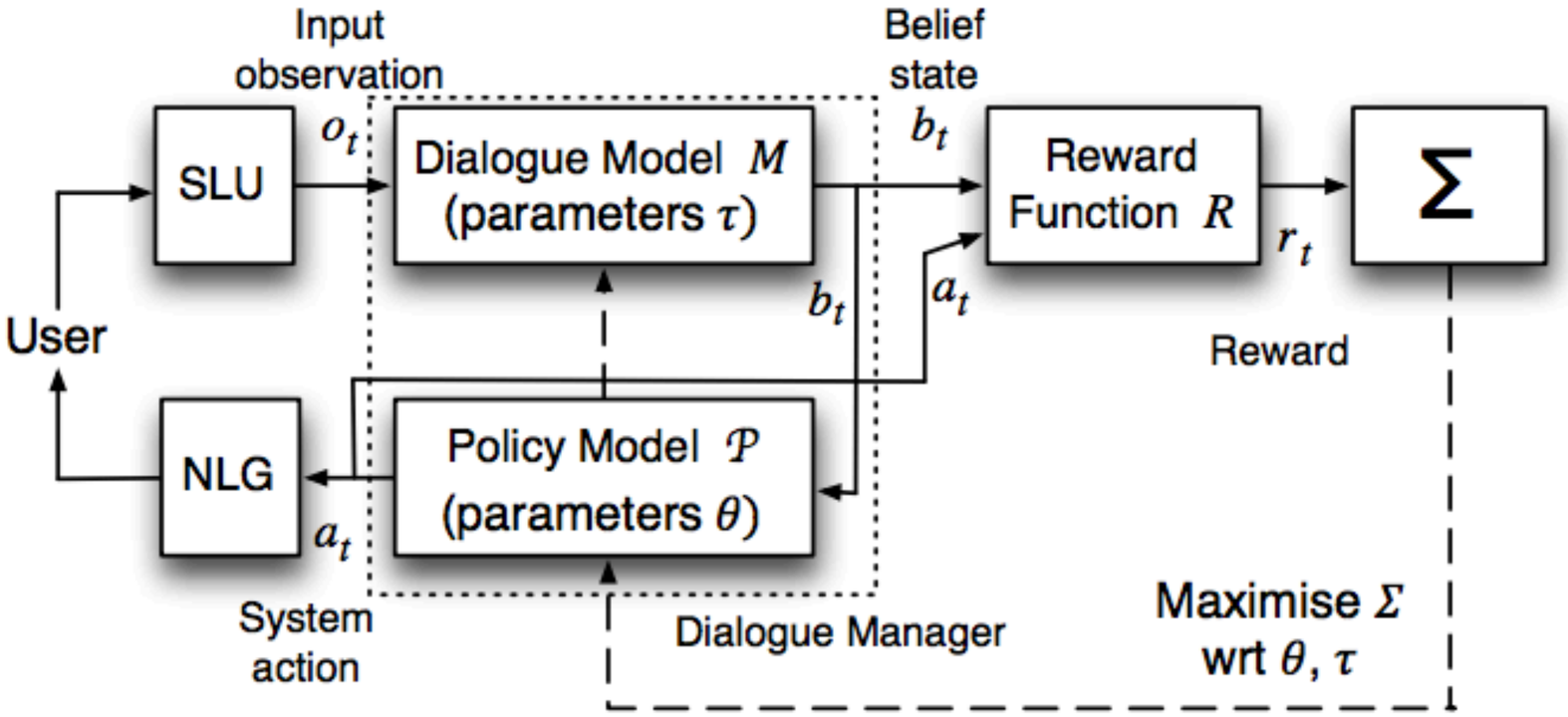
Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

How expensive is it?

```
get_value(cost, curr_result)
```

Entrees are around $30 each

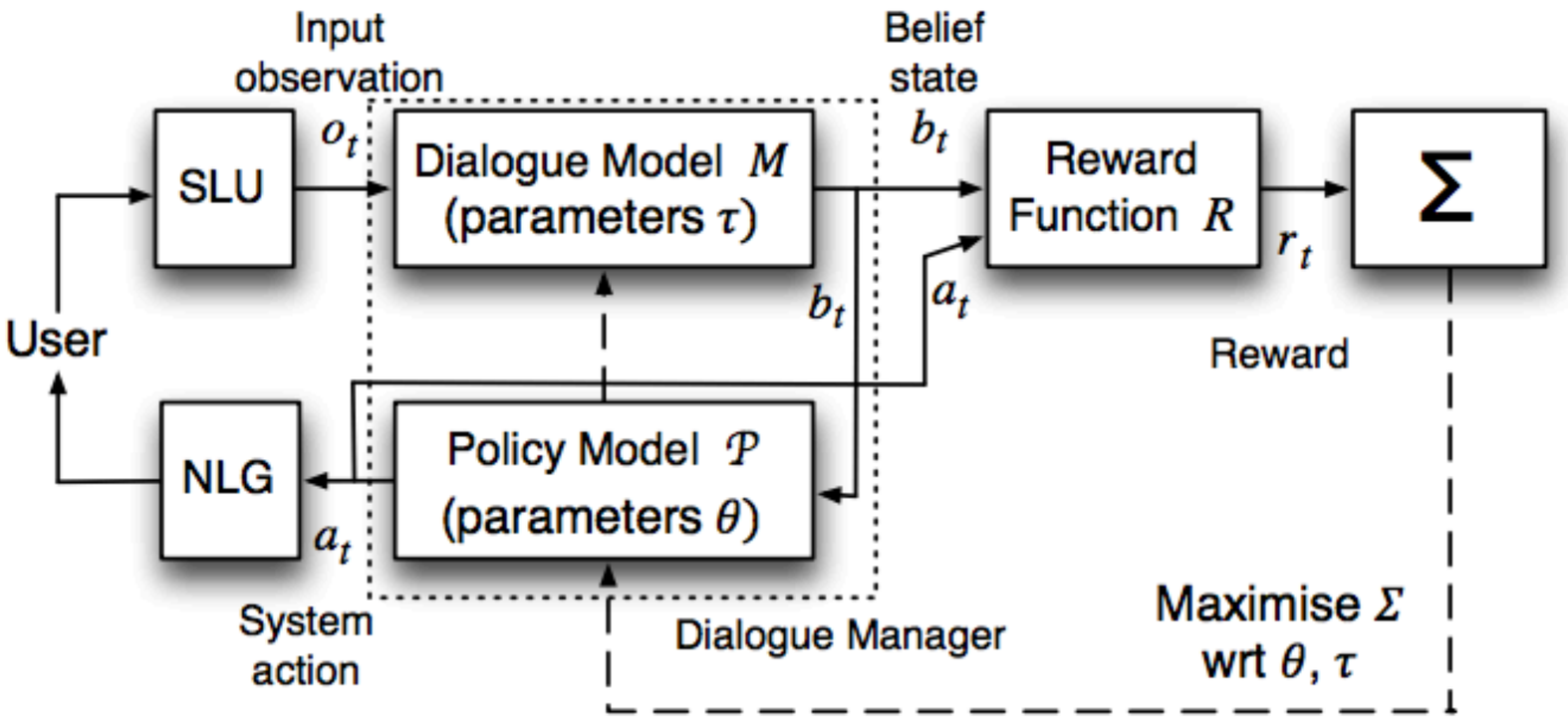
POMDP-based Dialogue Systems



- POMDP: user is the "environment," an utterance is a noisy signal of state

Young et al. (2013)

POMDP-based Dialogue Systems



- POMDP: user is the "environment," an utterance is a noisy signal of state
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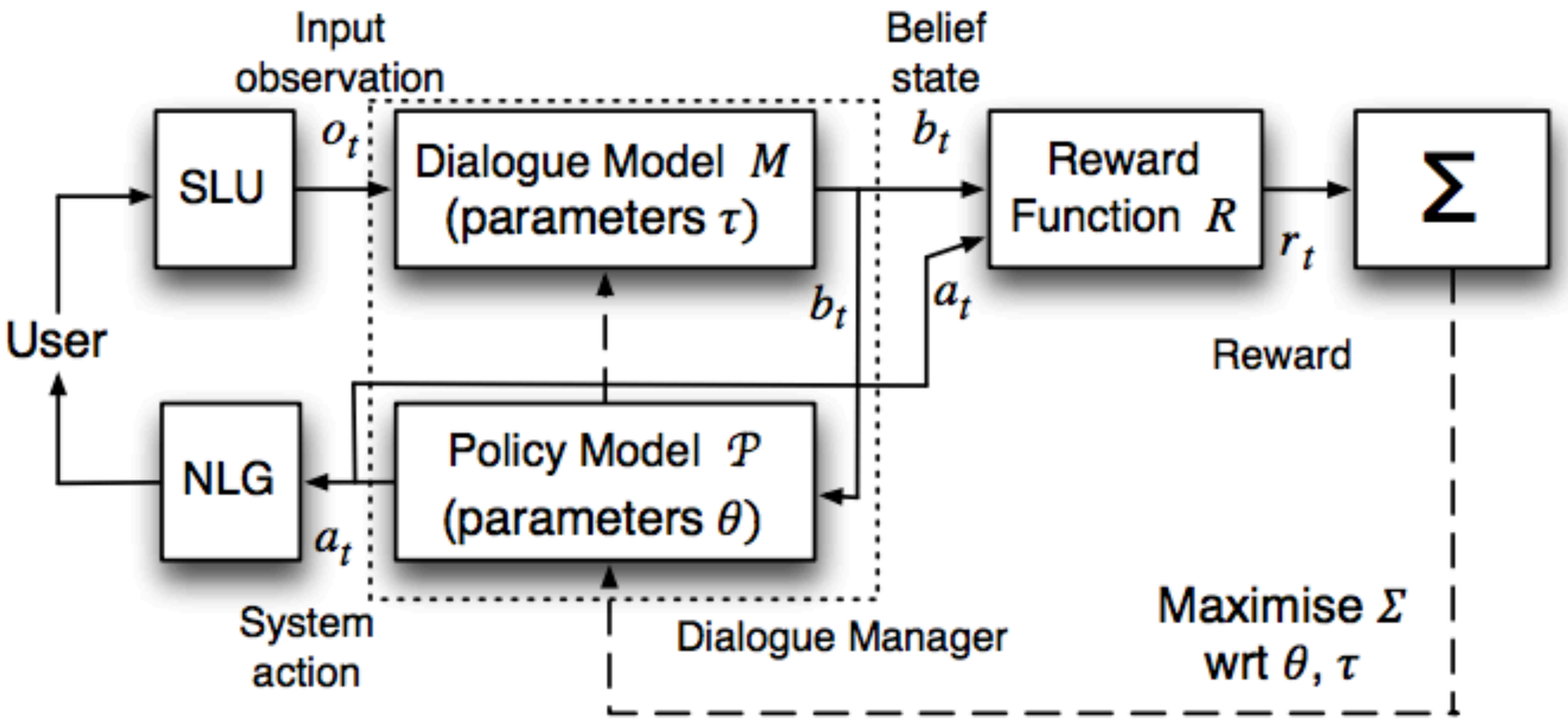
POMDP-based Dialogue Systems



- POMDP: user is the "environment," an utterance is a noisy signal of state
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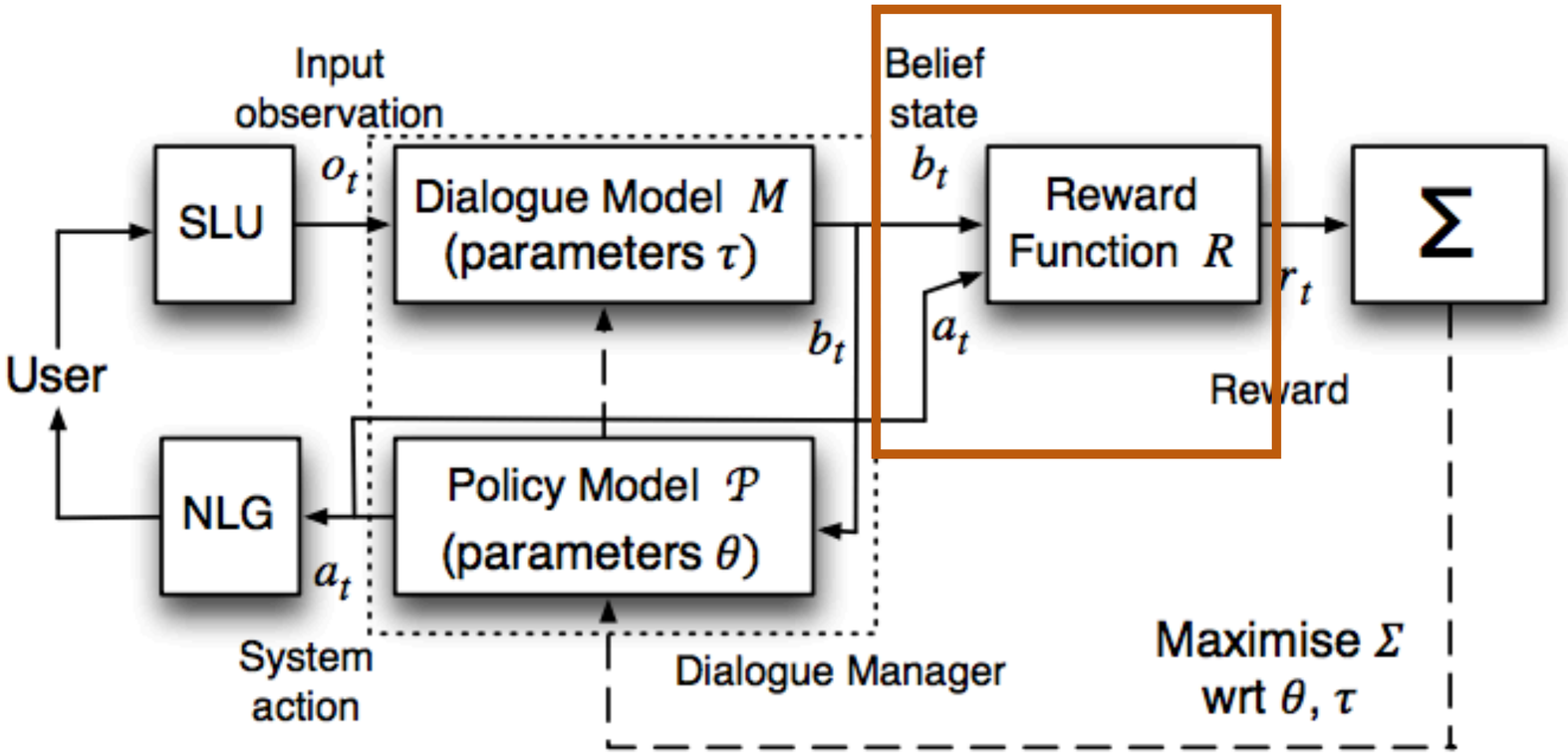
- Generator: use templates or seq2seq model

Young et al. (2013)

POMDP-based Dialogue Systems



- POMDP: user is the "environment," an utterance is a noisy signal of state
- Dialogue model: can look like a parser or any kind of encoder model
- Generator: use templates or seq2seq model
- Where do rewards come from?

Young et al. (2013)

Reward for completing task?

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Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

How expensive is it?

…

Okay make me a reservation!

+1 `make_reservation(curr_result)`

Reward for completing task?

Find me a good sushi restaurant in Chelsea

Very indirect signal of what should happen up here

```
restaurant_type <- sushi
location <- Chelsea
curr_result <- execute_search()
```

Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

How expensive is it?

…

Okay make me a reservation!

+1 `make_reservation(curr_result)`

User gives reward?

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How expensive is it?

```
get_value(cost, curr_result)
```

+1 Entrees are around $30 each

User gives reward?

Find me a good sushi restaurant in Chelsea

How does the user know the right search happened?

```
restaurant_type <- sushi
location <- Chelsea
curr_result <- execute_search()
```

+1

Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

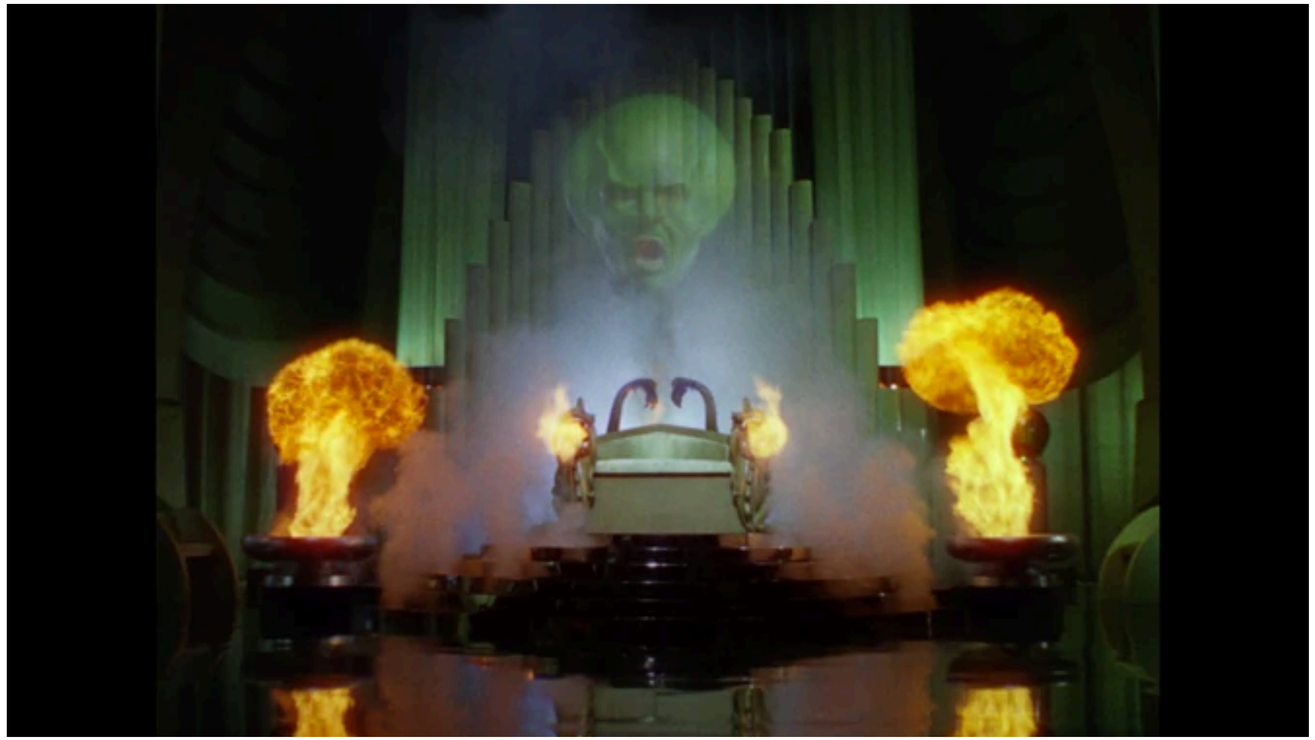
How expensive is it?

```
get_value(cost, curr_result)
```

+1 Entrees are around $30 each

Wizard-of-Oz

- Learning from demonstrations: “wizard” pulls the levers and makes the dialogue system update its state and take actions



Kelley (early 1980s), Ford and Smith (1982)

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

wizard enters these

```
restaurant_type <- sushi
location <- Chelsea
curr_result <- execute_search()
```

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

wizard enters these

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restaurant_type <- sushi
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wizard types this out or invokes templates

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Full Dialogue Task

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restaurant_type <- sushi
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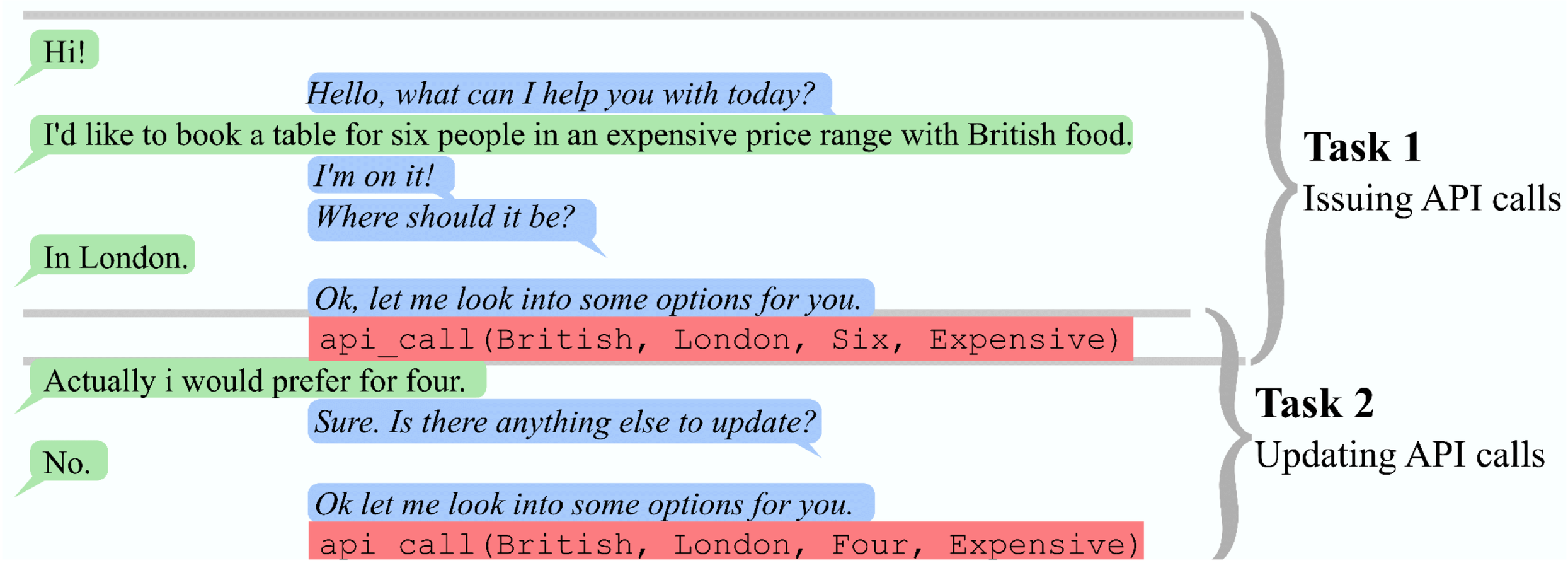
wizard types this out or invokes templates

Sushi Seki Chelsea is a sushi restaurant in Chelsea with 4.4 stars on Google

- Wizard can be a trained expert and know exactly what the dialogue systems is supposed to do

Learning from Static Traces



- Using either wizard-of-Oz or other annotations, can collect static traces and train from these

Bordes et al. (2017)

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
location <- Chelsea

curr_result <- execute_search()
```

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
location <- Chelsea
stars <- 4+
curr_result <- execute_search()
```

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
location <- Chelsea
stars <- 4+
curr_result <- execute_search()
```

- User asked for a “good” restaurant — does that mean we should filter by star rating? What does “good” mean?

Full Dialogue Task

Find me a good sushi restaurant in Chelsea

```
restaurant_type <- sushi
location <- Chelsea
stars <- 4+
curr_result <- execute_search()
```

- User asked for a “good” restaurant — does that mean we should filter by star rating? What does “good” mean?
- Hard to change system behavior if training from static traces, especially if system capabilities or desired behavior change

Goal-oriented Dialogue

- Tons of industry interest!
- Startups:

- Big Companies: Apple Siri (VocalIQ), Google Allo, Amazon Alexa, Microsoft Cortana, Facebook M, Samsung Bixby

QA as Dialogue

- UW QuAC dataset: Question Answering in Context

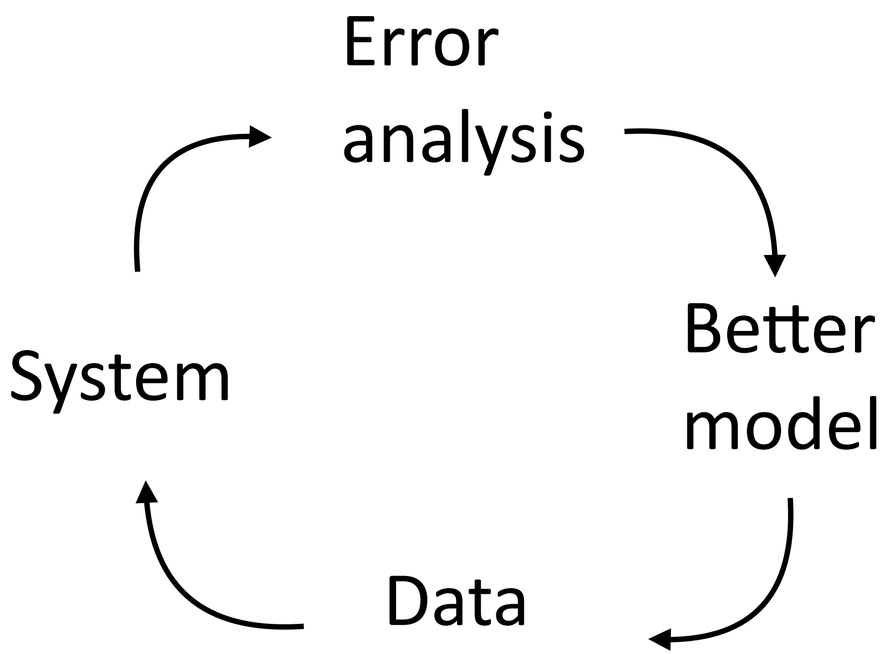
Section: Daffy Duck, Origin & History

STUDENT: **What is the origin of Daffy Duck?**
TEACHER: ↪ first appeared in Porky's Duck Hunt
STUDENT: **What was he like in that episode?**
TEACHER: ↪ assertive, unrestrained, combative
STUDENT: **Was he the star?**
TEACHER: ↪ No, barely more than an unnamed bit player in this short
STUDENT: **Who was the star?**
TEACHER: ↛ No answer
STUDENT: **Did he change a lot from that first episode in future episodes?**
TEACHER: ↪ Yes, the only aspects of the character that have remained consistent (...) are his voice characterization by Mel Blanc
STUDENT: **How has he changed?**
TEACHER: ↪ Daffy was less anthropomorphic
STUDENT: **In what other ways did he change?**
TEACHER: ↪ Daffy's slobbery, exaggerated lisp (...) is barely noticeable in the early cartoons.
STUDENT: **Why did they add the lisp?**
TEACHER: ↪ One often-repeated "official" story is that it was modeled after producer Leon Schlesinger's tendency to lisp.
STUDENT: **Is there an "unofficial" story?**
TEACHER: ↪ Yes, Mel Blanc (...) contradicts that conventional belief
. . .

Choi et al. (2018)

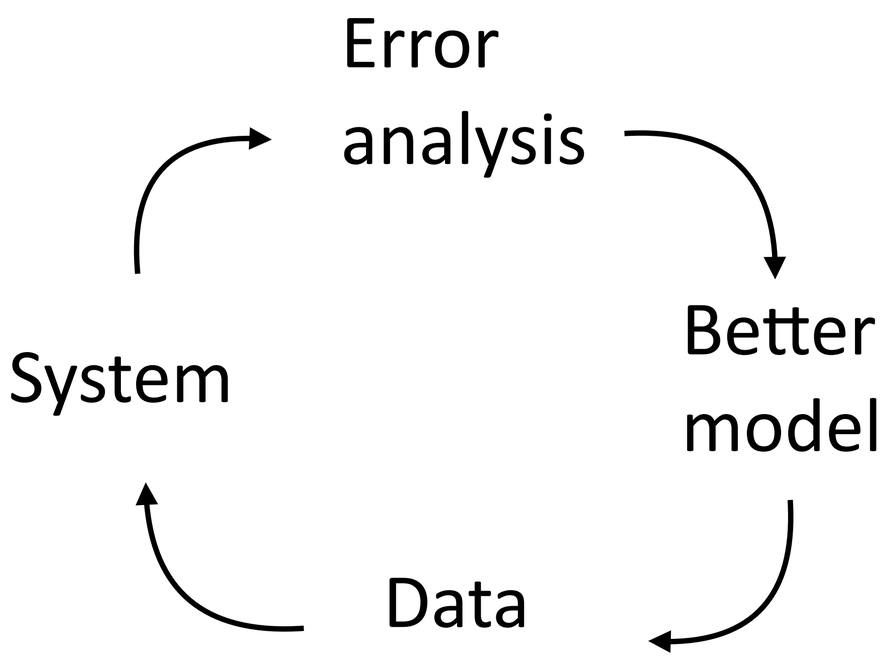
Dialogue Mission Creep

Most NLP tasks



Dialogue Mission Creep

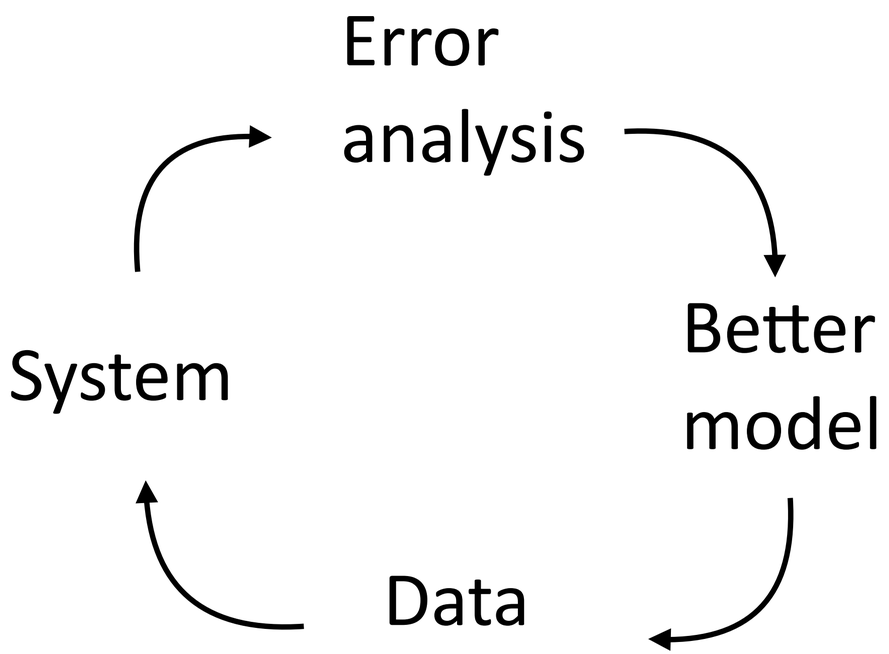
Most NLP tasks



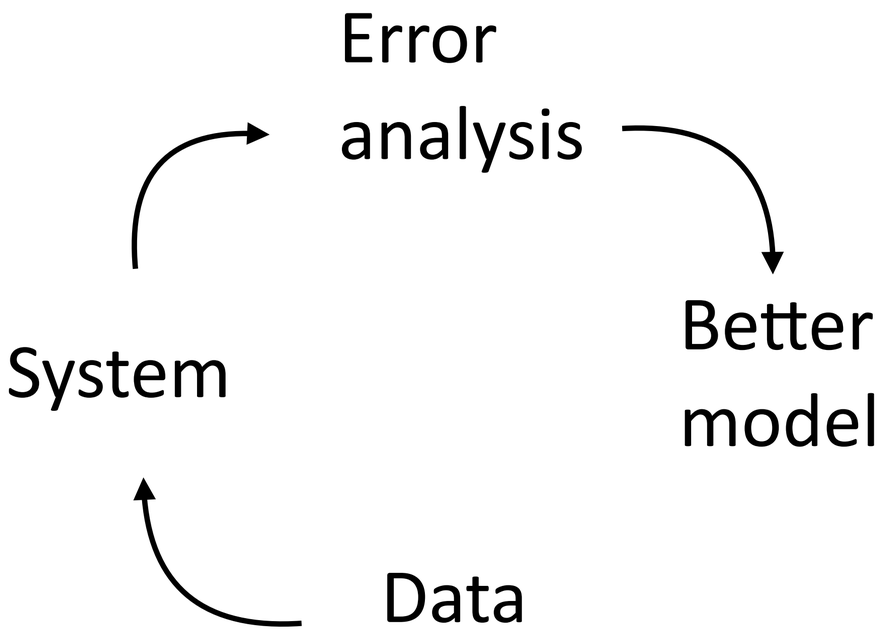
- Fixed distribution (e.g., natural language sentences), error rate -> 0

Dialogue Mission Creep

Most NLP tasks



Dialogue/Search/QA



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Dialogue Mission Creep

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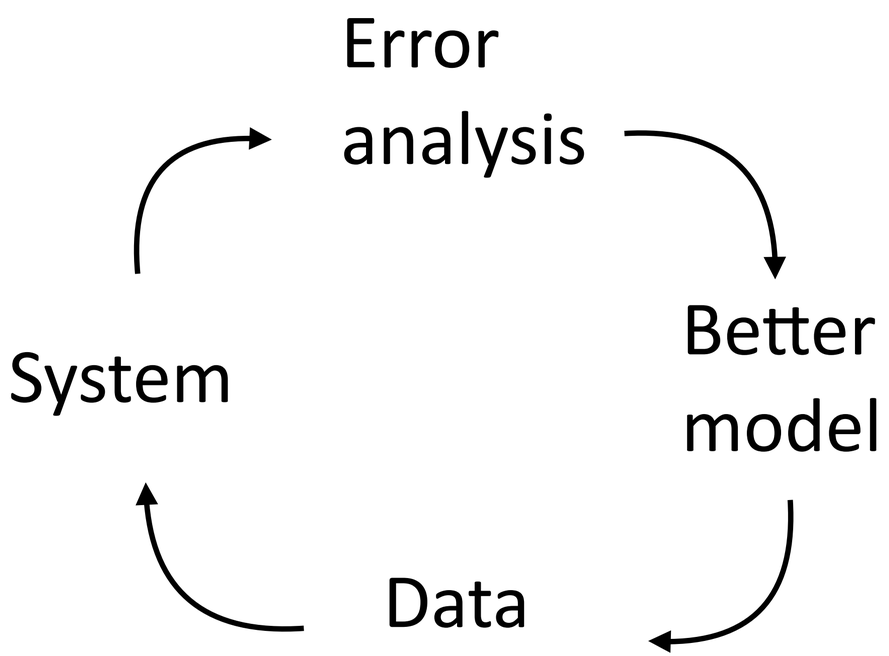

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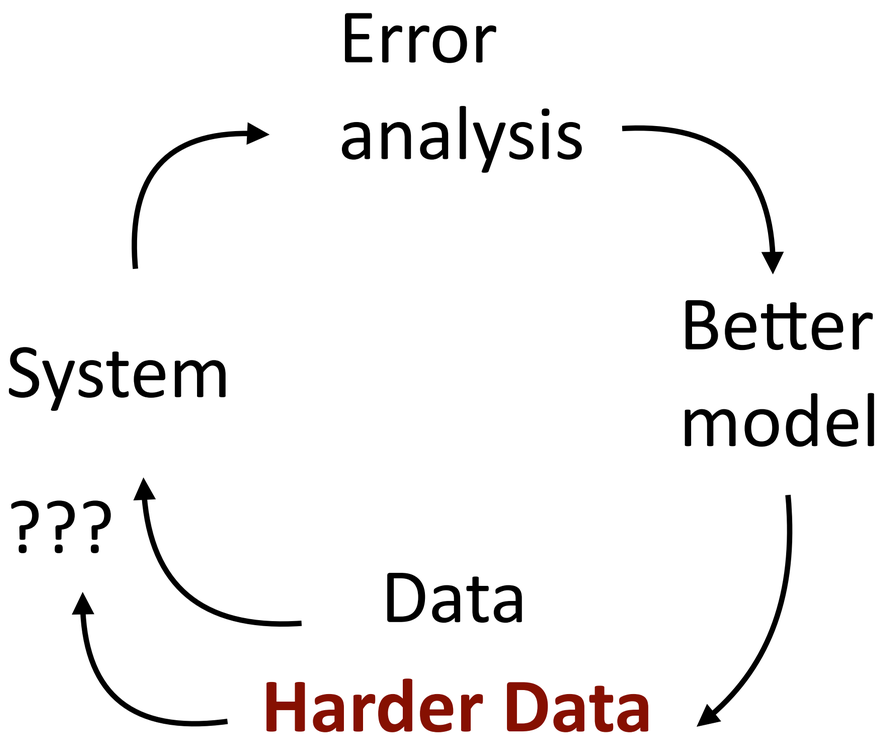

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Dialogue Mission Creep

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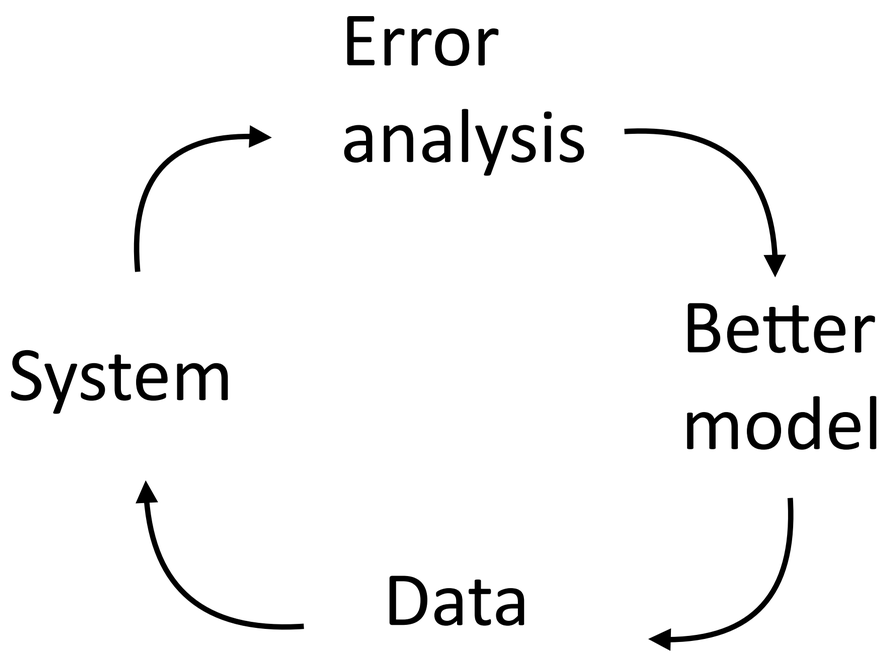

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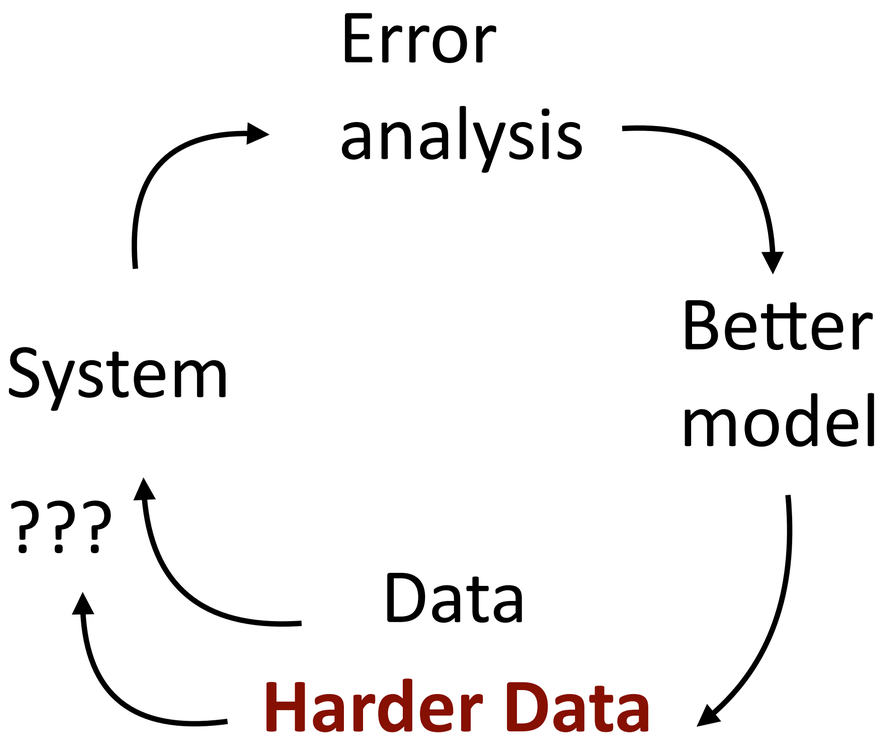
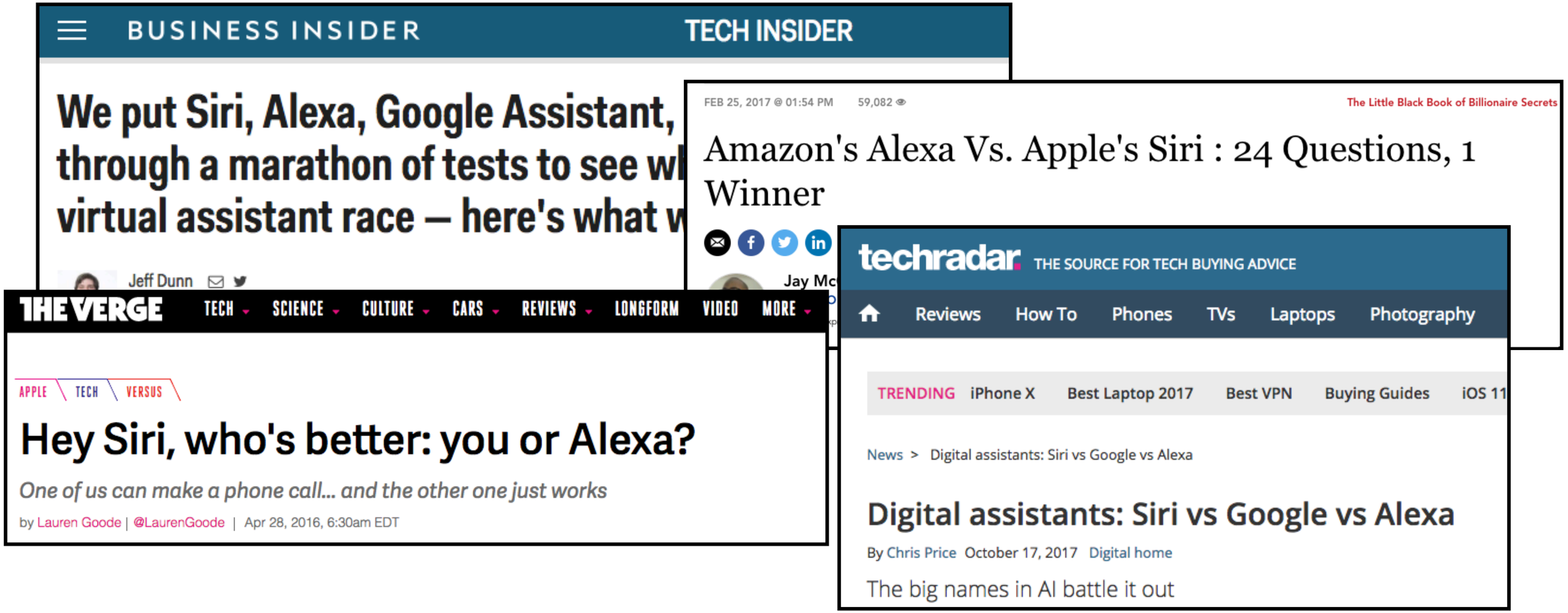


- Fixed distribution (e.g., natural language sentences), error rate -> 0
- Error rate -> ???; “mission creep” from HCI element

Dialogue Mission Creep



- High visibility — your product has to work really well!

Takeaways

- Some decent chatbots, applications: predictive text input, …
- Task-oriented dialogue systems are growing in scope and complexity
- More and more problems are being formulated as dialogue — interesting applications but challenging to get working well