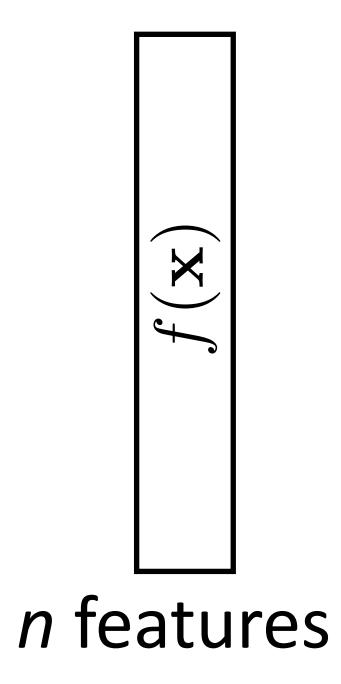
# Lecture 7: Tricks + Word Embeddings

#### Alan Ritter

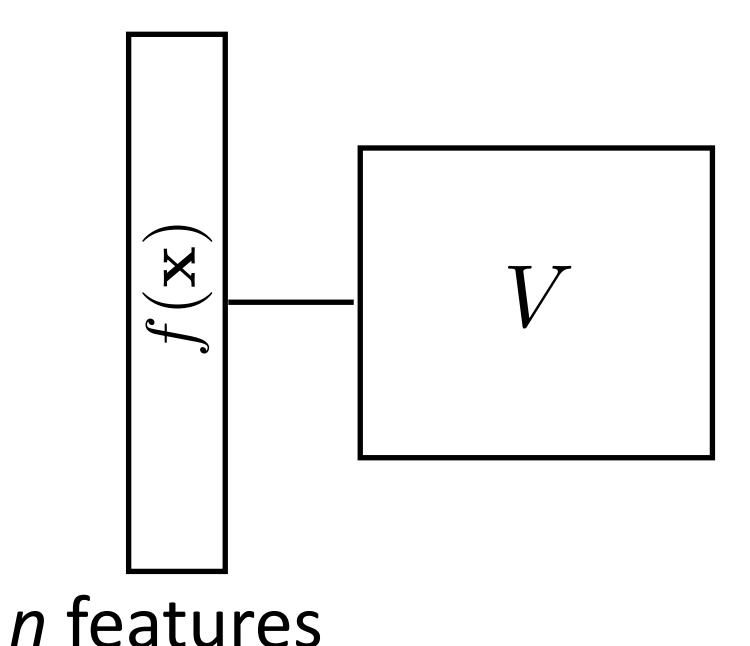
(many slides from Greg Durrett)

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$$

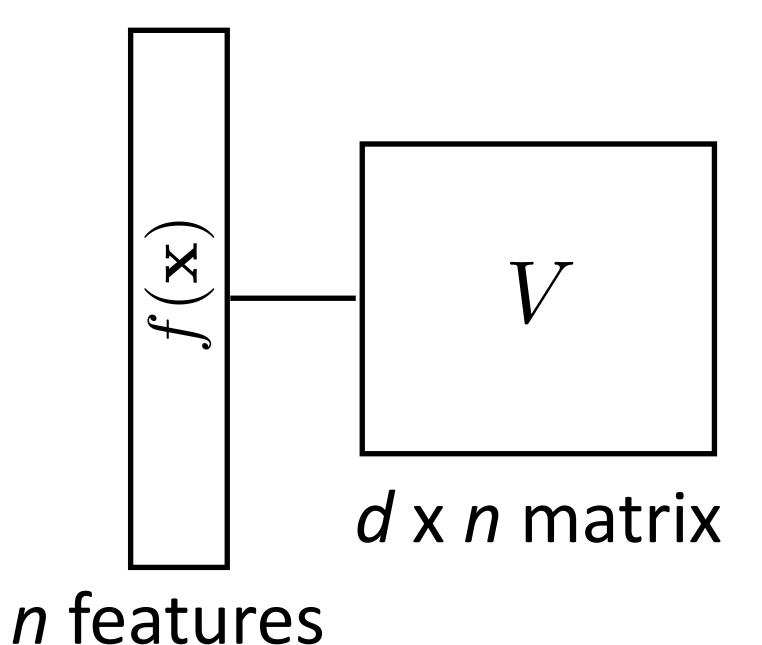
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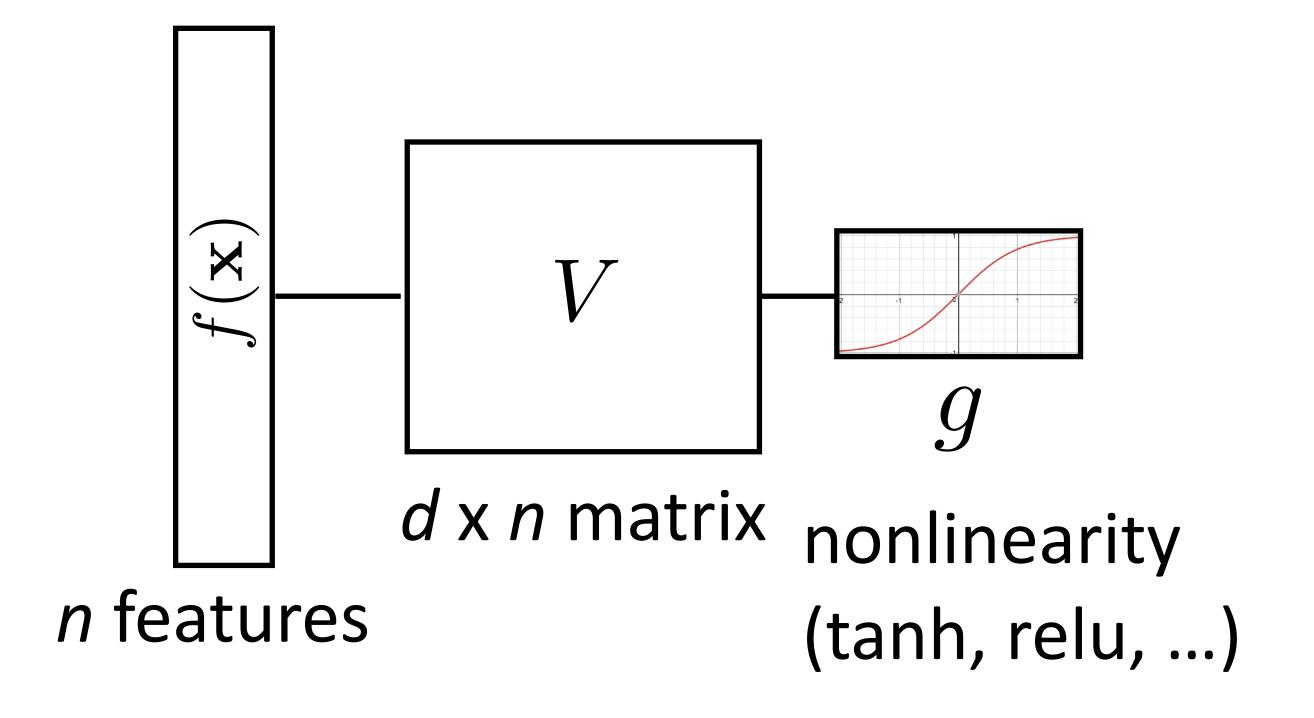
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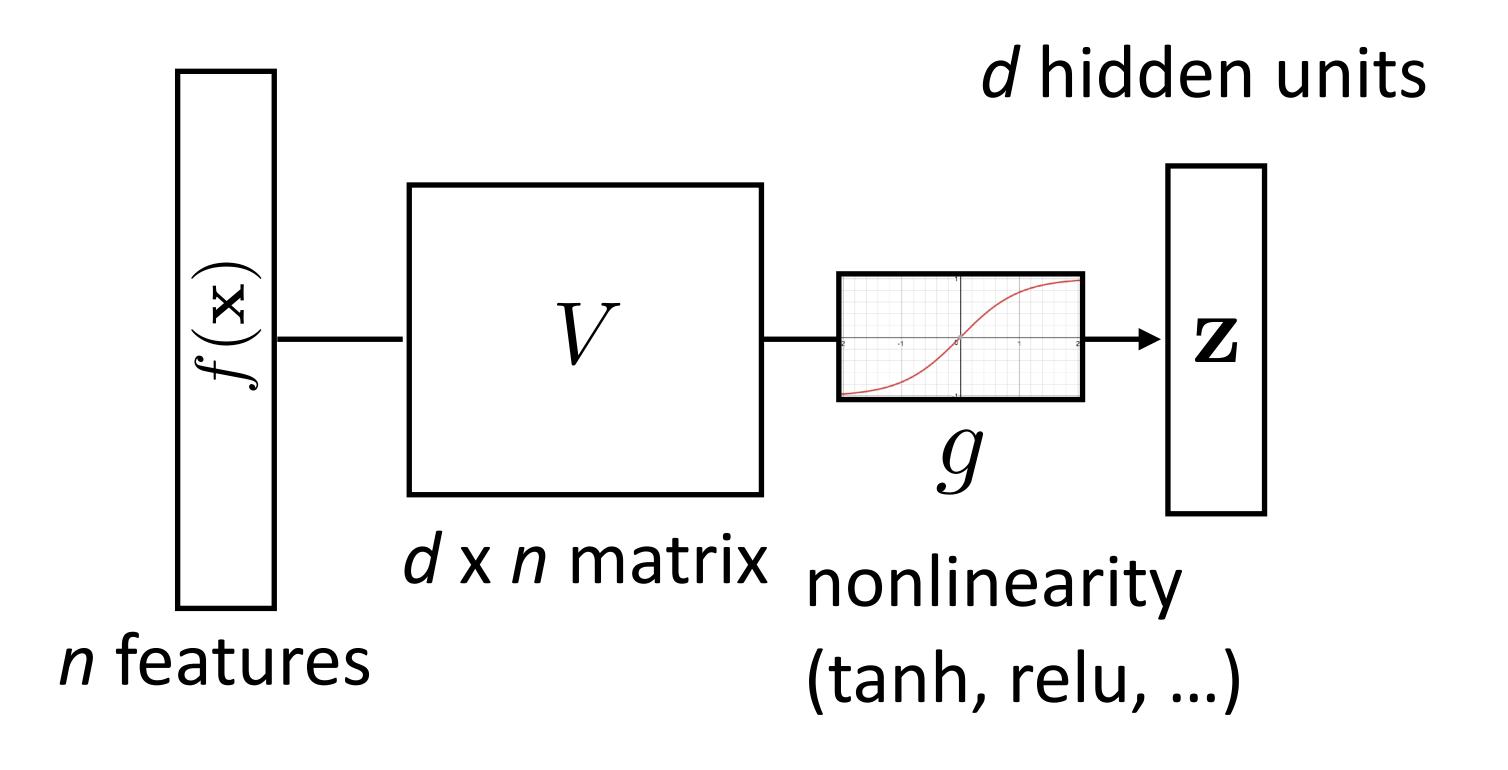
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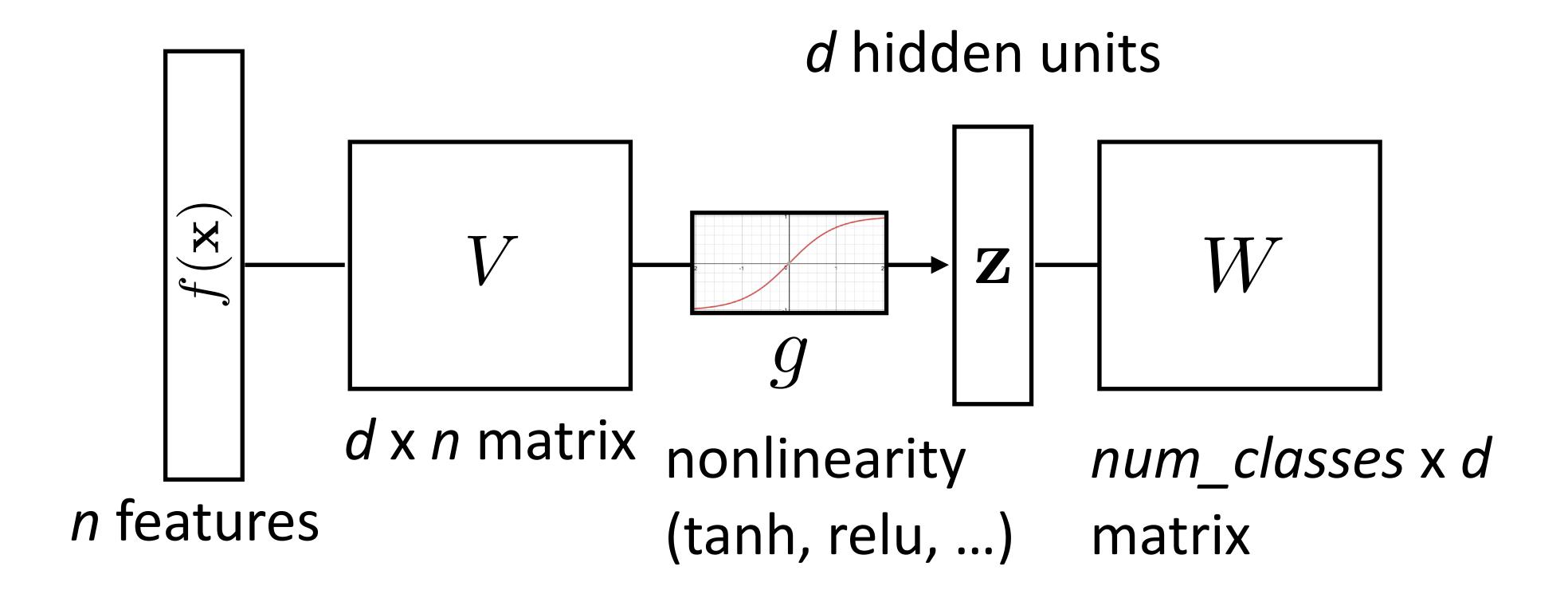
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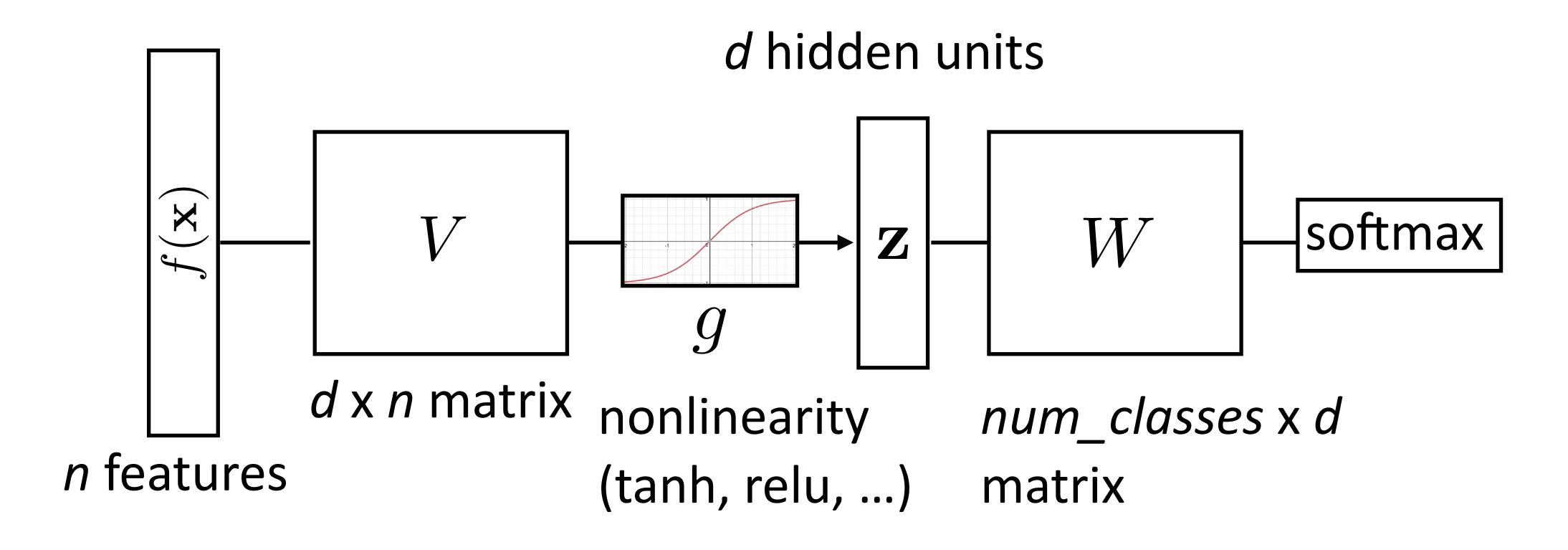
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$$num\_classes$$

$$d \text{ hidden units}$$

$$probs$$

$$V$$

$$d \times n \text{ matrix}$$

$$nonlinearity$$

$$num\_classes \times d$$

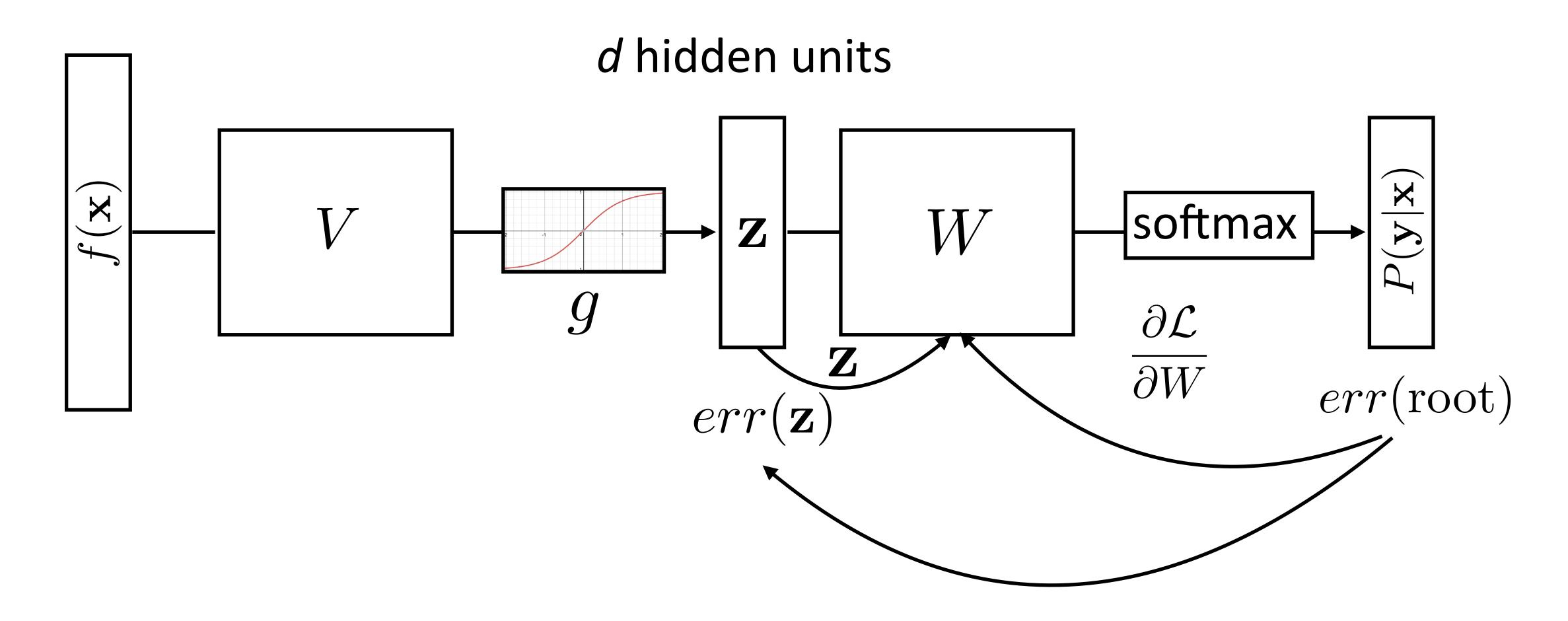
$$n \text{ features}$$

$$(tanh, relu, ...)$$

$$matrix$$

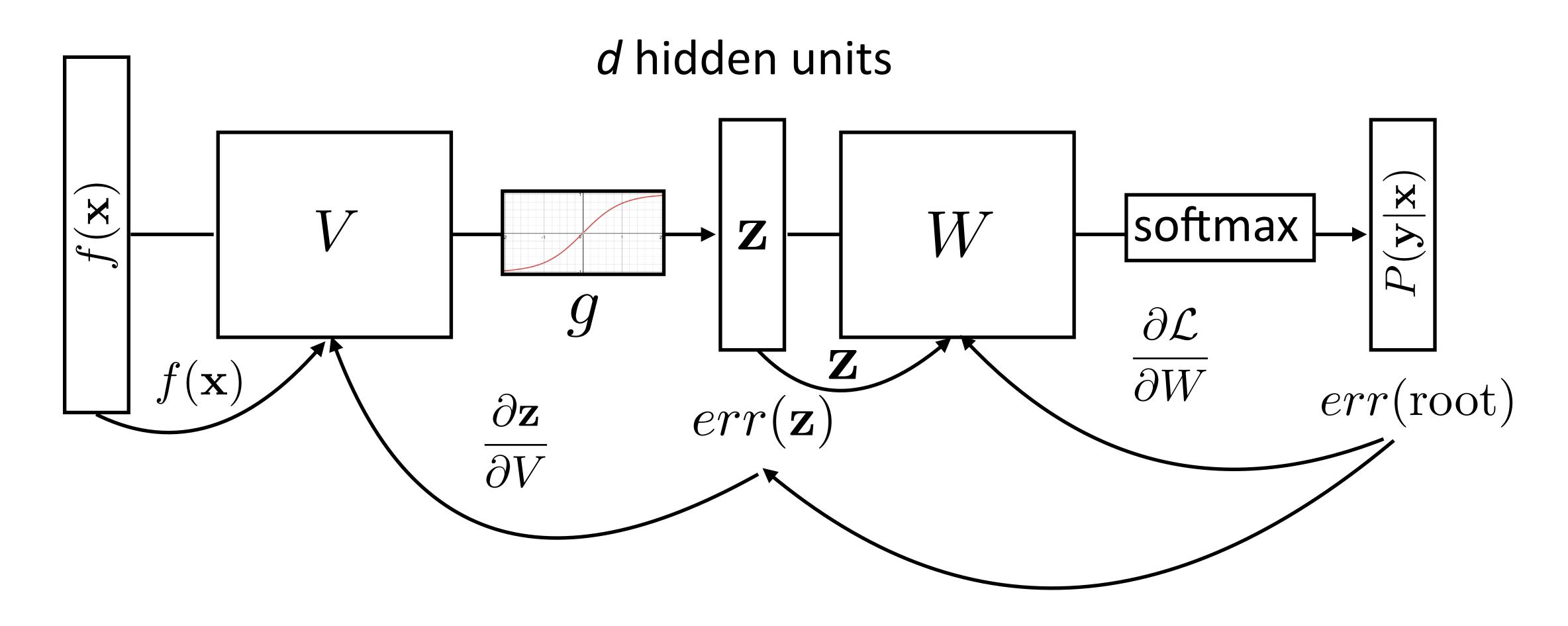
# Recall: Backpropagation

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#### This Lecture

Training

Word representations

word2vec/GloVe

Evaluating word embeddings

# Training Tips

Basic formula: compute gradients on batch, use first-order opt. method

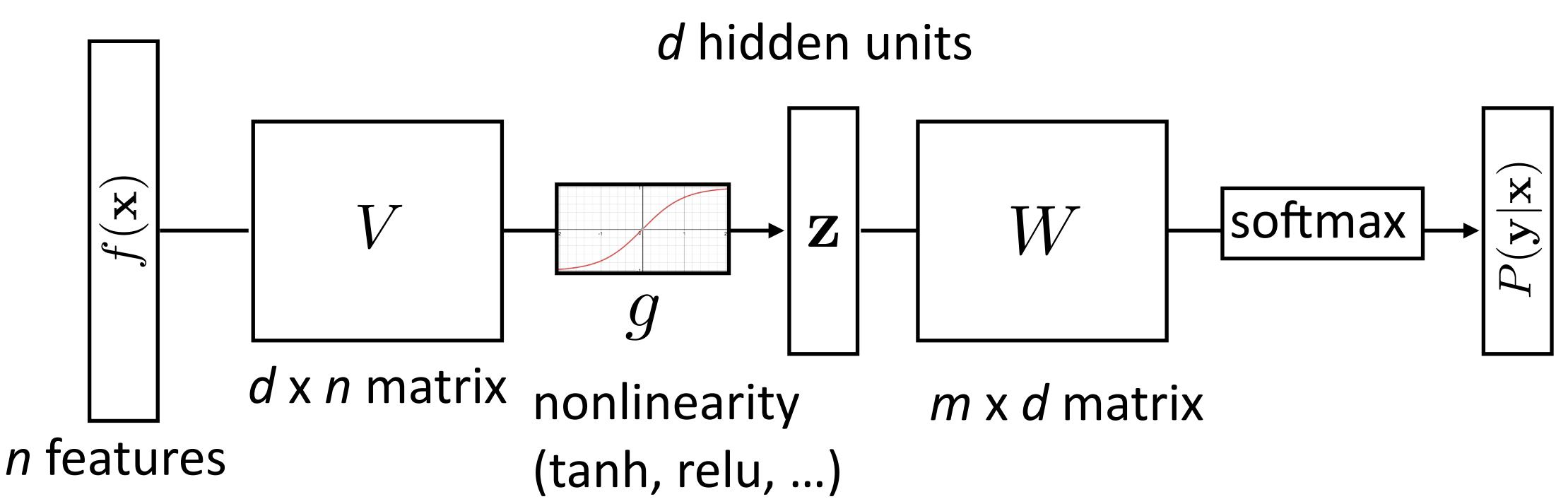
- Basic formula: compute gradients on batch, use first-order opt. method
- How to initialize? How to regularize? What optimizer to use?

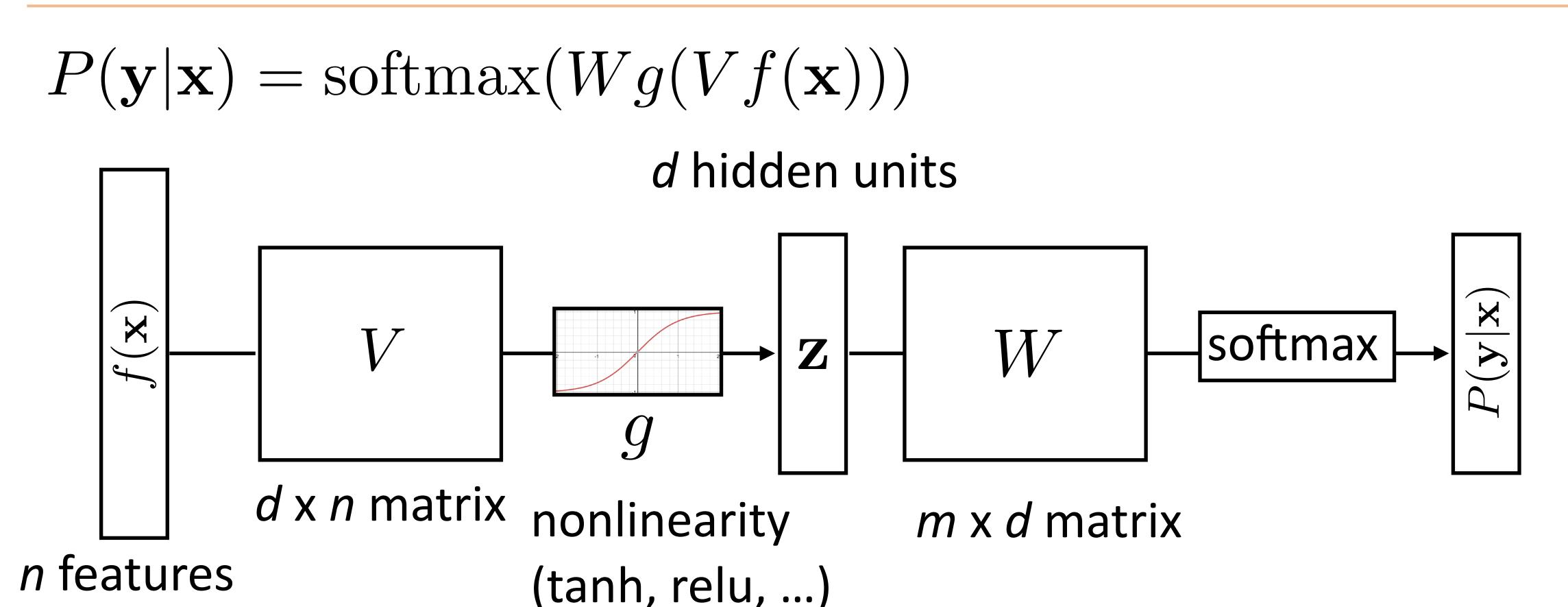


- Basic formula: compute gradients on batch, use first-order opt. method
- How to initialize? How to regularize? What optimizer to use?
- This lecture: some practical tricks. Take deep learning or optimization courses to understand this further



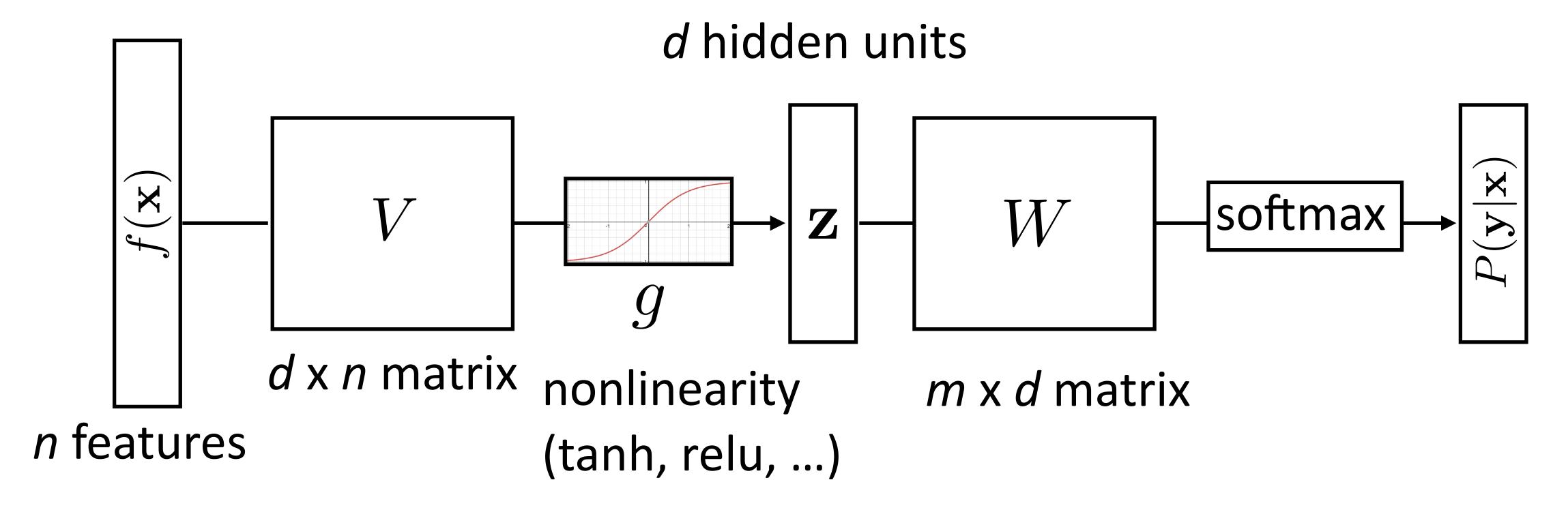
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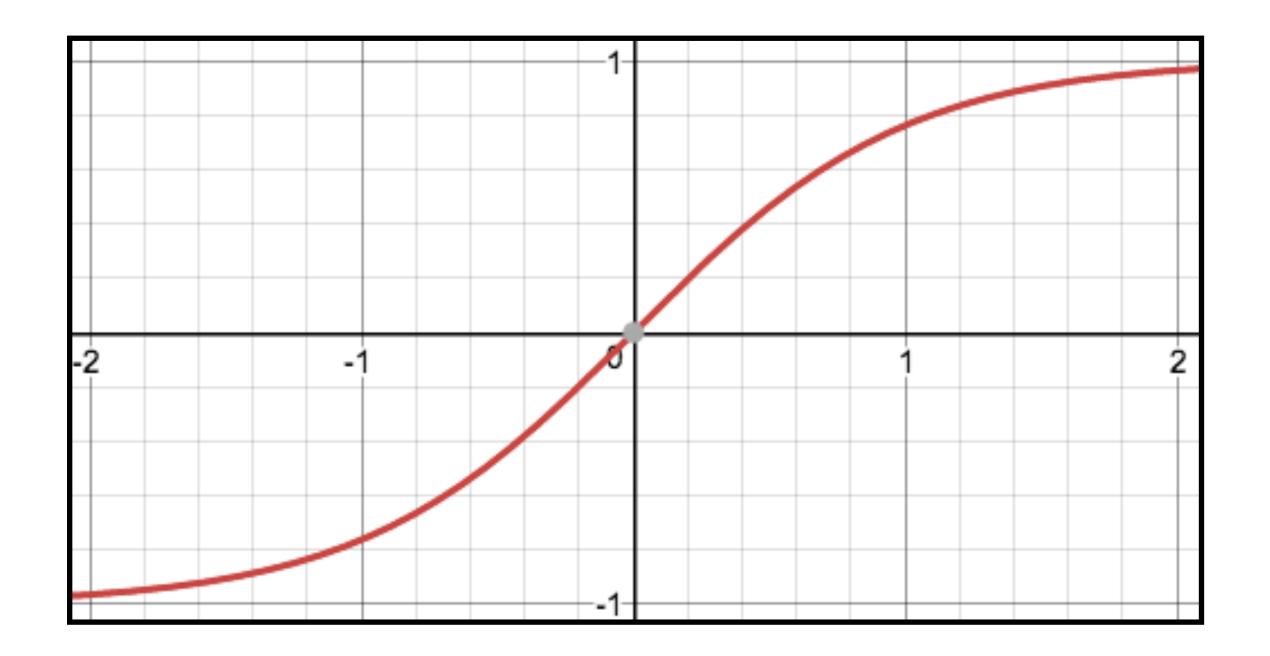


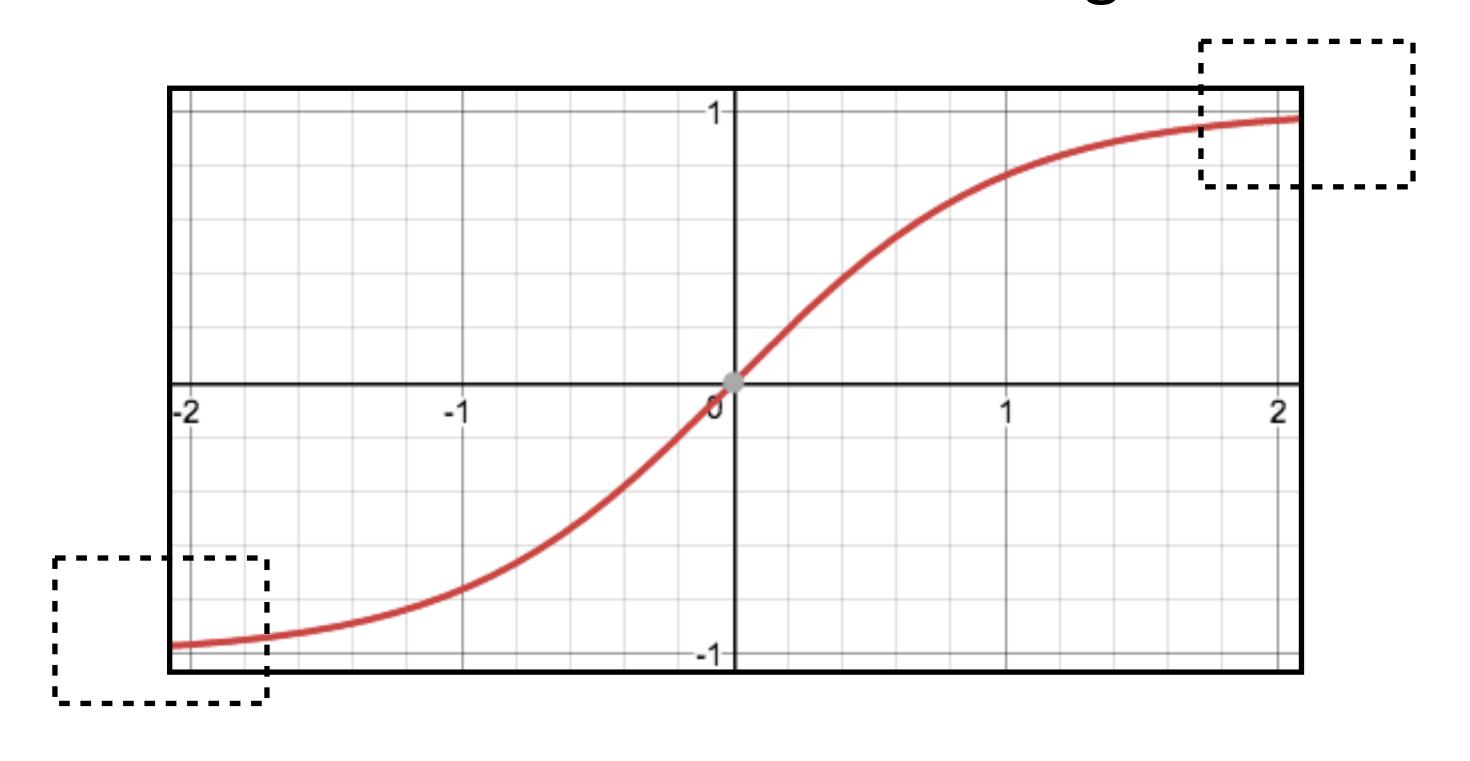
How do we initialize V and W? What consequences does this have?

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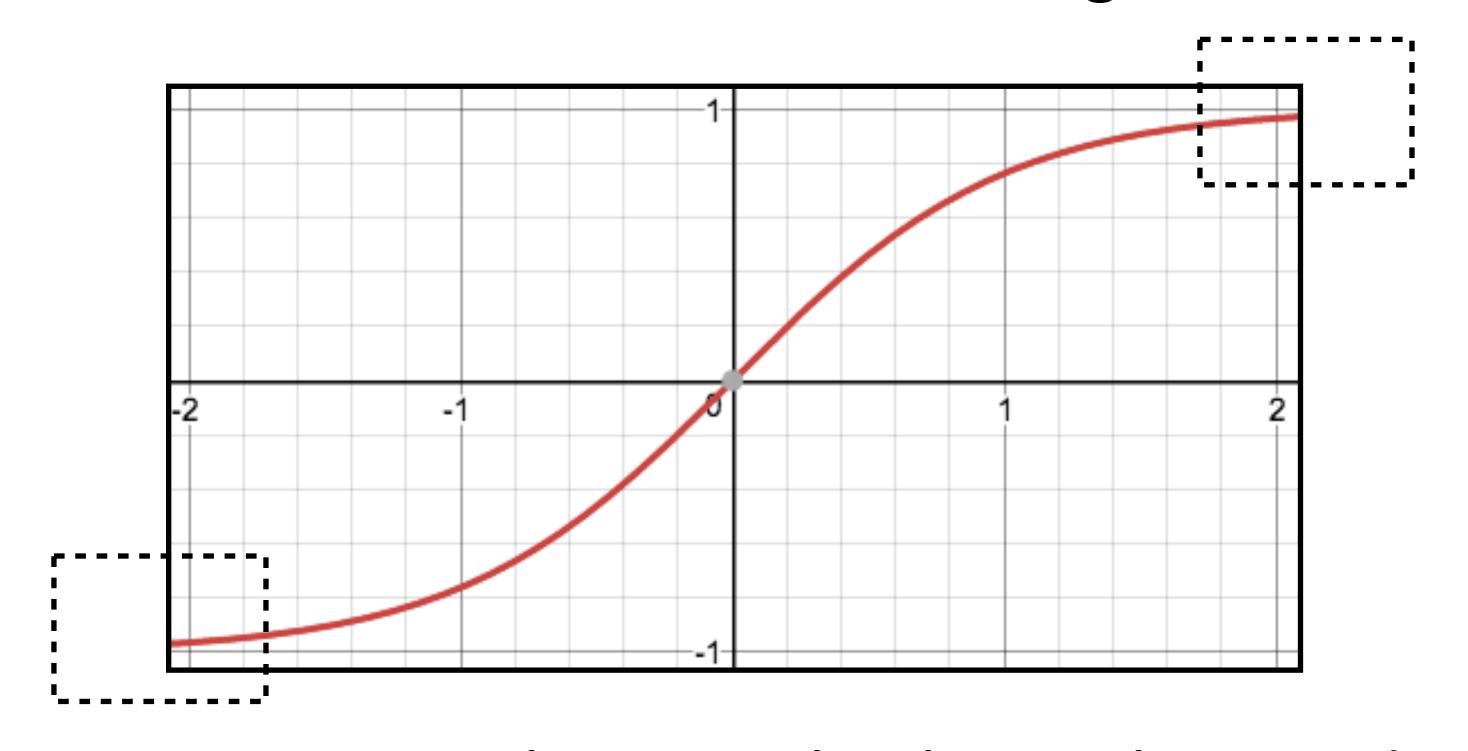


- How do we initialize V and W? What consequences does this have?
- Nonconvex problem, so initialization matters!

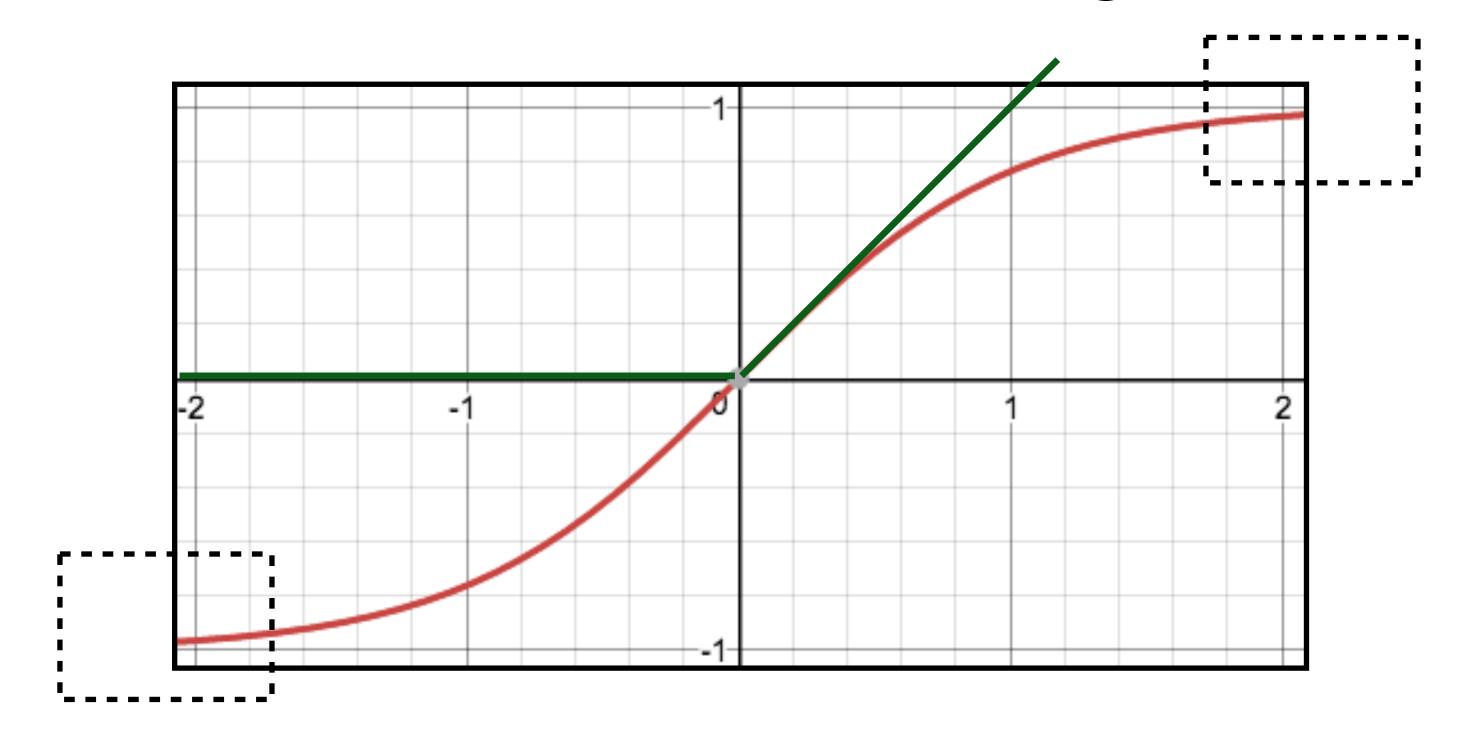




Nonlinear model...how does this affect things?



If cell activations are too large in absolute value, gradients are small



- If cell activations are too large in absolute value, gradients are small
- ReLU: larger dynamic range (all positive numbers), but can produce big values, can break down if everything is too negative

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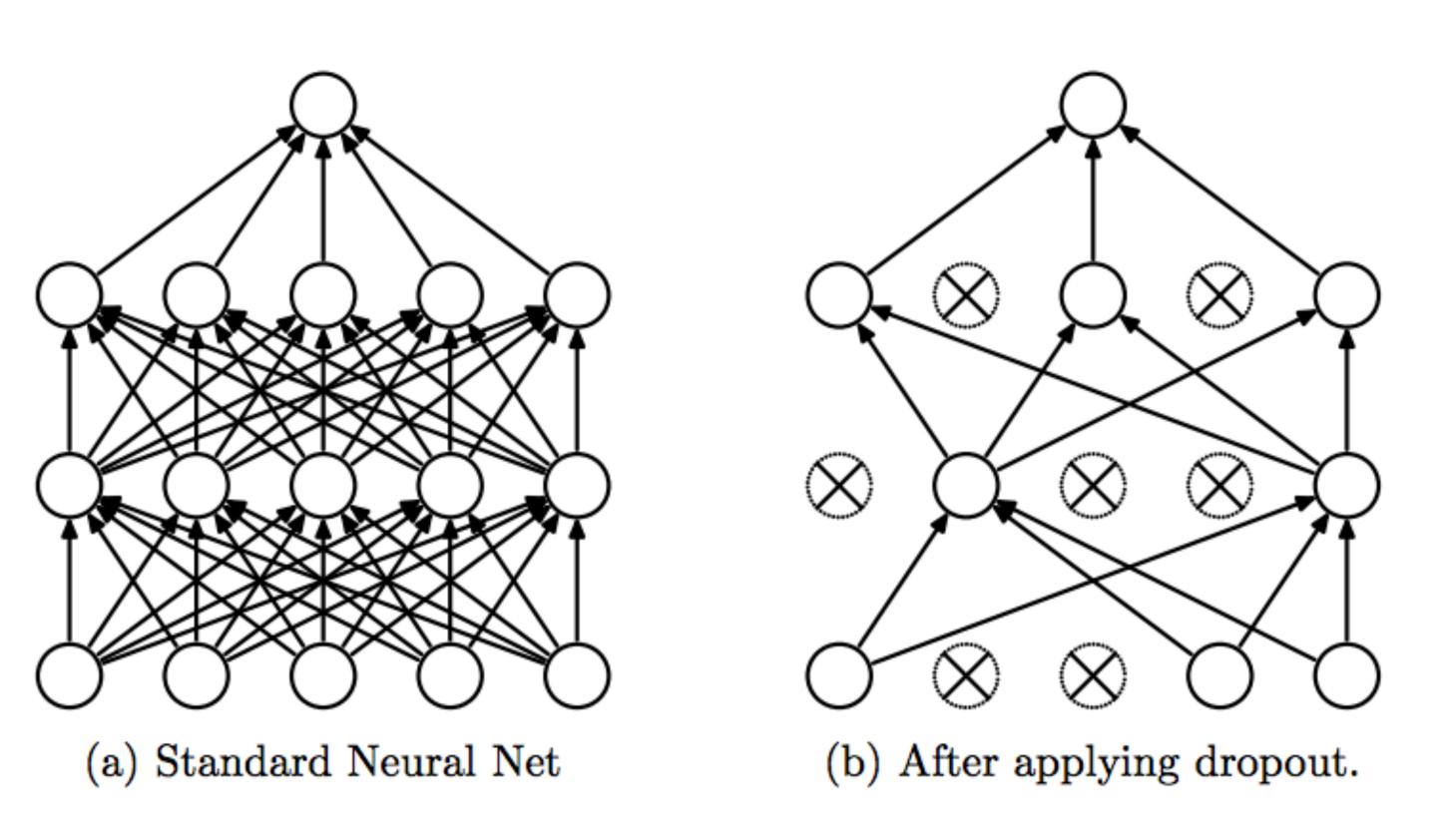
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  - Want variance of inputs and gradients for each layer to be the same
- Batch normalization (loffe and Szegedy, 2015): periodically shift+rescale each layer to have mean 0 and variance 1 over a batch (useful if net is deep)

### Dropout

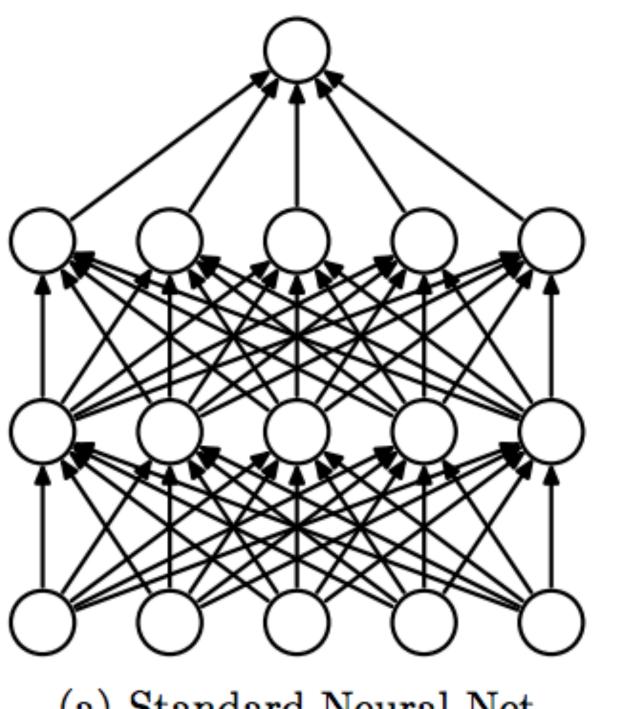
 Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time



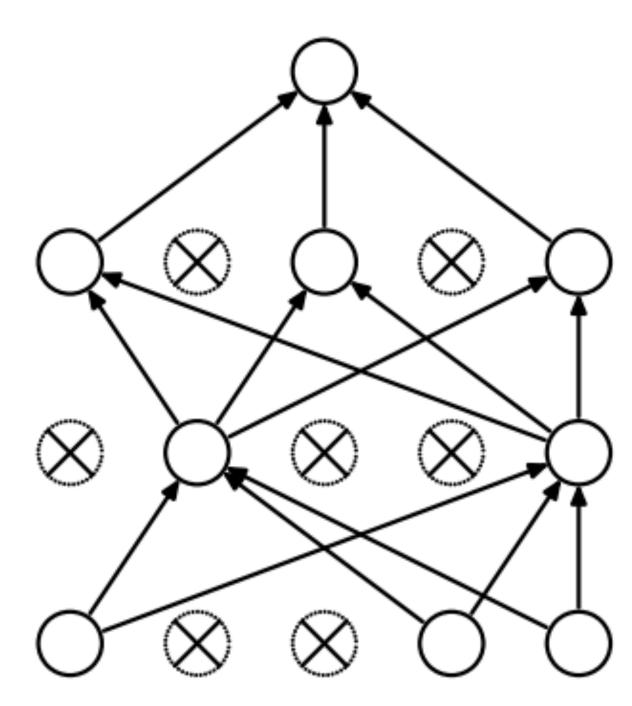
Srivastava et al. (2014)

### Dropout

- Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time
- Form of stochastic regularization



(a) Standard Neural Net

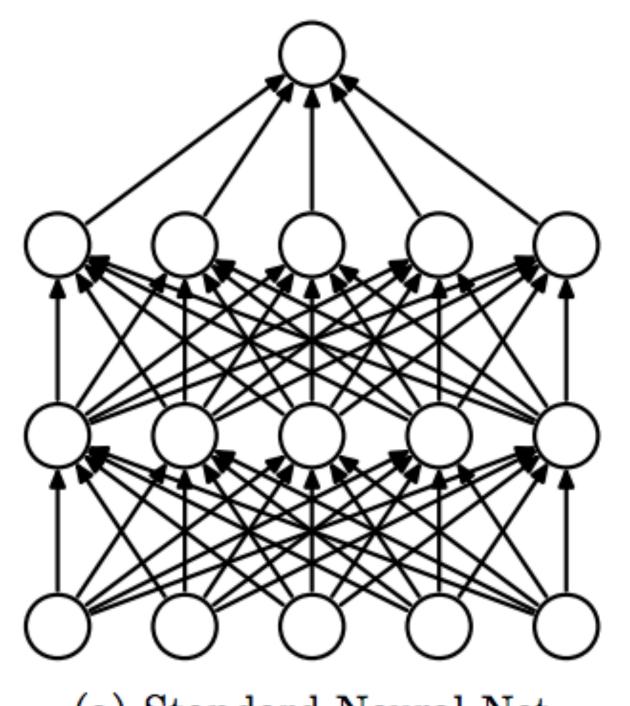


(b) After applying dropout.

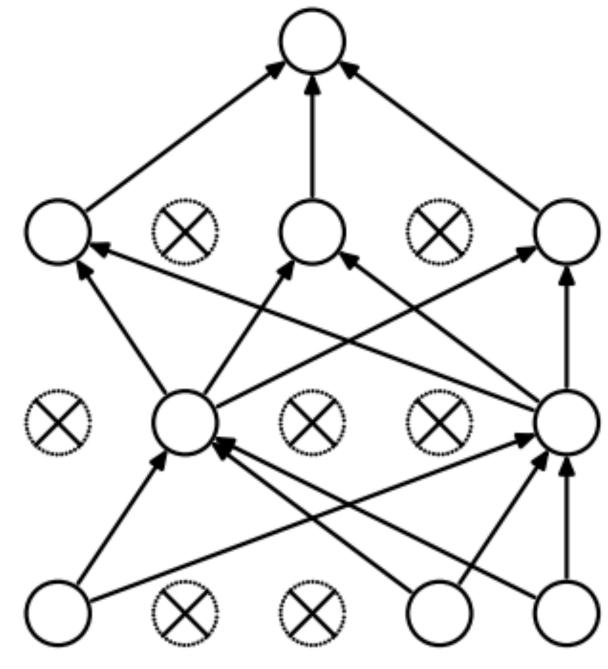
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### Dropout

- Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time
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- Similar to benefits of ensembling: network needs to be robust to missing signals, so it has redundancy



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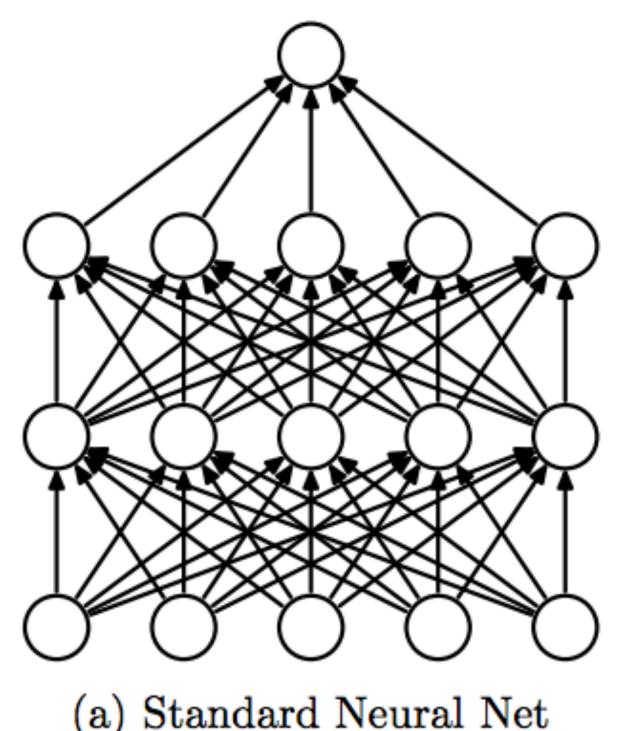


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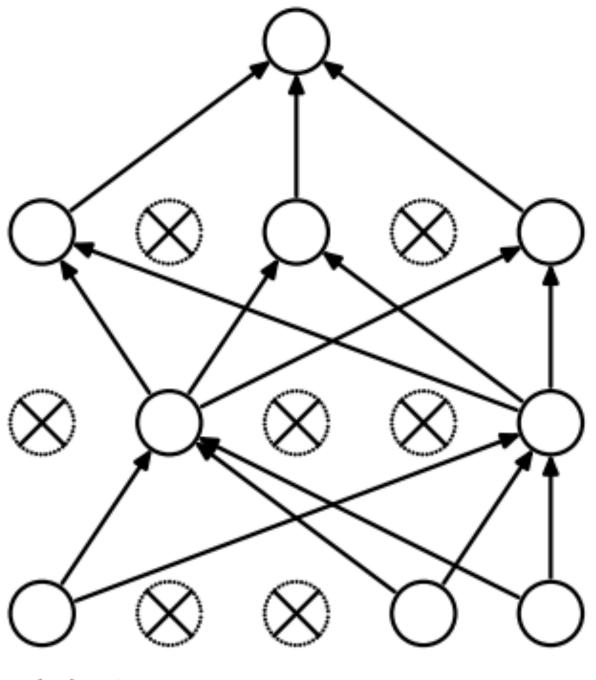
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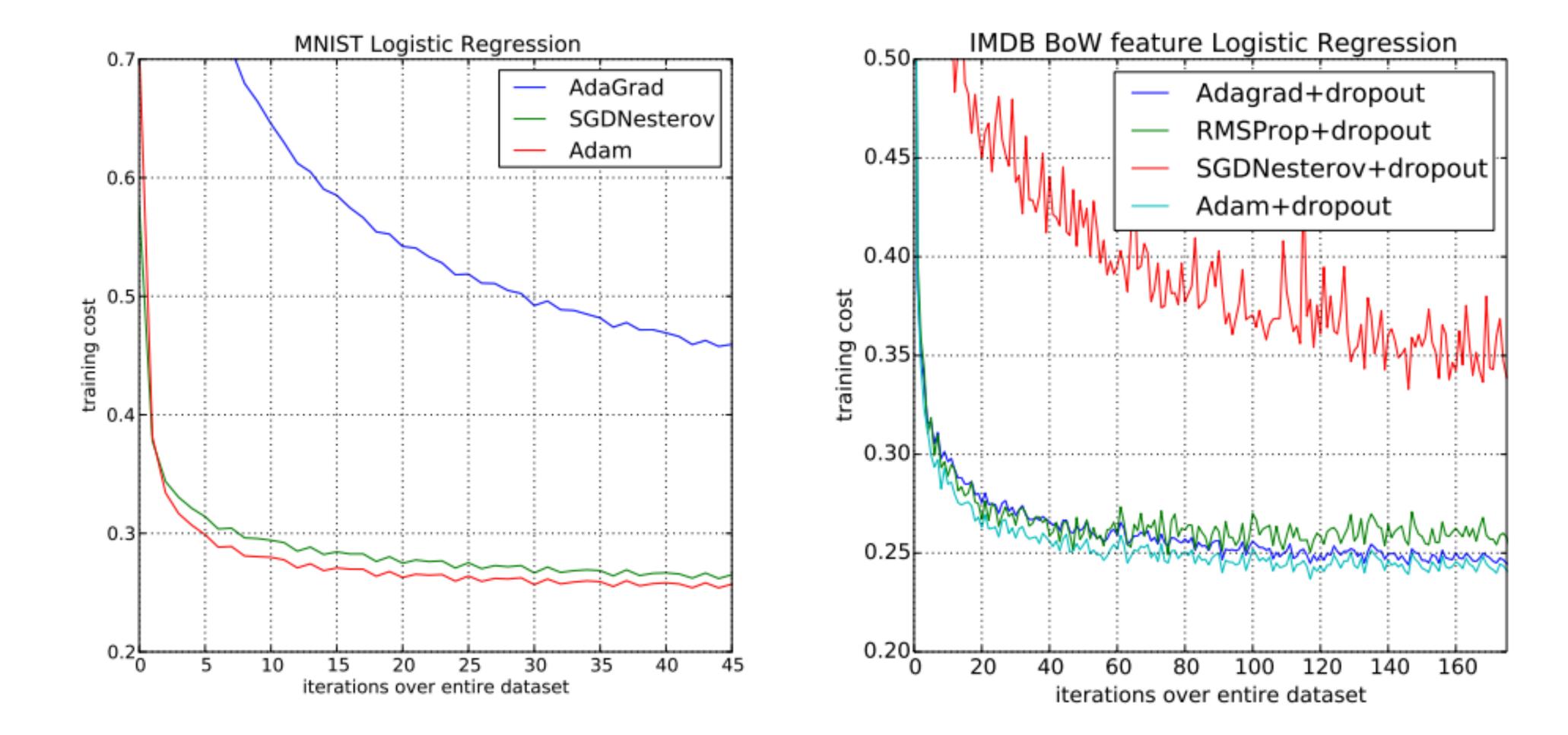
(b) After applying dropout.

One line in Pytorch/Tensorflow

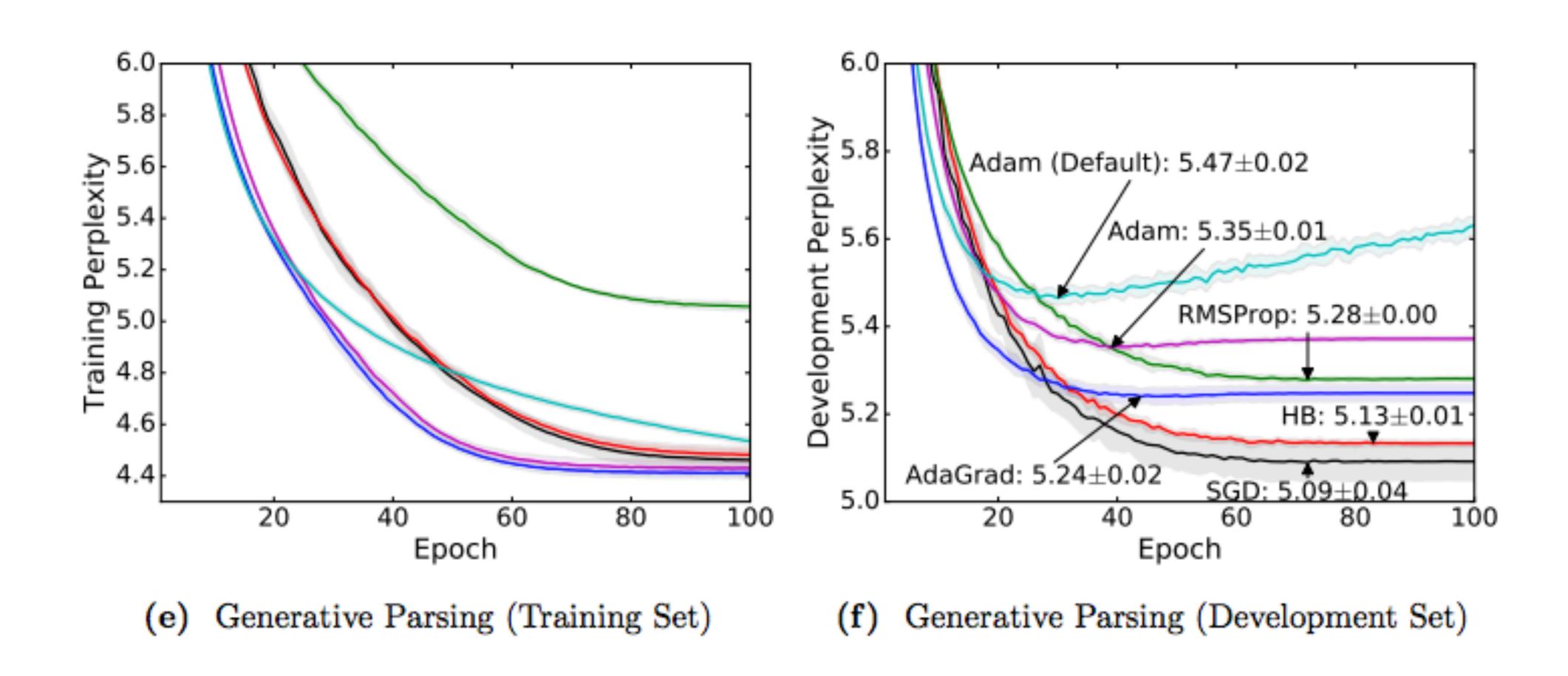
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- Adam (Kingma and Ba, ICLR 2015) is very widely used
- Adaptive step size like Adagrad, incorporates momentum

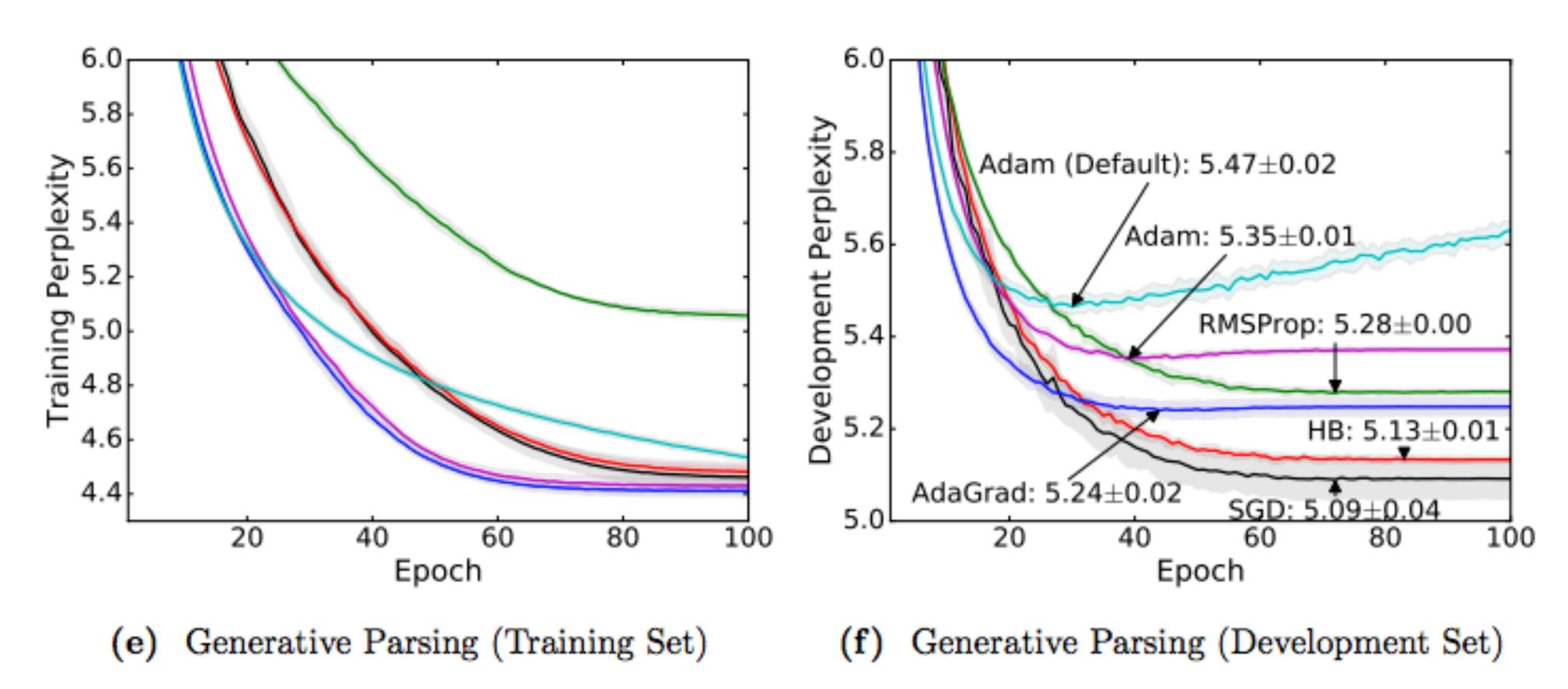
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 Wilson et al. NIPS 2017: adaptive methods can actually perform badly at test time (Adam is in pink, SGD in black)



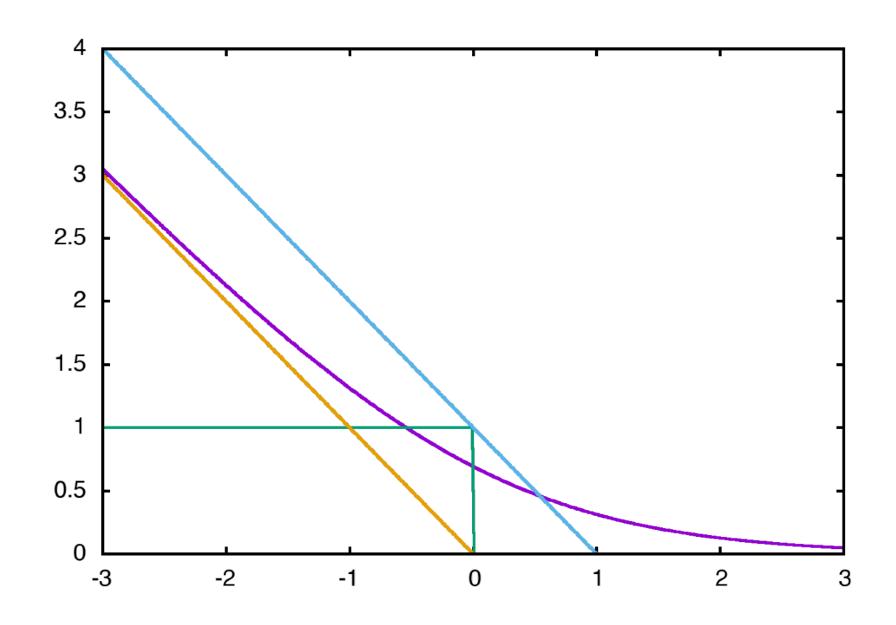
- Wilson et al. NIPS 2017: adaptive methods can actually perform badly at test time (Adam is in pink, SGD in black)
- Check dev set periodically, decrease learning rate if not making progress



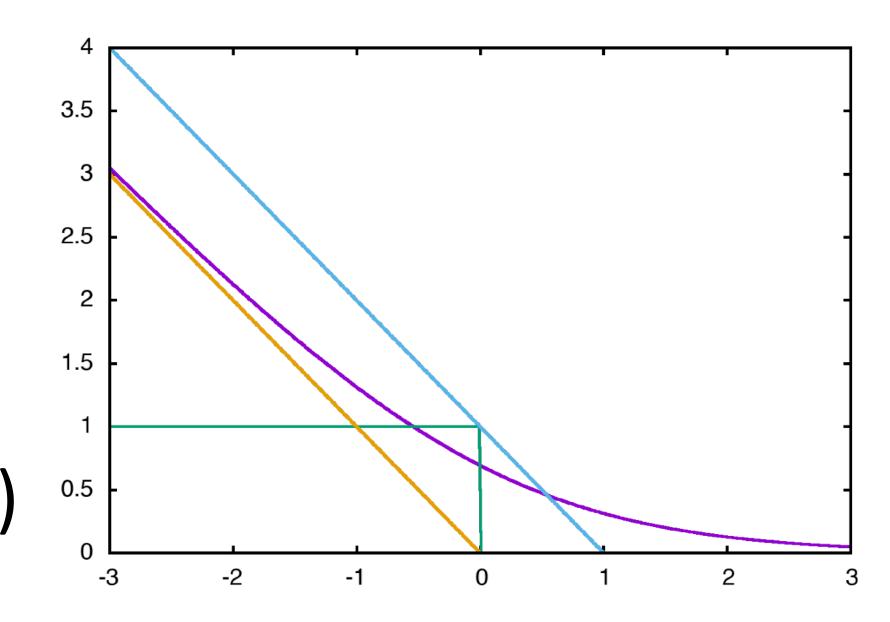
Four elements of a machine learning method:

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- Model: feedforward, RNNs, CNNs can be defined in a uniform framework

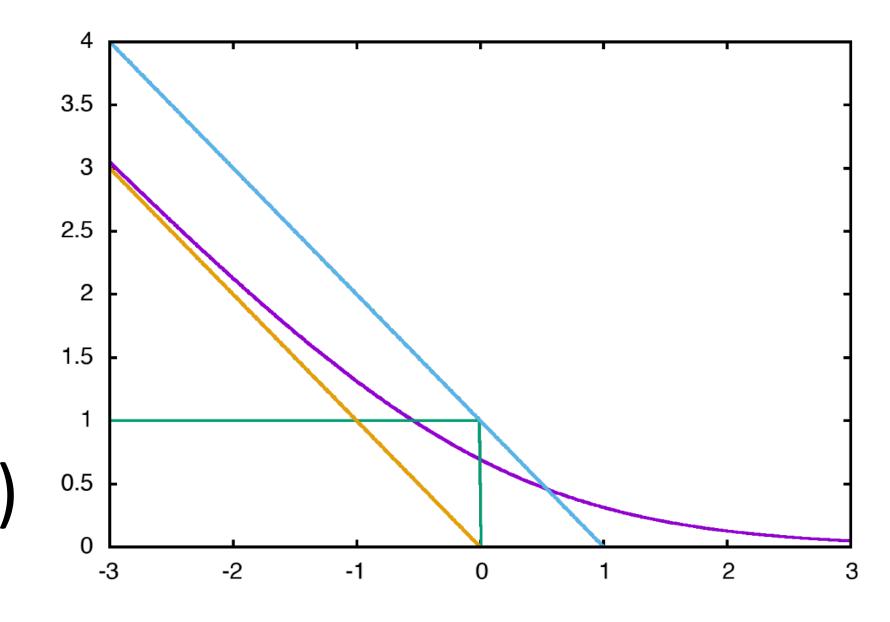
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Training: lots of choices for optimization/hyperparameters

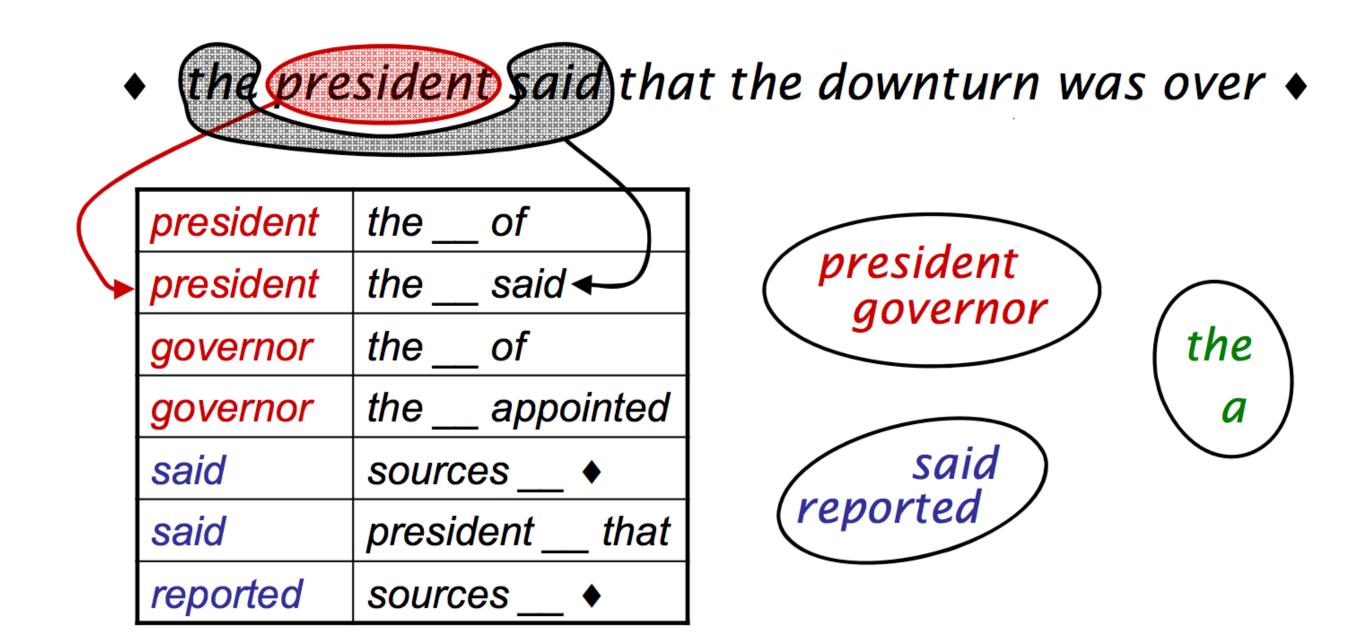
Neural networks work very well at continuous data, but words are discrete

slide credit: Dan Klein

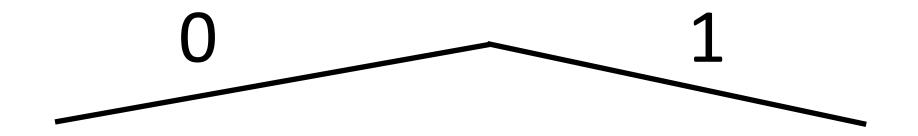
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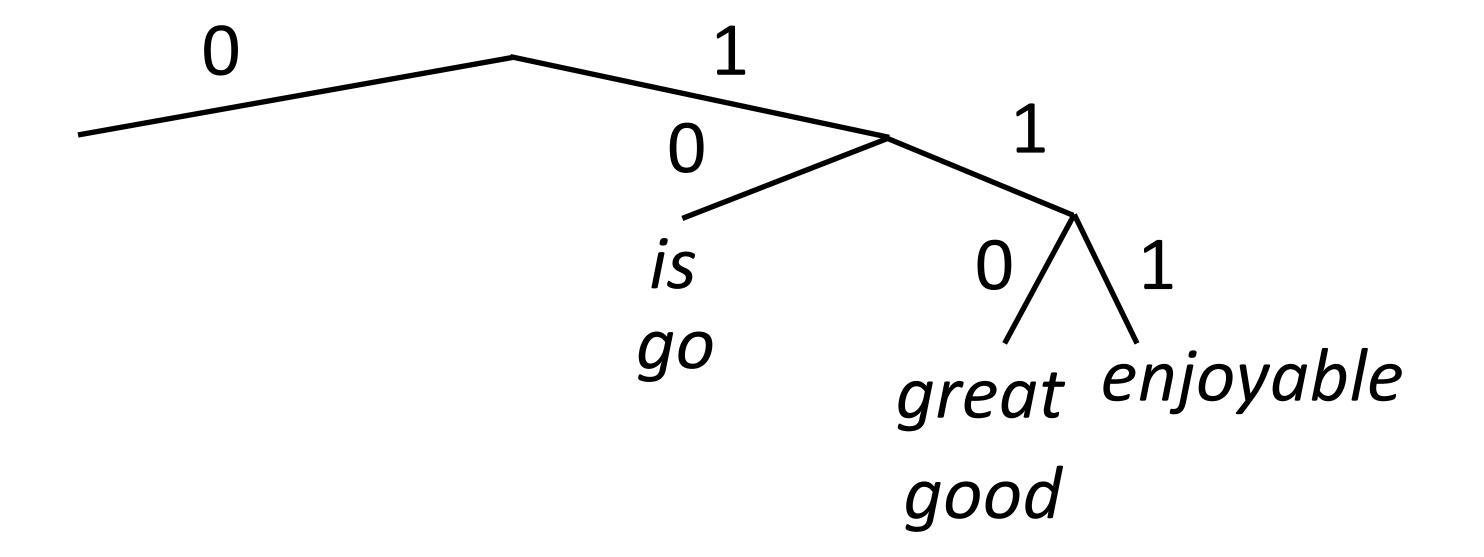
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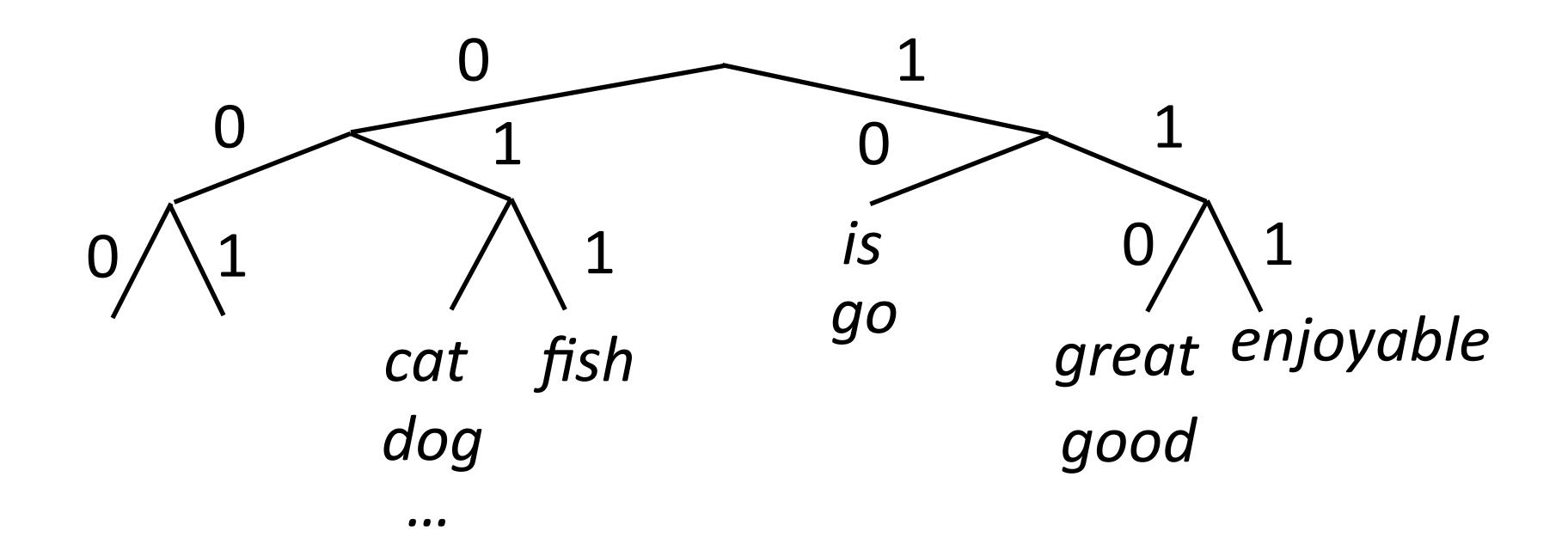
- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "You shall know a word by the company it keeps" Firth (1957)



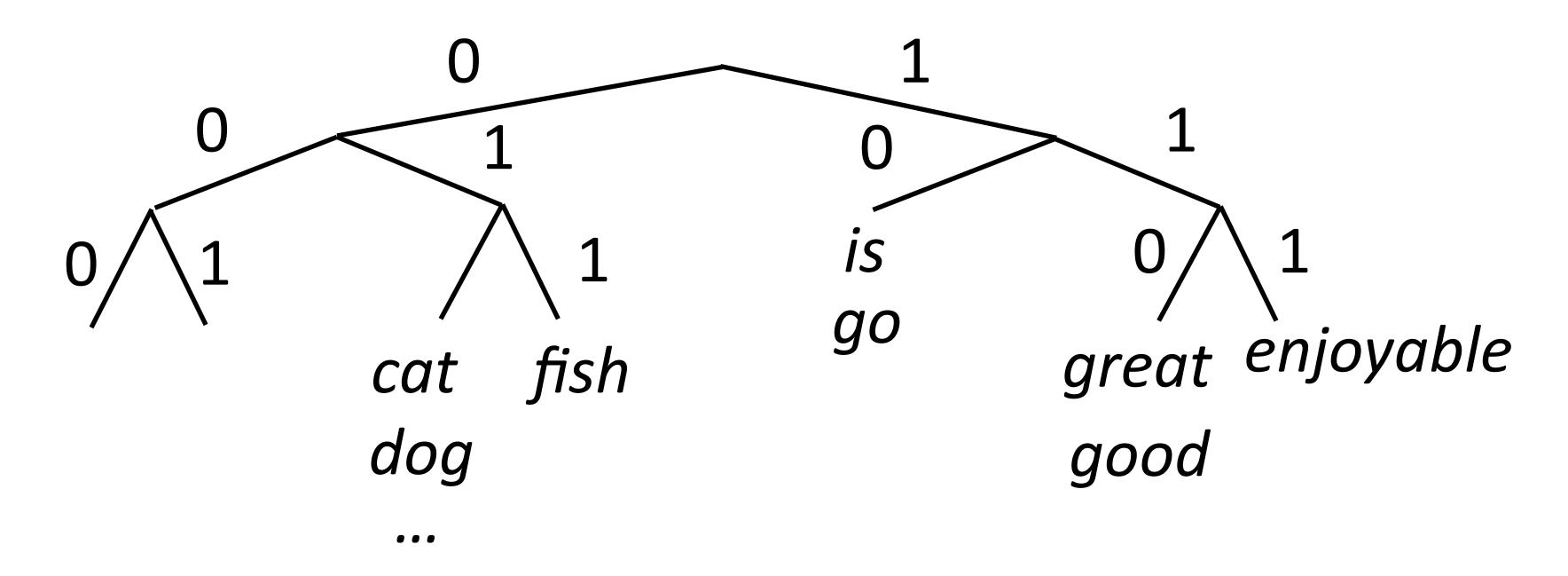
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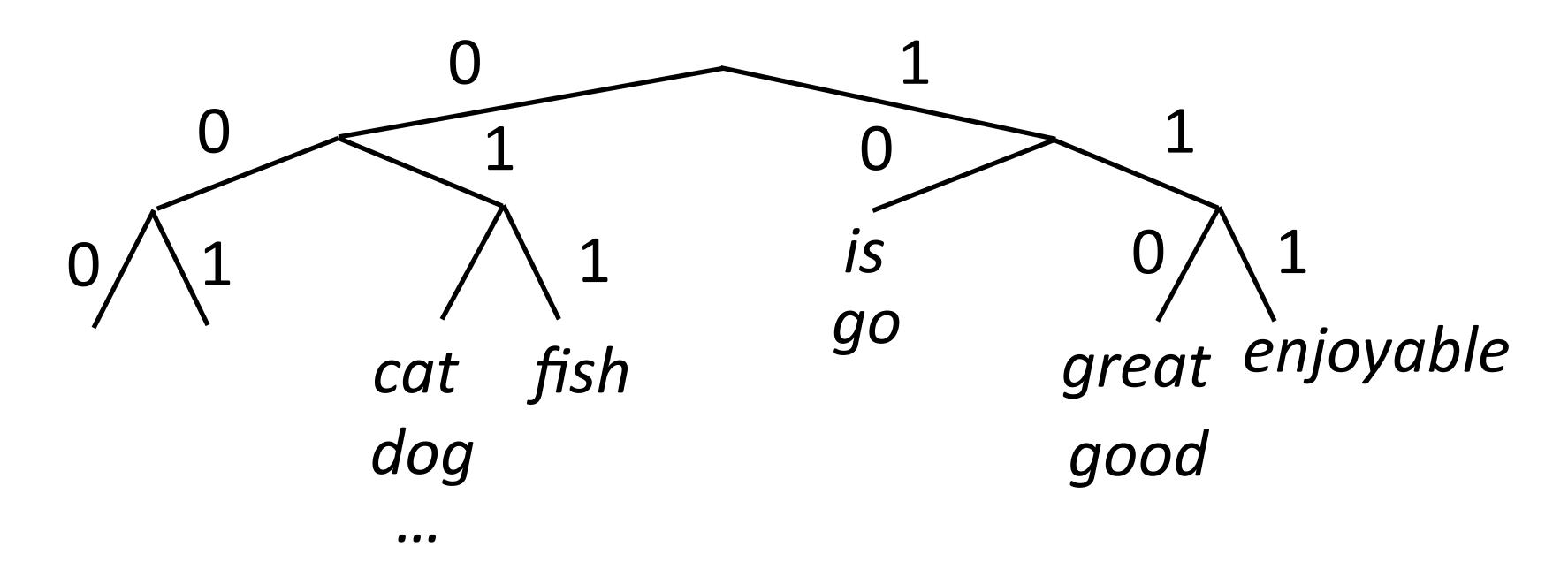




 Brown clusters: hierarchical agglomerative hard clustering (each word has one cluster, not some posterior distribution like in mixture models)



• Maximize  $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$ 



- Maximize  $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$
- Useful features for tasks like NER, not suitable for NNs

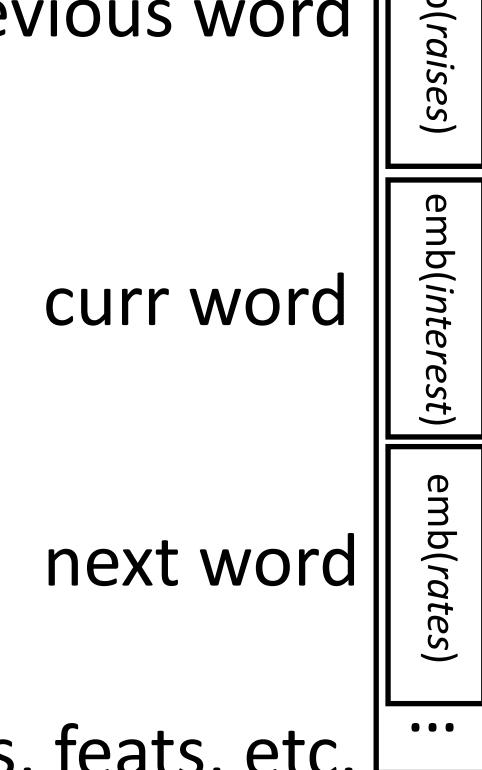
Part-of-speech tagging with FFNNs

???

Fed raises interest rates in order to ...

previous word

Word embeddings for each word form input



other words, feats, etc. L...

Botha et al. (2017)

Part-of-speech tagging with FFNNs

, ,

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previous word

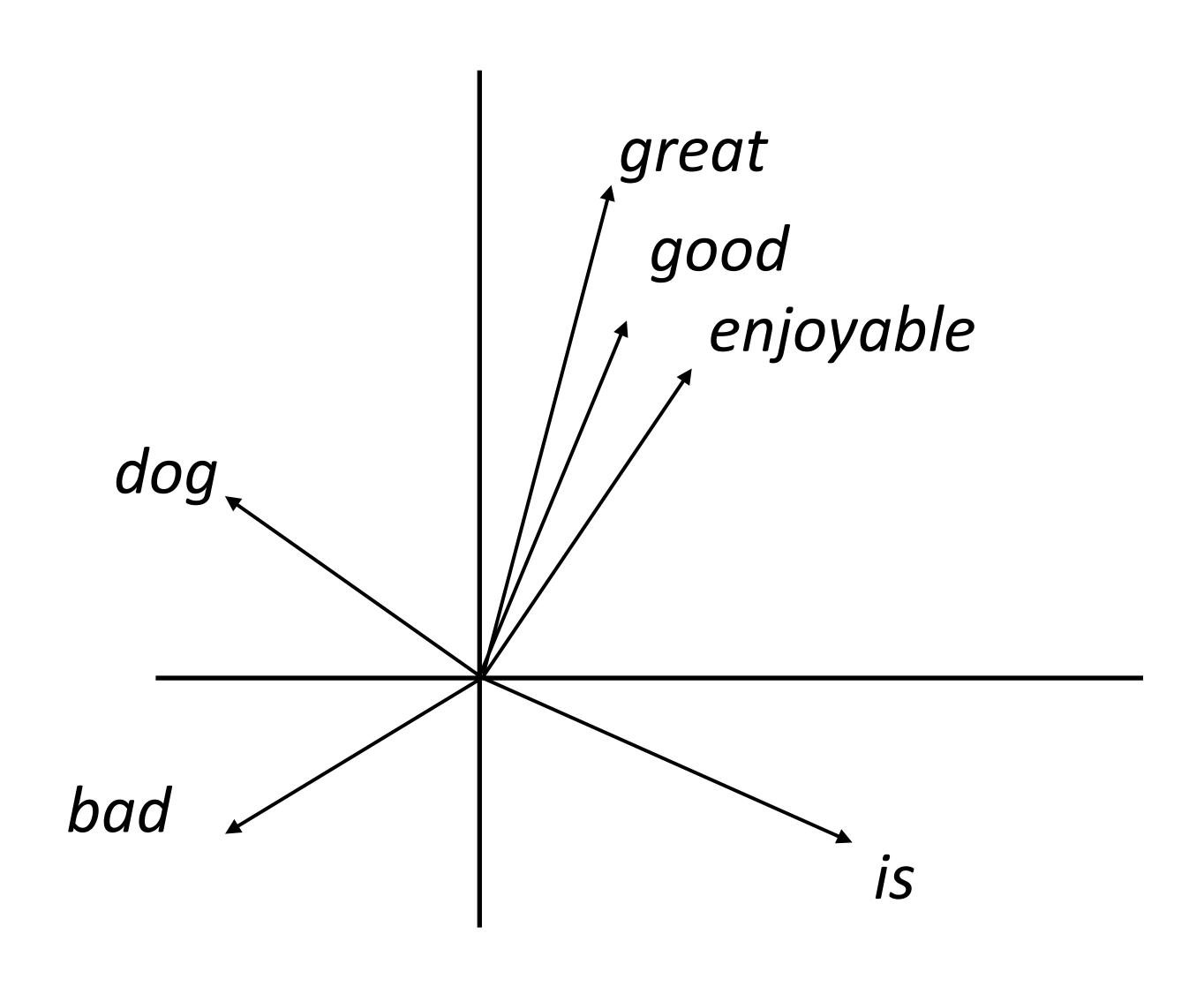
- Word embeddings for each word form input
- What properties should these vectors have?

curr word

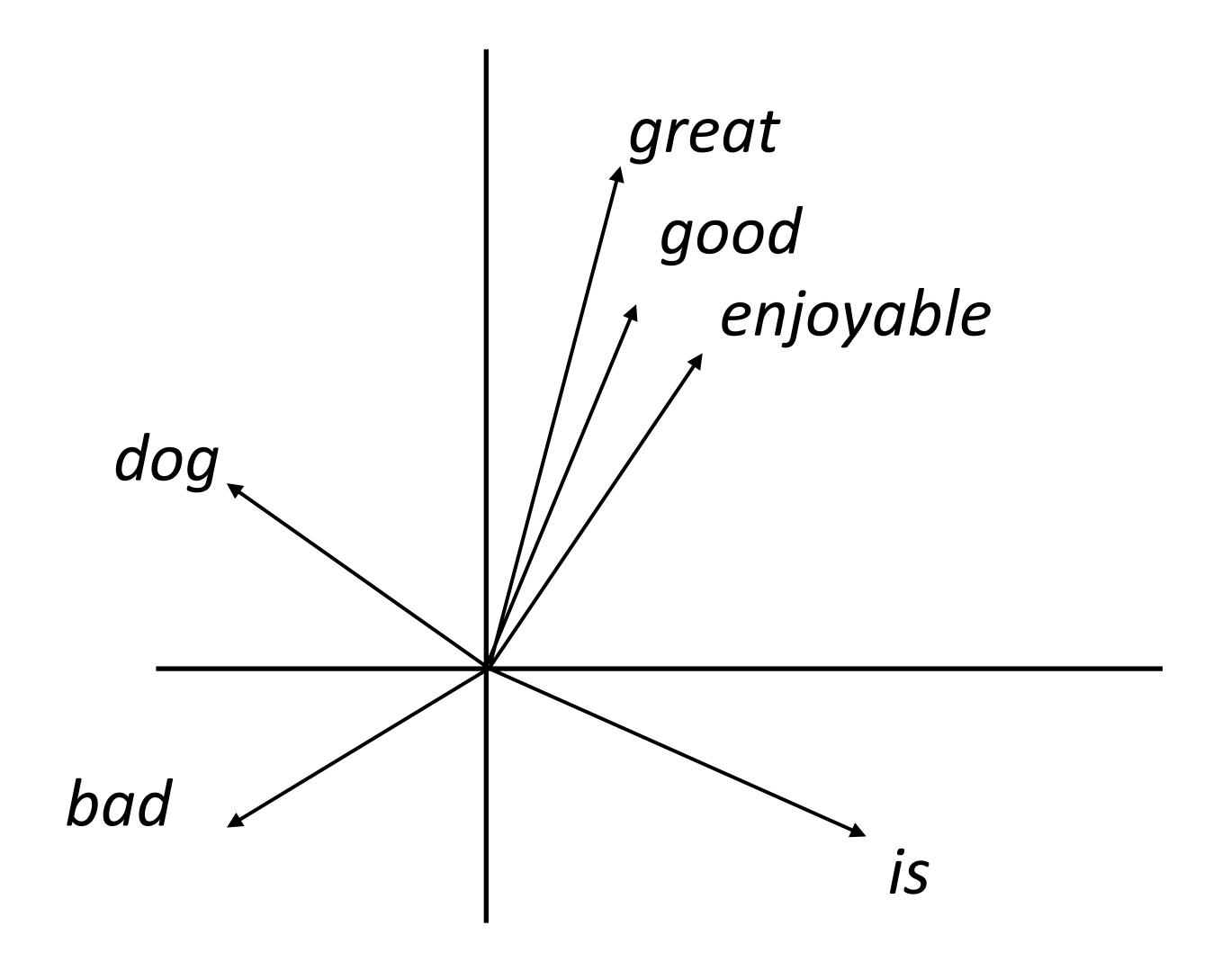
next word

other words, feats, etc. L...

Botha et al. (2017)



Want a vector space where similar words have similar embeddings

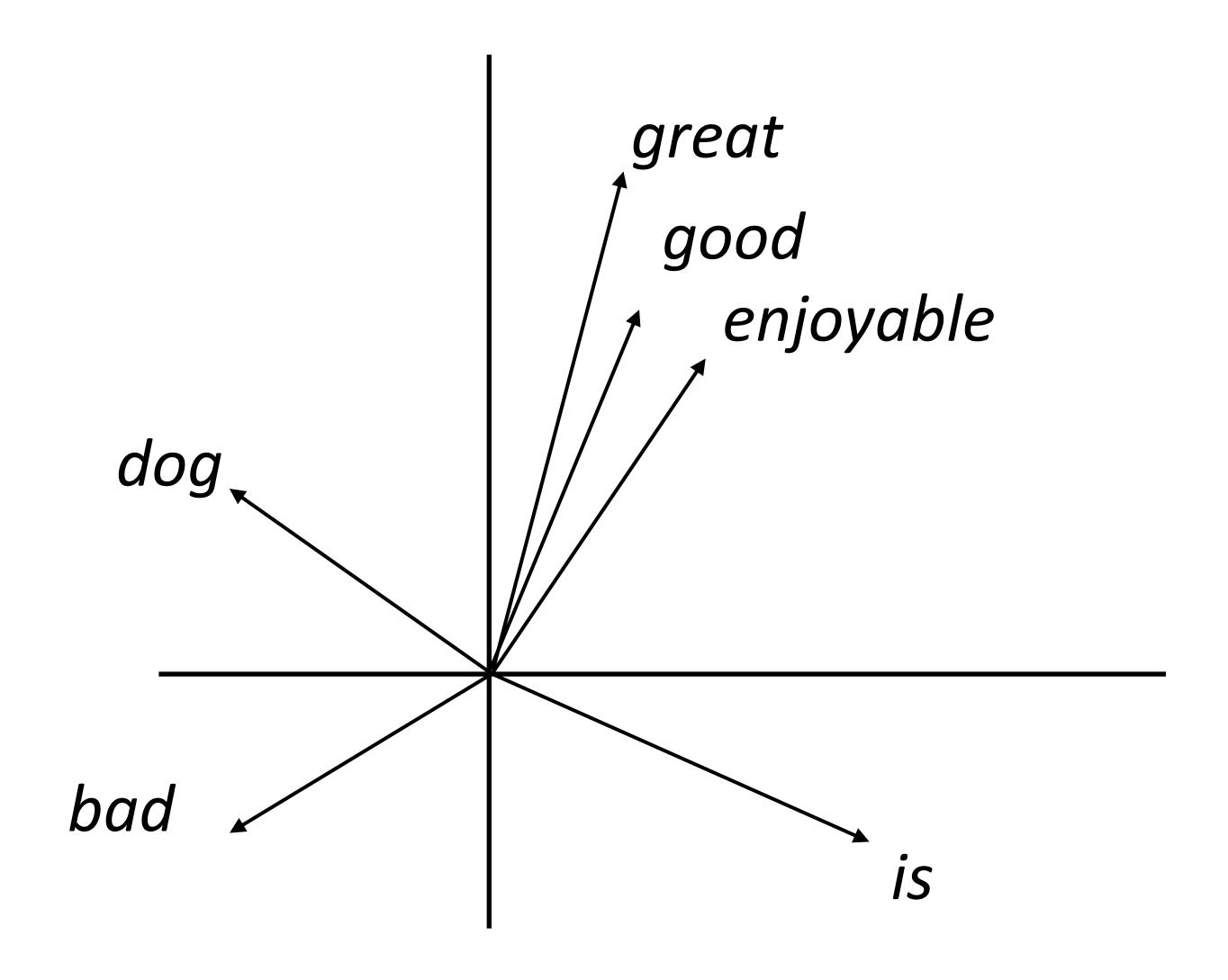


Want a vector space where similar words have similar embeddings

the movie was great

 $\approx$ 

the movie was good



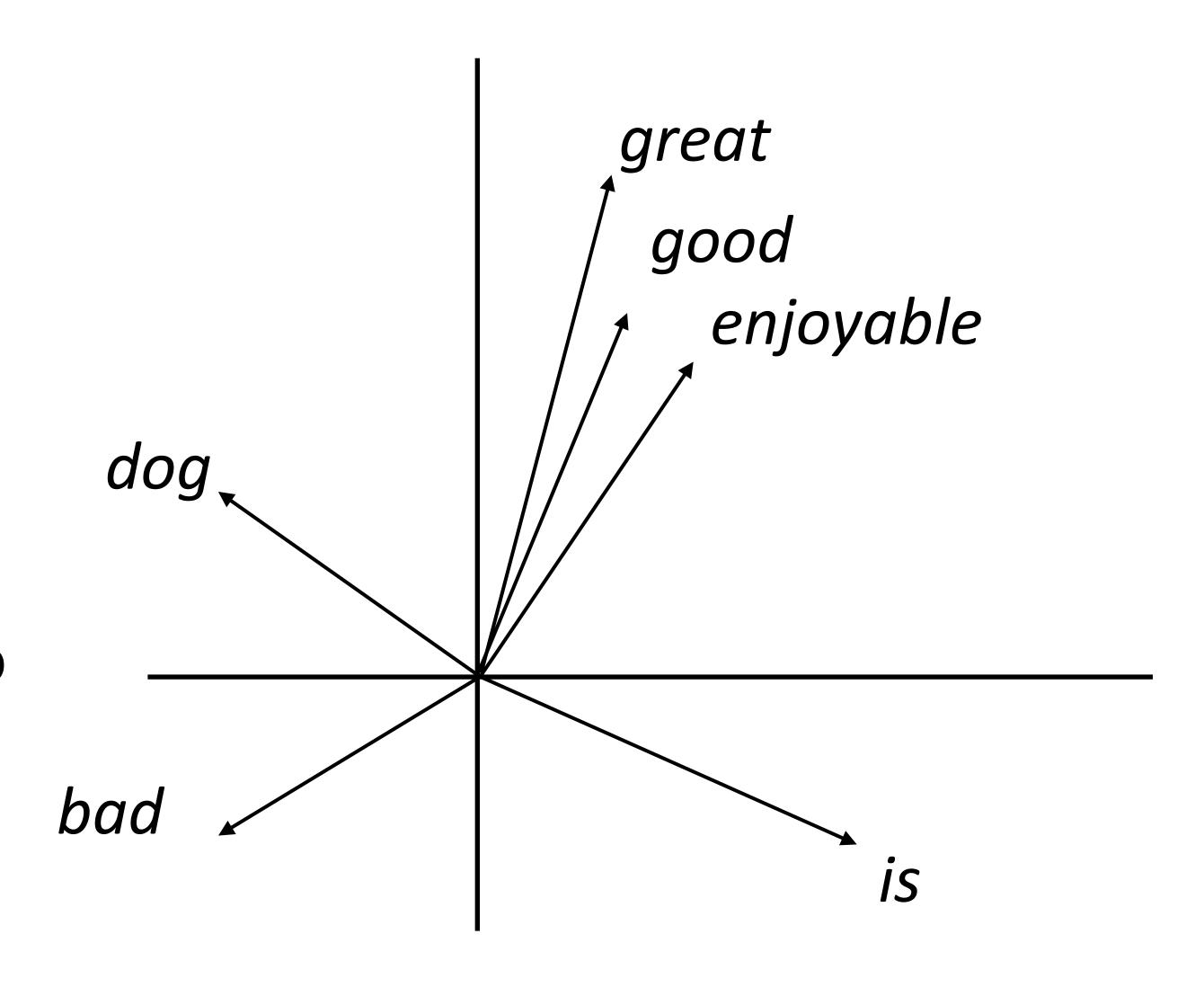
Want a vector space where similar words have similar embeddings

the movie was great

 $\approx$ 

the movie was good

 Goal: come up with a way to produce these embeddings



# word2vec/GloVe

Predict word from context



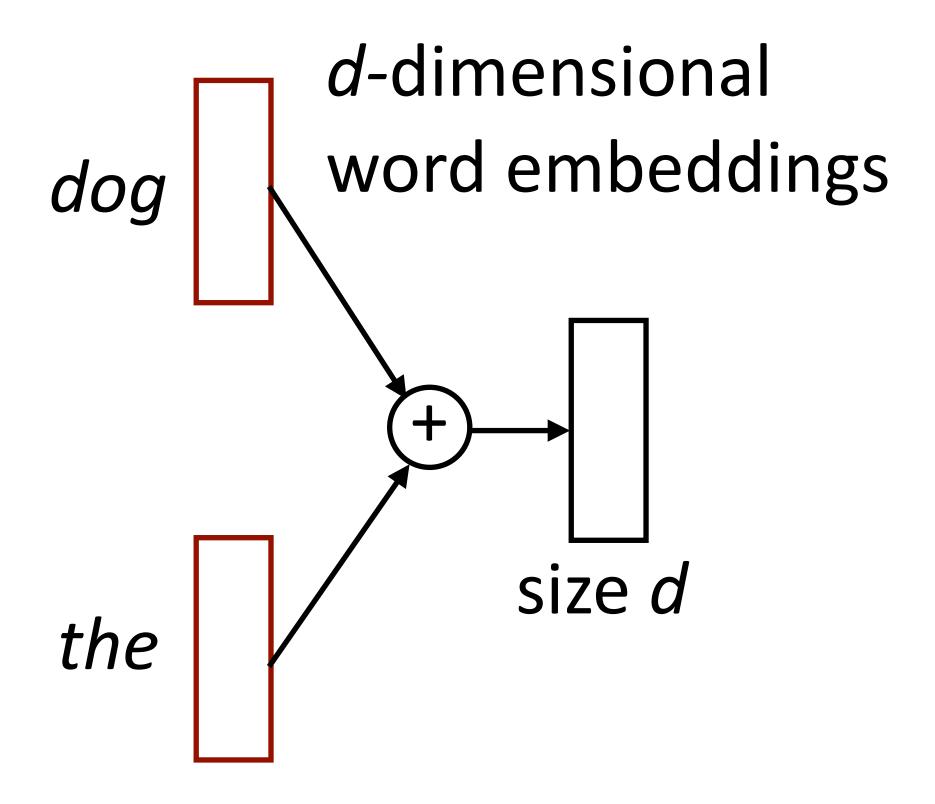
Predict word from context

dog d-dimensional word embeddings

the dog bit the man

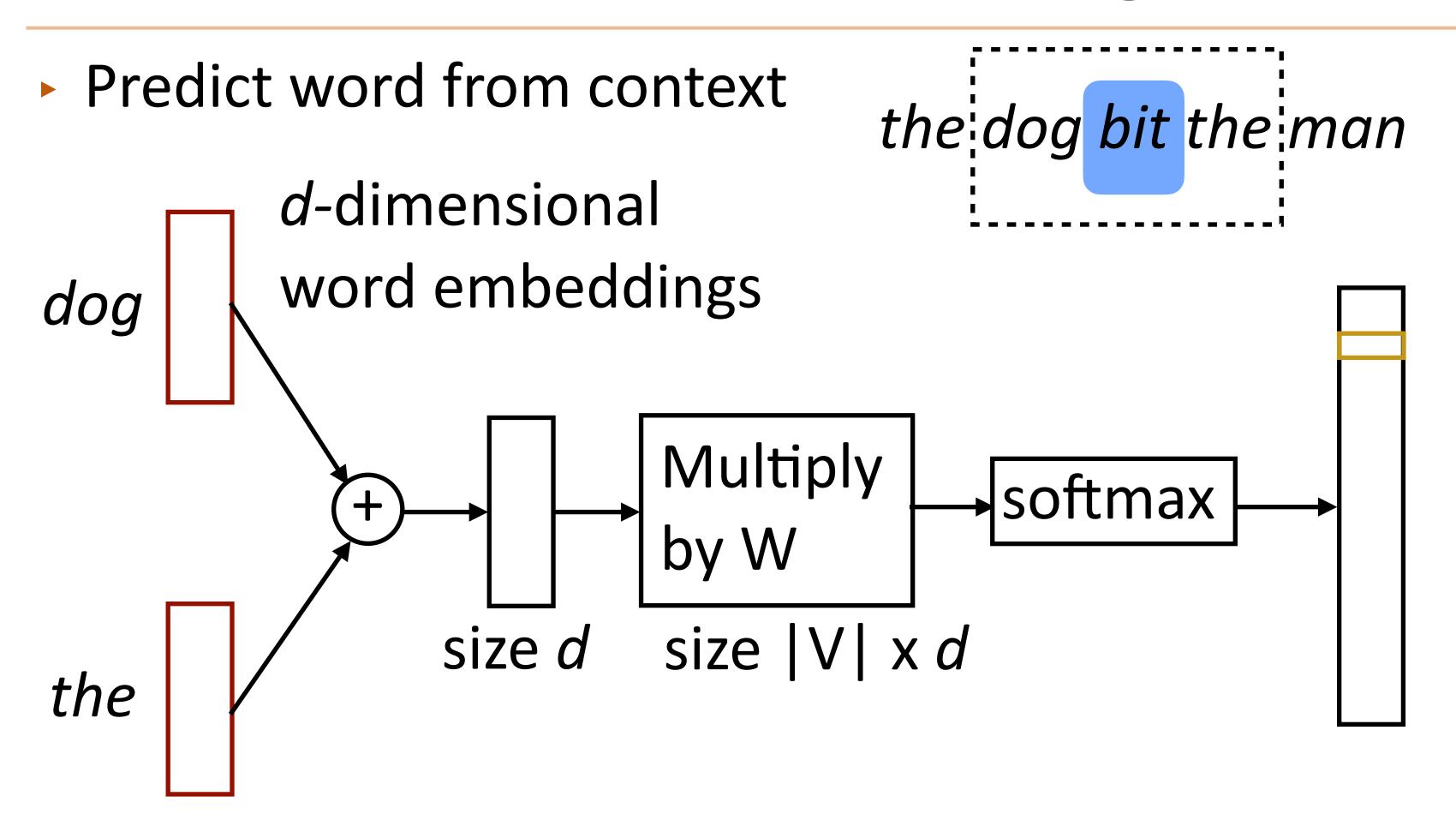
the

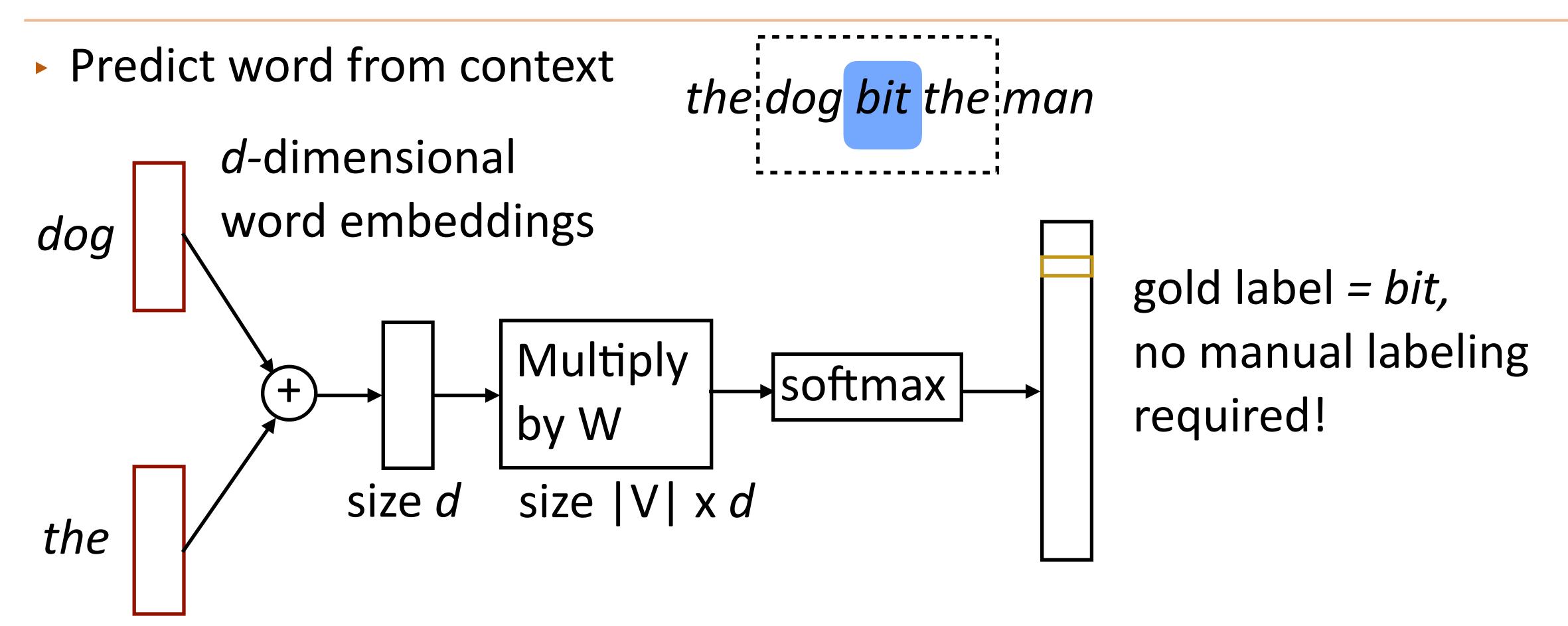
Predict word from context





Predict word from context the dog bit the man d-dimensional word embeddings dog Multiply softmax by W size |V| x d size d the



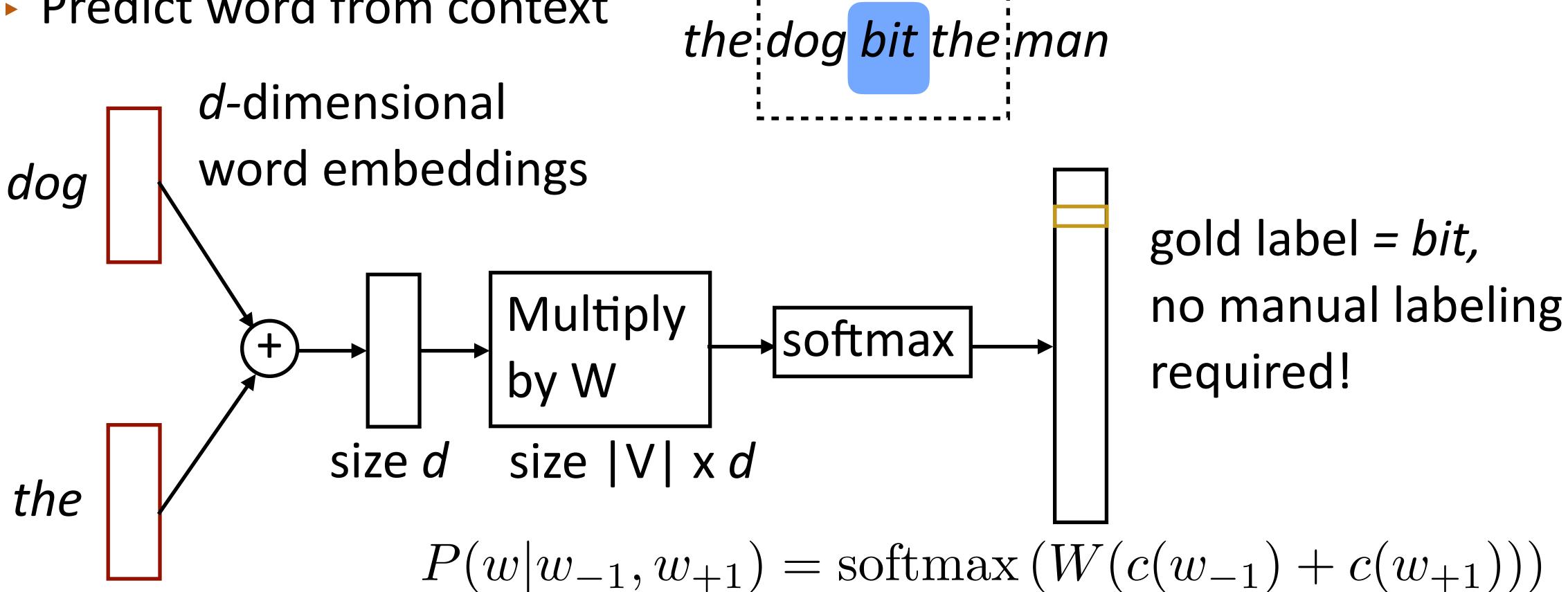


Predict word from context the dog bit the man d-dimensional word embeddings dog gold label = bit, no manual labeling Multiply softmax by W required! size d size |V| x d the  $P(w|w_{-1}, w_{+1}) = \operatorname{softmax} (W(c(w_{-1}) + c(w_{+1})))$ 

Mikolov et al. (2013)

## Continuous Bag-of-Words

Predict word from context



Parameters: d x | V | (one d-length vector per voc word), |V| x d output parameters (W)

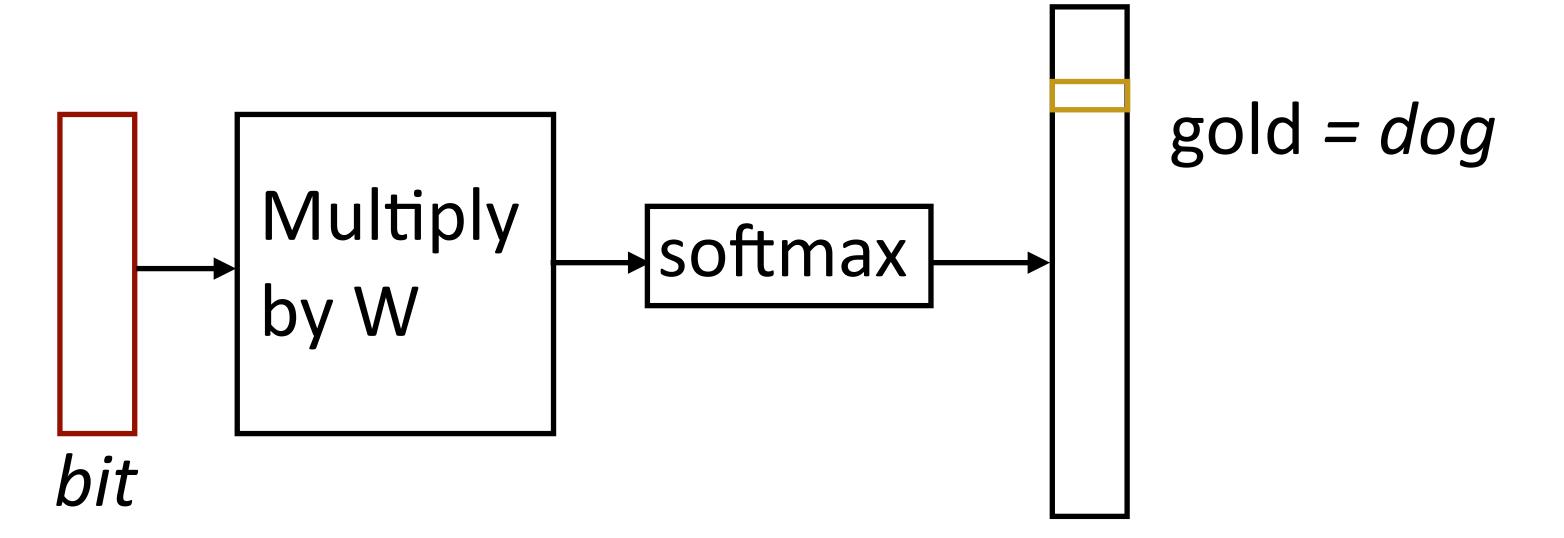
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Predict one word of context from word



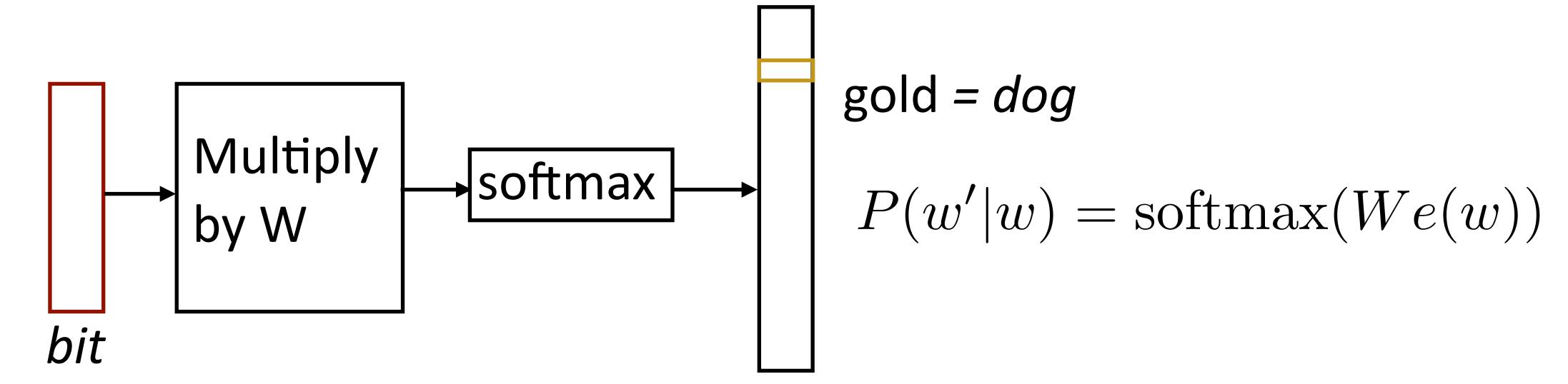
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Predict one word of context from word

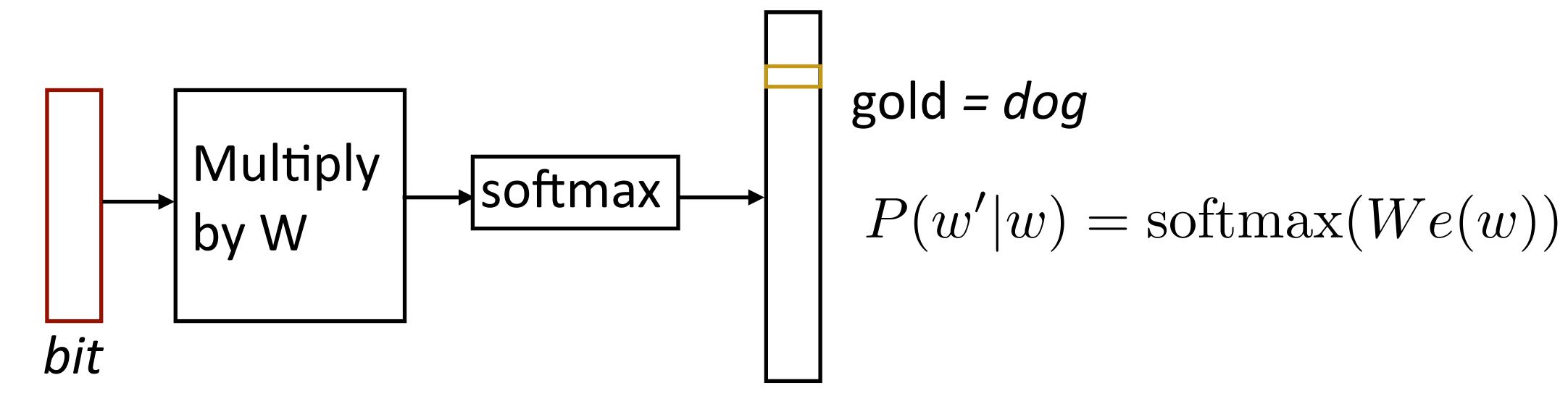




Mikolov et al. (2013)

Predict one word of context from word

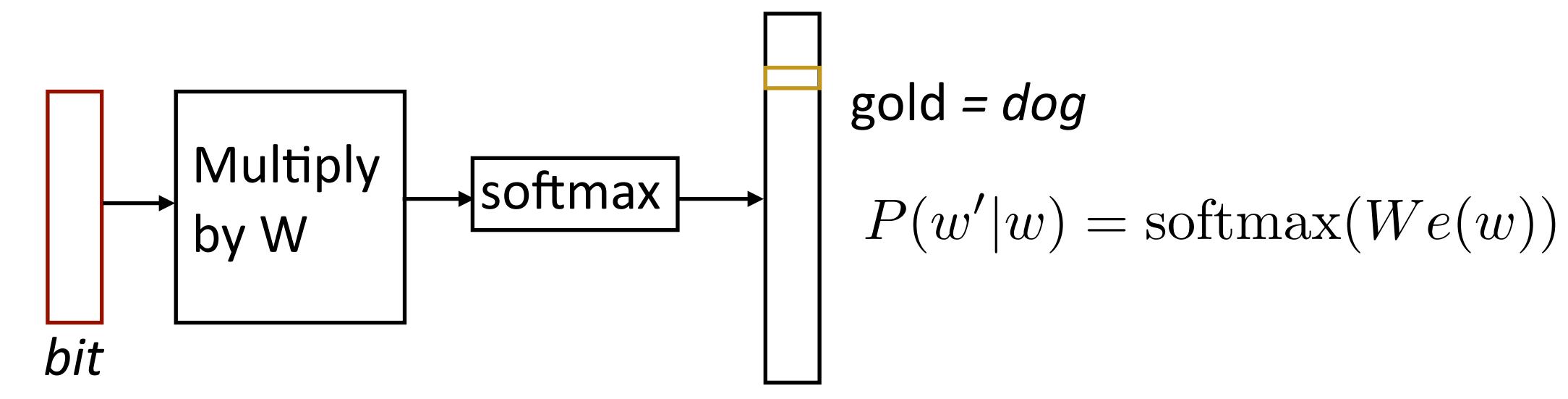




Another training example: bit -> the

Predict one word of context from word





- Another training example: bit -> the
- ► Parameters: d x |V| vectors, |V| x d output parameters (W) (also usable as vectors!)

Mikolov et al. (2013)

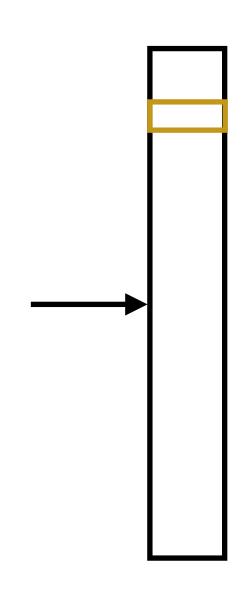
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Matmul + softmax over |V| is very slow to compute for CBOW and SG

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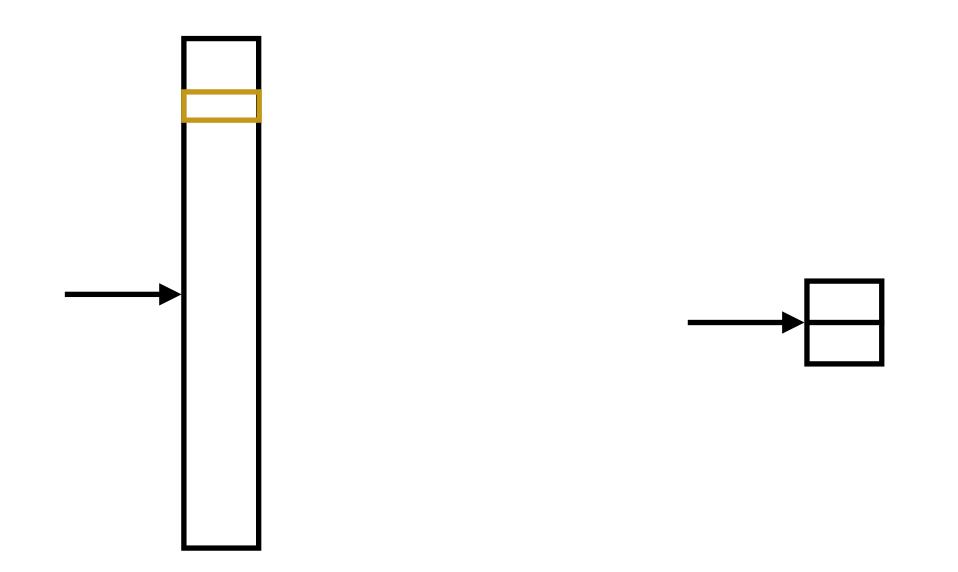
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$$[|V| \times d]$$

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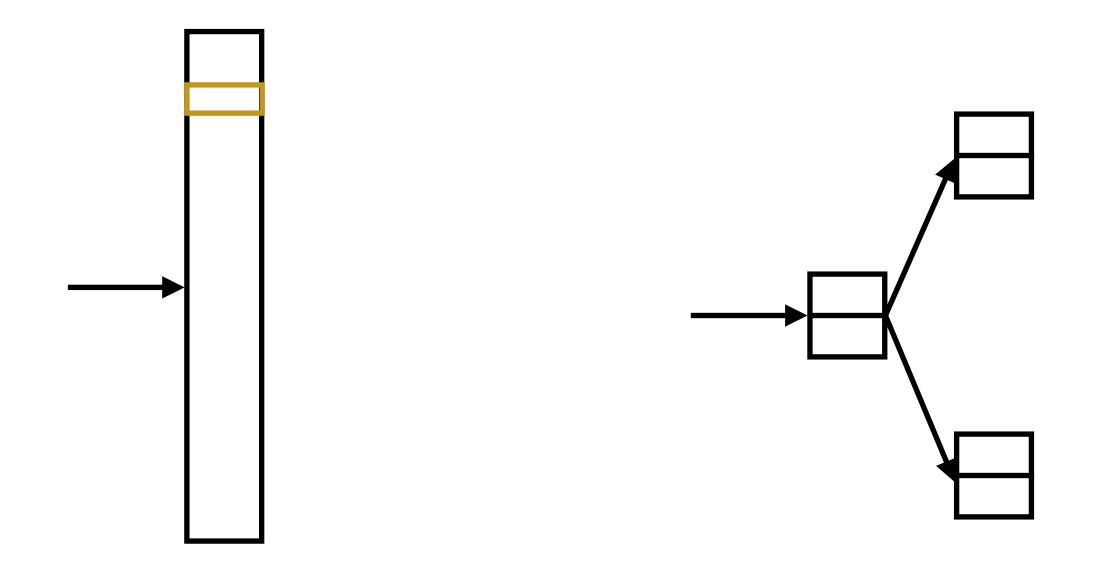
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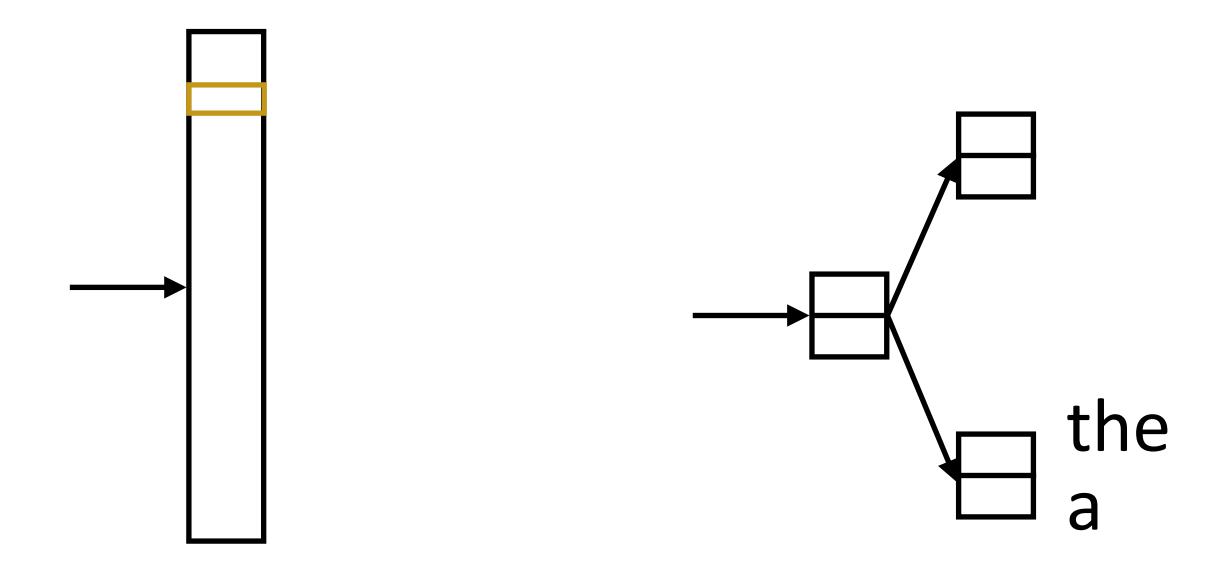
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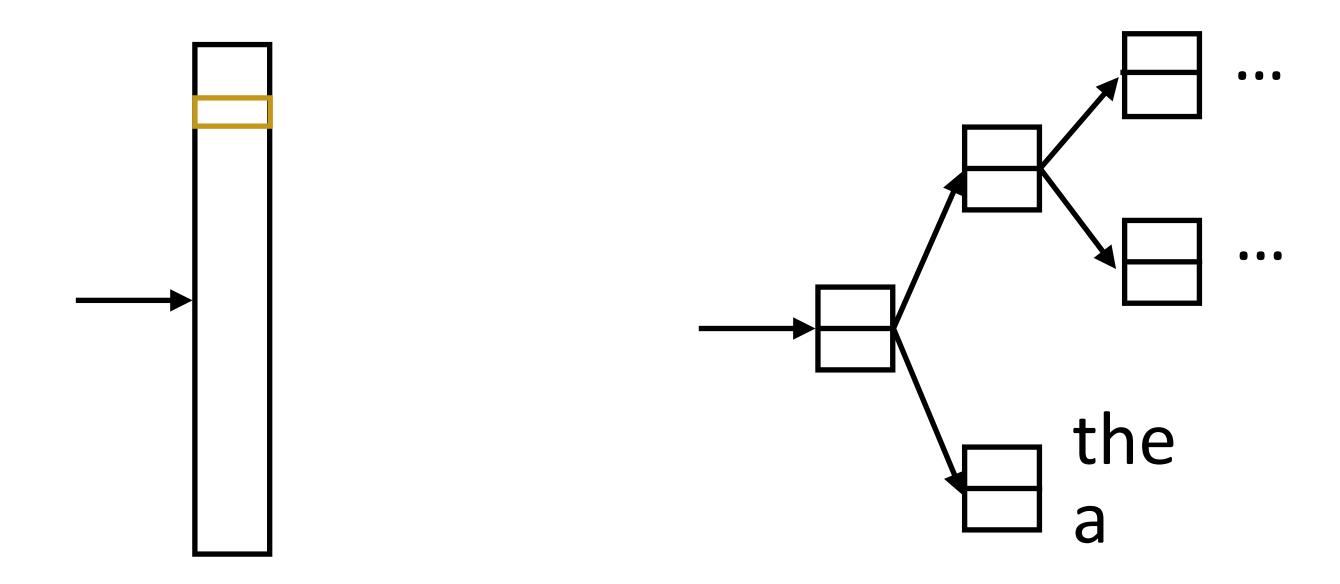
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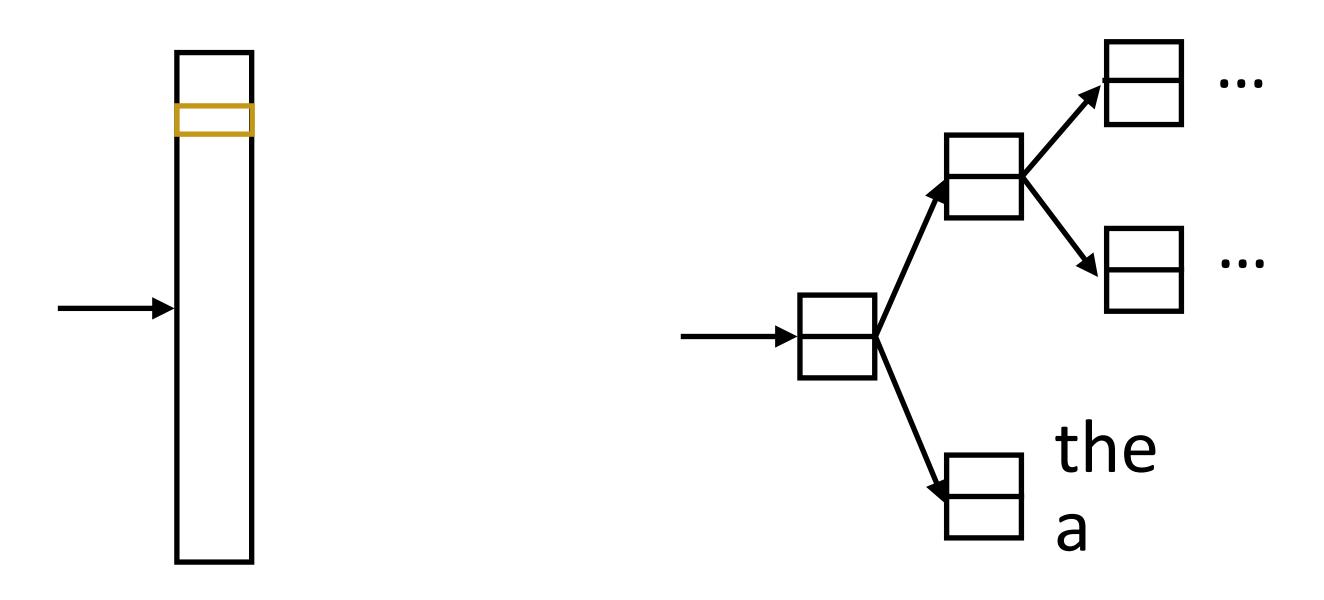
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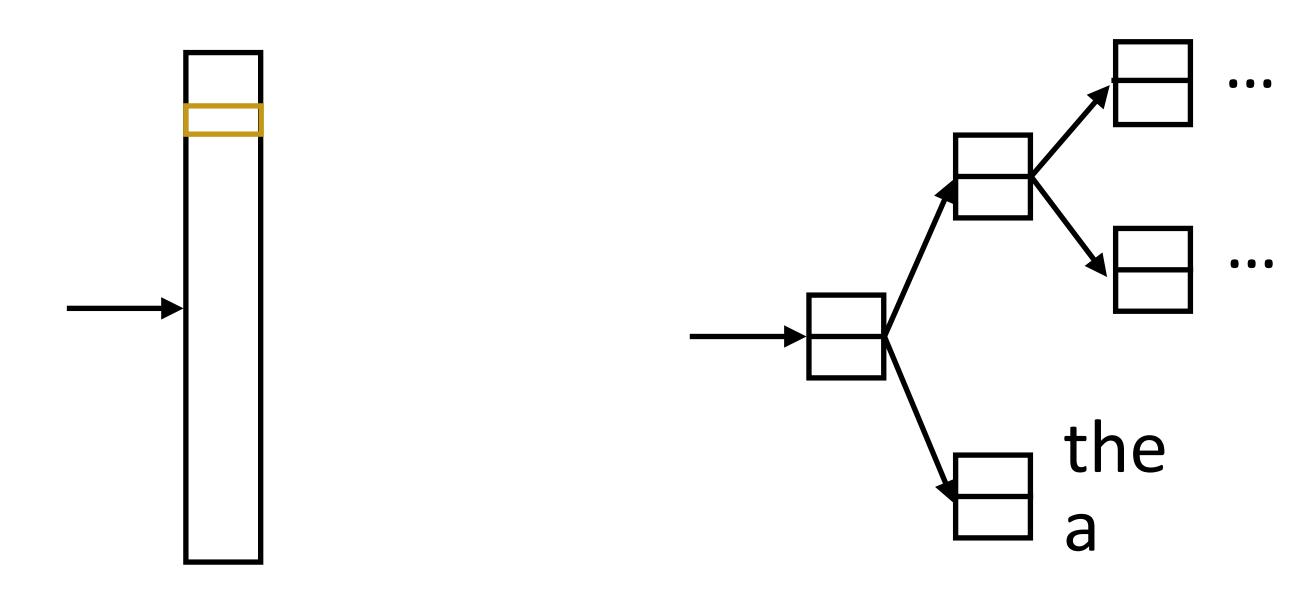


 Huffman encode vocabulary, use binary classifiers to decide which branch to take

$$[|V| \times d]$$

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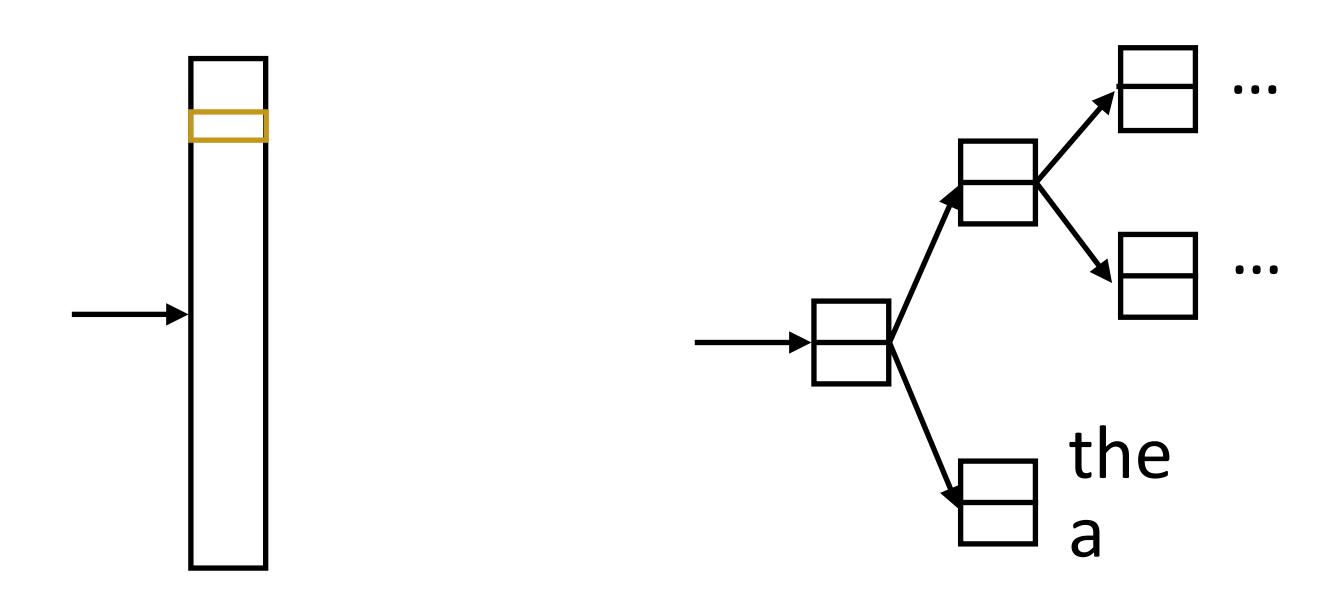


- Huffman encode
   vocabulary, use binary
   classifiers to decide
   which branch to take
- log(|V|) binary decisions

$$[|V| \times d]$$

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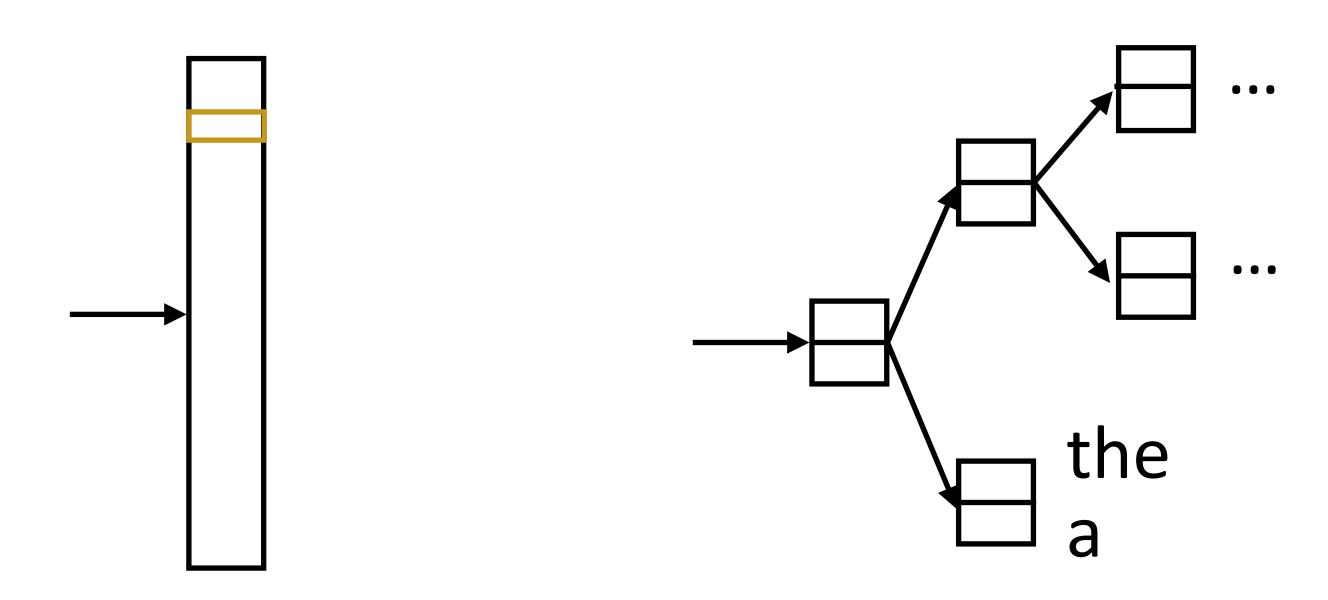
- Huffman encode
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- Standard softmax:
  - $[|V| \times d]$

Hierarchical softmax:

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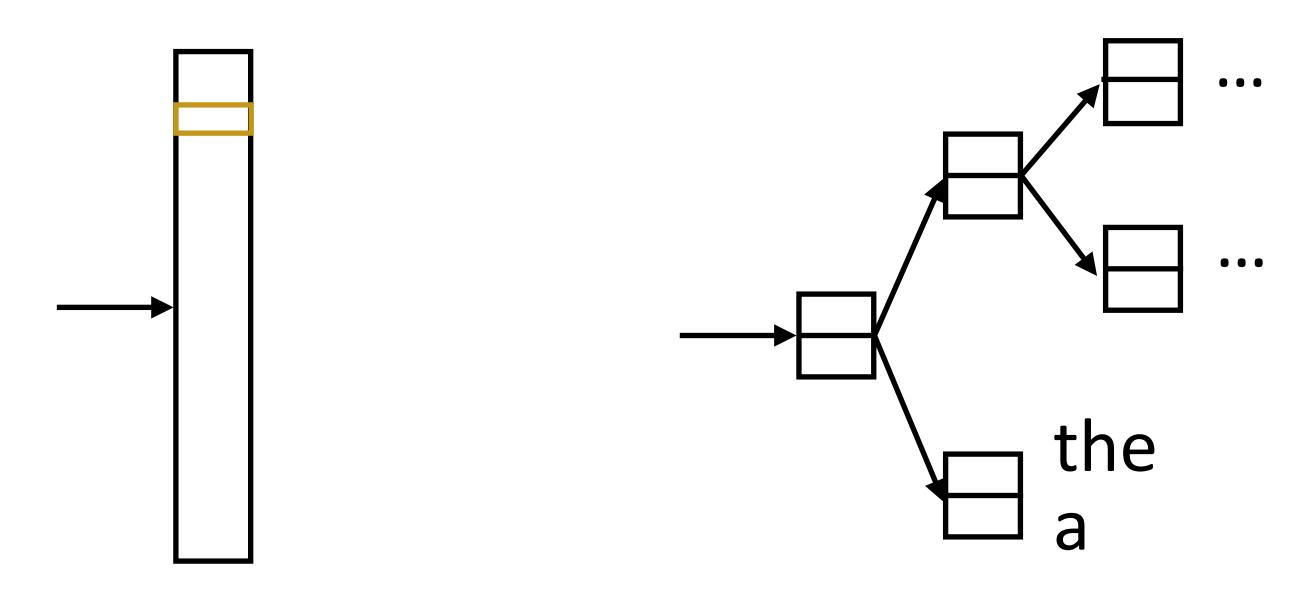
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Standard softmax:
[|V| x d]

Hierarchical softmax:
 log(|V|) dot products of size d,

$$P(w|w_{-1}, w_{+1}) = \operatorname{softmax}(W(c(w_{-1}) + c(w_{+1})))$$
  $P(w'|w) = \operatorname{softmax}(We(w))$ 

Matmul + softmax over |V| is very slow to compute for CBOW and SG



- Huffman encode
   vocabulary, use binary
   classifiers to decide
   which branch to take
- log(|V|) binary decisions

Mikolov et al. (2013)

Standard softmax:
[|V| x d]

Hierarchical softmax:
 log(|V|) dot products of size d,
 |V| x d parameters

$$(bit, the) => +1$$

```
(bit, the) => +1
(bit, cat) => -1
```

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$$P(y=1|w,c) = \frac{e^{w\cdot c}}{e^{w\cdot c}+1}$$

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$$P(y=1|w,c) = \frac{e^{w\cdot c}}{e^{w\cdot c}+1} \qquad \text{words in similar contexts select for similar $c$ vectors}$$

► Take (word, context) pairs and classify them as "real" or not. Create random negative examples by sampling from unigram distribution

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► d x | V | vectors, d x | V | context vectors (same # of params as before)

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$$\begin{array}{ll} \textit{(bit, the)} => +1 \\ \textit{(bit, cat)} => -1 \\ \textit{(bit, a)} => -1 \\ \textit{(bit, fish)} => -1 \end{array} \qquad P(y=1|w,c) = \frac{e^{w\cdot c}}{e^{w\cdot c}+1} \qquad \text{words in similar contexts select for similar $c$ vectors}$$

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• Objective = 
$$\log P(y=1|w,c) - \frac{1}{k} \sum_{i=1}^n \log P(y=0|w_i,c)$$

Mikolov et al. (2013)

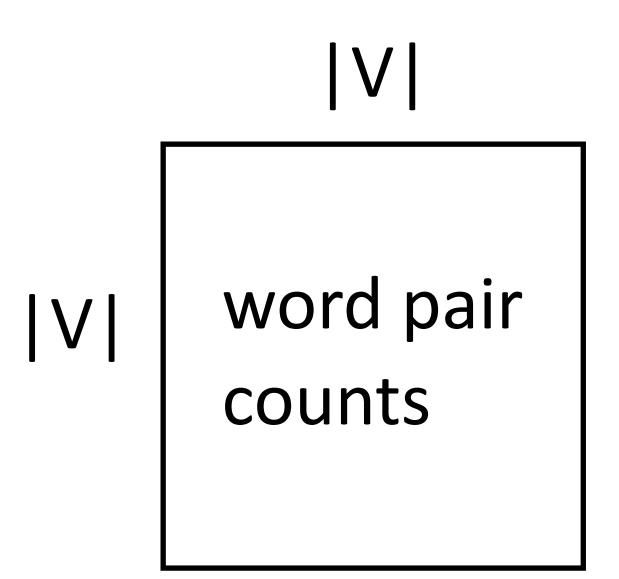
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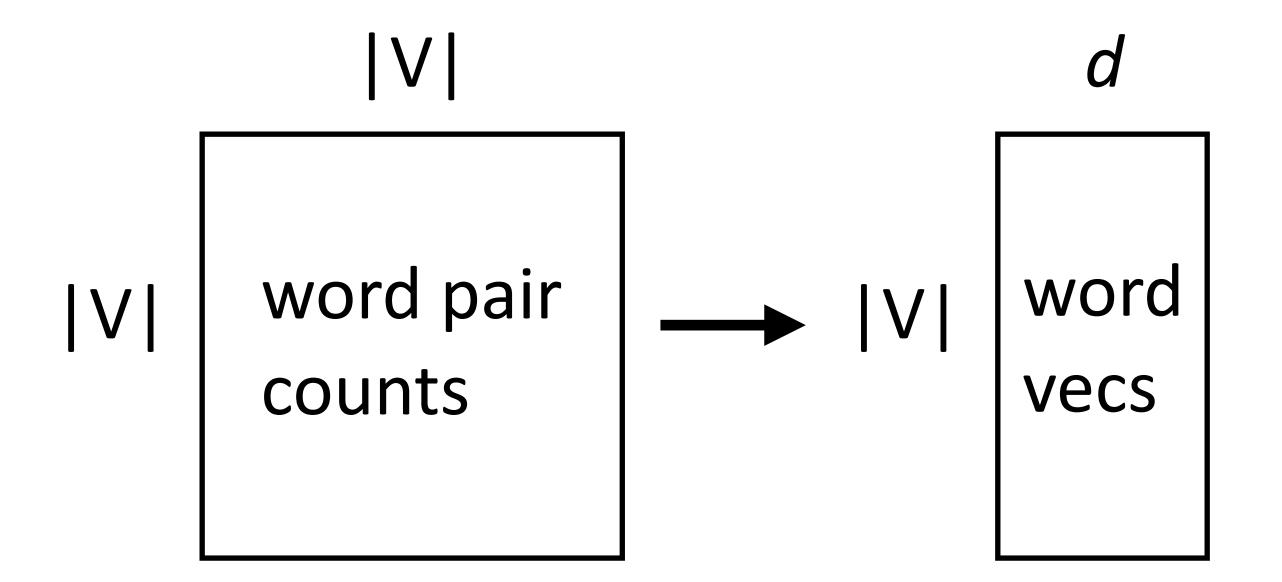
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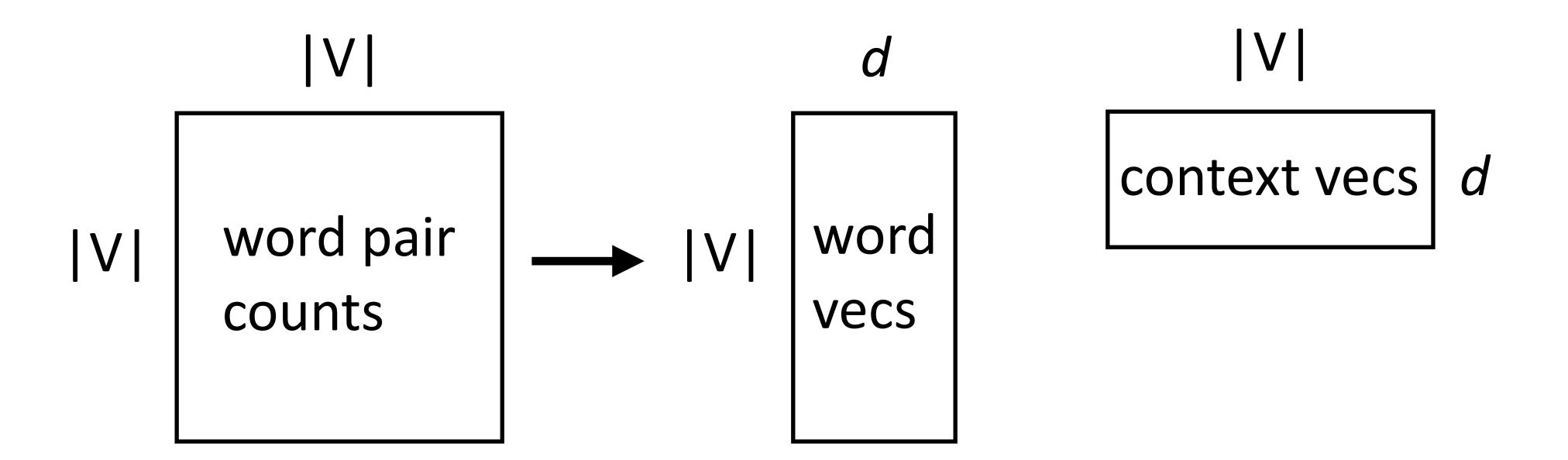
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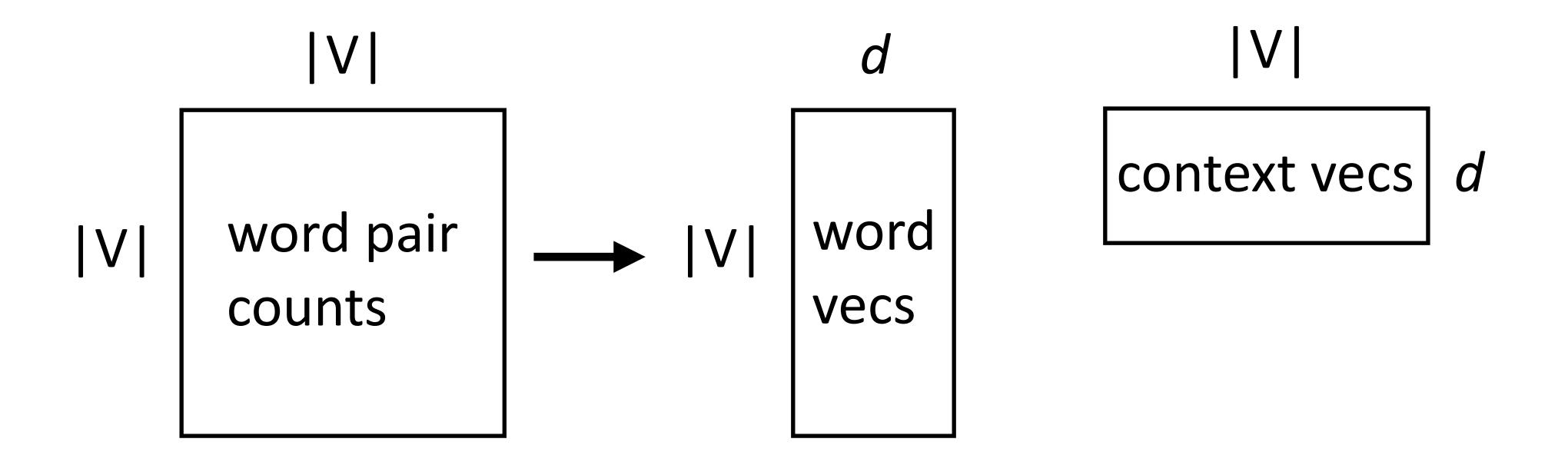
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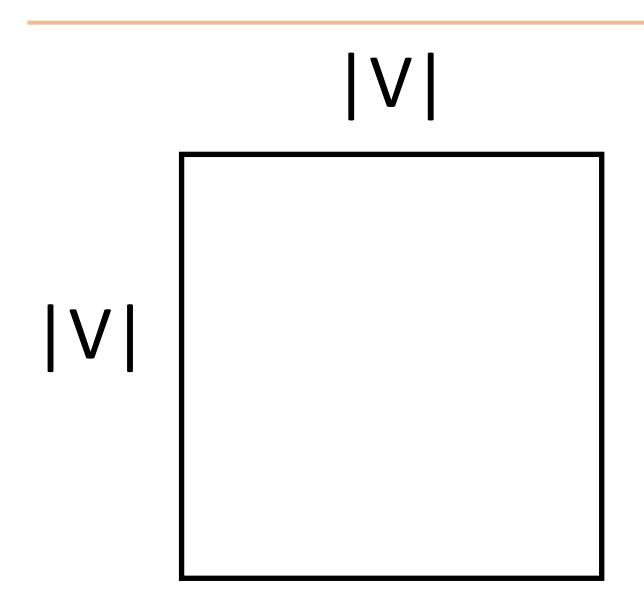


 Skip-gram model looks at word-word co-occurrences and produces two types of vectors

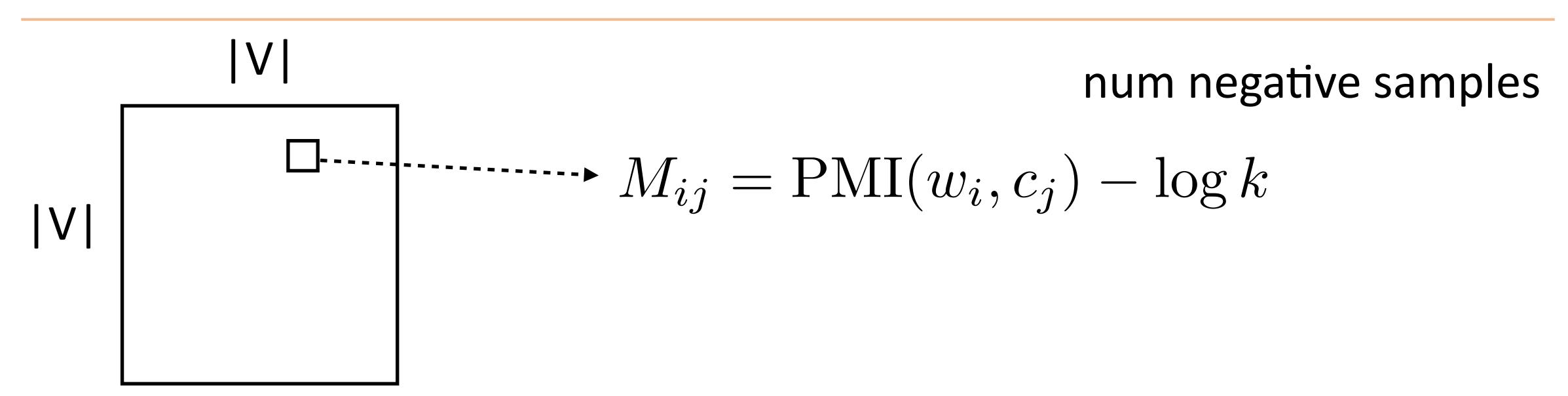


Looks almost like a matrix factorization...can we interpret it this way?

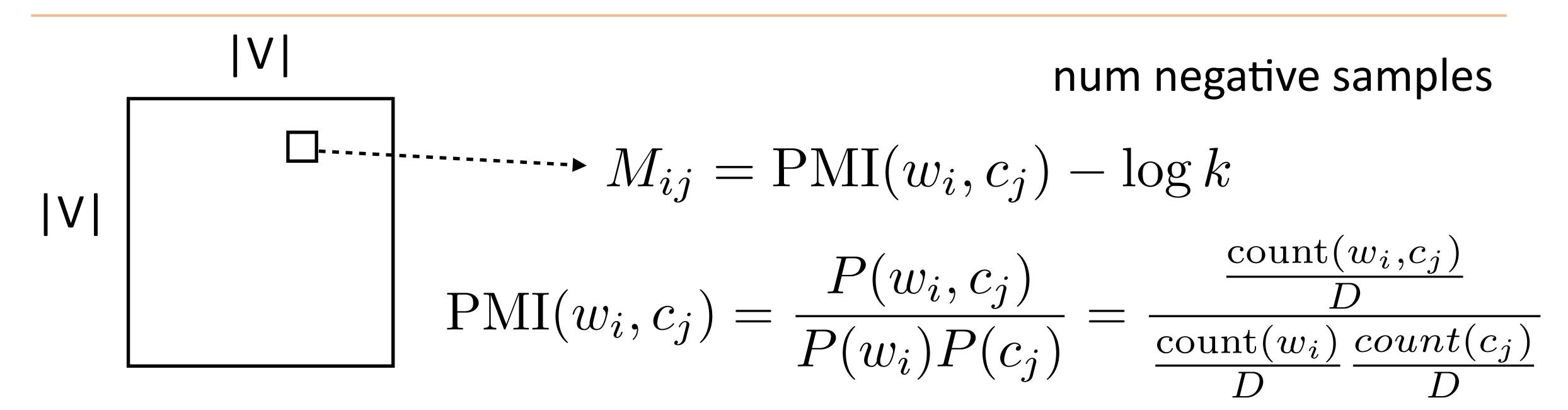
### Skip-Gram as Matrix Factorization



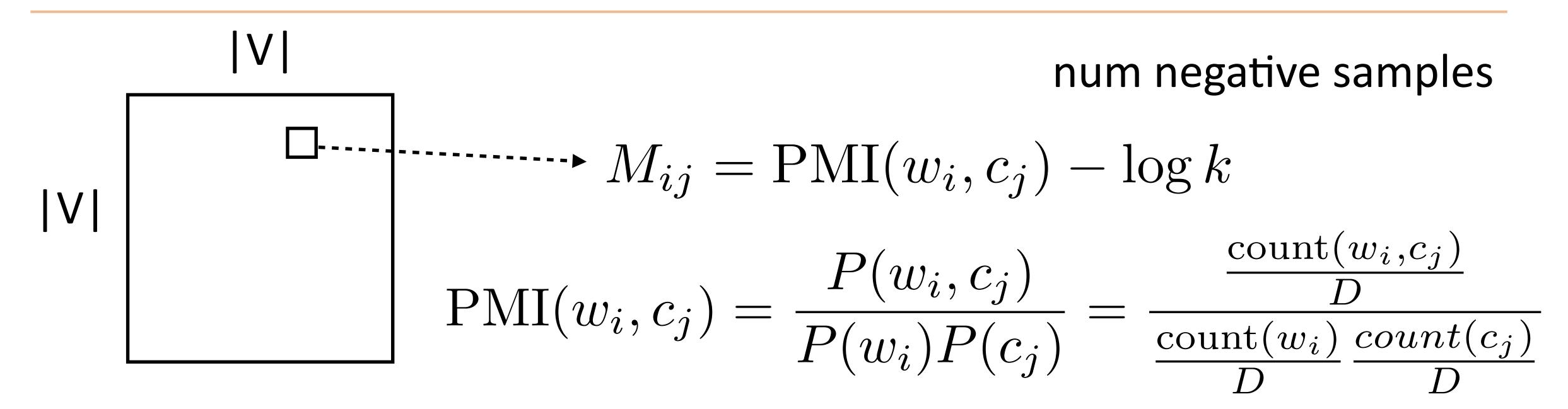
## Skip-Gram as Matrix Factorization



### Skip-Gram as Matrix Factorization

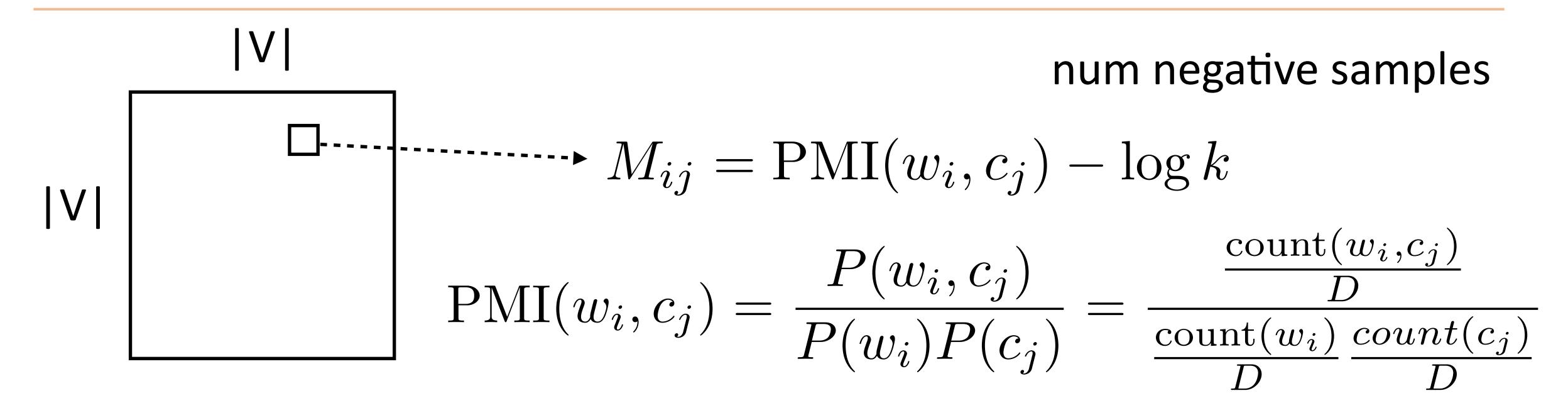


# Skip-Gram as Matrix Factorization



Skip-gram objective exactly corresponds to factoring this matrix:

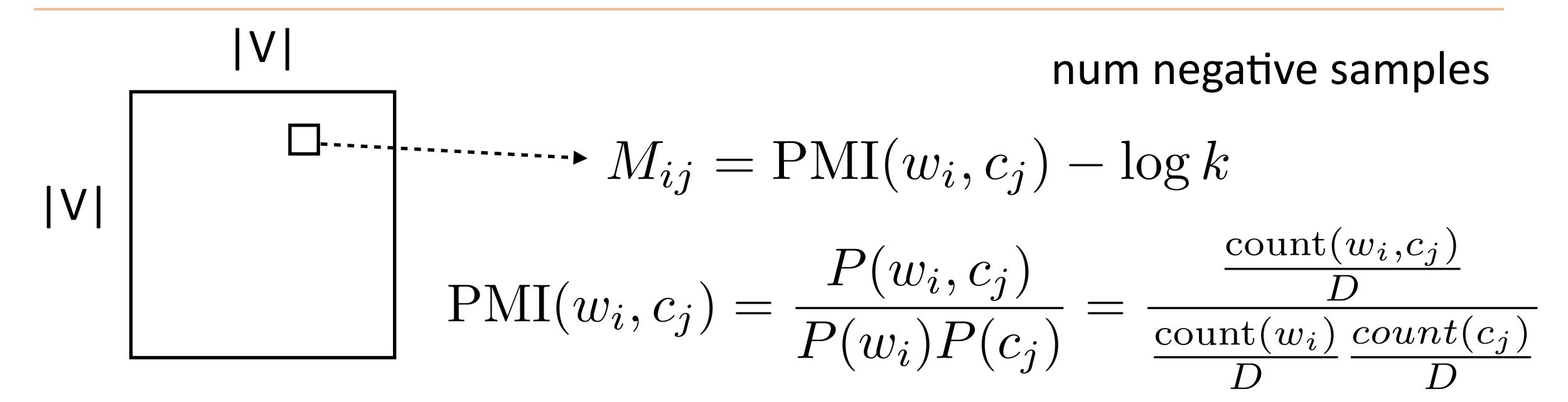
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Skip-gram objective exactly corresponds to factoring this matrix:

- If we sample negative examples from the uniform distribution over words
- ...and it's a weighted factorization problem (weighted by word freq)

Levy et al. (2014)

 Also operates on counts matrix, weighted regression on the log co-occurrence matrix |V| word pair counts

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Loss = 
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- Constant in the dataset size (just need counts), quadratic in voc size
- By far the most common (uncontextualized) word vectors used today (5000+ citations)

Pennington et al. (2014)

How to handle different word senses? One vector for balls

they dance at balls they hit the balls

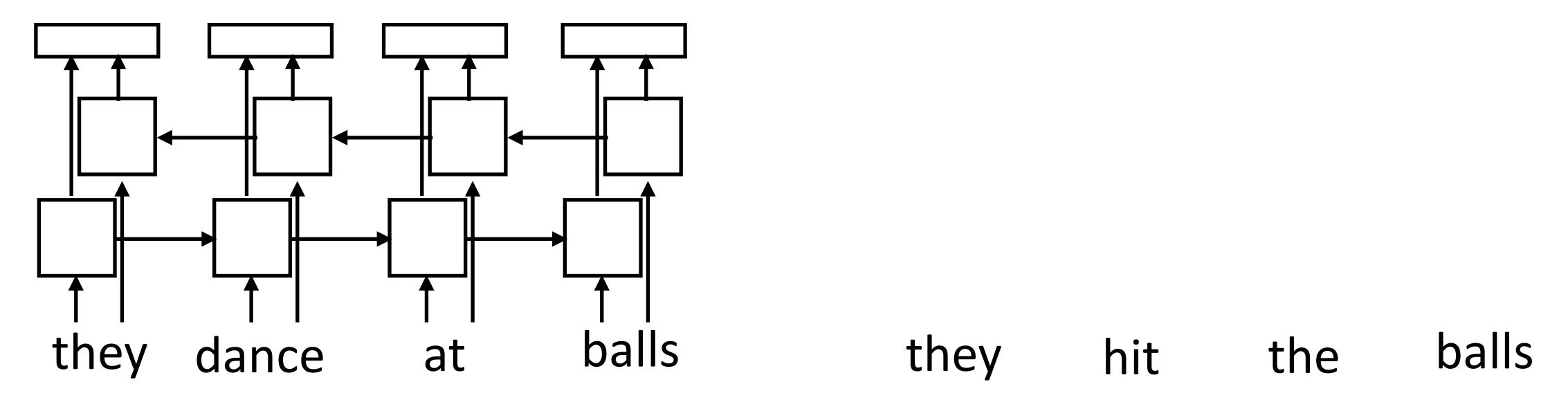
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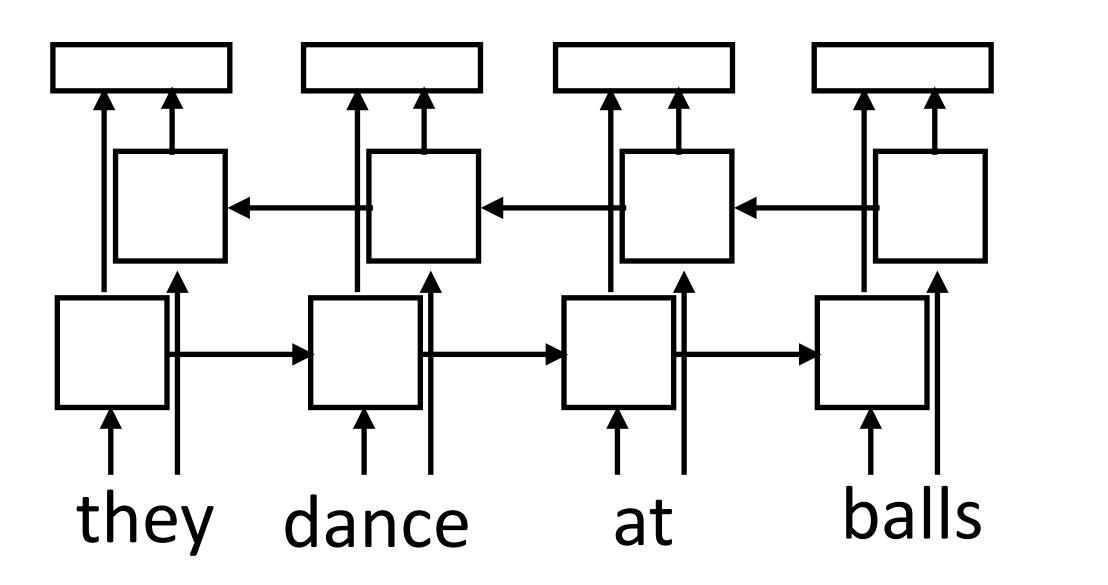
 Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors

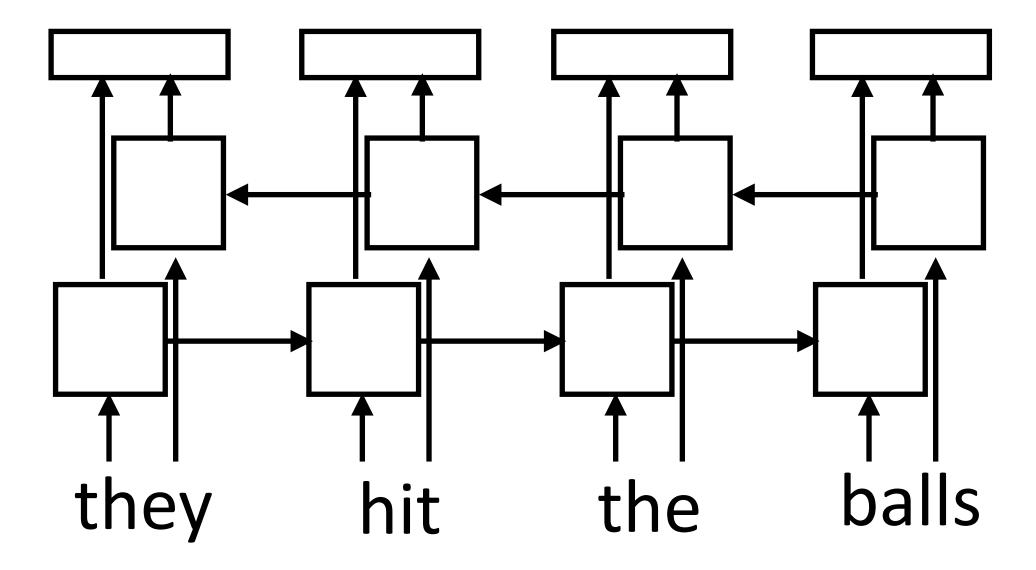
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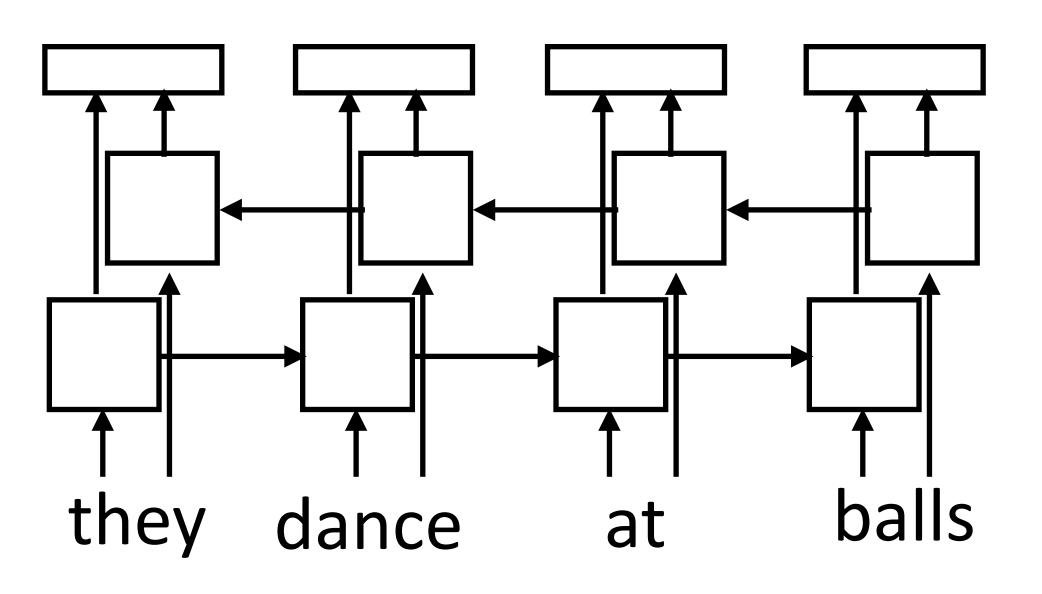
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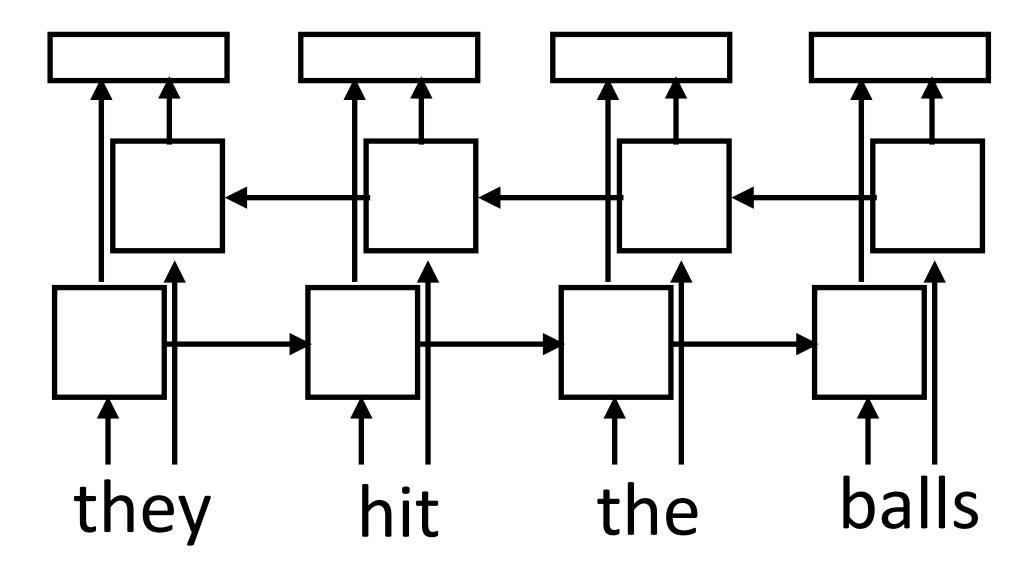




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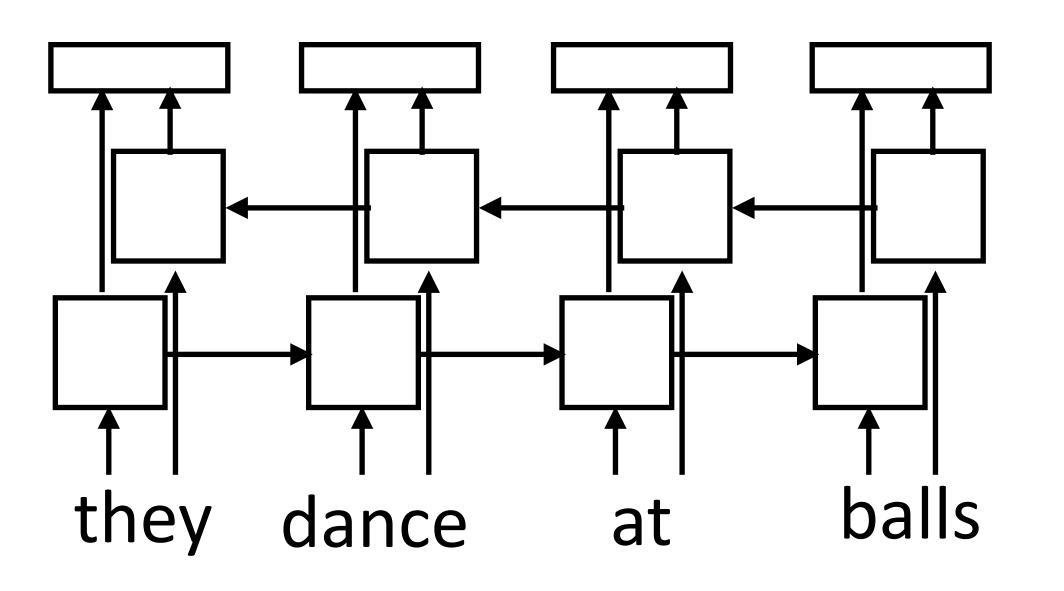
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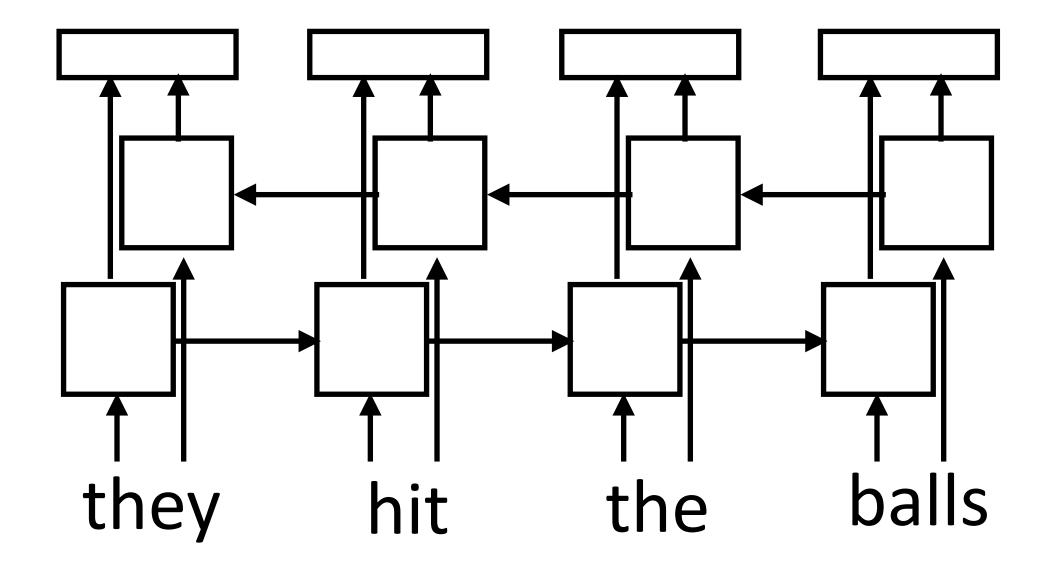




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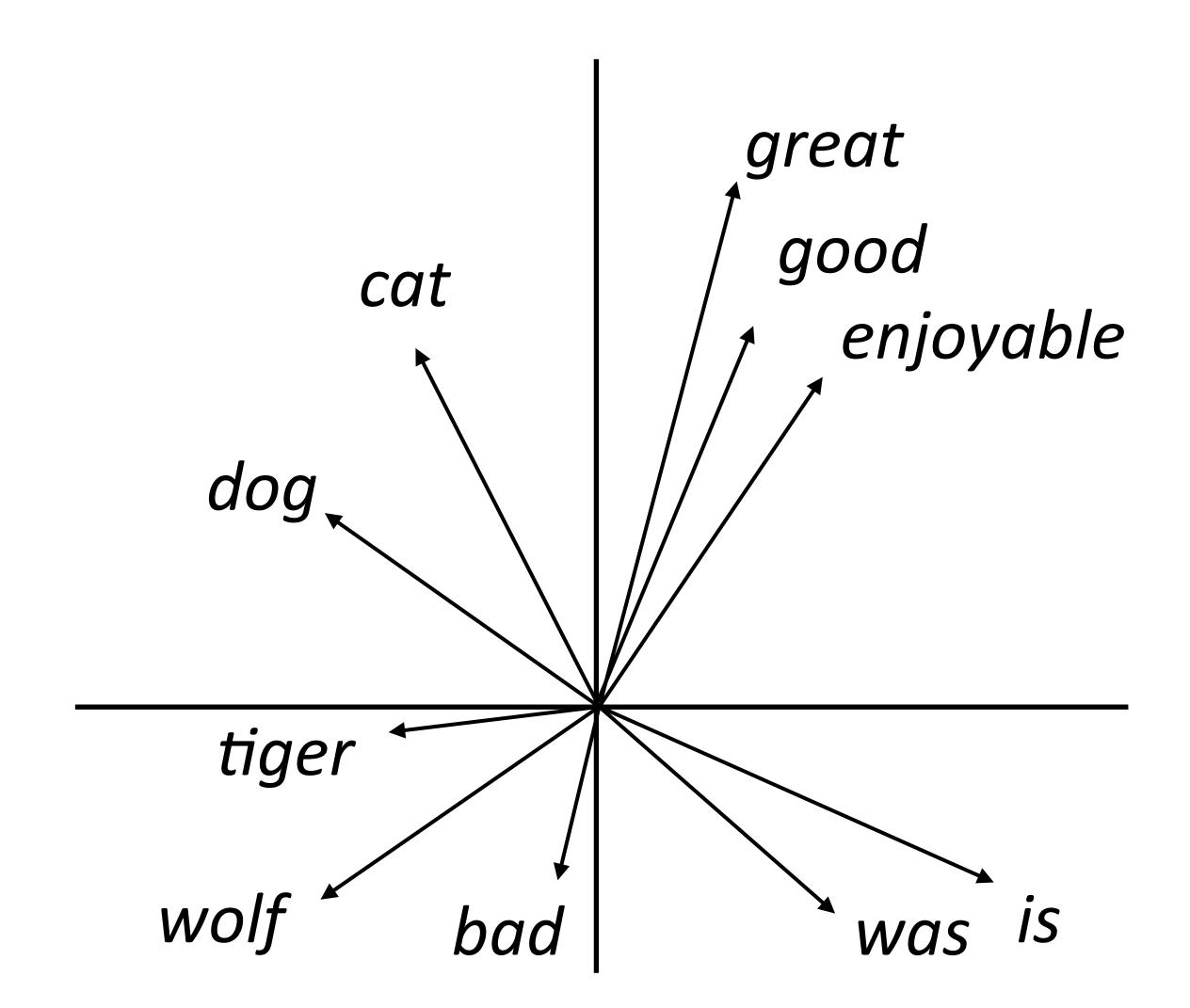


- Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors
- Context-sensitive word embeddings: depend on rest of the sentence
- Huge improvements across nearly all NLP tasks over GloVe

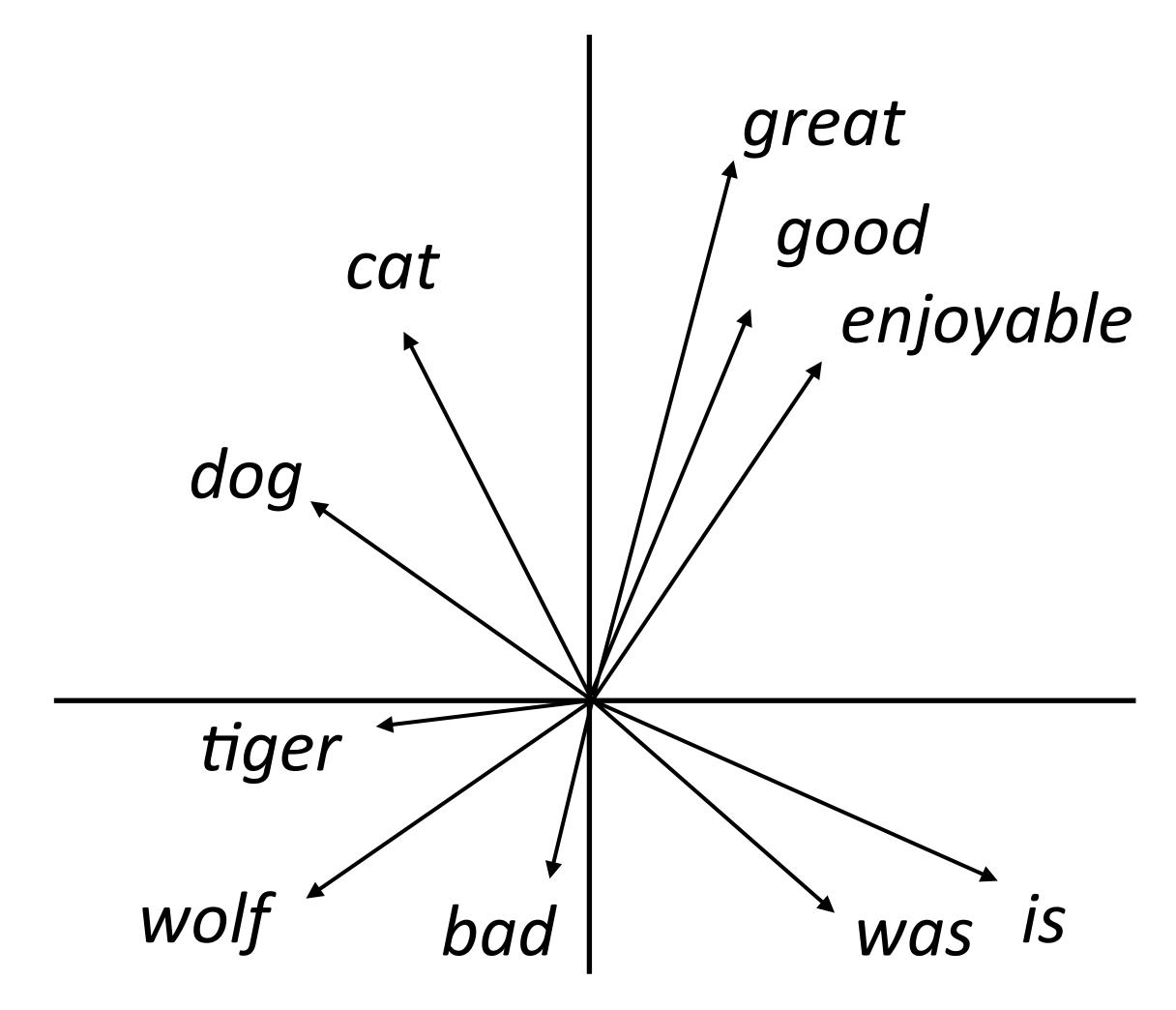
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# Evaluation

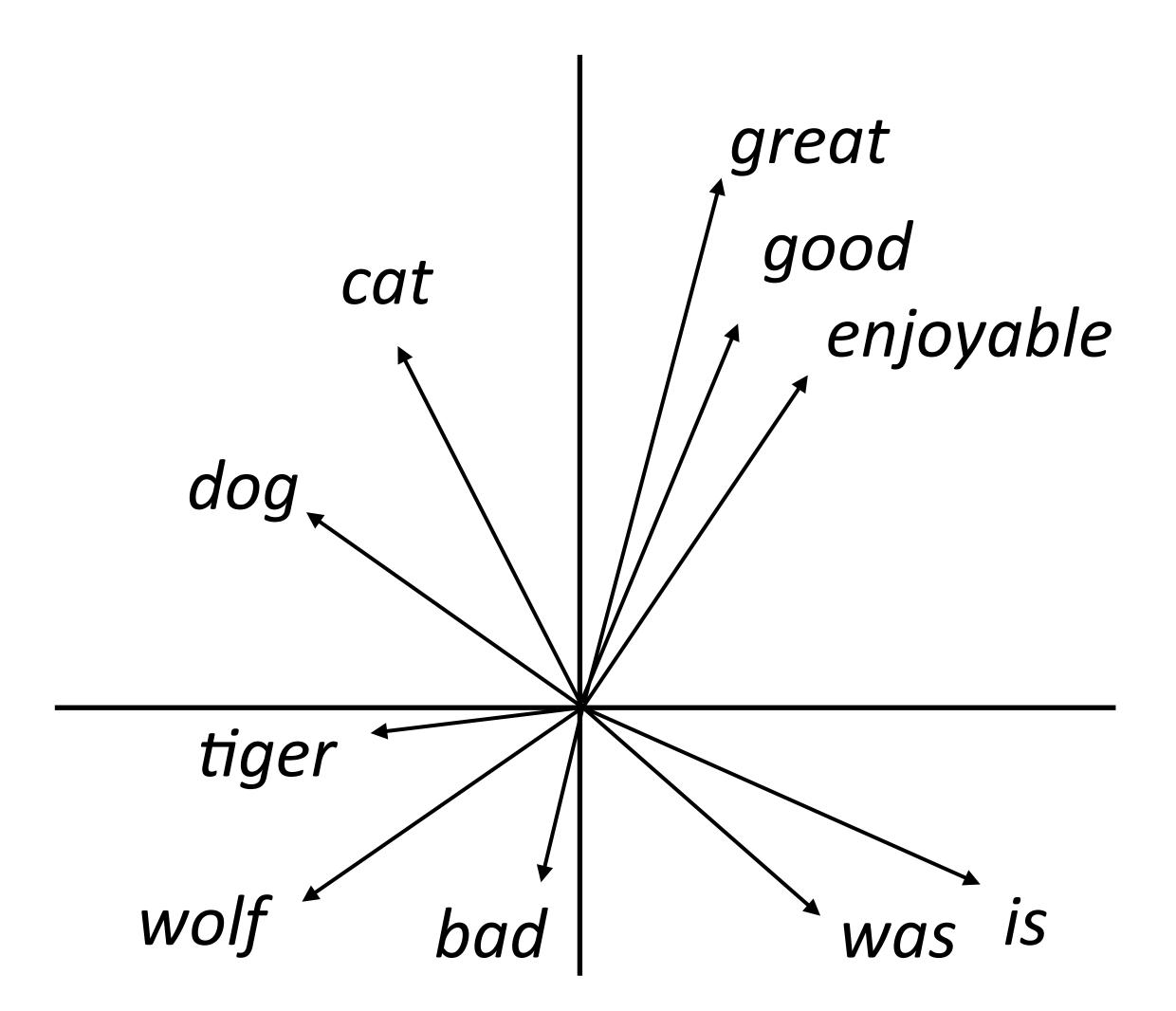
What properties of language should word embeddings capture?



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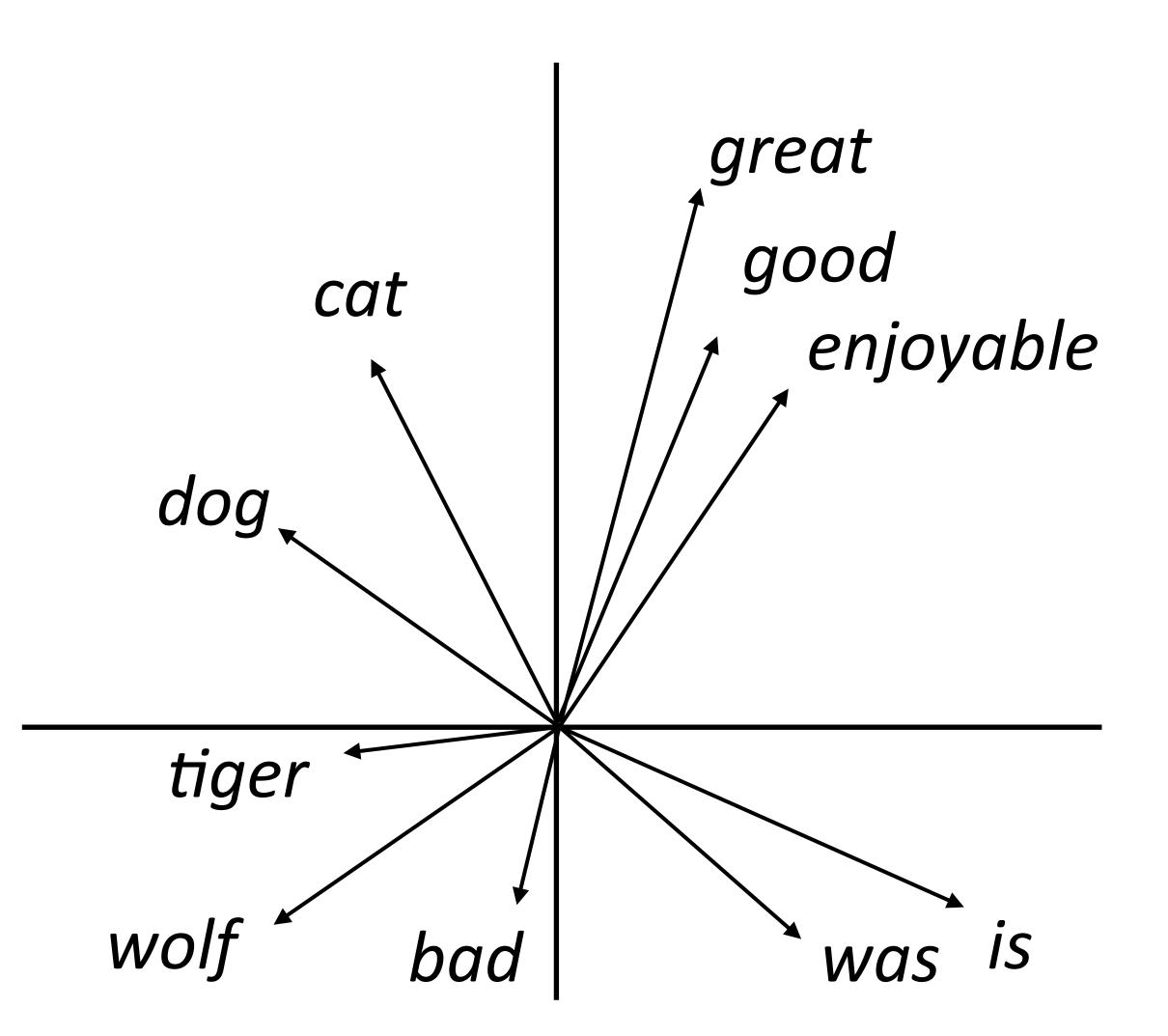


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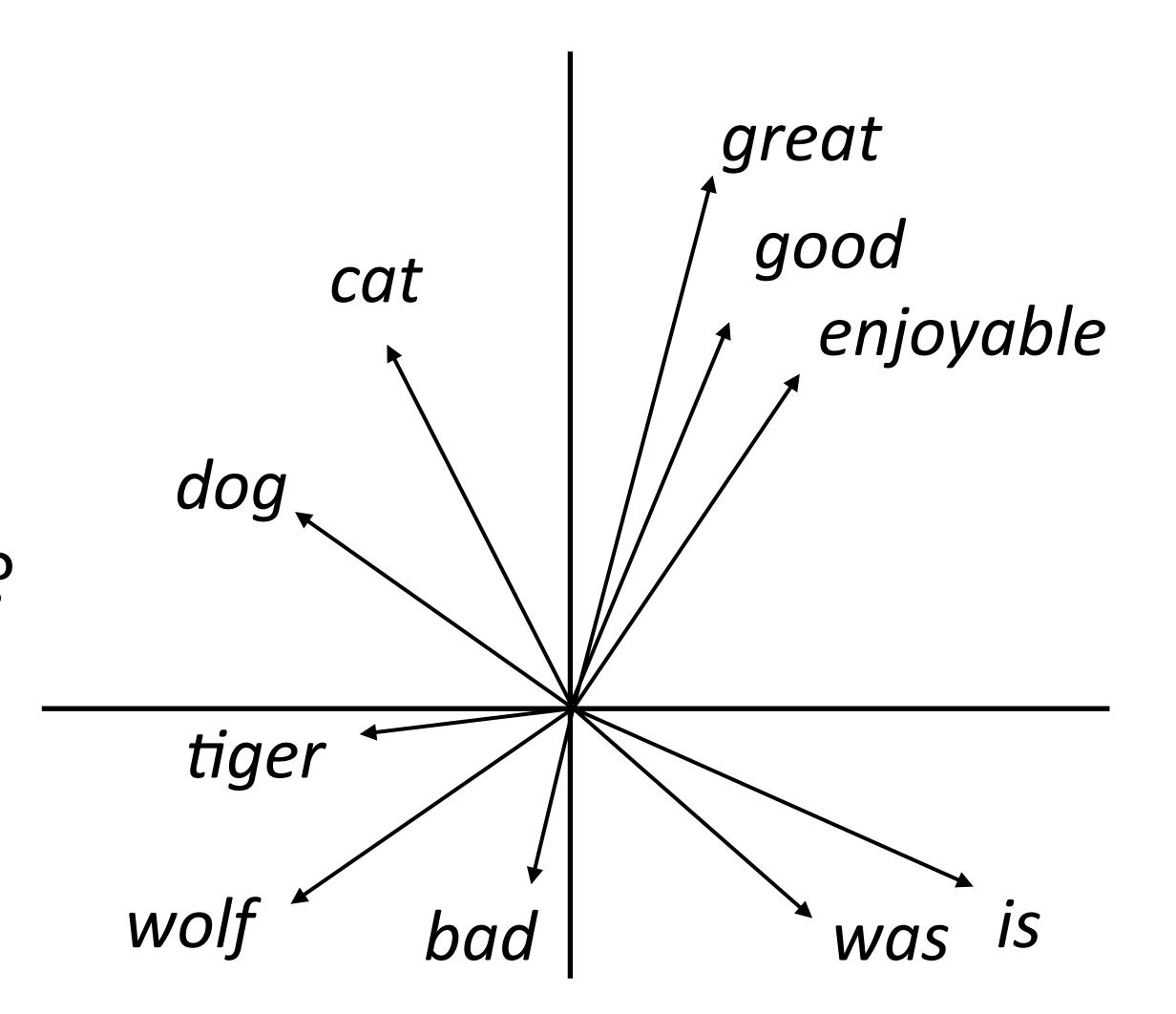
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good is to best as smart is to ???

Paris is to France as Tokyo is to ???



# Similarity

Mathad	WordSim	WordSim	Bruni et al.	Radinsky et al.	Luong et al.	Hill et al.
Method	Similarity	Relatedness	MEN	M. Turk	Rare Words	SimLex
PPMI	.755	.697	.745	.686	.462	.393
SVD	.793	.691	.778	.666	.514	.432
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- GloVe does not appear to be the best when experiments are carefully controlled, but it depends on hyperparameters + these distinctions don't matter in practice

Hypernyms: detective is a person, dog is a animal

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- Do word vectors encode these relationships?

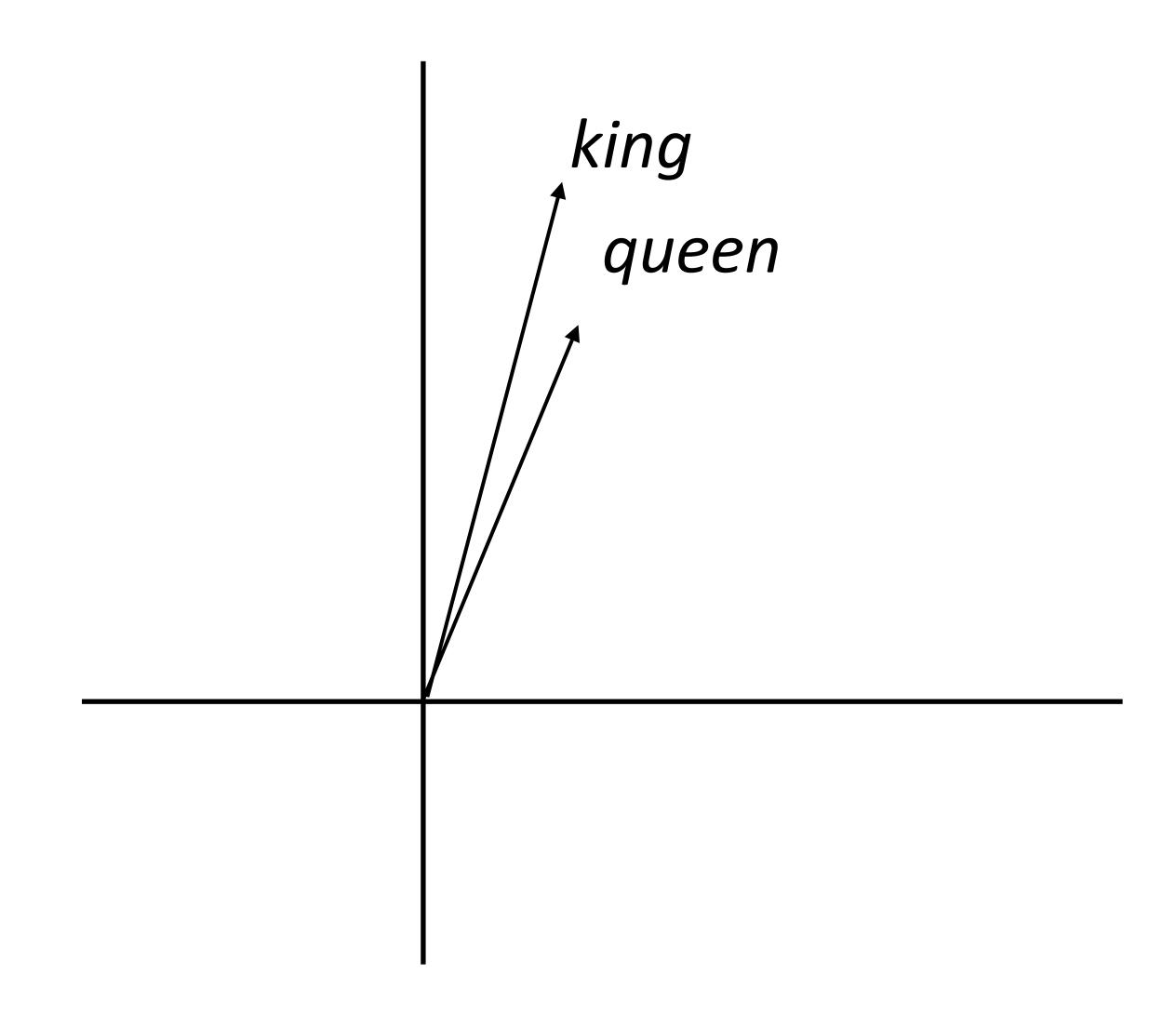
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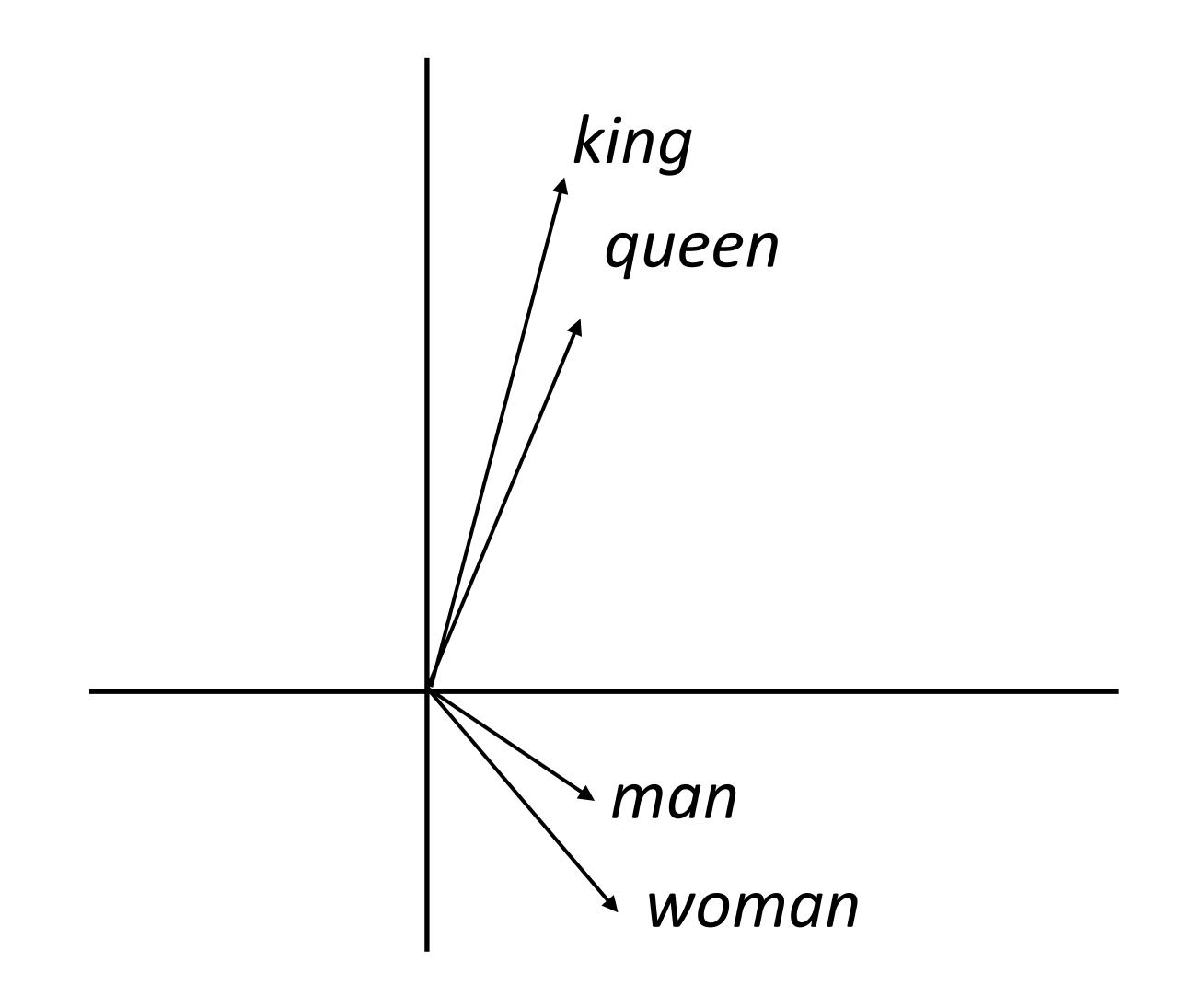
Dataset	TM14	Kotlerman 2010	HypeNet	WordNet	Avg (10 datasets)
Random	52.0	30.8	24.5	55.2	23.2
Word2Vec + C	52.1	39.5	20.7	63.0	25.3
GE + C	53.9	36.0	21.6	58.2	26.1
GE + KL	52.0	39.4	23.7	54.4	25.9
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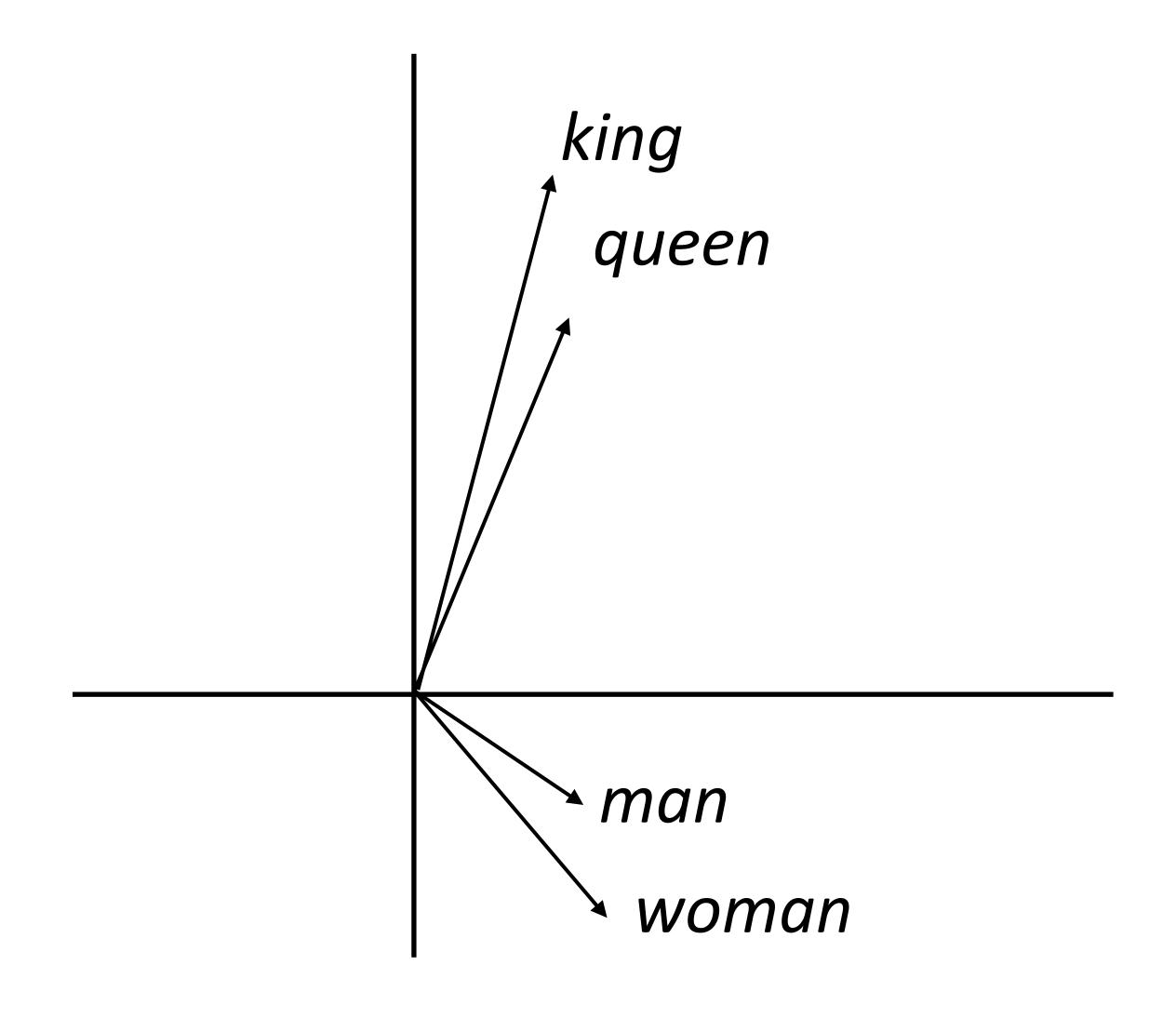
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word2vec (SGNS) works barely better than random guessing here

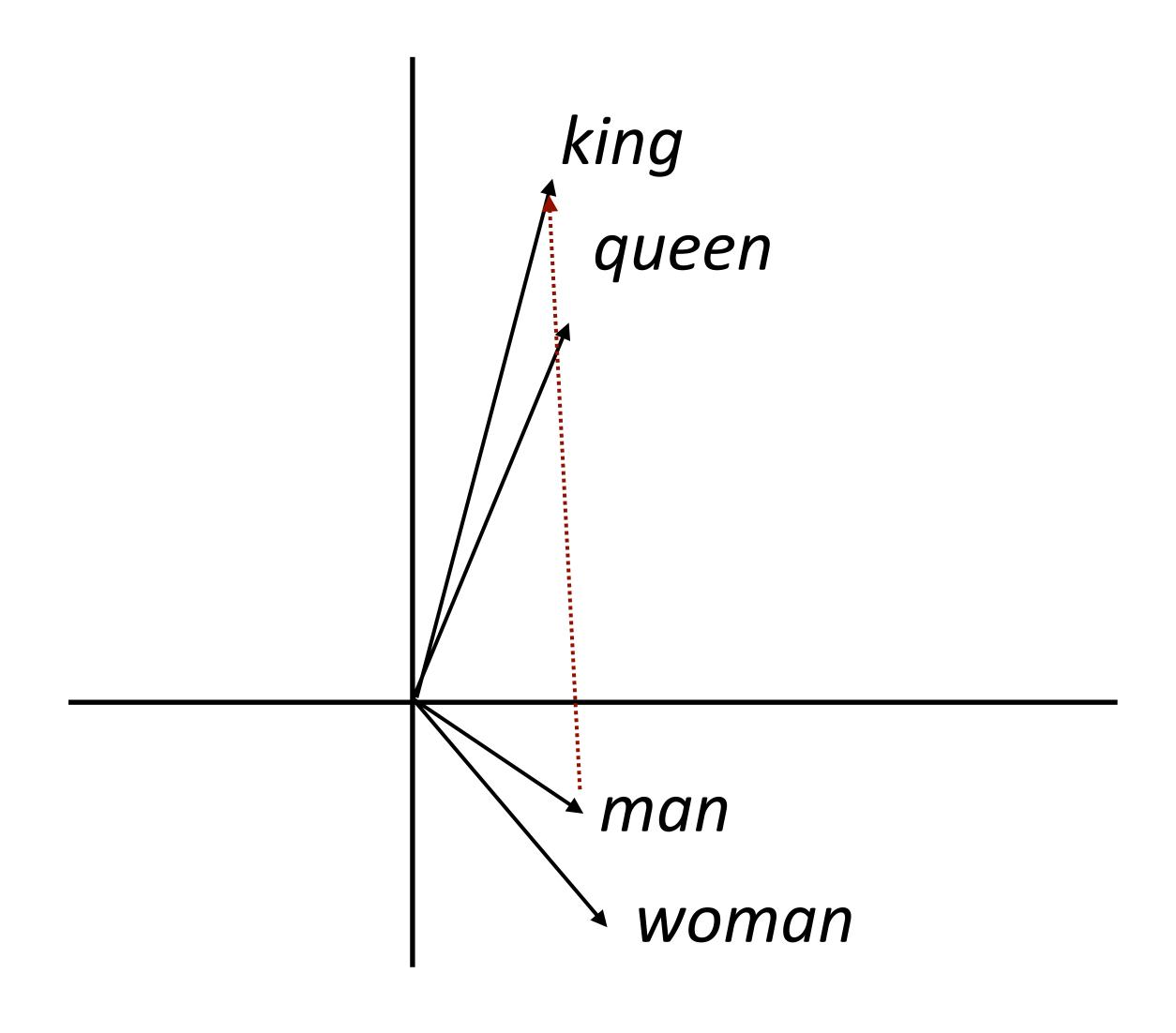




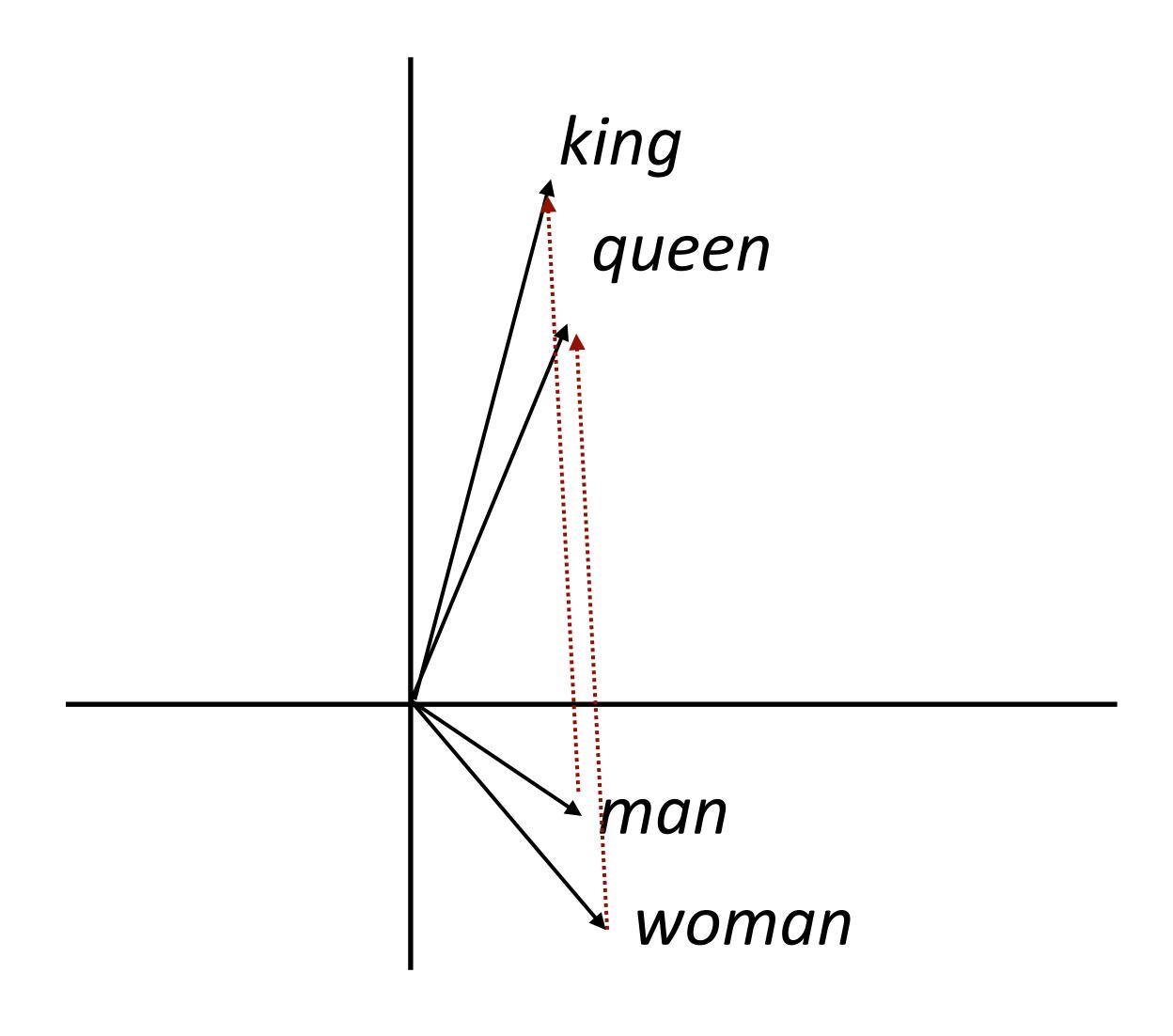
(king - man) + woman = queen



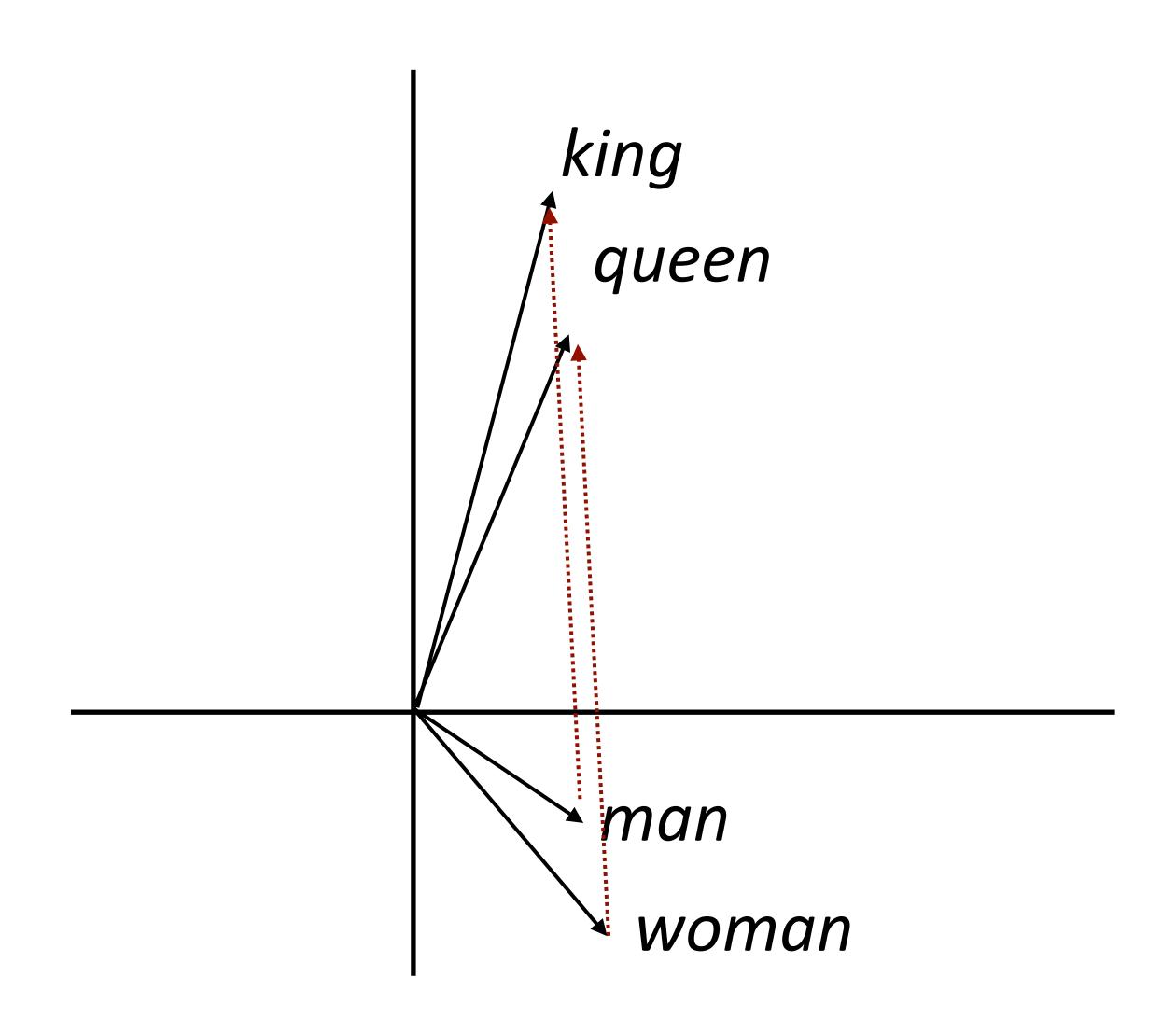
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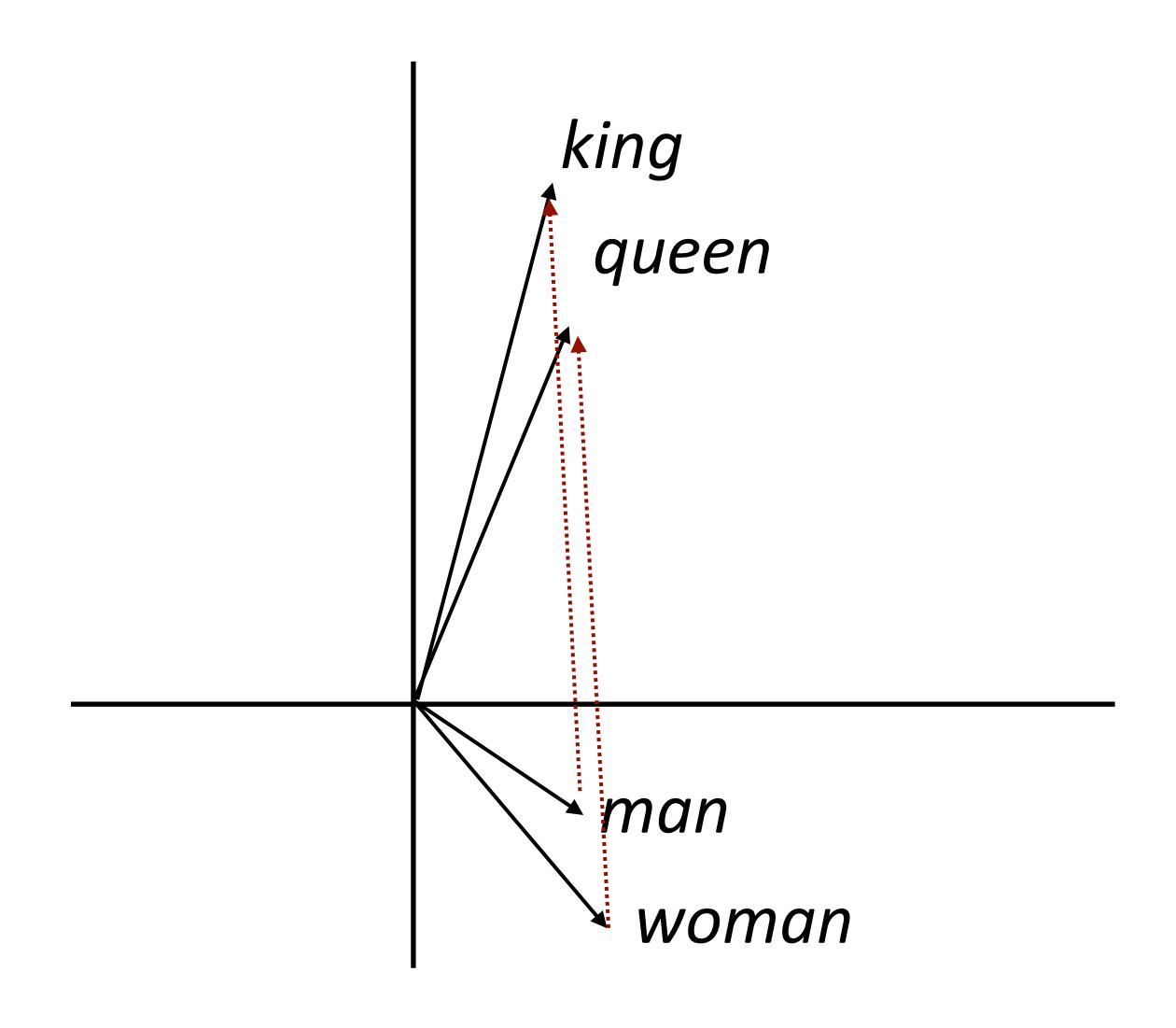


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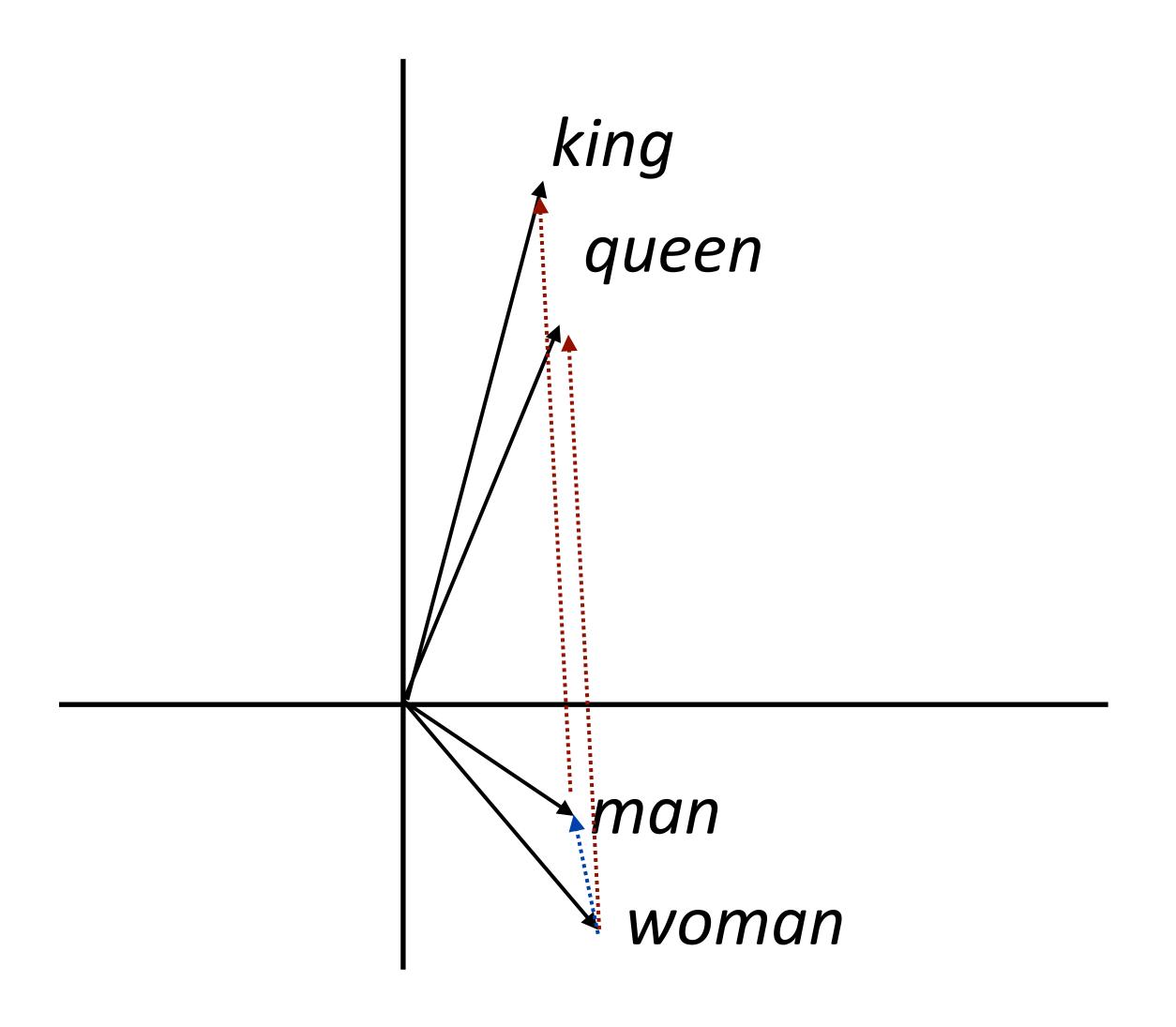
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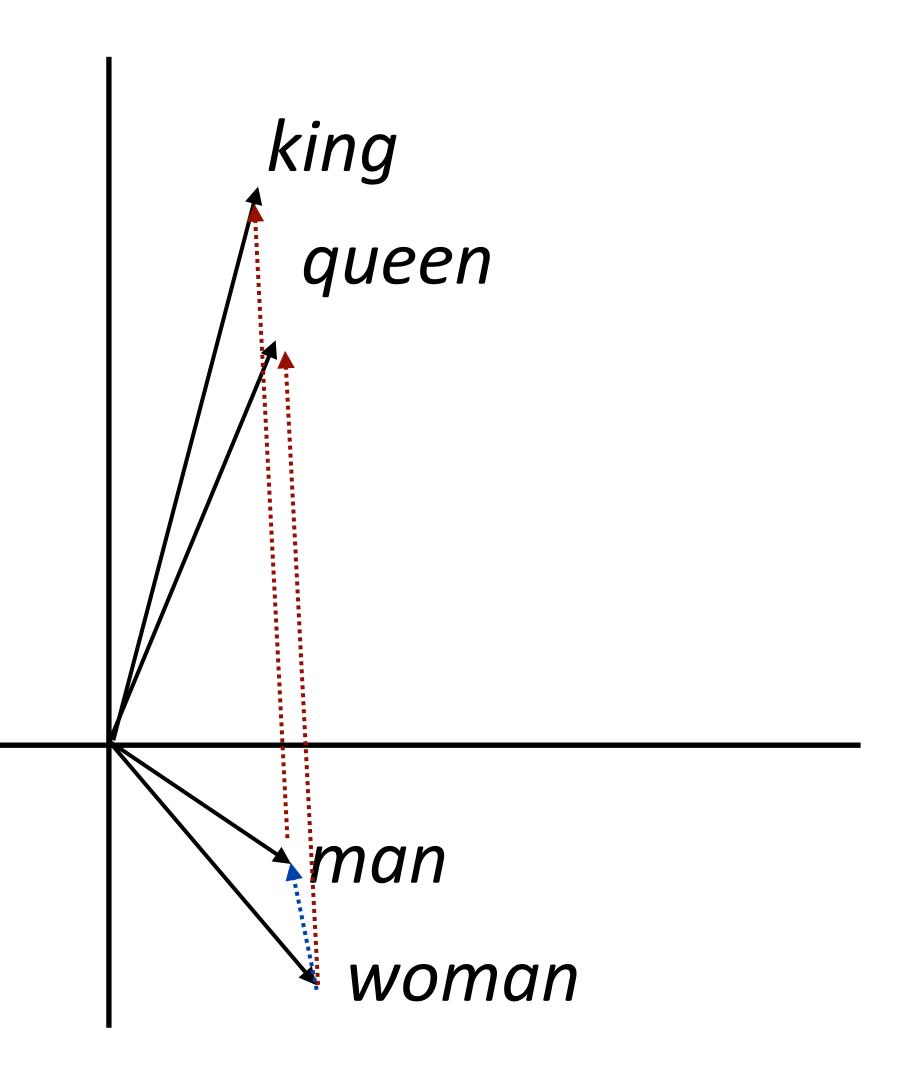
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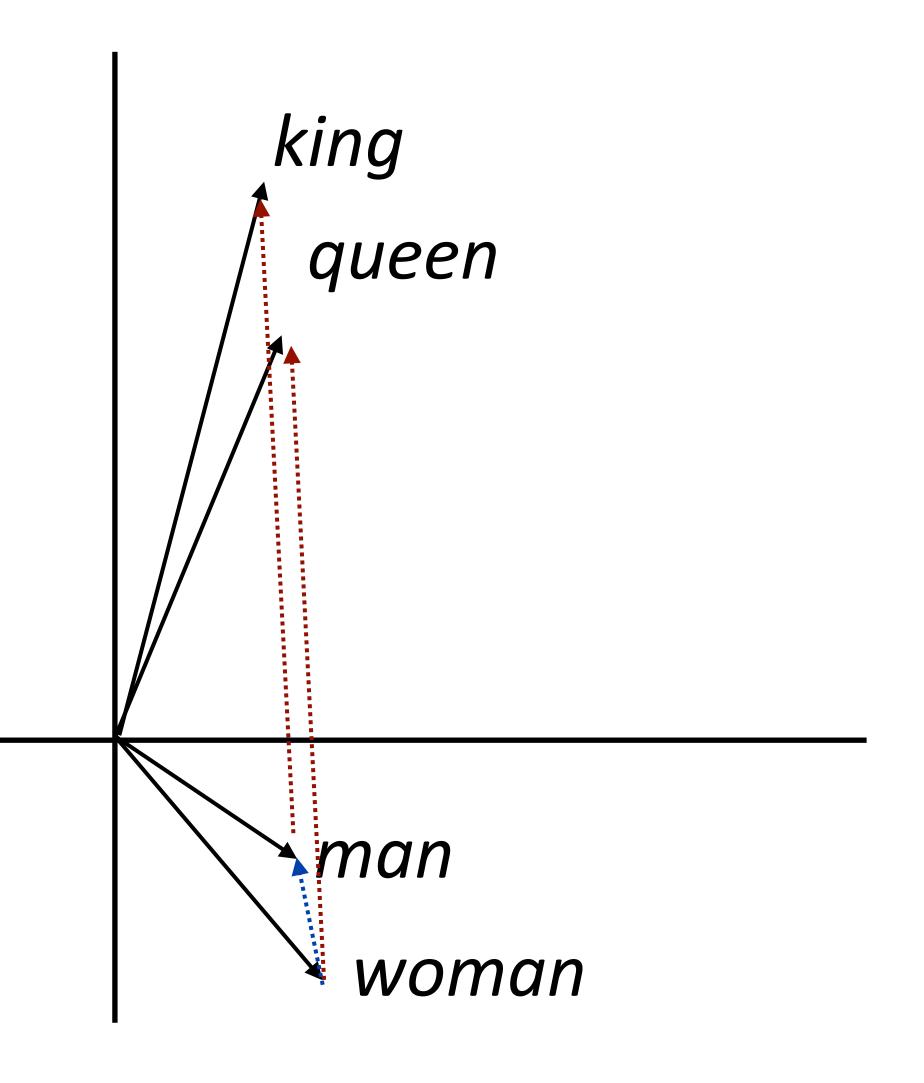
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- Why would this be?
- woman man captures the difference in the contexts that these occur in
- Dominant change: more "he" with man and "she" with woman — similar to difference between king and queen



Method	Google	MSR	
Meniod	Add / Mul	Add / Mul	
PPMI	.553 / .679	.306 / .535	
SVD	.554 / .591	.408 / .468	
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 These methods can perform well on analogies on two different datasets using two different methods

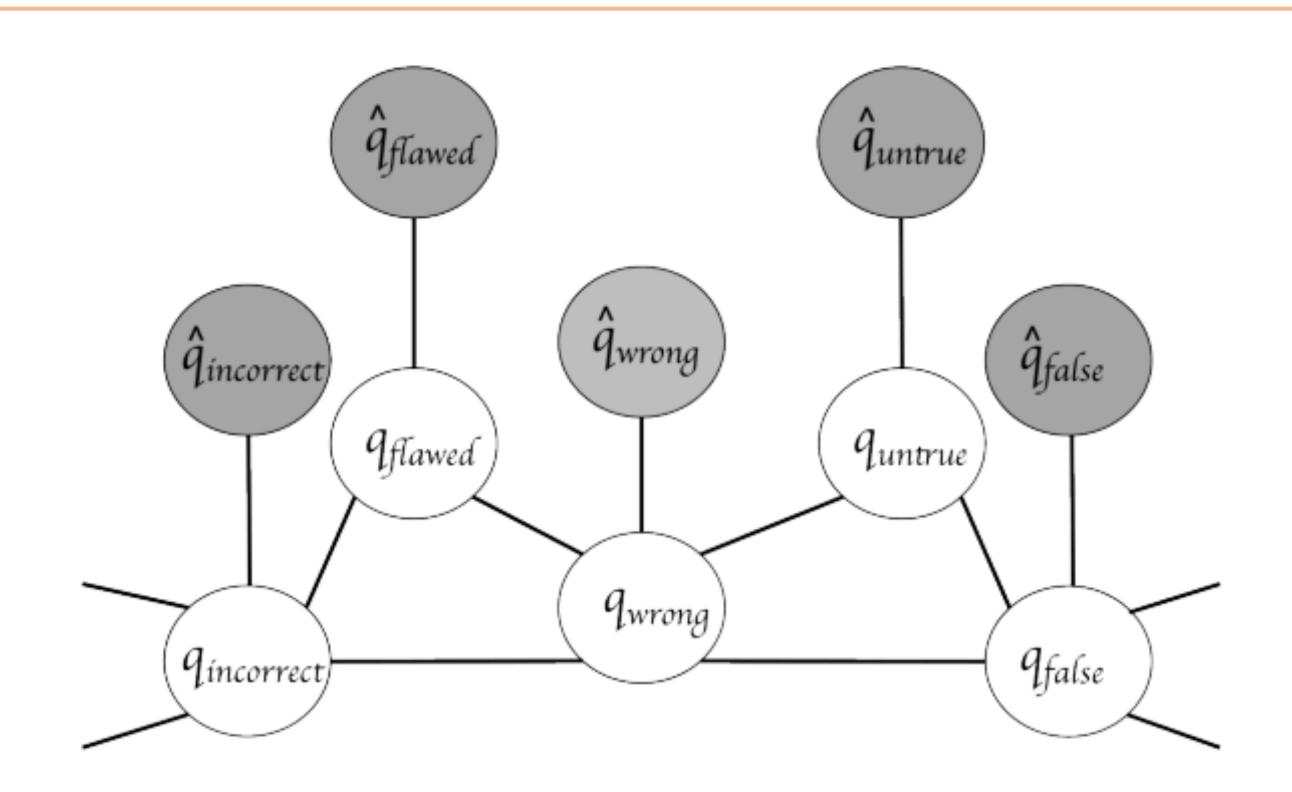
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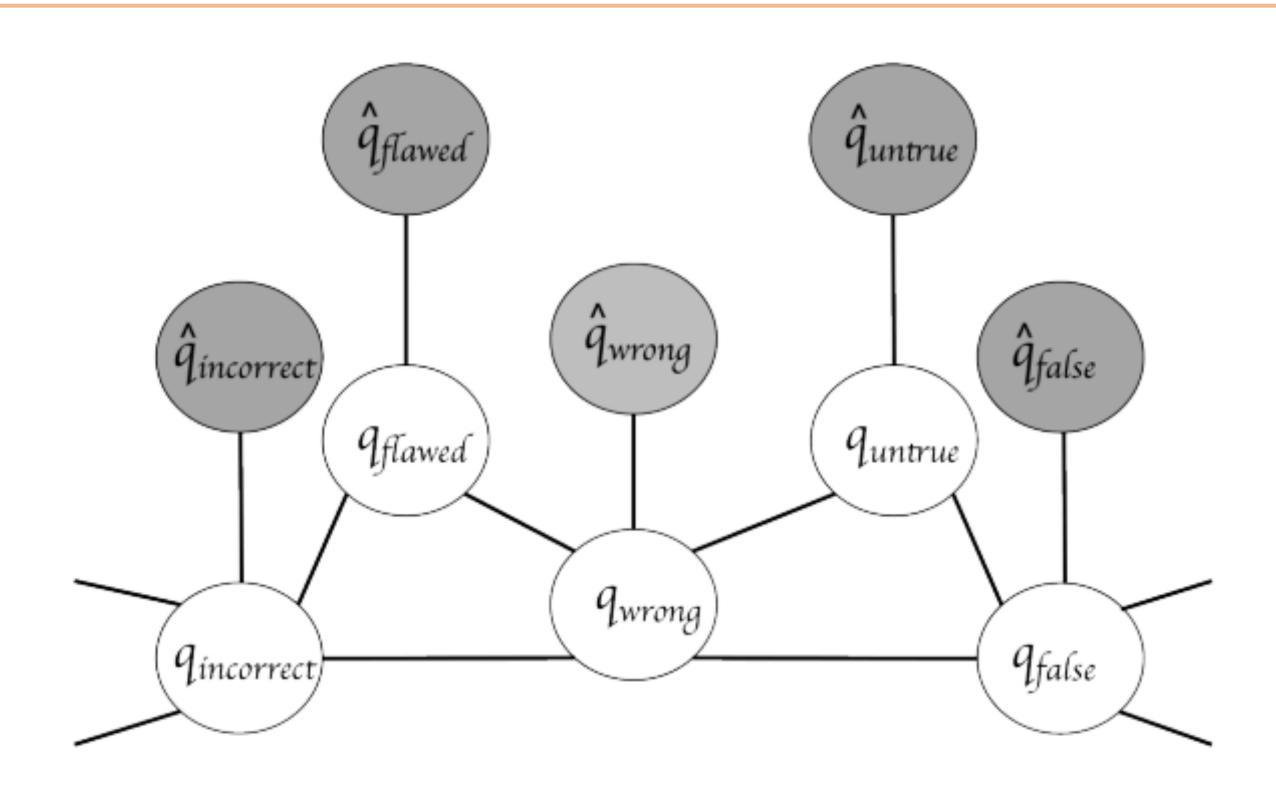
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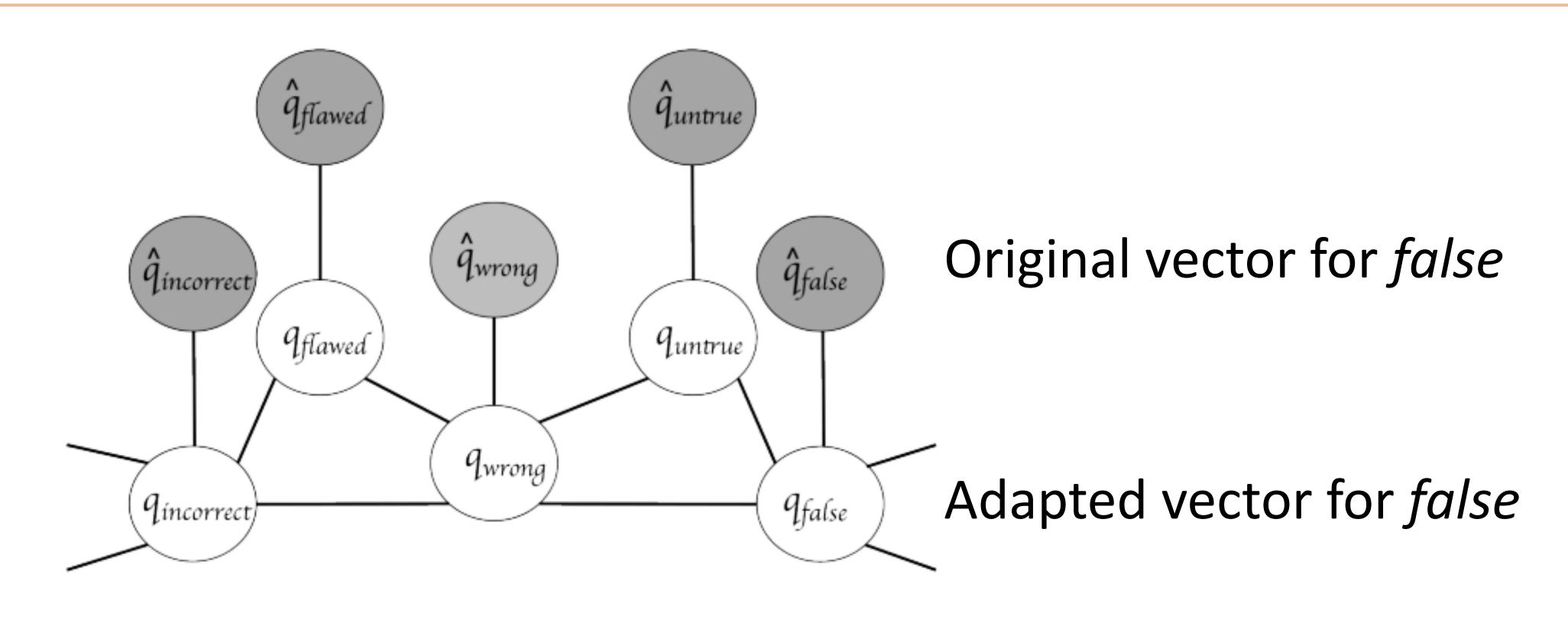
Maximizing for *b*: Add = 
$$\cos(b, a_2 - a_1 + b_1)$$
 Mul =  $\frac{\cos(b_2, a_2)\cos(b_2, b_1)}{\cos(b_2, a_1) + \epsilon}$ 

Levy et al. (2015)

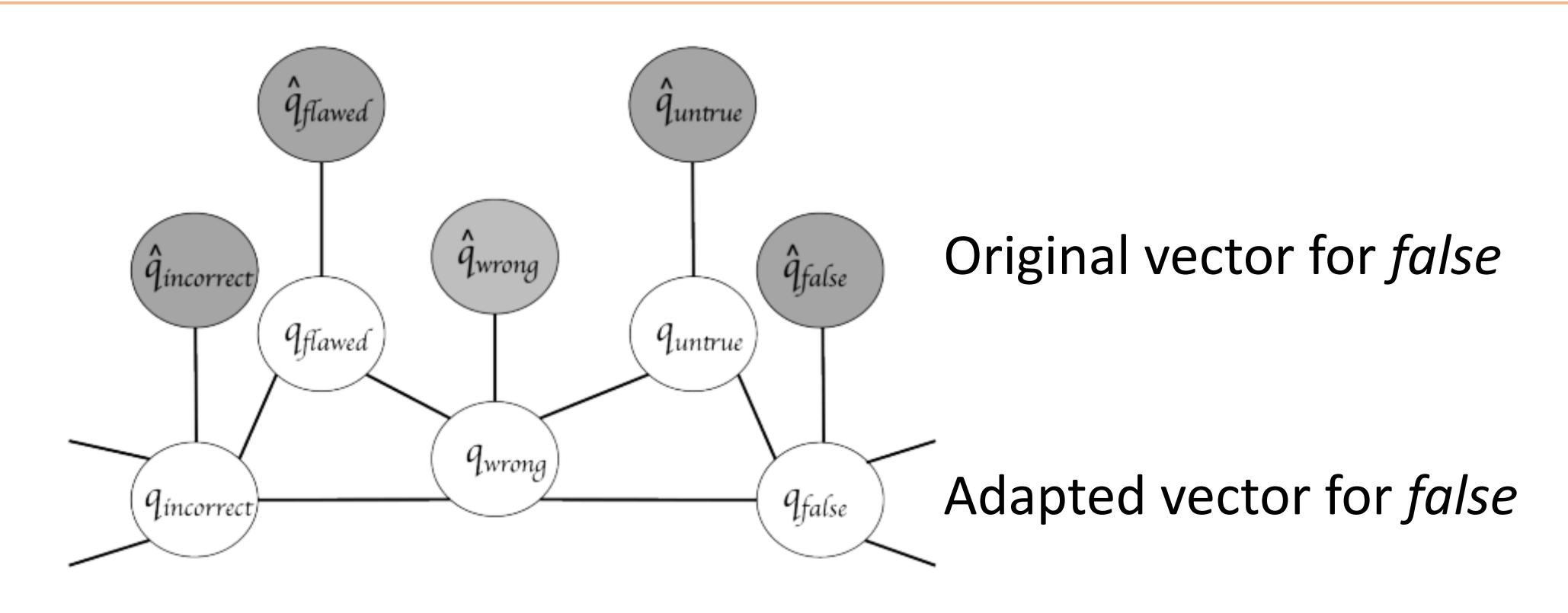




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- Approach 2: initialize using GloVe/ELMo, keep fixed
  - Faster because no need to update these parameters
- Approach 3: initialize using GloVe, fine-tune
  - Works best for some tasks, but not used for ELMo

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 Skip-thought vectors (Kiros et al., 2015), similar to skip-gram generalized to a sentence level (more later)

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- Is there a way we can compose vectors to make sentence representations? Summing?
- Will return to this in a few weeks as we move on to syntax and semantics

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- Next time: RNNs and CNNs