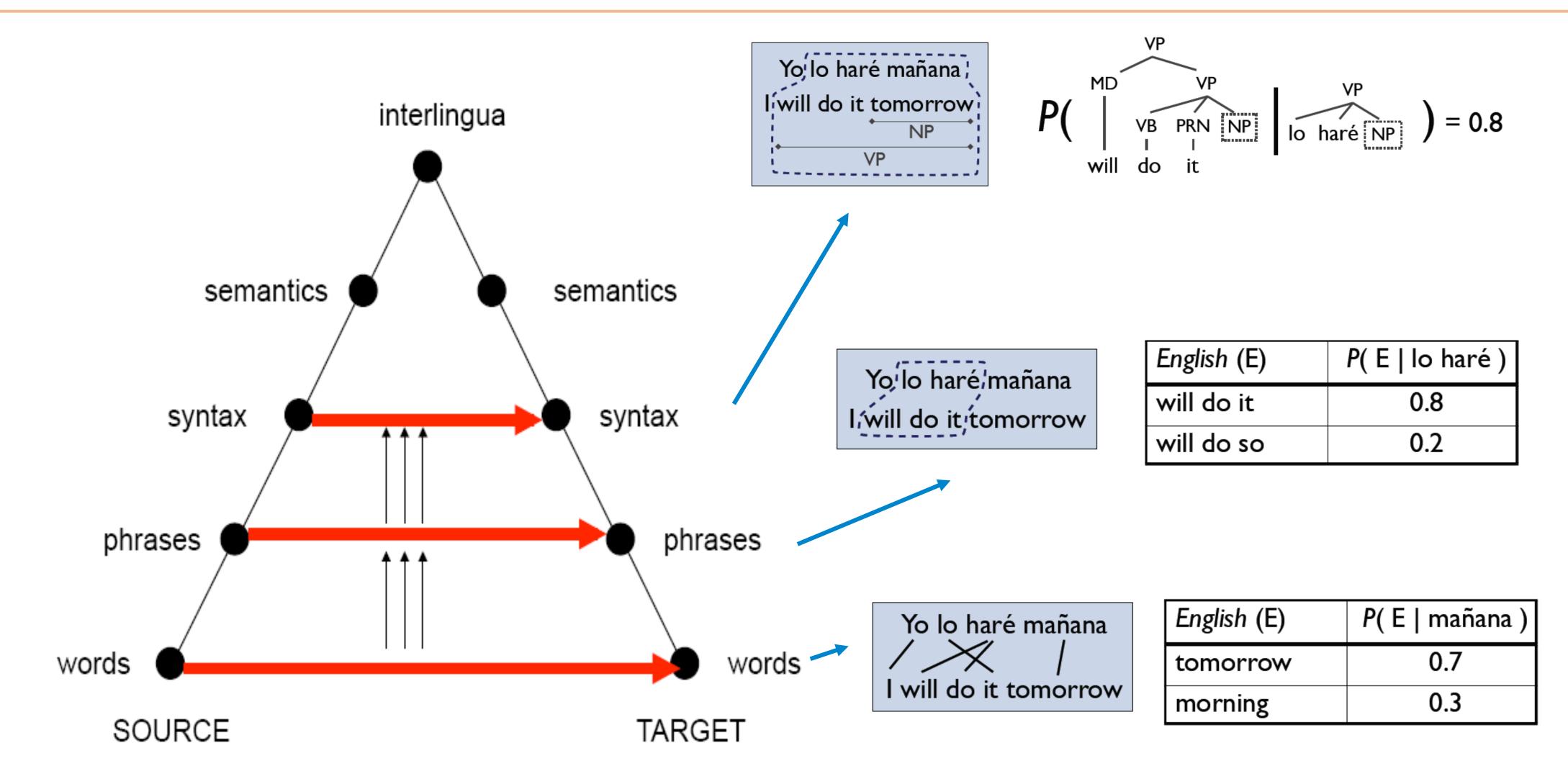
Lecture 13: Machine Translation II

Alan Ritter

(many slides from Greg Durrett)

Levels of Transfer: Vauquois Triangle



Is syntax a "better" abstraction than phrases?

Rather than use phrases, use a synchronous context-free grammar: constructs "parallel" trees in two languages simultaneously

 $NP \rightarrow [DT_1 JJ_2 NN_3; DT_1 NN_3 JJ_2]$

```
NP \rightarrow [DT_1 JJ_2 NN_3; DT_1 NN_3 JJ_2]
DT \rightarrow [the, la]
```

```
NP \rightarrow [DT<sub>1</sub> JJ<sub>2</sub> NN<sub>3</sub>; DT<sub>1</sub> NN<sub>3</sub> JJ<sub>2</sub>]
DT \rightarrow [the, la]
DT \rightarrow [the, le]
```

```
NP \rightarrow [DT_1 JJ_2 NN_3; DT_1 NN_3 JJ_2]
DT \rightarrow [the, la]
DT \rightarrow [the, le]
NN \rightarrow [car, voiture]
```

```
NP \rightarrow [DT_1 JJ_2 NN_3; DT_1 NN_3 JJ_2]
DT \rightarrow [the, la]
DT \rightarrow [the, le]
NN \rightarrow [car, voiture]
JJ \rightarrow [yellow, jaune]
```

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NP
NP
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DT_1 JJ_2 NN_3 DT_1 NN_3 JJ_2
```

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DT_1 JJ_2 NN_3 DT_1 NN_3 JJ_2
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```

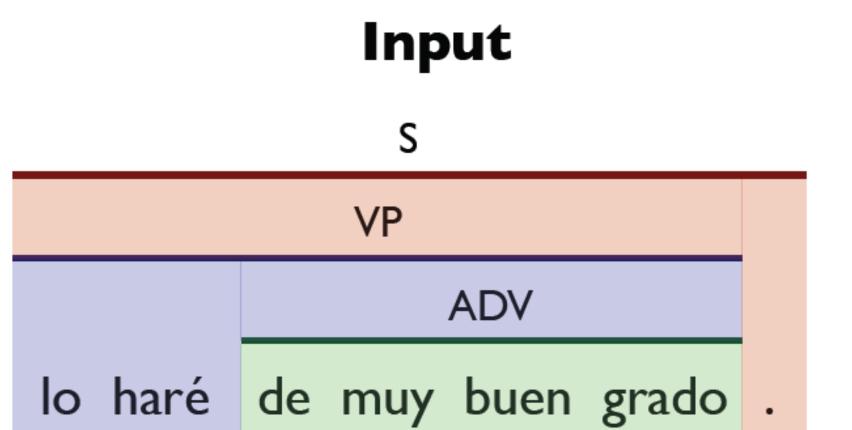
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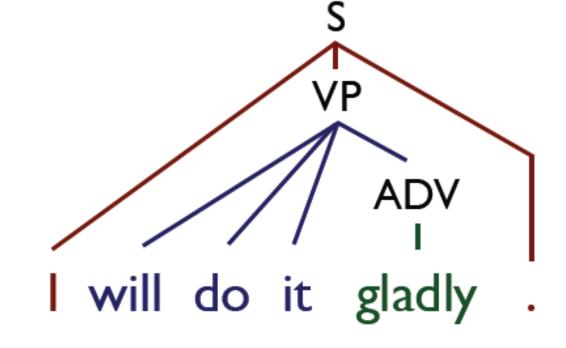
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```

- Assumes parallel syntax up to reordering
- Translation = parse the input with "half" the grammar, read off other half



Output



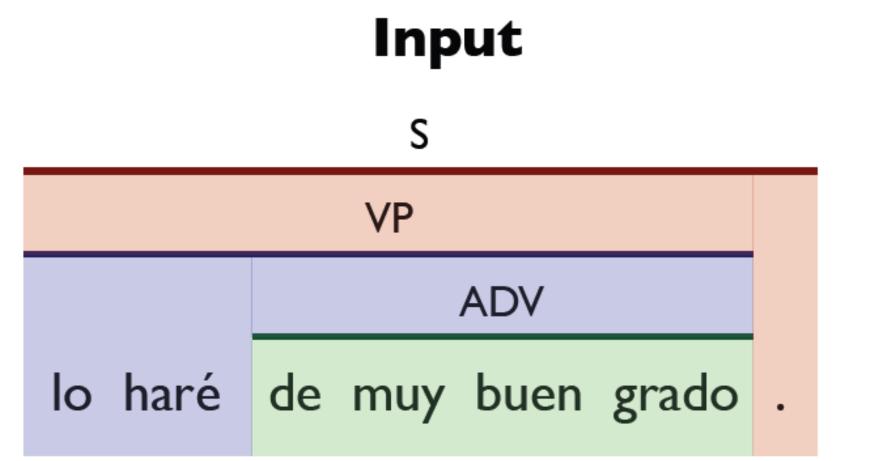
Grammar

```
S \rightarrow \langle VP .; I VP . \rangle OR S \rightarrow \langle VP .; you VP . \rangle

VP \rightarrow \langle Io haré ADV ; will do it ADV \rangle

S \rightarrow \langle Io haré ADV .; I will do it ADV . \rangle

ADV \rightarrow \langle de muy buen grado ; gladly \rangle
```



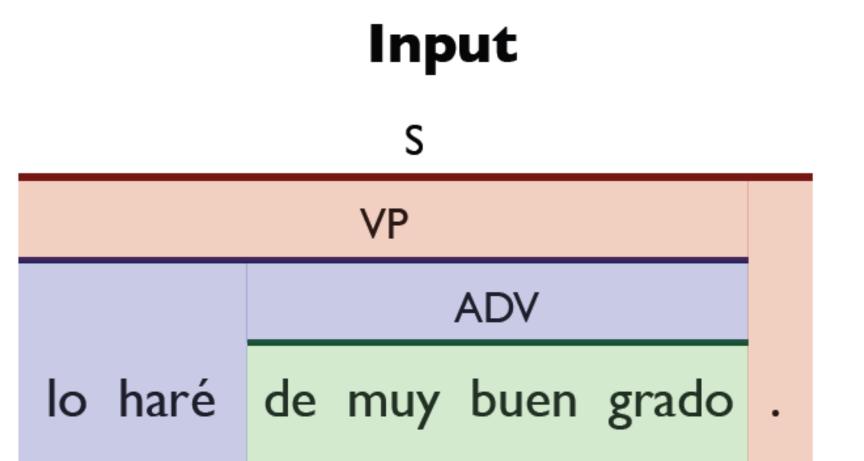
S VP ADV will do it gladly .

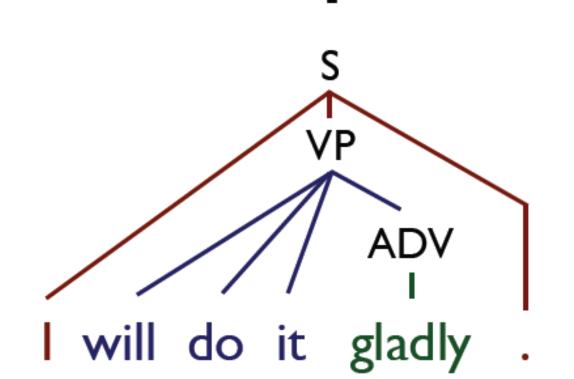
Output

Grammar

Relax this by using lexicalized rules, like "syntactic phrases"

```
S \rightarrow \langle VP .; I VP . \rangle OR S \rightarrow \langle VP .; you VP . \rangle VP \rightarrow \langle Io haré ADV; will do it ADV \rangle S \rightarrow \langle Io haré ADV .; I will do it ADV . \rangle ADV \rightarrow \langle Io haré ADV .; I will do it ADV . \rangle
```





Output

Grammar

- Relax this by using lexicalized rules, like "syntactic phrases"
- Leads to HUGE grammars, parsing is slow

```
S \rightarrow \langle VP .; I VP . \rangle OR S \rightarrow \langle VP .; you VP . \rangle

VP \rightarrow \langle lo haré ADV; will do it ADV \rangle

S \rightarrow \langle lo haré ADV .; I will do it ADV . \rangle

ADV \rightarrow \langle de muy buen grado; gladly \rangle
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Neural MT Details

Sutskever seq2seq paper: first major application of LSTMs to NLP

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- Basic encoder-decoder with beam search

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Method	test BLEU score (ntst14)
Bahdanau et al. [2]	28.45
Baseline System [29]	33.30
Single forward LSTM, beam size 12	26.17
Single reversed LSTM, beam size 12	30.59
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Ensemble of 5 reversed LSTMs, beam size 12	34.81

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Ensemble of 5 reversed LSTMs, beam size 12	34.81

► SOTA = 37.0 — not all that competitive...

Better model from seq2seq lectures: encoder-decoder with attention and copying for rare words

<\$>

distribution over vocab + copying

the movie was great

▶ 12M sentence pairs

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Classic phrase-based system: ~33 BLEU, uses additional target-language data

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Luong+ (2015) seq2seq ensemble with attention and rare word handling: **37.5** BLEU

▶ But English-French is a really easy language pair and there's *tons* of data for it! Does this approach work for anything harder?

Results: WMT English-German

▶ 4.5M sentence pairs

Classic phrase-based system: 20.7 BLEU

Luong+ (2014) seq2seq: 14 BLEU

Luong+ (2015) seq2seq ensemble with rare word handling: 23.0 BLEU

Not nearly as good in absolute BLEU, but not really comparable across languages

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- Not nearly as good in absolute BLEU, but not really comparable across languages
- French, Spanish = easiest
 German, Czech = harder
 Japanese, Russian = hard (grammatically different, lots of morphology...)

MT Examples

src	In einem Interview sagte Bloom jedoch, dass er und Kerr sich noch immer lieben.
ref	However, in an interview, Bloom has said that he and <i>Kerr</i> still love each other.
best	In an interview, however, Bloom said that he and $Kerr$ still love.
base	However, in an interview, Bloom said that he and Tina were still < unk > .

- best = with attention, base = no attention
- NMT systems can hallucinate words, especially when not using attention
 - phrase-based doesn't do this

MT Examples

src	Wegen der von Berlin und der Europäischen Zentralbank verhängten strengen Sparpolitik in
	Verbindung mit der Zwangsjacke, in die die jeweilige nationale Wirtschaft durch das Festhal-
	ten an der gemeinsamen Währung genötigt wird, sind viele Menschen der Ansicht, das Projekt
	Europa sei zu weit gegangen
ref	The austerity imposed by Berlin and the European Central Bank, coupled with the straitjacket
	imposed on national economies through adherence to the common currency, has led many people
	to think Project Europe has gone too far.
best	Because of the strict austerity measures imposed by Berlin and the European Central Bank in
	connection with the straitjacket in which the respective national economy is forced to adhere to
	the common currency, many people believe that the European project has gone too far.
base	Because of the pressure imposed by the European Central Bank and the Federal Central Bank
	with the strict austerity imposed on the national economy in the face of the single currency,
	many people believe that the European project has gone too far.

best = with attention, base = no attention

Luong et al. (2015)

MT Examples

Source	such changes in reaction conditions include, but are not limited to,
	an increase in temperature or change in ph .
Reference	所(such) 述(said) 反 应(reaction) 条 件(condition) 的(of) 改 变(change) 包 括(include) 但(but) 不(not) 限 于(limit)
	温度(temperature) 的(of) 增加(increase) 或(or) pH 值(value) 的(of) 改变(change)。
PBMT	中(in) 的(of) 这种(such) 变化(change) 的(of) 反应(reaction) 条件(condition) 包括(include) ,但(but) 不(not) 限于(limit) ,
	增加(increase) 的(of) 温度(temperature) 或(or) pH 变化(change)。
NMT	这种(such) 反应(reaction) 条件(condition) 的(of) 变化(change) 包括(include) 但(but) 不(not)
	限于(limit) pH 或(or) pH 的(of) 变化(change)。

- NMT can repeat itself if it gets confused (pH or pH)
- Phrase-based MT often gets chunks right, may have more subtle ungrammaticalities

Zhang et al. (2017)

Rare Words: Word Piece Models

Use Huffman encoding on a corpus, keep most common k (~10,000)
 character sequences for source and target

```
Input: _the _eco tax _port i co _in _Po nt - de - Bu is ...

Output: _le _port ique _éco taxe _de _Pont - de - Bui s
```

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- Captures common words and parts of rare words
- Subword structure may make it easier to translate
- Model balances translating and transliterating without explicit switching
 Wu et al. (2016)

- Simpler procedure, based only on the dictionary
- Input: a dictionary of words represented as characters

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```
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    vocab = merge_vocab(best, vocab)
Count bigram character cooccurrences
```

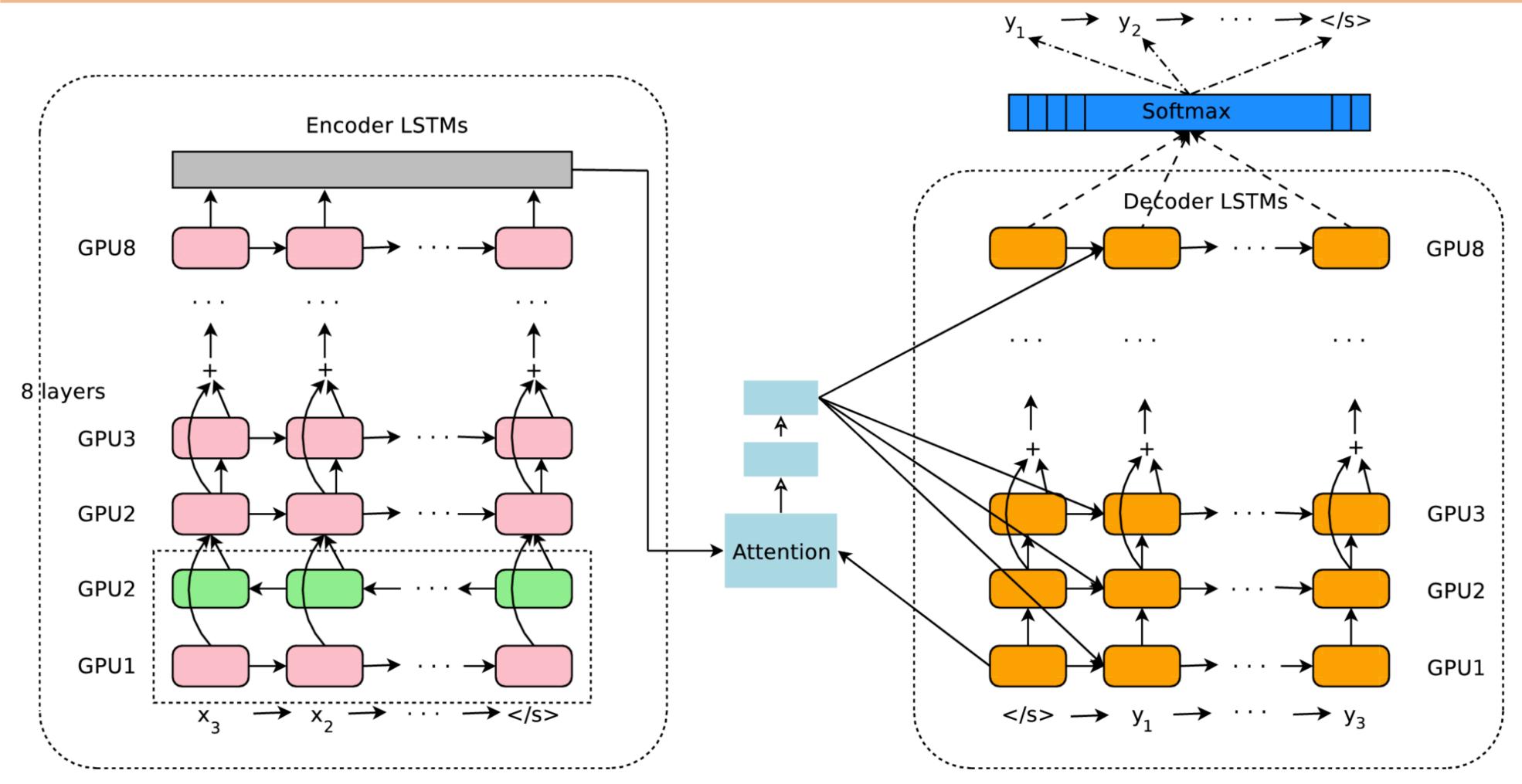
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- Final size = initial vocab + num merges. Often do 10k 30k merges
- Most SOTA NMT systems use this on both source + target



▶ 8-layer LSTM encoder-decoder with attention, word piece vocabulary of 8k-32k Wu et al. (2016)

English-French:

Google's phrase-based system: 37.0 BLEU

Luong+ (2015) seq2seq ensemble with rare word handling: 37.5 BLEU

Google's 32k word pieces: 38.95 BLEU

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English-German:

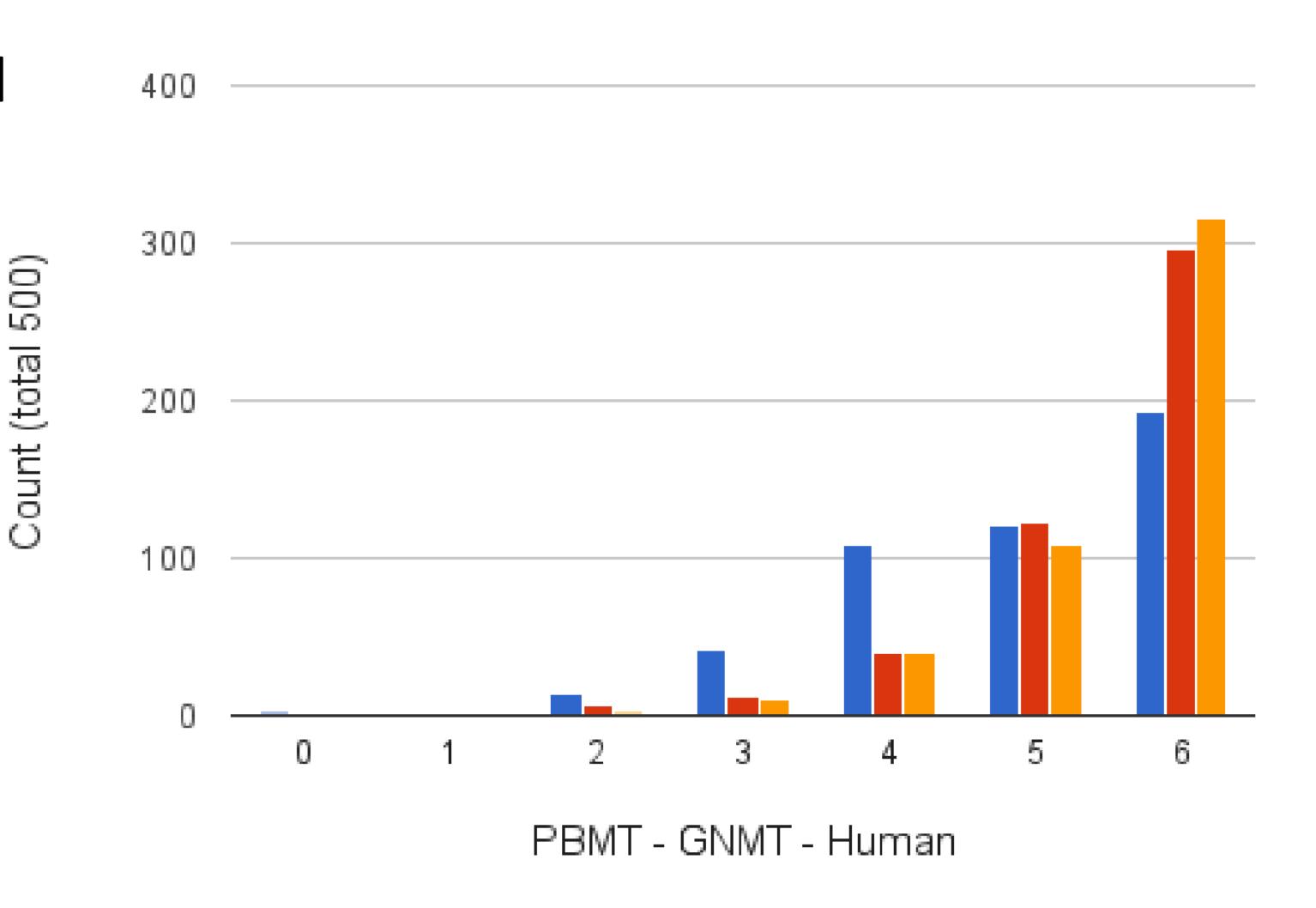
Google's phrase-based system: 20.7 BLEU

Luong+ (2015) seq2seq ensemble with rare word handling: 23.0 BLEU

Google's 32k word pieces: 24.2 BLEU

Human Evaluation (En-Es)

Similar to human-level performance on English-Spanish



Wu et al. (2016)

Source	She was spotted three days later by a dog walker trapped in the quarry		
$\overline{\ \mathrm{PBMT}}$	Elle a été repéré trois jours plus tard par un promeneur de chien piégé dans la carrière	6.0	
GNMT	Elle a été repérée trois jours plus tard par un traîneau à chiens piégé dans la carrière.	2.0	
Human	Elle a été repérée trois jours plus tard par une personne qui promenait son chien	5.0	
	coincée dans la carrière		

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Elle a été repérée trois jours plus tard par un traîneau à chiens piégé dans la carrière.	2.0
Elle a été repérée trois jours plus tard par une personne qui promenait son chien coincée dans la carrière	5.0
	Elle a été repéré trois jours plus tard par un promeneur de chien piégé dans la carrière Elle a été repérée trois jours plus tard par un traîneau à chiens piégé dans la carrière.

Gender is correct in GNMT but not in PBMT

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"sled"

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"walker"

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S<sub>2</sub>, t<sub>2</sub>
...

[null], t'<sub>1</sub>
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Approach 2: generate synthetic
sources with a T->S machine
translation system (backtranslation)
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Approach 2: generate synthetic sources with a T->S machine translation system (backtranslation)

```
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S<sub>2</sub>, t<sub>2</sub>
...
MT(t'<sub>1</sub>), t'<sub>1</sub>
MT(t'<sub>2</sub>), t'<sub>2</sub>
```

name	training		training		EU	
	data	instances	tst2011	tst2012	tst2013	tst2014
baseline (Gülçehre et al., 2015)			18.4	18.8	19.9	18.7
deep fusion (Gülçehre et al., 2015)			20.2	20.2	21.3	20.6
baseline	parallel	7.2m	18.6	18.2	18.4	18.3
parallel _{synth}	parallel/parallel _{synth}	6m/6m	19.9	20.4	20.1	20.0
Gigaword _{mono}	parallel/Gigaword _{mono}	7.6m/7.6m	18.8	19.6	19.4	18.2
Gigawordsynth	parallel/Gigaword _{synth}	8.4 m / 8.4 m	21.2	21.1	21.8	20.4

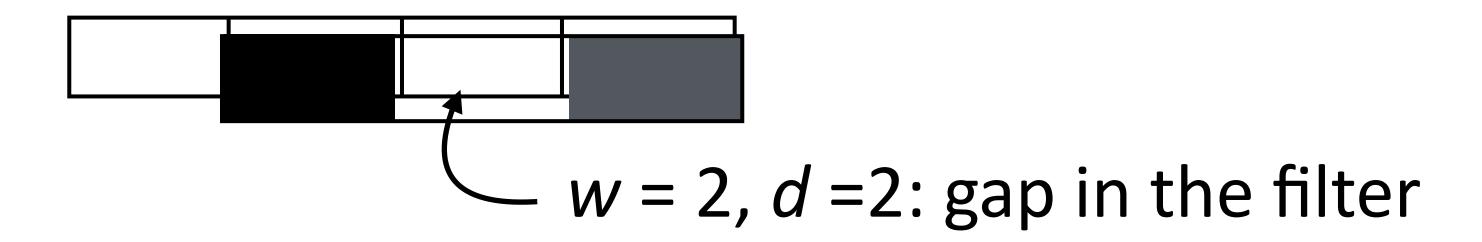
- ▶ Gigaword: large monolingual English corpus
- parallel_{synth}: backtranslate training data; makes additional noisy source sentences which could be useful

Dilated CNNs for MT

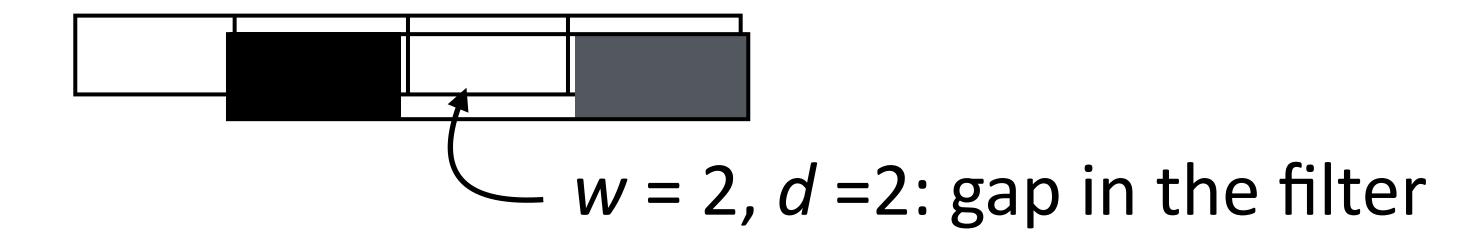
- Standard convolution: looks at every token under the filter
- Dilated convolution with gap d: looks at every dth token

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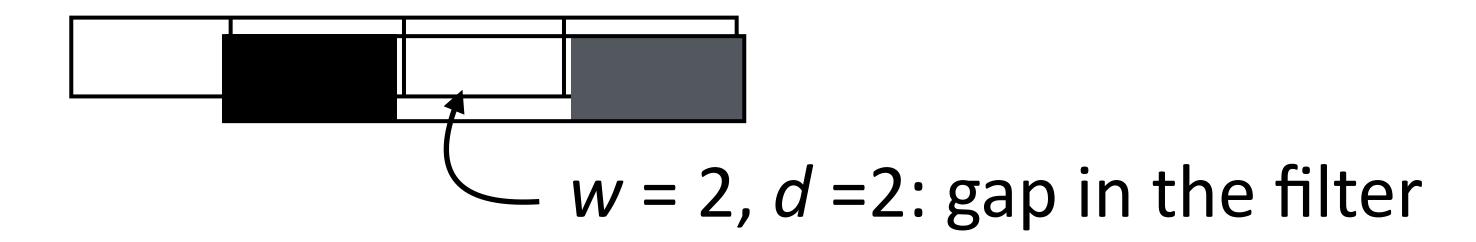


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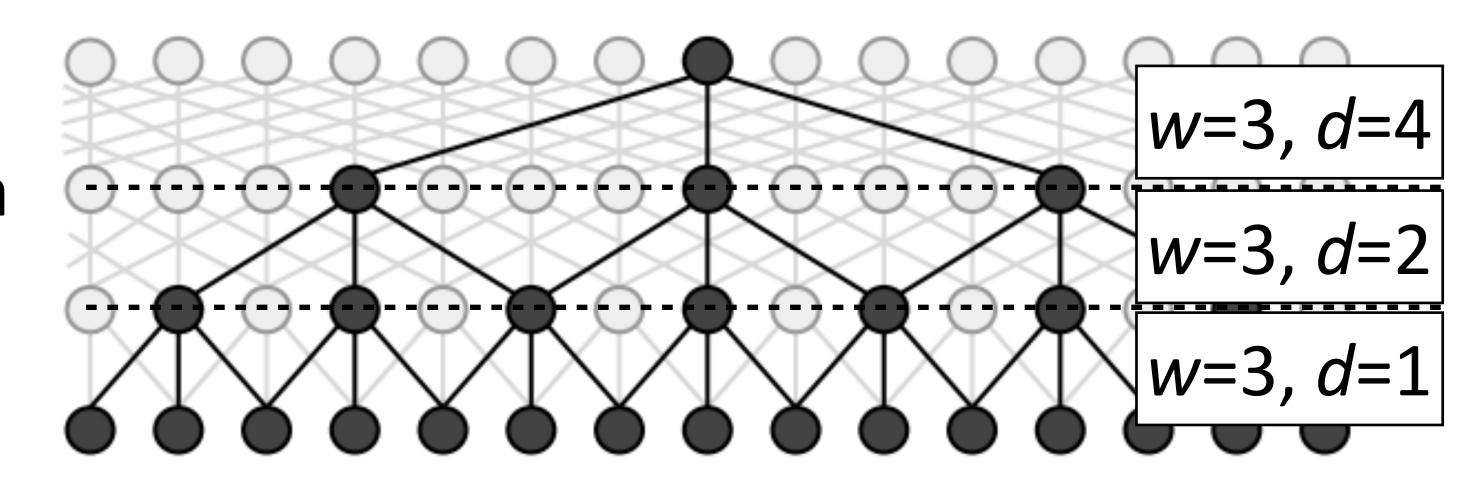


Can chain successive dilated convolutions together to get a wide receptive field (see a lot of the sentence)

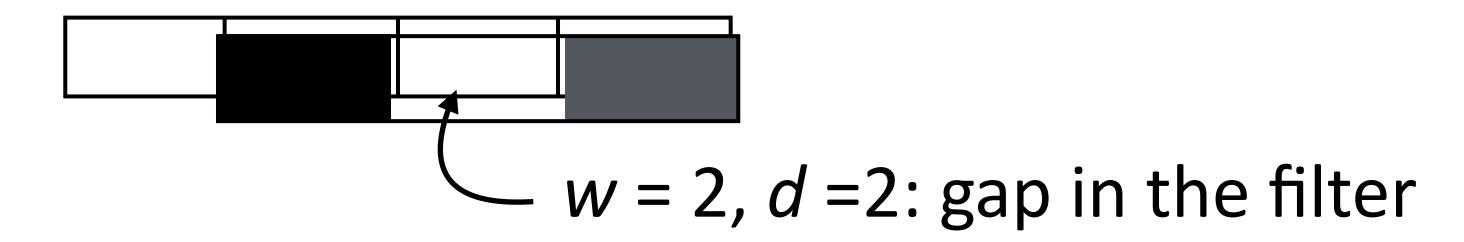
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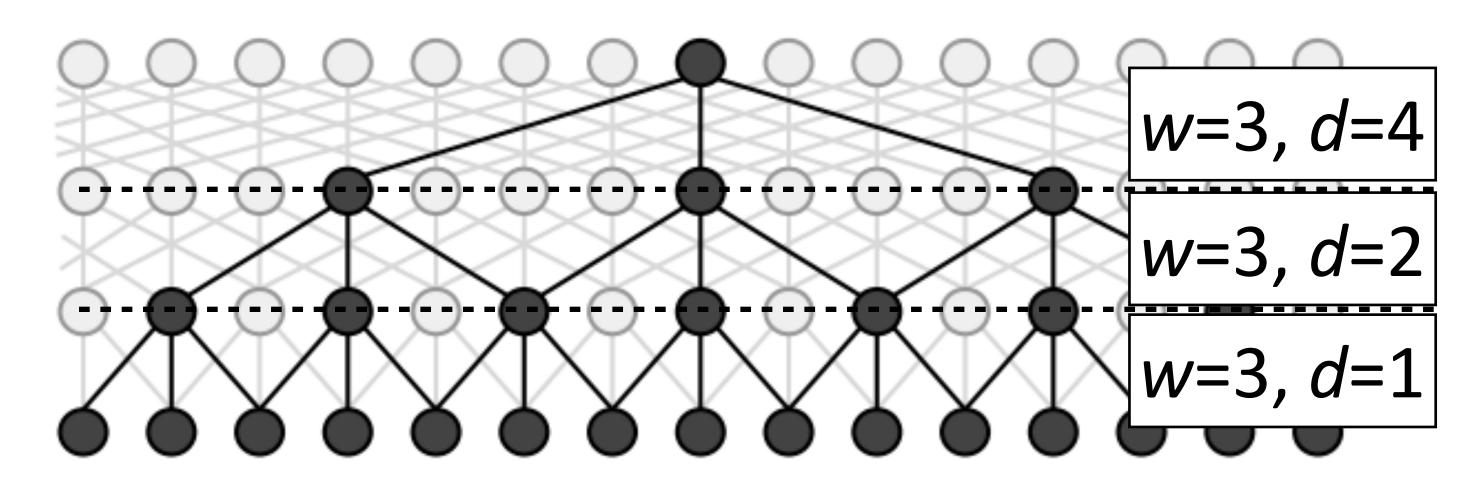
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- Standard convolution: looks at every token under the filter
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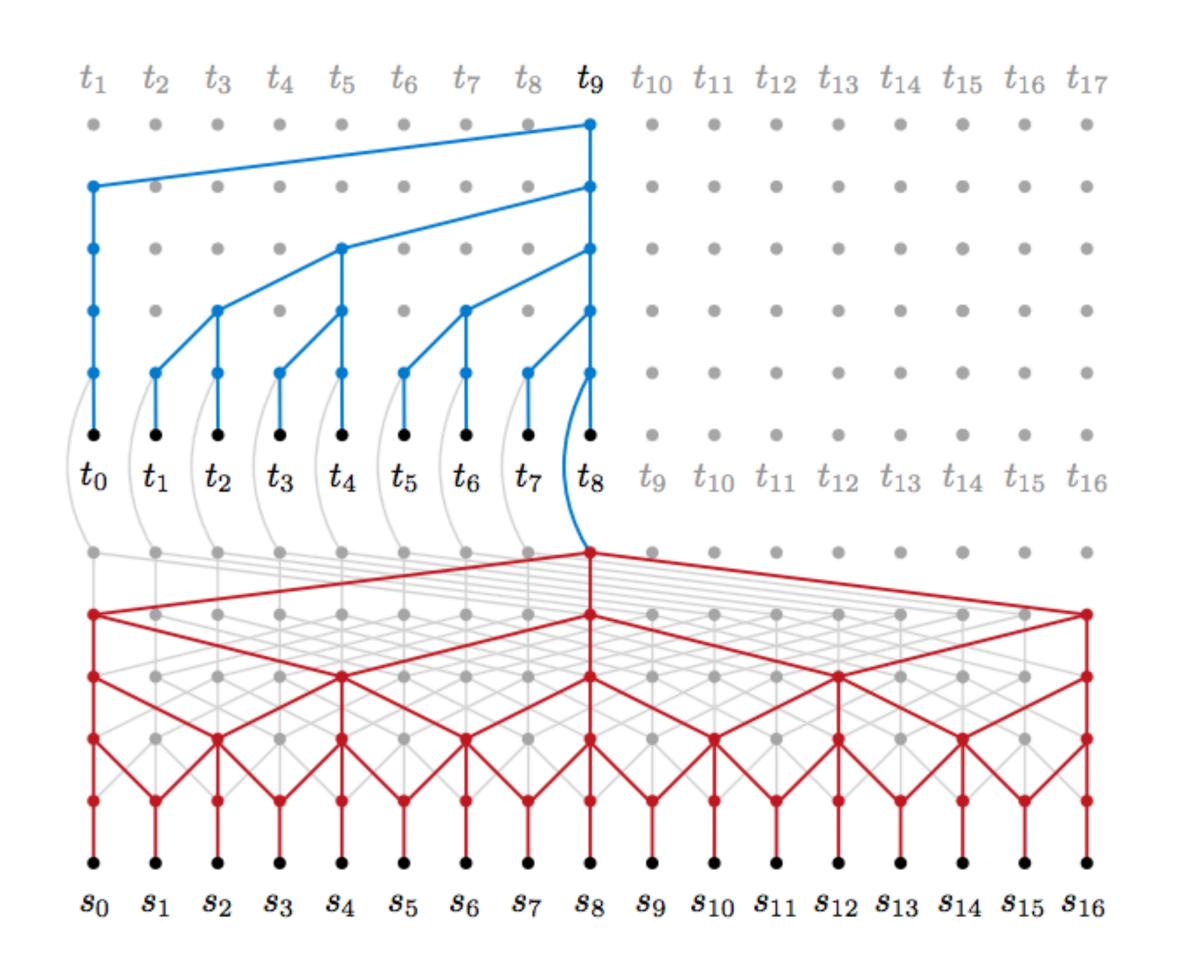
 Can chain successive dilated convolutions together to get a wide receptive field (see a lot of the sentence)



Top nodes see lots of the sentence, but with different processing

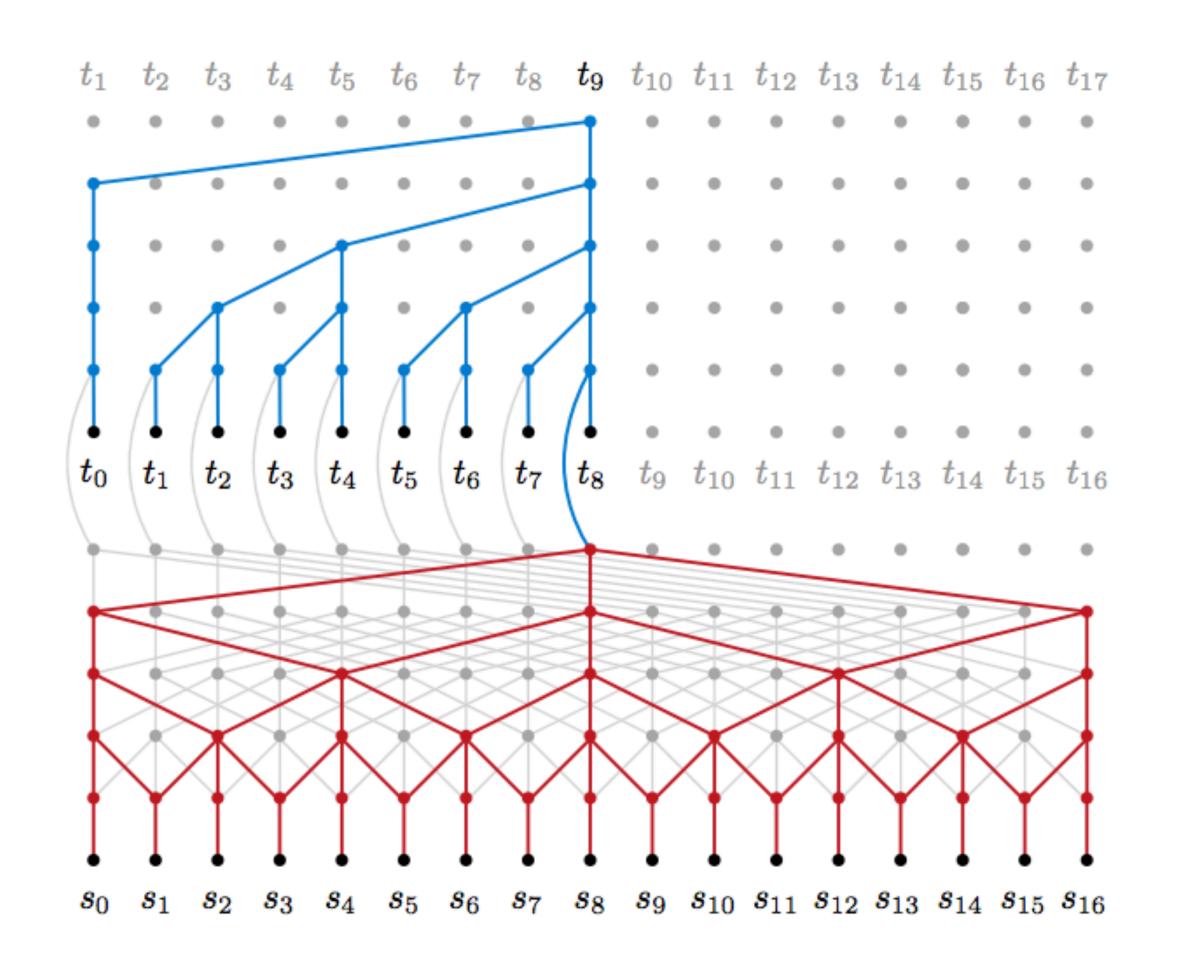
Strubell et al. (2017)

"ByteNet": operates over characters (bytes)



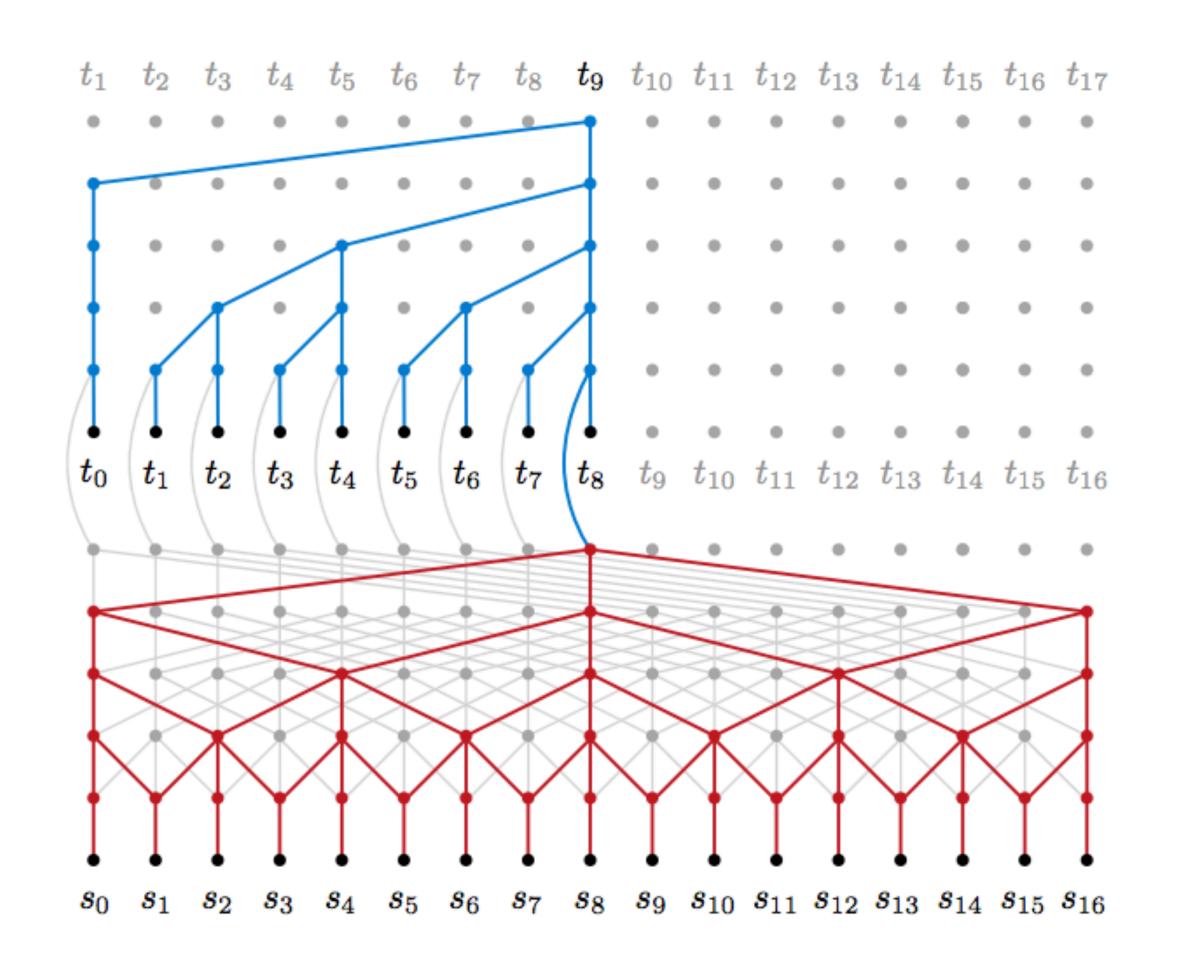
Kalchbrenner et al. (2016)

- "ByteNet": operates over characters (bytes)
- Encode source sequence w/dilated convolutions



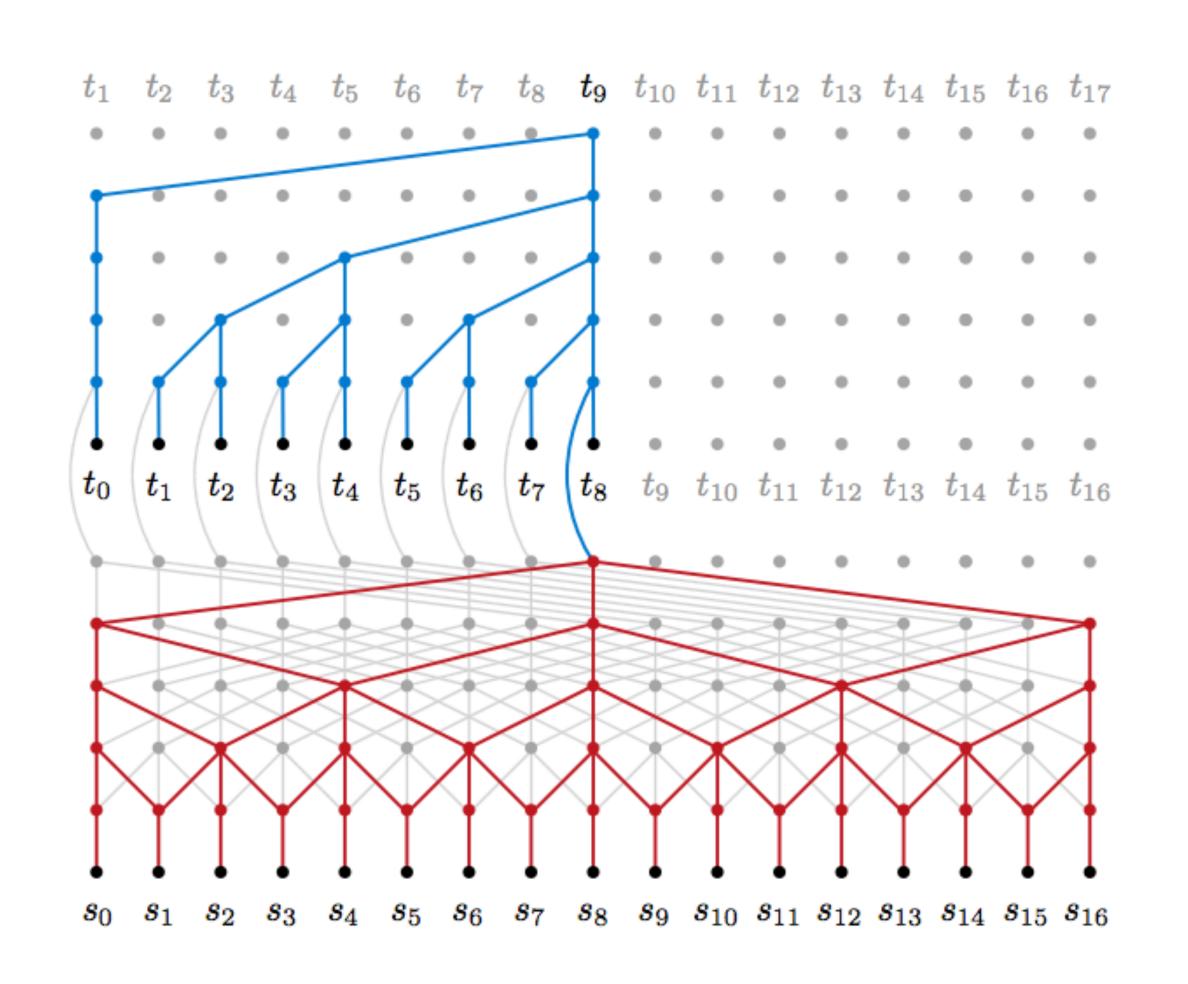
Kalchbrenner et al. (2016)

- "ByteNet": operates over characters (bytes)
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- ▶ Predict nth target character by looking at the nth position in the source and a dilated convolution over the n-1 target tokens so far



Kalchbrenner et al. (2016)

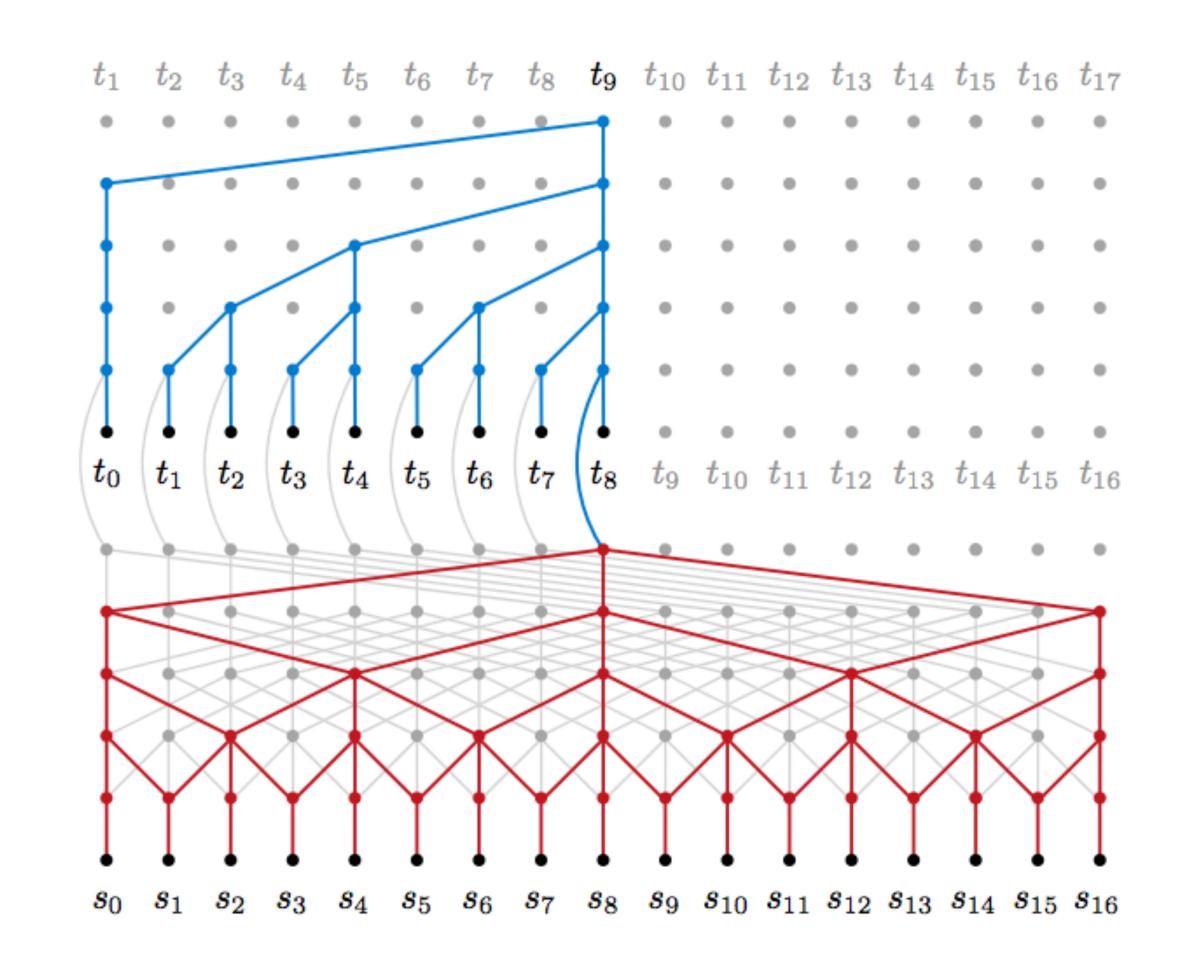
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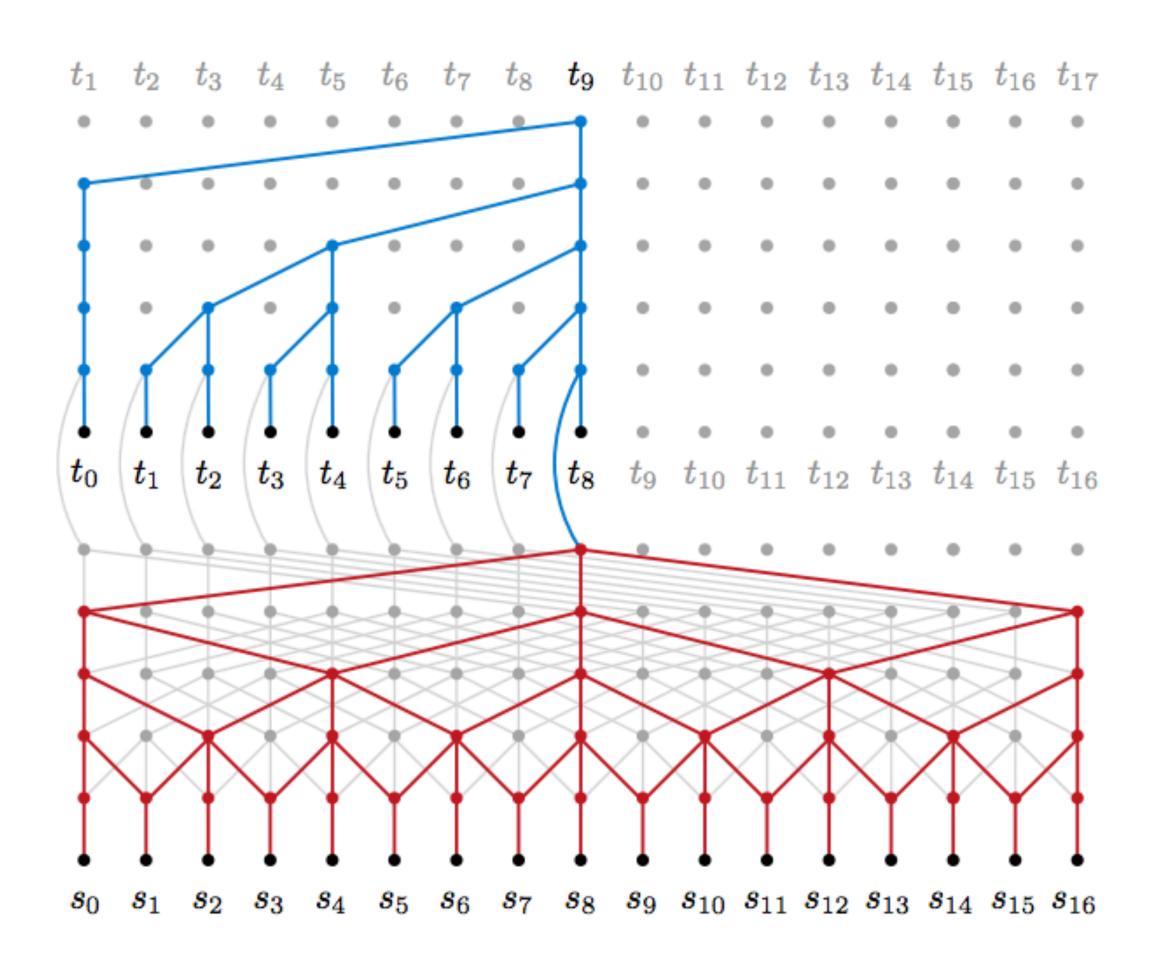
CNNs for Machine Translation

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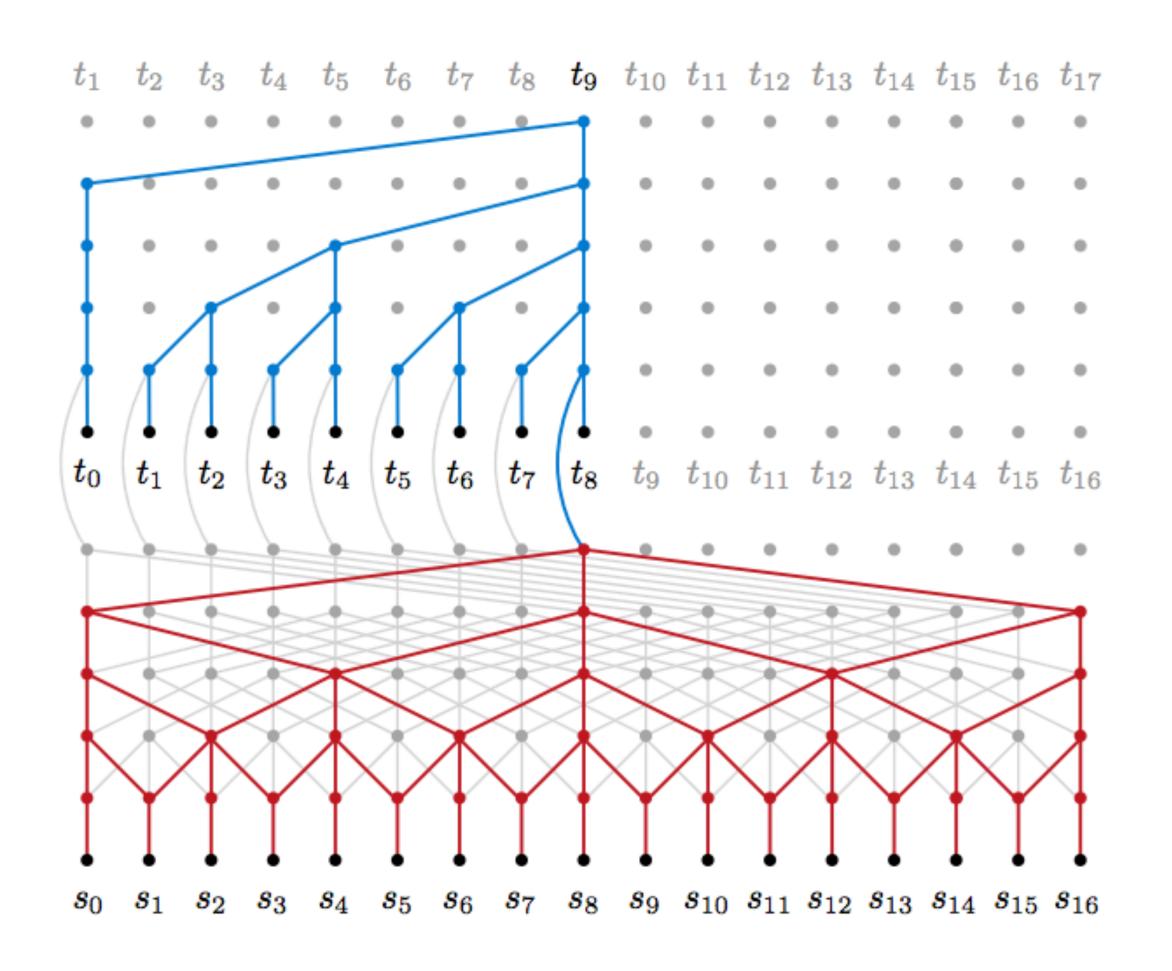


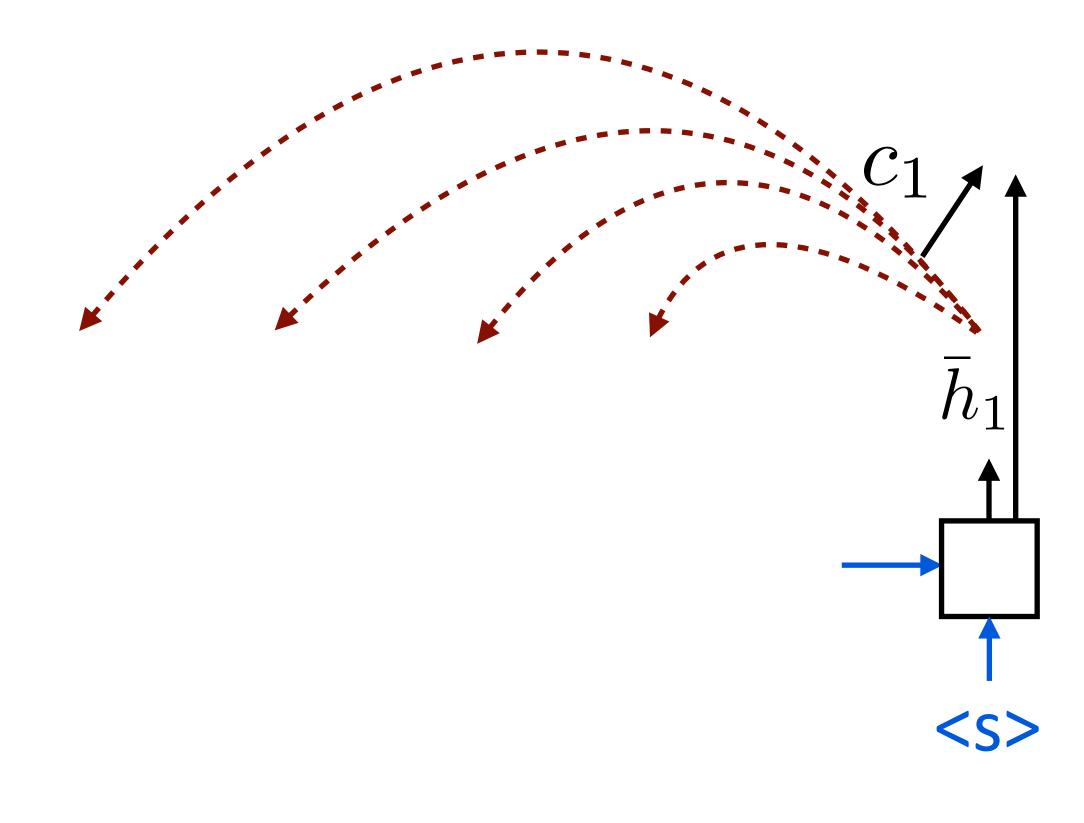
Assumes mostly monotonic translation

Compare: CNNs vs. LSTMs



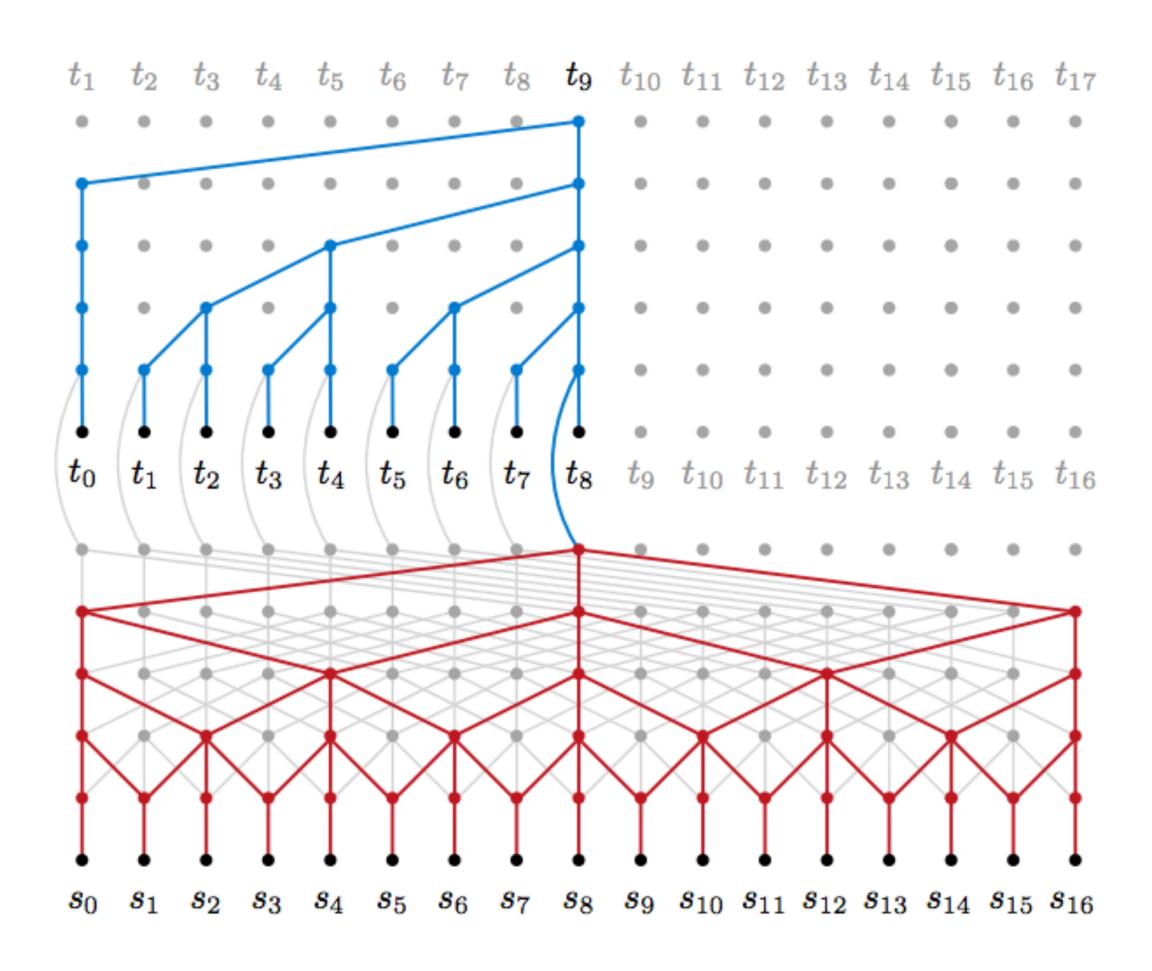
Compare: CNNs vs. LSTMs



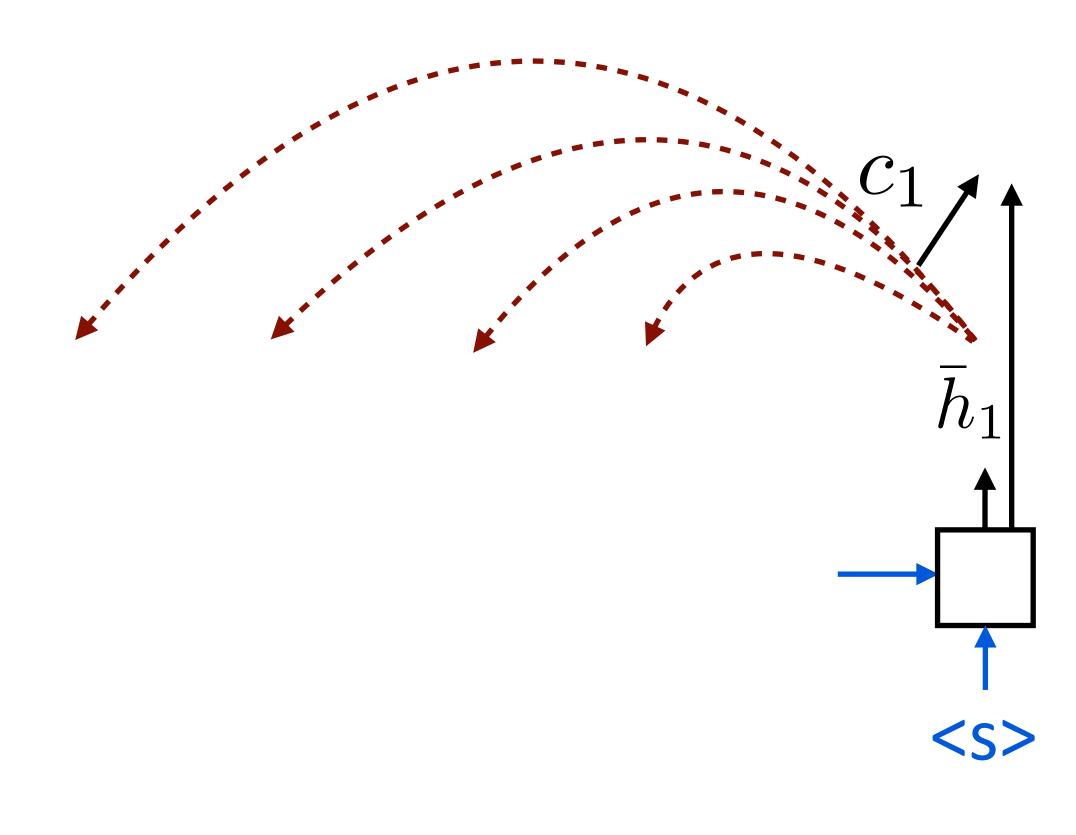


LSTM: looks at previous word + hidden state, attention over input

Compare: CNNs vs. LSTMs

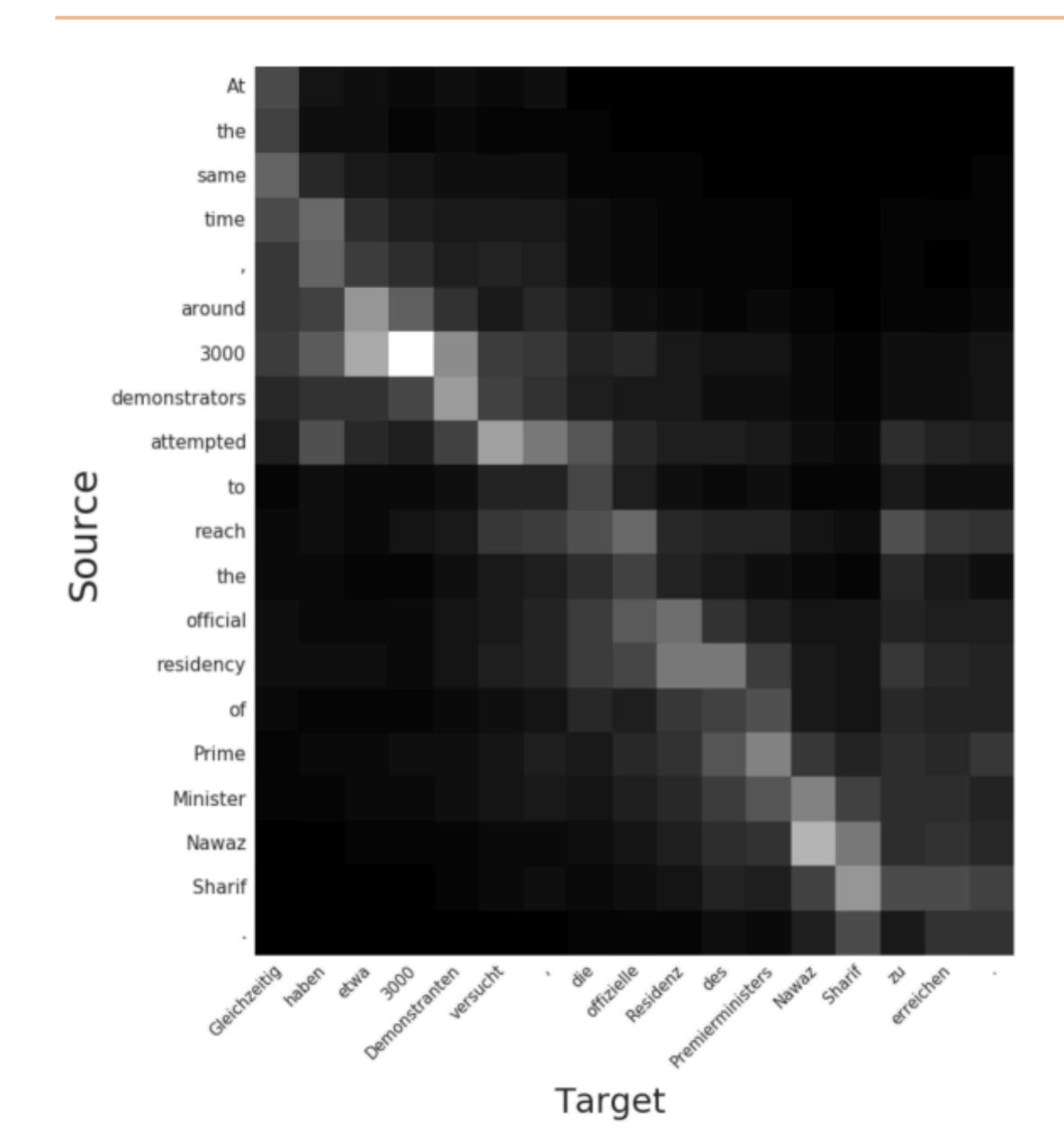


CNN: source encoding at this position gives us "attention", target encoding gives us decoder context



LSTM: looks at previous word + hidden state, attention over input

Attention from CNN



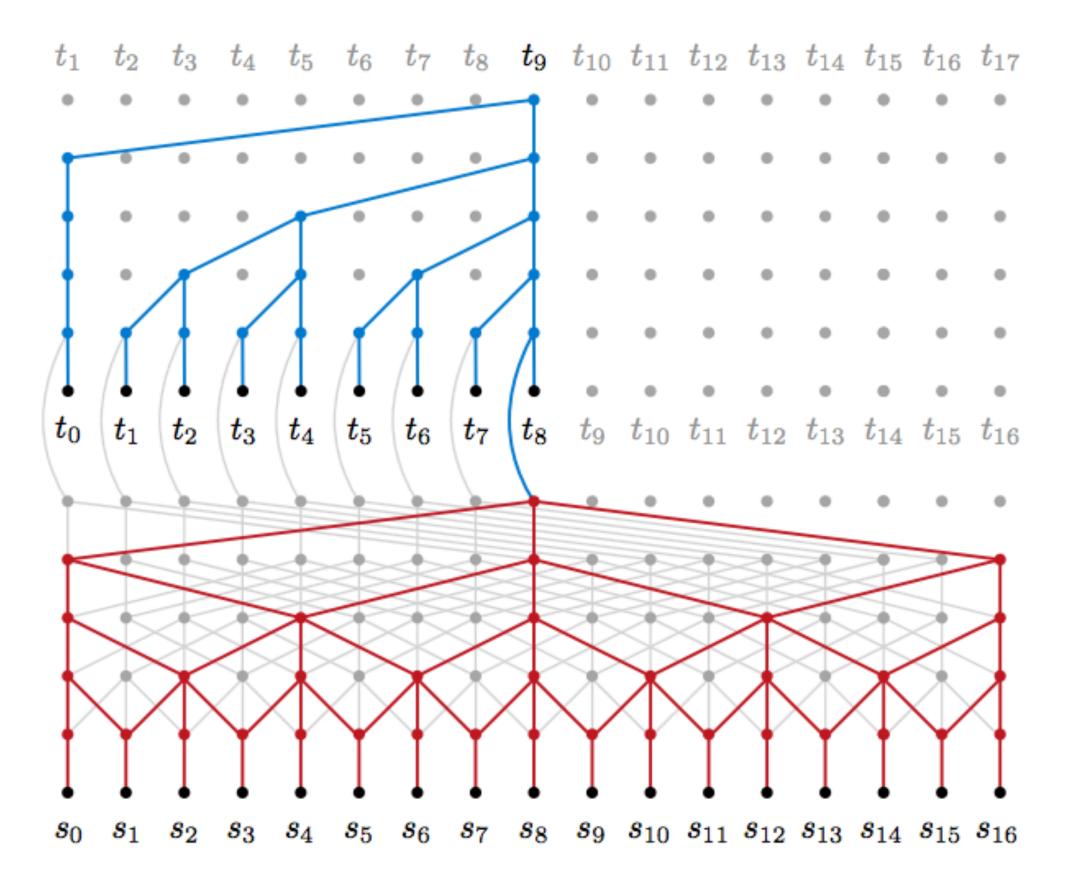
Model is character-level, this visualization shows which words's characters impact the convolutional encoding the most

Largely monotonic but does consult other information

Advantages of CNNs

LSTM with attention is quadratic: compute attention over the whole input

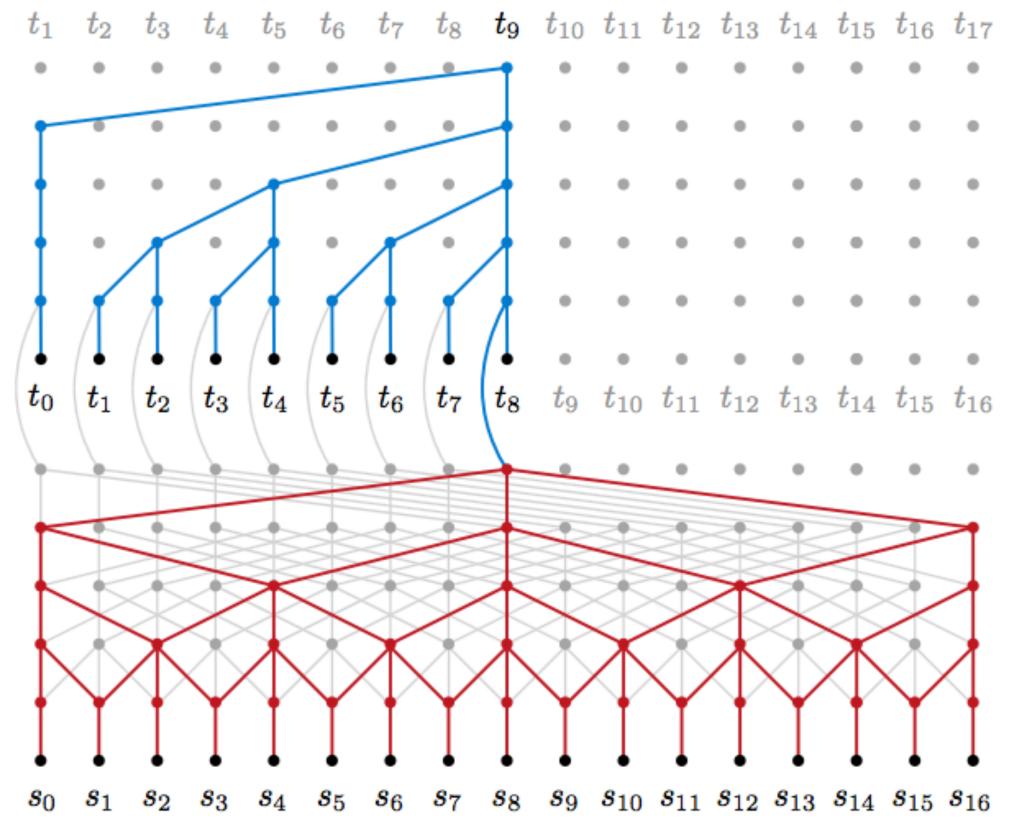
for each decoded token



Advantages of CNNs

LSTM with attention is quadratic: compute attention over the whole input for each decoded token

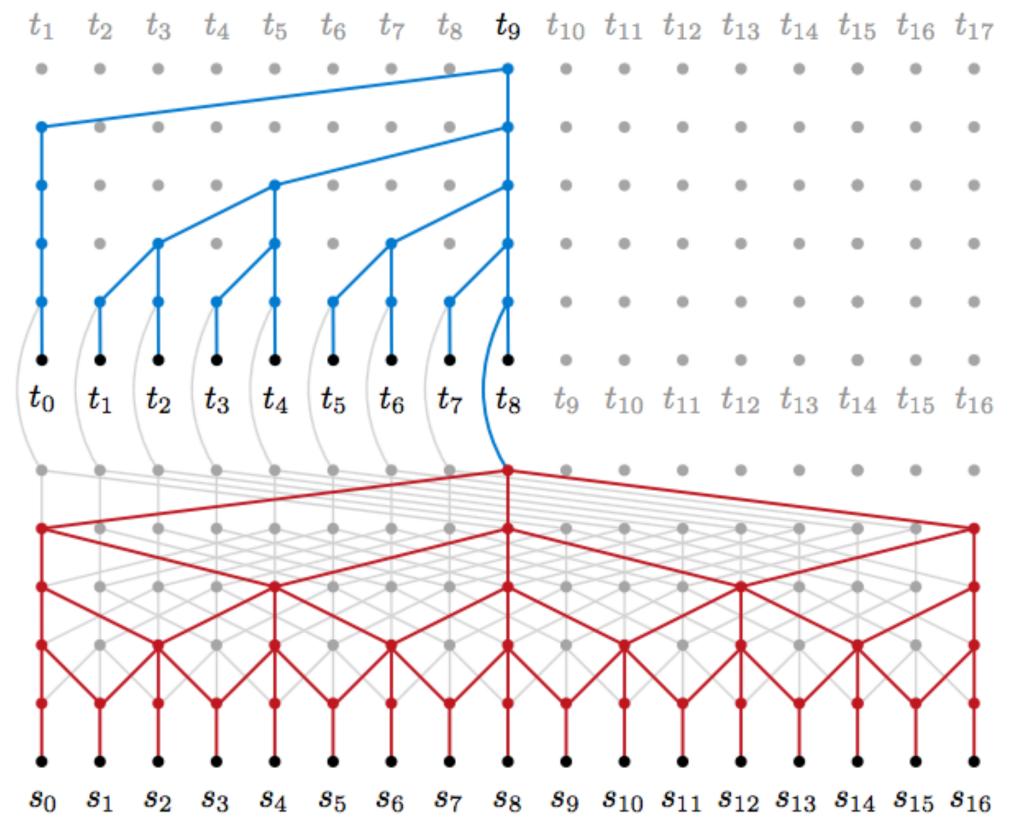
CNN is linear!



Advantages of CNNs

LSTM with attention is quadratic: compute attention over the whole input for each decoded token

- CNN is linear!
- CNN is shallower too in principle but the conv layers are very sophisticated (3 layers each)

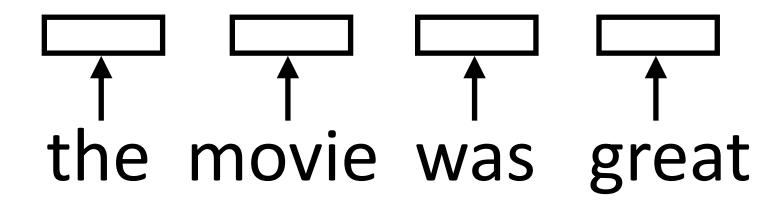


English-German MT Results

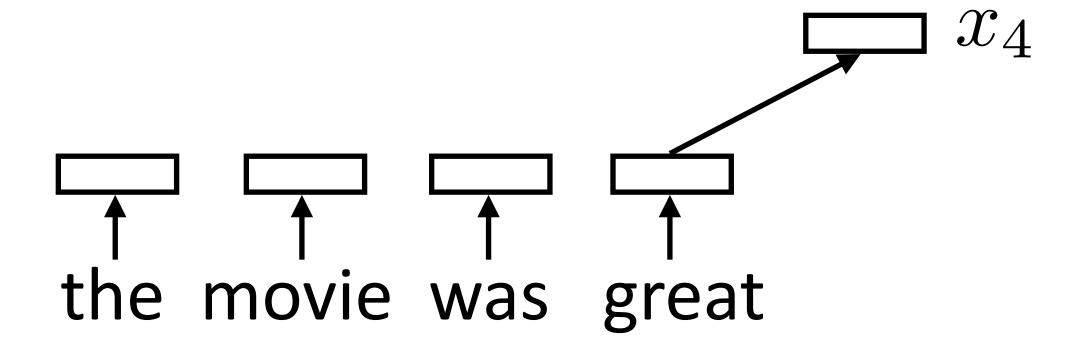
Model	Inputs	Outputs	WMT Test '14
Phrase Based MT (Freitag et al., 2014; Williams et al., 2015)	phrases	phrases	20.7
RNN Enc-Dec (Luong et al., 2015)	words	words	11.3
Reverse RNN Enc-Dec (Luong et al., 2015)	words	words	14.0
RNN Enc-Dec Att (Zhou et al., 2016)	words	words	20.6
RNN Enc-Dec Att (Luong et al., 2015)	words	words	20.9
GNMT (RNN Enc-Dec Att) (Wu et al., 2016a)	word-pieces	word-pieces	24.61
RNN Enc-Dec Att (Chung et al., 2016b)	BPE	BPE	19.98
RNN Enc-Dec Att (Chung et al., 2016b)	BPE	char	21.33
GNMT (RNN Enc-Dec Att) (Wu et al., 2016a)	char	char	22.62
ByteNet	char	char	23.75

Transformers for MT

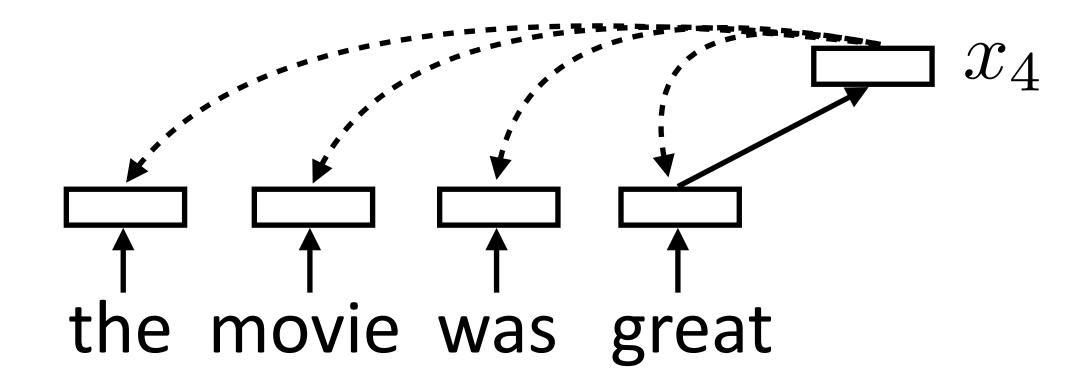
► Each word forms a "query" which then computes attention over each word



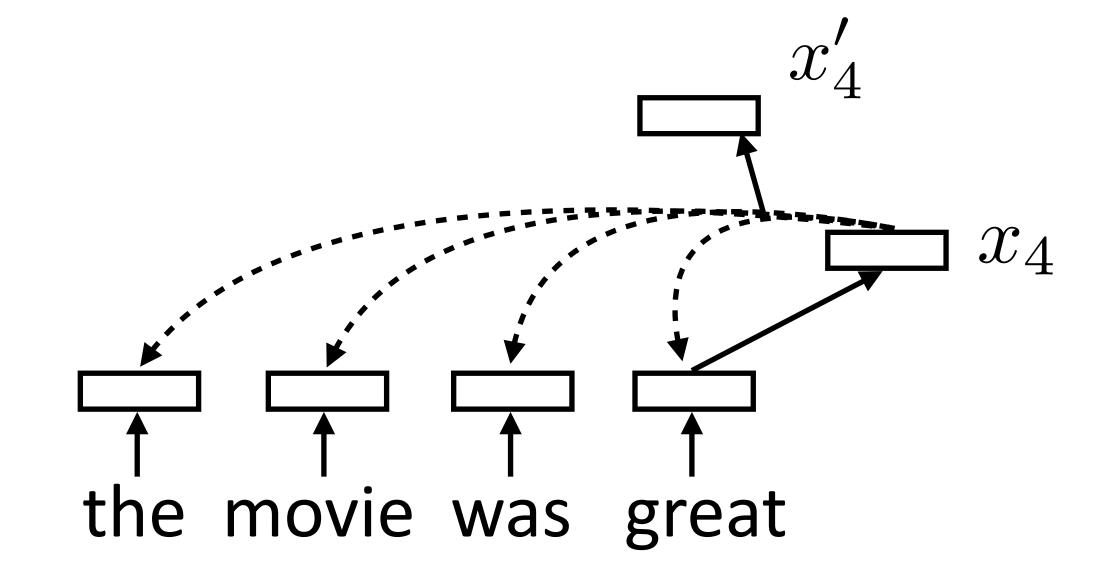
Each word forms a "query" which then computes attention over each word



► Each word forms a "query" which then computes attention over each word

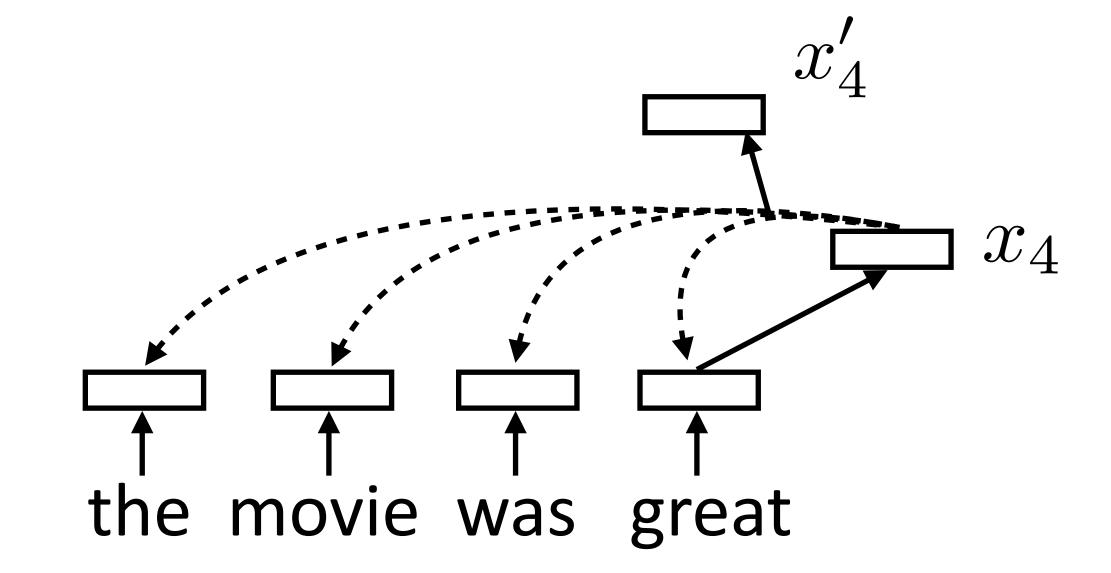


Each word forms a "query" which then computes attention over each word



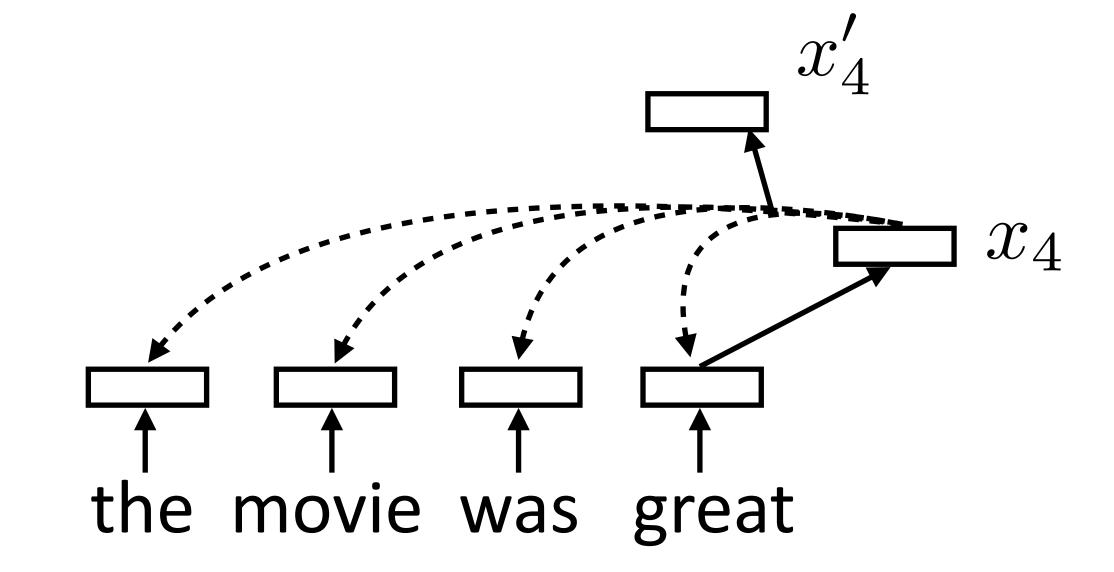
► Each word forms a "query" which then computes attention over each word

$$\alpha_{i,j} = \operatorname{softmax}(x_i^{\top} x_j)$$
 scalar



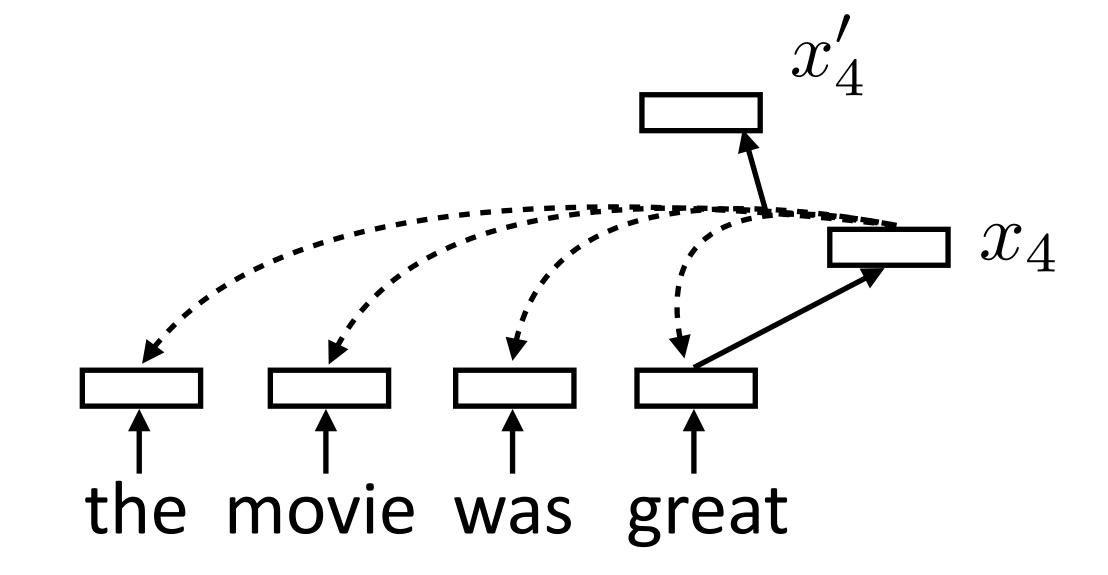
► Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar $x_i' = \sum_{j=1}^n lpha_{i,j} x_j$ vector = sum of scalar * vector



► Each word forms a "query" which then computes attention over each word

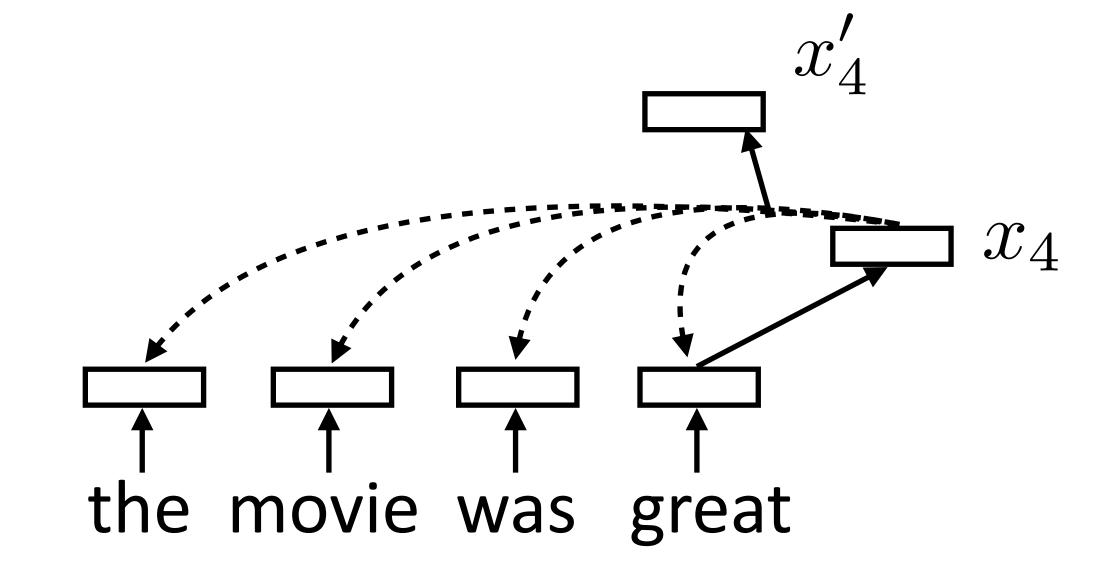
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Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

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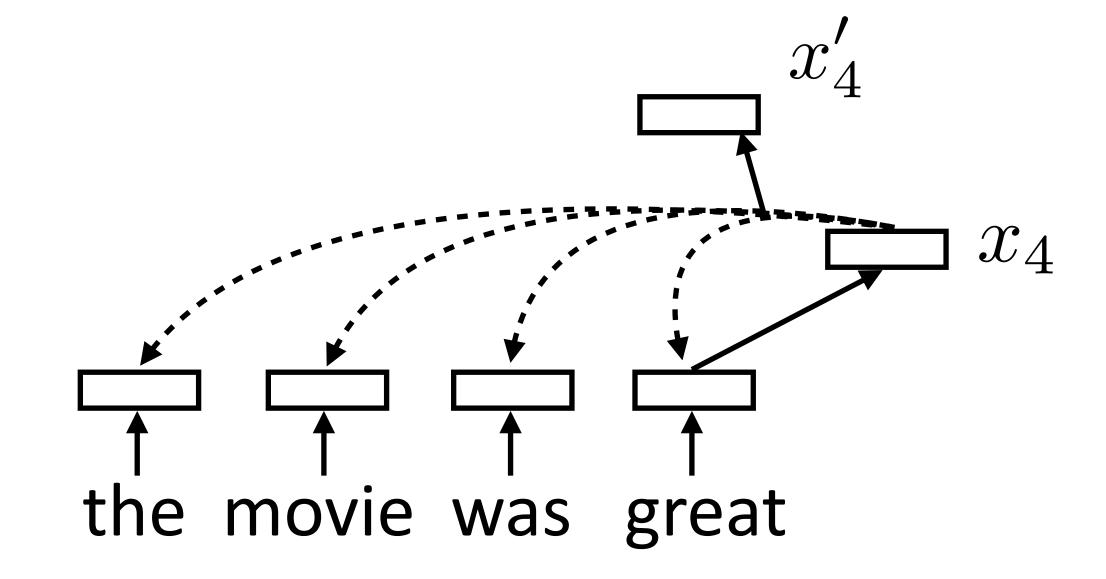


Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^\top W_k x_j)$$

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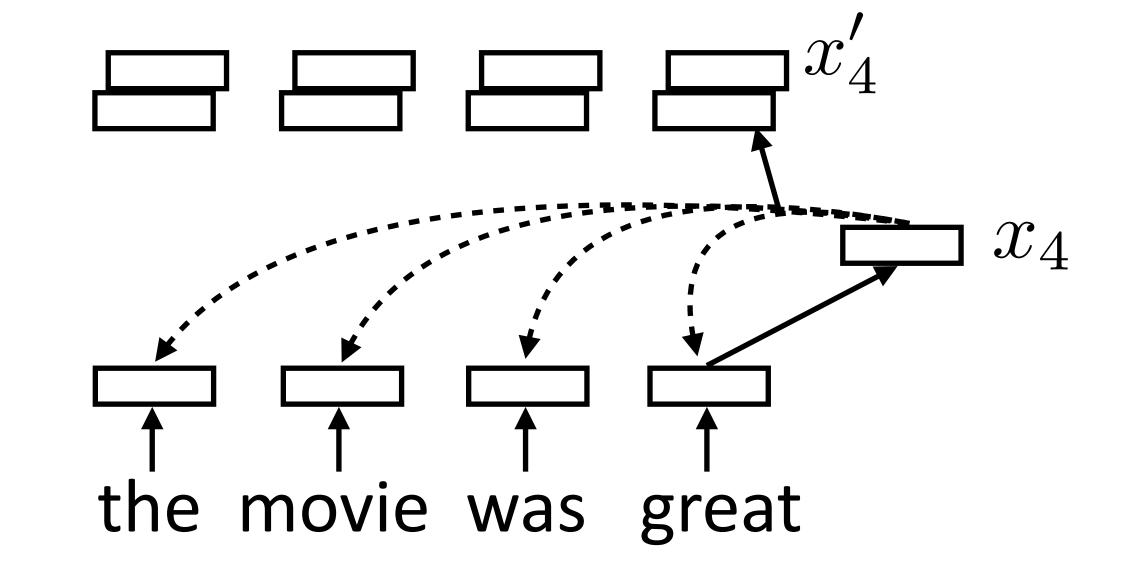
Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^\top W_k x_j) \quad x'_{k,i} = \sum_{j=1}^n \alpha_{k,i,j} V_k x_j$$

Vaswani et al. (2017)

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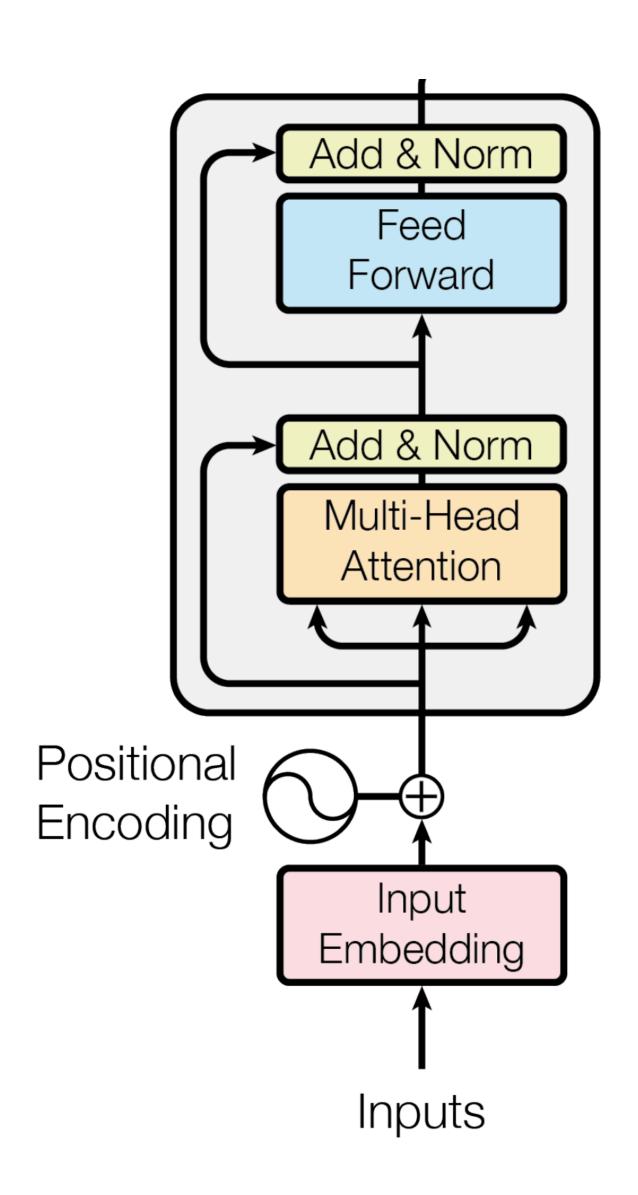
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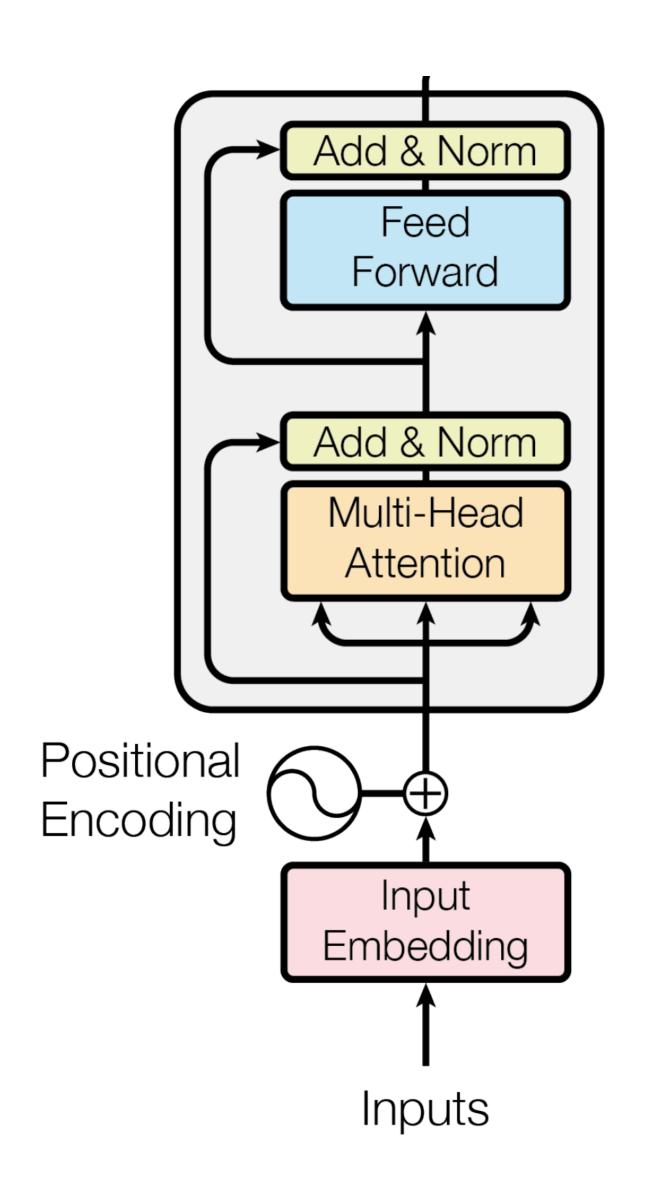


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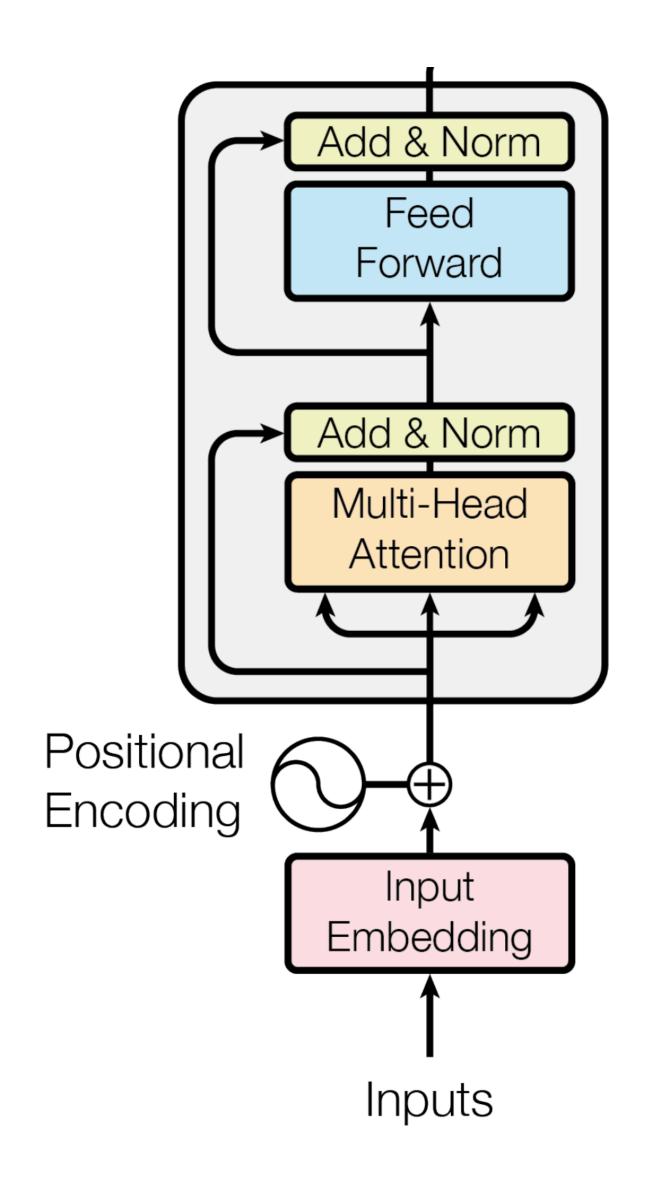
Vaswani et al. (2017)

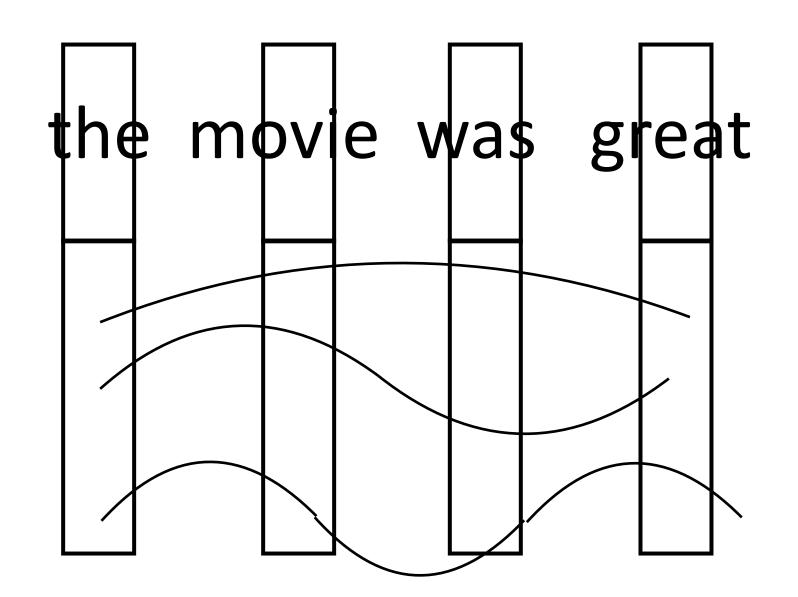




Positional encoding: augment word embedding with position embeddings, each dim is a sine wave of a different frequency. Closer points = higher dot products

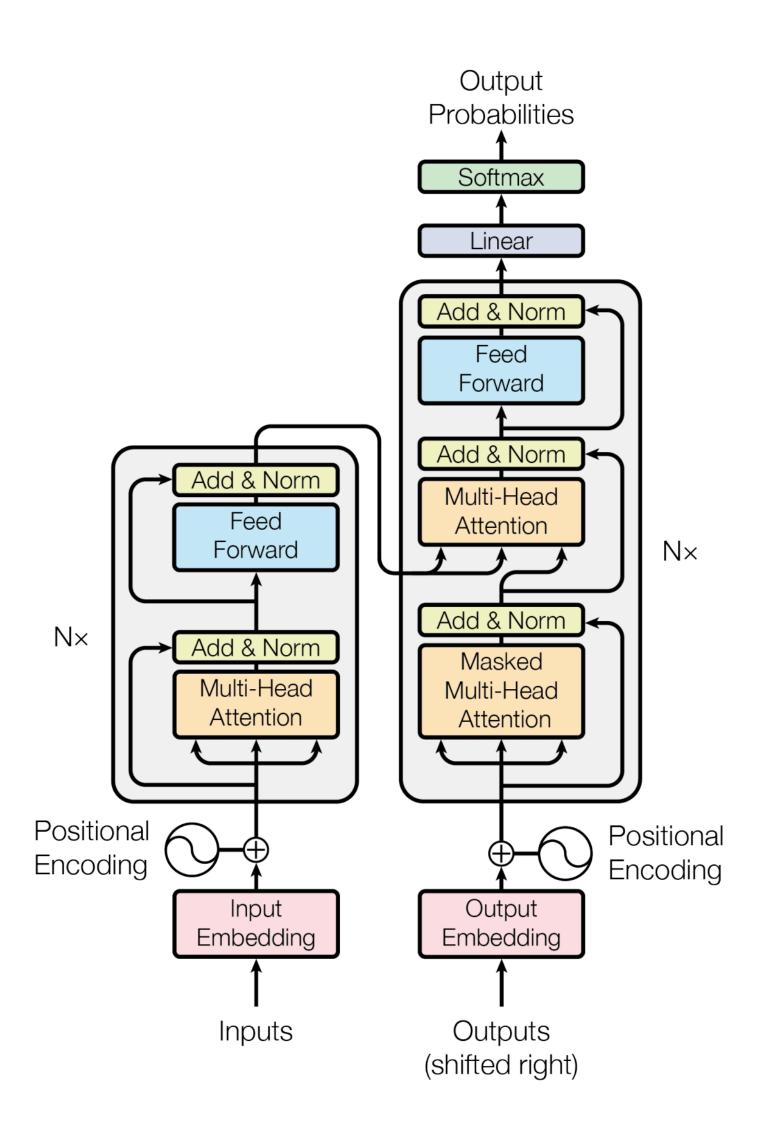
Vaswani et al. (2017)

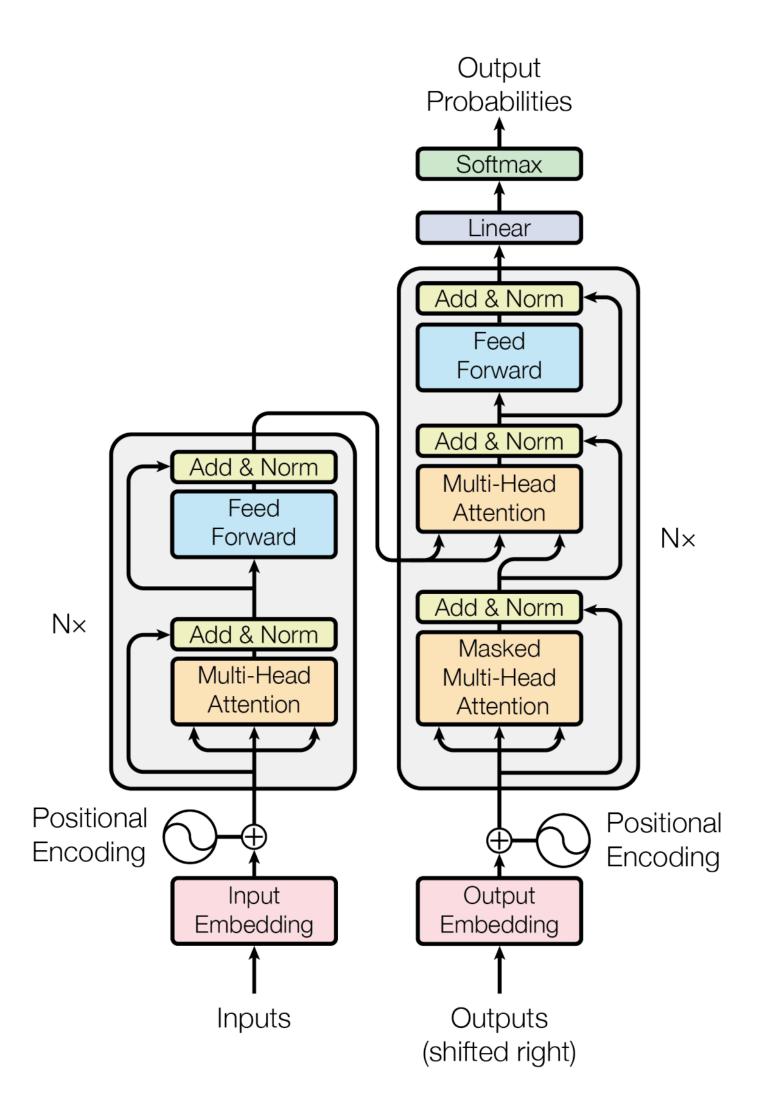




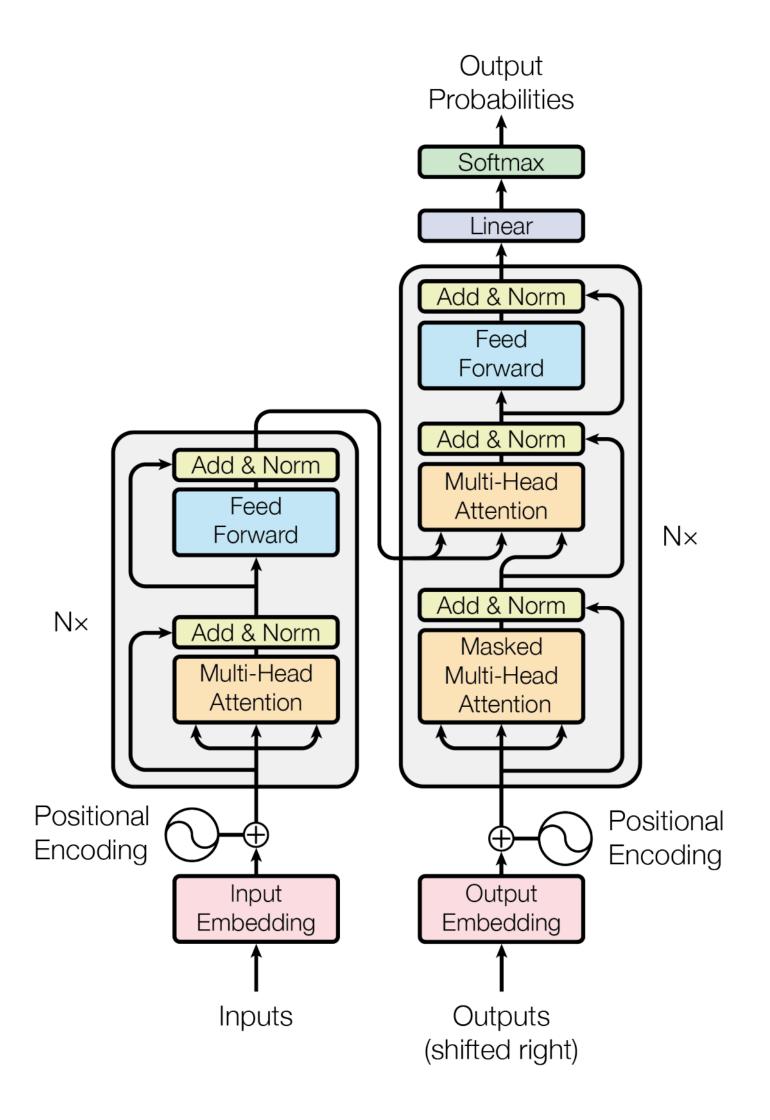
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Vaswani et al. (2017)





Encoder and decoder are both transformers



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Decoder consumes the previous generated token (and attends to input), but has no recurrent state

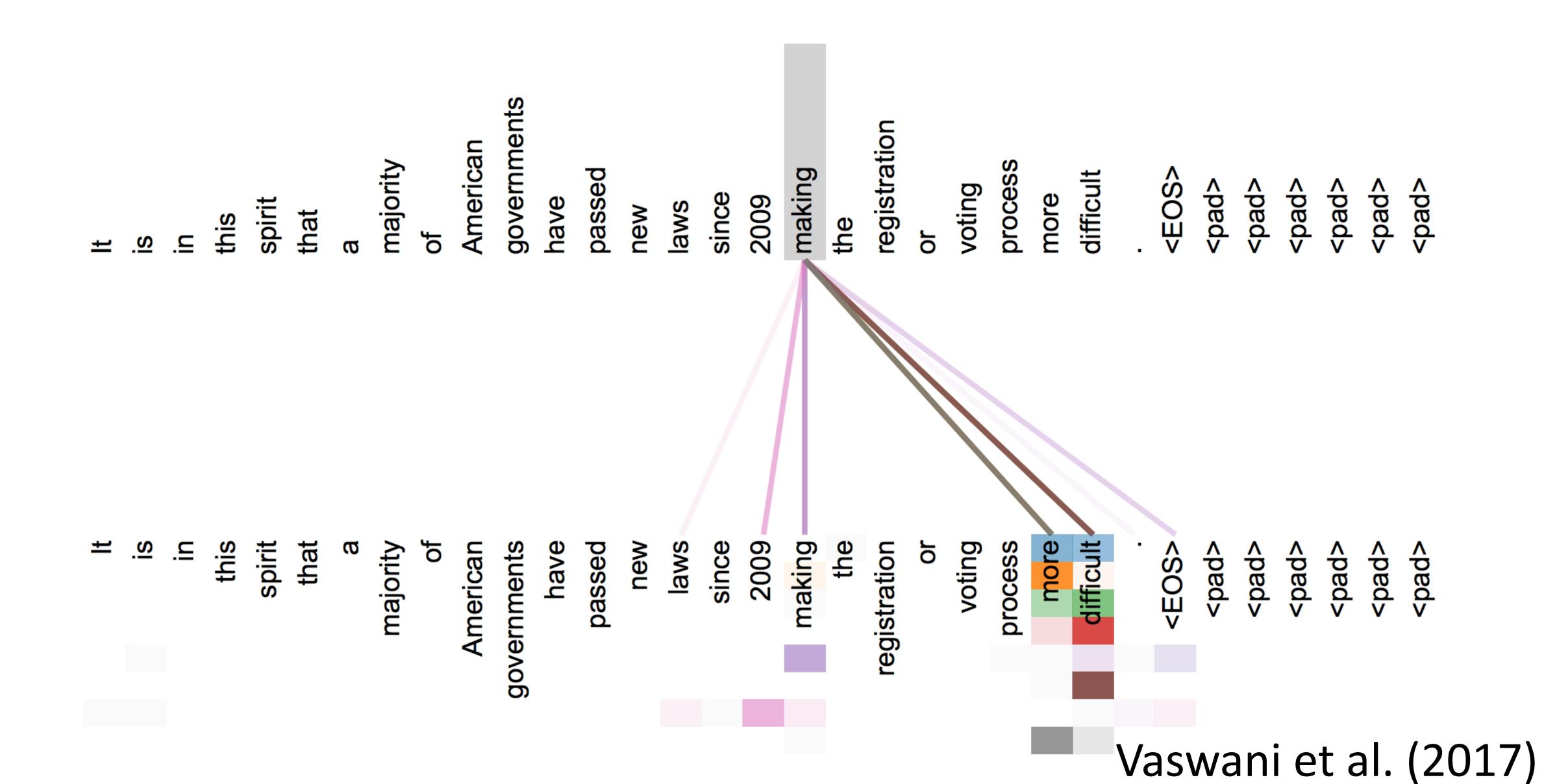
N/ada1	BLEU		
Model	EN-DE	EN-FR	
ByteNet [18]	23.75		
Deep-Att + PosUnk [39]		39.2	
GNMT + RL [38]	24.6	39.92	
ConvS2S [9]	25.16	40.46	
MoE [32]	26.03	40.56	
Deep-Att + PosUnk Ensemble [39]		40.4	
GNMT + RL Ensemble [38]	26.30	41.16	
ConvS2S Ensemble [9]	26.36	41.29	
Transformer (base model)	27.3	38.1	
Transformer (big)	28.4	41.8	

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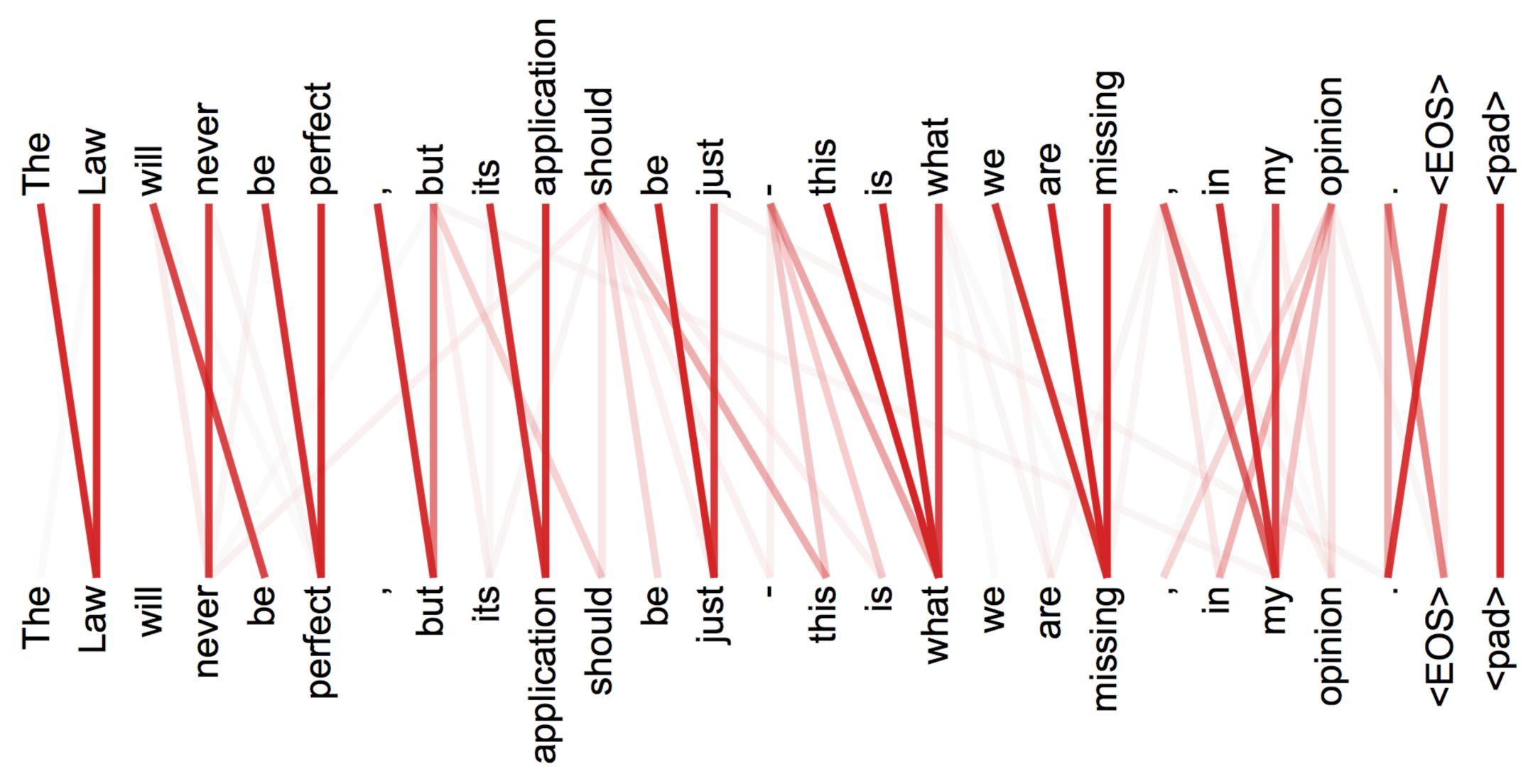
Big = 6 layers, 1000 dim for each token, 16 heads,
 base = 6 layers + other params halved

Vaswani et al. (2017)

Visualization



Visualization



Vaswani et al. (2017)

Takeaways

- Can build MT systems with LSTM encoder-decoders, CNNs, or transformers
- Word piece / byte pair models are really effective and easy to use
- State of the art systems are getting pretty good, but lots of challenges remain, especially for low-resource settings