

Theory of Computation

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Branch of theoretical CS that deals with study of algorithms & computational complexity. It aims to ask -

- What can be computed
- How efficiently
- What limitations exist in terms of computational power.



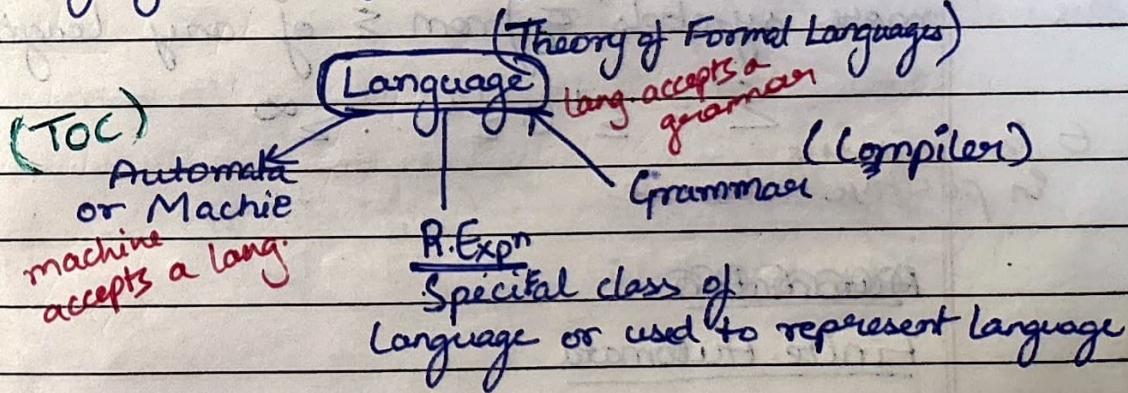
Basic Concepts & Automata Theory

Symbols : Basic building blocks which can be character or token. Ex → a, b etc

Alphabet : Finite, non-empty set of symbols. Ex → $\Sigma = \{a, b\}$

String : Finite sequence of symbols (which are members of set alphabet) Ex → aabb, ab, bab etc

Language : Set of strings Ex → {aabb, ab} \rightarrow set



Studying language is difficult, so we can either study Machine or Grammar.

If $\Sigma = \{a, b\}$ then

$\Sigma^0 = \{\epsilon\}$ \rightarrow Epsilon = a string with length = 0

$\Sigma^1 = \{a, b\}$

$\Sigma^2 = \Sigma \cdot \Sigma = \{a, b\} \cdot \{a, b\} = \{aa, ab, ba, bb\}$

$\Sigma^3 = \{aaa, aab, aba, abb, baa, bab, bba, bbb\}$

Σ^k = Set of all strings from alphabet Σ of length exactly k .

Kleene Closure (Σ^*)

Set of all strings obtained by concatenating 0 or more symbols from Σ of any length.

$$\Sigma^* = \bigcup_{i=0}^{i=\infty} \{w \mid |w|=i\}$$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \dots \Sigma^\infty$$

$$\text{Ex } \rightarrow \Sigma = \{a, b\}$$

$$\Sigma^* = \{a, b\} \cup \{aa, ab, ba, bb\} \cup \dots \infty$$

Note \rightarrow Language will be subset of Σ^*

Positive Closure (Σ^+)

Set of strings obtained by concatenating 1 or more symbols \in from Σ of any length.

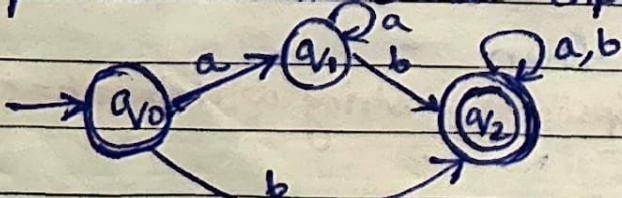
$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \dots \Sigma^\infty$$

ϵ is missing in positive closure

Abstract Machine

Finite Automata

Model that has finite set of states and its control moves from one state to another in response to external inputs.



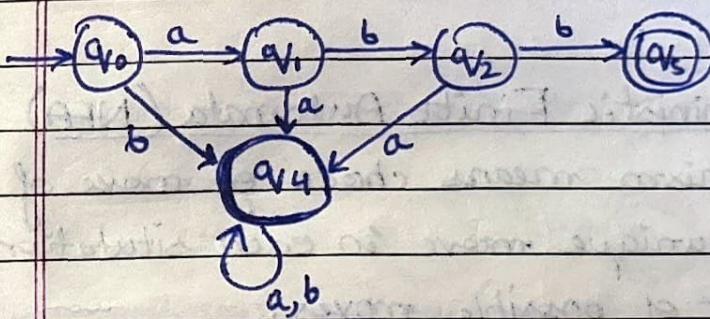
without O/P DFA
with O/P NDFA

2 Types of FA

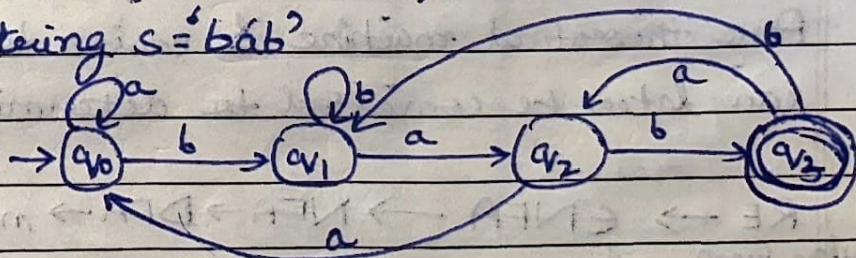
Moore
Mealy

→ Deterministic Finite Automata (DFA)

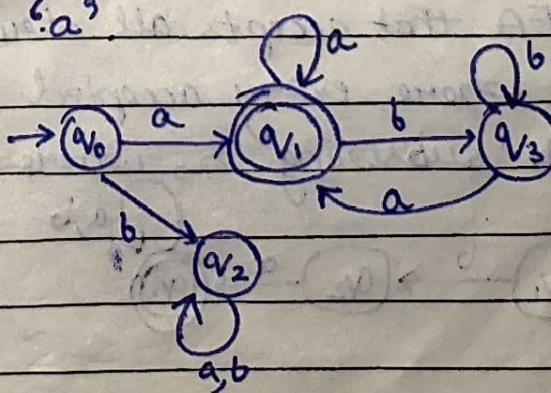
Q Design DFA that accepts all strings over alphabet $\Sigma = \{a, b\}$, where every accepted string ' w ' starts with substring $s = 'abb'$.



Q Design DFA over $\Sigma = \{a, b\}$ where ' w ' ends with substring $s = 'bab'$

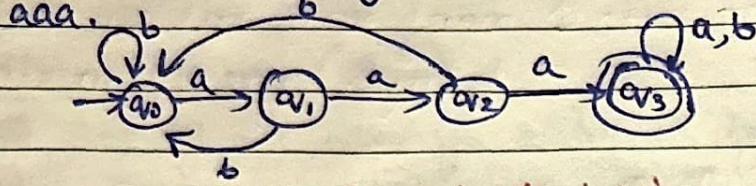


Q Design DFA over $\Sigma = \{a, b\}$ where ' w ' starts & ends with 'a'.

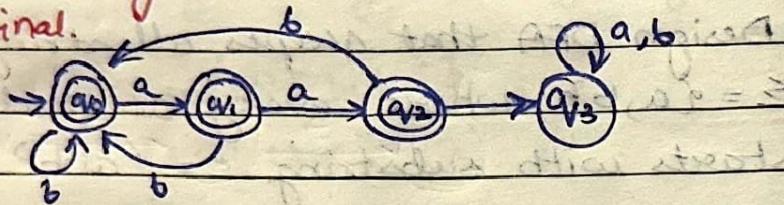


Complement of DFA

- Q Accept all strings that doesn't accept substring aaa.



Convert all final state to ~~normal & normal~~
to final.



→ Non Deterministic Finite Automata (NFA)

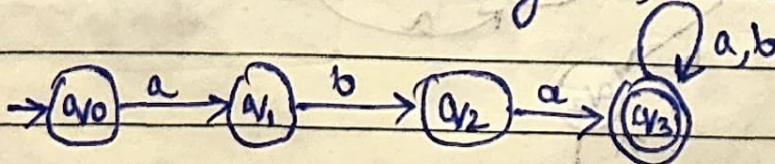
Non determinism means choice of move of automata.
Rather than unique move in each situation we allow a set of possible moves.

Are theoretical machine & easier to design then can later be converted to deterministic machine.

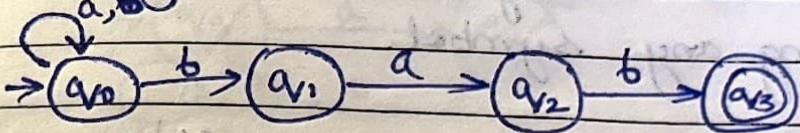
RE → εNFA → NFA → DFA → minimal DFA

Regular Expr Epsilon

- Q Design a NFA that accepts all strings over alphabet $\Sigma = \{a, b\}$, where every accepted string 'w' starts with substring 's', where $s = 'aba'$



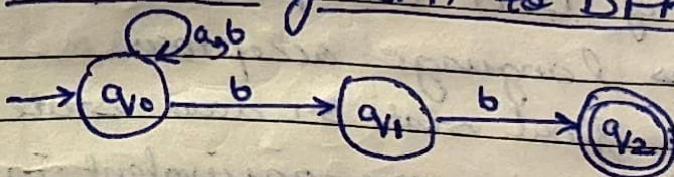
Q Design NFA that accepts all strings over $\Sigma = \{a, b\}$ where every accepted string $s'w?$ ends with substring ' s ' where $s = 'bab'$



Note → Every DFA is also an NFA.

Note → Acceptance in NFA just means that there exists at least 1 transition for which we start at initial state & ends in any one of the final state, then string ' w ' is accepted by NFA.

Conversion of NFA to DFA

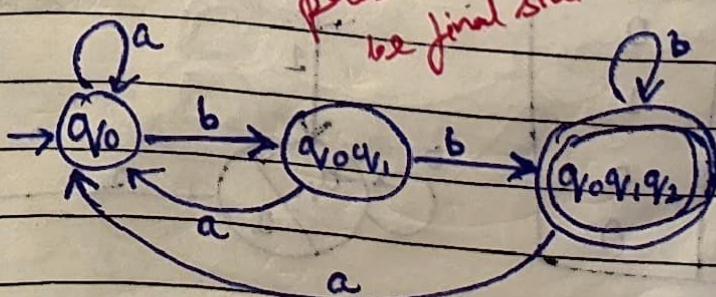


	a	b
$\rightarrow q_0$	q_0	q_0, q_1
q_1	\emptyset	q_2
(q_2)	\emptyset	\emptyset

	a	b
q_0	q_0	$\{q_0, q_1\}$
$\{q_0, q_1\}$	q_0	$\{q_0, q_1, q_2\}$
$\{q_0, q_1, q_2\}$	q_0	$\{q_0, q_1, q_2\}$

write line 1 as it is & treat mult. as a set.
 $\{q_0, q_1\}$ state
'a' per kha kha
gaya =
 $q_0 \cup \emptyset$
= q_0

Wherever q_2 is present that will be final state



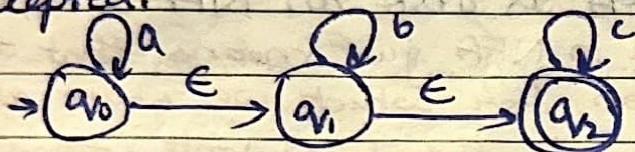
$\{q_0, q_1\}$ state
'b' per kha kha
 $q_0, q_1 \cup q_2$
 $q_0q_1q_2$

→ Epsilon NFA (εNFA)

Automata that consists of null transitions.

Or we can move from 1 state to another without consuming any symbol.

Ex → Design where $\{a^n, b^m c^q \mid n, m, q \geq 0\}$ is accepted.



→ Moore & Mealy Machine

Special cases of DFA that produce output rather than acting as language acceptors.

→ No concept of final state or dead state.

→ Both Moore & Mealy are equivalent in power.

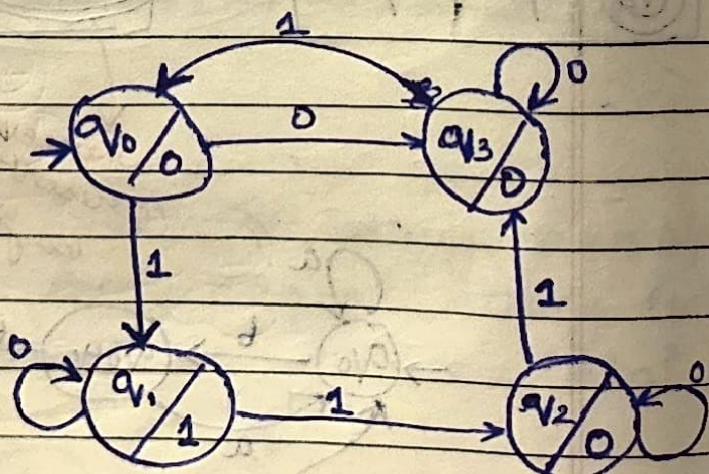
Moore Machine

Output is associated with state.

Ex →

Transition table

Present State	Next State $a=0$	Next State $a=1$	O/P on X
q_0	q_3	q_1	0
q_1	q_1	q_2	1
q_2	q_2	q_3	0
q_3	q_3	q_0	0

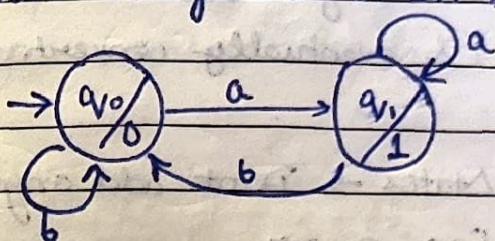


Suppose I/P given to this machine is 01110
then O/P will be →

q_0 for 0 are
pn goes to q_3 (and
 q_3 ka O/P 00)

$q_0 01110$
↓↓↓↓↓
0 00100

- Q Construct a Moore machine that takes a's & b's as I/P and count no. of a's in I/P string in terms of 1's.



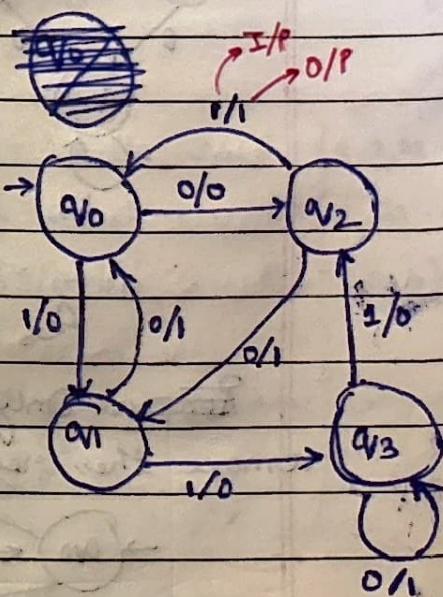
Mealy Machine

Output is associated with transition.

Ex -

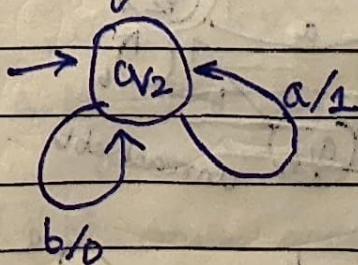
Transition Table

Present State	Next State	
	$a = 0$	$a = 1$
q_0	q_2	q_1
q_1	q_0	q_3
q_2	q_1	q_0
q_3	q_3	q_2



Suppose I/P given to this machine is 01110 then O/P is
 $\downarrow \downarrow \downarrow \downarrow \downarrow$
 01001

- Q Construct a Mealy machine that takes all strings of a's & b's as I/P & counts no. of a's in I/P string in terms of 1's.



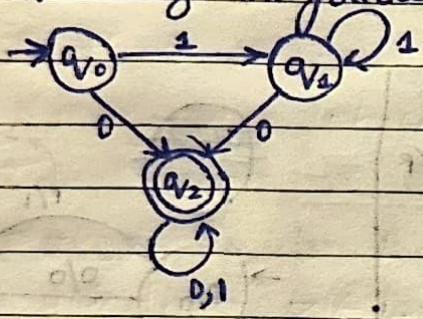
Minimization of Finite Automata

Only DFA are minimized because NFA & E-NFA are anyways conceptual & eventually converted to DFA.

Non Productive States \rightarrow Don't add anything to language accepting power.

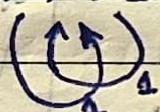
DEAD State, Unreachable State & Equal State

Ex Minimize the following \rightarrow



Group all non-final states in 1 set & final in another
& then check behaviour

$$\{q_0, q_1, q_3\} \quad \{q_2\}$$



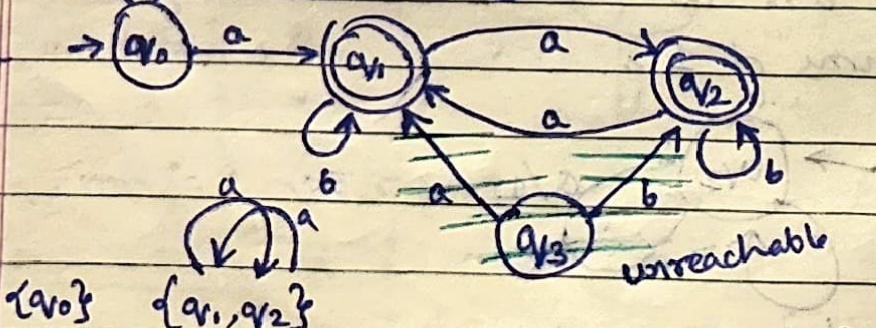
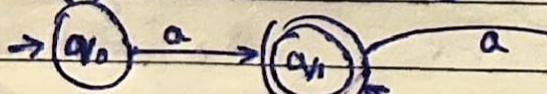
q_0 on 1 goes to same group

As Behaviour is same \rightarrow

Remove Only keep 1 state from the group & remove the rest & their outgoing transitions.

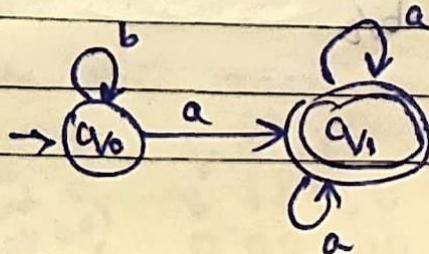


Ex2



$$\{q_0\} \quad \{q_1, q_2\}$$

unreachable



Regular Expressions

An expression of strings which represent regular language is called Regular Expression.

A regular expⁿ is valid iff it can be derived from primitive regular expⁿ by a finite no. of applications of operators.

Any terminal symbol (Σ)
 ϵ & ϕ

Regular Language = Any set (language) represented by a regular expⁿ.

Ex → If $a, b \in \Sigma$ then

$R = a$ denotes $L = \{a\}$

$R = a \cdot b$ "

$R = a + b$ "

$R = a^*$ denotes $L = \{\epsilon, a, aa, \dots\}$

$L = \{ab\}$ ^{concat}

$L = \{a, b\}$ ^{union}

$R = a^+$ "

$R = (a+b)^*$..

$L = \{a, b\}^*$

Note → 2 Regex are equal if they represent same language.

Ex → $r_1 = a^*$ $r_2 = a^* + (aa)^*$

$r_1 = r_2$

Q Design Regex that represent language '1'

① → $L = \{a\}^*$ over $\Sigma = \{a\}$

a

② → $\Sigma = \{a, b\}^*$ where accepted string 'w' starts with $s = "abb"$
 $abb(a+b)^*$

③ → $\Sigma = \{a, b\}^*$ where accepted string 'w' ends with $s = "bab"$
 $(a+b)^*bab$

④ → $\Sigma = \{a, b\}^*$ where accepted string 'w' contains substring
 $s = "aba"$
 $(a+b)^*aba(a+b)^*$

$\Leftrightarrow \Sigma = \{a, b\}$ such that accepted string "w" starts & ends with ~~a~~

$$a + a(a+b)^*a$$

$\Leftrightarrow \Sigma = \{a, b\}$ such that accepted string "w" starts & ends with same symbol

$$a + a(a+b)^*a + b + b(a+b)^*b$$

Pumping Lemma for Regular Languages

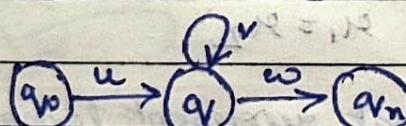
Used as a proof for irregularity of a language.

If there exists atleast 1 string made from this lemma, which is not in L, then L is not Regular.

For any Regular Language L, there exists integer n such that $z \in L$ and $|z| \geq n$, then exist $u, v, w \in \Sigma^*$, such that $z = uvw$ and $|uv| \leq n$

$$|v| \geq 1$$

for all $i \geq 0$: $uv^i w \in L$



String v to pump
Repete K baad hi
String remains in L.

Q L = { $a^m b^n$ | m=n} is non-regular?

$$L = \{ab, aabb, \dots\}$$

$$z \in L \quad z = a^k b^k = \frac{a^{k-1}}{u} \frac{a}{v} \frac{b^k}{w}$$

~~For p = q~~

$$\text{For } i=1 \rightarrow uv^i w \rightarrow a^{k-1} a b^k$$

$$i=2 \rightarrow uv^i w \rightarrow a^{k-1} a^2 b^k \\ = a^{k+1} b^k$$

= does not belong to language.

Regular and Non-Regular Grammar

Formal Grammar

Grammar is 4 tuple (V_n, Σ, P, S)

V_n = Finite non-empty set whose elements are called variables.

Σ = Finite non-empty set whose elements are terminals.

S = Start symbol

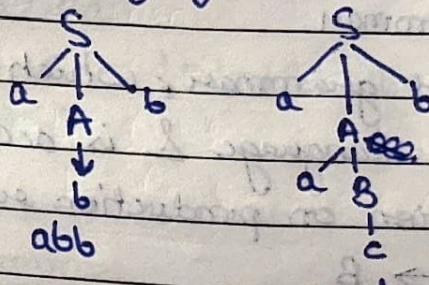
P = Finite set whose elements are $\alpha \rightarrow \beta$. α has at least 1 symbol from V_n . $\beta \in (\Sigma \cup V_n)^*$

Q Consider the following grammar & identify its language

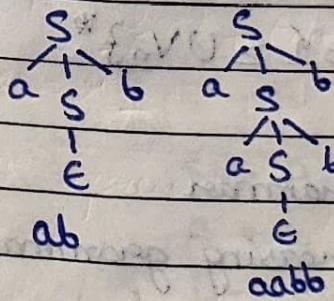
① $S \rightarrow aAb$

$A \rightarrow aB/b$

$B \rightarrow c$



② $S \rightarrow aSB/\epsilon$

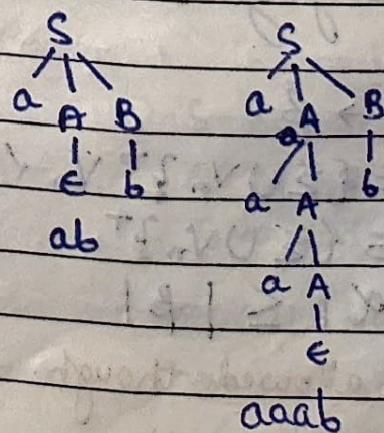


$$L = \{a^n b^n \mid n \geq 0\}$$

③ $S \rightarrow aAB$

$A \rightarrow aA/\epsilon$

$B \rightarrow b$

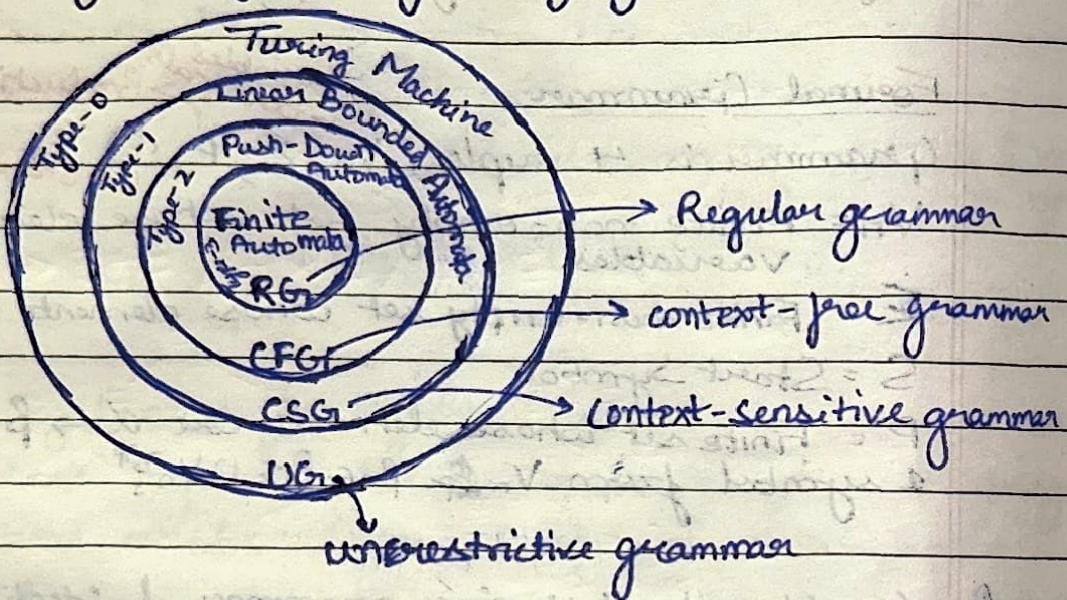


$$L = \{a^n b \mid n \geq 1\}$$



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Chomsky Classification of Languages



→ Type-0 Grammar

Unrestricted grammar which generates recursively enumerable language L is accepted by Turing M/C. No restriction on production rule.

$$\alpha \rightarrow \beta$$

$$\alpha \in (\sum UV_n)^* \quad V_n \in (\sum UV_n)^*$$

$$\beta \in (\sum UV_n)^*$$

→ Type-1 Grammar

Length increasing grammar that generates context sensitive language L is accepted by Linear Bounded automata.

$$\alpha \rightarrow \beta$$

$$\alpha \in (\sum UV_n)^+ \quad V_n \in (\sum UV_n)^+$$

$$\beta \in (\sum UV_n)^+$$

$$|\alpha| \leq |\beta|$$

$S \rightarrow \epsilon$ is allowed though.

→ Type-2 Grammar

Context Free Grammar that generates Context Free Language & is accepted by Push-Down Automata.

$$\alpha \rightarrow \beta$$

$$\alpha \in V_n \quad |\alpha| = 1$$

$$\beta \in (\Sigma \cup V_n)^*$$

→ Type-3 Grammar

Used to generate ~~REGULAR~~ Regular Language which is accepted by Finite Automata.

Can be of 2 types Left Linear or Right Linear.

$$A \rightarrow a/Ba$$

$$A, B \in V_n \quad |A|=|B|=1 \\ a \in \Sigma^*$$

$$A \rightarrow a/aB$$

$$A, B \in V_n \quad |A|=|B|=1 \\ a \in \Sigma^*$$

Regex to Grammar

Q $\frac{abb}{A} \frac{(a+b)^*}{B}$

$$S \rightarrow AB$$

$$A \rightarrow abb$$

$$B \rightarrow aB/bB/c$$

Q $\frac{a+a(a+b)^*a}{A} \frac{a}{B} \frac{a}{A}$

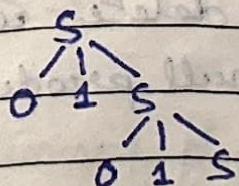
$$S \rightarrow A/ABA$$

$$A \rightarrow a$$

$$B \rightarrow aB/bB/c$$

Grammar to Regex

Q $S \rightarrow 01S/01$



$$(01)^+$$

Q $S \rightarrow 011A/101B$

$$A \rightarrow 110A/00 \rightarrow (110)^*00$$

$$B \rightarrow 11B/S \rightarrow (11)^*S$$

Q $011(110)^*00 \# / 101(11)^*S$

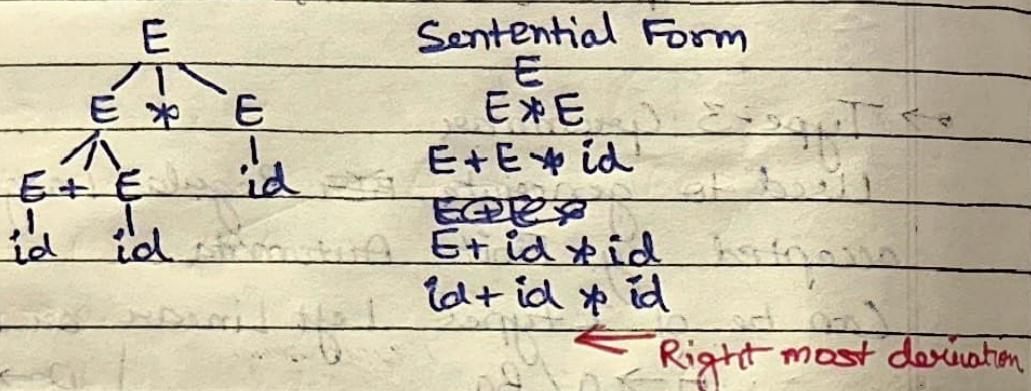
$101(11)^* \xrightarrow{\text{S replaced in the}} 011(110)^*00$

~~Derivation~~

Derivation - Process of deriving a string.

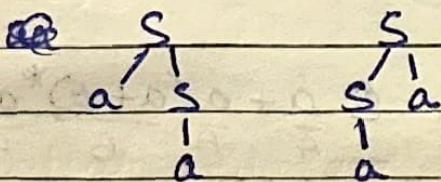
Syntax/Parse Tree - Graphical representation of derivation.

Ex $E \rightarrow E+E / E * E / E = E / id$

Ambiguous Grammar

CFG is ambiguous if there are more than 1 derivation tree for any string.

Ex $S \rightarrow aS / Sa / a$



Note → Grammar which is both left & right recursive is always ambiguous.

Minimization of CFG

To make it more efficient & compiler friendly process of deleting ~~useless symbol~~ we delete useless symbol, unit production & null production.

⇒ Null production ($A \rightarrow \epsilon$)

Ex 1 $S \rightarrow A b B$
 $A \rightarrow a / \epsilon$
 $B \rightarrow b / \epsilon$

If we remove $B \rightarrow \epsilon$, then what string can be produced with $B \rightarrow \epsilon$, add that to S.

$\epsilon S \rightarrow A b \epsilon = A b$ so separately add $A b$ & repeat
 $S \rightarrow A b B / A b$
 $A \rightarrow a / \epsilon$
 $B \rightarrow b$
 $S \rightarrow A b B / A b / b B / b$

Ex 2 $S \rightarrow A B / A / B / \epsilon$
 $A \rightarrow a / \epsilon$
 $B \rightarrow b / \epsilon$

$S' \rightarrow S U E$ created S' & put epsilon there if long is such where epsilon is necessity.
 $S \rightarrow A B / A / B$
 $A \rightarrow a$
 $B \rightarrow b$

→ Removal of Unit Prod".

Ex 1 $S \rightarrow A a$
 $A \rightarrow a / B d$
 $B \rightarrow d$

~~Useless Symbol~~

Ex 2 $S \rightarrow a A b$

$A \rightarrow B / a / b / c / d \Rightarrow A \rightarrow a / b / c / d$
 $B \rightarrow C / b / c / d$
 $C \rightarrow D / c$
 $D \rightarrow d$

→ Removal of Useless Symbol

Ex 2 $S \rightarrow a A B$

$A \rightarrow a$

$B \rightarrow b$

$C \rightarrow d$

not reachable

Ex 2 $S \rightarrow a A / a B$
 $A \rightarrow b$

useless as B is not present

To Compiler Friendly Grammar should only generate 1 terminal is 1 rule

Chomsky Normal Form

Simplifying CFGs to make them easier to work with or compiler friendly.

$$A \rightarrow BC/a$$

$$BC \in V_n$$

$$a \in \Sigma$$

Either single terminal or
2 non terminals

Ex $S \rightarrow aSb/ab$

lets say we have $A \rightarrow a$ & $B \rightarrow b$

$$S \rightarrow ASB/AB \rightarrow \text{still not allowed}$$

$$A \rightarrow a$$

$$B \rightarrow b$$

lets say we have $S' \rightarrow AS$

$$S \rightarrow S'B/AB$$

$$S \rightarrow AS$$

$$A \rightarrow a$$

$$B \rightarrow b$$

Chomsky Normal Form

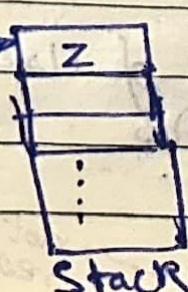
Pushdown Automata

Finite automata has limitations, it cannot do infinite comparison between symbols.

Ex: $L = \{a^n b^n \mid n \geq 1\}$. This is not regular but can be solved using a auxillary memory, a stack.

$\Sigma \dots a \dots$ I/P tape

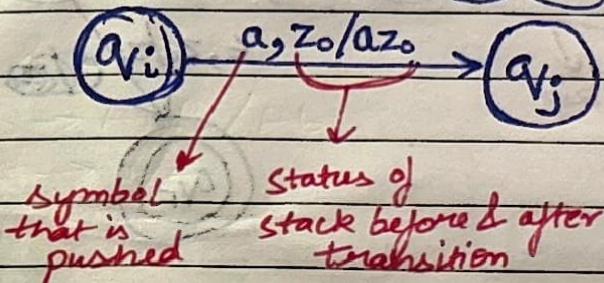
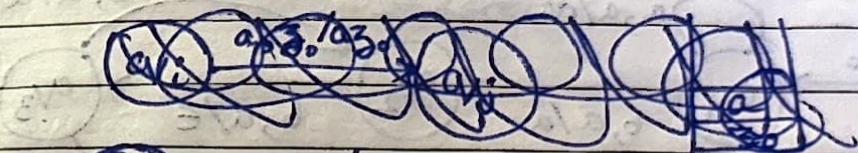
Finite State
Store Control



Representations Date / /

→ PUSH

$$\delta(q_i, a, z_0) = (q_j, az_0)$$

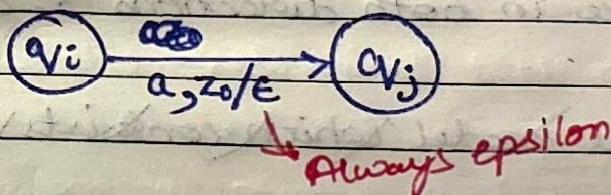


a
z_0

a is pushed

→ Pop

$$\delta(q_i, a, z_0) = (q_j, \epsilon)$$



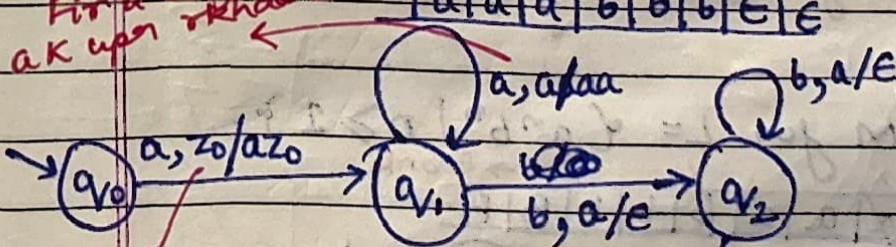
→ SKIP

$$\delta(q_i, a, z_0) = (q_j, z_0)$$

Q Design a PDA for $L = a^n b^n | n \geq 1$

First a aaya to
ak upn rkhdo a

lalala | b b b | ε | ε



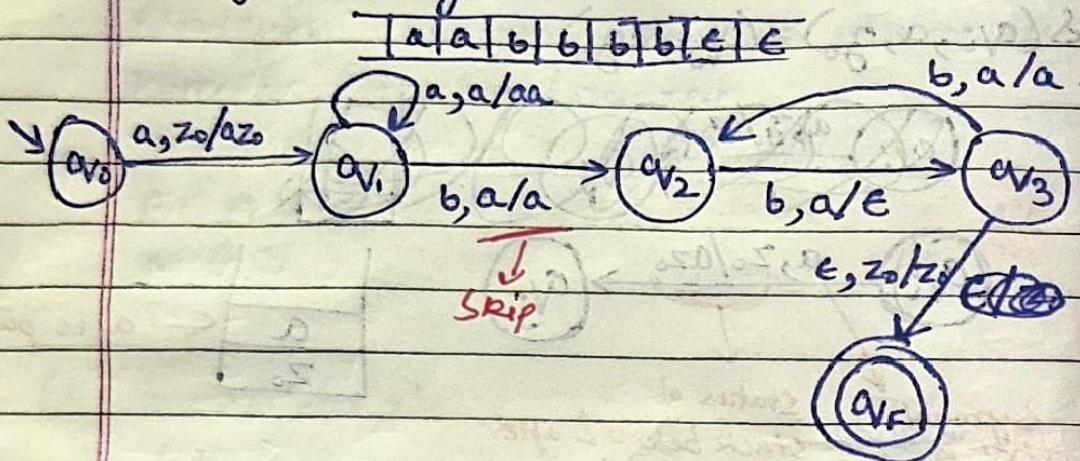
a aaya to
stack per rkhda a

b aaya aay
stack k top pr
hai a to pop
kndo

a
a
z_0

Epsilon aaya to
skip kro aur
final state polach
jao.

Q Design PDA for $\{a^n b^{2n} \mid n \geq 1\}$



Turing Machine

T has infinite size tape & it is used to accept Recursively Enumerable Languages.

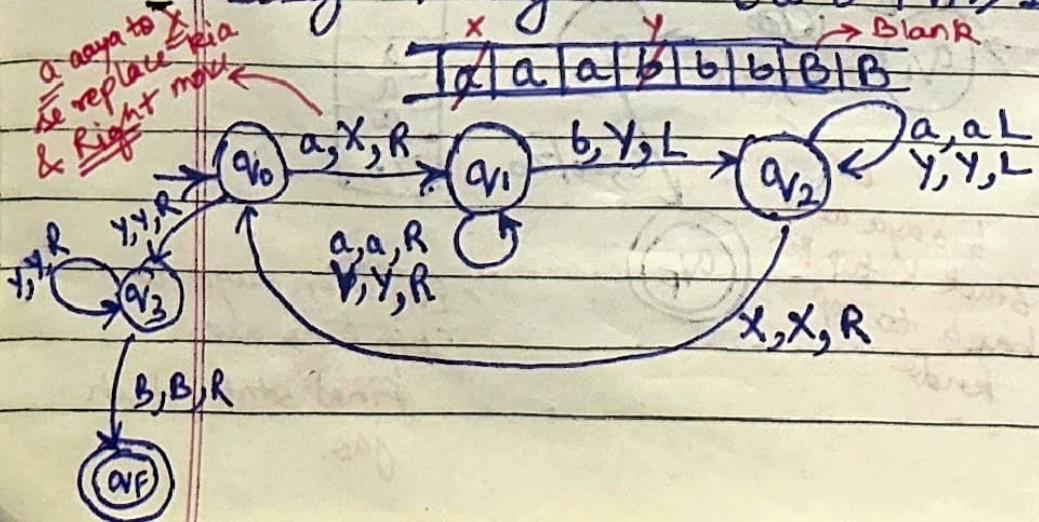
It can move in both directions & doesn't accept ϵ .

Mathematical model which consists of infinite length tape

Theoretical / Abstract model of computation introduced in 1936 that understands the limits of what can be computed.

Note → Can simulate logic of any computer algorithm making them fundamental model in computer science.

Q Design T.M for $L = \{a^n b^n \mid n \geq 1\}$



Decidable Language

Date / /

Recursive Language \rightarrow T.M will always Halt.

Recursively Enumerable Language \rightarrow TM will ~~always~~ halt sometimes.

Partially decidable language

Undecidable lang. \rightarrow No TM exist.

