

CS 303: Operating Systems

Lecture Set 1

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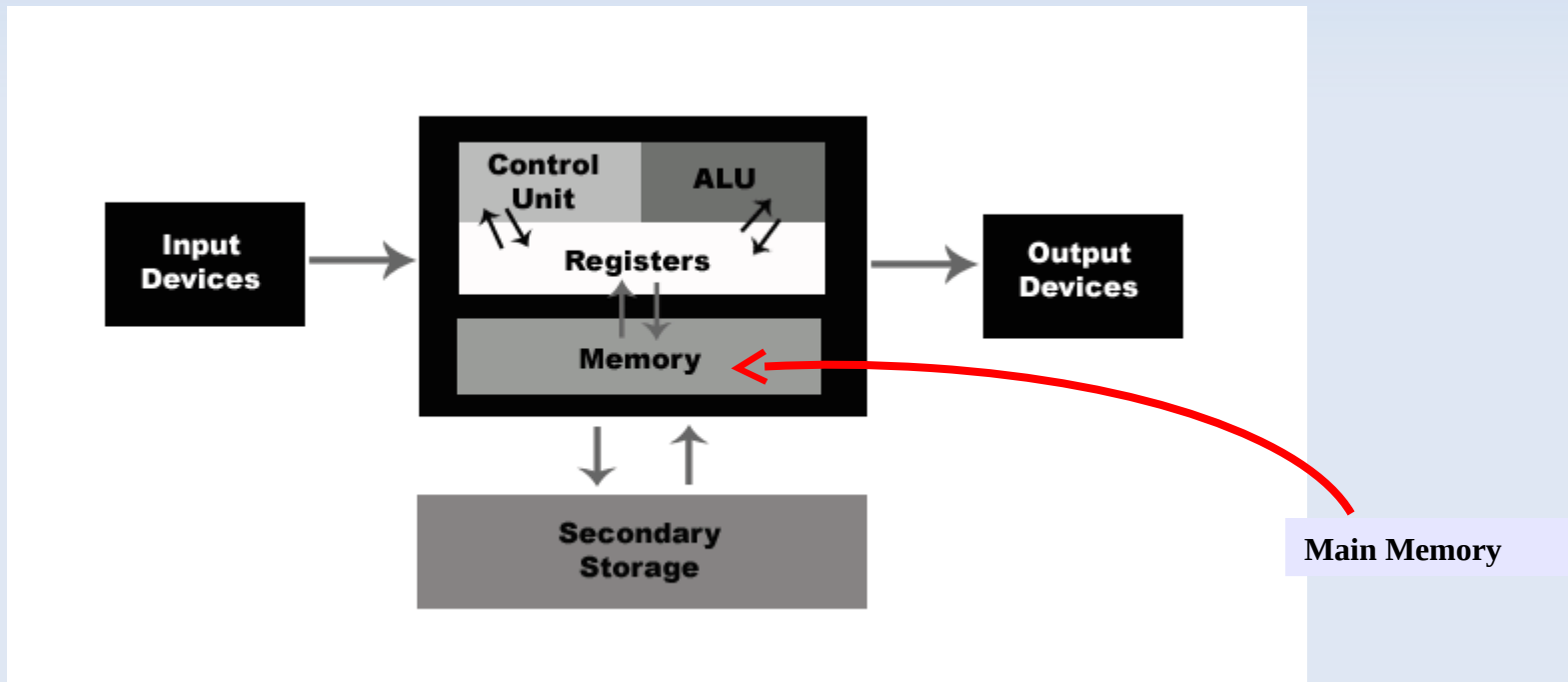
Introduction

Objective:

- Overview of the Computer system organization
 - processor (CPU), memory, and input/output, architecture and general operations.
- Understand its role and principal functions.

Computer System Organization (Abstract view)

**Typically, a common
bus for all!**



**Requires: Dev. Controller HW for delegation →
Dev. Driver (SW) is required**

Program and types

➤ What is a (software) program?

- Many definitions

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➤ Niklaus Wirth [Turing Award Winner 1984]

ALGORITHM/S + DATA STRUCTURE/S = PROGRAM/S [1976]

➤ Kowalski [published in 1979]

ALGORITHM = LOGIC + CONTROL

➤ PROGRAM = LOGIC + CONTROL + DATA STRUCTURES

➤ Software means several programs

➤ To execute, it needs a processing platform i.e. Hardware

➤₄ Software (S/W) : System S/W, Application S/W

Program

- Typically, programs are written in High-level languages
 - Then compiled into binary executable
 - This executable is run
- Compilation involves the steps of:
 1. Pre-processing
 2. Compilation
 3. Assembly
 - and 4. linking
- Consider compiling the C program for adding two numbers
 - `:~$gcc -Wall addTwoNums.c -o addTwoNums`
- Consider compiling to preserve the intermediate temporary files generated
 - `$ gcc -Wall --save-temps addTwoNums.c -o addTwoNums`

Intermediate Program Files

- | | | |
|--------------------|------------------|---------------------------|
| 1. Pre-processing: | <code>.i</code> | <code>addTwoNums.i</code> |
| 2. Compilation: | <code>.S</code> | <code>addTwoNums.S</code> |
| 3. Assembly: | <code>.o</code> | <code>addTwoNums.o</code> |
| 4. linking: | <code>exe</code> | <code>addTwoNums</code> |

- Contents of `.o` and executables are not in standard text as they are machine codes
- Can be read with `readelf`
- `$ readelf -a addTwoNums.o` (to view the object/assembled file)

Program Execution

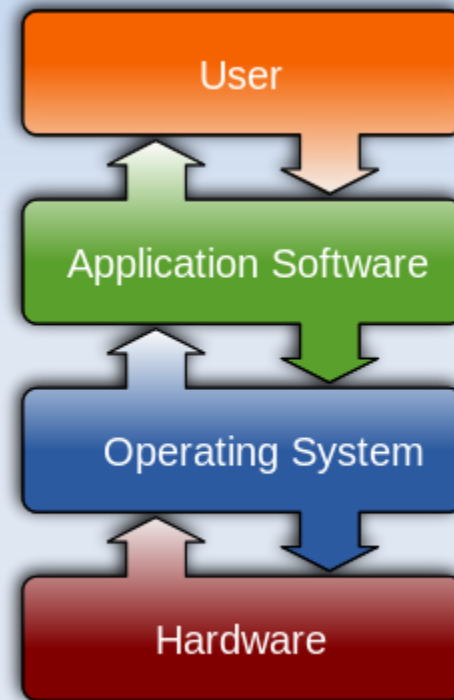
Executes on the intended microprocessor

- Illustrate
- Machine code is executed

Program and types

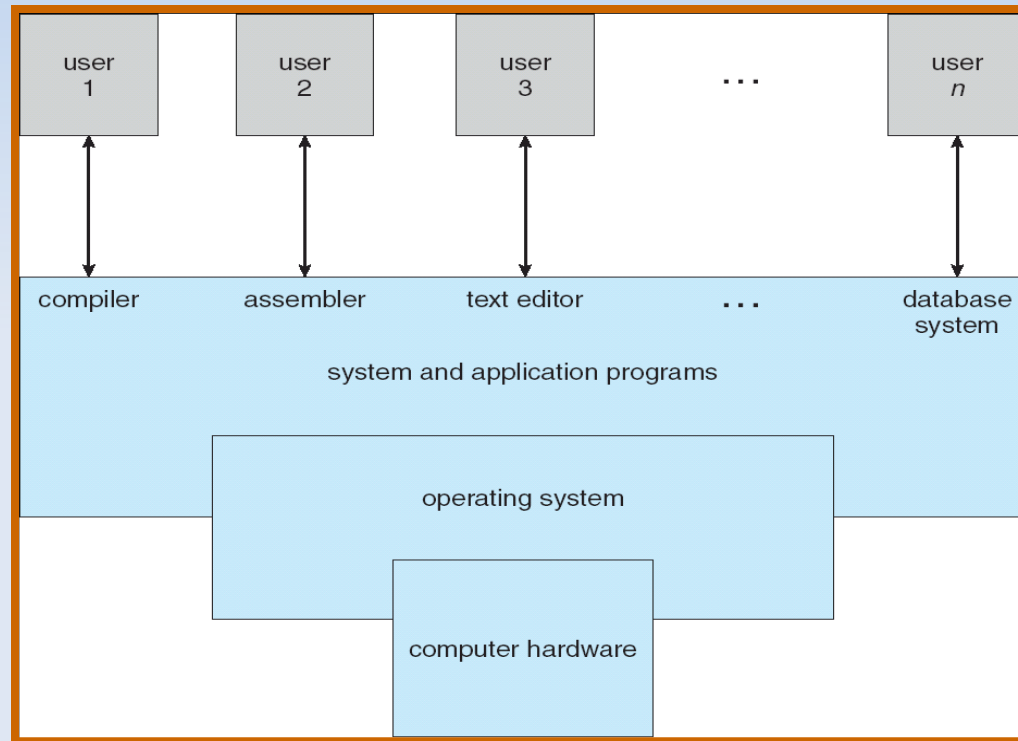
- Software types: (based on functionality)
 - System Software and Application Software (+3rd type)
- Application Software (serves the user)
 - Uses/makes the computer system to perform special functions
 - Target platform: Either HW or SW
 - Ex: Image editors, Browsers, Doc editors, etc.
- System software (facilitates the usage of H/W)
 - directly operates the computer hardware, to provide basic functionality needed by other appli. software
 - Provide a platform for running Appli. Software
 - Ex: OS, DD, System Maintenance utilities, etc.

What is an Operating System?



OS is SW managing computer hardware to provide computer programs with necessary execution environment.

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Why Operating Systems?

- Single user case (Ex. desktop/PDA)?
 - hardware abstraction
 - Helps to write code/applications that is NOT hardware specific!
 - reusable part implemented in OS
 - While application does the essential
 - - Need to run several applications concurrently
- If there are several users/applications sharing the computer- (Ex: Mainframes, servers, etc.)
 - something has to manage:
 - who should get which resources? when?
 - what is each user/application allowed to do?

What Operating Systems Do?

Several perspectives -

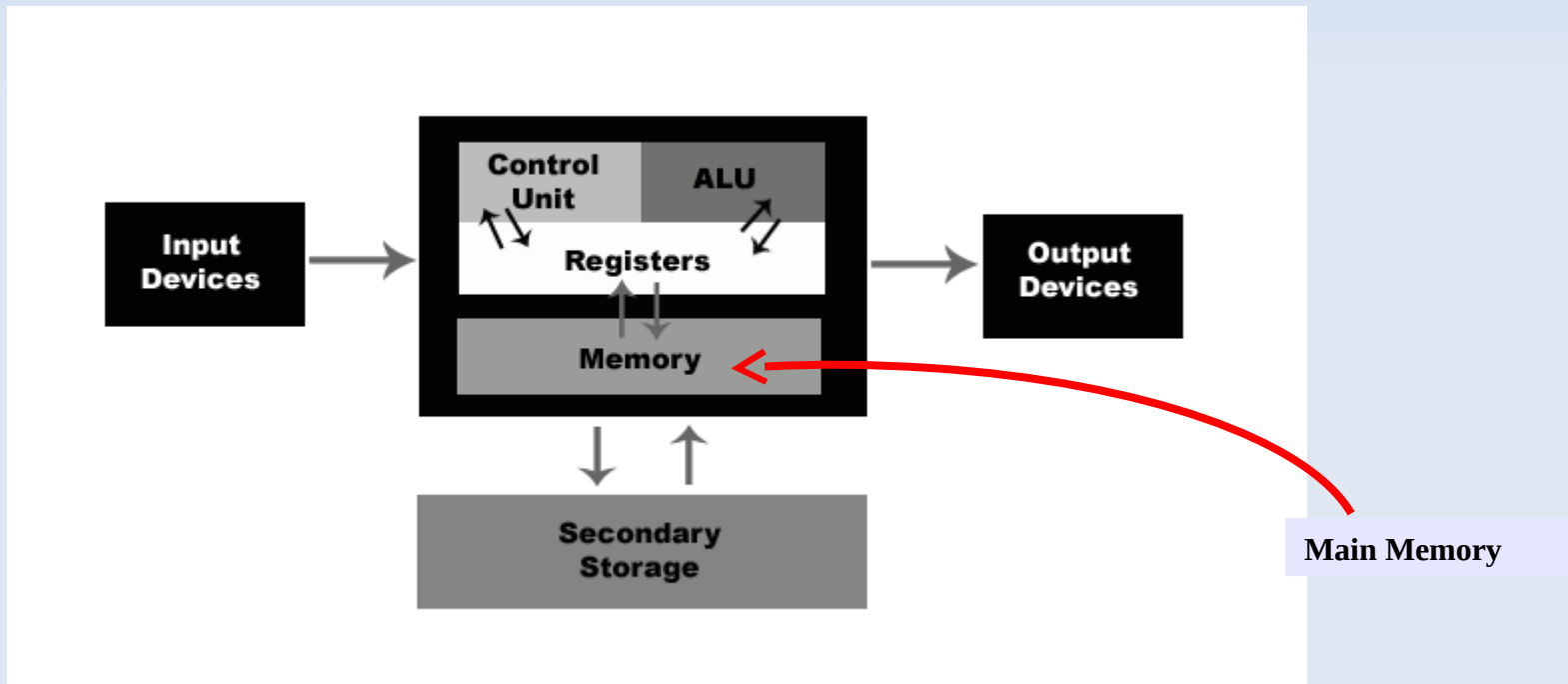
- OS is program most involved with the hardware
 - hardware abstraction
- OS is a resource allocator
 - Manages all resources
 - Decides between conflicting requests for efficient and fair resource use
- OS is a control program
 - Controls execution of programs to prevent errors and improper use of the computer

Operating System

- The low-level software on a computer which provides user-programs (i.e. applications) with necessary execution environment by:
 - (a) defining a framework for program execution and
 - (b) defining a set of
 - a default interface to the user when no application program is running and
- OS: kernel together with
 - set of system programs which use facilities provided by the kernel to perform higher-level functionalities (house-keeping) tasks
- KERNEL: is the core (irreducible core from which entire OS func. Gets delivered)

Computer System Organization (Abstract view)

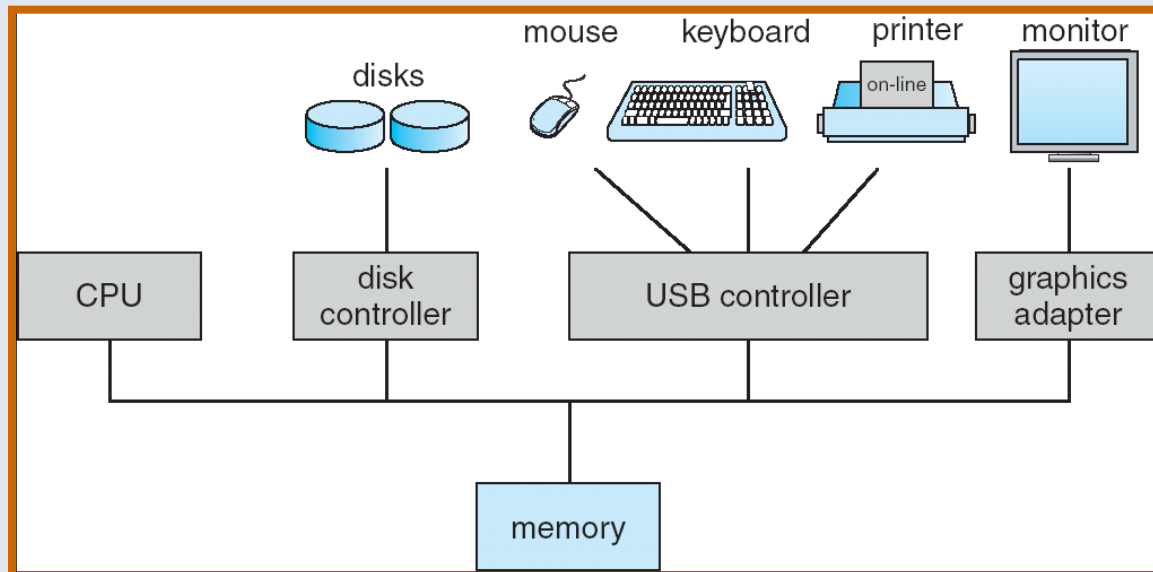
**Typically, a common
bus for all!**



**Requires: Dev. Controller HW for delegation →
Dev. Driver (SW) is required**

Computer System Organization (refined)

- One or more CPUs, device controllers connected through common bus providing access to shared memory
- Concurrent execution (CPUs and devices) competing for memory access (cycles)



Storage Structure

Primary storage

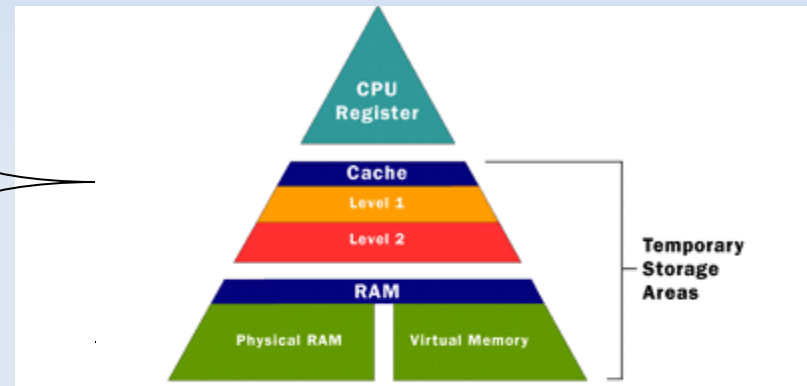
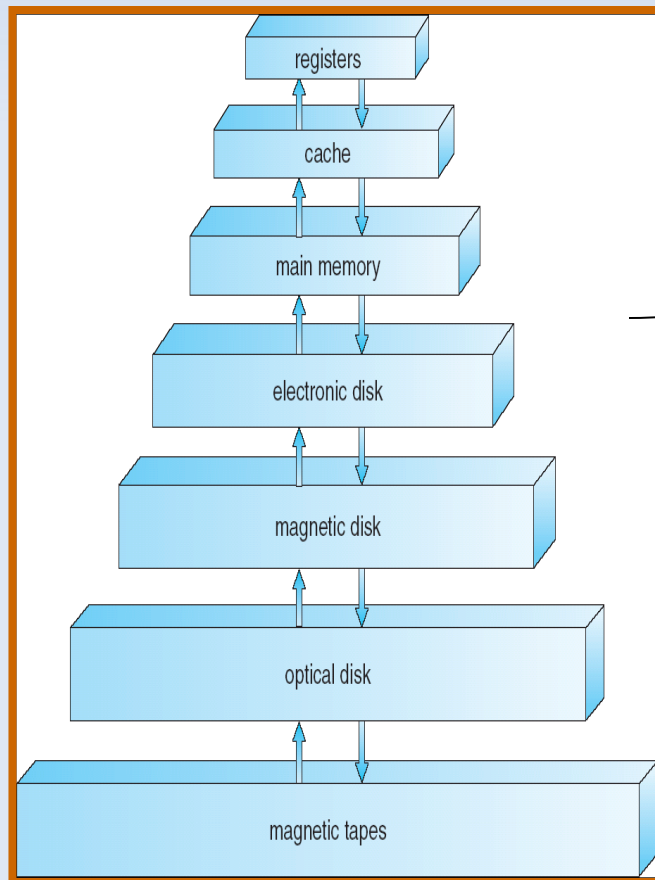
- Main memory, only mem. directly accessible by CPU
 - Program must be in main memory in order to be executed
 - Main memory usually not large enough to hold all programs and data (paging)
 - Main memory is *volatile*

Secondary storage:

- large quantities of data, permanently

In general, we have a hierarchy of storage devices varying by speed, cost, size and volatility

Storage-Device Hierarchy



Computer-System Architecture

- Single-processor system
 - From PDAs to mainframes
 - Almost all have special-purpose processors for graphics, I/O
 - Not considered multiprocessor
- Multi-processor systems
 - Increase throughput
 - Economy of scale
 - Increased reliability
 - Asymmetric multiprocessing
 - Each processor assigned a specific task (master-slave)
 - Symmetric multiprocessing (SMP) most common
 - All processors perform tasks within the OS
- Clusters, distributed systems
- 18 ■ Multiple cores, blade servers

User View of a Computer: Kinds of Operating Systems

- PCs: This is my box, only I am using it (user after login)
 - i.e. desktop system with one user monopolizing its resources
 - OS maximizes the work (or PLAY) user is performing
 - OS designed mostly for ease of use, not for resource utilization
 - Handheld systems – usability + low hardware demands
- MF/MC: This is The Big Holy Computer, I am blessed to get some CPU time
 - i.e. mainframe or minicomputer (?)
 - OS is designed to maximize resource use (CPU, memory, I/O)
- Networked clusters: Communism in practice - Let's share our computers
 - i.e. workstations connected to networks of servers
 - Dedicated and shared resources
 - OS compromises between individual usability and resource utilization
- Embedded systems: What? There is a computer inside?!
 - Safety critical: RTOS real-time guarantee

Operating System Services

Services provided to user programs:

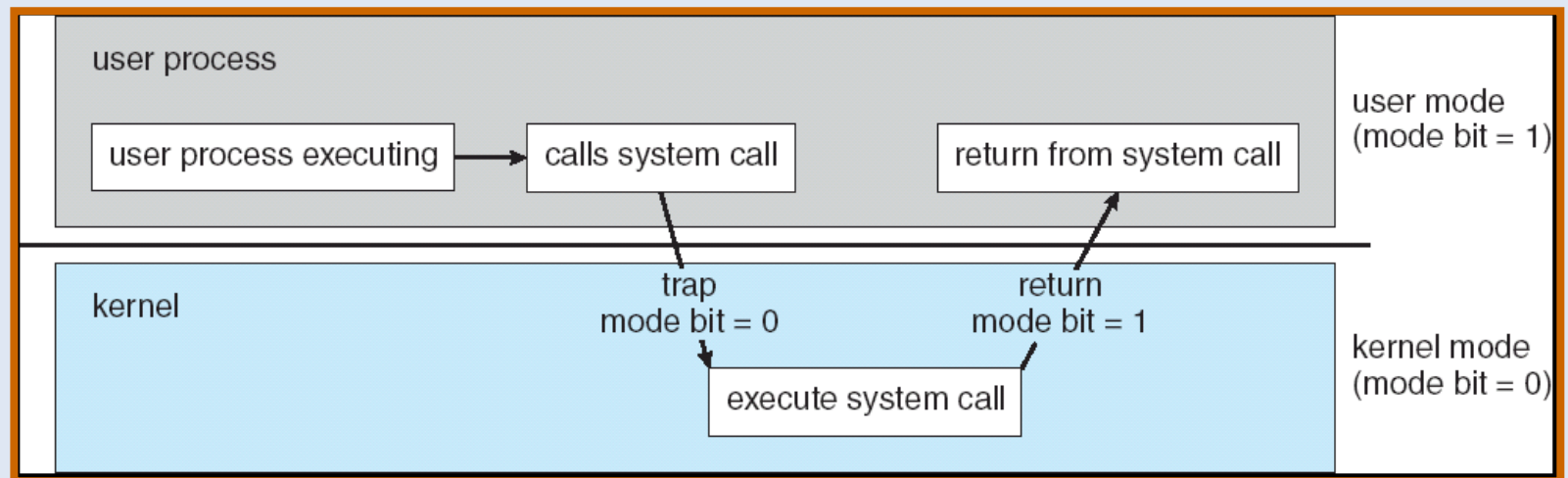
- I/O operations
 - User program cannot directly access I/O hardware, OS does the low level part for them
- Communications
 - Both inter-process on the same computer, and between computers over a network
 - via shared memory or through message passing
- Error detection
 - Errors do occur: in the CPU and memory hardware, in I/O devices, in user programs
 - OS should handle them appropriately to ensure correct and consistent computing
 - Low level debugging tools really help

Operating-System Operations

- OS is interrupt driven
- Interrupts raised by hardware and software
 - Mouse click, division by zero, request for operating system service
 - Timer interrupt (i.e. process in an infinite loop), memory access violation (processes trying to modify each other or the operating system)
- Some operations should be performed only by a trusted party
 - Accessing hardware, memory-management registers
 - A rogue user program could damage other programs, steal the system for itself, ...
 - Solution: dual-mode operation

Transition from User to Kernel Mode

- Dual-mode operation allows OS to protect itself and other system components
 - User mode and kernel mode
 - Mode bit provided by hardware
 - Provides ability to distinguish when system is running user code or kernel code
 - Some instructions designated as privileged, only executable in kernel mode
 - System call changes mode to kernel, return from call resets it to user



Processes

- Introduced to obtain a systematic way of monitoring and controlling program execution
- A process is an executable program with:
 - associated data (variables, buffers...)
 - **execution context**: i.e. all the information that (the CPU needs to execute the process + content of the processor registers)
- the OS manages:
 - Creation and deletion of user and system processes
 - Suspending and resuming processes
 - Process synchronization
 - Process communication
 - Deadlocks (priorities)

Memory Management

- OS keeps track of which part of memory is currently being used
- Deciding which process to move in or out of the memory
- Allocating and deallocating memory

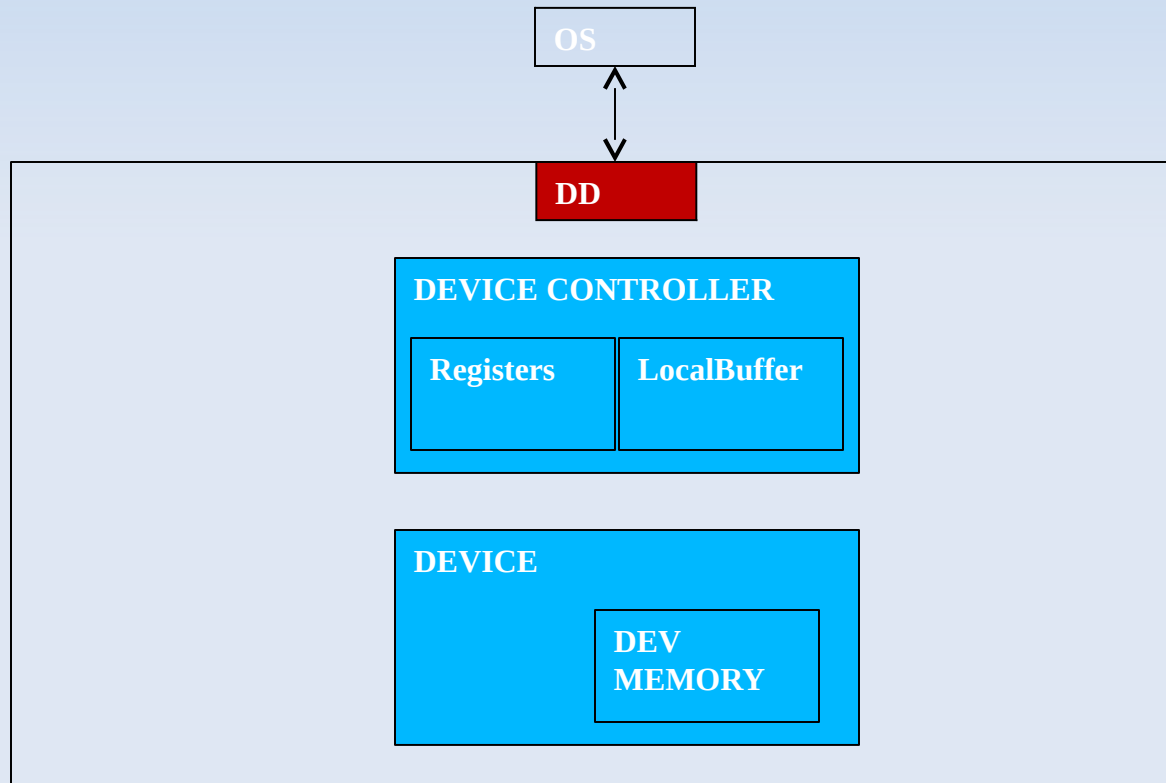
Storage Management

- Creating and deleting files/directories
- File/directory organization
- Mapping files into secondary storage
- Making back-ups

I/O Structure

- Controller has registers (+LocalBuffer) for accepting commands and transferring data (i.e. data-in, data-out, control, status)
- Device driver for that device talks to the controller
 - The driver is the one who knows details of controller
 - Provides uniform interface to device from the kernel/OS
- I/O operation
 - Device driver loads controller registers appropriately
 - Controller examines registers, executes I/O

I/O Illustrated



I/O Illustrated

■ I/O Operation is:

```
{  
    DD: Load DC_Register /* d_i, d_o, status, control*/  
    DC: Check Registers  
        {  
            if (d_i) transfer DM -> LB;  
            if (d_o) transfer LB -> DM;  
            ...  
            ...  
        }  
    DC_INTERRUPT;  
    return(); /*or return(MEM_ADD)*/  
}
```

I/O Structure

- How does the driver know when the I/O completes?
 - Check the *status* register
 - Called direct I/O
 - Low overhead if I/O is fast
 - If I/O is slow, lots of *busy waiting*
- Any idea how to deal with slow I/O?
 - Do something else and let the controller signal device driver (raising and interrupt) that I/O has completed
 - Called interrupt-driver I/O
 - More overhead, but gets rid of busy waiting