# MA5114: Riemannian Geometry

## Dr. Arjun Paul

Assistant Professor
Department of Mathematics and Statistics
Indian Institute of Science Education and Research Kolkata,
Mohanpur - 741 246, Nadia,
West Bengal, India.
Email: arjun.paul@iiserkol.ac.in.

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# **List of Symbols**

Ø	Empty set
$\mathbb{Z}$	The set of all integers
$\mathbb{Z}_{>0}$	The set of all non-negative integers
$\mathbb{N}^{-}$	The set of all natural numbers (i.e., positive integers)
Q	The set of all rational numbers
$\mathbb{R}$	The set of all real numbers
$\mathbb{C}$	The set of all complex numbers
<	Less than
$\leq$	Less than or equal to
>	Greater than
$\geq$	Greater than or equal to
$\mathbb{C}$ $<$ $<$ $<$ $<$ $<$ $<$ $<$ $\subseteq$ $\subseteq$ $\exists$ $\exists$ $\forall$ $\in$ $\notin$ $\Sigma$ $\Pi$	Proper subset
$\subseteq$	Subset or equal to
$\subsetneq$	Subset but not equal to (c.f. proper subset)
3	There exists
∄	Does not exists
$\forall$	For all
$\in$	Belongs to
∉	Does not belong to
$\sum$	Sum
	Product
±	Plus and/or minus
$\infty_{\underline{}}$	Infinity
$\sqrt{a}$	Square root of <i>a</i>
U	Union
	Disjoint union
<u> </u>	Intersection
$A \rightarrow B$	A mapping into $B$
$a \mapsto b$	a maps to b
$\hookrightarrow$	Inclusion map
$A \setminus B$	A setminus B
$\cong$	Isomorphic to
$A := \dots$	A is defined to be
	End of a proof

Symbol	Name	Symbol	Name
α	alpha	β	beta
$\gamma$	gamma	δ	delta
$\pi$	pi	φ	phi
φ	var-phi	ψ	psi
$\epsilon$	epsilon	ε	var-epsilon
ζ	zeta	η	eta
$\theta$	theta	ι	iota
κ	kappa	λ	lambda
μ	mu	ν	nu
v	upsilon	ρ	rho
Q	var-rho	$ ho \ \xi$	xi
$\sigma$	sigma	τ	tau
χ	chi	ω	omega
Ω	Capital omega	Γ	Capital gamma
Θ	Capital theta	Δ	Capital delta
Λ	Capital lambda	Ξ	Capital xi
Σ	Capital sigma	П	Capital pi
Φ	Capital phi	Ψ	Capital psi

Some of the useful Greek letters

## Chapter 1

# Riemannian Geometry

### MA5114 Syllabus

Metric: Definition of Riemannian metric and Riemannian manifolds.

Connections: Definition, Levi-Civita connection, covariant derivatives, parallel transport.

**Geodesics:** The concepts of geodesics, geodesics in the upper half plane, first variational formula, local existence and uniqueness of geodesics, the exponential map, Hopf-Rinow theorem.

**Curvature:** Curvature tensor and fundamental form, computation of curvature with examples, Ricci, sectional and scalar curvature.

#### **References:**

- 1. Loring W. Tu, *Differential geometry: Connections, curvature, and characteristic classes*, Graduate Texts in Mathematics, 275. Springer, Cham, 2017. xvi+346 pp.
- 2. John M. Lee, Introduction to Riemannian Manifolds, *Graduate Texts in Mathematics*, Springer Cham. doi:10.1007/978-3-319-91755-9.

## 1.1 Review of Manifold Theory

#### 1.1.1 Real manifold

A topological space X is said to be *locally Euclidean* if every point  $x \in X$  has an open neighbourhood  $U_x$  such that there is a homeomorphism  $\varphi_{U_x}$  from  $U_x$  onto an open subset of  $\mathbb{R}^{n_x}$ , for some  $n_x \in \mathbb{N}$ . The pair  $(U_x, \varphi_{U_x})$  is called a coordinate chart of X at x. If  $\varphi_{U_x}(x) = 0$ , we say that  $(U_x, \varphi_{U_x})$  is a coordinate chart on X centered at x. If  $n_x = n$ , for all  $x \in X$ , then X is said to have *dimension* n. A *topological manifold* (of dimension n) is a Hausdorff second countable locally Euclidean space (of dimension n).

Let M be a  $C^{\infty}$  manifold over  $\mathbb{R}$ .

#### 1.1.2 Complex manifold

#### 1.2 Riemannian Manifold

Let V be a vector space over  $\mathbb{R}$ . Recall that an *inner product* on V is a  $\mathbb{R}$ -bilinear map

$$\langle \cdot, \cdot \rangle : V \times V \to \mathbb{R}$$

which is

- (i) symmetric, i.e.,  $\langle v, w \rangle = \langle w, v \rangle$ , for all  $v, w \in V$ , and
- (ii) positive definite, i.e.,  $\langle v, v \rangle \geq 0$ , for all  $v \in V$ , with equality holds if and only if v = 0.

For example, the Euclidean inner product on the  $\mathbb{R}$ -vector space  $\mathbb{R}^n$  is given by the formula (dot product)

$$\langle (x_1,\ldots,x_n),(y_1,\ldots,y_n)\rangle := \sum_{j=1}^n x_j y_j,$$

for all  $(x_1, ..., x_n)$ ,  $(y_1, ..., y_n) \in \mathbb{R}^n$ . We generally use this to the *length* of a vector  $v \in \mathbb{R}^n$  to be the real number

$$||v|| := \sqrt{\langle v, v \rangle},$$

and the angle between two non-zero vectors u and v in  $\mathbb{R}^n$  to be the real number  $\theta \in [0, \pi] \subset \mathbb{R}$  such that

$$\cos \theta = \frac{\langle u, v \rangle}{\|u\| \|v\|}.$$

The *arc length* of a parametrized curve  $\gamma : [a, b] \to \mathbb{R}^n$  is defined to be the real number

$$s:=\int_a^b \|\gamma'(t)\|dt,$$

where  $\gamma'(t)$  is the derivative of  $\gamma$  with respect to t.

**Definition 1.2.1.** A *Riemannian metric* on M is a  $C^{\infty}$  section h of the vector bundle  $TX \otimes_{\mathbb{C}} \overline{TX}$  such that for each  $x \in M$  we have  $h_x(\xi_x \otimes \overline{\xi}_x) > 0$ , for all  $C^{\infty}$  vector field  $\xi$  defined on an open neighbourhood of x.

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#### 1.3 Vector bundles

#### 1.3.1 Real and complex manifolds

#### 1.4 Vector bundles

#### 1.4.1 Tangent space

Let M be a  $C^{\infty}$  manifold. Let  $\tau_M$  be the set of all open subsets of M. For a non-empty open subset U of M, we denote by  $C^{\infty}_M(U)$  the set of all real valued  $C^{\infty}$  functions  $U \to \mathbb{R}$  on U. Note that,  $C^{\infty}_M(U)$  is an  $\mathbb{R}$ -algebra with respect to the point-wise addition and multiplication of real valued functions on U. For  $U = \emptyset$ , we set  $C^{\infty}_M(\emptyset) = 0$ , the zero  $\mathbb{R}$ -algebra. Given two open subsets  $U, V \subseteq M$ , the restriction of functions defines an  $\mathbb{R}$ -algebra homomorphism

$$res_{U,V}: C_M^{\infty}(U) \to C_M^{\infty}(V), f \mapsto f|_V.$$

that satisfies the following properties:

- (i)  $res_{U,U} = Id_{C_{M_n}^{\infty}(U)}$ , for all open subset U of M.
- (ii)  $res_{V,W} \circ res_{U,V} = res_{U,W}$ , for all open subsets U, V, W of M with  $W \subseteq V \subseteq U$ .
- (iii) Let U be an open subset of M and let  $\{V_i : i \in I\}$  be an open cover of U. If  $f \in C^{\infty}_{M,p}(U)$  satisfies  $res_{U,V_i}(f) = 0$ , for all  $i \in I$ , then f = 0.
- (iv) If for each  $i \in I$  we are given  $f_i \in C^{\infty}_{M,p}(V_i)$  such that  $f_i\big|_{V_i \cap V_j} = f_j\big|_{V_i \cap V_j'}$  for all  $i, j \in I$ , then there exists a (unique)  $f \in C^{\infty}_M(U)$  such that  $f\big|_{V_i} = f_i$ , for all  $i \in I$ .

In other words,

$$C_M^{\infty}: \tau_M \to Alg_{\mathbb{R}}$$

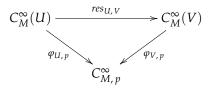
is a sheaf of  $\mathbb{R}$ -algebras on M.

Consider the set of all pairs (U,f), where U is an open neighbourhood of p in M and  $f:U\to\mathbb{R}$  is a  $C^\infty$  function. Given any two such pairs (U,f) and (V,g), we define  $(U,f)\sim (V,g)$ , if there exists an open neighbourhood W of p in M such that  $W\subseteq U\cap V$  and  $f|_W=g|_W$ . Note that  $\sim$  is an equivalence relation on the set of all such pairs (U,f). The  $\sim$ -equivalence class of (U,f) is denote by  $\langle (U,f) \rangle$ , and is called the *germ of* f at p. Let  $C^\infty_{M,p}$  be the set of all germs of  $C^\infty$  functions defined on some open neighbourhood of p in M. Note that  $C^\infty_{M,p}$  is an  $\mathbb{R}$ -algebra with respect to the point-wise addition and multiplication of  $\mathbb{R}$ -valued functions. Moreover, for each open neighbourhood U of p in M, we have a natural  $\mathbb{R}$ -algebra homomorphism

$$\varphi_{U,p}: C_M^{\infty}(U) \longrightarrow C_{M,p}^{\infty}$$

given by sending  $f \in C_M^{\infty}(U)$  to its equivalence class  $\langle (U, f) \rangle \in C_{M, p}^{\infty}$ . Then for given open neighbourhoods U, V of p in M with  $V \subseteq U$ , we have the following commutative diagram of

R-algebra homomorphisms



Let  $\tau_{M,p}$  be the set of all open neighbourhoods of p in M. Given  $U, V \in \tau_{M,p}$ , we write  $U \leq V$  if  $V \subseteq U$ . Given any  $\mathbb{R}$ -algebra A and family of  $\mathbb{R}$ -algebra homomorphisms  $\{\psi_{U,p} : C_M^\infty(U) \to A \mid U \in \tau_{M,p}\}$  satisfying the condition

$$\psi_{V,p} \circ res_{U,V} = \psi_{U,p}, \tag{1.4.1}$$

for each pair of open neighbourhoods  $V \subseteq U$  of p in M, the map

$$\psi: C^{\infty}_{M, p} \to A$$
,

which sends  $\langle (U,f) \rangle \in C^{\infty}_{M,p}$  to  $\psi_{U,p}(f) \in A$ , is a well-defined (c.f (1.4.1))  $\mathbb{R}$ -algebra homomorphism satisfying

$$\psi \circ \varphi_{U,p} = \psi_{U,p}, \ \forall \ U \in \tau_{M,p}.$$

In other words,

$$C_{M,p}^{\infty} = \underset{U \in \tau_{M,p}}{\varinjlim} C_{M}^{\infty}(U),$$

the direct limit of the directed system of  $\mathbb{R}$ -algebras  $\Big(\{C_M^\infty(U)\}_{U\in\tau_{M,p}}, \{\mathit{res}_{U,V}\}_{V\subseteq U\in\tau_{M,p}}\Big)$ .

For notational simplicity, sometimes we express the germ of (U, f) by its representing  $C^{\infty}$  function f only. Evaluation of functions at p gives a surjective  $\mathbb{R}$ -algebra homomorphism

$$ev_p: C^{\infty}_{M,p} \to \mathbb{R}, \ f \mapsto f(p),$$

with kernel

$$\mathfrak{m}_p := \{ f \in C^{\infty}_{M,p} : f(p) = 0 \}.$$

Note that  $\mathfrak{m}_p$  is a maximal ideal of  $C_{M,p}^\infty$  because  $\mathbb{R}$  is a field. Since any element  $f \in C_{M,p}^\infty \setminus \mathfrak{m}_p$  satisfies  $f(p) \neq 0$ , we can find a small enough open neighbourhood, say V, of p in M such that  $f\big|_V$  takes non-zero values on V. Therefore, f is a unit in  $C_{M,p}^\infty$ . This shows that,  $\mathfrak{m}_p$  is the unique maximal ideal of  $C_{M,p}^\infty$ . Thus,  $(C_{M,p}^\infty,\mathfrak{m}_p,\mathbb{R})$  is a local  $\mathbb{R}$ -algebra with the maximal ideal  $\mathfrak{m}_p$  and the residue field  $\mathbb{R}$ .

**Definition 1.4.2.** A  $\mathbb{R}$ -derivation at a point  $p \in M$  is a  $\mathbb{R}$ -linear map  $D : C_{M, p}^{\infty} \to \mathbb{R}$  that satisfies the *Leibniz rule*:

$$D(f \cdot g) = (Df)g(p) + f(p)Dg,$$

for all  $f,g \in C^{\infty}_{M,p}$ . A *tangent vector* on M at  $p \in M$  is a derivation on M at p. The set of all tangent vectors on M at p is denoted by  $T_pM$ .

**Exercise 1.4.3.** Let  $D: C_{M,v}^{\infty} \to \mathbb{R}$  be a  $\mathbb{R}$ -derivation. Think of a real number  $c \in \mathbb{R}$  as an

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element of  $C_{M,p}^{\infty}$  by considering the germ at p of the constant  $C^{\infty}$  function  $c:M\to\mathbb{R}$  that sends all points of M to the real number c. Show that D(c)=0.

Moreover, for any  $f \in C^{\infty}_{M, p}$ , we have  $ev_p(f - f(p)) = 0$  so that  $f - f(p) \in \mathfrak{m}_p$ . Since the composite map

$$\mathbb{R} \stackrel{\alpha \mapsto c_{\alpha}}{\longrightarrow} C_{M,p}^{\infty} \stackrel{f \mapsto f(p)}{\longrightarrow} \mathbb{R}$$

is the identity map on  $\mathbb{R}$ , it follows that the natural map

$$C_{M,p}^{\infty} \longrightarrow \mathbb{R} \oplus \mathfrak{m}_p, \ f \longmapsto (f(p), f - f(p)),$$

is an isomorphism of R-vector spaces.

The restriction of D on  $\mathfrak{m}_p \subset C^{\infty}_{M,p}$  is a  $\mathbb{R}$ -linear map, also denoted by the same symbol,

$$D:\mathfrak{m}_p\to\mathbb{R}.$$

If  $f, g \in \mathfrak{m}_p$ , then by Leibniz rule we see that

$$D(fg) = D(f)g(p) + f(p)D(g) = 0.$$

Therefore,  $D(\mathfrak{m}_p^2) = \{0\}$ , and so D gives rise to a  $\mathbb{R}$ -linear map

$$v_D:\mathfrak{m}_p/\mathfrak{m}_p^2\to\mathbb{R}.$$

Thus we obtain a map

$$v: T_pM \to \operatorname{Hom}_k(\mathfrak{m}_p/\mathfrak{m}_p^2, \mathbb{R}), \ D \mapsto v_D,$$

which is clearly  $\mathbb{R}$ -linear and injective. To show that v is surjective, note that for given an  $\mathbb{R}$ -linear map  $\varphi: \mathfrak{m}_p/\mathfrak{m}_p^2 \to \mathbb{R}$ , the composite map

$$D_{\varphi}: C_{M,p}^{\infty} \stackrel{f \mapsto f(p)}{\longrightarrow} \mathfrak{m}_{p}/\mathfrak{m}_{p}^{2} \stackrel{\varphi}{\longrightarrow} \mathbb{R}$$

is an  $\mathbb{R}$ -linear derivation satisfying  $v_{D_{\varphi}}=\varphi$ . Thus we get an isomorphism of  $\mathbb{R}$ -vector spaces

$$T_pM \longrightarrow \operatorname{Hom}_k(\mathfrak{m}_p/\mathfrak{m}_p^2, \mathbb{R}),$$

which gives a purely algebraic description of the tangent space of M at p. Note that, looking at the Taylor series expansion of  $f \in C^{\infty}_{M, p}$  about p, the above isomorphism says that  $T_pM$  is the linear (first order) approximation of M at p.

Let  $\mathbb{R}[\epsilon] := \mathbb{R}[t]/(t^2)$  be the  $\mathbb{R}$ -algebra of *dual numbers*. Note that  $\mathbb{R}[\epsilon] = \{a + b\epsilon : a, b \in \mathbb{R} \text{ and } \epsilon^2 = 0\}$ . Clearly,  $\mathbb{R}[\epsilon]$  is a local  $\mathbb{R}$ -algebra with the maximal ideal

$$\mathfrak{m} = \{b\epsilon : b \in \mathbb{R}\} \subset \mathbb{R}[\epsilon].$$

**Definition 1.4.4.** Let k be a field, and let  $(A, \mathfrak{m}_A)$  and  $(B, \mathfrak{m}_B)$  be local k-algebras. A k-algebra homomorphism  $\varphi : A \to B$  is said to be a *local k-algebra homomorphism* if  $\varphi(\mathfrak{m}_A) \subseteq \mathfrak{m}_B$ .

**Exercise 1.4.5.** Given local k-algebras  $(A, \mathfrak{m}_A)$  and  $(B, \mathfrak{m}_B)$ , let  $\operatorname{Hom}_{\mathbf{Alg}_k^{\operatorname{loc}}}(A, B)$  be the set of all local k-algebra homomorphisms from  $(A, \mathfrak{m}_A)$  into  $(B, \mathfrak{m}_B)$ . Show that  $\operatorname{Hom}_{\mathbf{Alg}_k^{\operatorname{loc}}}(A, B)$  is a k-vector space. We denote by  $\mathbf{Alg}_k^{\operatorname{loc}}$  the category whose objects are local k-algebras and morphisms are local k-algebra homomorphisms.

Let  $\alpha: C^{\infty}_{M,p} \to \mathbb{R}[\epsilon]$  be a *local*  $\mathbb{R}$ -algebra homomorphism. For given an  $f \in C^{\infty}_{M,p}$ , there exist unique  $f_0, D_{\alpha}(f) \in \mathbb{R}$  such that

$$\alpha(f) = f_0 + D_{\alpha}(f)\epsilon$$
.

Given  $f \in C_{M,p}^{\infty}$ , note that  $g := f - f(p) \in \mathfrak{m}_p$ . Since  $\alpha(\mathfrak{m}_p) \subseteq \mathfrak{m}$ , we have  $g_0 = 0$ . Since  $\alpha$  is an  $\mathbb{R}$ -algebra homomorphism we have

$$D_{\alpha}(f - f(p))\epsilon = \alpha(g) = \alpha(f) - \alpha(f(p)) = [f_0 - f(p)] + D_{\alpha}(f)\epsilon$$
,

From this we conclude that  $f_0 = f(p)$ . Moreover, for given  $f, g \in C_{M,p}^{\infty}$  we have

$$\alpha(fg) = (fg)_0 + D_{\alpha}(fg)\epsilon,$$
 and 
$$\alpha(f)\alpha(g) = (f_0 + D_{\alpha}(f)\epsilon) (g_0 + D_{\alpha}(g)\epsilon) = f_0g_0 + (D_{\alpha}(f)g_0 + f_0D_{\alpha}(g))\epsilon.$$

Comparing the above two expression, we see that  $D_{\alpha}$  satisfies the Leibniz rule:

$$D_{\alpha}(fg) = D_{\alpha}(f)g(p) + f(p)D_{\alpha}(g). \tag{1.4.6}$$

Thus  $\alpha \mapsto D_{\alpha}$  defines a map

$$D: \operatorname{Hom}_{\operatorname{Alg}_{\mathfrak{p}}^{\operatorname{loc}}}(C_{M,p}^{\infty}, \mathbb{R}[\epsilon]) \longrightarrow T_{p}M := \operatorname{Der}_{\mathbb{R}}(C_{M,p}^{\infty}, \mathbb{R}), \tag{1.4.7}$$

where  $\operatorname{Alg}^{\operatorname{loc}}_{\mathbb{R}}$  is the category whose objects are local  $\mathbb{R}$ -algebras and morphisms are local homomorphisms of local  $\mathbb{R}$ -algebras. Given  $\alpha, \beta \in \operatorname{Hom}_{\operatorname{Alg}^{\operatorname{loc}}_{\mathbb{R}}}(C^{\infty}_{M,\,p},\mathbb{R}[\epsilon])$  and real numbers  $c,d\in\mathbb{R}$ , we have

$$D_{c\alpha+d\beta}(f) = cD_{\alpha}(f) + dD_{\beta}(f) = (cD_{\alpha} + dD_{\beta})(f), \ \forall \ f \in C_{M,p}^{\infty}.$$

$$(1.4.8)$$

Thus D is an  $\mathbb{R}$ -linear homomorphism. To show that D is surjective, for given an  $\mathbb{R}$ -linear derivation  $\xi: C^\infty_{M,\,p} \to \mathbb{R}$ , note that the map  $\widetilde{\xi}: C^\infty_{M,\,p} \to \mathbb{R}[\varepsilon]$  defined by

$$\widetilde{\xi}(f) = f(p) + \xi(f)\epsilon, \ \forall \ f \in C^{\infty}_{M,p},$$

is a local  $\mathbb{R}$ -algebra homomorphism such that  $D_{\widetilde{\xi}}=\xi$ . Thus, D is an  $\mathbb{R}$ -linear isomorphism.

#### 1.4.2 Tangent bundle

#### 1.4.3 Operations on vector bundles

#### 1.5 Connection and curvature

#### 1.5.1 Directional derivative in Euclidean space

Let  $f \in C^{\infty}_{\mathbb{R}^n}(U)$  be a  $C^{\infty}$  function defined on an open neighbourhood, say U, of p in  $\mathbb{R}^n$ . Fix a tangent vector (need not be of unit length), say

$$X_p = \sum_{i=1}^n a_i \frac{\partial}{\partial x_i} \Big|_p$$

at  $p = (p_1, ..., p_n)$  in  $\mathbb{R}^n$ . To compute the *directional derivative* of f at p in the direction  $X_p$ , we consider a straight-line passing through p in the direction  $X_p$  given parametrically by the map  $t \mapsto (x_1(t), x_2(t), x_3(t))$ , for  $t \in (-\epsilon, \epsilon) \subset \mathbb{R}$ , where

$$x_i(t) := p_i + ta_i, i \in \{1, ..., n\}.$$

Set  $a := (a_1, ..., a_n)$ . Then the directional derivative  $D_{X_p} f$  is given by

$$D_{X_p} f = \lim_{t \to 0} \frac{f(p+ta) - f(p)}{t} = \frac{d}{dt} \Big|_{t=0} f(p+ta)$$

$$= \sum_{i=1}^n \frac{\partial f}{\partial x_i} \Big|_p \cdot \frac{dx_i}{dt}$$

$$= \sum_{i=1}^n \frac{\partial f}{\partial x_i} \Big|_p \cdot a_i$$

$$= \left(\sum_{i=1}^n a_i \frac{\partial}{\partial x_i} \Big|_p\right) f$$

$$= X_p(f).$$

#### 1.5.2 Flat connection and monodromy

#### 1.6 Affine connection