Computer-Aided Reasoning for Software

# The DPLL(T) Framework

courses.cs.washington.edu/courses/cse507/14au/

#### **Emina Torlak**

emina@cs.washington.edu

#### **Last lecture**

• Deciding conjunctions of  $(T_1 \cup T_2)$ -constraints with Nelson-Oppen

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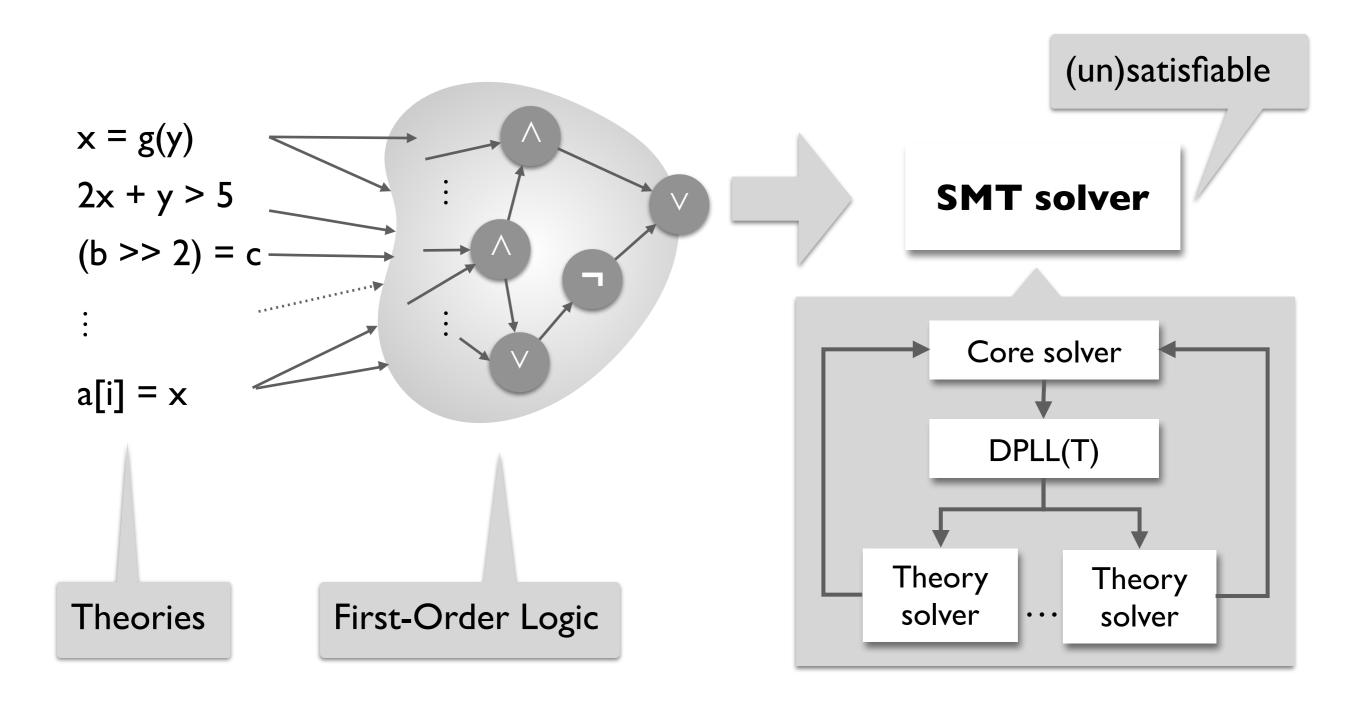
#### **Today**

Deciding arbitrary boolean combinations of theory constraints

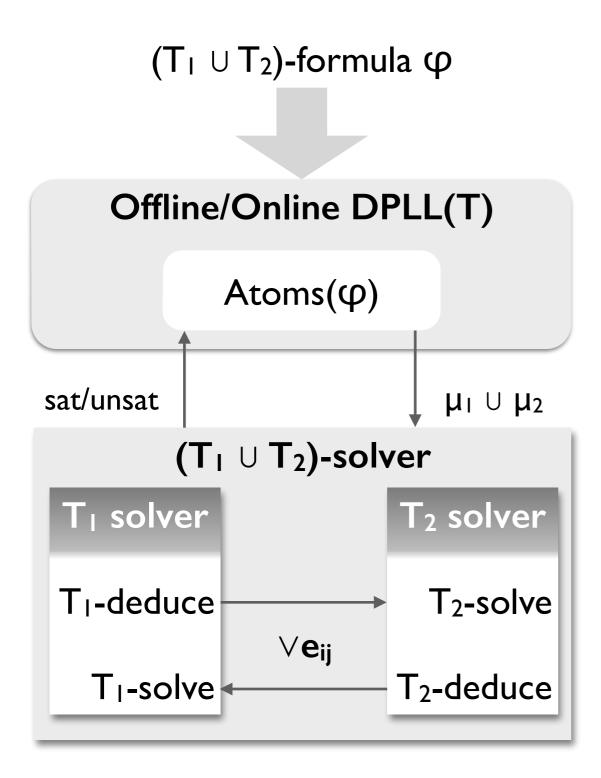
#### **Reminders**

- Project proposals due at I I pm tonight
  - Submit via the 507 Dropbox (one per team)
  - Follow the formatting guidelines

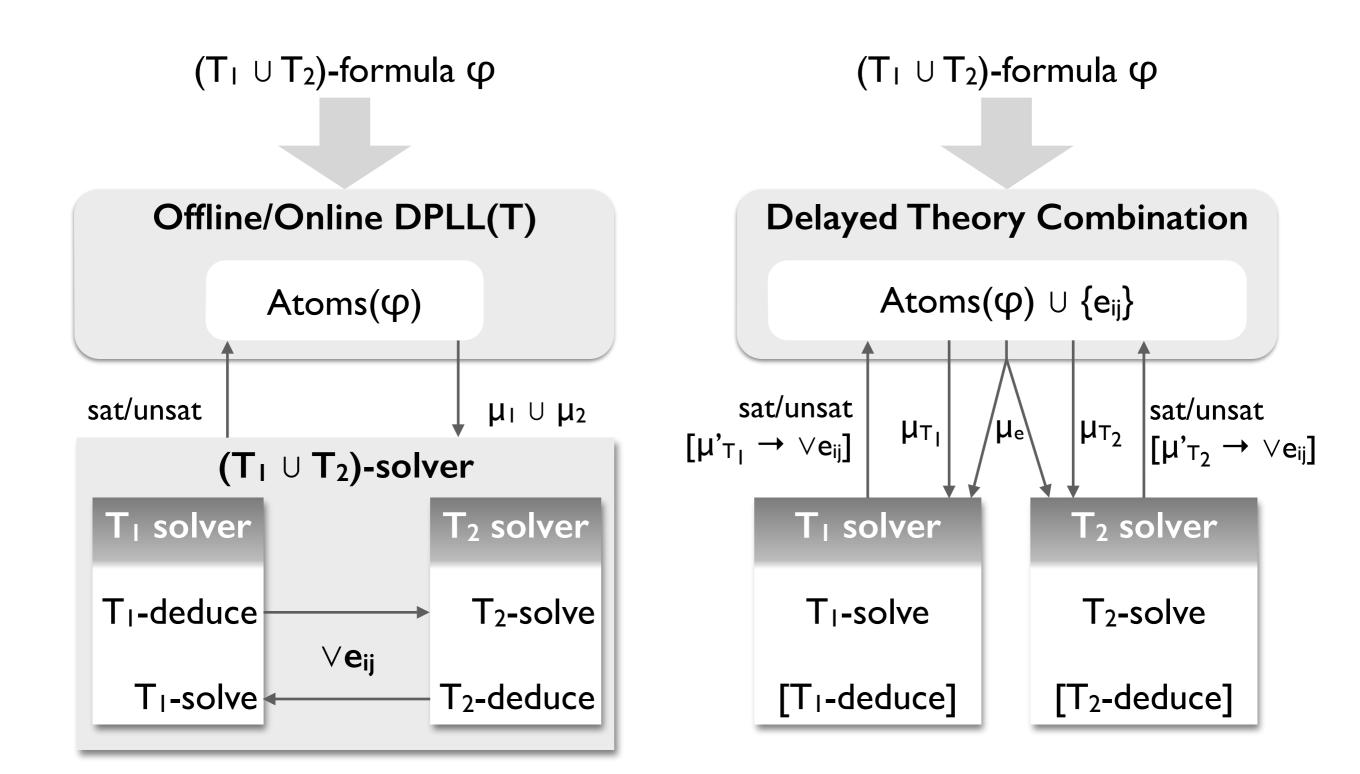
# Satisfiability Modulo Theories (SMT)



# The DPLL(T) Framework



### The DPLL(T) Framework



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Offline-DPLL<sub>T</sub>(T-formula \phi) \phi^p \leftarrow T2B(\phi) while (TRUE) do \mu^p, res \leftarrow CDCL(\phi^p) if res = UNSAT then return UNSAT else t, res \leftarrow T-solve(B2T(\mu^p)) if res = SAT then return SAT else \phi^p \leftarrow \phi^p \wedge T2B(t)
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Assume  $\phi$  is in CNF.

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T2B computes the boolean abstraction (aka boolean skeleton) of  $\phi$  by replacing every atom in  $\phi$  with a fresh boolean variable.

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T2B computes the boolean abstraction (aka boolean skeleton) of  $\phi$  by replacing every atom in  $\phi$  with a fresh boolean variable.

If μ doesn't propositionally satisfy φ, T-solve returns a theory conflict set, whose negation is a theory conflict clause t. This clause blocks the current propositional assignment.

#### **T2B(φ)**

- $T2B(a_i) = b_i$ , if  $a_i$  is a theory atom and  $b_i$  is a fresh boolean atom
- $T2B(b_j) = b_j$ , if  $b_j$  is a boolean atom
- T2B( $\phi_1 \wedge \phi_2$ ) = T2B( $\phi_1$ )  $\wedge$  T2B( $\phi_2$ )
- T2B( $\phi_1 \vee \phi_2$ ) = T2B( $\phi_1$ )  $\vee$  T2B( $\phi_2$ )
- T2B( $\neg \phi_1$ ) =  $\neg$ T2B( $\phi_1$ )

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$$\varphi$$
: (x = 1)  $\wedge$  ((x = 2)  $\vee$  (x = 3))

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T-solve can compute any clause t s.t.

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- 2. Atoms(t)  $\subseteq$  Atoms( $\varphi$ )
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$$\phi \leftarrow (x = 1) \land ((x = 2) \lor (x = 3))$$

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\begin{aligned} & \text{Offline-DPLL}_T(\text{T-formula }\phi) \\ & \phi^\text{p} \leftarrow \text{T2B}(\phi) \\ & \text{while } (\text{TRUE}) \text{ do} \\ & \mu^\text{p}, \text{res} \leftarrow \text{CDCL}(\phi^\text{p}) \\ & \text{if res} = \text{UNSAT then return UNSAT} \\ & \text{else} \\ & \text{t, res} \leftarrow \text{T-solve}(\text{B2T}(\mu^\text{p})) \\ & \text{if res} = \text{SAT then return SAT} \\ & \text{else } \phi^\text{p} \leftarrow \phi^\text{p} \wedge \text{T2B}(\text{t}) \end{aligned}
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- $\phi \leftarrow (x = 1) \land ((x = 2) \lor (x = 3))$
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    φ←(x = 1) ∧ ((x = 2) ∨ (x = 3))
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    φ<sup>p</sup> ← b<sub>1</sub> ∧ (b<sub>2</sub> ∨ b<sub>3</sub>) ∧ (¬b<sub>1</sub> ∨ ¬b<sub>2</sub> ∨ ¬b<sub>3</sub>)
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 $t = B2T(\neg \mu^p)$  is too weak; it blocks one assignment at a time.

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• 
$$\mu^p \leftarrow b_1 \wedge b_2 \wedge b_3$$

• 
$$\varphi^p \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2)$$

• 
$$\mu^p \leftarrow b_1 \wedge \neg b_2 \wedge b_3$$

• 
$$\phi^p \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2) \wedge (\neg b_1 \vee \neg b_3)$$

UNSAT

Better but still need a *full assignment* to the boolean abstraction in order to generate a conflict clause.

Online DPLL(T) address this issue.

### **Online DPLL(T)**

```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
 if T-Preprocess(\varphi, \mu) = CONFLICT then
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 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
 while (TRUE) do
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    res \leftarrow T-DEDUCE(\phi^p, \mu^p)
    if res = SAT then return SAT
    else if res = CONFLICT
      blevel \leftarrow T-ANALZECONFLICT(\phi^p, \mu^p)
      if (blevel < 0) then return UNSAT
      else T-BACKTRACK(blevel, \varphi^p, \mu^p)
    else break
```

## **Online DPLL(T)**

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Everything passed by reference.

All procedures have access to T2B and B2T.

### Online DPLL(T): T-PREPROCESS

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      else T-BACKTRACK(blevel, φ<sup>p</sup>, μ<sup>p</sup>)
    else break
```

Simplifies  $\phi$  and updates  $\mu$ , if needed, so that equisatisfiability is preserved.

Common simplifications:

- Drop dual operators
- Exploit associativity
- Sort arguments
- Exploit theory-specific properties

### Online DPLL(T): T-PREPROCESS

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#### Analogous to DECIDE in CDCL:

- Selects an unassigned  $I^p$  literal and adds it to  $\mu^p$ .
- May consider the semantics of literals in T.

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2. 
$$\neg A_2 \lor (x_1 - x_5 \le 1), \neg A_2 \lor b_2$$

3. 
$$(3x_1 - 2x_2 \le 3) \lor A_2, A_2 \lor b_3$$

4. 
$$\neg (2x_3 + x_4 \ge 5) \lor \neg (3x_1 - x_3 \le 6) \lor \neg A_1, \neg b_4 \lor \neg b_5 \lor \neg A_1$$

5. 
$$(3x_1 - 2x_2 \le 3) \lor A_1, A_1 \lor b_3$$

6. 
$$(x_2 - x_4 \le 6) \lor (x_5 = 5 - 3x_4) \lor \neg A_1,$$
  
 $b_6 \lor b_7 \lor \neg A_1$ 

7. 
$$(x_3 = 3x_5 + 4) \lor A_1 \lor A_2, b_8 \lor A_2 \lor A_1$$

#### $T_R$ -formula $\varphi$ and $\varphi^p$ :

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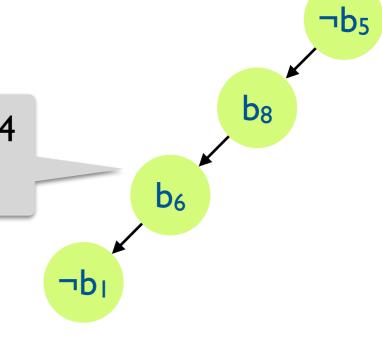
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T-DECIDE makes 4 decisions.



```
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 if T-PREPROCESS(\varphi, \mu) = CONFLICT then
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 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
 while (TRUE) do
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  while (TRUE) do
    res \leftarrow T-DEDUCE(\phi^p, \mu^p)
    if res = SAT then return SAT
    else if res = CONFLICT
      blevel \leftarrow T-ANALZECONFLICT(\phi^p, \mu^p)
      if (blevel < 0) then return UNSAT
      else T-BACKTRACK(blevel, \varphi^p, \mu^p)
    else break
```

- μ<sup>p</sup> propositionally violates φ<sup>p</sup>: returns CONFLICT.
- μ<sup>p</sup> propositionally satisfies φ<sup>p</sup>:
   invokes T-solver on B2T(μ<sup>p</sup>) and
   returns SAT if T-solver does.
   Otherwise returns CONFLICT.
- no more literals can be deduced: invokes T-solver on partial assignment B2T(μ<sup>p</sup>) and returns CONFLICT is T-solver returns UNSAT. This is early propagation. May also do theory propagation.

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#### $T_R$ -formula $\varphi$ and $\varphi^p$ :

1. 
$$\neg (2x_2 - x_3 > 2) \lor A_1, \neg b_1 \lor A_1$$

2. 
$$\neg A_2 \lor (x_1 - x_5 \le 1), \neg A_2 \lor b_2$$

3. 
$$(3x_1 - 2x_2 \le 3) \lor A_2, A_2 \lor b_3$$

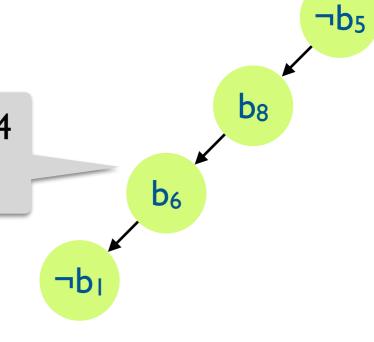
4. 
$$\neg (2x_3 + x_4 \ge 5) \lor \neg (3x_1 - x_3 \le 6) \lor \neg A_1, \neg b_4 \lor \neg b_5 \lor \neg A_1$$

5. 
$$(3x_1 - 2x_2 \le 3) \lor A_1, A_1 \lor b_3$$

6. 
$$(x_2 - x_4 \le 6) \lor (x_5 = 5 - 3x_4) \lor \neg A_1,$$
  
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$$(x_3 = 3x_5 + 4) \lor A_1 \lor A_2, b_8 \lor A_2 \lor A_1$$

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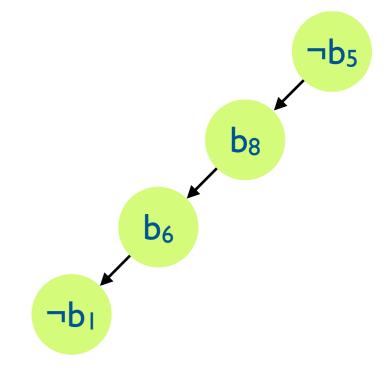
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$$b_5 \vee b_1 \vee \neg b_3$$

Early pruning.

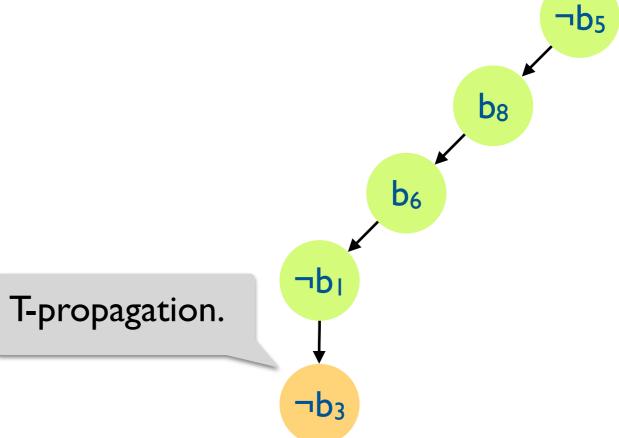


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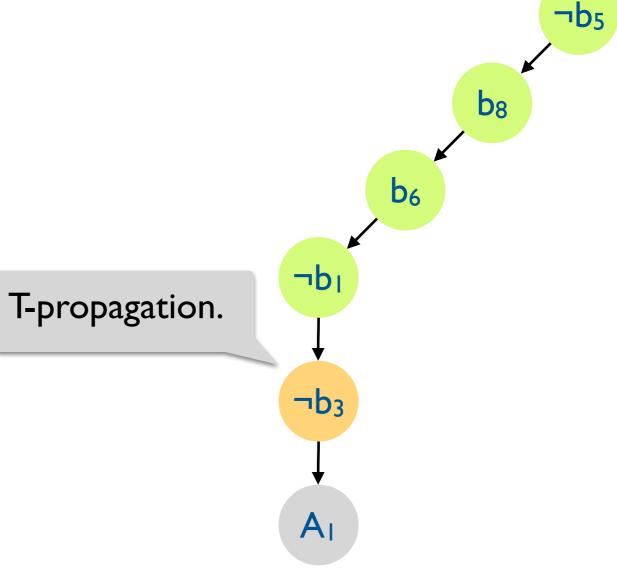
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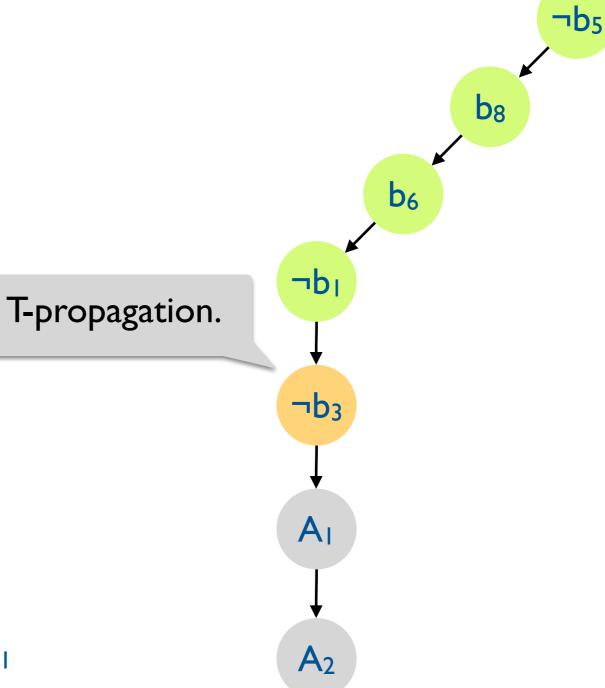
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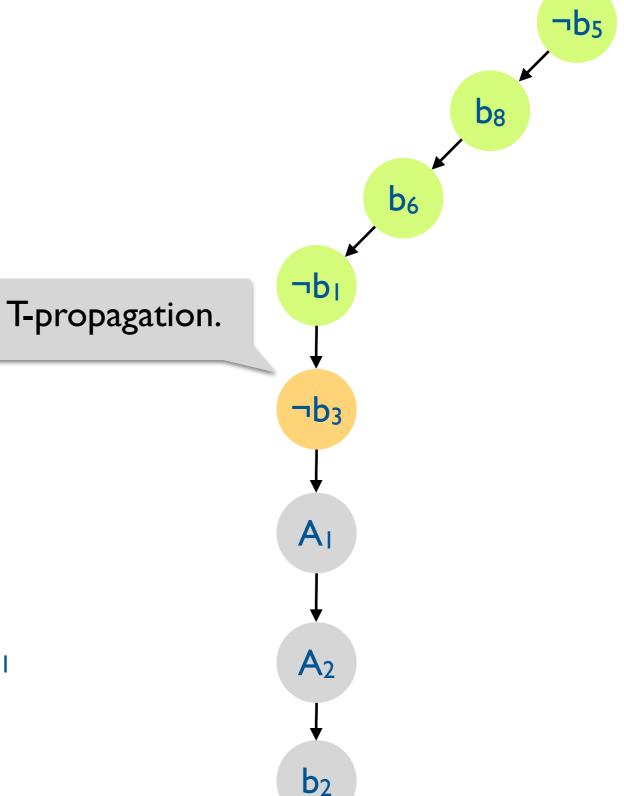
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- if the conflict is caused by a boolean (BCP) failure, returns the same bevel and conflict clause ANALYZECONFLICT
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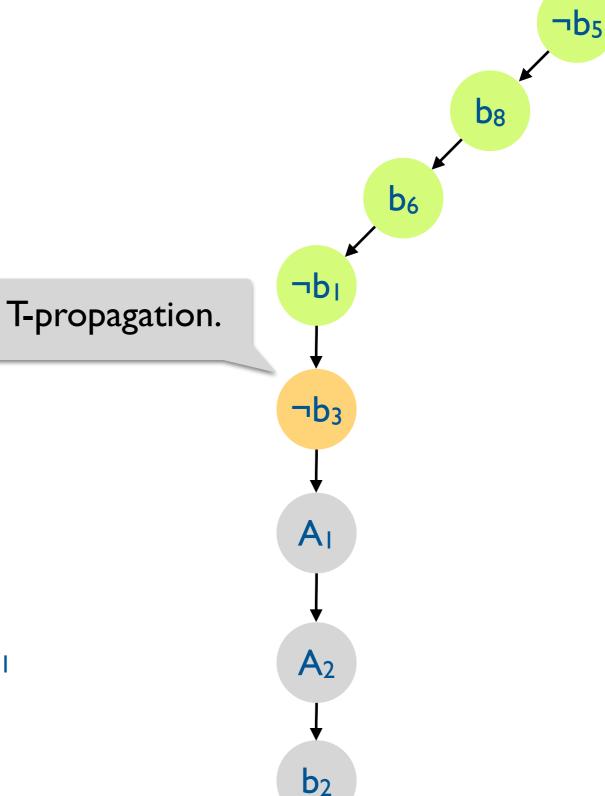
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5. 
$$(3x_1 - 2x_2 \le 3) \lor A_1, A_1 \lor b_3$$

6. 
$$(x_2 - x_4 \le 6) \lor (x_5 = 5 - 3x_4) \lor \neg A_1,$$
  
 $b_6 \lor b_7 \lor \neg A_1$ 

7. 
$$(x_3 = 3x_5 + 4) \lor A_1 \lor A_2, b_8 \lor A_2 \lor A_1$$

8. 
$$b_5 \vee b_1 \vee \neg b_3$$



#### $T_R$ -formula $\varphi$ and $\varphi^p$ :

1. 
$$\neg (2x_2 - x_3 > 2) \lor A_1, \neg b_1 \lor A_1$$

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$$\neg A_2 \lor (x_1 - x_5 \le 1), \neg A_2 \lor b_2$$

3. 
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$$\neg (2x_3 + x_4 \ge 5) \lor \neg (3x_1 - x_3 \le 6) \lor \neg A_1, \neg b_4 \lor \neg b_5 \lor \neg A_1$$

5. 
$$(3x_1 - 2x_2 \le 3) \lor A_1, A_1 \lor b_3$$

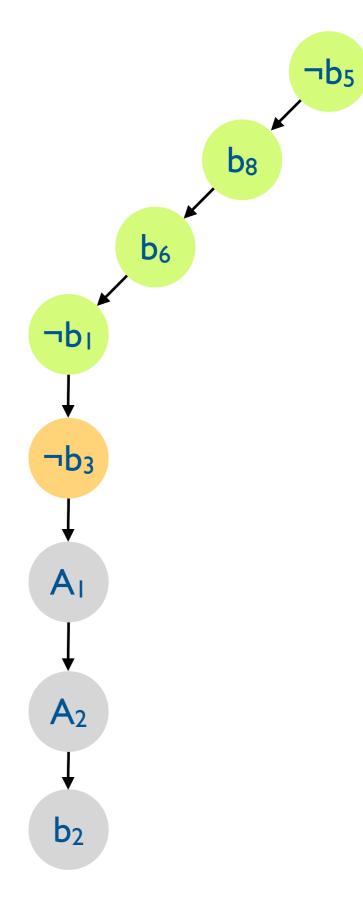
6. 
$$(x_2 - x_4 \le 6) \lor (x_5 = 5 - 3x_4) \lor \neg A_1,$$
  
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$$(x_3 = 3x_5 + 4) \lor A_1 \lor A_2, b_8 \lor A_2 \lor A_1$$

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T conflict clause.



#### $T_R$ -formula $\varphi$ and $\varphi^p$ :

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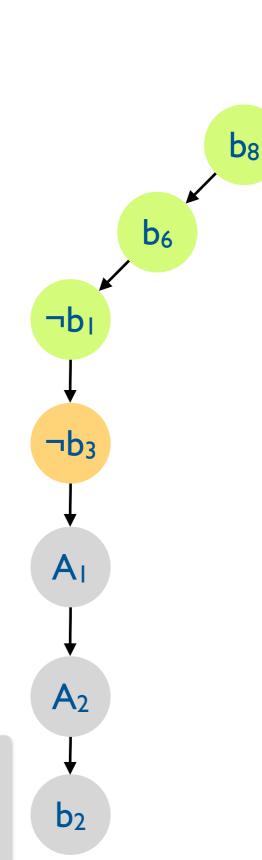
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8. 
$$b_5 \vee b_1 \vee \neg b_3$$

9. 
$$b_5 \lor \neg b_8 \lor \neg b_2$$

10. 
$$b_5 \lor \neg b_8 \lor b_1$$

Mixed boolean + theory conflict clause.



¬b<sub>5</sub>

### Online DPLL(T): T-BACKTRACK

```
Online-DPLL<sub>T</sub>(T-formula \varphi, T-assignment \mu)
 if T-PREPROCESS(\varphi, \mu) = CONFLICT then
  return UNSAT
 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
 while (TRUE) do
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  while (TRUE) do
    res \leftarrow T-DEDUCE(\phi^p, \mu^p)
    if res = SAT then return SAT
    else if res = CONFLICT
      blevel \leftarrow T-ANALZECONFLICT(\phi^p, \mu^p)
      if (blevel < 0) then return UNSAT
      else T-BACKTRACK(blevel, \varphi^p, \mu^p)
    else break
```

#### Analogous to BACKTRACK in CDCL:

 Backtracks to blevel by undoing all the assignments > blevel (Tbackjumping).

### Online DPLL(T): T-BACKTRACK

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Online-DPLL<sub>T</sub>(T-formula \varphi, T-assignment \mu)
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#### Analogous to BACKTRACK in CDCL:

 Backtracks to blevel by undoing all the assignments > blevel (Tbackjumping).

1. 
$$\neg (2x_2 - x_3 > 2) \lor A_1, \neg b_1 \lor A_1$$

2. 
$$\neg A_2 \lor (x_1 - x_5 \le 1), \neg A_2 \lor b_2$$

3. 
$$(3x_1 - 2x_2 \le 3) \lor A_2, A_2 \lor b_3$$

4. 
$$\neg (2x_3 + x_4 \ge 5) \lor \neg (3x_1 - x_3 \le 6) \lor \neg A_1, \neg b_4 \lor \neg b_5 \lor \neg A_1$$

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$$(3x_1 - 2x_2 \le 3) \lor A_1, A_1 \lor b_3$$

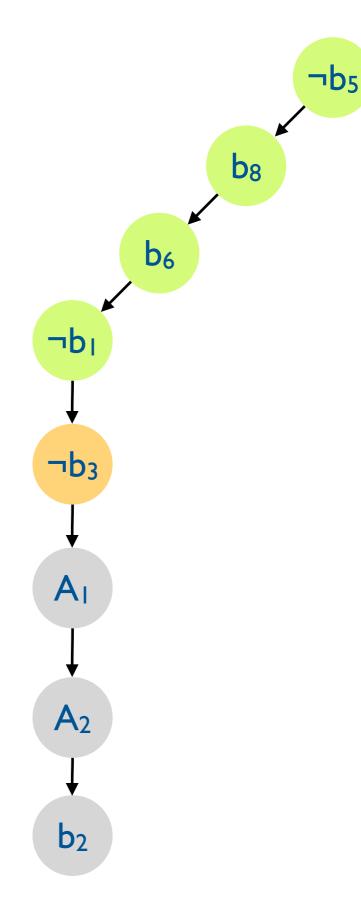
6. 
$$(x_2 - x_4 \le 6) \lor (x_5 = 5 - 3x_4) \lor \neg A_1,$$
  
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7. 
$$(x_3 = 3x_5 + 4) \lor A_1 \lor A_2, b_8 \lor A_2 \lor A_1$$

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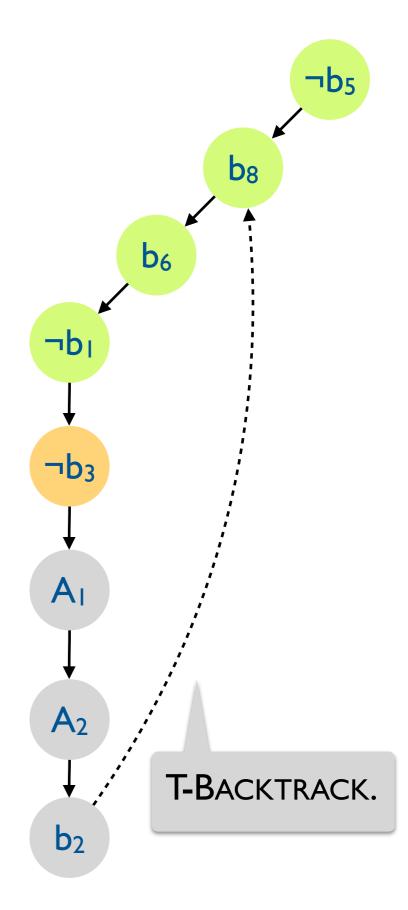
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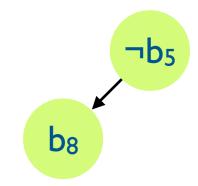
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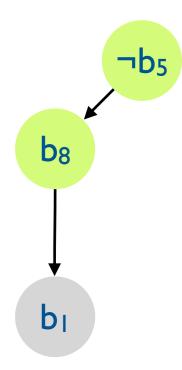
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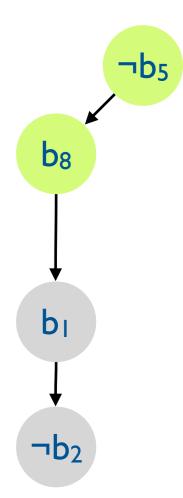
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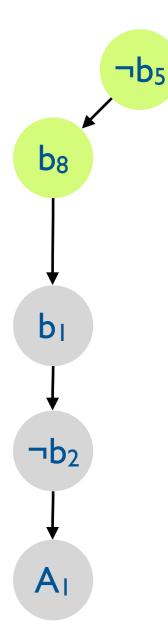
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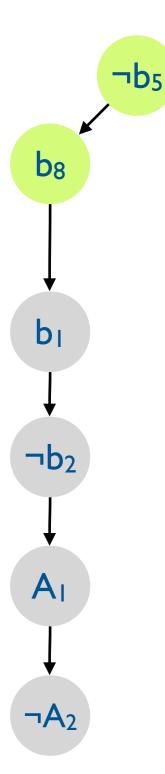
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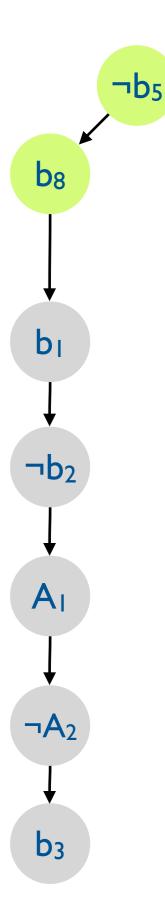
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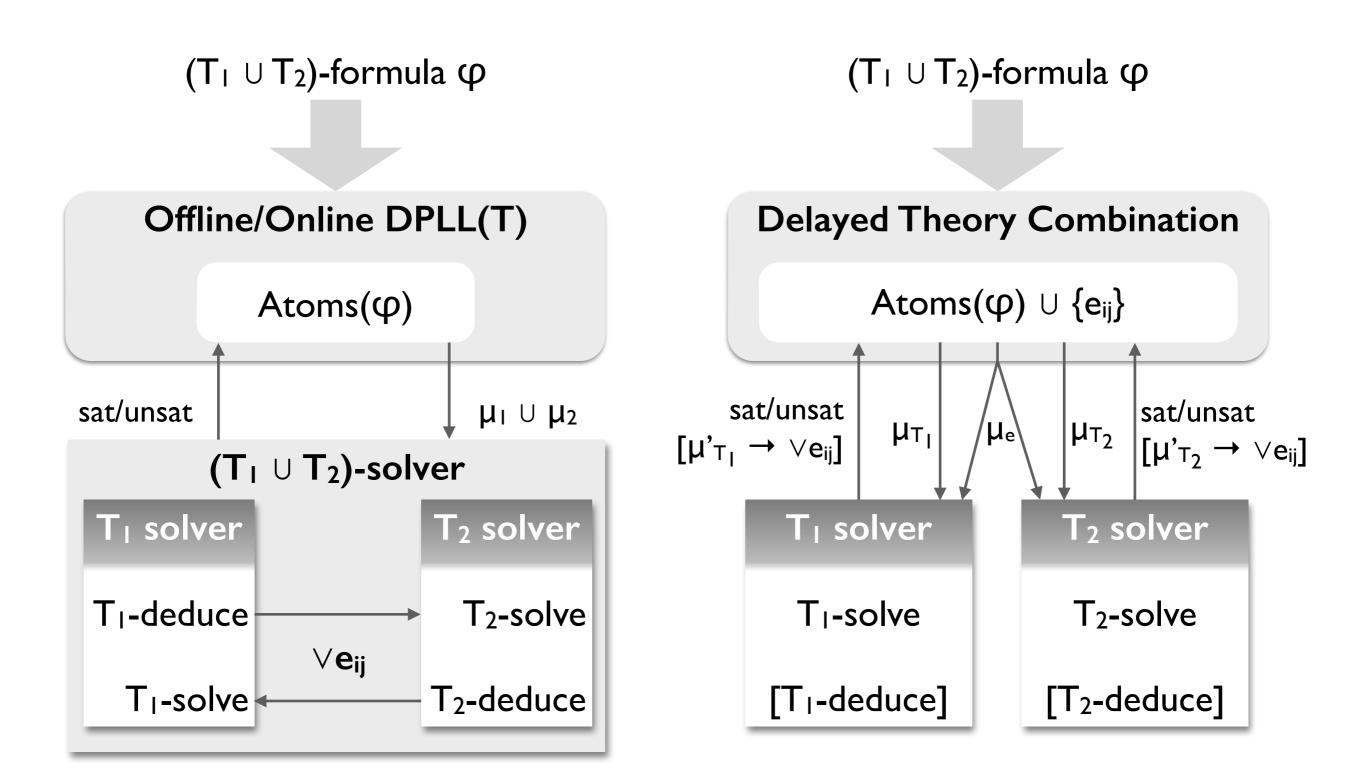
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# The DPLL(T) Framework



```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
 if T-Preprocess(\varphi, \mu) = CONFLICT then
  return UNSAT
 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
 while (TRUE) do
  T-DECIDE(\phi^p, \mu^p)
  while (TRUE) do
    res \leftarrow T-DEDUCE(\phi^p, \mu^p)
    if res = SAT then return SAT
    else if res = CONFLICT
      blevel \leftarrow T-ANALZECONFLICT(\phi^p, \mu^p)
      if (blevel < 0) then return UNSAT
      else T-BACKTRACK(blevel, \varphi^p, \mu^p)
    else break
```

```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
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      if (blevel < 0) then return UNSAT
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    else break
```

To get DTC, modify Online-DPLL<sub>T</sub> so that

• Truth values assigned to both atoms in  $\phi$  and the interface equalities  $e_{ij}$  not in  $\phi$ .

```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
 if T-Preprocess(\varphi, \mu) = CONFLICT then
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 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
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    res \leftarrow T-DEDUCE(\phi^p, \mu^p)
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    else break
```

- Truth values assigned to both atoms in  $\phi$  and the interface equalities  $e_{ij}$  not in  $\phi$ .
- T-DECIDE branches on interface equalities  $e_{ij}$  after  $\mu$  propositionally satisfies  $\phi$ .

```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
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 \varphi^p, \mu^p \leftarrow T2B(\varphi), T2B(\mu)
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```

- Truth values assigned to both atoms in  $\phi$  and the interface equalities  $e_{ij}$  not in  $\phi$ .
- T-DECIDE branches on interface equalities  $e_{ij}$  after  $\mu$  propositionally satisfies  $\phi$ .
- T-DEDUCE passes  $\mu_i \cup \mu_e$  to each  $T_i$ solver and returns SAT if both return
  SAT. Otherwise returns CONFLICT.

```
Online-DPLL<sub>T</sub>(T-formula \phi, T-assignment \mu)
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- T-ANALYZECONFLICT and T-BACKTRACK use the conflict set (possibly containing interface equalities) from one of the T<sub>i</sub>solvers.
- Early pruning and T-PROPAGATION are performed.

### Summary

#### **Today**

The DPLL(T) framework for deciding SMT formulas

#### **Next lecture**

- Finite model finding: reasoning about quantified formulas over finite domains
- Last lecture on Computer-Aided Reasoning
- It's all For Software afterwards!