

Discrete Mathematics

Counting Principles

Bijection Rule

(Counting One Thing by Counting Another)

If there is a bijection $f : A \rightarrow B$ between A and B ,
then $|A| = |B|$.

Let $f:A \rightarrow B$ be a function
from a set A to a set B.

f is 1-1 (or **injective**) if and only if

$$\forall x, y \in A, x \neq y \rightarrow f(x) \neq f(y)$$

f is onto (or **surjective**) if and only if

$$\forall z \in B \exists x \in A f(x) = z$$

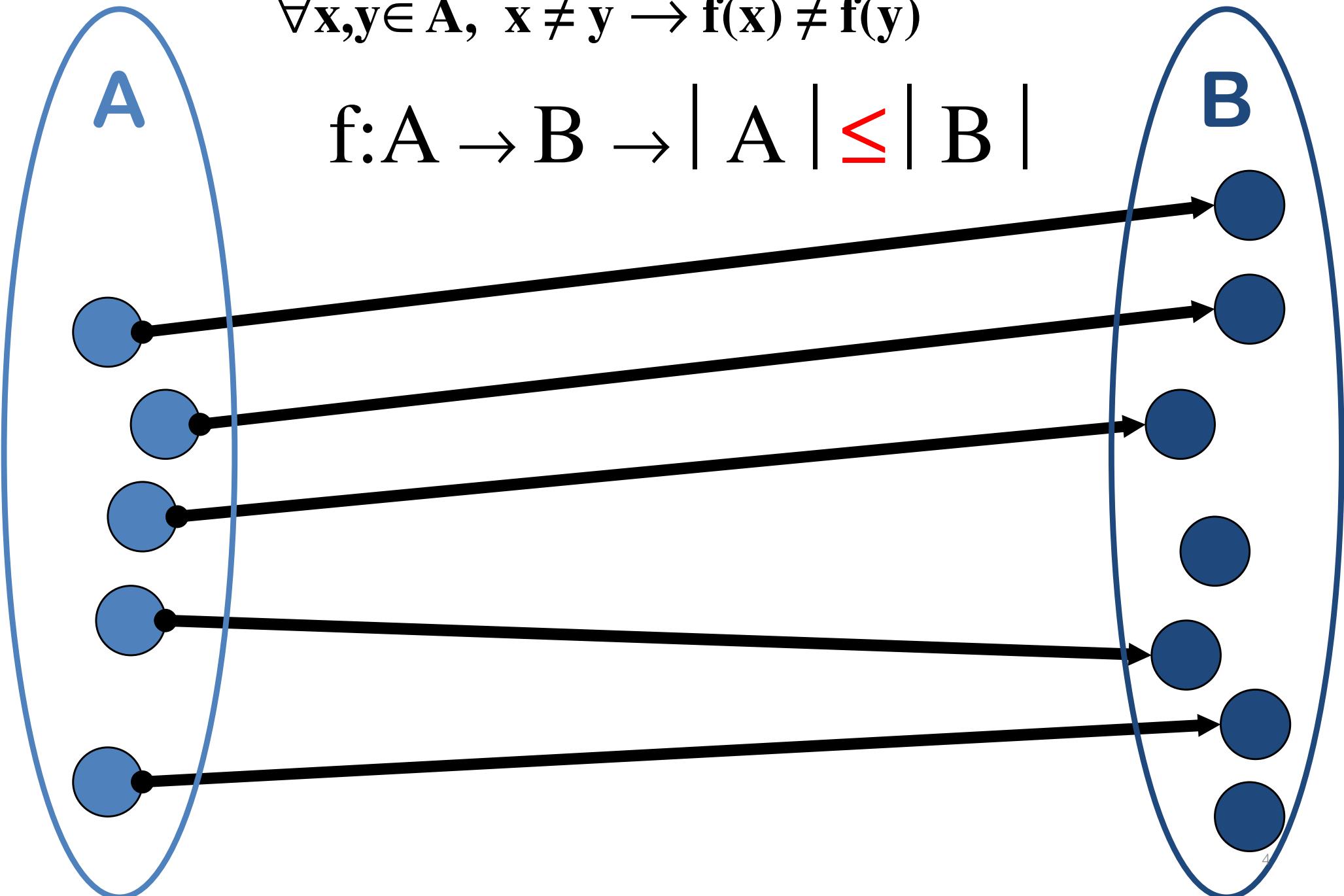
For Every

There
Exists

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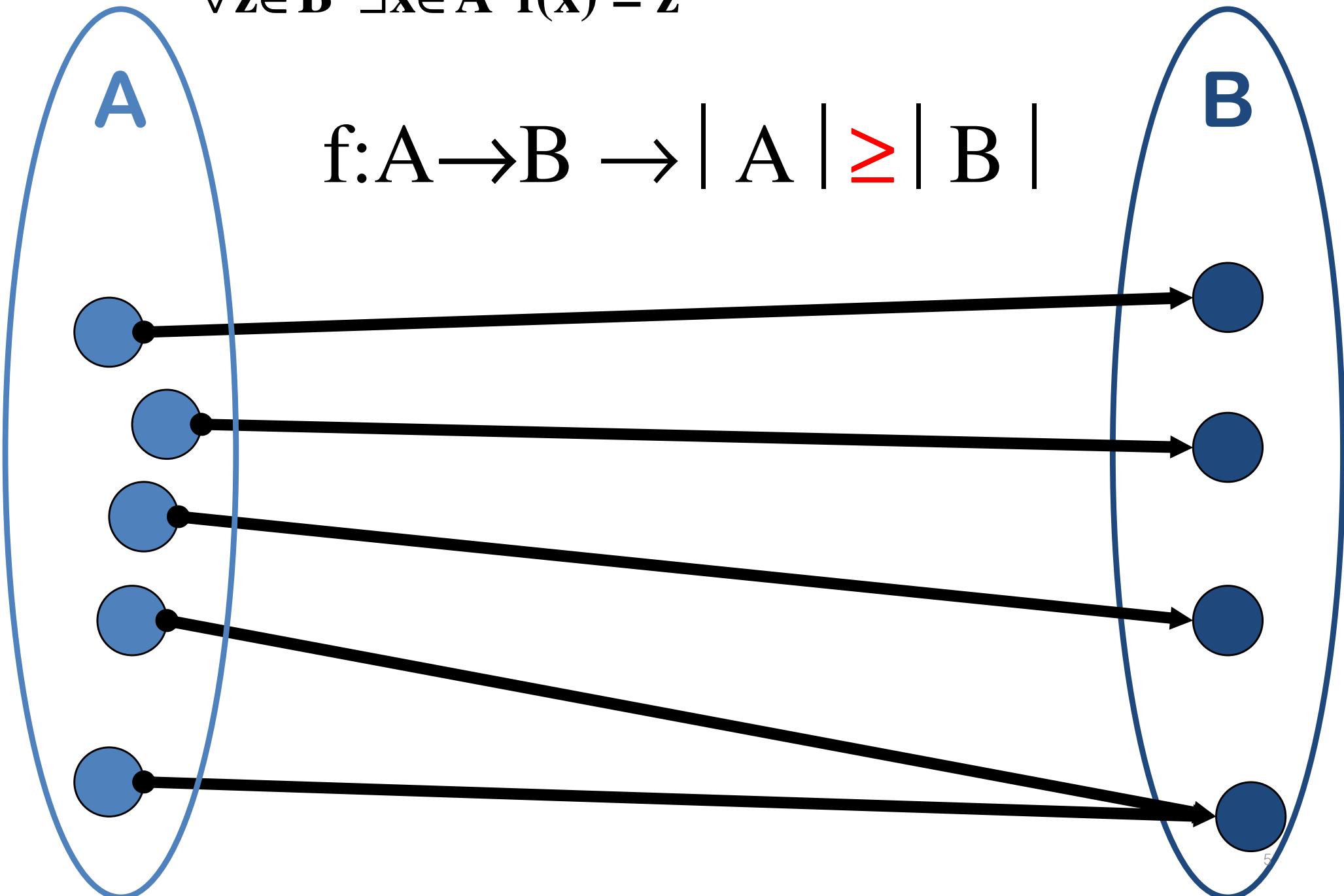
$$f: A \rightarrow |A| \leq |B|$$



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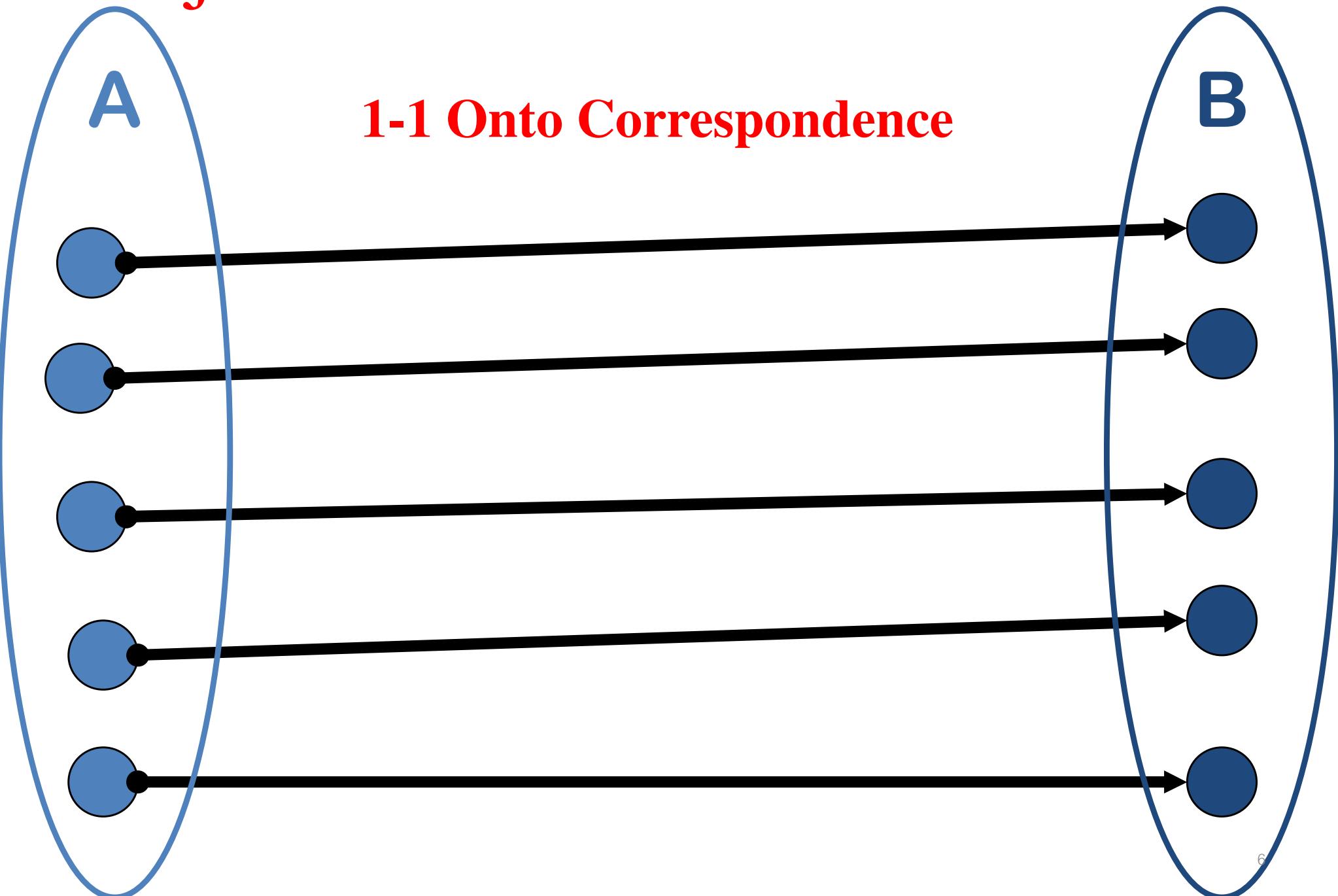
$$\forall z \in B \ \exists x \in A \ f(x) = z$$

$$f: A \rightarrow B \rightarrow |A| \geq |B|$$



Bijection $f:A \rightarrow B \rightarrow |A| = |B|$

1-1 Onto Correspondence



Correspondence Principle

If two finite sets can be placed
into **1-1 onto correspondence**,
then they have the same size.

How many n-bit binary sequences are there?

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000000 \leftrightarrow 0

000001 \leftrightarrow 1

000010 \leftrightarrow 2

000011 \leftrightarrow 3

...

111111 \leftrightarrow $2^n - 1$

How many n-bit binary sequences are there?

000000 \longleftrightarrow 0

000001 \longleftrightarrow 1

000010 \longleftrightarrow 2

000011 \longleftrightarrow 3

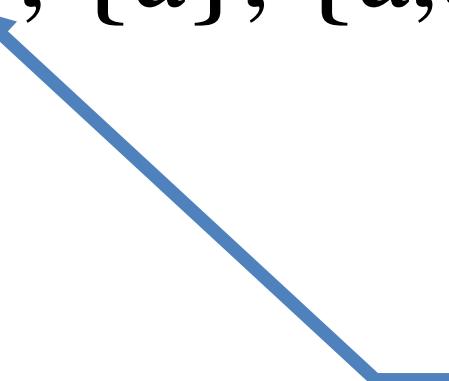
...

111111 \longleftrightarrow $2^n - 1$

2^n sequences

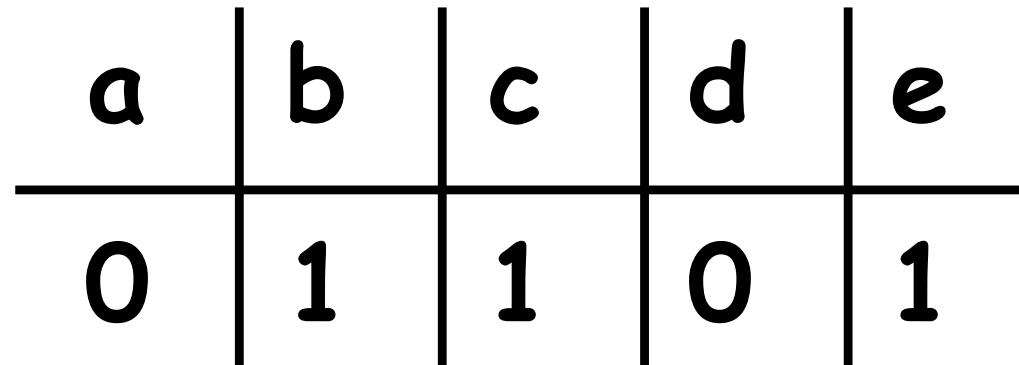
$S = \{a,b,c,d,e\}$ has many subsets.

$\emptyset, \{a\}, \{a,b\}, \{a,d,e\}, \{a,b,c,d,e\}, \dots$



The empty set is a set with all the rights and privileges pertaining thereto.

How many subsets can be formed from the elements of a 5-element set?



How many subsets can be formed from the elements of a 5-element set?

a	b	c	d	e
0	1	1	0	1

{b c e}

1 means “TAKE IT”

0 means “LEAVE IT”

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**Each subset corresponds to a 5-bit sequence
(using the “take it or leave it” code)**

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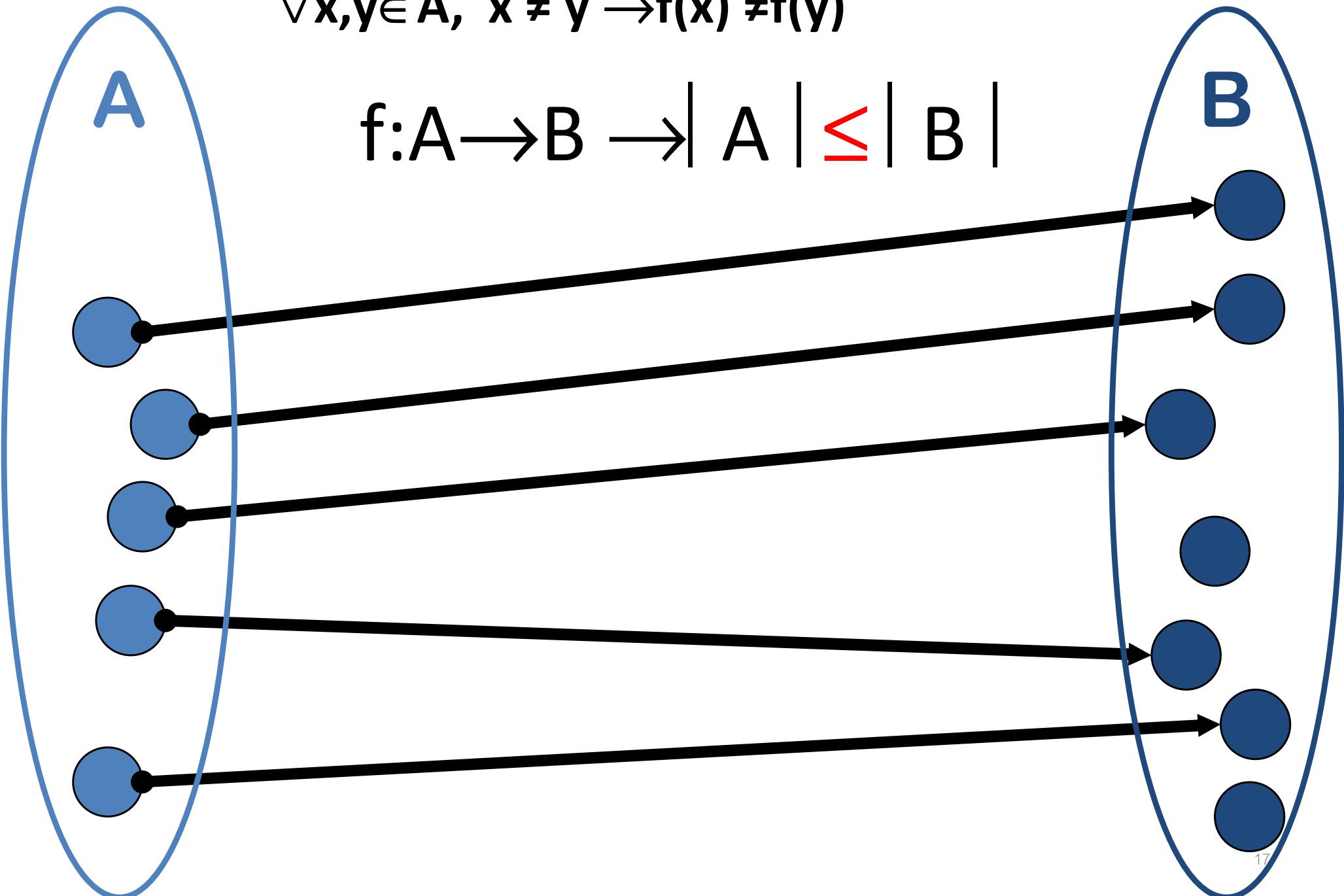
f: bit strings → subsets

How do we prove bijection?

f is 1-1 (or **injective**) if and only if

$$\forall x, y \in A, x \neq y \rightarrow f(x) \neq f(y)$$

$$f: A \rightarrow |A| \leq |B|$$



S = {a₁, a₂, a₃,..., a_n}, T = all subsets of S
B = set of all n-bit strings

a ₁	a ₂	a ₃	a ₄	a ₅
b ₁	b ₂	b ₃	b ₄	b ₅

For bit string b=b₁b₂b₃...b_n, let f(b)={ a_i | b_i=1}

$S = \{a_1, a_2, a_3, \dots, a_n\}$, $T = \text{all subsets of } S$
 $B = \text{set of all } n\text{-bit strings}$

a_1	a_2	a_3	a_4	a_5
b_1	b_2	b_3	b_4	b_5

For bit string $b=b_1b_2b_3\dots b_n$, let $f(b)=\{ a_i \mid b_i=1\}$

Claim: f is injective

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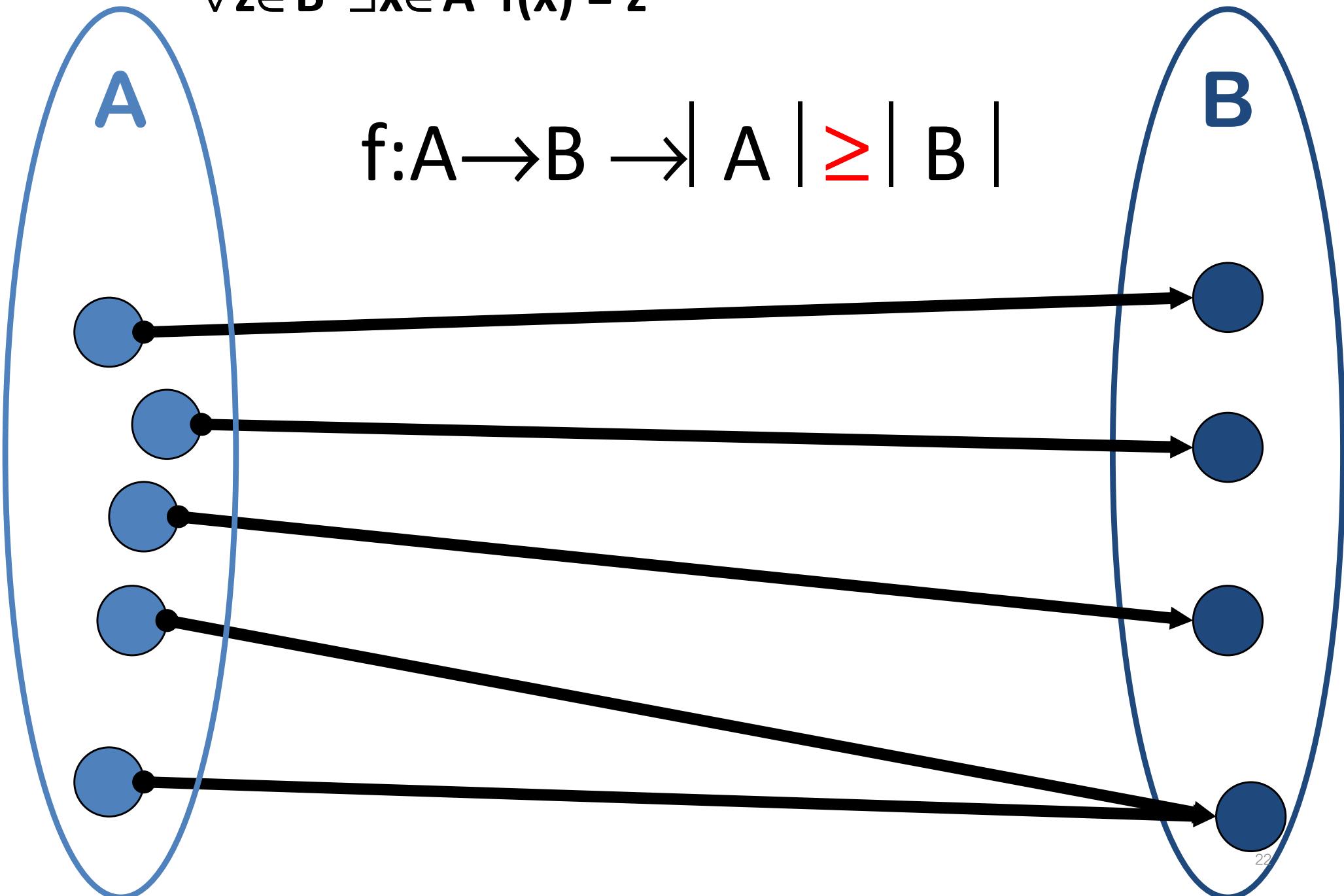
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Hence, $f(b)$ is not equal to $f(b')$ because they disagree on element a_i

f is onto (or **surjective**) if and only if

$$\forall z \in B \ \exists x \in A \ f(x) = z$$

$$f:A \rightarrow B \rightarrow |A| \geq |B|$$



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 $B = \text{set of all } n\text{-bit strings}$

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For bit string $b=b_1b_2b_3\dots b_n$, let $f(b)=\{ a_i \mid b_i=1\}$

Claim: f is surjective

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For bit string $b = b_1b_2b_3\dots b_n$, let $f(b) = \{a_i \mid b_i = 1\}$

Claim: f is surjective

Let X be a subset of $\{a_1, \dots, a_n\}$.

Define $b_k = 1$ if a_k in X and $b_k = 0$ otherwise.

Note that $f(b_1b_2\dots b_n) = X$.

The number of subsets
of an n-element set is
 2^n .

Counting Doughnut Selection

There are 5 kinds of doughnuts.



How many ways to select a dozen doughnuts?

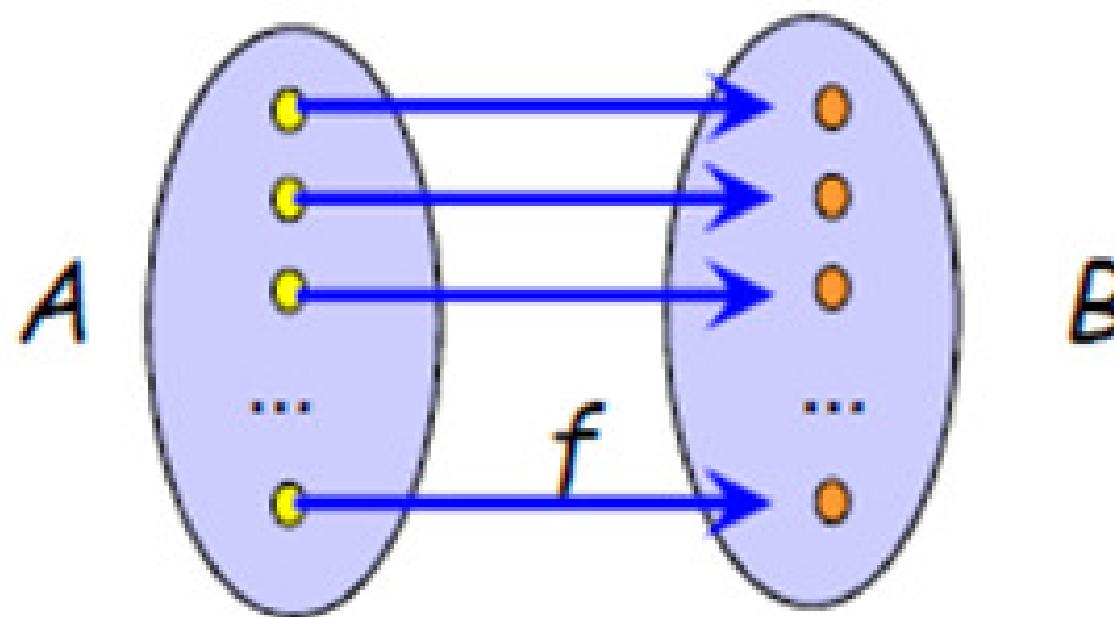
00	(none)	000000	00	00
Chocolate	Lemon	Sugar	Glazed	Plain

Counting Doughnut Selection

A := Set of all selections of a dozen doughnuts

B := Set of all 16-bit binary strings with exactly four 1's

Define a bijective function from A to B

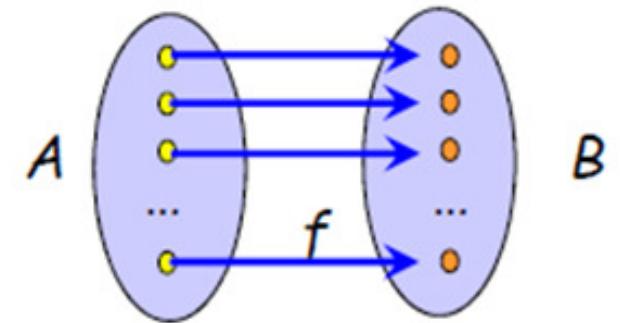


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0011000000100100

00	1	(none)	1	000000	1	00	1	00
Chocolate	Lemon		Sugar		Glazed		Plain	

Each doughnut is represented by a 0,

Four 1's are used to separate five types of doughnuts.

Counting Doughnut Selection

Chocolate, Lemon, Sugar, Glazed, Plain

maps to

a bijection

$0^C10^L10^S10^G10^P$

A := Set of all selections of a dozen doughnuts

B := Set of all 16-bit binary strings with exactly
four 1's

Counting Doughnut Selection

B ::= Set of all 16-bit binary strings with exactly four 1's

16 choices for the first 1.

15 choices for the second 1.

14 choices for the third 1.

13 choices for the fourth 1.

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There are $16 \times 15 \times 14 \times 13$ possible ways.

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There are $16 \times 15 \times 14 \times 13$ possible ways.

But we **overcount** $4!$.

Therefore, $|B| = (16 \times 15 \times 14 \times 13) / 4!$

Counting Doughnut Selection

$$|B| = (16 \times 15 \times 14 \times 13) / 4!$$

$$= 16! / (16-4)! 4!$$

$$= \binom{16}{4}$$

Because there is a bijection f from A to B :

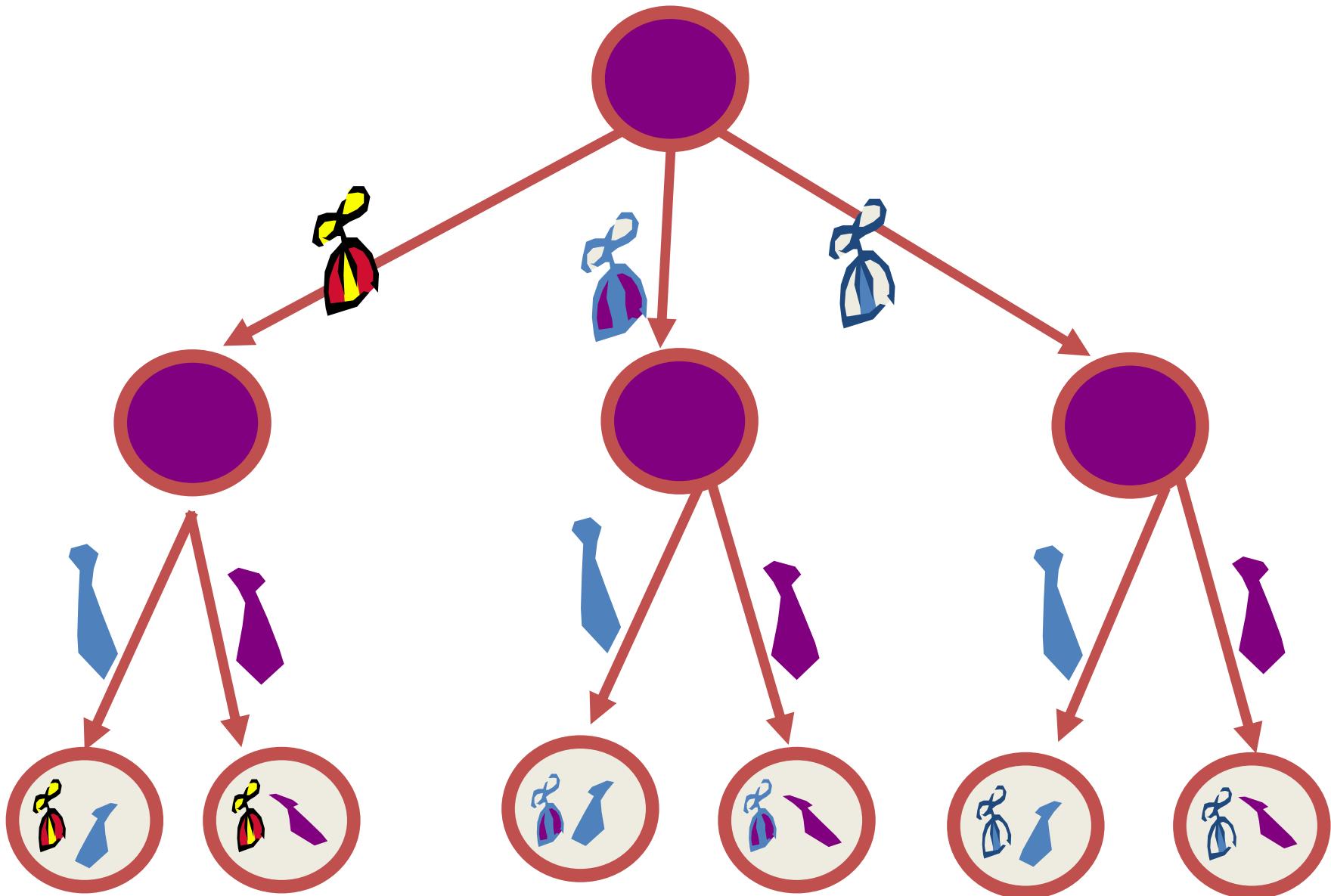
$$|A| = |B| = \binom{16}{4}$$

Product Rule

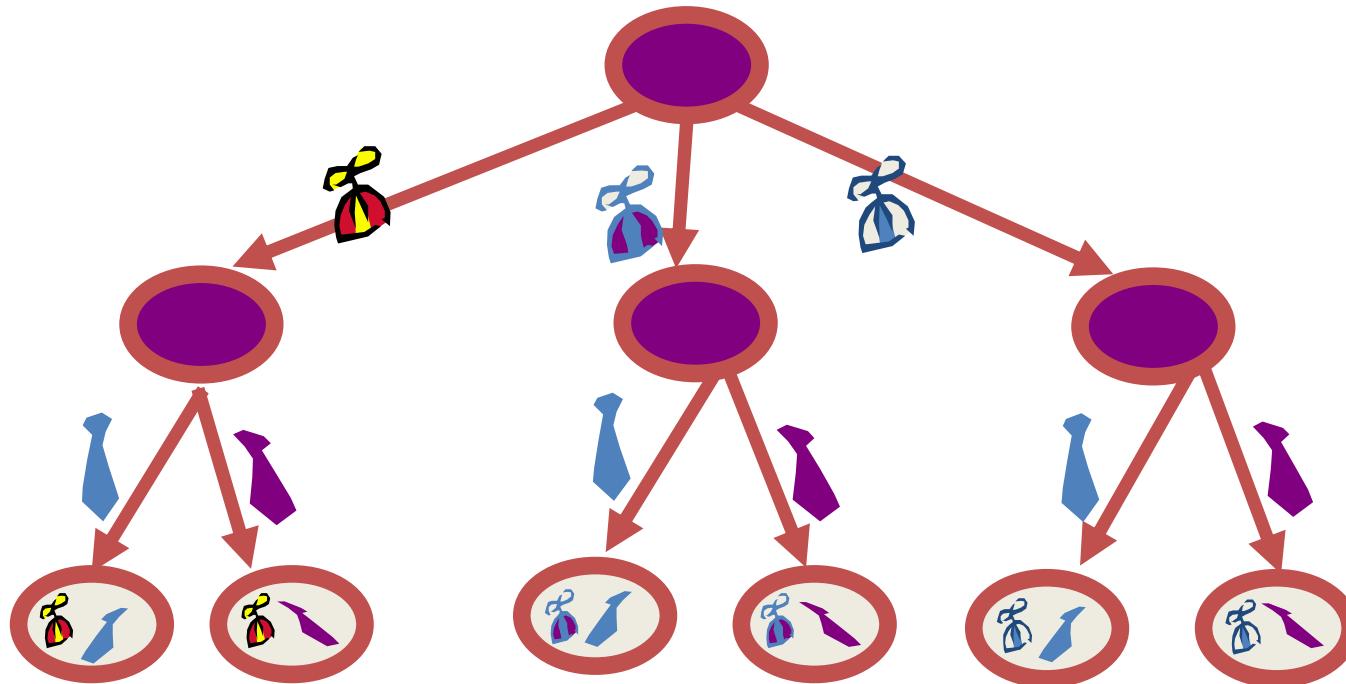
If P_1, P_2, \dots, P_n are sets, then:

$$|P_1 \times P_2 \times \dots \times P_n| = |P_1| \cdot |P_2| \cdot \dots \cdot |P_n|.$$

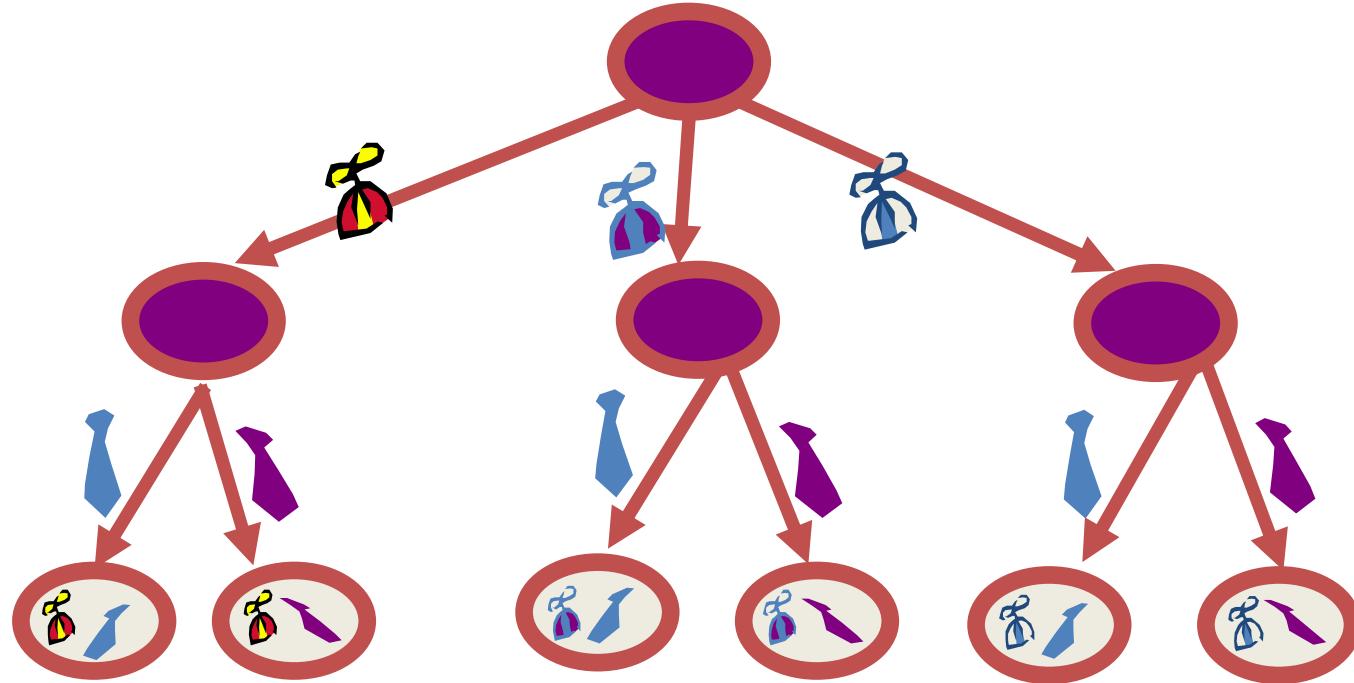
**I own 3 beanies and 2 ties.
How many different ways can
I dress up in a beanie and a
tie?**



Choice Tree



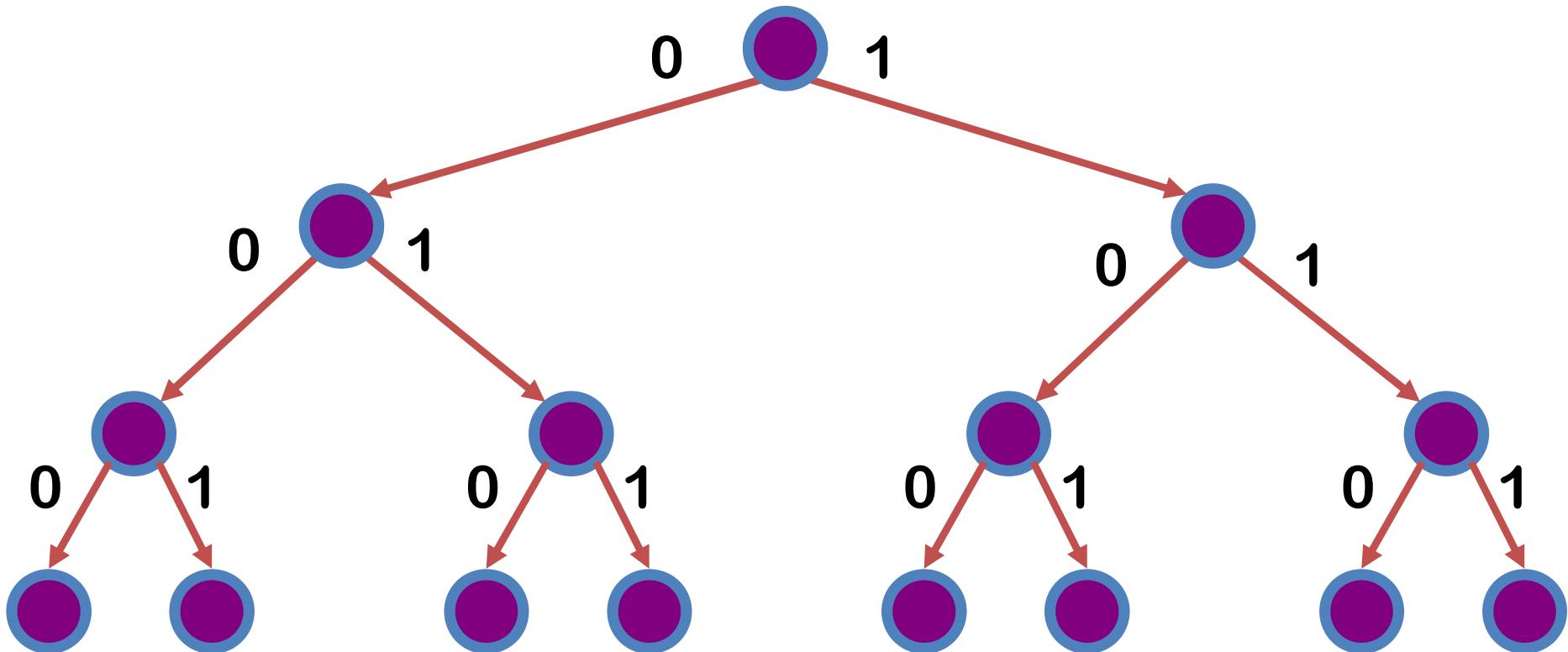
A choice tree is a rooted, directed tree with an object called a “choice” associated with each edge and a label on each leaf.



A choice tree provides a choice tree representation of a set S , if

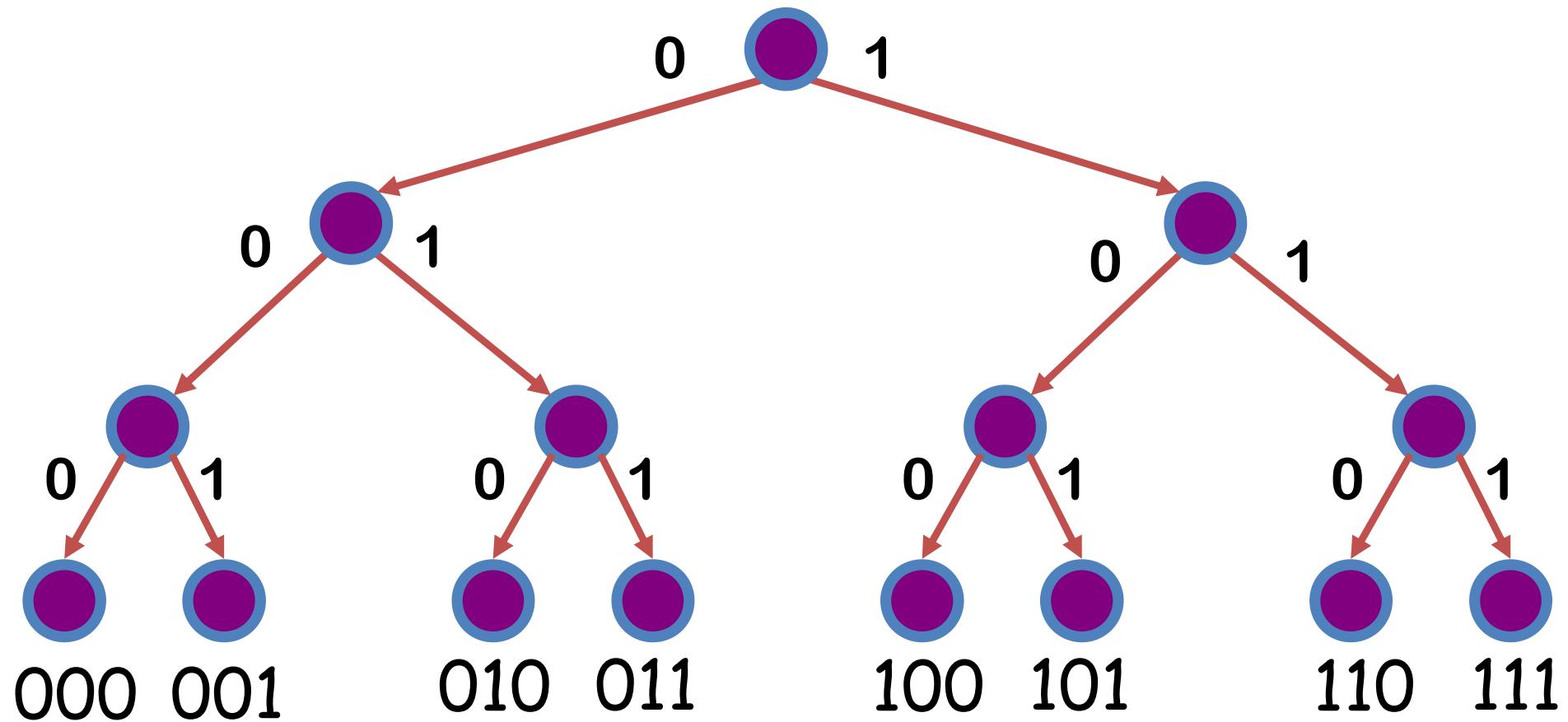
- 1) Each leaf label is in S
- 2) No two leaf labels are the same

Choice Tree for 2^n n-bit sequences

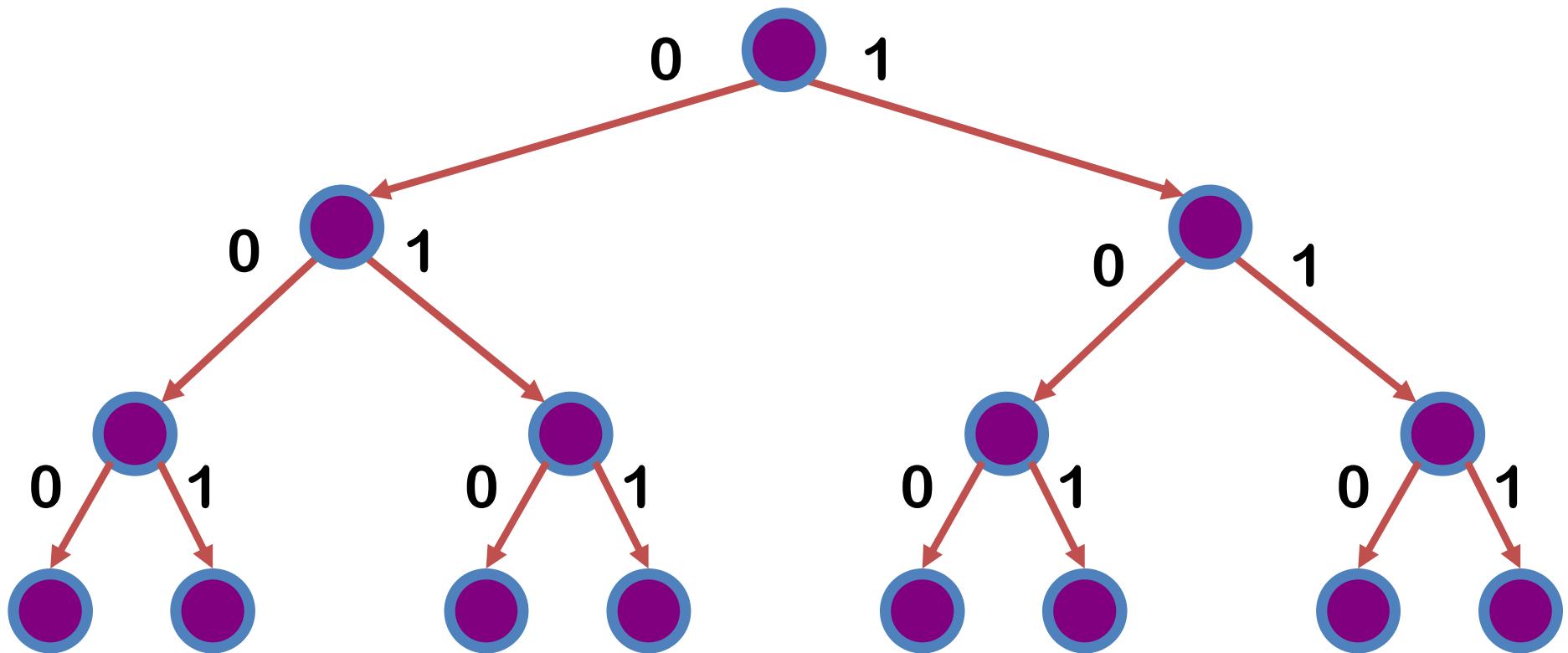


We can use a “choice tree” to represent the construction of objects of the desired type.

2^n n-bit sequences



Label each leaf with the object constructed by the choices along the path to the leaf.



2 choices for first bit

X 2 choices for second bit

X 2 choices for third bit

...

X 2 choices for the nth

Product Rule

If S has a choice tree representation with
 P_1 possibilities for the first choice,
 P_2 for the second, and so on,

THEN

there are $P_1 P_2 P_3 \dots P_n$ objects in S

Product Rule

Suppose that all objects of a type S can be constructed by a sequence of choices with P_1 possibilities for the first choice, P_2 for the second, and so on.

IF

- 1) Each sequence of choices constructs an object of type S

AND

- 2) No two different sequences create the same object

THEN

there are $P_1 P_2 P_3 \dots P_n$ objects of type S .

Permutation

A permutation of a set S is a sequence that contains every element of S exactly once.

How many different orderings of deck with 52 cards?

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Construct an ordering of a deck by a sequence of 52 choices:

52 possible choices for the first card;

51 possible choices for the second card;

50 possible choices for the third card;

...

1 possible choice for the 52nd card.

How many different orderings of deck with 52 cards?

By the product rule:

$$52 * 51 * 50 * \dots * 3 * 2 * 1 = 52!$$

A permutation or arrangement of n objects is an ordering of the objects.

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The number of permutations of n distinct objects is **n!**

How many sequences of 7 letters are there?

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26⁷

How many sequences of 7 letters are there?

$$26^7$$

26 choices for each of the 7 positions

**How many sequences of 7 letters
contain at least two of the same letter?**

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$$26^7 - 26 \cdot 25 \cdot 24 \cdot 23 \cdot 22 \cdot 21 \cdot 20$$

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$26 \cdot 25 \cdot 24 \cdot 23 \cdot 22 \cdot 21 \cdot 20$ is a number of sequences containing all different letters

**Sometimes it is easiest to
count the number of objects
with property Q, by counting
the number of objects that do
not have property Q.**

Ordered Versus Unordered

From a set of {1,2,3} how many **ordered** pairs can be formed?

Ordered Versus Unordered

From a set of {1,2,3} how many **ordered** pairs can be formed? **3*2 = 6**

{1,2}, {1,3}, {2,1}, {2,3}, {3,1}, {3,2}

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How many unordered pairs? ({1,2} and {2,1} are the same)
3*2 divide by overcount, we get 3

Each unordered pair is listed twice on a list of the ordered pairs

Ordered Versus Unordered

From a deck of 52 cards how many **ordered** pairs can be formed?

$$52 * 51 = 52!/(52-2)! \text{ - permute 2 out of 52 objects}$$

Number of ways of **ordering**, permuting, or arranging r out of n objects

n choices for the first place, n-1 for the second and so on

$$n \times (n-1) \times \dots \times (n-(r-1)) = \frac{n!}{(n-r)!}$$

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How many **unordered 5 card hands?**

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$52*51*50*49*48 / 5!$ ← divide by overcount

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From a deck of 52 cards how many **ordered** pairs can be formed?

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How many **unordered 5 card hands?**

$52*51*50*49*48 / 5!$ ← divide by overcount

$$52!/(52-5)!5! = \binom{52}{5}$$

Combination (Counting Subsets)

Subset Rule: The number of r -element subsets of an n -element set is

$$\frac{n!}{r!(n-r)!} = \binom{n}{r}$$

A combination or choice of r out of n objects is an (unordered) set of r of the n objects.

The number of r combinations of n objects:

$$\frac{n!}{r!(n-r)!} = \binom{n}{r}$$

n choose r

Permutations vs. Combinations

$$\frac{n!}{(n-r)!}$$

Ordered

$$\frac{n!}{r!(n-r)!} = \binom{n}{r}$$

Unordered

How many 8 bit sequences have two 0's and six 1's?

- 1) Choose the set of 2 positions to put the 0's.
The 1's are forced.

$$\binom{8}{2}$$

How many 8 bit sequences have two 0's and six 1's?

- 1) Choose the set of 2 positions to put the 0's.
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- 2) Choose the set of 6 positions to put the 1's.
The 0's are forced.

$$\binom{8}{6}$$

How many 8 bit sequences have two 0's and six 1's?

Tempting, but incorrect:

8 ways to place first 0 times

7 ways to place second 0

How many 8 bit sequences have two 0's and six 1's?

Tempting, but incorrect:

8 ways to place first 0 times

7 ways to place second 0

Violates condition 2 of product rule!

Choosing position i for the first 0 and then position j for the second 0 gives the same sequence as choosing position j for the first 0 and position i for the second.

How Many 5-Hands Have at Least 3 As?

First counting...

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4 ways of picking 3 out of 4 aces

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First counting...

4 ways of picking 3 out of 4 aces $\binom{4}{3}$

1176 ways of picking 2 cards out of $\binom{49}{2}$
the remaining $52-3=49$ cards

$$4 \times 1176 = 4704$$

How Many 5-Hands Have at Least 3 As?

Second counting...

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Second counting...

How many hands have exactly 3 aces?

$$\begin{pmatrix} 4 \\ 3 \end{pmatrix} \begin{pmatrix} 48 \\ 2 \end{pmatrix} = 4 \times 1128 = 4512$$

How Many 5-Hands Have at Least 3 As?

Second counting...

How many hands have exactly 3 aces?

$$\binom{4}{3} \binom{48}{2} = 4 \times 1128 = 4512$$

How many hands have exactly 4 aces? $\binom{4}{4} \binom{48}{1} = 48$

How Many 5-Hands Have at Least 3 As?

Second counting...

How many hands have exactly 3 aces?

$$\binom{4}{3} \binom{48}{2} = 4 \times 1128 = 4512$$

How many hands have exactly 4 aces? $\binom{4}{4} \binom{48}{1} = 48$

Thus, $4512 + 48 = 4560 \neq 4704$

4704 \neq 4560

**One of the two counting
arguments is not correct.**

Review the first counting argument

1. Choose 3 of 4 aces

2. Choose 2 of the remaining 49 cards

A♣ A♦ A♥

A♠ K♦

A♣ A♦ A♠

A♥ K♦

A♣ A♠ A♥

A♦ K♦

A♠ A♦ A♥

A♣ K♦

Four different sequences of choices produce the same hand

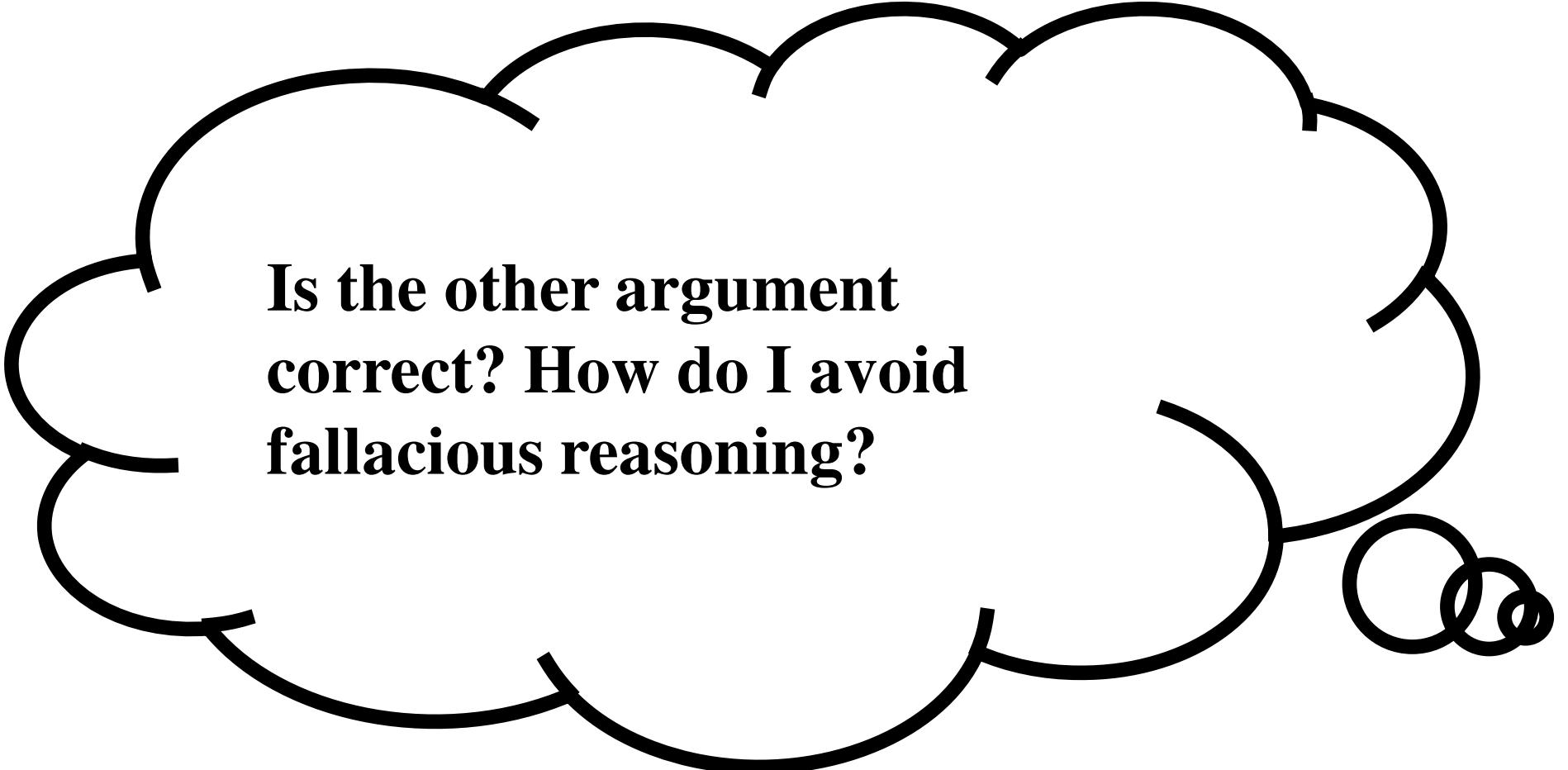
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A♣ A♦ A♠	A♥ K♦
A♣ A♠ A♥	A♦ K♦
A♠ A♦ A♥	A♣ K♦

Four different sequences of choices produce the same hand

Therefore, this overcounts **3 x 48**.

The solution is **4704 – 3 x 48 = 4560**.



**Is the other argument
correct? How do I avoid
fallacious reasoning?**

The three big mistakes people make in counting are:

1. Creating **objects not in S**
2. **Missing out some objects** from the set S
3. Creating the **same object** many different ways

Addition Rule (Sum Rule)

holds only for a union of disjoint sets

Addition Rule

Let A and B be two disjoint finite sets.

The size of $A \cup B$ is the sum of
the size of A and the size of B.

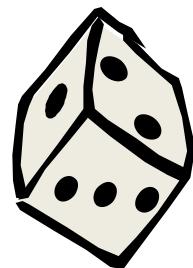
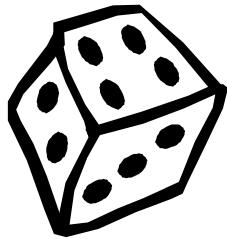
$$|A \cup B| = |A| + |B|$$

Addition Rule

Let $A_1, A_2, A_3, \dots, A_n$ be **disjoint**, finite sets.

$$\left| \bigcup_{k=1}^n A_k \right| = \sum_{k=1}^n |A_k|$$

Example



Suppose I roll a white die and a black die.

What is the number of outcomes where the dice show different values?

Example

Let \mathbf{S} be a set of all outcomes where the dice show different values.

Let \mathbf{T} be a set of outcomes where the two dice agree.

$$|\mathbf{S}| = ?$$

Example

$$|S \cup T| = ?$$

Example

$$|S \cup T| = 36$$

Example

$$|S \cup T| = 36$$

$$|S| + |T| = 36$$

Example

$$|S \cup T| = 36$$

$$|S| + |T| = 36$$

$$|T| = 6$$

Example

$$|S \cup T| = 36$$

$$|S| + |T| = 36$$

$$|T| = 6$$

$$|S| = 30$$

Example

$S \equiv$ Set of all outcomes where the black die shows a **smaller** number than the white die. $|S| = ?$

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$$S = A_1 \cup A_2 \cup A_3 \cup A_4 \cup A_5 \cup A_6$$

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$$S = A_1 \cup A_2 \cup A_3 \cup A_4 \cup A_5 \cup A_6$$
$$|S| = 5 + 4 + 3 + 2 + 1 + 0 = 15$$

Example

$S \equiv$ Set of all outcomes where the black die shows a **smaller** number than the white die. $|S| = ?$

Another way of counting...

Example

$S \equiv$ Set of all outcomes where the black die shows a **smaller** number than the white die.
 $|S| = ?$

$L \equiv$ set of all outcomes where the black die shows a **larger** number than the white die.

Example

$S \equiv$ Set of all outcomes where the black die shows a **smaller** number than the white die.
 $|S| = ?$

$L \equiv$ set of all outcomes where the black die shows a **larger** number than the white die.

$$|S| + |L| = 30$$

Example

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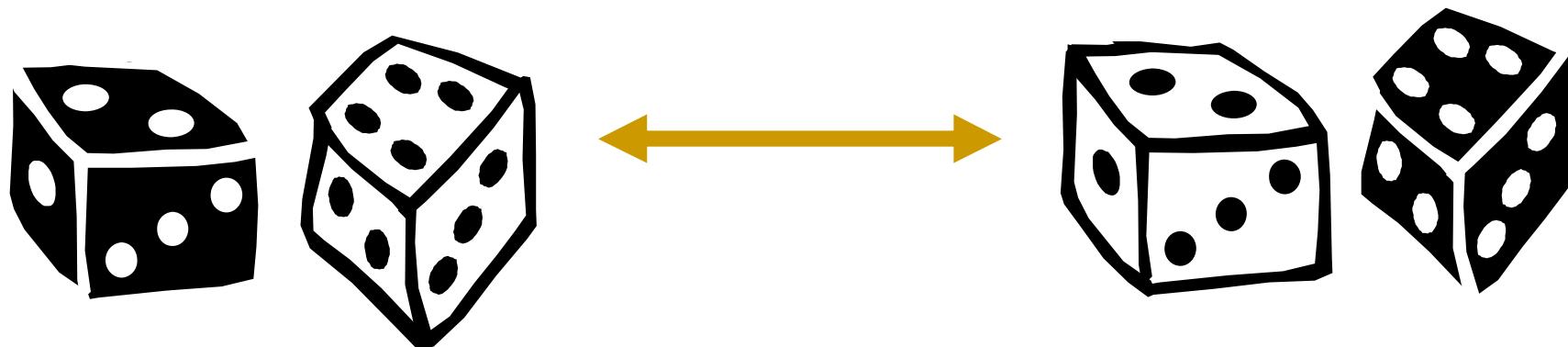
$L \equiv$ set of all outcomes where the black die shows a **larger** number than the white die.

$$|S| + |L| = 30$$

It is clear by **symmetry** that $|S| = |L|$.
Therefore, $|S| = 15$

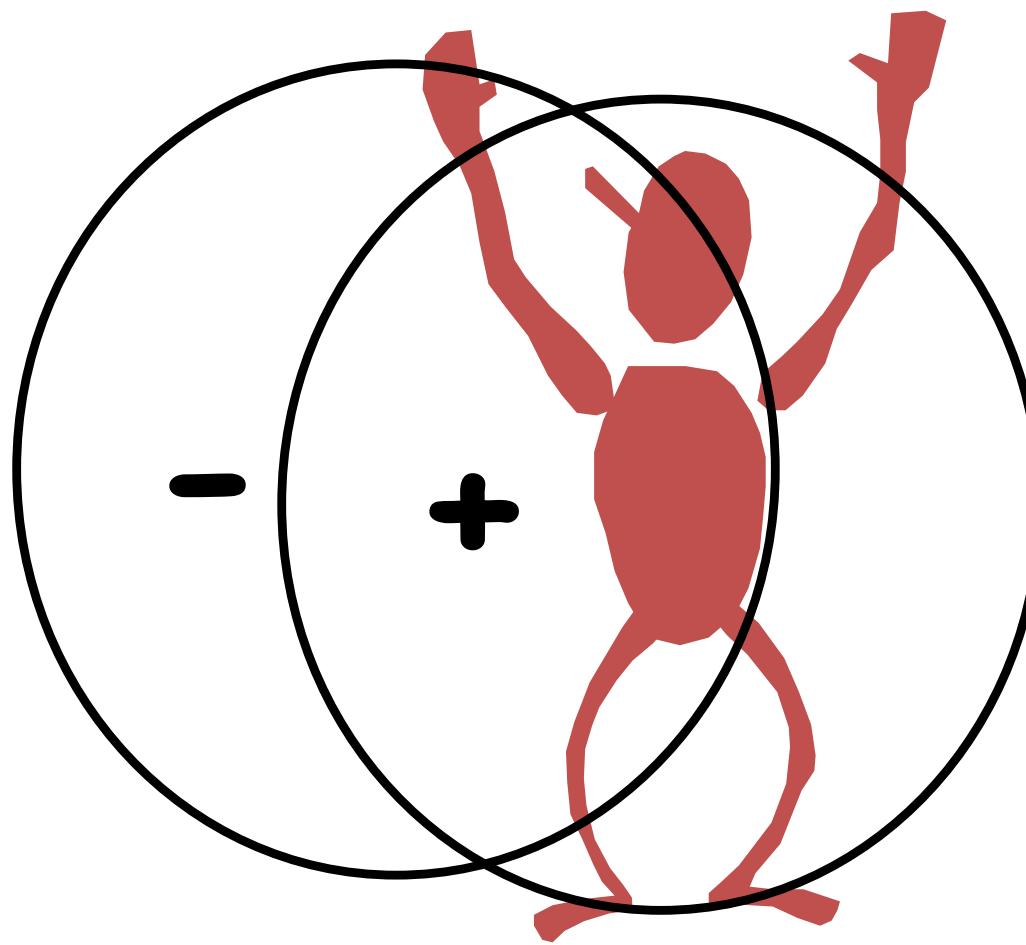
It is clear by symmetry that $|S| = |L|$.

Put each outcome in S in correspondence with an outcome in L by **swapping** the color of the dice.

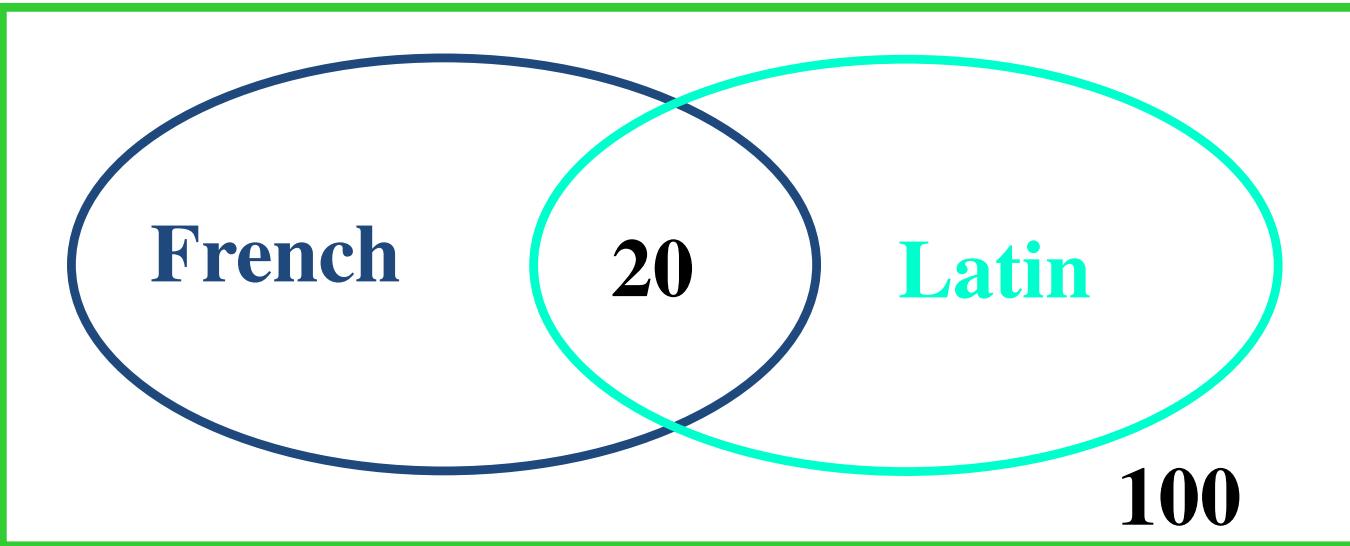


The Principle of Inclusion and Exclusion

To Exclude Or Not To Exclude?



A school has 100 students. 50 take French, 40 take Latin, and 20 take both. How many students take neither language?

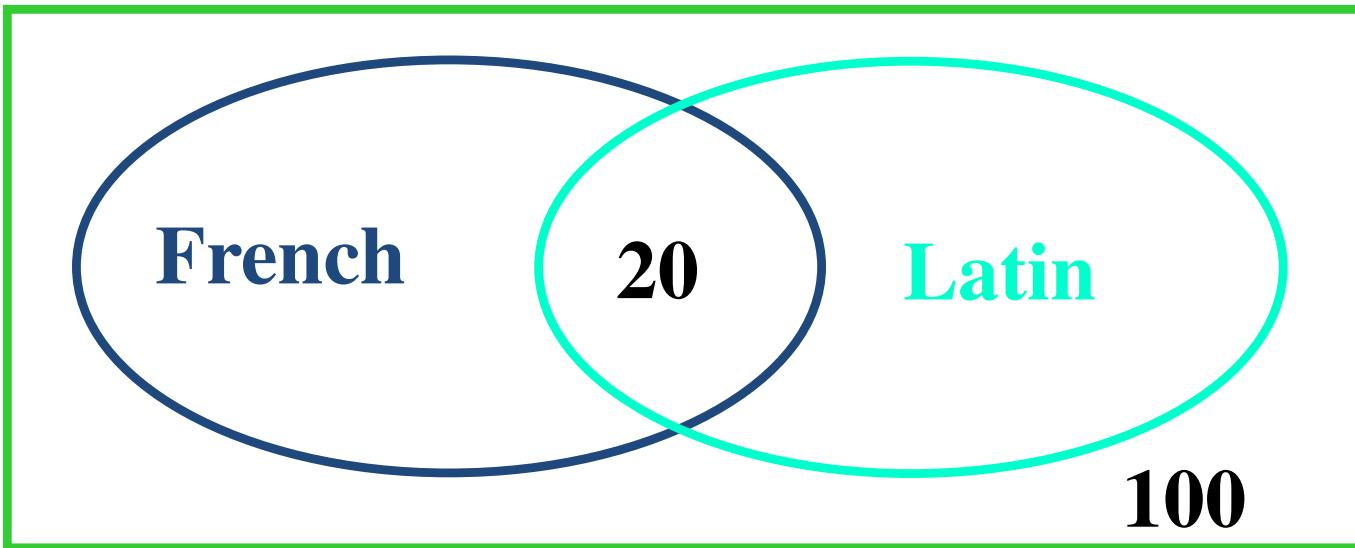


$F \equiv$ French students

$L \equiv$ Latin students

$|F|=50$ $|L|=40$

French AND Latin students: $|F \cap L|=20$



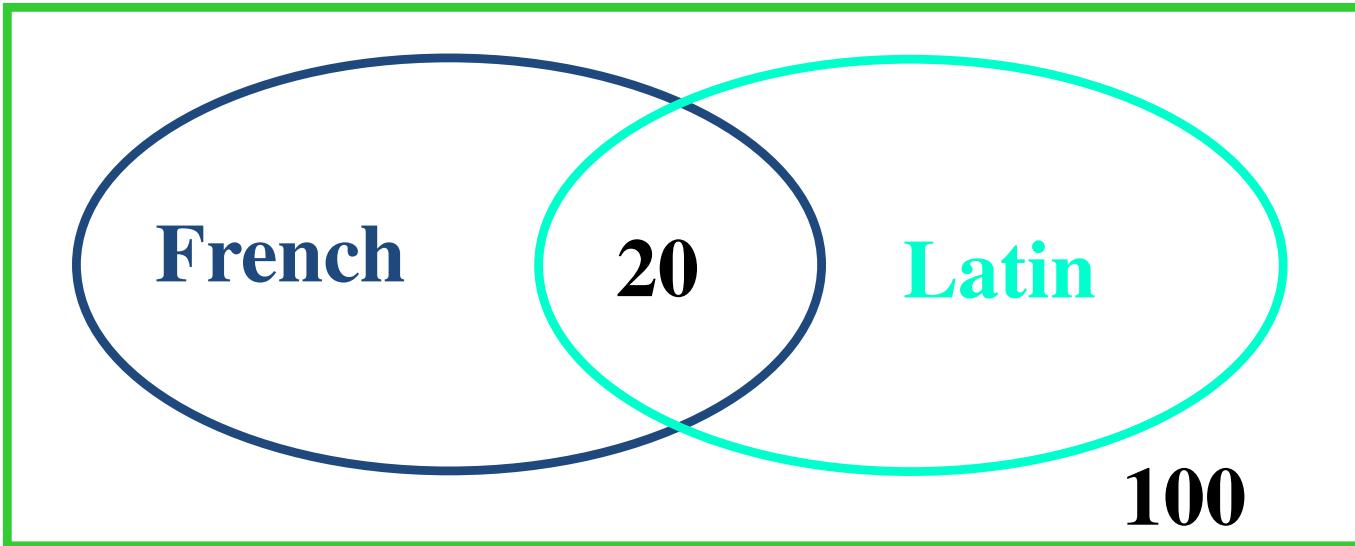
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$L \equiv$ Latin students

$|F|=50$ $|L|=40$

French AND Latin students: $|F \cap L|=20$

French OR Latin students:



$F \equiv$ French students

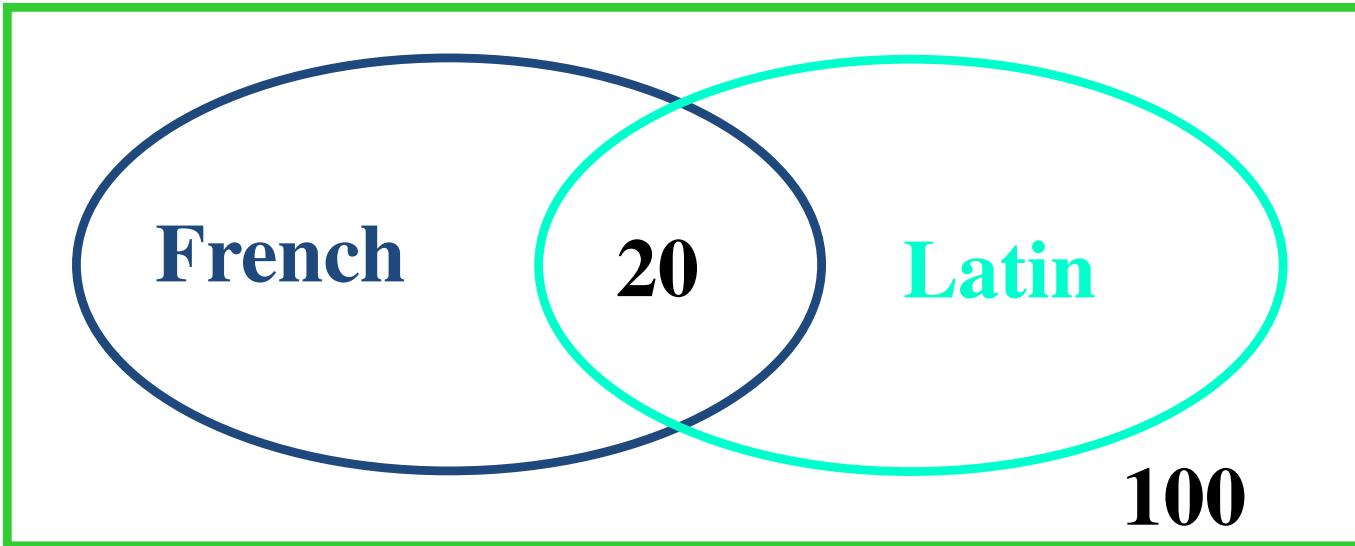
$L \equiv$ Latin students

$$|F|=50 \quad |L|=40$$

French AND Latin students: $|F \cap L|=20$

French OR Latin students:

$$|F \cup L| =$$



$F \equiv$ French students

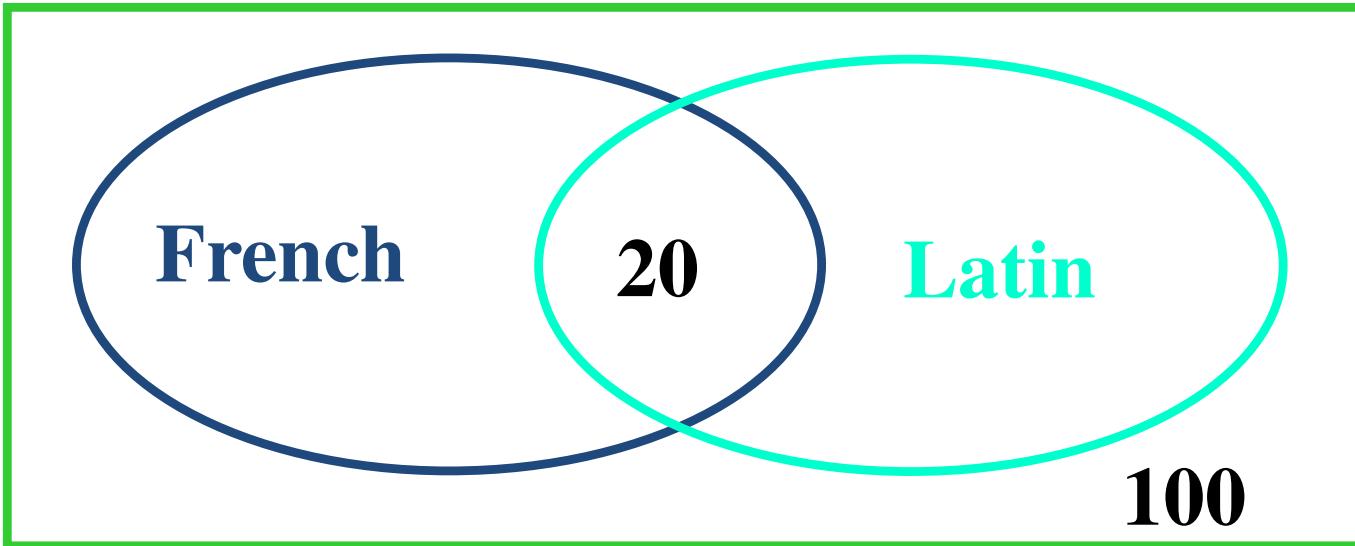
$L \equiv$ Latin students

$$|F|=50 \quad |L|=40$$

French AND Latin students: $|F \cap L|=20$

French OR Latin students:

$$\begin{aligned}|F \cup L| &= |F| + |L| - |F \cap L| \\&= 50 + 40 - 20 = 70\end{aligned}$$



$F \equiv$ French students

$L \equiv$ Latin students

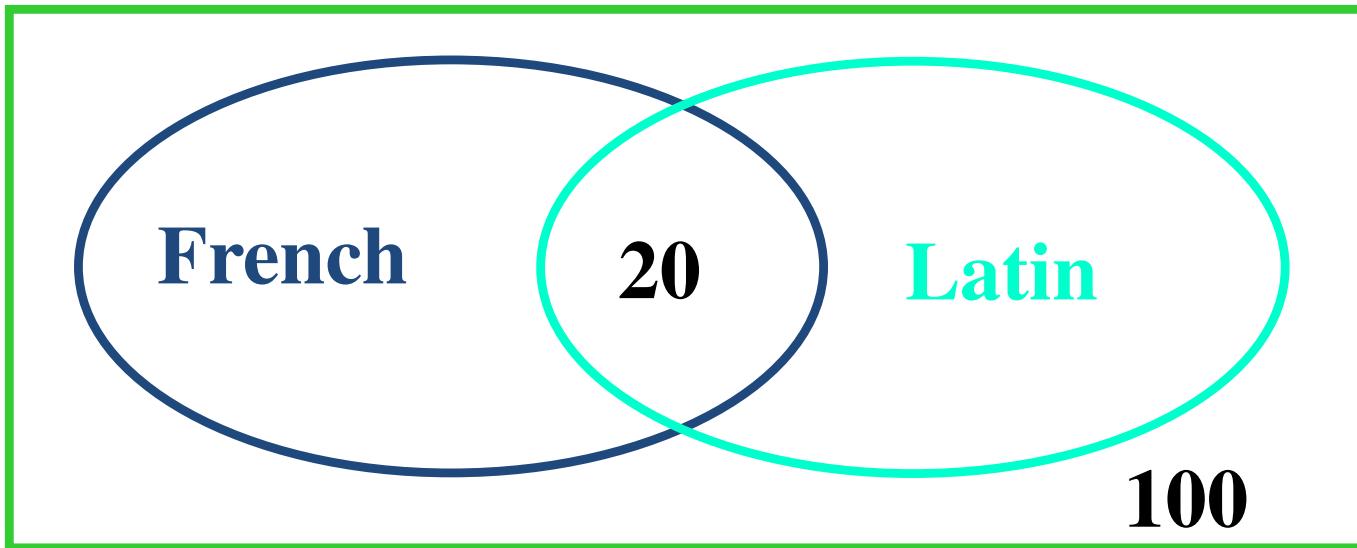
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Neither language:



$F \equiv$ French students

$L \equiv$ Latin students

$$|F|=50 \quad |L|=40$$

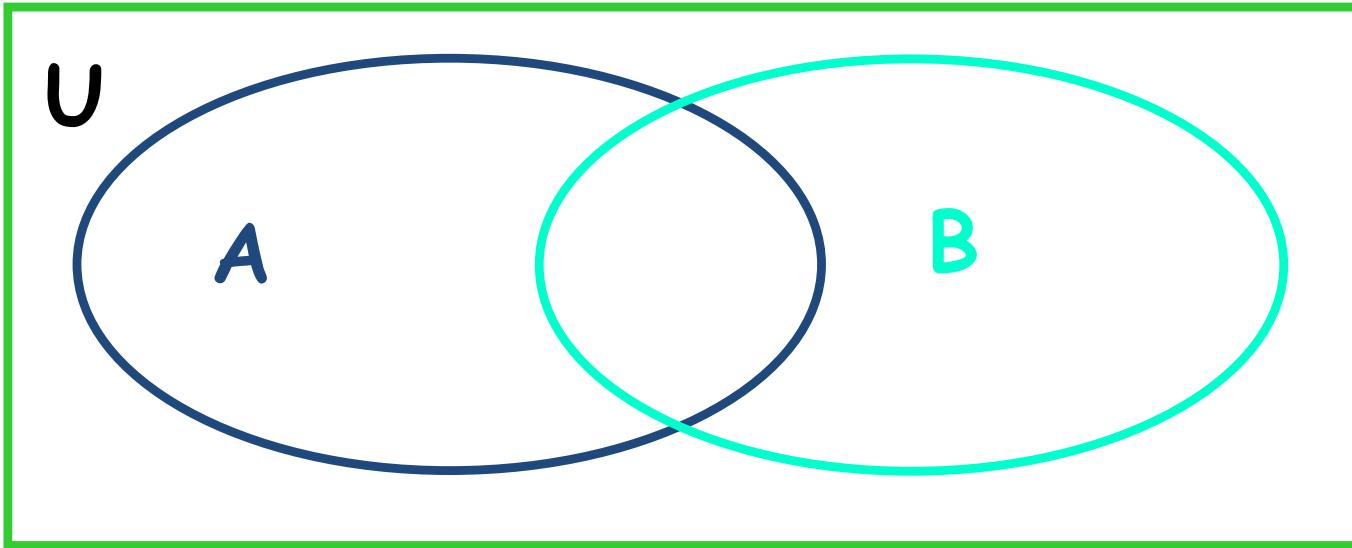
French AND Latin students: $|F \cap L|=20$

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Neither language:

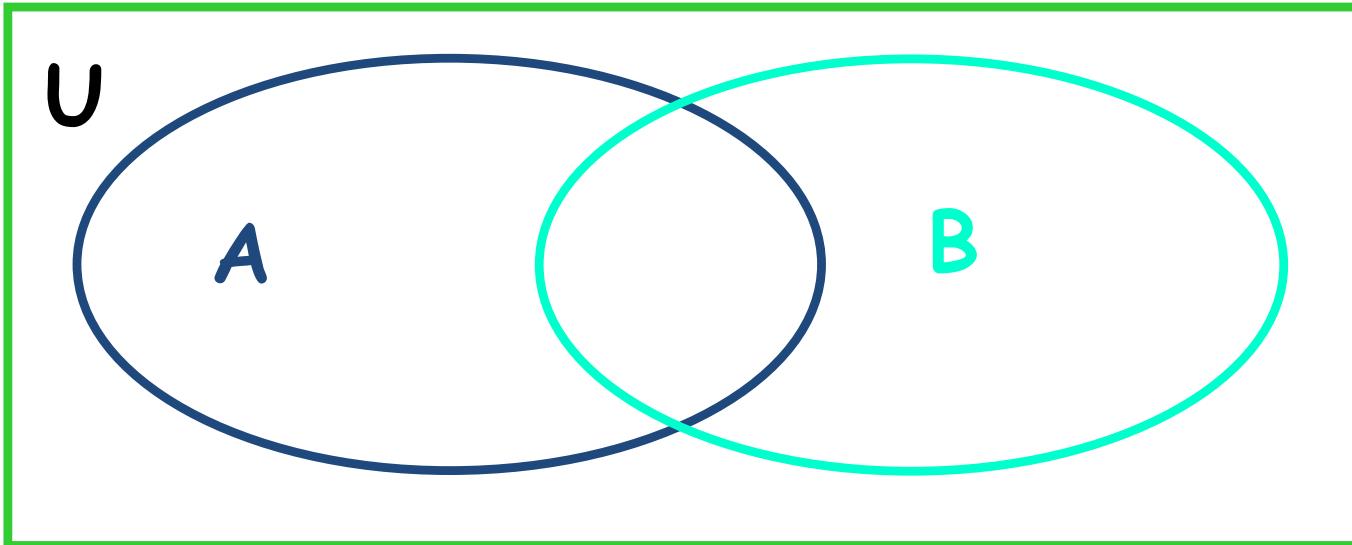
$$100 - 70 = 30$$



Lesson: The Principle of Inclusion and Exclusion

$$|A \cup B| = |A| + |B| - |A \cap B|$$

The number of elements in at least one of sets A or B



$U \equiv$ universe of elements

$$\bar{A} = U - A$$

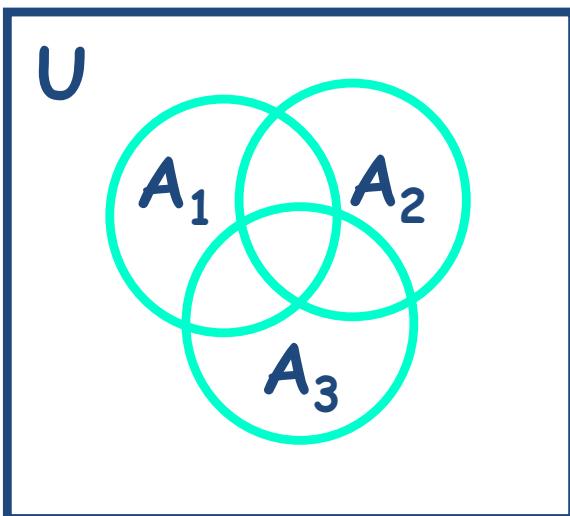
$$\bar{B} = U - B$$

Lesson: The Principle of Inclusion and Exclusion

$$|A \cup B| = |A| + |B| - |A \cap B|$$

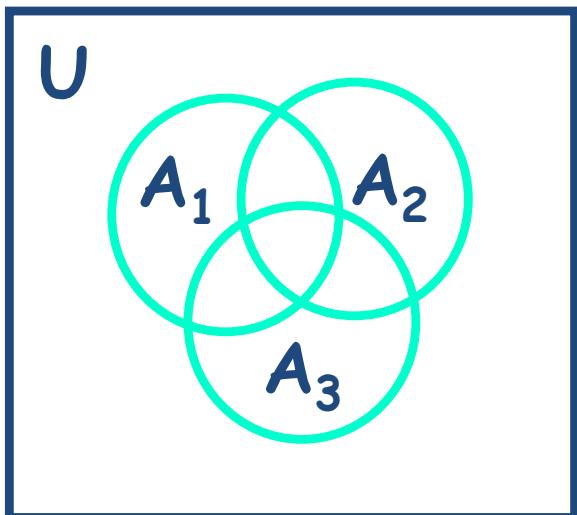
$$|\bar{A} \cap \bar{B}| = |U| - (|A| + |B|) + |A \cap B|$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?



$$U = [1..70]$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?



$$U = [1..70]$$

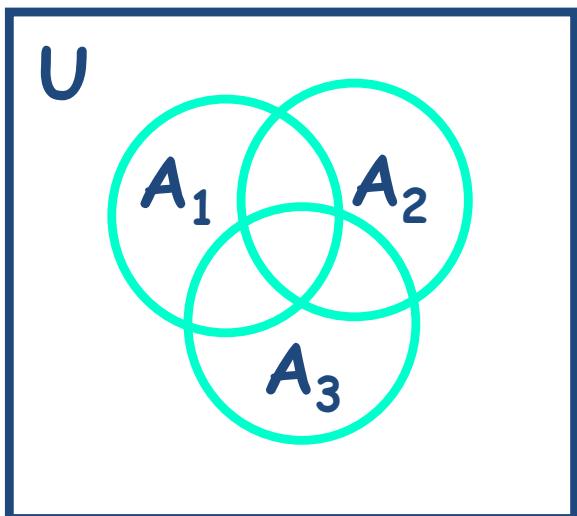
$A_1 \equiv$ integers in U divisible by 2

$A_2 \equiv$ integers in U divisible by 5

$A_3 \equiv$ integers in U divisible by 7

$$|A_1| = 35 \quad |A_2| = 14 \quad |A_3| = 10$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?



$$U = [1..70]$$

$A_1 \equiv$ integers in U divisible by 2

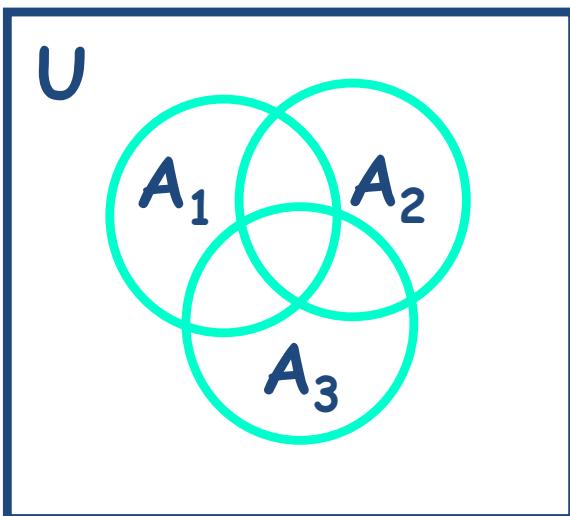
$A_2 \equiv$ integers in U divisible by 5

$A_3 \equiv$ integers in U divisible by 7

$$|A_1| = 35 \quad |A_2| = 14 \quad |A_3| = 10$$

$$|A_1 \cap A_2| = 7, |A_1 \cap A_3| = 5, |A_2 \cap A_3| = 2, |A_1 \cap A_2 \cap A_3| = 1$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?



$$U = [1..70]$$

$A_1 \equiv$ integers in U divisible by 2

$A_2 \equiv$ integers in U divisible by 5

$A_3 \equiv$ integers in U divisible by 7

$$|A_1| = 35 \quad |A_2| = 14 \quad |A_3| = 10$$

$$|A_1 \cap A_2| = 7, |A_1 \cap A_3| = 5, |A_2 \cap A_3| = 2, |A_1 \cap A_2 \cap A_3| = 1$$

$$\begin{aligned} |A_1 \cup A_2 \cup A_3| &= |A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| \\ &\quad + |A_1 \cap A_2 \cap A_3| \end{aligned}$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?

$$|A_1 \cup A_2 \cup A_3| = |A_1| + |A_2| + |A_3| - \\ |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?

$$|A_1 \cup A_2 \cup A_3| = |A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

$$|A_1 \cup A_2 \cup A_3| = 35 + 14 + 10 - 7 - 5 - 2 + 1 = 46$$

How many positive integers at most 70 are multiple of 2 or 5 or 7?

$$|A_1 \cup A_2 \cup A_3| = |A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

$$|A_1 \cup A_2 \cup A_3| = 35 + 14 + 10 - 7 - 5 - 2 + 1 = 46$$

Thus, the number of multiple of 2 or 5 or 7 is $70 - 46 = 24$.

The Principle of Inclusion and Exclusion

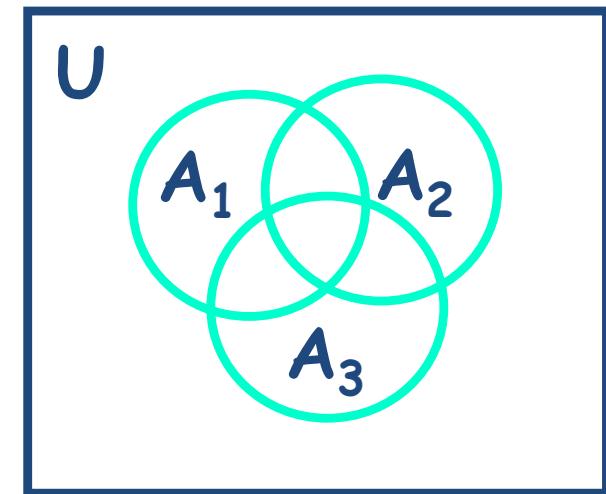
Let S_k be the sum of the sizes of All k -tuple intersections of the A_i 's.

$$S_1 = |A_1| + |A_2| + |A_3|$$

$$S_2 = |A_1 \cap A_2| + |A_1 \cap A_3| + |A_2 \cap A_3|$$

$$S_3 = |A_1 \cap A_2 \cap A_3|$$

$$|A_1 \cup A_2 \cup A_3| = S_1 - S_2 + S_3$$



The General Principle of Inclusion and Exclusion

If $(A_i)_{1 \leq i \leq n}$ is a finite set, then

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{i=1}^n |A_i| - \sum_{i < j} |A_i \cap A_j| + \sum_{i < j < k} |A_i \cap A_j \cap A_k| - \cdots + (-1)^{n-1} |A_1 \cap \cdots \cap A_n|$$