

# **Discrete Mathematics**

## **Basic Counting Techniques**

# Counting with Repetitions

How many ways to rearrange the letters in the word “SYSTEMS”?

# SYSTEMS

— / — / — / — / — / — / —

7 places to put the Y,  
6 places to put the T,  
5 places to put the E,  
4 places to put the M,  
and the S's are forced

$$7 \times 6 \times 5 \times 4 = 840$$

# SYSTEMS

Let's pretend that the S's are distinct:

$S_1 Y S_2 T E M S_3$

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There are  $7!$  permutations of  $S_1 Y S_2 T E M S_3$

# SYSTEMS

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There are  $7!$  permutations of  $S_1 Y S_2 T E M S_3$

But when we stop pretending we see that we have counted each arrangement of SYSTEMS  $3!$  times, once for each of  $3!$  rearrangements of

$S_1 S_2 S_3$

$$\frac{7!}{3!} = 840$$

# SYSTEMS

1 Y / 1 T/ 1 E/ 1 M/ 3 S



# SYSTEMS

1 Y / 1 T / 1 E / 1 M / 3 S

7 x 6 x 5 x 4 x 1

$$\binom{7}{1} \binom{7-1}{1} \binom{7-2}{1} \binom{7-3}{1} \binom{7-4}{3}$$

# SYSTEMS

1 Y / 1 T / 1 E / 1 M / 3 S

$$\binom{7}{1} \binom{7-1}{1} \binom{7-2}{1} \binom{7-3}{1} \binom{7-4}{3}$$

$$(7!/(6!1!))(6!/(5!1!))(5!/(4!1!))(4!/(3!1!))(3!/3!)$$

# SYSTEMS

1 Y / 1 T / 1 E / 1 M / 3 S

$$\binom{7}{1} \binom{7-1}{1} \binom{7-2}{1} \binom{7-3}{1} \binom{7-4}{3}$$

$$(7!/(6!1!))(6!/(5!1!))(5!/(4!1!))(4!/(3!1!))(3!/3!)$$

# SYSTEMS

1 Y / 1 T / 1 E / 1 M / 3 S

$$\binom{7}{1} \binom{7-1}{1} \binom{7-2}{1} \binom{7-3}{1} \binom{7-4}{3}$$

$$(7!/(6!1!))(6!/(5!1!))(5!/(4!1!))(4!/(3!1!))(3!/3!)$$

$$7!/1!1!1!1!3!$$

## Subset Split Rule :

Arrange  $n$  symbols:  $r_1$  of type 1,  $r_2$  of type 2, ...,  $r_k$  of type  $k$

$$\binom{n}{r_1} \binom{n-r_1}{r_2} \cdots \binom{n-r_1-r_2-\cdots-r_{k-1}}{r_k}$$

$$= \frac{n!}{(n-r_1)!r_1!} \frac{(n-r_1)!}{(n-r_1-r_2)!r_2!} \cdots$$

$$= \frac{n!}{r_1!r_2! \cdots r_k!}$$

How many ways to rearrange the  
letters in the word  
“CARNEGIEMELLON”?

How many ways to rearrange the  
letters in the word  
“CARNEGIEMELLON”?

$$\frac{14!}{2!3!2!} = 3,632,428,800$$

# Bookkeeper Rule

Let  $l_1, \dots, l_m$  be distinct elements. The number of sequences with  $k_1$  occurrences of  $l_1$ , and  $k_2$  occurrences of  $l_2, \dots$ , and  $k_m$  occurrences of  $l_m$  is

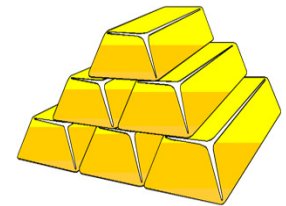
$$\frac{(k_1 + k_2 + \dots + k_m)!}{k_1! k_2! \dots k_m!}$$



# **Pirates and Bars of Gold**



5 distinct pirates want to divide 20 identical, indivisible bars of gold. How many different ways can they divide up the loot?



Sequences with 20 G's and 4 /'s

# Sequences with 20 G's and 4 /'s

GG/G//GGGGGGGGGGGGGGGGGGGG/G

represents the following division among the pirates

1st pirate gets 2

2<sup>nd</sup> pirate gets 1

3<sup>rd</sup> gets nothing

4<sup>th</sup> gets 16

5<sup>th</sup> gets 1

# Sequences with 20 G's and 4 /'s

GG/G//GGGGGGGGGGGGGGGGGGGGGGGG/G

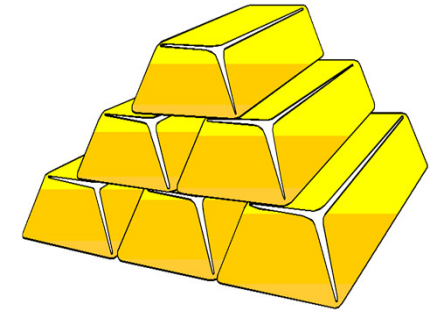
In general, the  $k^{\text{th}}$  pirate gets the number of G's after the “ $k-1^{\text{st}}$  /” and before the “ $k^{\text{th}}$  /”.

This gives a correspondence between divisions of the gold and sequences with 20 G's and 4 /'s.

# How many different ways to divide up the loot?

How many sequences with 20 G's and 4 /'s?

$$\binom{24}{4} = \binom{20+5-1}{5-1}$$

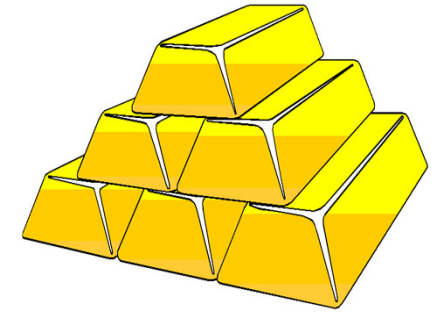
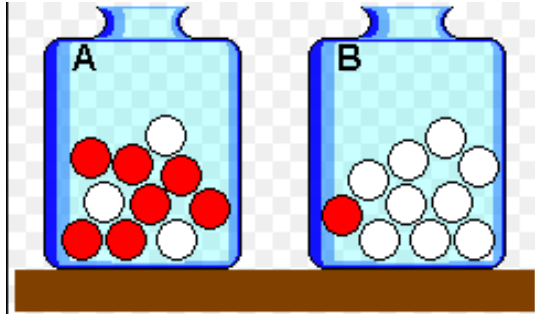


How many different ways can  $n$  distinct pirates divide  $k$  identical, indivisible bars of gold?

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$



## Another interpretation



How many different ways to put  $k$  indistinguishable balls into  $n$  distinguishable urns.

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$

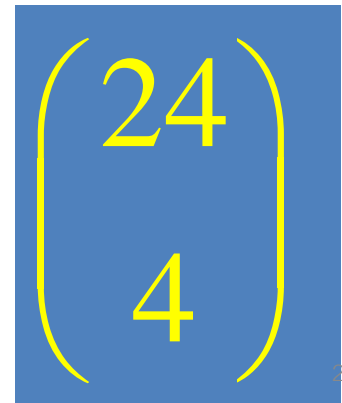
## Another interpretation

How many **integer solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

Think of  $x_k$  as being the number of gold bars that are allotted to pirate  $k$ .


$$\binom{24}{4}$$



How many **integer nonnegative solutions** to the following equations?

$$x_1 + x_2 + \dots + x_n = k$$

$$x_1, x_2, \dots, x_n \geq 0$$

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + \dots + x_n = k$$

$$x_1, x_2, x_3, \dots, x_n > 0$$

Think of  $x_k \geq 1$ , we may replace  $x_k$  with  $y_k + 1$

**bijection** with solutions to

$$y_1 + y_2 + y_3 + \dots + y_n = k - n$$

$$y_1, y_2, y_3, \dots, y_n \geq 0$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 > 0$$

**bijection** with solutions to

$$y_1 + y_2 + y_3 + y_4 + y_5 = 20-5$$

$$y_1, y_2, y_3, y_4, y_5 \geq 0$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 > 0$$

**bijection** with solutions to

$$y_1 + y_2 + y_3 + y_4 + y_5 = 15$$

$$y_1, y_2, y_3, y_4, y_5 \geq 0$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 > 0$$

**bijection** with solutions to

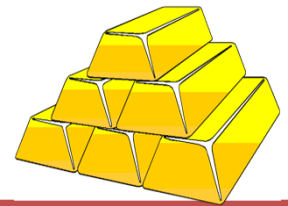
$$y_1 + y_2 + y_3 + y_4 + y_5 = 15$$

$$y_1, y_2, y_3, y_4, y_5 \geq 0$$

$$\binom{19}{4}$$



5 distinct pirates want to divide 20 identical, indivisible bars of gold. How many different ways can they divide up the loot? **No pirate gets 0 bar.**



$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 > 0$$

**bijection** with solutions to

$$y_1 + y_2 + y_3 + y_4 + y_5 = 15$$

$$y_1, y_2, y_3, y_4, y_5 \geq 0$$

$$\binom{19}{4}$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 \leq 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

**bijection** with solutions to

$$x_1 + x_2 + x_3 + x_4 + x_5 + n = 20$$

$$x_1, x_2, x_3, x_4, x_5, n \geq 0$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 \leq 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

**bijection** with solutions to

$$x_1 + x_2 + x_3 + x_4 + x_5 + n = 20$$

$$x_1, x_2, x_3, x_4, x_5, n \geq 0$$

$$\binom{25}{5}$$



How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1 \geq 1, x_2 \geq 2, x_3 \geq 3, x_4 \geq 4, x_5 \geq 5$$

**bijection** with solutions to

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20 - 1 - 2 - 3 - 4 - 5$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1 \geq 1, x_2 \geq 2, x_3 \geq 3, x_4 \geq 4, x_5 \geq 5$$

**bijection** with solutions to

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20-1-2-3-4-5$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

$$\binom{9}{4}$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1 \geq 1, x_2 \geq 2, x_3 \geq 3, x_4 \geq 4, x_5 \geq 5$$

**bijection** with solutions to

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20-1-2-3-4-5$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

$$\binom{9}{4}$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0 \text{ and } x_1 \leq 9$$

**its complement is**

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0 \text{ and } x_1 \geq 10$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0 \text{ and } x_1 \leq 9$$

**its complement is**

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20 - 10$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0$$

$$\binom{14}{4}$$

How many **integer positive solutions** to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0 \text{ and } x_1 \leq 9$$

$$\binom{24}{4} - \binom{14}{4}$$

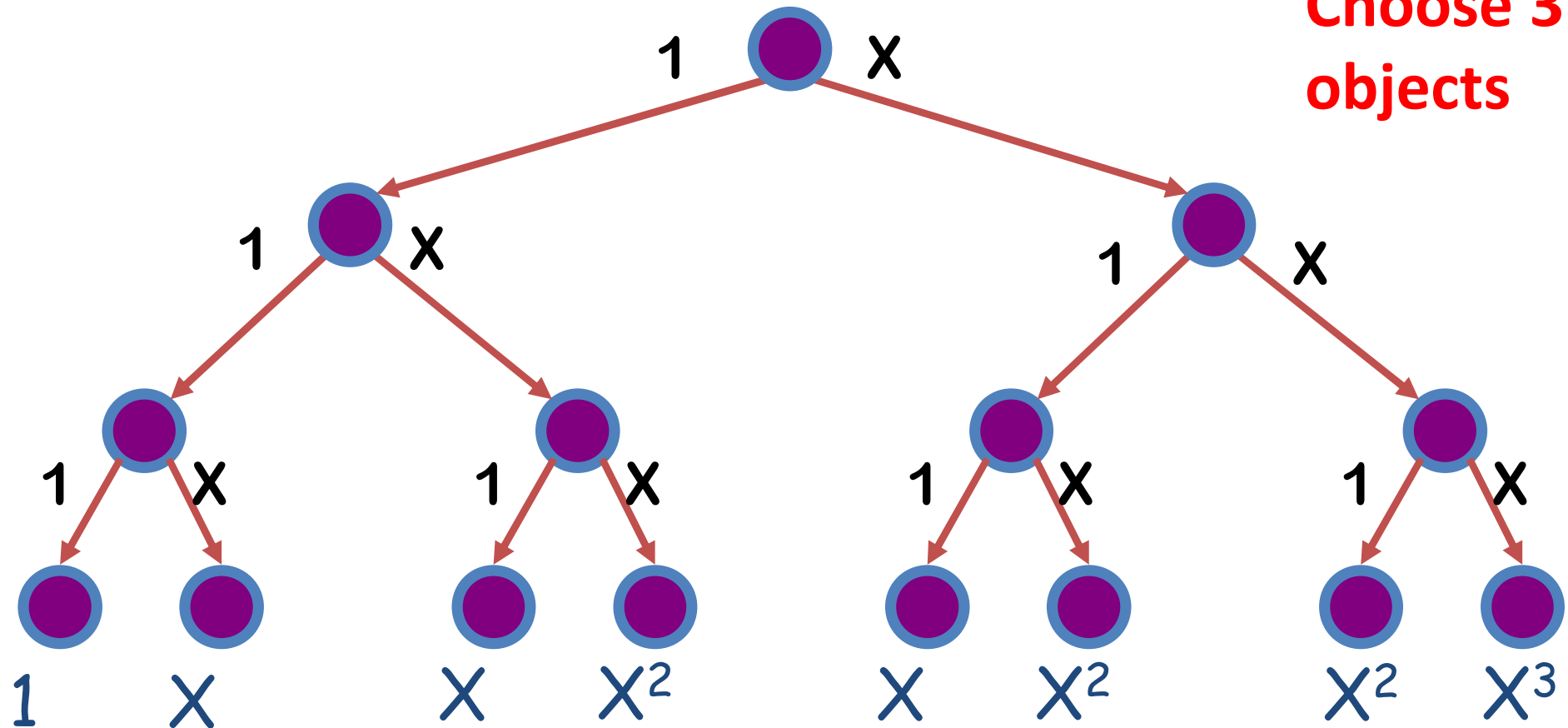
# **Binomial Theorem**

There is a correspondence between paths in a choice tree and the cross terms of the product of polynomials!



# Choice tree for terms of $(1+X)^3$

Choose 3  
objects

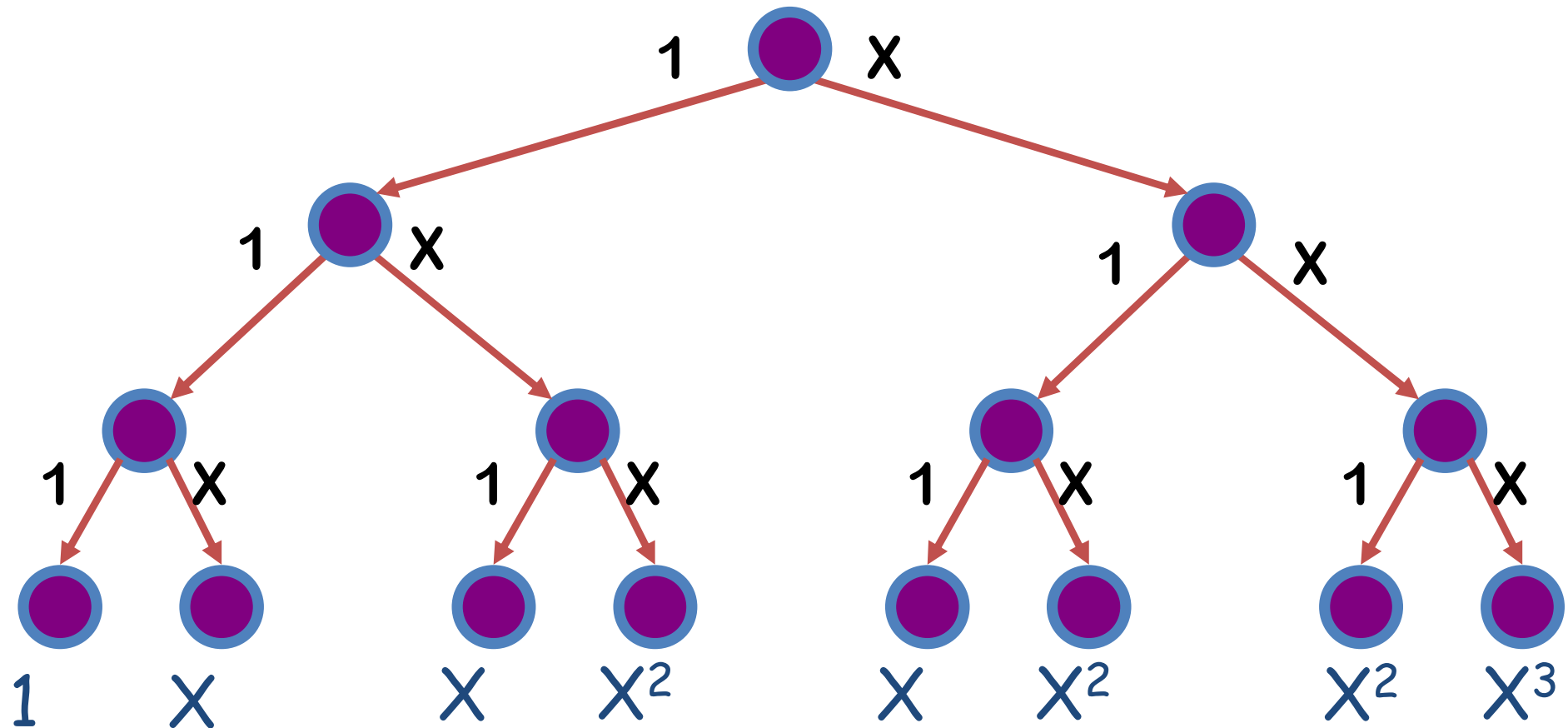


Each Object

1 way for not choosing an object  
1 way for choosing an object

$$1+X$$

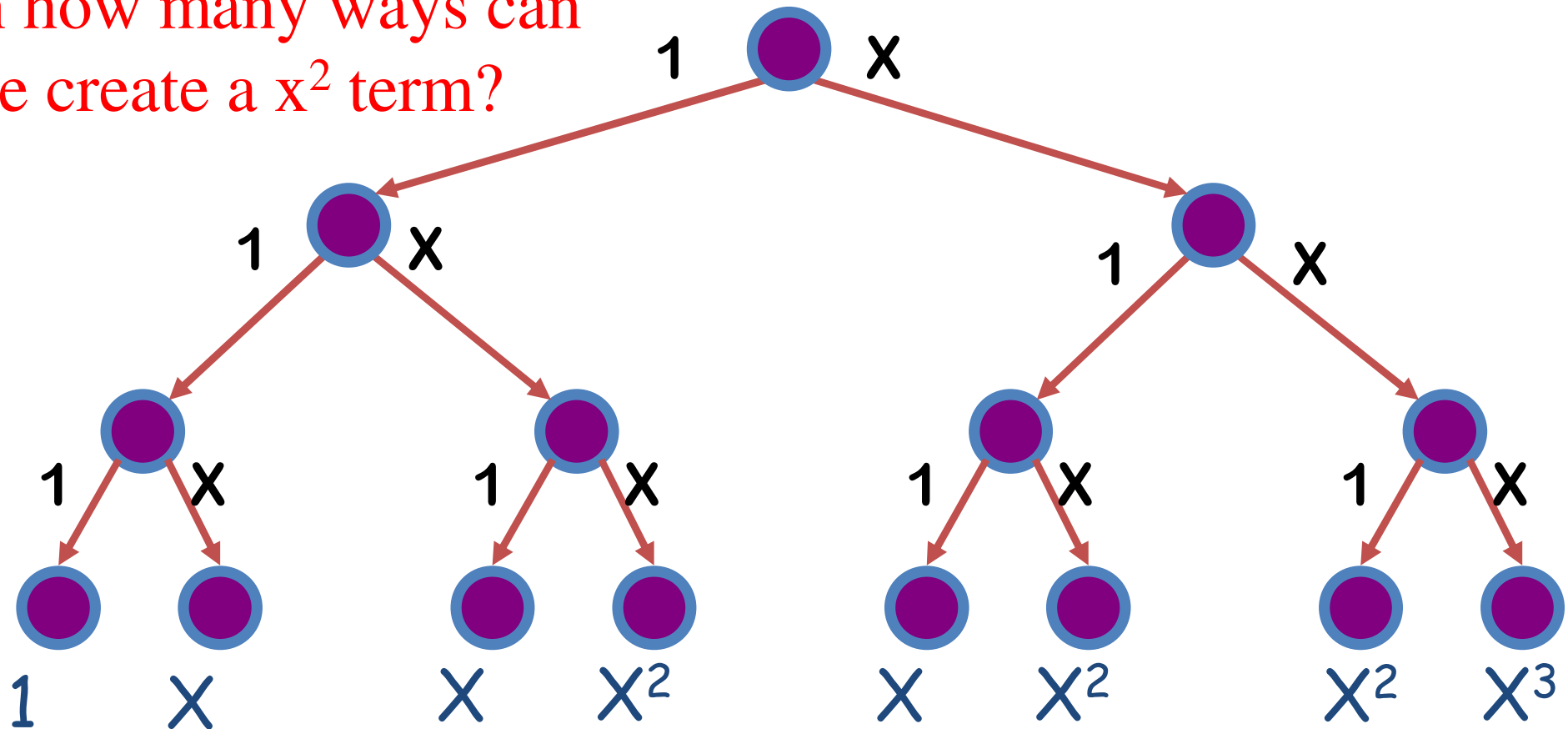
# Choice tree for terms of $(1+X)^3$



Combine like terms to get  $1 + 3X + 3X^2 + X^3$

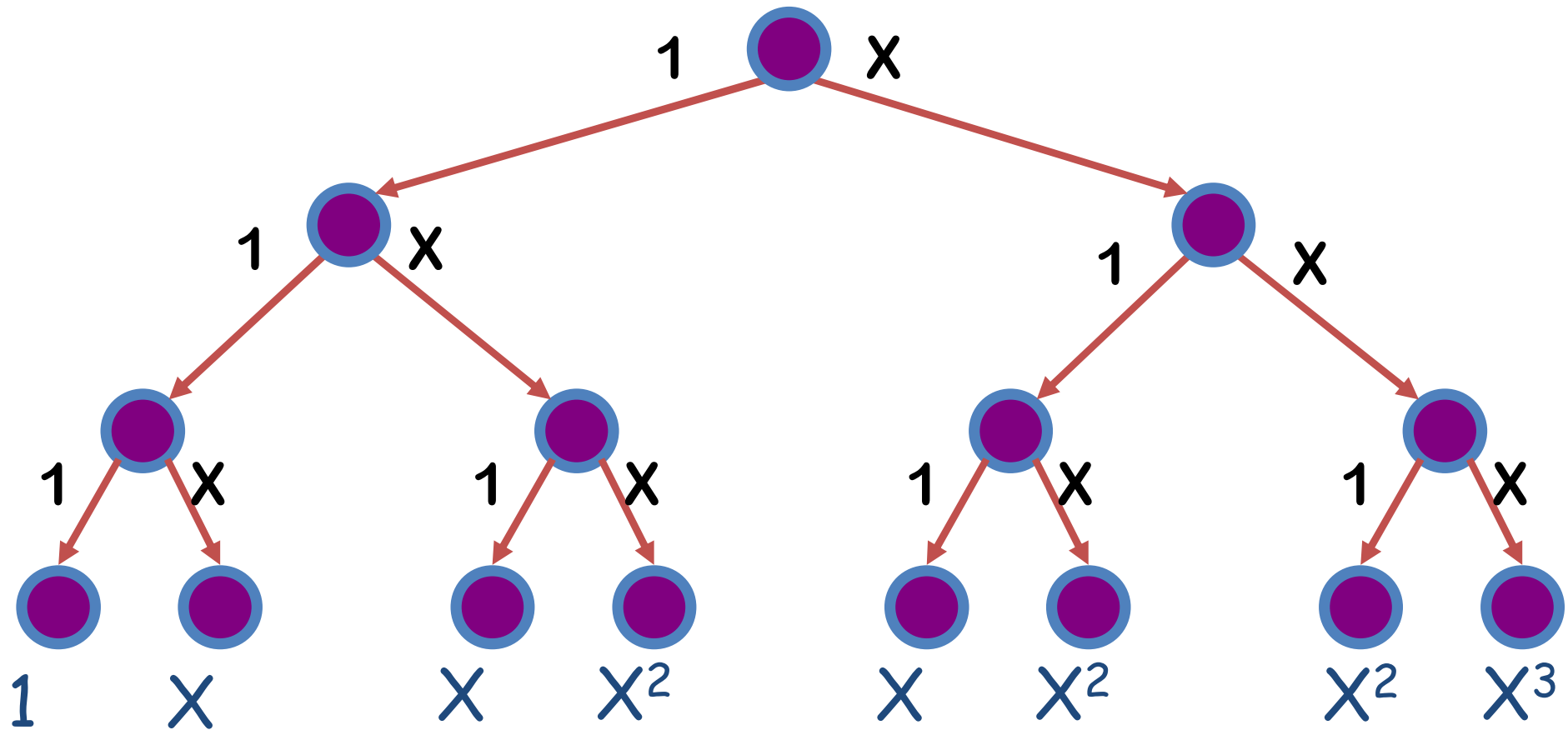
$$(1+X)^3 = 1 + 3X + 3X^2 + X^3$$

In how many ways can we create a  $x^2$  term?



What is the combinatorial meaning of those coefficients?

$$(1+X)^3 = 1 + 3X + 3X^2 + X^3$$



1 way to choose no objects  
 3 ways to choose one object  
 3 ways to choose two objects  
 1 way to choose three objects

# What is a closed form expression for $c_k$ ?

$$(1+x)^n = c_0 + c_1x + c_2x^2 + \dots + c_nx^n$$

In how many ways can we create a  $x^2$  term?

# What is a closed form expression for $c_k$ ?

$$\begin{aligned} & (1 + X)^n \\ & \underbrace{\hspace{10em} n \text{ times}} \\ & = (1 + X)(1 + X)(1 + X)(1 + X) \dots (1 + X) \end{aligned}$$

After multiplying things out, but before combining like terms, we get  $2^n$  cross terms, each corresponding to a path in the choice tree.

$c_k$ , the coefficient of  $X^k$ , is the number of paths with **exactly**  $k$   $X$ 's.

$$c_k = \binom{n}{k}$$

# The Binomial Theorem

$$(1+x)^n = \binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n$$

Binomial Coefficients

binomial  
expression

## The Binomial Formula

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$



$$\begin{aligned}
 (a + b)^4 = & \quad aaaa + aaab + aaba + aabb \\
 & + abaa + abab + abba + abbb \\
 & + baaa + baab + baba + babb \\
 & + bbaa + bbab + bbba + bbbb
 \end{aligned}$$

All length 4  
sequences

$$(a + b)^4 = \binom{4}{0} \cdot a^4 b^0 + \binom{4}{1} \cdot a^3 b^1 + \binom{4}{2} \cdot a^2 b^2 + \binom{4}{3} \cdot a^1 b^3 + \binom{4}{4} \cdot a^0 b^4$$

What is the coefficient of  
EMPTY in the expansion of  
 $(E + M + P + T + Y)^5$  ?

$$5! = 5!/1!1!1!1!1!$$

What is the coefficient of  
 $EMP^3TY$  in the expansion of  
 $(E + M + P + T + Y)^7$ ?

$$7!/1!1!3!1!1!$$

What is the coefficient of  $BA^3N^2$   
in the expansion of  
 $(B + A + N)^6$ ?

The number of ways to  
rearrange the letters in  
the word BANANA

$$6!/1!3!2!$$

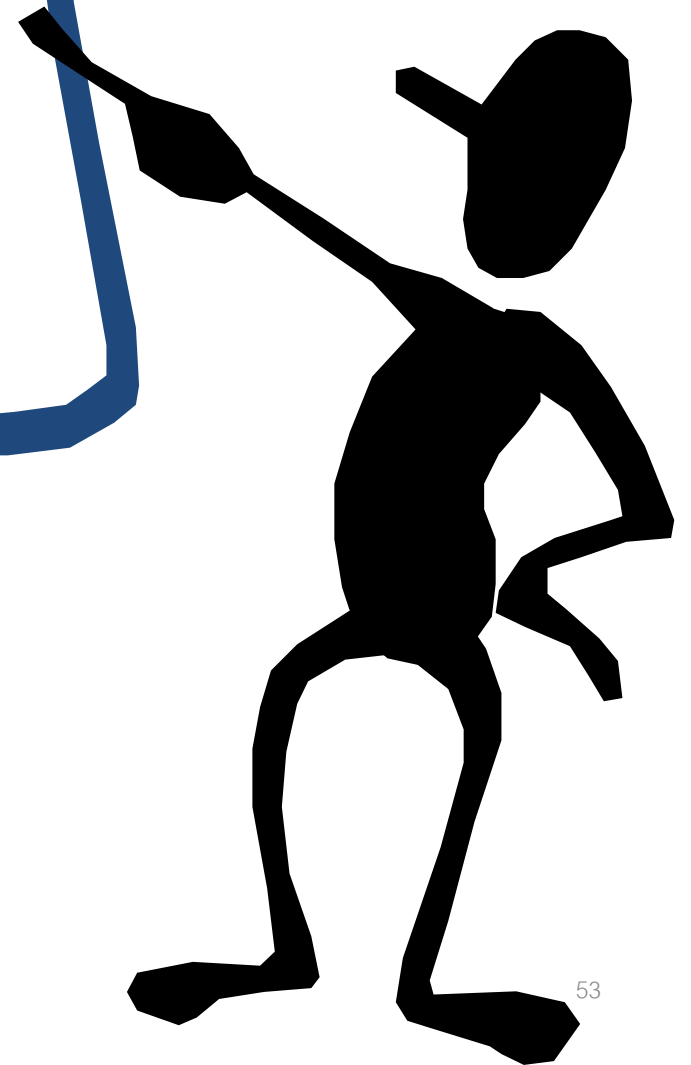
What is the coefficient of

$$x_1^{r_1} x_2^{r_2} x_3^{r_3} \dots x_k^{r_k}$$

in the expansion of

$$(x_1 + x_2 + \dots + x_k)^n?$$

$$\frac{n!}{r_1! r_2! \dots r_k!}$$

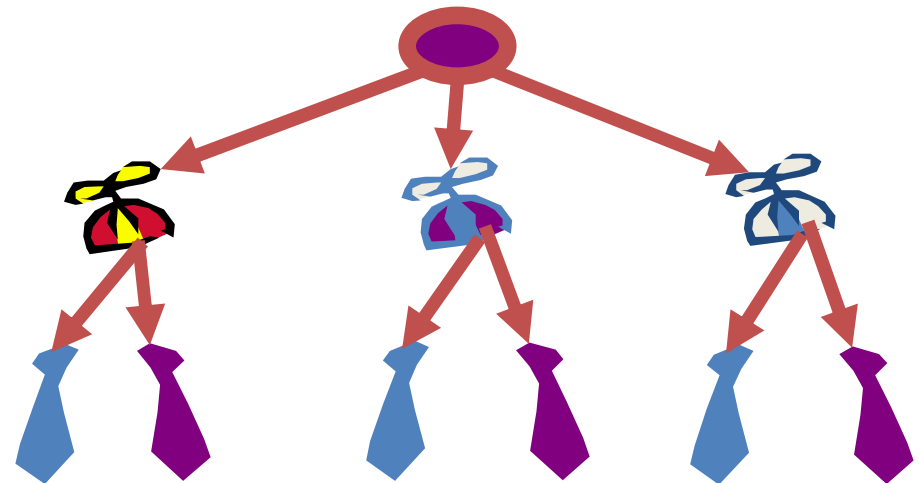


# The Multinomial Formula

$$\begin{aligned} & (X_1 + X_2 + \dots + X_k)^n \\ &= \sum_{\substack{r_1, r_2, \dots, r_k \\ \sum r_i = n}} \binom{n}{r_1; r_2; \dots; r_k} X_1^{r_1} X_2^{r_2} X_3^{r_3} \dots X_k^{r_k} \end{aligned}$$

# Counting with Generating Functions

There is a correspondence  
between paths in a choice  
tree and the cross terms of  
the product of polynomials!

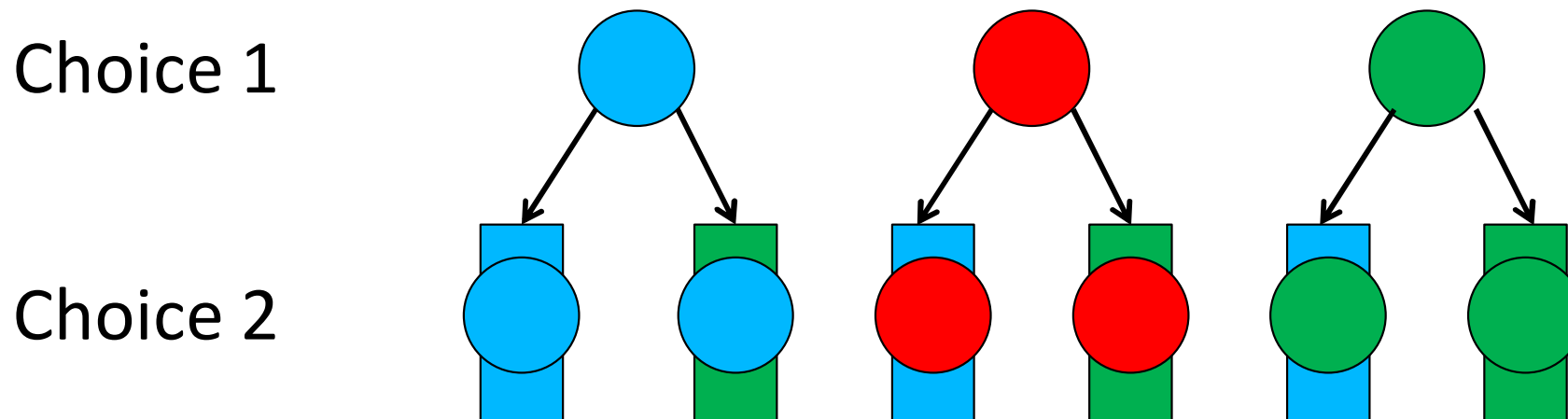




# A Particular Counting Problem

Let's talk about a particular counting problem

Danny owns 3 beanies and 2 ties. **How many ways can he dress up in a beanie and a tie?** (choose one for each type)

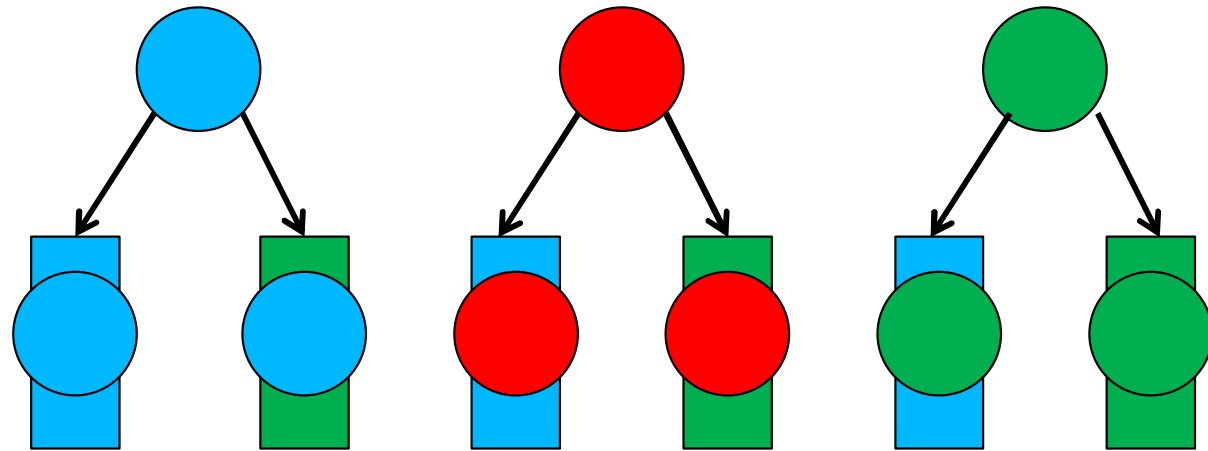


# A Particular Counting Problem

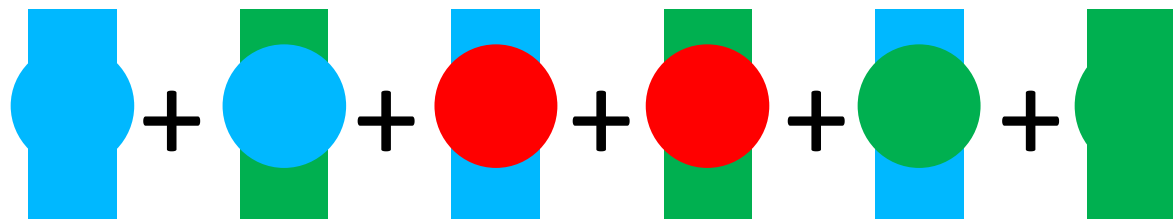
Danny owns 3 beanies and 2 ties. **How many ways can he dress up in a beanie and a tie?**

Choice 1

Choice 2



$$(\text{blue circle} + \text{red circle} + \text{green circle}) (\text{blue rectangle} + \text{green rectangle}) =$$



# A Particular Counting Problem

Danny owns 3 beanies and 2 ties. **How many ways can he dress up in a beanie and a tie?**

How many beanies are we choosing?

How many hats?

Since we only care about the NUMBER,  
we can replace beanies and hats with 'x'

$$(\text{blue circle } x + \text{red circle } x + \text{green circle } x) (\text{blue rectangle } x + \text{green rectangle } x) =$$

$$\text{blue rectangle } x^2 + \text{green rectangle } x^2 + \text{blue rectangle } x^2 + \text{green rectangle } x^2 + \text{blue rectangle } x^2 + \text{green rectangle } x^2 = 6x^2$$

That is, 6 is the number of ways to choose 2 things

# A General Counting Problem

Danny owns 3 beanies and 2 ties. How many ways can he dress up if **he doesn't always wear a beanie or a tie (and wears at most one of each)**?

How many ways for a beanie?

- 1) 1 way for no beanie
- 2) 3 ways for one beanie

$$1 + 3x$$

How many ways for a tie?

- 1) 1 way for no tie
- 2) 2 ways for one tie

$$1 + 2x$$

$$(1 + 3x)(1 + 2x) = 1 + 5x + 6x^2$$

## Problem

You are allowed to **choose two items** from a tray containing an apple, an orange, a pear, a banana, and a plum. In how many ways can you choose?

$$\begin{pmatrix} 5 \\ 2 \end{pmatrix}$$

# Counting with Generating Functions

(0 apple + 1 apple)(0 orange + 1 orange)  
(0 pear + 1 pear)(0 banana + 1 banana)  
(0 plum + 1 plum)

In this notation,  $\text{apple}^2$  stand for choosing 2 apples., and  $+$  stands for **an exclusive or**.

$$(1+x)^5 = (1+x)(1+x)(1+x)(1+x)(1+x)$$

Take the coefficient of  $x^2$  which is  $\binom{5}{2}$ .

## Problem

You are allowed to choose two items from a tray containing **TWO** apples, an orange, a pear, a banana, and a plum. In how many ways can you choose?

**The two apples are identical.**

$$\binom{5}{2} + |\{aa\}| = 11$$

# Counting with Generating Functions

(0 apple + 1 apple + 2 apple)

(0 orange + 1 orange)(0 pear + 1 pear)

(0 banana + 1 banana)(0 plum + 1 plum)

$$(1+x+x^2)(1+x)(1+x)(1+x)(1+x)$$

$$(1+x+x^2)(1+x)^4 = (1+x+x^2)\left[\binom{4}{0} + \binom{4}{1}x + \binom{4}{2}x^2 + \binom{4}{3}x^3 + \binom{4}{4}x^4\right]$$

Take the coefficient of  $x^2$ .

**11**



The function

$$f(x) = (1 + x)^n$$

is **the generating function** for  
the sequence

$$\binom{n}{0}, \binom{n}{1}, \dots, \binom{n}{n}$$

The function  $f(x)$  that has a polynomial expansion

$$f(x) = a_0 + a_1 x + \dots + a_n x^n$$

is **the generating function** for the sequence

$$a_0, a_1, \dots, a_n$$

# Four Representations

$$\langle 1, 1, 1, \dots \rangle$$

$$a_k = 1$$

$$a_0 = 1$$

$$a_n = a_{n-1}$$

$$1 + 1x + 1x^2 + \dots = \frac{1}{1 - x}$$

# What *IS* a Generating Function?

We'll just looking at a particular representation of sequences...

$$1 + 1x + 1x^2 + \dots = \sum_{n=0}^{\infty} x^n$$

In general, when  $a_n$  is a sequence...

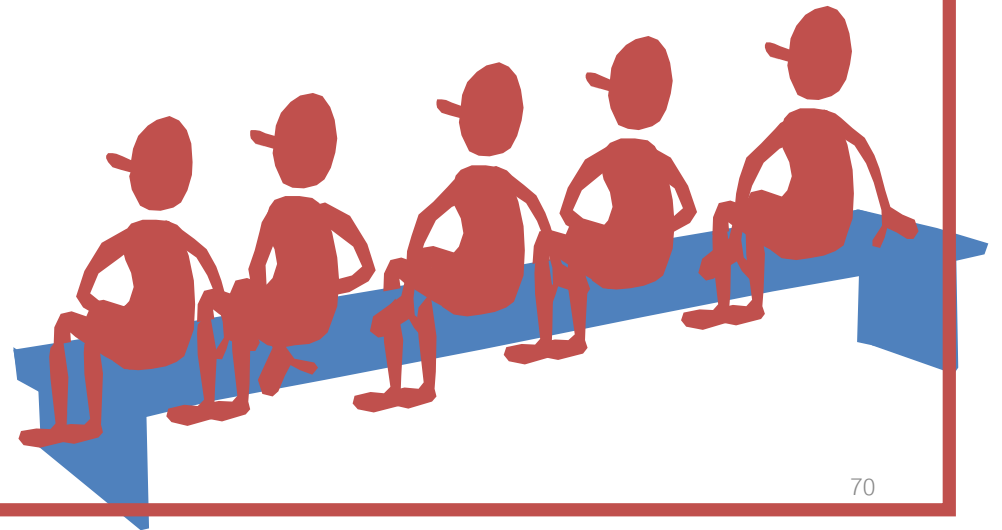
$$\sum_{n=0}^{\infty} a_n x^n$$

If the polynomial  $(1+x+x^2)^4$   
is the generating polynomial  
for  $a_k$ , what is the combinatorial  
meaning of  $a_k$ ?

The number of ways to select  $k$   
object from 4 types with at most 2 of  
each type.

The shop only has 2 apple, 3 cheese,  
and 4 raspberry pastries left.

What the number of possible  
orders?



# Counting with Generating Functions

$$(1+x+x^2) (1+x+x^2+x^3) (1+x+x^2+x^3+x^4)$$

*apple*      *cheese*      *raspberry*

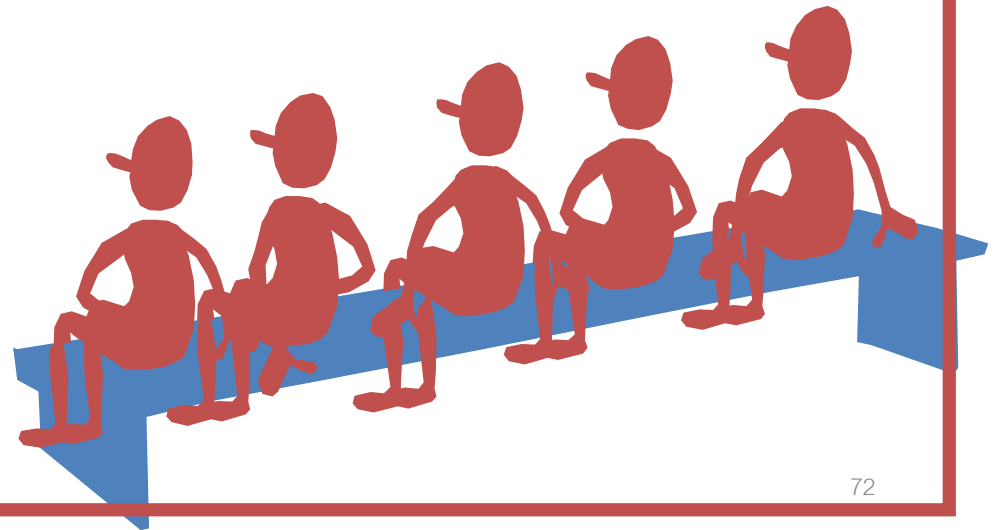
$$= 1+3x+6x^2+9x^3+11x^4+11x^5+9x^6+6x^7+3x^8+x^9$$

The coefficient by  $x^8$  shows that there are only **3 orders** for **8 pastries**.

Note, we solve the whole parameterized family of problems!!!

The shop only has 2 apple, 3 cheese and 4 raspberry pastries left.

What the number of possible orders? Raspberry pastries come in multiples of two.





# Counting with Generating Functions

$$(1+x+x^2) (1+x+x^2+x^3) (1+x^2+x^4)$$

*apple*      *cheese*      *raspberry*

$$= 1+2x+4x^2+5x^3+6x^4+6x^5+5x^6+4x^7+2x^8+x^9$$

The coefficient by  $x^8$  shows that there are only 2 possible orders.

## Exercise

Find the number of integer solutions to

$$x_1 + x_2 + x_3 + x_4 = 21$$

$$0 \leq x_k \leq 7$$

Take the coefficient by  $x^{21}$  in  
 $(1+x+x^2+x^3+x^4+x^5+x^6+x^7)^4$

## Exercise

Find the number of integer solutions to

$$x_1 + x_2 + x_3 + x_4 = 21$$

$$0 < x_k < 7$$

$$x_1 + x_2 + x_3 + x_4 = 21$$

$$0 < x_k < 7$$

Observe

$$0 < x_k < 7$$

$$-1 < x_k - 1 < 6$$

$$0 \leq x_k - 1 \leq 5$$

Then

$$(x_1 - 1) + (x_2 - 1) + (x_3 - 1) + (x_4 - 1) = 21 - 4$$

$$x_1 + x_2 + x_3 + x_4 = 21$$

$$0 < x_k < 7$$

The problem is reduced to solving

$$y_1 + y_2 + y_3 + y_4 = 17, \quad 0 \leq y_k \leq 5$$

**Solution:** take the coefficient by  $x^{17}$  in

$$(1 + x + x^2 + x^3 + x^4 + x^5)^4$$

which is 20

# Problem

$$x_1 + x_2 + x_3 = 9$$

$$1 \leq x_1 \leq 2$$

$$2 \leq x_2 \leq 4$$

$$1 \leq x_3 \leq 4$$

## Solution

$$x_1 + x_2 + x_3 = 9$$

$$1 \leq x_1 \leq 2$$

$$(x + x^2)$$

$$2 \leq x_2 \leq 4$$

$$(x^2 + x^3 + x^4)$$

$$1 \leq x_3 \leq 4$$

$$(x + x^2 + x^3 + x^4)$$

Take the coefficient by  $x^9$  in the product of generating functions.