

# **Faculty of Computer Science**

# CSCI 6515 - Machine Learning for Big Data

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Assignment: 03

# Task 1

(i) The dataset [1] that has been used in this assignment is Sign Language MNIST which is a collection of handwritten digits. The dataset is divided into two metadata namely "sign\_mnist\_train.csv" and "sign\_mnist\_test.csv" respectively. The training metadata contains 27,455 training samples and the testing metadata contains 7,172 test samples. Each of these examples consists of a grayscale 28x28 pixel picture with values ranging from 0 to 255 and a number label for the image from 0 to 25, with each value corresponding to a letter of the English alphabet, such as 0 to A, 1 to B, and so on. However, there is no cases for the J (9) and Z (25) due to the motion gesture motions.

For this assignment, I have worked with both the metadata file namely ""sign\_mnist\_train.csv" and "sign\_mnist\_test.csv" as both the files include a header row with the labels pixel1, pixel2,....., pixel784, each of which stands for a single 28x28 pixel picture with grayscale values ranging from 0-255.

(ii) The raw data of the Sign Language MNIST dataset has been provided as class-wise distributed csv files with pixel to pixel intensities ranging from 0-255. For the goal of recognizing gestures and signals related to sign language, several proposals have been put forth by researchers in the form of patents and research papers using the dataset. Mannan et al. [2] proposed a DeepCNN model for sign language recognition that consists of three consecutive CNN layers with kernel size of 3x3 and ReLU activation function followed by a flatten layer and three dense layers among which the final layer being the output layer. Additionally, 2x2 maxpooling has been used after each CNN layers to gradually shrink the spatial dimension to match the model's fewer parameters and simpler processing. The model is trained using six different learning rate and yields a training accuracy of 98.69% and validation accuracy of 98.93% with 0.00050 learning rate. The model has also been assessed on unforeseen data and yielded a testing accuracy of 99.67%. Ma et al. [3] proposed a Two-Stream Mixed (TSM) approach that combines feature extraction with fusion to enhance the correlation of feature expression between two time-consecutive pictures of hand gestures for dynamic movements. The TSM-CNN system consists of preprocessing, TSM block and CNN classifier where scaling, transformation, and augmentation are performed on the two successive pictures in the dynamic gesture that are utilized as streams' inputs in the pre-processing stage. Four different CNN models have been implemented with TSM namely TSM-LeNet, TSM-AlexNet, TSM-ResNet18, and TSM-ResNet50. Among these models, TSM-ResNet50 yielded best result for both MNIST and ASL datasets with an accuracy of 97.57%. So [4] proposed a VGG16 inspired CNN model for sign language classification which consists of three 2D convolutional layers with 64, 128 and 256 filters respectively and a kernel size of 3x3. The final two layers are a fully connected layer with 512 units connected to a dense layer of the number of the classes. A dropout of 0.2 has been used as well to randomly set the weights of the neurons to zero to avoid overfitting. In addition to that, several data augmentation technique has also been used by rotating the images up to 30 degrees and shifting and zooming in 10 with horizontal flips to increase the size of the training dataset. This VGG16 inspired CNN model has been able to achieve a training accuracy of 0.9970, validation accuracy of 0.9999 and testing accuracy of 0.9118 on the original dataset. With the augmented data, this architecture has been able to achieve a training accuracy of 0.8715, validation accuracy of 0.9591 and testing accuracy of 0.04785 and 0.95411 on two different runs that reduces the overfitting.

(iii) The dataset has two metadata, one with training samples and other with testing samples. Both of the dataset has no null or NA values which is shown in the following screenshot:

```
In [8]: print("Total Null values in the Training Dataset:", train_data.isnull().values.sum())
print("Total NA values in the Training Dataset:", train_data.isna().values.sum())

Total Null values in the Training Dataset: 0

Total NA values in the Training Dataset: 0

In [9]: print("Total Null values in the Testing Dataset:", test_data.isnull().values.sum())
print("Total NA values in the Testing Dataset: ", test_data.isna().values.sum())

Total Null values in the Testing Dataset: 0

Total NA values in the Testing Dataset: 0
```

Figure 1: Number of NA and Null values in Training and Testing Data

The dataset provides pixel values ranging from 0 to 255. Although these pixel values can be fed directly in their raw form to neural network models, this might create difficulties during modelling, such as slower than anticipated model training [5]. Small weight values are processed by neural networks, while high integer values might slow down learning. This is the reason why I have scaled the pixel values between 0 and 1 which is achieved by diving all the pixel values by the highest pixel value 255. Also, CNN takes only 4D array as an input where the first dimension denotes the batch size of the image and the other three dimension represents the height, width and depth respectively [6]. As the images are 28x28 and the channel is 1 since grayscale images are being used, so training and testing images need to be reshaped in the following way before feeding them as inputs into the neural network:

Figure 2: Reshaping of the Training and Testing Samples

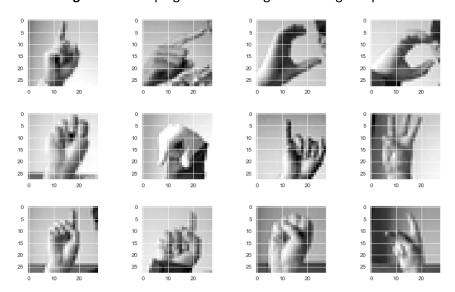


Figure 3: First 12 Images from the Training Dataset



Figure 4: First 12 images from the Testing Dataset

(iv) The dataset is already split into training and testing samples so it is not needed to further split the dataset into training and testing samples. Instead, the training dataset is divided into training and validation set with a proportion of 80-20 where 80% data has been used for training and 20% data has been used for validation.

# Task 2

- (i) Convolution refers to a mathematical procedure of combining two sets of information which is used to produce a third function [7]. In terms of Convolutional Neural Network, convolution is used to filter the input data and create a feature map. Convolution happens in the convolutional layer which is the core building of CNN and it requires some components i.e. input data, a filter and feature map. Let's assume we are trying to feed a grayscale image to the CNN. So, the input will have 4 dimensions batch size, height, width and depth which is the channel of the image. We do have a feature detector, known as kernel that is two dimensional array of weights. These kernels can be different in size such as 2x2, 3x3 matrix. The feature map revolves around a certain field of the image to calculate a dot product between the input pixels and filter which is later fed into an output array. This process is known as convolution [8]. The kernel then moves forward by a stride and repeats the operation until the kernel has covered the entire picture. The final output array is known as feature map of convolved map which is output from the series of dot products produced by the filter and the input.
- (ii) I have used Accuracy as an evaluation metric in this assignment which is computed by dividing by the total number of correct predictions by the total number of predictions made for a dataset. In the Sign Language dataset, the target variable in both the training and testing metadata consist of numerical values ranging from 0 to 25 where each number correspond to an alphabet. The distribution of the target variable in training dataset has been shown in the following screenshot:

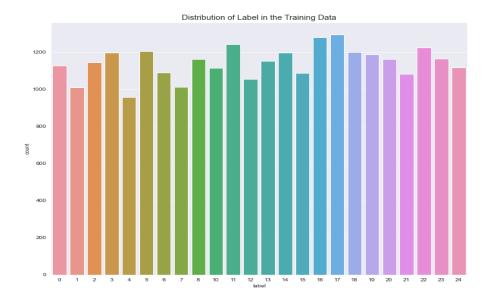


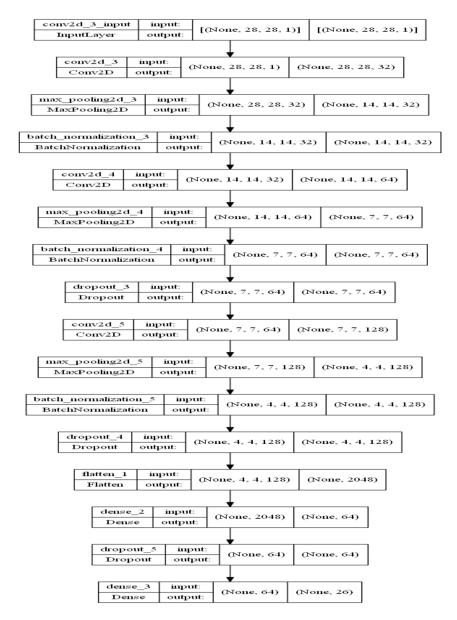
Figure 5: Distribution of Target Variable in the Training Dataset

From the above screenshot it can be noticed that the label in the training images are fairly equally distributed across each classes, with an average of around 1000 samples in each class. As a matter of fact, it can be said that the dataset is fairly balanced. Accuracy is selected as an evaluation metric for this task as we know, accuracy is well suited when the target class is well balanced [9].

(iii) I have used three different sequential CNN models with different numbers and types of layers which has been described below:

# Model 1:

The first CNN model that I have used has three CNN layers followed by two dense layer among which the last layer being the output layer. I have also used batch normalization with each batch's mean and standard deviation to normalize the values of the units and dropout value of 0.2 to randomly select 20% of the neurons and set their weights to zero to avoid overfitting [10]. The input that has been fed into this model has a shape of (28, 28, 1) where the first two dimensions denotes the height and width of the input and the third dimension denotes the channel of the input which is 1 in this case as we are using greyscale images as input. The filters used in this model are 32, 64, 128 for the CNN layers and 64, 26 for the dense layers which refers to the dimensionality of the output space. Rectified Linear Unit (ReLU) has been used as an activation function in the CNN layers and the first dense layer as it helps in limiting the exponential increase of the computation needed to train the neural network because of its nature of not activating all the neurons at the same time. Softmax is used in the last layer as it is the output layer that turns raw outputs into a vector of probabilities over the input classes [11]. Also, it is a multiclass classification task and Softmax provides probabilities for each class label. The architecture diagram of the model is provided below:



**Figure 6:** Model 1 – Three CNN Layers with Batch Normalization and Dropout followed by two Dense Layers

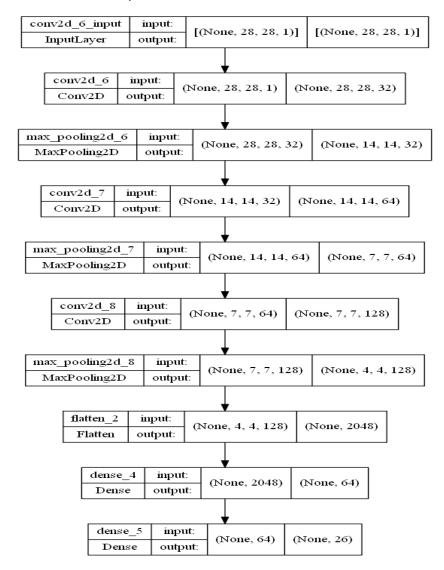
The obtained training, validation and testing accuracy of this model are:

Training Accuracy: 100.00%

Testing Accuracy: 97.59%

# Model 2:

The second CNN model that I have used has similar three CNN layers followed by two dense layer among which the last layer being the output layer as the first model but I have removed the batch normalization and dropout in this model. All other components are kept similar as the first model. The diagram architecture of this model has been provided below:



**Figure 7:** Figure: Model 2 - Three CNN Layers without Batch Normalization and Dropout followed by two Dense Layers

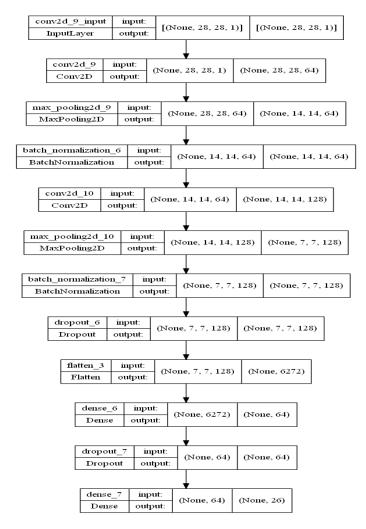
The obtained training, validation and testing accuracy of this model are:

Training Accuracy: 100.00%

Testing Accuracy: 91.09%

# Model 3:

The third CNN model that I have used consists of two CNN layers followed by two dense layer among which the last layer being the output layer. Batch normalization and dropout of 0.2 has been used in this model as well. The activation function used in this first three layers (two CNN layers and first dense layer) is ReLU and the activation function used in the output layer is softmax. The filters used in the CNN layers are 64, 128 and in the dense layers are 64, 26 respectively. The architecture diagram of this model is provided below:



**Figure 8:** Figure: Model 3 - Two CNN Layers with Batch Normalization and Dropout followed by two Dense Layers

The obtained training, validation and testing accuracy of this model are:

Training Accuracy: 97.59%

Testing Accuracy: 87.05%

(iv) All of the three models have been compiled with the spare\_categorical\_crossentropy activation function, accuracy evaluation metric and adam optimizer with its default parameter values. Analysis on the results using the learning curve has been discussed below:

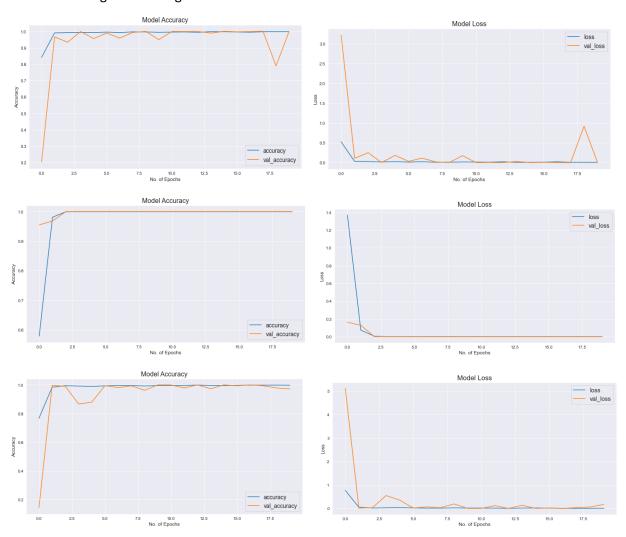


Figure 11: Learning Curve of Four Model (1st Row – Model 1, 2nd Row – Model 2, 3rd Row – Model 3)

From Figure 10, it can be noticed that Model 1has high validation loss at the beginning which gradually decreases with some spikes upon adding more training samples. The validation loss somewhat gets flatten around 10<sup>th</sup> epoch but had a high spike around 18<sup>th</sup> epoch which indicates that the model could keep improving to improve the performance on training dataset. This typically means the model is overfitting and unable to generalize to new data. One of the reasons behind it might be the complexity of this model as this model has got the highest number of layers among the four models with batch normalization and dropout. Though the model is overfitted, the model has been able to achieve the highest testing accuracy resulting to 97.59% on the test data.

From the loss curve of Model 2 and 3, it can be seen that both the models have high validation loss at the beginning which gradually decreases upon adding more training samples after 1<sup>st</sup> epoch. In case of Model 2, both the training and validation loss gets flatten and overlap from the 1<sup>st</sup> epoch which means the model is getting overfitted as the training is continuing. Due to overfitting, the model is unable to distinguish between irrelevant noise and relevant data that constitutes a pattern, which prevents it from making

accurate predictions about new data. As a result, the model has only been able to achieve an accuracy of 91.09% on test data. Same is the case with model 3 as the model's validation loss gradually decreases with an increase at the last epoch and doesn't flatten which is an indication of an overfit model. Model 3 has achieved the lowest accuracy among the three models which is only 87.05% for the test data.

(v) The result of the three models have been shown in the following table:

Models	Accuracy
Model 1 – Three CNN Layers with Batch	Training Accuracy: 100.00%
Normalization and Dropout followed by two	Testing Accuracy: 97.59%
Dense Layers	
Model 2 - Three CNN Layers without Batch	Training Accuracy: 100.00%
Normalization and Dropout followed by two	Testing Accuracy: 91.09
Dense Layers	
Model 3 - Two CNN Layers with Batch	Training Accuracy: 97.59%
Normalization and Dropout followed by two	Testing Accuracy: 87.05%
Dense Layers	

All of the models used in (iii) consists of sequential 2D convolutional layers with different number of kernels and filters followed by flatten and dense layer. Among the three models I have used, the first one with three CNN layers followed by two dense layers with batch normalization and dropout has worked the best in case of generalizing the data points even though the model was overfitted. Both model 2 and 3 demonstrate slightly high variance as the accuracy of training data is quite higher than the accuracy of the testing data. This refers that models are gathering all of the training data's information, including extraneous noise, leading to high training data model accuracy but failure for new testing data.

As the model demonstrates better accuracy on both training and testing data, and slight overfitting, I will consider it as the benchmark model and work with this model in the next parts of the assignment.

# Task 3

(i) For this task I have worked with two different optimizers, one is Stochastic Gradient Descent (SGD) and another one is Root Mean Squared Propagation (RMSProp). The obtained results using both of these optimizers have been discussed below:

**SGD:** I have worked with changing values two parameters of SGD optimizer which are learning\_rate and momentum. The default learning rate of the SGD is 0.01 and momentum is 0.0. I have changed the learning\_rate to 0.001 and momentum to 0.9. Using this parameters, it can be seen from Figure 12 that the validation loss goes lower than the training loss after the 1<sup>st</sup> epoch which indicates the overfitting to the training data and the model is struggling to generalize the validation data. But after the 1<sup>st</sup> epoch, both the training loss and validation loss flattens and overlaps. Using these parameter, I have got a training accuracy of 100.00% and 95.59% on the testing accuracy.

```
In [74]: score = model5.evaluate(X_train, Y_train, verbose=0)
    print("Training Accuracy: ", f'(score[1]"100:.2f)%')
score = model5.evaluate(X_val, Y_val, verbose = 0)
    print("Validation Accuracy:", f'(score[1]"100:.2f)%')
score = model5.evaluate(X_test, Y_test, verbose=0)
    print("Testing Accuracy: ", f'(score[1]"100:.2f)%')
Training Accuracy: ", f'(score[1]"100:.2f)%')
Validation Accuracy: 100.00%
Validation Accuracy: 100.00%
Testing Accuracy: 35.98%
```

Figure 12: Training, Testing and Validation Accuracy using SGD

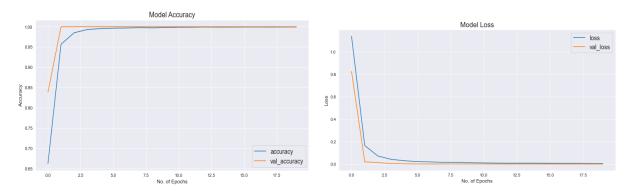


Figure 13: Learning Curve using SGD

**RMSProp:** I have worked with changing values two parameters of RMSProp optimizer which are learning\_rate, rho and momentum. The default learning rate of the RMSProp is 0.001, rho = 0.9 and momentum is 0.0. I have changed the learning\_rate to 0.01, rho = 0.95 and momentum to 0.9. From the learning curve in Figure 14, it can be noticed that the validation loss begin oscillation with divergence throughout the entire training which indicates that the learning rate is set too high. As a result, it is putting the model to go over the minima and prevent convergence which is known as exploding gradient. The model using RMSProp with these parameter's values yield a very training and testing accuracy which is only 4.29% and 4.02% respectively.

```
In [86]: score = model5.evaluate(X_train, Y_train, verbose=0)
    print("Training Accuracy: ", f'(score[1]*100:.2f)%')
    score = model5.evaluate(X_val, Y_val, verbose = 0)
    print("Validation Accuracy:", f'(score[1]*100:.2f)%')
    score = model5.evaluate(X_test, Y_test, verbose=0)
    print("Testing Accuracy: ", f'(score[1]*100:.2f)%')
    Training Accuracy: 4.29%
    Validation Accuracy: 3.99%
    Testing Accuracy: 3.99%
    Testing Accuracy: 4.02%
```

Figure 14: Training, Testing and Validation Accuracy using SGD

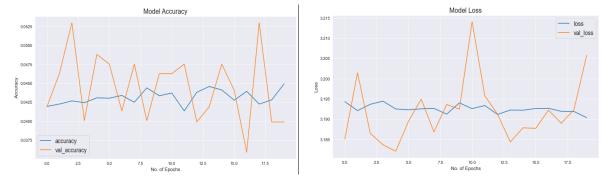


Figure 15: Learning Curve using RMSProp

(ii) The first optimizer that I used in this part is SGD which is iterative technique for maximizing an objective function with acceptable smoothness qualities. SGD is slower but generalizes well in the testing data which can be seen from the testing accuracy that we got using SGD. As the learning rate is set for 0.001 for SGD, it tries to cover a lot of data points which make the training slower but aid in making the model more generalized so that it can work well on the testing dataset. Also, the momentum value of 0.9 has aided in accelerating speed since it reduces the size of the steps, resulting in a higher effective learning rate in the directions of low curvature. On the other hand, RMSProp, with learning rate of 0.01, puts the

model to go over the minima and prevent convergence resulting into exploding gradient. This is why the model showed very poor performance both on the training and testing dataset.

#### Task 4

- (i) I have worked with the Model 1 from part 2 for this task. I have used Image Augmentation technique to improve the model both in case of overfitting and accuracy. Data augmentation is the straightforward process of increasing the amount of data to increase the number of images present in the training dataset for neural networks. Image data can be augmented using various techniques such as shifting, zooming in/out, rotating, flipping etc. These minor adjustments to the original image just offer a different angle for catching the object in real life; they do not alter the target class [12]. These image augmentation methods not only increase the size of your dataset but also add a degree of variation, which helps your model generalize more effectively to unobserved data which aid in combat overfitting as well.
- (ii) For augmenting the original dataset, I have used Keras' built-in ImageDataGenerator class which provides a host of different augmentation techniques.

```
In [98]: data_gen=ImageDataGenerator(rotation_range = 0.2, zoom_range=0.2, width_shift_range=0.1, height_shift_range=0.1, horizontal_flip=True) data_gen.fit(X_train)
```

From the above screenshot, it is noticeable that I have used five parameters of the ImageDataGenerator class to augment the data which are:

- rotation\_range: Rotates the images by 0.2 degree angle.
- zoom\_range: As the zoom\_range is set to 0.2 which is smaller than 1, the class will zoom in on the image.
- width\_shift\_range: This argument shifts the image horizontally. As I have used 0.1 for this argument, that would shift 1% of the width of each copy.
- height\_shift\_range: This argument shifts the image vertically. As I have used 0.1 for this argument, that would shift 1% of the height of each copy.
- horizontal\_flip: Takes a Boolean argument for this parameter. As its set to True, the training datasets will be flipped along the horizontal axis.

Using the ImageDataGenerator, I have been able to achieve a training accuracy of 99.97% and validation accuracy of 99.91% and an accuracy of 99.74% when evaluated the model on the testing data. This is an improvement from the model that I had built in part 2 as after doing augmentation the model is more generalized and can classify the unseen data more precisely. Also, as the training and testing accuracy are a lot identical, this indicates that the model has been able to combat overfitting to a great extent. The training, validation and testing accuracy has been shown in the following screenshot:

```
In [135]: score = model6.evaluate(X_train, Y_train, verbose=0)
    print("Training Accuracy: ", f'{score[1]*100:.2f}%')

score = model6.evaluate(X_val, Y_val, verbose = 0)
    print("Validation Accuracy:", f'{score[1]*100:.2f}%')

score = model6.evaluate(X_test, Y_test, verbose=0)
    print("Testing Accuracy: ", f'{score[1]*100:.2f}%')

Training Accuracy: 99.97%
    Validation Accuracy: 99.91%
    Testing Accuracy: 99.74%
```

(iii) After doing data augmentation, I have been able to get good accuracy on both training and testing data which refers to a low bias low variance situation. As image data augmentation is performed to increase the training dataset size and the model's effectiveness and generalizability, this has helped the model to perform more precisely for both training and testing data with respectable accuracy since it did not capture the noise present in the training data.

# **Summary of Results and Conclusions**

# Task 1

- The Sign Language MNIST dataset used for this is clean as there is no Null or NA values in the dataset.
- The pixel values are scaled between 0 and 1 which is achieved by diving all the pixel values by the highest pixel value 255. This turn the images into Gray Scale Images to combat difficulties during training, i.e. slower than anticipated model training.
- Also, I have to reshape the images into (28, 28, 1) as CNN takes input in this format where the first two dimension denotes the height and width of the images and the last one denotes the depth. As gray scale images are being used, the depth is set to 1.
- The dataset is already split into training and testing dataset for which there is no need to split the
  dataset into training and testing dataset. Instead, I have divide the training dataset into training
  and validation dataset with 80-20 split.

# Task 2

- Accuracy has been chosen as an evaluation metric as the target variable in the training dataset is fairly balanced, totaling an average of around 1000 per class.
- Three different models have been used in this part with different numbers of CNN and fully connected layers with pooling, batch normalization and dropout. The result summary of the three models have been shown in the following table:

Models	Accuracy
Model 1 – Three CNN Layers with	Training Accuracy: 100.00%
Batch Normalization and Dropout	Testing Accuracy: 97.59%
followed by two Dense Layers	
Model 2 - Three CNN Layers	Training Accuracy: 100.00%
without Batch Normalization and	Testing Accuracy: 91.09
Dropout followed by two Dense	
Layers	
Model 3 - Two CNN Layers with	Training Accuracy: 97.59%
Batch Normalization and Dropout	Testing Accuracy: 87.05%
followed by two Dense Layers	

Among the three models, the first model demonstrates highest accuracy on the test dataset
which means the model is more generalized and predict the unforeseen data more precisely.
The other two model is highly overfitted for which I have more forward with the model 1 for
Task 3 and 4.

# Task 3

- Two different optimizers have been used for this task which are SGD and RMSProp.
- SGD, with the learning rate of 0.001 and momentum value of 0.9, yielded better accuracy on both training and testing which are 100% and 95.59% respectively but the model goes overfitted.
- RMSProp, learning\_rate to 0.01, rho = 0.95 and momentum to 0.9, the model oscillates with divergence throughout the entire training which indicates that the learning rate is set too high. Also, the training and accuracy using RMSProp is so poor which is only 4.29% and 4.02% respectively.

# Task 4

- I have used data augmentation using the ImageDataGenerator class of Keras to increase the training dataset so that the model can learn from more training data which can help in generalizing in unseen data.
- I have worked with five parameters form the ImageDataGenerator which are rotation\_range, zoom\_range, width\_shift\_range, height\_shift\_range and horizontal\_shift to rotate, zoom in, shift and flip the training data horizontally respectively.
- Data augmentation has showed a better result on the testing data, yielding an accuracy of 99.74%.
- Additionally, the training and testing accuracy is almost identical which is an indication of combatting overfitting.

# Reference:

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