PCIe Hot Plug on Windows

Though the OS supports PCIe hot plug, on-premise indicators are incomplete. A method to establish system support for hot plug is put forward.

• In Device Manager, hot plug support is part of Root Complex properties, PCIExpressNativeHotPlugControl. If it is absent, then the platform does not grant native hot plug.

At startup, the OS feeds the *supported and controlled PCIe* features to the **ACPI _OSC method**. System firmware responds with a bitmask for granted features, part of *Control* field. *Section 4.5 / PCI Firmware Specification Revision 3.2*.

• In kernel mode, the root complex fully qualified name must be identified. Use !amli find _OSC and look at the 1st entry, as a child of __SB.XXXX. The _HID must match EISAID("PNP0A08").

```
0: kd> !amli find _OSC
\_SB.PCIO._OSC
\_SB.PROO._OSC
\_SB.PRO1._OSC
\_SB.PRO2._OSC
\_SB.PRO2._OSC
\_SB.PRO3._OSC
...
\_SB._OSC
0: kd> !amli dns /v \_SB.PCIO._HID
Integer(_HID:Value=0x00000000000000041[134926401])
0: kd> !amli dns /v \_SB.PCIO.SUPP
ACPI Name Space: \_SB.PCIO.SUPP (ffffbb0e1bf6e330)
Integer(SUPP:Value=0x00000000000001f[31])
0: kd> !amli dns /v \_SB.PCIO.CTRL
ACPI Name Space: \_SB.PCIO.CTRL (ffffbb0e1bf6e3e0)
Integer(CTRL:Value=0x000000000000015[21])
```

_OSC method suffers minor changes between processor generations. Within a generation, there are *use conditions* that demand different implementation.

Intel maintains a compact firmware implementation through slimbootloader project. The _OSC method is implemented in <code>HostBus.asl</code> for each CPU platform.

CTRL ACPI variable shows the granted access from firmware to OS. Bit 0 represents

PCI Express Native Hot Plug control

The operating system sets this bit to 1 to request control over PCI Express native hot plug. If the operating system successfully receives control of this feature, it must track and update the status of hot plug slots and handle hot plug events as described in the PCI Express Base Specification (including determining whether the associated slot(s) support hot plug).

The OS registers interrupt handlers for root port or downstream port.

```
0: kd> !idt
Dumping IDT: fffff8070701e000
50: pci!ExpressRootPortMessageRoutine (KINTERRUPT ffffa7016b711a00)
51: pci!ExpressDownstreamSwitchPortInterruptRoutine (KINTERRUPT ffffa7016b711000)
...
60: pci!ExpressRootPortMessageRoutine (KINTERRUPT ffffa7016b711b40)
61: pci!ExpressDownstreamSwitchPortInterruptRoutine (KINTERRUPT ffffa7016bfd6dc0)
```

The absence of these interrupts indicates lack of hot plug support.

Algorithm

Hot plug is handled by the ISR, DPC and collateral timer for error handling. Overview:

```
if (!SlotControl.HotPlugInterruptEnable) {
      return;
   }
   if (SlotControl.PresenceDetectEnable && SlotStatus.PresenceDetectChanged) {
      W1TC(SlotStatus.PresentDetectChanged);
      if (SlotStatus.PresenceDetectState) {
         call nt!IoInvalidateDeviceRelations;
      } else {
         call nt!IoRequestDeviceEjectEx;
      }
   }
With IoInvalidateDeviceRelations, the PnP manager issues IRP_MN_QUERY_DEVICE_RELATIONS on the port.
The PCI driver rescans the bus, creates a new device object.
   pci!PciBus_QueryDeviceRelations
      pci!PciScanBus
         pci!PciProcessNewDevice
            lock xadd dword ptr [pci!PciDeviceSequenceNumber (fffff807`194fc250)],r9d
            lea r8,[pci!`string' (fffff807`194f3af0)] = "\Device\NTPNP_PCI%04d"
            nt!IoCreateDevice
Tracing
Several opcodes indicate ETW support.
   pci!PciDevice_Start+0x13a93:
   fffff807`1951e423 833d9edcfdff05
                                      cmp
                                              dword ptr [pci!EntryReg+0x18 (fffff807`194fc0c8)],5
   fffff807`1951e42a 0f86c8000000
                                              pci!PciDevice_Start+0x13b68 (fffff807`1951e4f8)
                                      ibe
   pci!PciDevice_Start+0x13aa0:
   fffff807`1951e430 e8e754fcff
                                              pci!TlgKeywordOn (fffff807`194e391c)
                                      call
   pci!PciPreScanAssignBusNumbersSubtree+0x58:
   fffff807`1952e558 833d69dbfcff05
                                              dword ptr [pci!EntryReg+0x18 (fffff807`194fc0c8)],5
   pci!PciProcessNewRootBus+0x178:
   fffff807`19514d74 833d4d73feff05 cmp
                                              dword ptr [pci!EntryReg+0x18 (fffff807`194fc0c8)],5
   fffff807`194ec46b 833d56fc000005 cmp
                                              dword ptr [pci!EntryReg+0x18 (fffff807`194fc0c8)],5
   fffff807`194ec472 0f86f7000000
                                              pci!PciStartHotPlugController+0x17b (fffff807`194ec56f)
                                      jbe
   pci!PciStartHotPlugController+0x84:
   fffff807`194ec478 e89f74ffff
                                              pci!TlgKeywordOn (fffff807`194e391c)
                                     call
The logman.exe start -p <GUID> that can be used is undocumented.
   0: kd> uf pci!TraceLoggingRegisterEx
   fffff807`1951c3b1 488b0518fdfdff mov
                                              rax,qword ptr [pci!EntryReg+0x20 (fffff807`194fc0d0)]
   fffff807`1951c3d0 488d4c2420
                                     lea
                                              rcx, [rsp+20h]
   fffff807`1951c3d5 0f1040f0
                                              xmm0,xmmword ptr [rax-10h]
                                     movups
   fffff807`1951c3e1 f30f7f442420
                                     movdqu xmmword ptr [rsp+20h],xmm0
   fffff807`1951c3ee e80d37baea
                                              nt!EtwRegister (fffff807`040bfb00)
                                     call
   0: kd> dt nt! GUID poi(pci!EntryReg+0x20)-0x10
```

Automation

NativeHotPlugSupport.ps1 shows:

- 1. ACPI OSC implementation, SUPP and CTRL values
- 2. hot plug ISRs in the interrupt descriptor table

{69a770dd-1cdb-46c0-91c9-cd2a9b76e061}

- 3. device objects that connect the interrupt
- 4. CPU name and model

```
PS > .\NativeHotPlugSupport.ps1 -Path .\MEMORY.DMP
ffffbb0e1bf6e6b2:[\ SB.PCIO. OSC]
ffffbb0e1bf6e6b2 : Store(Arg3, Local0)
ffffbb0e1bf6e6b5 : CreateDWordField(Local0, Zero, CDW1)
ffffbb0e1bf6e6bc : CreateDWordField(Local0, 0x4, CDW2)
ffffbb0e1bf6e6c4 : CreateDWordField(Local0, 0x8, CDW3)
ffffbb0e1bf6e6cc : If(LEqual(Arg0, GUID))
ffffbb0e1bf6e6d5 : {
ffffbb0e1bf6e6d5 : | Store(CDW2, SUPP)
ffffbb0e1bf6e6de : | Store(CDW3, CTRL)
ffffbb0e1bf6e6e7 : | If(LNot(NEXP))
ffffbb0e1bf6e6ee : | {
ffffbb0e1bf6e6ee : | | And(CTRL, Oxfffffff8, CTRL)
ffffbb0e1bf6e6fc : | }
ffffbb0e1bf6e6fc : | If(LEqual(TBTS, One))
ffffbb0e1bf6e704 : | {
ffffbb0e1bf6e704 : | | And(CTRL, Oxfffffff7, CTRL)
ffffbb0e1bf6e712 : | }
ffffbb0e1bf6e712 : | If(Not(And(CDW1, One, ), ))
ffffbb0e1bf6e71d : | {
ffffbb0e1bf6e71d : | | If(And(CTRL, One, ))
ffffbb0e1bf6e726 : | | {
ffffbb0e1bf6e726 : | | NHPG()
ffffbb0e1bf6e72a : | | }
ffffbb0e1bf6e72a : | | If(And(CTRL, 0x4, ))
ffffbb0e1bf6e734 : | | {
ffffbb0e1bf6e734 : | | NPME()
ffffbb0e1bf6e738 : | | }
ffffbb0e1bf6e738 : | }
ffffbb0e1bf6e738 : | If(LNot(LEqual(Arg1, One)))
ffffbb0e1bf6e73e : | {
ffffbb0e1bf6e73e : | Or(CDW1, 0x8, CDW1)
ffffbb0e1bf6e749 : | }
ffffbb0e1bf6e749 : | If(LNot(LEqual(CDW3, CTRL)))
ffffbb0e1bf6e755 : | {
ffffbb0e1bf6e755 : | | Or(CDW1, 0x10, CDW1)
ffffbb0e1bf6e760 : | }
ffffbb0e1bf6e760 : | Store(CTRL, CDW3)
ffffbb0e1bf6e769 : | Store(CTRL, OSCC)
ffffbb0e1bf6e772 : | Return(Local0)
ffffbb0e1bf6e774 : }
ffffbb0e1bf6e774 : Else
ffffbb0e1bf6e776 : {
ffffbb0e1bf6e776 : | Or(CDW1, Ox4, CDW1)
ffffbb0e1bf6e781 : | Return(Local0)
ffffbb0e1bf6e783 : }
ffffbb0e1e9b825a:[\NHPG]
ffffbb0e1e9b825a : Store(Zero, \_SB_.PCIO.RP01.HPEX)
ffffbb0e1e9b826f : Store(Zero, \_SB_.PCIO.RP02.HPEX)
ffffbb0e1e9b8452 : Store(One, \_SB_.PCIO.RP01.HPSX)
ffffbb0e1e9b8467 : Store(One, \_SB_.PCIO.RP02.HPSX)
Integer(SUPP:Value=0x00000000000001f[31])
Integer(CTRL:Value=0x0000000000000015[21])
50: pci!ExpressRootPortMessageRoutine (KINTERRUPT ffffa7016b711a00)
PDO Extension, Bus 0x0, Device 1b, Function 6.
  DevObj 0xfffffbb0e1c7f8060 Parent FDO DevExt 0xfffffbb0e1aa04a30
  Vendor ID 8086 (INTEL CORPORATION) Device ID A32E
  Subsystem Vendor ID 8086 (INTEL CORPORATION) Subsystem ID 7270
```

```
51: pci!ExpressDownstreamSwitchPortInterruptRoutine (KINTERRUPT ffffa7016b711000)
PDO Extension, Bus 0x2e, Device 0, Function 0.
  DevObj Oxffffbb0e1c9b4570 Parent FDO DevExt Oxffffbb0e1c9064c0
  Vendor ID 10b5 (PLX TECHNOLOGY, INC.) Device ID 8724
  Subsystem Vendor ID 10b5 (PLX TECHNOLOGY, INC.) Subsystem ID 8724
60: pci!ExpressRootPortMessageRoutine (KINTERRUPT ffffa7016b711b40)
PDO Extension, Bus 0x0, Device 1b, Function 0.
  DevObj 0xffffbb0e1c7f7060 Parent FDO DevExt 0xffffbb0e1aa04a30
  Vendor ID 8086 (INTEL CORPORATION) Device ID A32C
 Subsystem Vendor ID 8086 (INTEL CORPORATION) Subsystem ID 7270
61: pci!ExpressDownstreamSwitchPortInterruptRoutine (KINTERRUPT fffffa7016bfd6dc0)
PDO Extension, Bus 0x2e, Device 1, Function 0.
  DevObj Oxffffbb0e1c9b5730 Parent FDO DevExt Oxffffbb0e1c9064c0
  Vendor ID 10b5 (PLX TECHNOLOGY, INC.) Device ID 8724
  Subsystem Vendor ID 10b5 (PLX TECHNOLOGY, INC.) Subsystem ID 8724
ProcessorNameString = REG SZ Intel(R) Core(TM) i7-9850HE CPU @ 2.70GHz
Identifier = REG SZ Intel64 Family 6 Model 158 Stepping 10
```

Notes

- IT personnel can use **DEVPKEY_PciRootBus_PCIExpressNativeHotPlugControl** to reveal hot plug support.
- Escalation accounts for ACPI _OSC method and CTRL value for the host bridge, part of the root complex.
- ETW traces can be enabled with $\{69a770dd-1cdb-46c0-91c9-cd2a9b76e061\}$ provider.
- To locate the root or downstream ports that own the hot plug interrupt, dump the IDT, match the KINTERRUPT→ConnectionData→Vectors[0].ControllerInput.Gsiv with !arbiter 4