Lab 7: Process Synch. And Mutex(II)

1.Objective

• Understanding the deadlock and use some methods to avoid it

2.Syllabus

- Understand the concepts and principle of process deadlock
- Implement the process communication using C or C++

3.Prerequisite

- C or C++ language
- Computer which run Linux system (e.g. Ubuntu)
- Understand the concept of process deadlock

4. Concepts and Principles of Deadlock

Please refer to the chapter 6 of the text book. The main contents have been lectured in the sixth week, 11/14/2016. The slide of this chapter can be found in the course website: http://www.thinkmesh.net/ose/.

5.Experimental Contents

5.1 Producer-Consumer Problem (Semaphore)

Description: Implement a simple deadlock example. The file name is "**deadlock.c**". **Note:** please modify the program to avoid deadlock. If it can run, show me your result. If not, tell me why.

```
#include <stdio.h>
#include <pthread.h>
#include <unistd.h>
#include <stdlib.h>
#include <string.h>
void *clean(char *name);
pthread mutex t brush, bucket;
int main(int argc, char *argv[])
    const int n = 2;
    pthread t cleaners[n];
    char *names[] = {"Ivanon", "Petrov"};
    int i;
    pthread mutex init(&brush, NULL);
    pthread_mutex_init(&bucket, NULL);
    for(i = 0; i < n; i++) pthread_create(&cleaners[i], NULL, clean, (void
*)names[i]);
    for(i = 0; i < n; i++) pthread_join(cleaners[i], NULL);
    pthread mutex destroy(&brush);
```

```
pthread_mutex_destroy(&bucket);
    return 0;
}
void *clean(char *name)
    printf("%s starts work...\n", name);
    usleep(rand() % 1000000);
    if(name[0] == 'I')
    {
         usleep(rand() % 1000000);
         pthread_mutex_lock(&bucket);
         printf("%s takes bucket...\n", name);
         usleep(rand() % 1000000);
         pthread mutex lock(&brush);
         printf("%s takes brush...\n", name);
    }
    else
         usleep(rand() % 1000000);
         pthread_mutex_lock(&brush);
         printf("%s takes brush...\n", name);
         usleep(rand() % 1000000);
         pthread_mutex_lock(&bucket);
         printf("%s takes bucket...\n", name);
    }
    printf("%s have finished cleaning!\n", name);
    usleep(rand() % 1000000);
    pthread mutex unlock(&brush);
    printf("%s puts brush...\n", name);
    usleep(rand() % 1000000);
    pthread_mutex_unlock(&bucket);
    printf("%s puts bucket...\n", name);
    printf("%s have finished work!\n", name);
    pthread_exit(NULL);
}
```

Descriptions:

- **1. pthread_mutex_init** : initialises the mutex referenced by mutex with attributes specified by attr.
- **2. pthread_mutex_destroy** : destroy the mutex object referenced by mutex.
- **3. pthread_mutex_lock** : locks the mutex object referenced by mutex
- **4. pthread_mutex_unlock** : release the mutex object referenced by mutex

How to Run: gcc -o deadlock deadlock.c -lpthread ./deadlock

5.2 Deadlock Detection

Description: Implement the deadlock detection. The file name is "**detection.c**". **Note:** please modify the program inputing arguments, and consider detected processing and output results. If it can run, show me your result. If not, tell me why.

```
//detection.c
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#include <stdbool.h>
#include <string.h>
#include <fcntl.h>
#include <sys/stat.h>
#include <sys/types.h>
#include <unistd.h>
pthread_t sender;
pthread_t receiver;
char line[100];
char *owners[20];
char *requesters[20];
char *probes[3];
char *token;
char *procNum;
char *resource;
char *resourceOwner;
int i, status, owners Counter, requester Counter, fd;
bool isBlocked = false;
bool isDeadlocked = false;
void *senderThread();
void *receiverThread();
void findOwner();
int main(int argc, char *argv[])
{
    // Check arguments -> Display usage if incorrect arguments
    if (argc != 3){
         printf("Arguments: <file> < process #>\n");
         exit(1);
    }
    else{
         // Get process number argument
         procNum = argv[2];
         // Open configuration file
         FILE *file = fopen(argv[1],"r");
         if (file == 0){
              printf("Error opening file.\n");
          }else{
              // Opened file successfully.
              // Store configuration file
              while (fgets(line, 100, file) != NULL){
                   printf("Parsing Line: %s\n",line);
```

```
// If the line read contains owns, store it in owners array
                    if (strstr(line,"owns") != NULL){
                         token = strtok(line, " ");
                         while (token != NULL){
                              // Don't need to store 'owns'
                              if (!strcmp(token,"owns")){
                                   token = strtok(NULL, " ");
                                   continue;
                              }
                              // Allocate space and copy token into array
                              owners[ownersCounter] = malloc(strlen(token) + 1);
                              strcpy(owners[ownersCounter], token);
                              token = strtok(NULL, " ");
                              ownersCounter++;
                    }else{
                         // We have a request line, see if it is my own proces, block if
it is
                         if (strstr(line,procNum)){
                              token = strtok(line, " ");
                              while (token != NULL){
                                   // Don't need to store 'requests'
                                   if (!strcmp(token,"requests")){
                                        token = strtok(NULL, " ");
                                        continue;
                                   }
                                   // Allocate space and copy token into array
                                   requesters[requesterCounter] = malloc(strlen(token)
+1);
                                   strcpy(requesters[requesterCounter], token);
                                   token = strtok(NULL, " ");
                                   requesterCounter++;
                              isBlocked = true;
                         }
                    }
               printf("**** Done reading configuration ****\n");
               fclose(file);
               // If process is blocked, find the owner of resource i'm blocked on and
form probe
               if (isBlocked){
                    findOwner();
               }
          }
     }
```

```
// Create sender thread
    if ((status = pthread_create(&sender,NULL,senderThread,NULL)) != 0){
        fprintf (stderr, "Error creating thread - Status: %d: %s\n", status,
strerror(status));
        exit (1);
    }
    // Create receiver thread
    if ((status = pthread_create(&receiver, NULL, receiverThread, NULL)) != 0){
        fprintf (stderr, "Error creating thread - Status: %d: %s\n", status,
strerror(status));
        exit (1);
    }
    // Main thread - loop while not deadlocked
    while (!isDeadlocked) {
        // do nothing while not deadlocked
    }
    printf("**** System is deadlocked ****\n");
    return 1;
}
/********************
 * Sending thread, sends probes every 10 seconds.
 void *senderThread(){
    while (isBlocked){
        // Sends a Probe to the process owning the resource it is blocked on
        fd = open(resourceOwner, O WRONLY);
        printf("%s is Writing %s:%s:%s\n",procNum,probes[0], probes[1],
probes[2]);
        write(fd, probes[0], sizeof(char) * 2);
        write(fd, probes[1], sizeof(char) * 2);
        write(fd, probes[2], sizeof(char) * 2);
        close(fd);
        printf("%s wrote to the pipe.\n",procNum);
        sleep(10);
    }
    return NULL;
}
* Receiving thread, constantly looking for a probe
 * that wants to contact the process.
 ********************************
void *receiverThread(){
    while (1){
        // Listen for probe - Look for any pipe with a name equivalent to process
number
```

```
// this means there is a process that wishes to contact me
        fd = open(procNum, O_RDONLY);
        if (fd < 0)
             // There is no pipe with my name. Noone wants to contact me
         }else{
             if (isBlocked){
                 read(fd,probes[0],sizeof(char) * 2);
                 read(fd,probes[1],sizeof(char) * 2);
                 read(fd,probes[2],sizeof(char) * 2);
                 printf("%s has
read %s:%s:%s\n",procNum,probes[0],probes[1],probes[2]);
                 // Check probe blocked and receiving process. If same =
deadlocked
                 if (!strcmp(probes[0],probes[2])){
                      printf("%s detected deadlock because %s
= %s\n",procNum,probes[0],probes[2]);
                      close (fd);
                      unlink (procNum);
                      isDeadlocked = true;
                  }
                 strcpy(probes[1],procNum);
                 strcpy(probes[2],resourceOwner);
             }else{
                  printf("%s is not blocked and received a probe.
discarding.\n",procNum);
         }
        sleep(5);
    return NULL;
}
/*******************
 * Called when the process is blocking. Find the owner
 * of the resource the process is blocked on, and forms
 * the probe.
 void findOwner(){
    printf("***** %s is blocking *****\n",procNum);
    // Search through requesters. Find the resource i'm blocked on
    while (1){
        if (!strcmp(requesters[i],procNum)){
             resource = requesters[i+1];
             break;
         }
        i++;
    i = 0;
    // Search through owners. Find the owner of the resource i'm blocked on
    while (1){
```

```
if (!strcmp(owners[i],resource)){
              resourceOwner = owners[i-1];
              break;
         }
         i++;
    }
    // Create pipe/file
    mkfifo(resourceOwner,0666);
    printf("%s created the pipe %s\n",procNum,resourceOwner);
    // Form probe for blocked process
    probes[0] = malloc(strlen(procNum) + 1);
    strcpy(probes[0], procNum);
    probes[1] = malloc(strlen(procNum) +1);
    strcpy(probes[1], procNum);
    probes[2] = malloc(strlen(resourceOwner) + 1);
    strcpy(probes[2], resourceOwner);
    i = 0;
}
//state1.data
P1 owns r2
P1 requests r1
P2 owns r1
P2 owns r3
P2 requests r4
P3 owns r4
//state2.data
P1 owns r2
P1 requests r1
P2 owns r1
P2 owns r3
P2 requests r4
P3 owns r4
P3 requests r2
Function interpret:
1. pthread_create: create a new thread.
```

How to Run: gcc -o detection detection.c -lpthread ./detection state1.data 3

5.3 Banker Algorithm

Description: The Banker's algorithm is resource allocation and deadlock avoidance algorithm developed by Edsger Dijkstra.

Implement the program. The file name is "banker.c". Note: please consider and modify resources. If it can run, show me your result. If not, tell me why.

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#define resourceTypeQuan 3
#define processQuan 5
int i = 0;//Switch on C99 mode or we cannot initialize variable in for loop
int j = 0;
pthread_mutex_t mutex;//mutex lock for access to global variable
int initResourceVector [resourceTypeQuan];
//available, max, allocation, need
int availResourceVector [resourceTypeQuan];
int allocMatrix [processQuan][resourceTypeQuan] =
\{\{1,1,0\},\{1,3,0\},\{0,0,2\},\{0,1,1\},\{0,2,0\}\};
int maxMatrix [processQuan][resourceTypeQuan] =
{{5,5,5},{3,3,6},{3,5,3},{7,1,4},{7,2,2}};
int needMatrix [processQuan][resourceTypeQuan];
int requestResource(int processID,int requestVector[]);
int releaseResource(int processID,int releaseVector[]);
int ifGreaterThanNeed(int processID,int requestVector[]);
int ifEnoughToRelease(int processID,int releaseVector[]);
int ifInSafeMode();
int ifEnoughToAlloc();
void printNeedMatrix();
void printAllocMatrix();
void printAvailable();
void printRegOrRelVector(int vec[]);
void *customer(void* customerID);
int main(int argc, char const *argv[])
    if(argc != resourceTypeQuan + 1)
    {
         printf("Quantity of parameter is not correct.\n");
         return -1;
    for(i = 0; i < resourceTypeQuan; i++)</pre>
```

```
initResourceVector[i] = atoi(argv[i+1]);//argv[0] is name of program
          availResourceVector[i] = initResourceVector[i];
     }
     //initialize needMatrix
     for (i = 0; i < processQuan; ++i)
     {
         for (j = 0; j < resourceTypeQuan; ++j)
         {
               needMatrix[i][j] = maxMatrix[i][j] - allocMatrix[i][j];
          }
     }
     printf("Available resources vector is:\n");
     printAvailable();
     printf("Initial allocation matrix is:\n");
     printAllocMatrix();
     printf("Initial need matrix is:\n");
     printNeedMatrix();
     pthread_mutex_init(&mutex,NULL);//declared at head of code
     pthread_attr_t attrDefault;
     pthread_attr_init(&attrDefault);
     pthread_t *tid = malloc(sizeof(pthread_t) * processQuan);
     int *pid = malloc(sizeof(int) * processQuan);//customer's ID, for banker's
algorithm, not pthread
     //initialize pid and create threads
     for(i = 0; i < processQuan; i++)
          *(pid + i) = i;
         pthread_create((tid+i), &attrDefault, customer, (pid+i));
    //join threads
     for(i = 0; i < processQuan; i++)
         pthread_join(*(tid+i),NULL);
     return 0;
void *customer(void* customerID)
```

}

```
int processID = *(int*)customerID;
     while(1)
         //request random number of resources
         sleep(1);
         int requestVector[resourceTypeQuan];
         //Because i is global variable, we should lock from here
         //lock mutex for accessing global variable and printf
         pthread_mutex_lock(&mutex);
         //initialize requestVector
         for(i = 0; i < resourceTypeQuan; i++)</pre>
              if(needMatrix[processID][i] != 0)
              {
                   requestVector[i] = rand() % needMatrix[processID][i];
               }
               else
               {
                   requestVector[i] = 0;
               }
          }
         printf("Customer %d is trying to request resources:\n",processID);
         printReqOrRelVector(requestVector);
         //requestResource() will still return -1 when it fail and return 0 when
succeed in allocate, like textbook says
         //altough I put the error message output part in the requestResource
function
         requestResource(processID, requestVector);
         //unlock
          pthread_mutex_unlock(&mutex);
         //release random number of resources
          sleep(1);
         int releaseVector[resourceTypeQuan];
         //Because i is global variable, we should lock from here
         //lock mutex for accessing global variable and printf
         pthread_mutex_lock(&mutex);
         //initialize releaseVector
         for(i = 0; i < resourceTypeQuan; i++)</pre>
```

```
if(allocMatrix[processID][i] != 0)
               {
                    releaseVector[i] = rand() % allocMatrix[processID][i];
               }
               else
               {
                    releaseVector[i] = 0;
               }
          }
         printf("Customer %d is trying to release resources:\n",processID);
         printRegOrRelVector(releaseVector);
         //releaseResource() will still return -1 when it fail and return 0 when
succeed in allocate, like textbook says
         //altough I put the error message output part in the releaseResource
function
         releaseResource(processID, releaseVector);
         //unlock
         pthread_mutex_unlock(&mutex);
     }
}
int requestResource(int processID,int requestVector[])
{
    //whether request number of resources is greater than needed
     if (ifGreaterThanNeed(processID,requestVector) == -1)
     {
          printf("requested resources is bigger than needed.\n");
          return -1;
     }
     printf("Requested resources are not more than needed.\nPretend to allocate...\n");
     //whether request number of resources is greater than needed
     if(ifEnoughToAlloc(requestVector) == -1)
         printf("There is not enough resources for this process.\n");
          return -1;
     }
     //pretend allocated
     for (i = 0; i < resourceTypeQuan; ++i)
          needMatrix[processID][i] -= requestVector[i];
          allocMatrix[processID][i] += requestVector[i];
          availResourceVector[i] -= requestVector[i];
```

```
}
     printf("Checking if it is still safe...\n");
    //check if still in safe status
     if (ifInSafeMode() == 0)
     {
         printf("Safe. Allocated successfully.\nNow available resources vector
is:\n");
         printAvailable();
         printf("Now allocated matrix is:\n");
         printAllocMatrix();
         printf("Now need matrix is:\n");
         printNeedMatrix();
          return 0;
     }
     else
         printf("It is not safe. Rolling back.\n");
         for (i = 0; i < resourceTypeQuan; ++i)
         {
               needMatrix[processID][i] += requestVector[i];
               allocMatrix[processID][i] -= requestVector[i];
               availResourceVector[i] += requestVector[i];
          }
         printf("Rolled back successfully.\n");
         return -1;
     }
}
int releaseResource(int processID,int releaseVector[])
     if(ifEnoughToRelease(processID,releaseVector) == -1)
     {
         printf("The process do not own enough resources to release.\n");
          return -1;
     }
    //enough to release
     for(i = 0; i < resourceTypeQuan; i++)</pre>
     {
          allocMatrix[processID][i] -= releaseVector[i];
         needMatrix[processID][i] += releaseVector[i];
          availResourceVector[i] += releaseVector[i];
     }
```

```
printf("Release successfully.\nNow available resources vector is:\n");
     printAvailable();
     printf("Now allocated matrix is:\n");
     printAllocMatrix();
     printf("Now need matrix is:\n");
     printNeedMatrix();
     return 0;
}
int ifEnoughToRelease(int processID,int releaseVector[])
     for (i = 0; i < resourceTypeQuan; ++i)
     {
          if (releaseVector[i] <= allocMatrix[processID][i])</pre>
          {
               continue;
          }
          else
          {
               return -1;
     return 0;
int ifGreaterThanNeed(int processID,int requestVector[])
     for (i = 0; i < resourceTypeQuan; ++i)
          if (requestVector[i] <= needMatrix[processID][i])</pre>
               continue;
          else
          {
               return -1;
          }
     return 0;
}
int ifEnoughToAlloc(int requestVector[])
{
     //first element of requestVector is processID
     for (i = 0; i < resourceTypeQuan; ++i)
```

```
{
          if (requestVector[i] <= availResourceVector[i])</pre>
          {
               continue;
          }
          else
               return -1;
     return 0;
}
void printNeedMatrix()
     for (i = 0; i < processQuan; ++i)
          printf("{ ");
          for (j = 0; j < resourceTypeQuan; ++j)</pre>
               printf("%d, ", needMatrix[i][j]);
          printf("),n");
     }
     return;
}
void printAllocMatrix()
     for (i = 0; i < processQuan; ++i)
          printf("{ ");
          for (j = 0; j < resourceTypeQuan; ++j)
               printf("%d, ", allocMatrix[i][j]);
          printf("}\n");
     }
     return;
}
void printAvailable()
    for (i = 0; i < resourceTypeQuan; ++i)
```

```
{
          printf("%d, ",availResourceVector[i]);
     printf("\n");
     return;
}
void printReqOrRelVector(int vec[])
     for (i = 0; i < resourceTypeQuan; ++i)
          printf("%d, ",vec[i]);
     printf("\n");
     return;
int ifInSafeMode()
     int ifFinish[processQuan] = \{0\};//there is no bool type in old C
     int work[resourceTypeQuan];//temporary available resources vector
     for(i = 0; i < resourceTypeQuan; i++)</pre>
     {
          work[i] = availResourceVector[i];
     int k;
     for(i = 0; i < processQuan; i++)
          if (ifFinish[i] == 0)
          {
               for(j = 0; j < resourceTypeQuan; j++)
                    if(needMatrix[i][j] <= work[j])</pre>
                         if(j == resourceTypeQuan - 1)//means we checked whole
vector, so this process can execute
                         {
                              ifFinish[i] = 1;
                              for (k = 0; k < resourceTypeQuan; ++k)
                              {
                                   work[k] += allocMatrix[i][k];
                                   //execute and release resources
                              //if we break here, it will not check all process, so we
should reset i to let it check from beginning
```

```
//If we cannot find any runnable process from beginning
to the end in i loop, we can determine that
                              //there is no any runnable process, but we cannot know
if we do not reset i.
                              i = -1;//at the end of this loop, i++, so -1++=0
                              break://in loop j, break to loop i and check next
runnable process
                         }
                         else/not finished checking all resource, but this kind
resources is enough
                         {
                              continue;
                         }
                    }
                    else//resources not enough, break to loop i for next process
                         //because there is no change happened, so we do not need to
reset i in this condition.
                         break;
                    }
               }
          }
          else
          {
               continue;
          }
     }
    //there are two condition if we finish loop i
    //1. there is no process can run in this condition.
    //2. all processes are runned, which means it is in safe status.
     for(i = 0; i < processQuan; i++)
     {
          if (ifFinish[i] == 0)
          {
               //not all processes are runned, so it is condition 1.
               return -1;
          }
          else
          {
               continue;
     }
    //finished loop, so it is condition 2
     return 0;
```

How to Run: gcc -o banker banker.c -lpthread ./banker 5 5 5

6.Conclusion

In this chapter, three experiments have been complete. They have shown how to avoid the processes deadlock.