

13. The Recursion Theorem

The following theorem, due to Kleene, is a powerful tool in computability theory. Its proof uses the S_m^n theorem, and involves a dazzling use of diagonalisation.

Theorem 13.1 (Recursion Theorem, RT). *Let g be an $(m + 1)$ -ary computable function. Then there is some e such that for all \vec{x} ,*

$$\varphi_e^{(m)}(\vec{x}) \simeq g(\vec{x}, e).$$

Proof: There is some d such that for all v, \vec{x}

$$\begin{aligned} g(\vec{x}, S_m^1(v, v)) &\simeq \varphi_d^{(m+1)}(\vec{x}, v), \\ &\simeq \varphi_{S_m^1(d, v)}^{(m)}(\vec{x}) \quad (\text{by } S_m^n) \end{aligned}$$

Putting $v = d$ and $e = S_m^1(d, d)$, we obtain

$$g(\vec{x}, e) \simeq \varphi_e^{(m)}(\vec{x}). \quad \square$$

Corollary 13.2 (Fixed Point Theorem, FPT). *Let f be a total computable unary function. Then for any $m > 0$ there is some e such that*

$$\varphi_e^{(m)} = \varphi_{f(e)}^{(m)}.$$

Proof: Let

$$g(\vec{x}, z) \simeq \varphi_{f(z)}^{(m)}(\vec{x}).$$

Then g is computable by the UFT. Therefore by the RT there exists e such that for all x ,

$$\varphi_e^{(m)}(\vec{x}) \simeq g(\vec{x}, e) \simeq \varphi_{f(e)}^{(m)}(\vec{x}). \quad \square$$

Discussion of FPT.

Define the ‘ \sim ’ relation on \mathbb{N} by

$$x \sim y \stackrel{\text{df}}{=} \varphi_x = \varphi_y.$$

Then the FPT states (taking $m = 1$):

$$\forall f \in \text{TCOMP}^{(1)} \exists e (f(e) \sim e),$$

i.e., any total computable function has an “***extensional fixed point***”.

Note: Obviously, we cannot replace ‘ \sim ’ by ‘ $=$ ’ in general.

Examples: $f(x) = x + 1$, $f(x) = 2^x$, ...

MORE EXAMPLES:

1. There is some e such that for all x , $\varphi_e(x) = e$, i.e. there is a program which gives its own gn as output!

This is the basic idea behind “self-reproducing programs” and viruses.

Proof: Let $g(x, z) = z$. Clearly, $g \in \text{TCOMP}$. By the RT there is some e such that for all x ,

$$\varphi_e(x) \simeq g(x, e) = e. \quad \square$$

2. More generally: Take *any* total computable unary function f , for example $f(x) = x^x$. Then there is some e such that for all x ,

$$\varphi_e(x) = f(e) = e^e.$$

EXERCISE: Prove the result stated in Example 2.