

## 10. ‘loop’ Programs

### 10.1 Definition

Up to now our development of computability theory was done in terms of the  $\mathcal{G}$  programming language. We have asserted (in §7.1) the equivalence of this notion with many other notions of computability, and proved (in Section 9) its equivalence to  $\mu$ -primitive recursiveness. In this section, and the next, we turn to *other simple programming languages*, and investigate whether the corresponding notions of computability are equivalent to  $\mathcal{G}$ -computability or not.

First we consider the programming language  $\mathcal{L}$  (for “loop”), with the *instructions*

$$\begin{array}{l} V \leftarrow 0 \\ V \leftarrow W \\ V++ \\ \left\{ \begin{array}{l} \text{loop } V \\ \vdots \\ \text{end} \end{array} \right. \\ \text{skip} \end{array}$$

and define an  $\mathcal{L}$ -program as a finite sequence of instructions such that the ‘loop’ and ‘end’ instructions occur in matching pairs.

Comparing  $\mathcal{L}$  with  $\mathcal{G}$ , we find that

- ‘ $V \leftarrow W$ ’ and ‘ $V \leftarrow 0$ ’ are primitive instructions in  $\mathcal{L}$ , but not in  $\mathcal{G}$  (*not an important difference*);
- ‘ $V--$ ’ is primitive in  $\mathcal{G}$  but not in  $\mathcal{L}$  (*also not important*);
- $\mathcal{L}$  has *loops* instead of *labels* and *branches* (*this is the important difference!*).

To complete our description of the  $\mathcal{L}$ -language, we give the precise meaning of the *loop segment*

$$\left\{ \begin{array}{l} \text{loop } V \\ \mathcal{P} \\ \text{end} \end{array} \right\} \text{ block}$$

Suppose that, when we read the ‘loop’ instruction, the value of  $V$  is  $v$ . Then the block  $\mathcal{P}$  of instructions is executed  $v$  times—even if the value of  $V$  is

changed in  $\mathcal{P}$ . This means that  $\mathcal{L}$ -programs *always halt!*

NOTE: The convention with respect to *input*, *output* and *auxiliary* variables is the same as before; i.e. all variables other than the *input* variables are initialised to 0.

EXAMPLES:  $\mathcal{L}$ -programs for *addition* and *multiplication*:

$  \begin{array}{l}  Y \leftarrow X_1 \\  \text{loop } X_2 \\  \quad Y++ \\  \text{end}  \end{array}  $	and	$  \begin{array}{l}  \text{loop } X_1 \\  \quad \text{loop } X_2 \\  \quad \quad Y++ \\  \quad \text{end} \\  \text{end}  \end{array}  $	.
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## 10.2 Relationship to other notions of computability

Let  $\mathcal{L}$ -COMP be the class of functions computable by  $\mathcal{L}$ -programs.

**Lemma 10.1.**  $\mathcal{L}\text{-COMP} \subseteq \mathcal{G}\text{-TCOMP}$ .

**Proof:** Firstly, all  $\mathcal{L}$ -computable functions are *total*.

Secondly, all  $\mathcal{L}$ -computable functions are  $\mathcal{G}$ -computable by the *translation* (or “*compilation*”)

$$\mathcal{Q} \mapsto \mathcal{Q}'$$

of  $\mathcal{L}$ -programs into  $\mathcal{G}$ -programs, defined by CV induction on the lengths of programs  $\mathcal{Q}$ :  $V++$  and skip are translated to themselves, and we have  $\mathcal{G}$ -macros for  $V \leftarrow 0$  and  $V \leftarrow W$ . Finally, we can translate loop segments as follows:

$  \begin{array}{l}  \text{loop } V \\  \quad \mathcal{Q} \\  \text{end}  \end{array}  $	$\mapsto$	$  \begin{array}{l}  Z \leftarrow V \\  [A] \text{ if } Z = 0 \text{ goto } E \\  \quad \mathcal{Q}' \\  \quad Z-- \\  \quad \text{goto } A  \end{array}  $
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where  $Z$  is a *new* (auxiliary) variable. □

NOTE: We can easily define a *GN*, and hence an *effective listing*, of  $\mathcal{L}$ -programs:

$$\mathcal{Q}_0, \mathcal{Q}_1, \mathcal{Q}_2, \dots$$

Let  $F_e$  be the unary function computed by  $\mathcal{Q}_e$ . Then

$$F_0, F_1, F_2, \dots$$

is an *effective enumeration* of  $\mathcal{L}\text{-COMP}^{(1)}$ . Let

$$F(e, x) = F_e(x). \quad (1)$$

Then  $F$  is *total* and clearly *effective*, and hence (by CT)  $\mathcal{G}$ -computable. Hence by the method of Theorem 8.12,

$$\mathcal{L}\text{-COMP} \subset \mathcal{G}\text{-TCOMP} \quad (2)$$

with witness  $\lambda x \cdot (F(x, x) + 1)$ .

The rest of this section is devoted to showing that

$$\mathcal{L}\text{-COMP} = \text{PR}.$$

**Lemma 10.2.**  $\text{PR} \subseteq \mathcal{L}\text{-COMP}$ .

**Proof:** Suppose  $f \in \text{PR}$ . We find an  $\mathcal{L}$ -program or *macro* for  $f$  by *CV induction on the length of a PR-derivation* for  $f$ .

Consider the cases:

- The initial functions, i.e. the *zero*, *projection* and *successor* functions, are computed by

$$\boxed{Y \leftarrow 0} \quad \boxed{Y \leftarrow X_i} \quad \text{and} \quad \boxed{\begin{array}{l} Y \leftarrow X \\ Y++ \end{array}}.$$

- The  $\mathcal{G}$ -program for *composition* in the proof of Theorem 3.4 (p. 3-7) is also an  $\mathcal{L}$ -program.

- To get an  $\mathcal{L}$ -program for *primitive recursion with parameters* we must modify the method for Theorem 3.9 (pp. 3-8/9). Assuming  $\mathcal{L}$ -macros for  $g$  and  $h$ ,  $f$  is computed by

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 $Y \leftarrow g(X_1, \dots, X_k)$ 
loop  $X_{k+1}$ 
   $Y \leftarrow h(X_1, \dots, X_k, Z, Y)$ 
   $Z++$ 
end

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The case of *primitive recursion without parameters* is similar.  $\square$

In order to prove the converse of Lemma 10.2, we need certain definitions and lemmas.

Let  $\mathcal{L}_n$  be the class of  $\mathcal{L}$ -programs with loop-end pairs nested to the depth of *at most*  $n$ , and  $\mathcal{L}_n$ -COMP the class of functions computed by  $\mathcal{L}_n$ -programs.

EXAMPLE: The program for *addition* is in  $\mathcal{L}_1$ , and for *multiplication* is in  $\mathcal{L}_2$  (see previous example).

These definitions suggest a *hierarchy of  $\mathcal{L}$ -programs*

$$\mathcal{L}_0 \subset \mathcal{L}_1 \subset \mathcal{L}_2 \subset \dots, \quad \mathcal{L} = \bigcup_{n=0}^{\infty} \mathcal{L}_n$$

and a *hierarchy of  $\mathcal{L}$ -computable functions*

$$\begin{aligned} \mathcal{L}_0\text{-COMP} &\subseteq \mathcal{L}_1\text{-COMP} \subseteq \mathcal{L}_2\text{-COMP} \subseteq \dots, \\ \mathcal{L}\text{-COMP} &= \bigcup_n \mathcal{L}_n\text{-COMP}. \end{aligned}$$

We assume for now:

- programs (or blocks) contain *only auxiliary variables*  $Z_1, Z_2, \dots$ , and
- a block within a loop ('loop  $V \dots$  end') does *not* contain the *loop variable*  $V$ . There is no loss of generality, since

$$\boxed{\begin{array}{c} \text{loop } V \\ \mathcal{Q} \\ \text{end} \end{array}} \cong \boxed{\begin{array}{c} W \leftarrow V \\ \text{loop } W \\ \mathcal{Q} \\ \text{end} \end{array}}$$

where  $W$  is a new auxiliary variable (and ' $\cong$ ' denotes semantic equivalence of programs).

Now consider a block  $\mathcal{P}$  with

$$\mathbf{var}(\mathcal{P}) \subseteq \vec{Z} \equiv Z_1, \dots, Z_k.$$

We think of  $\mathcal{P}$  as *transforming* the values of  $\vec{Z}$  by

$$\begin{aligned} \vec{z} &\mapsto (f_1(\vec{z}), \dots, f_k(\vec{z})) \\ \text{or} \quad \vec{z} &\mapsto \vec{f}(\vec{z}) \end{aligned} \tag{3}$$

for certain  $k$ -ary functions  $\vec{f} = f_1, \dots, f_k$ . We also say that

$\mathcal{P}$  defines the transformation (3) on  $\vec{Z}$ .

Now consider a loop segment

$$\mathcal{Q} \equiv \boxed{\begin{array}{c} \text{loop } V \\ \mathcal{P} \\ \text{end} \end{array}}$$

with  $V \neq Z_i$  ( $1 \leq i \leq k$ ). Then  $\mathbf{var}(\mathcal{Q}) \subseteq \{\vec{Z}, V\}$ , and  $\mathcal{Q}$  transforms the values of these variables by

$$\begin{aligned} \vec{z} &\mapsto \vec{g}(\vec{z}, v) \\ v &\mapsto v \end{aligned} \tag{4}$$

for certain  $(k+1)$ -ary functions  $\vec{g} = g_1, \dots, g_k$  (since, by assumption, the value of the loop variable  $V$  does not change with the execution of  $\mathcal{Q}$ ). What is the relationship between  $\vec{f}$  in (3) and  $\vec{g}$  in (4)? Note that

*$g_i(\vec{z}, v)$  is the final value of  $Z_i$  after  $v$  iterations of block  $\mathcal{P}$ , assuming that  $v$  is the initial value of  $V$ .*

**Lemma 10.3.** (With the above notation:)  $\vec{g} \in \text{PR}(\vec{f})$ .

**Proof:** We have

$$\begin{cases} g_i(\vec{z}, 0) = z_i \\ g_i(\vec{z}, t+1) = f_i(g_1(\vec{z}, t), \dots, g_k(\vec{z}, t)). \end{cases}$$

So  $\vec{g}$  is defined from  $\vec{f}$  by *simultaneous primitive recursion*. The result follows from Theorem 6.6 (generalised to  $k$  functions).  $\square$

**Lemma 10.4.** Suppose  $\mathcal{P}$  is an  $\mathcal{L}$ -program with  $\text{var}(\mathcal{P}) \subseteq \vec{Z} \equiv Z_1, \dots, Z_k$ , and  $\mathcal{P}$  defines the transformation

$$\vec{z} \mapsto \vec{f}(\vec{z})$$

with  $\vec{f} = f_1, \dots, f_k$ . Then  $\vec{f} \in \text{PR}$ .

**Proof:** Since  $\mathcal{P}$  is an  $\mathcal{L}$ -program,  $\mathcal{P} \in \mathcal{L}_n$ , for some  $n$ . We show that if  $\mathcal{P} \in \mathcal{L}_n$  then  $\vec{f} \in \text{PR}$ , by induction on  $n$ :

- **Basis:**  $n = 0$ .  $\mathcal{P}$  has no loop-end pair, and consists only of the instructions

$$\begin{aligned} Z_i &\leftarrow 0 \\ Z_i &\leftarrow Z_j \\ Z_i &++. \end{aligned}$$

So we must have

$$\begin{aligned} f_i(\vec{z}) &= z_j + m \\ \text{or } f_i(\vec{z}) &= m, \end{aligned}$$

for  $i = 1, \dots, k$ , some  $j$  and some  $m$ . Therefore  $\vec{f} \in \text{PR}$ .

- **Induction step:** Suppose the result holds for  $n$ . Let  $\mathcal{P} \in \mathcal{L}_{n+1}$ . Then  $\mathcal{P}$  is of the form

$$\begin{array}{l}
Q_0 \\
\text{loop } V_1 \\
\quad \mathcal{P}_1 \\
\text{end} \\
Q_1 \\
\text{loop } V_2 \\
\quad \mathcal{P}_2 \\
\text{end} \\
Q_2 \\
\vdots \\
Q_{r-1} \\
\text{loop } V_r \\
\quad \mathcal{P}_r \\
\text{end} \\
Q_r
\end{array}$$

where  $Q_i \in \mathcal{L}_0$  and  $\mathcal{P}_i \in \mathcal{L}_n$ . By the *induction hypothesis*, the transformations defined by these are all in PR. By Lemma 10.3, the transformation defined by

$$\begin{array}{l}
\text{loop } V_i \\
\quad \mathcal{P}_i \\
\text{end}
\end{array}$$

is PR. The result follows from the closure of PR under composition. □

We are ready to prove the converse of Lemma 10.2.

**Lemma 10.5.**  $\mathcal{L}\text{-COMP} \subseteq \text{PR}$ .

**Proof:** Suppose the  $k$ -ary function  $h$  is computed by the  $\mathcal{L}$ -program  $\mathcal{P}$ , containing the variables  $Z_1, \dots, Z_\ell, X_1, \dots, X_k, Y$ . Put

$$\begin{aligned} \mathcal{P} &\equiv \mathcal{P}(Z_1, \dots, Z_\ell, X_1, \dots, X_k, Y), \\ \text{and } \mathcal{Q} &\equiv \mathcal{P}(Z_1, \dots, Z_\ell, Z_{\ell+1}, \dots, Z_{\ell+k}, Z_{\ell+k+1}), \end{aligned}$$

and suppose  $\mathcal{Q}$  defines a transformation

$$\vec{z} \leftarrow \vec{f}(\vec{z})$$

with  $\vec{z} \equiv z_1, \dots, z_{\ell+k+1}$  and  $\vec{f} = f_1, \dots, f_{\ell+k+1}$ . By Lemma 10.4,  $\vec{f} \in \text{PR}$ . Finally

$$h(x_1, \dots, x_k) = f_{\ell+k+1}(\underbrace{0, \dots, 0}_{\ell \text{ times}}, x_1, \dots, x_k, 0)$$

Therefore  $h \in \text{PR}$ .  $\square$

**Theorem 10.6.**  $\mathcal{L}\text{-COMP} = \text{PR}$ .

**Proof:** By Lemmas 10.2 and 10.5.  $\square$

**Corollary 10.7.**  $\text{PR} \subset \mathcal{G}\text{-TCOMP}$ .

**Proof:** By (2) and Theorem 10.6.

(Cf. Thm 8.12, p. 807.)  $\square$

NOTES:

1. Again, there is a *relativised* notion of ‘loop’ computability, and a relativised version of Theorem 10.6:

$$\mathcal{L}\text{-COMP}(\vec{g}) = \text{PR}(\vec{g}) \tag{5}$$

2. We can define a *relativised hierarchy*

$$\mathcal{L}_0(\vec{g}) \subset \mathcal{L}_1(\vec{g}) \subset \mathcal{L}_2(\vec{g}) \subset \dots$$

Then (see Thm 5.1 (p. 5-2) and Ex. 1 (p. 5-6))

$$\mathcal{L}_0(\vec{g}) = \text{ED}(\vec{g}).$$



### 10.3 Ackermann's function

As we have seen, the function  $F$  in (1) (p. 10-3) is  $\mathcal{G}$ -computable, but not PR. We conclude this section with a *more interesting* and “*natural*” witness that  $\text{PR} \subset \mathcal{G}\text{-TCOMP}$ . To set the stage, consider the hierarchy of PR definitions of well-known functions:

$$\begin{aligned} x + 0 &= x, & x + \mathbf{S}y &= \mathbf{S}(x + y) \\ x * 0 &= 0, & x * \mathbf{S}y &= x + (x * y) \\ x \uparrow 0 &= 1, & x \uparrow \mathbf{S}y &= x * (x \uparrow y) \\ x \uparrow\uparrow 0 &= 1, & x \uparrow\uparrow \mathbf{S}y &= x \uparrow (x \uparrow\uparrow y) \\ & & \vdots & \end{aligned}$$

NOTE 1: The *hyperexponential*

$$x \uparrow\uparrow y = x \cdot^{\cdot^{\cdot^x}} \} \text{ (} y \text{ times)}$$

increases very rapidly with  $y$ .<sup>1</sup>

We systematise the above sequence of constructions by putting

$$f_1 = +, \quad f_2 = *, \quad f_3 = \uparrow, \quad f_4 = \uparrow\uparrow, \dots$$

and defining

$$\left\{ \begin{array}{l} f_0(x, y) = \mathbf{S}y \\ f_{n+1}(x, 0) = \begin{cases} x & \text{if } n = 0 \\ 0 & \text{if } n = 1 \\ 1 & \text{if } n > 1 \end{cases} \\ f_{n+1}(x, \mathbf{S}y) = f_n(x, f_{n+1}(x, y)). \end{array} \right.$$

NOTES:

2. For all  $n$ ,  $f_n \in \text{PR}$  (by induction on  $n$ ).
3. It is also easy to see that  $f_n \in \mathcal{L}_n\text{-COMP}$  (again by induction on  $n$ ).
4. However, we can show that  $f_{n+1} \notin \mathcal{L}_n\text{-COMP}$ , since it “increases too rapidly”! (See [DW83], Chapter 13, for a proof for a related hierarchy.)

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<sup>1</sup> For example,  $3 \uparrow\uparrow 4$  is much larger than  $10^{80}$ , Eddington's estimate of the number of electrons in the universe.

Now define

$$\mathbf{A}(z, x, y) = f_z(x, y).$$

This is (a version of) *Ackermann's function*.

NOTES:

5. The function  $\mathbf{A}$  is defined by *double recursion* (on 1st and 3rd args):

$$\left\{ \begin{array}{l} \mathbf{A}(0, x, y) = \mathbf{S}y \\ \mathbf{A}(\mathbf{S}z, x, 0) = \begin{cases} x & \text{if } z = 0 \\ 0 & \text{if } z = 1 \\ 1 & \text{if } z > 1 \end{cases} \\ \mathbf{A}(\mathbf{S}z, x, \mathbf{S}y) = \mathbf{A}(z, x, \mathbf{A}(\mathbf{S}z, x, y)). \end{array} \right.$$

6.  $\mathbf{A} \in \mathcal{G}\text{-TCOMP}$  (for example, by CT).

7. However,  $\mathbf{A} \notin \text{PR}$ ! For suppose

$$\mathbf{A} \in \text{PR} = \mathcal{L}\text{-COMP} = \bigcup_n \mathcal{L}_n\text{-COMP}$$

Then for some  $n$ ,  $\mathbf{A} \in \mathcal{L}_n\text{-COMP}$ . So

$$f_{n+1} = \lambda x, y. \mathbf{A}(n+1, x, y) \in \mathcal{L}_n\text{-COMP},$$

a contradiction to Note 4.

EXERCISES:

1. Give an  $\mathcal{L}$ -program for the predecessor function.
2. Define the class  $\mathcal{L}\text{-COMP}(\vec{g})$ , and outline a proof for (5).
3. (*Tail recursion*.) Suppose  $f$  is defined from  $g$  and  $h$  by the equations

$$\left\{ \begin{array}{l} f(x, 0) = g(x) \\ f(x, n+1) = f(h(x, n), n). \end{array} \right.$$

Show that  $f \in \mathcal{L}\text{-COMP}(g, h)$  and (hence)  $f \in \text{PR}(g, h)$ . Note that in the “recursive call” (the expression on the right hand side of the second equation),  $f$  is on the “outside”—this is characteristic of tail recursion. Also the *parameter changes* (from  $x$  to  $h(x, n)$ ), so that these equations (as they stand) do *not* form an instance of definition by primitive recursion.

4. Show that for sets:  $\text{PR} \subset \mathcal{G}\text{-COMP}$ .