# 2. Programs Which Compute Functions

The basis for our study of *computable functions* is the programming language  $\mathcal{G}$  (for "goto"; it is called  $\mathcal{S}$  in [DW83]).

### 2.1 Syntax and Informal Semantics of $\mathcal{G}$

The syntax of  $\mathcal{G}$  includes three classes of (program) variables:

- input variables  $X_1, X_2, X_3, \ldots,$
- auxiliary or local variables  $Z_1, Z_2, Z_3, \ldots$ ,
- the *output variable* Y,

and also

• *labels*  $A_1, B_1, \ldots, E_1, A_2, B_2, \ldots, E_2, \ldots$ 

We also write

- $V, W, V', \ldots$  for any variable (metavariables for variables),
- $L, L_1, \ldots$  for any label (metavariables for labels),
- omit the subscript 1, e.g. 'X' means  $X_1$ , and 'A' means  $A_1$ .

**Statements**  $S, \ldots$  have one of the following forms:

$$\begin{array}{ll} V{++} & (increment) \\ V{--} & (decrement) \\ \text{if } V{\neq} \ 0 \ \text{goto} \ L & (conditional \ branch) \\ \text{skip} \end{array}$$

An *instruction* has either of the two forms

$$S$$
 (unlabelled statement) or  $[L]$   $S$  (labelled statement)

A **program**  $\mathcal{P}$  is a finite list of instructions, possibly the empty list  $\langle \rangle$ .

The *informal semantics* of  $\mathcal{G}$ -programs are clarified by the following assumptions. (The formal semantics are given later, in §2.3.)

- $\bullet$  Aux. variables and the output variable Y are always *initialised* to 0.
- If V has the value 0, then instruction 'V--' leaves its value at 0.
- If '... goto L' occurs, with more than 1 occurrence of label L, go to the first occurrence.
- Execution of a program halts if it has either
  - executed its last instruction, or
  - executed an instruction 'goto L' without containing a label L.
- The label E will be used for an *exit instruction*, i.e., it will never be used to label a statement, and so 'goto E' will always mean "exit".

#### Notation.

- (a) Variables can only take values in  $\mathbb{N}$ , i.e., they have type nat.
- (b) We indicate the *value* of a variable by its lower case equivalent, e.g.,  $x_1$  denotes the *value* of  $X_1$ .
- (c) More generally, lower case letters  $x_1, x_2, \ldots, k, m, n, r, \ldots, u, v, \ldots$  will denote *natural numbers* (elements of  $\mathbb{N}$ ).

Under the above informal semantics, it is clear that

## each G-program computes a function on $\mathbb{N}$ .

(This will be formalised later, in  $\S 3.1$ .) This function is, in general, **partial**, since for some input values the program may *diverge*.

For convenience we introduce abbreviating pseudo-instructions, called macros, and refer to the program texts they abbreviate as their macro expansions. For example, goto L and  $V \leftarrow 0$  are the macros for an  $unconditional\ branch$  and an  $assignment\ of\ 0$ , with expansions

$$Z++$$
 if  $Z \neq 0$  goto  $L$  and  $\begin{bmatrix} [L] & V-- \\ & \text{if } V \neq 0 \text{ goto } L \end{bmatrix}$ 

respectively.

**Note**. When inserting macro expansions in a program, we have to be concerned with issues such as:

- initialisation of auxiliary variables,
- choosing auxiliary variables and labels not used in the main program,
- replacing 'E' by the label for the statement immediately following the macro, if such a statement exists.

This is discussed more systematically in §3.2.

## 2.2 Examples of $\mathcal{G}$ -programs.

- Identity function  $\lambda x \cdot x$ 
  - First attempt:

$$\begin{array}{ccc} [A] & X-- \\ & Y++ \\ & \text{if } X \neq 0 \text{ goto } A \end{array}$$

This is wrong since for input 0 it gives output 1 instead of 0.

— Second attempt:

$$\begin{array}{ll} [A] & \text{if } X \neq 0 \text{ goto } B \\ & \text{goto } E \\ [B] & X -- \\ & Y ++ \\ & \text{goto } A \\ \end{array}$$

But now the value of the input variable X is destroyed!

Third attempt:

$$[A] \quad \text{if } X \neq 0 \text{ goto } B$$
 
$$\text{goto } C$$
 
$$[B] \quad X - -$$
 
$$Y + +$$
 
$$Z + +$$
 
$$\text{goto } A$$
 
$$[C] \quad \text{if } Z \neq 0 \text{ goto } D$$
 
$$\text{goto } E$$
 
$$[D] \quad Z - -$$
 
$$X + +$$
 
$$\text{goto } C$$

From this program we get the assignment macro

$$V \leftarrow 0$$
Above program with  $X$  and  $Y$ 
replaced by  $W$  and  $V$ 

• Sum function  $\lambda x_1, x_2 \cdot (x_1 + x_2)$ 

$$Y \leftarrow X_1$$

$$Z \leftarrow X_2$$

$$[B] \quad \text{if } Z \neq 0 \text{ goto } A$$

$$\text{goto } E$$

$$[A] \quad Z - -$$

$$Y + +$$

$$\text{goto } B$$

This program may now form the basis for a macro  $V \leftarrow W_1 + W_2$ for addition.

$$V \leftarrow W_1 + W_2$$

• Product function  $\lambda x_1, x_2 \cdot (x_1 * x_2)$ 

Note that the two statements (\*) may *not* be replaced by the single statement  $Y \leftarrow X_1 + Y$ , since the addition macro (as given above) does not work correctly for statements of the form  $V \leftarrow W + V$ . (We will see how to deal with this problem later, in §4.2.)

Exercises: Write  $\mathcal{G}$ -programs to compute:

- (1) The zero function  $\lambda x \cdot 0$ .
- (2) The everywhere diverging function  $\lambda x \cdot \uparrow$ .
- (3) The function  $f(x) = \begin{cases} 1 & \text{if } x \text{ even} \\ 0 & \text{if } x \text{ odd.} \end{cases}$
- (4) The function  $f(x) = \begin{cases} 1 & \text{if } x \text{ even} \\ \uparrow & \text{if } x \text{ odd.} \end{cases}$
- (5) The "monus" function

$$f(x_1, x_2) = x_1 - x_2 = \begin{cases} x_1 - x_2 & \text{if } x_1 \ge x_2 \\ 0 & \text{otherwise.} \end{cases}$$

(6) The predicate  $\lambda x_1, x_2 \cdot (x_1 \leq x_2)$ .

#### 2.3 Formal Semantics for $\mathcal{G}$

We introduce the following concepts:

- var(S) is the set of variables in statement S.
- $var(\mathcal{P})$  is the set of variables in program  $\mathcal{P}$ .
- $lab(\mathcal{P})$  is the set of labels in program  $\mathcal{P}$ .
- A **state** is a finite function from some set of variables to  $\mathbb{N}$ . We denote states by  $\sigma, \tau, \ldots, \text{ e.g.}, \sigma = \{(X, 3), (Y, 2), (Z, 4)\}.$
- $\sigma$  is a state of a program  $\mathcal{P}$  iff  $dom(\sigma) \supseteq var(\mathcal{P})$ , i.e.,  $\sigma$  assigns a value to each variable in  $\mathcal{P}$ .
- The *variant*  $\sigma\{V/m\}$  of a state  $\sigma$  is the state  $\tau$  which corresponds to  $\sigma$  except that  $\tau(V) = m$ . In other words,  $\operatorname{dom}(\tau) = \operatorname{dom}(\sigma) \cup \{V\}$ , and for all  $W \in \operatorname{dom}(\tau)$ ,

$$\tau(W) = \begin{cases} \sigma(W) & \text{if } W \not\equiv V \\ m & \text{if } W \equiv V. \end{cases}$$

(**Note**: Here and elsewhere, ' $\equiv$ ' denotes syntactic identity.)

- For a program  $\mathcal{P}$ ,  $|\mathcal{P}|$  is the **length** of  $\mathcal{P}$ , i.e., the number of instructions in  $\mathcal{P}$ , and  $(\mathcal{P})_i$  is the *i*-th instruction of  $\mathcal{P}$ , for  $1 \leq i \leq |\mathcal{P}|$ .
- A snapshot or instantaneous description of  $\mathcal{P}$ , with  $|\mathcal{P}| = \ell$ , is a pair  $s = (i, \sigma)$  where  $1 \leq i \leq \ell + 1$  and  $\sigma$  is a state of  $\mathcal{P}$ . Intuitively,  $\sigma$  is the state just before the execution of  $(\mathcal{P})_i$  if  $1 \leq i \leq \ell$ , or after completing the execution of  $\mathcal{P}$  if  $i = \ell + 1$ . In the latter case, s is the terminal snapshot and  $\sigma$  the terminal state of  $\mathcal{P}$ .

- If  $(i, \sigma)$  is a non-terminal snapshot of  $\mathcal{P}$ , i.e.,  $i \leq |\mathcal{P}| = \ell$ , then it has a **successor**  $(j, \tau)$  (w.r.t.  $\mathcal{P}$ ), defined as follows:
  - Case 1:  $(\mathcal{P})_i \equiv V++$  and  $\sigma(V)=m$ . Then j=i+1 and  $\tau=\sigma\{V/m+1\}$ .
  - Case 2:  $(\mathcal{P})_i \equiv V$  and  $\sigma(V) = m$ . Then j = i+1 and  $\tau = \begin{cases} \sigma\{V/m-1\} & \text{if } m > 0\\ \sigma & \text{if } m = 0. \end{cases}$
  - Case 3:  $(\mathcal{P})_i \equiv \text{skip. Then}$ skip j = i + 1 and  $\tau = \sigma$ .
  - Case 4:  $(\mathcal{P})_i \equiv \text{if } V \neq 0 \text{ goto } L$ . Then  $\tau = \sigma$ , and for j we have two subcases:
    - $\sigma(V) = 0$ . Then j = i + 1.
    - $-\sigma(V) \neq 0$ . Then j is the *least* number such that  $(\mathcal{P})_j$  has label L, if  $\mathcal{P}$  contains L. Otherwise,  $j = \ell + 1$ . (So if L occurs more than once in  $\mathcal{P}$ , then its first occurrence is used, and if L does not occur at all then  $\mathcal{P}$  halts.)
- A **finite computation** of  $\mathcal{P}$  is a list  $s_1, s_2, \ldots, s_k$  of snapshots such that  $s_1 = (1, \sigma_1)$  and for  $i = 1, \ldots, k-1, s_{i+1}$  is the successor (w.r.t.  $\mathcal{P}$ ) of  $s_i$ , and  $s_k$  is terminal. An **infinite computation** of  $\mathcal{P}$  is an infinite list  $s_1, s_2, \ldots$  of snapshots such that  $s_1 = (1, \sigma_1)$  and for  $i = 1, 2, \ldots$   $s_{i+1}$  is the successor (w.r.t.  $\mathcal{P}$ ) of  $s_i$ .

In both cases, we have a computation of  $\mathcal{P}$  with initial snapshot  $(1, \sigma_1)$  and initial state  $\sigma_1$ , or a computation of  $\mathcal{P}$  from  $\sigma_1$ .