

# From Expert Intuition to Machine Action: A Human-in-the-Loop Framework for Translating Tacit Chemical Knowledge into Bayesian Optimization Priors

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## Abstract

Self-driving laboratories (SDLs) promise to accelerate materials discovery through autonomous experimentation, yet current approaches struggle to incorporate domain expertise, limiting sample efficiency in expensive experimental campaigns. We present a novel human-in-the-loop framework that systematically translates tacit chemical knowledge into mathematically structured priors for Bayesian optimization. Our approach combines interactive knowledge elicitation interfaces, large language model-assisted formalization, and a residual Gaussian process architecture that gracefully handles imperfect priors through automatic alignment calibration. The framework decomposes expert intuitions—such as feature effects, interaction synergies, and promising regions—into differentiable functions (sigmoids, Gaussians) with learned confidence weights. A key innovation is the alignment parameter  $\alpha$ , which dynamically weighs prior knowledge against empirical data, enabling robust optimization even when human intuitions are partially incorrect. Benchmarking across varying knowledge quality levels demonstrates 2.5–5.5× acceleration over standard Bayesian optimization while maintaining robustness when priors are poor. Total human time investment is ~90 minutes per campaign, with minimal cognitive load through structured elicitation protocols. This work addresses a fundamental challenge in autonomous discovery: bridging the semantic gap between how humans understand chemistry (qualitative, contextual) and how machines optimize (quantitative, formal). Our framework provides a blueprint for human-AI collaboration in scientific discovery, demonstrating that structured knowledge engineering can unlock the full potential of self-driving laboratories.

**Keywords:** Self-driving laboratories, Bayesian optimization, tacit knowledge elicitation, human-in-the-loop, materials discovery, Gaussian processes, prior knowledge integration

## 1. Introduction

### 1.1 The Promise and Challenge of Autonomous Materials Discovery

The development of self-driving laboratories (SDLs) represents a paradigm shift in materials science, combining robotic automation, machine learning, and closed-loop experimentation to accelerate discovery <sup>[1] [2] [3]</sup>. Recent successes include the A-Lab platform, which synthesized 41 novel inorganic materials in 17 days with 71% success rate <sup>[4]</sup>, and distributed optimization campaigns achieving Pareto-optimal solutions across continents in 3 days <sup>[5]</sup>. These systems demonstrate that autonomous experimentation can outpace traditional trial-and-error approaches by orders of magnitude <sup>[6] [7]</sup>.

However, a critical limitation persists: **current SDLs largely ignore accumulated domain expertise**, treating all experimental regions as equally informative prior to data collection <sup>[8] [9]</sup>. This tabula rasa approach wastes expensive experiments exploring regions that experienced chemists know to be unpromising. For example, in polymer synthesis optimization, standard Bayesian optimization (BO) might test extreme temperature ranges that experts recognize as degrading polymer chains, consuming valuable experimental budget on dead-end hypotheses <sup>[10] [11]</sup>.

The root cause is a **semantic gap** between human and machine knowledge representation <sup>[12] [13]</sup>. Scientists possess rich tacit knowledge—intuitions about which features matter, how they interact, and where promising compositions lie—developed through years of hands-on experimentation <sup>[14] [15]</sup>. This knowledge is qualitative ("higher temperatures generally improve yield but too much causes decomposition"), context-dependent, and difficult to articulate formally <sup>[16] [17]</sup>. In contrast, machine learning algorithms require explicit, quantitative representations: numerical vectors, probability distributions, and differentiable functions <sup>[18] [19]</sup>.

## 1.2 Limitations of Current Approaches

Two extreme approaches have emerged to address this gap, each with significant drawbacks:

**Pure data-driven Bayesian optimization** treats every experiment symmetrically, learning surrogate models solely from observed data [20] [21]. While theoretically sound, this approach suffers from severe sample inefficiency in high-dimensional spaces common to materials science (5–15 parameters) [22] [23]. Without guidance, BO may require 100+ experiments to locate optimal regions, exhausting experimental budgets before achieving satisfactory performance [24] [25].

**LLM-based prior generation** attempts to extract knowledge directly from large language models (GPT-4, Claude) trained on scientific literature [26] [27]. While conceptually appealing, recent studies reveal fundamental limitations: LLMs lack deep domain grounding for specialized material systems, produce generic suggestions that fail to capture system-specific nuances, and struggle to maintain coherent reasoning through iterative refinement [28] [29]. Our own preliminary experiments confirmed these issues—LLMs could not adaptively update priors based on experimental feedback without generating contradictory or nonsensical recommendations.

## 1.3 Our Contribution: Structured Knowledge Translation

We propose a fundamentally different approach: **human-in-the-loop knowledge engineering** that treats prior elicitation as a structured translation problem rather than automated knowledge extraction [30] [31]. Our framework consists of three innovations:

- 1. Interactive elicitation interfaces** that decompose complex chemical intuitions into machine-interpretable primitives through guided questionnaires, replacing free-form descriptions with structured schemas (effects, interactions, bumps) [32] [33].
- 2. Mathematical formalization engine** that converts symbolic knowledge representations into differentiable prior mean functions using sigmoid transformations, Gaussian bumps, and multiplicative interactions—each with physical interpretations [34] [35].
- 3. Residual Gaussian process architecture** with automatic alignment calibration ( $\alpha$ ) that learns to trust or ignore prior components based on empirical evidence, ensuring robustness against imperfect human intuitions [36] [37].

This approach positions experts as **supervisors** rather than micromanagers: they invest ~30 minutes specifying high-level beliefs upfront, then monitor optimization passively with occasional lightweight interventions (~15 minutes total over a campaign). The system handles all mathematical optimization, surrogate modeling, and acquisition function computations autonomously.

## 1.4 Manuscript Organization

The remainder of this manuscript proceeds as follows: Section 2 reviews related work on knowledge-driven optimization and human-AI collaboration. Section 3 details our mathematical framework, including prior representation schemas, residual GP formulation, and alignment learning. Section 4 describes the human-in-the-loop workflow design with concrete interaction protocols. Section 5 presents benchmarking results across knowledge quality tiers and real-world polymer synthesis optimization. Section 6 discusses implications for trustworthy autonomous discovery and future research directions.

## 2. Background and Related Work

### 2.1 Bayesian Optimization for Materials Discovery

Bayesian optimization has emerged as the dominant paradigm for sequential experimental design in materials science [38] [39]. The standard framework models the unknown objective function  $f(X)$  as a Gaussian process (GP):

$$f(X) \sim \mathcal{GP}(m(X), k(X, X'))$$

where  $m(X)$  is the mean function (typically zero) and  $k(X, X')$  is a covariance kernel encoding smoothness assumptions [40]. After observing data  $\mathcal{D} = \{(X_i, y_i)\}_{i=1}^n$ , the posterior predictive distribution enables uncertainty-aware predictions at unobserved points. An acquisition function  $\alpha(X)$ —such as expected improvement (EI), upper confidence bound (UCB), or knowledge gradient—balances exploitation (sampling near predicted optima) and exploration (sampling high-uncertainty regions) [41] [42].

Recent benchmarks across experimental materials datasets demonstrate significant sample efficiency gains: BO algorithms require 40–70% fewer experiments than random search or grid-based approaches to achieve 90% of optimal performance [43] [44]. However, these gains assume sufficient data to learn accurate surrogates—in practice, early-stage optimization with initial samples exhibits poor performance due to high posterior uncertainty [45] [46].

## 2.2 Knowledge-Driven Learning in Scientific Discovery

Incorporating domain knowledge into machine learning has a rich history in scientific computing [47][48]. Physics-informed neural networks (PINNs) embed differential equations as soft constraints during training [49][50]. Transfer learning leverages models trained on related tasks to initialize optimization for new problems [51][52]. Multi-fidelity optimization combines cheap low-fidelity simulations with expensive high-fidelity experiments [53][54].

For Bayesian optimization specifically, several knowledge integration strategies exist:

**Informative priors on hyperparameters:** Tuning GP kernel parameters (lengthscales, outputscale) based on expected function smoothness [55][56]. While theoretically appealing, practitioners find hyperparameter tuning difficult and results highly sensitive to choices [57].

**Constrained acquisition functions:** Incorporating known feasibility constraints (e.g., stability regions, safety bounds) to avoid sampling infeasible or dangerous conditions [58][59]. Effective when constraints are precisely known, but chemical synthesis often involves soft preferences rather than hard boundaries [60].

**Meta-learning across campaigns:** Training hierarchical models on multiple related optimization tasks to learn transferable initialization strategies [61][62]. Requires large datasets of completed campaigns, limiting applicability to novel material systems [63].

Our approach differs fundamentally: rather than tuning algorithmic components, we **directly encode human beliefs about the objective function** into the prior mean  $m_0(X)$ , making knowledge explicit and interpretable [64][65].

## 2.3 Human-in-the-Loop Optimization

The paradigm of human-AI collaboration in scientific discovery has gained traction as autonomous systems proliferate [66][67]. Key frameworks include:

**Curiosity-driven active learning** (BOARS): Systems present top candidates to users, who provide preference rankings or binary accept/reject decisions [68][69]. The algorithm incorporates feedback as soft constraints on acquisition. While effective for human-centric objectives (aesthetics, user experience), it requires continuous human engagement throughout optimization [70].

**Expert-guided initialization** (HypBO): Experts propose initial hypotheses about promising regions, which seed the experimental design [71]. The system uses these suggestions to generate diverse starting points via Gaussian perturbations. Effective for exploration but does not capture systematic knowledge about feature effects [72].

**Interactive visualization dashboards:** Displaying surrogate model predictions, uncertainty maps, and acquisition landscapes enables experts to identify algorithmic failures or unexpected patterns [73][74]. Valuable for building trust but lacks mechanisms to formally incorporate expert corrections [75].

**Gated active learning:** Incorporates quality gates where experts flag suspicious experiments or override algorithmic decisions [76][77]. Focuses on data quality rather than knowledge formalization [78].

Our framework synthesizes elements from these approaches while introducing novel structured elicitation protocols that minimize cognitive load and enable quantitative alignment assessment [79][80].

## 2.4 Tacit Knowledge Transfer

The challenge of capturing and formalizing tacit knowledge—the "know-how" that experts struggle to articulate—is well-studied in knowledge management [81][82]. Polanyi's famous dictum "we know more than we can tell" encapsulates the problem: much expertise resides in pattern recognition, embodied skills, and intuitive judgments that resist codification [83][84].

Effective tacit knowledge transfer requires structured methodologies [85][86]:

**Externalization through dialogue:** Guided questioning that prompts experts to articulate implicit assumptions and decision heuristics [87][88].

**Conceptual scaffolding:** Providing frameworks (templates, ontologies, examples) that help experts organize and communicate knowledge [89][90].

**Iterative refinement:** Allowing experts to review formalized representations and correct misinterpretations [91][92].

Our JSON schema for prior specification (effects, interactions, bumps) embodies these principles, offering a controlled vocabulary that structures expert thinking while remaining flexible enough to capture domain-specific nuances [93][94].

### 3. Mathematical Framework

#### 3.1 Problem Formulation

We consider sequential optimization over a discrete candidate set  $\mathcal{X} = \{X_1, X_2, \dots, X_N\}$  representing feasible experimental conditions (e.g., rows in a design space table). Each candidate  $X_i \in \mathbb{R}^d$  is a  $d$ -dimensional feature vector encoding synthesis parameters (temperature, concentration, time, catalyst loading, etc.), normalized to  $[0, 1]^d$ . The objective  $f : \mathcal{X} \rightarrow \mathbb{R}$  represents an experimental outcome (yield, performance metric) observed with noise  $\epsilon \sim \mathcal{N}(0, \sigma^2)$ .

**Goal:** Find  $X^* = \arg \max_{X \in \mathcal{X}} f(X)$  using minimal experiments, leveraging expert knowledge about  $f$ .

This setup reflects real-world self-driving laboratories where the experimental space is predefined by available precursors, equipment constraints, and safety considerations [95][96]. Unlike continuous optimization where any  $X \in \mathbb{R}^d$  can be tested, discrete optimization requires selecting from a finite pool, making sample efficiency paramount when  $N \gg n$  (e.g.,  $N = 1000$  candidates, budget  $n = 30$  experiments) [97][98].

#### 3.2 Prior Knowledge Representation

We structure expert knowledge through three interpretable components:

##### 3.2.1 Feature Effects

An **effect**  $e_j$  characterizes how feature  $x_j$  individually influences the objective, specified by:

$$e_j = \{\text{type, scale, center, width, confidence}\}$$

We support five effect types with corresponding mathematical forms:

**Increasing:** Monotonic positive relationship using sigmoid activation

$$f_{\text{inc}}(x_j) = A \cdot \sigma(k(x_j - c)) = A \cdot \frac{1}{1 + e^{-k(x_j - c)}}$$

where  $k = 6.0$  controls steepness,  $c \in [0, 1]$  is the center point, and  $A = 0.6 \cdot \text{scale} \cdot \text{confidence}$  is the amplitude.

**Decreasing:** Monotonic negative relationship

$$f_{\text{dec}}(x_j) = A \cdot (1 - \sigma(k(x_j - c)))$$

**Peak:** Optimal region with performance degrading on both sides

$$f_{\text{peak}}(x_j) = A \cdot \exp\left(-\frac{1}{2} \left(\frac{x_j - \mu}{\sigma}\right)^2\right)$$

where  $\mu$  locates the peak and  $\sigma$  controls width.

**Valley:** Unfavorable region (inverse of peak)

$$f_{\text{valley}}(x_j) = -A \cdot \exp\left(-\frac{1}{2} \left(\frac{x_j - \mu}{\sigma}\right)^2\right)$$

**Flat:** No systematic effect ( $f_{\text{flat}}(x_j) = 0$ )

**Rationale:** These functional forms capture common chemical phenomenology. Sigmoid functions model thresholding behavior (e.g., reactions requiring minimum temperature to proceed). Gaussian functions capture rate-limiting effects (e.g., enzyme activity with optimal pH). The amplitude scaling by confidence allows experts to differentiate strong beliefs (confidence = 0.9) from weak hunches (confidence = 0.3) [99][100].

### 3.2.2 Feature Interactions

An **interaction**  $I_{jk}$  captures synergistic or antagonistic relationships between features  $x_j$  and  $x_k$ :

$$I_{jk}(X) = \text{sign} \cdot \beta \cdot \text{confidence} \cdot (x_j \times x_k)$$

where  $\text{sign} \in \{-1, +1\}$  indicates antagonism or synergy,  $\beta = 0.2 \cdot \text{strength}$  scales the magnitude, and multiplicative coupling  $x_j \times x_k$  ensures the effect vanishes when either feature is zero [101][102].

**Example:** "High catalyst loading requires longer reaction time" translates to synergy between  $x_{\text{catalyst}}$  and  $x_{\text{time}}$  with positive sign and moderate strength ( $\beta \approx 0.6$ ).

**Chemical interpretation:** Multiplicative interactions naturally model rate equations where two reagents must both be present for reactions to proceed. The symmetry  $I_{jk} = I_{kj}$  reflects physical commutativity [103][104].

### 3.2.3 Promising Regions (Bumps)

A **bump**  $B$  specifies a multi-dimensional region expected to yield high performance:

$$B(X) = A \cdot \exp \left( -\frac{1}{2} \sum_{j=1}^d \left( \frac{x_j - \mu_j}{\sigma_j} \right)^2 \right)$$

where  $\mu \in [0, 1]^d$  locates the center,  $\sigma \in \mathbb{R}_+^d$  controls per-dimension width, and  $A = 3.0 \cdot \text{confidence}$  determines height [105][106].

**Example:** "Around 70°C with 0.5M concentration usually gives good results" maps to  $\mu = [0.6, 0.5, \dots]$  with  $\sigma = [0.15, 0.2, \dots]$  and high amplitude.

**Relationship to kernel design:** Bumps act as locally-defined basis functions, similar to radial basis function (RBF) kernels in GP regression. However, unlike learned kernel centers, bump locations are human-specified, encoding strategic knowledge about composition-property relationships [107][108].

## 3.3 Prior Mean Function Construction

The complete prior mean function aggregates all knowledge components:

This additive decomposition ensures interpretability: each term's contribution can be visualized and critiqued independently [109][110]. The linearity assumption (no higher-order interactions beyond pairwise) balances expressiveness with complexity—most chemical systems exhibit dominant first- and second-order effects [111][112].

**Implementation details:** The function  $m_0(X)$  is implemented as a differentiable PyTorch module, enabling gradient-based optimization if needed for hyperparameter tuning. All operations (sigmoid, exponential) are numerically stable for  $X \in [0, 1]^d$  [113][114].

## 3.4 Residual Gaussian Process Model

Given prior mean  $m_0(X)$  and observed data  $\mathcal{D} = \{(X_i, y_i)\}_{i=1}^n$ , we model the objective as:

$$f(X) = \alpha \cdot m_0(X) + r(X)$$

where:

- $\alpha \in \mathbb{R}$ : **Alignment parameter** scaling the prior's influence
- $r(X) \sim \mathcal{GP}(0, k(X, X'))$ : **Residual Gaussian process** capturing deviations from the prior

This formulation decomposes predictions into structured (prior) and flexible (GP) components, enabling the model to leverage human knowledge while correcting systematic errors [115][116].

**Posterior distribution:** After observing  $\mathcal{D}$ , the predictive mean and variance at test point  $X_*$  are:

$$\begin{aligned} \mu(X_*) &= \alpha \cdot m_0(X_*) + k_*^T (K + \sigma^2 I)^{-1} (Y - \alpha \cdot m_0(X)) \\ \sigma^2(X_*) &= k(X_*, X_*) - k_*^T (K + \sigma^2 I)^{-1} k_* \end{aligned}$$

where  $k_* = [k(X_*, X_1), \dots, k(X_*, X_n)]^T$ ,  $K_{ij} = k(X_i, X_j)$ , and  $Y = [y_1, \dots, y_n]^T$ . Note that the prior  $m_0$  shifts the mean but does not affect uncertainty quantification—residuals  $r(X)$  govern variance [117][118].

**Kernel choice:** We use the Matérn-5/2 kernel by default:

$$k(X, X') = \theta^2 \left( 1 + \frac{\sqrt{5}d}{\ell} + \frac{5d^2}{3\ell^2} \right) \exp \left( -\frac{\sqrt{5}d}{\ell} \right)$$

where  $d = \|X - X'\|_2$ ,  $\theta^2$  is outputscale, and  $\ell$  is lengthscale. Matérn-5/2 provides twice-differentiable sample paths, suitable for physical systems [119][120].

### 3.5 Alignment Learning via Correlation-Based Calibration

The alignment parameter  $\alpha$  determines how much weight to assign to the prior versus data-driven learning. We estimate  $\alpha$  by solving:

$$\alpha^* = \arg \max_{\alpha} \log p(Y|X, \alpha, m_0)$$

Analytically, this reduces to a simple correlation-based formula:

$$\alpha = \frac{\text{Cov}(m_0, Y)}{\text{Var}(m_0)} = \frac{\sum_{i=1}^n (m_0(X_i) - \bar{m}_0)(y_i - \bar{y})}{\sum_{i=1}^n (m_0(X_i) - \bar{m}_0)^2}$$

where  $\bar{m}_0 = \frac{1}{n} \sum_i m_0(X_i)$  and  $\bar{y} = \frac{1}{n} \sum_i y_i$  [121][122].

**Interpretation:**

- $\alpha > 0$ : Prior correlates positively with data → trust and amplify prior
- $\alpha \approx 0$ : Prior uncorrelated → ignore prior, rely on GP
- $\alpha < 0$ : Prior anti-correlates → model learns reversed relationship

This automatic calibration enables **graceful degradation**: if experts are systematically wrong (e.g., believing temperature increases yield when it actually decreases), the system learns and corrects the prior direction rather than failing catastrophically [123][124].

**Diagnostic metric:** Pearson correlation coefficient quantifies prior quality:

$$\rho = \frac{\text{Cov}(m_0, Y)}{\sqrt{\text{Var}(m_0) \cdot \text{Var}(Y)}} \in [-1, 1]$$

Values  $\rho \approx \pm 1$  indicate useful priors;  $\rho \approx 0$  suggest uninformative knowledge [125][126].

### 3.6 Acquisition Function: Expected Improvement

We select the next experiment  $X_{n+1}$  by maximizing expected improvement (EI) over the current best observation  $y^+ = \max_{i=1}^n y_i$ :

$$\text{EI}(X) = \mathbb{E} [\max(f(X) - y^+, 0)] = (\mu(X) - y^+) \Phi(Z) + \sigma(X) \phi(Z)$$

where  $Z = \frac{\mu(X) - y^+}{\sigma(X)}$ ,  $\Phi$  is the standard normal CDF, and  $\phi$  is its PDF [127][128].

EI naturally balances exploitation (high predicted mean  $\mu(X)$ ) and exploration (high uncertainty  $\sigma(X)$ ), making it robust for noisy experimental data [129][130]. The prior influences EI indirectly through  $\mu(X)$ , focusing early search on prior-favored regions while allowing exploration if those regions underperform [131][132].

## 4. Human-in-the-Loop Workflow Design

## 4.1 Design Principles

Our interaction design follows established human-AI collaboration guidelines [<sup>133</sup>][134]:

1. **Minimize cognitive load:** Use structured prompts over free-form text
2. **Maximize interpretability:** Show mathematical consequences of human inputs
3. **Enable reversibility:** Allow experts to undo/revise decisions without penalty
4. **Respect expertise:** Position system as assistant, not replacement
5. **Quantify uncertainty:** Explicitly represent confidence in both human and machine knowledge

## 4.2 Stage 1: Pre-Experimental Knowledge Elicitation

**Timing:** One session before optimization begins (~30 minutes)

**Objective:** Extract structured prior specification  $\{e_j, I_{jk}, B_b\}$

### Guided Questionnaire Protocol

#### Step 1A: Feature Effect Assessment

For each experimental parameter  $j \in \{1, \dots, d\}$ :

```
Parameter: [Name] (Range: [Min] - [Max] [Units])

Q1: Does this parameter systematically affect [objective]?
  o Yes, I have clear expectations
  o Yes, but I'm uncertain
  o No systematic effect (flat)
  o I don't know

[If Yes selected]
Q2: How does it affect [objective]?
  o Increases [objective] as parameter increases
  o Decreases [objective] as parameter increases
  o Has an optimal range (peak)
  o Creates an unfavorable range (valley)

[If Peak/Valley]
Q3: Where is the optimal/unfavorable region?
[Interactive slider: Min ●———— Max]
Center: [Value]
Width (uncertainty): [Narrow/Medium/Wide]

Q4: How confident are you in this assessment?
[Slider: 0% ———●———— 100%]
Confidence: 70%
```

**LLM Translation:** After answering, GPT-4 generates JSON:

```
{
  "feature": "temperature",
  "type": "peak",
  "center": 0.6,
  "width": 0.2,
  "scale": 1.0,
  "confidence": 0.7
}
```

Expert reviews and can adjust numerical values directly or re-answer questions [<sup>135</sup>][136].

#### Step 1B: Interaction Discovery

Q5: Do any parameter pairs exhibit synergy or antagonism?

[Show pairwise grid of all parameters]

Click cells to specify interactions...

[For selected pair (j, k)]  
Interaction: [Parameter j] × [Parameter k]

Relationship:

- Synergistic (both high → better)
- Antagonistic (one high, other low → better)
- No interaction

Strength: [Weak] —●— [Strong]

Confidence: [Low] —●— [High]

#### Example output:

```
{
  "dims": ["temperature", "catalyst"],
  "type": "synergy",
  "strength": 0.6,
  "confidence": 0.8
}
```

#### Step 1C: Promising Region Specification (Optional)

Q6: Based on past experience, are there specific combinations likely to perform well?

Option 1: Describe in text

[Text box]: "Around 70°C with moderate concentration..."

Option 2: Interactive visualization

[Show 2D/3D projection, click to place bump]

Option 3: Skip this step

LLM parses text descriptions or interface captures click coordinates, generating:

```
{
  "location": {"temp": 0.6, "conc": 0.5},
  "width": {"temp": 0.15, "conc": 0.2},
  "amplitude": 3.0,
  "confidence": 0.6
}
```

### Knowledge Validation Dashboard

After elicitation, system displays:

#### Visual summary:

- 1D plots:  $m_0(x_j)$  for each feature (holding others at mean)
- Interaction heatmap: Pairwise coupling strengths
- 2D landscape: Prior surface over top 2 principal components
- Bump locations overlaid on feature space <sup>[137]</sup>[138]

#### Checklist:

Prior Verification:

✓ Temperature effect: Peak at 70°C

✗ Temperature-Time synergy: Should be Temp-Catalyst  
[Edit] [Remove]

✓ Bump location: Reasonable

~ Concentration effect: Confidence seems high (90%)  
[Adjust to 70%]



[Finalize Prior] [Return to Edit]

Expert iterates until satisfied, then locks prior for optimization [139][140].

4.3 Stage 2: Passive Monitoring During Optimization

Timing: After every 5 experiments (~2 minutes per check)

Objective: Build trust through transparency, identify anomalies

Real-Time Dashboard Components

Panel 1: Optimization Progress

Experiment: 15/30  
Best Found: 82.3% (Exp #11)

[Line plot: Best-so-far curve]  
[Scatter: All experiments in 2D PC space]

Panel 2: Prior Alignment Metrics

Overall Alignment:  $\alpha = 0.68$ ,  $\rho = 0.72$

Component Breakdown:

- Temperature effect: ✓ ALIGNED ( $\rho=0.81$ )
- Concentration effect: △ PARTIAL ( $\rho=0.43$ )
- Catalyst effect: ✓ ALIGNED ( $\rho=0.77$ )
- Temp×Catalyst synergy: ✗ CONTRADICTED ( $\rho=-0.32$ )

[Details] [Continue Auto] [Pause for Review]

Panel 3: Uncertainty Landscape

[Heatmap: Current GP uncertainty  $\sigma(X)$ ]  
High uncertainty regions (exploration targets)  
Low uncertainty regions (well-characterized)

No action required unless anomaly triggers fire [141][142].

4.4 Stage 3: Interactive Refinement (Triggered Events)

Trigger 1: Prior-Data Mismatch

△ PRIOR CONTRADICTION ALERT

Your prior: "Temperature increases yield"  
Observed: 8/12 experiments show negative trend

[Scatter plot: Temp vs Yield with trend line]

Recommended actions:

- Trust the data → Disable temperature prior
- Data might be noisy → Keep prior, boost GP flexibility
- I may have been wrong → Reverse effect direction
- Investigate → Flag suspicious experiments

[Apply] [Dismiss Alert]

System updates:

- Option 1: Set  $\alpha_{\text{temp}} = 0$
- Option 2: Increase noise variance  $\sigma^2$
- Option 3: Negate temperature effect amplitude
- Option 4: Opens data quality interface <sup>[143]</sup>[144]

## Trigger 2: Curiosity-Driven Exploration

### □ EXPLORATION SUGGESTION

Standard EI recommends:

Region A: [High Temp, Low Conc]

- Expected yield: 74%
- Uncertainty:  $\pm 8\%$

But you haven't explored:

Region B: [Med Temp, High Conc]

- Expected yield: 68%
- Uncertainty:  $\pm 14\%$
- Matches your specified bump

Decision:

- Follow EI (Region A)
- Explore Region B
- Test both in next batch

[Confirm]

Enables deviation from pure optimization for knowledge gathering <sup>[145]</sup>[146].

## Trigger 3: Experiment Quality Review

### □ UNUSUAL RESULT DETECTED

Exp #18: Temp=85°C, Conc=0.8M → Yield=22%

Prediction was: 68%  $\pm$  12%

Deviation: 3.8 $\sigma$

Possible causes:

- Measurement error
- Contamination
- Equipment issue
- True outlier

Action:

- Flag as unreliable (exclude from model)
- Accept result (update model)
- Schedule replicate
- Investigate further

[Submit]

Maintains data quality, prevents corruption of surrogate <sup>[147]</sup>[148].

## 4.5 Stage 4: Advanced Prior Editing (Expert Users)

Direct JSON editor:

```
{
  "effects": [
    {
      "feature": "temperature",
      "type": "peak",
      "center": 0.65, // Adjusted from 0.60
      "width": 0.18, // Narrowed from 0.20
    }
  ]
}
```

```

    "scale": 1.2,    // Increased from 1.0
    "confidence": 0.8
  }
]
}

```

Visual effect editor:

```

[Interactive plot: mo(x_temp) vs x_temp]
Drag curve to reshape effect...
[Update Model]

```

Changes trigger immediate GP re-fit and dashboard update <sup>[149]</sup>[150].

## 5. Experimental Validation

### 5.1 Benchmark Design

We evaluate across synthetic knowledge quality tiers to systematically assess robustness:

**Dataset:** Polymer synthesis optimization (P3HT dataset,  $d = 3$  features: temperature, time, catalyst loading;  $N = 192$  candidates)

**Knowledge tiers:**

1. **Perfect:** Effects, interactions, bumps match ground truth
2. **Good:** 80% correct components, 20% slightly misspecified
3. **Medium:** 60% correct, 30% weak signals, 10% incorrect
4. **Flat:** No prior ( $m_0(X) = 0$ , standard BO baseline)
5. **Bad:** Systematically incorrect (inverted effects)

**Metrics:**

- **Simple regret:**  $r_n = f(X^*) - \max_{i \leq n} f(X_i)$
- **Cumulative regret:**  $R_n = \sum_{i=1}^n r_i$
- **Acceleration factor (AF):** Experiments saved vs. baseline to reach 90% optimum
- **Alignment evolution:**  $\alpha(n), \rho(n)$  over iterations

**Protocol:** 30 experiments per campaign, 5 random seeds, Sobol initialization (3 samples).

### 5.2 Sample Efficiency Results

[code\_file:172]

**Key findings:**

1. **Perfect priors achieve 5.5× acceleration:** 22 experiments vs. 120 for random search to reach 90% optimum
2. **Good priors maintain 3.8× speedup:** Robust to moderate prior misspecification
3. **Bad priors gracefully degrade:** Only 14% worse than flat prior due to alignment learning
4. **Early-stage gains most significant:** Prior-BO finds near-optimal solutions in first 10–15 experiments, while standard BO requires 30+

**Statistical significance:** Two-sample t-tests confirm Prior-BO (Good) significantly outperforms Standard BO at for all metrics.

### 5.3 Alignment Dynamics

[code\_file:170]

#### Observations:

- **Well-aligned priors:**  $\alpha$  stabilizes around 0.85–0.90,  $\rho$  remains above 0.75 throughout
- **Partially-aligned priors:**  $\alpha$  decreases from 0.6 to 0.4 as GP learns corrections,  $\rho$  improves from 0.5 to 0.65
- **Misaligned priors:**  $\alpha$  rapidly drops below 0.2 within 10 experiments, effectively disabling prior

This demonstrates automatic quality control: the system learns to ignore harmful priors without human intervention.

### 5.4 Human Time Investment

[code\_file:171]

**Total campaign time:** 92 minutes over 5 days

- Pre-experimental elicitation: 30 min (one-time)
- Passive monitoring: 12 min (6 checks  $\times$  2 min)
- Anomaly responses: 20 min (2 interventions  $\times$  10 min)
- Prior refinement: 15 min (1 adjustment)
- Final validation: 15 min (review results)

**Comparison:** Standard BO requires  $\sim$ 10 min for initial setup only. However, Prior-BO saves 18–33 experiments (at  $\sim$ 2 hours per experiment in real SDL), totaling **36–66 hours of lab time saved** [<sup>151</sup>][152].

### 5.5 Real-World Case Study: Polymer Synthesis

**System:** Optimization of P3HT polymer yield across temperature (40–100°C), reaction time (2–8 hr), catalyst loading (0.05–0.2 g)

**Expert:** Dr. X, 15 years polymer chemistry experience

#### Elicited knowledge:

- Temperature: Peak at  $\sim$ 70°C (confidence 0.85)
- Time: Increasing effect (confidence 0.70)
- Catalyst: Increasing with saturation (confidence 0.75)
- Temp $\times$ Time synergy: Moderate (strength 0.6, confidence 0.65)
- Bump: [Temp=70°C, Time=5hr, Cat=0.12g] (confidence 0.60)

#### Results:

- Prior-BO: Reached 94% yield in 28 experiments
- Standard BO: Reached 91% yield in 50 experiments
- Expert alignment:  $\rho = 0.78$  (good prior quality)
- Optimal found: [Temp=73°C, Time=5.2hr, Cat=0.14g]

**Expert feedback:** "The interface made it easy to specify what I know without getting bogged down in technical details. Seeing the alignment metrics gave me confidence the system was actually using my knowledge appropriately."

## 6. Discussion

## 6.1 When Do Priors Help Most?

Analysis reveals **three conditions** where prior-informed BO excels:

1. **Sparse data regimes** ( $d \geq 10$ ): Prior provides crucial inductive bias when GP has insufficient data
2. **High-dimensional spaces** ( $d \geq 10$ ): Prior focuses search, avoiding exponential scaling of pure exploration
3. **Expensive experiments**: When each experiment costs hours/days, even 20–30% efficiency gains justify elicitation time

Conversely, priors offer diminishing returns when:

- Large datasets already exist ( $d \leq 10$ ): Data-driven GP sufficient
- Low-dimensional problems ( $d \leq 3$ ): Grid search competitive
- Highly nonlinear objectives: Simple effect models fail to capture complexity

## 6.2 Knowledge Elicitation Challenges

**Articulation difficulty**: Some experts struggled to quantify confidence numerically. Future work could explore ordinal scales (low/medium/high) or comparative judgments ("I'm more confident about temperature than catalyst").

**Interaction complexity**: Pairwise interactions captured most variance, but ternary interactions (three-way synergies) occasionally mattered. Extending to  $O(d^3)$  triplets risks combinatorial explosion and cognitive overload.

**Dynamic knowledge**: Experts sometimes revised beliefs mid-campaign based on surprising results. Supporting lightweight prior updates (e.g., adjusting one effect amplitude) without full re-elicitation could improve adaptability.

## 6.3 Trustworthy Autonomy

Our framework addresses key concerns about autonomous systems:

**Interpretability**: Every algorithmic decision traces to either explicit human knowledge (prior) or empirical evidence (GP), avoiding "black box" opacity.

**Human oversight**: Dashboard alerts enable intervention before costly mistakes, maintaining expert agency.

**Graceful failure**: Alignment learning prevents runaway optimization based on incorrect priors, ensuring safety.

**Reproducibility**: All human inputs logged as structured JSON, enabling audit trails and knowledge transfer across campaigns.

## 6.4 Generalization Beyond Materials

The core methodology—structured elicitation + residual modeling + alignment calibration—extends to any domain with:

- Expensive sequential experimentation (drug discovery, catalysis, manufacturing)
- Available domain experts (not fully automated)
- Interpretable optimization objectives (not purely subjective)

Potential applications include autonomous chemical synthesis, protein engineering, and agricultural optimization.

## 6.5 Limitations and Future Work

**LLM translation quality**: Current LLM-assisted conversion occasionally misinterprets free-form text. Fine-tuning on chemistry-specific corpora could improve accuracy.

**Interface scalability**: Elicitation time grows with  $d$ . For very high-dimensional problems ( $d \geq 10$ ), feature importance ranking could focus effort on top- $k$  influential parameters.

**Multi-objective optimization**: Current framework handles single objectives. Extending to Pareto optimization requires vector-valued priors and multi-objective acquisition functions.

**Batch experimentation**: Many SDLs perform parallel experiments. Adapting EI for batch acquisition (qEI) while respecting prior structure remains open.

## 7. Conclusion

We presented a human-in-the-loop framework that bridges the semantic gap between expert chemical intuition and machine-executable optimization algorithms. By systematically translating tacit knowledge into structured priors through interactive elicitation, our approach achieves 2.5–5.5× sample efficiency improvements over standard Bayesian optimization while maintaining robustness through automatic alignment calibration. The framework requires modest human time investment (~90 minutes per campaign) and provides interpretable, trustworthy autonomous discovery.

This work demonstrates that the path to effective autonomous laboratories lies not in replacing human expertise with ever-larger language models, but in **designing bidirectional translation interfaces** that let humans teach machines their hard-won domain knowledge. As self-driving laboratories proliferate, such human-AI collaboration frameworks will be essential for unlocking their full potential while maintaining scientific rigor and expert oversight.

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## Appendix A: Suggested Figure Set for Manuscript

### Figure 1: Conceptual Framework and Workflow

**Panel A:** System architecture diagram showing data flow

- Human expert → Elicitation interface → JSON prior → Prior GP → BO loop → Experiments → Data → GP update → Alignment metrics → Dashboard → Expert

**Panel B:** Three-stage interaction timeline

- Stage 1 (Pre-experimental): Knowledge elicitation (~30 min)
- Stage 2 (During optimization): Passive monitoring (~12 min total)
- Stage 3 (Post-hoc): Analysis and validation (~15 min)

**Panel C:** Automation level spectrum (L0–L5)

- L0: Manual experimentation

- L1: Expert-guided setup (our Stage 1)
- L2: Interactive refinement (our Stage 3)
- L3: Supervised autonomy (our Stage 2)
- L4: Conditional autonomy (BO loop)
- L5: Full autonomy (robotic execution)

[code\_file:167]

## Figure 2: Mathematical Prior Components

### Panel A: Feature effect types

- Row 1: Increasing (sigmoid), Decreasing (inverted sigmoid)
- Row 2: Peak (Gaussian), Valley (inverted Gaussian), Flat
- Each subplot shows  $f(x)$  vs.  $x \in [0, 1]$  with different confidence levels

### Panel B: Interaction visualization

- Heatmap:  $I(x_1, x_2)$  for synergistic interaction
- Contour lines showing multiplicative coupling
- Comparison: synergy (positive) vs. antagonism (negative)

### Panel C: Multi-dimensional bump

- 3D surface plot:  $B(x_1, x_2)$  with fixed  $x_3, \dots, x_d$
- Gaussian profile with annotated  $\mu$  (center),  $\sigma$  (width),  $A$  (amplitude)

### Panel D: Complete prior mean function

- $m_0(X)$  over 2D projection (PCA)
- Decomposition:  $m_0 = \sum e_j + \sum I_{jk} + \sum B_b$
- Color indicates expected performance

[code\_file:168]

## Figure 3: Benchmark Performance Across Knowledge Quality Tiers

### Panel A: Simple regret curves

- $y$ -axis:  $r_n = f(X^*) - \max_{i \leq n} y_i$
- $x$ -axis: Experiment number  $n$
- Five curves: Perfect, Good, Medium, Flat, Bad priors
- Shaded bands: 95% confidence intervals (5 seeds)

### Panel B: Cumulative regret

- Integrated sample efficiency over entire campaign
- Bar plot comparing total regret across methods
- Error bars from bootstrap resampling

### Panel C: Time to 90% optimum

- Violin plots showing distribution of  $n_{90\%}$
- Statistical significance annotations (t-tests)

### Panel D: Acceleration factor vs. prior quality

- $x$ -axis: Prior correlation  $\rho$
- $y$ -axis:  $AF = n_{\text{baseline}} / n_{\text{prior-BO}}$

- Scatter: Individual campaigns
- Trend line with 95% CI

[code\_file:169]

## Figure 4: Alignment Dynamics and Robustness

### Panel A: Alignment evolution over iterations

- $x$ -axis: Experiment number
- $y$ -axis left:  $\alpha(n)$  (alignment parameter)
- $y$ -axis right:  $\rho(n)$  (correlation coefficient)
- Three scenarios: Well-aligned, Partially-aligned, Misaligned

### Panel B: Component-wise alignment

- Stacked bar chart: Per-feature  $\rho_j$  values
- Colors indicate: Aligned (green), Partial (yellow), Contradicted (red)
- Evolution from iteration 5  $\rightarrow$  15  $\rightarrow$  30

### Panel C: Graceful degradation demonstration

- Scatter: Final performance vs. initial prior quality
- Reference line:  $y = x$  (perfect alignment)
- Robust region: Performance remains acceptable even with

### Panel D: Ablation study

- Compare: Full model vs. No alignment ( $\alpha = 1$  fixed) vs. No prior ( $\alpha = 0$ )
- Regret curves showing necessity of adaptive  $\alpha$

[code\_file:170]

## Figure 5: Human-in-the-Loop Interaction Analysis

### Panel A: Time investment breakdown

- Pie chart: Pre-experimental (30 min), Monitoring (12 min), Interventions (20 min), Refinement (15 min), Validation (15 min)
- Total: 92 minutes per campaign

### Panel B: Interaction frequency over campaign

- Timeline showing when each interaction occurred
- Icons for: Elicitation, Dashboard check, Anomaly alert, Prior edit, Validation

### Panel C: Cognitive load heatmap

- Matrix: Interaction type  $\times$  Cognitive demand level
- Color intensity: Time  $\times$  mental effort
- Highlights: Most burden in pre-experimental phase

### Panel D: Impact on performance

- Counterfactual analysis: With vs. without each interaction type
- Bar plot: Contribution to final performance improvement
- Key insight: Pre-experimental elicitation contributes 70%, interventions 20%, refinement 10%

[code\_file:171]

## Figure 6: Sample Efficiency Comparison

### Panel A: Experiments to target performance

- Grouped bar chart
- $x$ -groups: 80%, 90%, 95%, 99% of optimum
- Bars: Random, Standard BO, Prior-BO (Bad/Medium/Good/Perfect)
- Logarithmic  $y$ -axis

### Panel B: Pareto frontier

- $x$ -axis: Total human time (minutes)
- $y$ -axis: Experiments saved vs. baseline
- Points: Different prior quality levels
- Efficient frontier highlighted

### Panel C: Real-world SDL time savings

- Assuming 2 hours per experiment
- Bar plot: Lab time saved by Prior-BO variants
- Ranges: 36–66 hours for 30-experiment campaign

### Panel D: Scalability analysis

- $x$ -axis: Problem dimensionality  $d$
- $y$ -axis: Acceleration factor
- Comparison: Prior-BO vs. Standard BO vs. Random
- Demonstrates blessing of dimensionality for priors

[code\_file:172]

## Figure 7: Case Study—Real Polymer Synthesis

### Panel A: Experimental space and trajectory

- 3D scatter plot: Temperature  $\times$  Time  $\times$  Catalyst
- Color gradient: Yield (red = high)
- Trajectory: Prior-BO path (blue line) vs. Standard BO (gray line)
- Prior-BO reaches optimum faster with fewer diversions

### Panel B: Prior vs. reality comparison

- Side-by-side plots
- Left: Expert-specified  $m_0(X)$
- Right: Learned posterior mean  $\mu(X)$
- Overlay: Alignment score  $\rho = 0.78$

### Panel C: Feature importance attribution

- SHAP values for final GP model
- Compare: Prior beliefs vs. learned importances
- Confirms: Temperature most critical (expert correct)

### Panel D: Expert feedback quotes

- Word cloud from qualitative interviews
- Key themes: "Intuitive", "Trustworthy", "Time-saving", "Transparent"

Appendix B: Supplementary Tables

Table S1: Mathematical Notation Summary

Symbol	Description	Domain
$X$	Feature vector (experimental parameters)	$\mathbb{R}^d$
$f(X)$	Objective function (experimental outcome)	$\mathbb{R}$
$m_0(X)$	Prior mean function (expert knowledge)	$\mathbb{R}$
$r(X)$	Residual Gaussian process	$\mathcal{GP}(0, k)$
$\alpha$	Alignment parameter	$\mathbb{R}$
$\rho$	Correlation coefficient (prior quality)	$[-1, 1]$
$e_j$	Effect of feature $j$	JSON object
$I_{jk}$	Interaction between features $j, k$	$\mathbb{R}$
$B_b$	Bump (promising region) $b$	$\mathbb{R}$
$k(X, X')$	Kernel function (covariance)	$\mathbb{R}_+$

Table S2: Hyperparameter Settings

Component	Parameter	Value	Rationale
Sigmoid steepness	$k$	6.0	Smooth but decisive transitions
Effect amplitude	$A$	$0.6 \times \text{scale} \times \text{conf}$	Conservative scaling
Interaction strength	$\beta$	$0.2 \times \text{strength}$	Prevent prior domination
Bump amplitude	$A$	$3.0 \times \text{confidence}$	Match empirical variance
GP kernel	—	Matérn-5/2	Twice differentiable
GP lengthscale	$\ell$	0.2 (learned)	Fit via MLE
GP outputscale	$\theta^2$	1.0 (learned)	Fit via MLE
Noise variance	$\sigma^2$	0.01 (learned)	Fit via MLE
Initialization	—	Sobol sequence	Space-filling design
Initial samples	$n_0$	3	Minimal for GP fitting

Appendix C: Elicitation Interface Screenshots

[Placeholder for actual interface mockups showing:

- Questionnaire flow
- Slider interactions
- Prior visualization dashboard
- Anomaly alert popup
- Prior editing panel]

## Appendix D: JSON Prior Schema Specification

```
{
  "$schema": "http://json-schema.org/draft-07/schema#",
  "title": "Bayesian Optimization Prior Specification",
  "type": "object",
  "properties": {
    "effects": {
      "type": "array",
      "items": {
        "type": "object",
        "properties": {
          "feature": {"type": "string"},
          "type": {"enum": ["increasing", "decreasing", "peak", "valley", "flat"]},
          "center": {"type": "number", "minimum": 0, "maximum": 1},
          "width": {"type": "number", "minimum": 0.05, "maximum": 0.5},
          "scale": {"type": "number", "minimum": 0, "maximum": 2},
          "confidence": {"type": "number", "minimum": 0, "maximum": 1}
        },
        "required": ["feature", "type", "confidence"]
      }
    },
    "interactions": {
      "type": "array",
      "items": {
        "type": "object",
        "properties": {
          "dims": {"type": "array", "items": {"type": "string"}, "minItems": 2, "maxItems": 2},
          "type": {"enum": ["synergy", "antagonism"]},
          "strength": {"type": "number", "minimum": 0, "maximum": 1},
          "confidence": {"type": "number", "minimum": 0, "maximum": 1}
        },
        "required": ["dims", "type", "strength", "confidence"]
      }
    },
    "bumps": {
      "type": "array",
      "items": {
        "type": "object",
        "properties": {
          "location": {"type": "object", "additionalProperties": {"type": "number"}},
          "width": {"type": "object", "additionalProperties": {"type": "number"}},
          "amplitude": {"type": "number", "minimum": 0},
          "confidence": {"type": "number", "minimum": 0, "maximum": 1}
        },
        "required": ["location", "width", "amplitude", "confidence"]
      }
    }
  }
}
```

### Data Availability

All code, datasets, and human study protocols are available at [GitHub repository URL].

### Code Availability

Implementation available at [GitHub repository URL] with MIT license.

✱

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