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Deterministic Finite Automata

Arnab Chakraborty

Indian Statistical Institute

Sep 15, 2023

$$0+1=1$$

Don't worry...

...this is not a G-pay QR code:



...just a link to a Youtube playlist containing this lecture.

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Deterministic Finite Automaton (DFA)

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= Finite Automaton

= Finite state machine

= State machine

= Internal state machine

Application areas

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Computer science:

- Robotics
- Digital electronics
- Compilers for languages like C, Java etc
- Embedded systems (*e.g.*, IoT)

Mathematics:

- 1 Algebraic structures
- 2 Combinatorics

$$4+3=7$$

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$$7+3=10$$

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Definition

Three sets:

- $A = \text{set of inputs} = \{\text{Smile, Punch}\}$
- $B = \text{set of outputs} = \{\text{Normal, Smile back, Raise eyebrow, Scowl, Growl, Grimace}\}$
- $S = \text{set of states} = \{\text{Ordinary, Happy, Surprised, Angry, Furious}\}$

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Three sets:

- $A = \text{set of inputs} = \{\text{Smile, Punch}\}$
- $B = \text{set of outputs} = \{\text{Normal, Smile back, Raise eyebrow, Scowl, Growl, Grimace}\}$
- $S = \text{set of states} = \{\text{Ordinary, Happy, Surprised, Angry, Furious}\}$

Two functions:

- $f : A \times S \rightarrow B$, the output function.
- $g : A \times S \rightarrow S$, the next state function.

Definition

Three sets:

- $A = \text{set of inputs} = \{\text{Smile, Punch}\}$
- $B = \text{set of outputs} = \{\text{Normal, Smile back, Raise eyebrow, Scowl, Growl, Grimace}\}$
- $S = \text{set of states} = \{\text{Ordinary, Happy, Surprised, Angry, Furious}\}$

Two functions:

- $f : A \times S \rightarrow B$, the output function.
- $g : A \times S \rightarrow S$, the next state function.

One state:

- $s_0 \in S$: the initial state = Ordinary.

$$10+3=13$$

Transition diagram

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$$13+1=14$$

Why care?

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Why care?

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Any machine with a memory must be a state machine!

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```
void main() {  
    printf("Hello world!"); /* Correct this.*/  
}
```

16+1=17

Look for `/* ... */`

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16+1=17

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$x=4/5+3/*C$



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$$17+3=20$$

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x=4/



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
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Possible end.

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Found a comment. whatever

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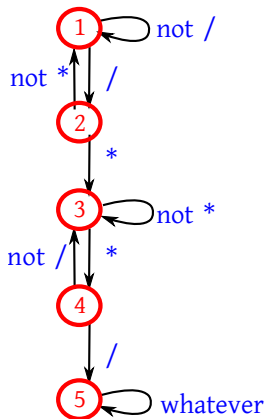
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20+2=22

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22+2=24

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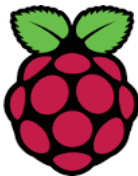
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Mathematically...

...a state machine is much like a ...

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$$33+2=35$$

Mathematically...

...a state machine is much like a **monoid**.

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Mathematically...

...a state machine is much like a **monoid**.

A monoid is $(M, +)$ where M is a set and $+$ is an associative binary operation on M having identity.

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Mathematically...

...a state machine is much like a **monoid**.

A monoid is $(M, +)$ where M is a set and $+$ is an associative binary operation on M having identity.

Example:

$(\{0, 1, 2, \dots\}, +)$.

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Mathematically...

...a state machine is much like a **monoid**.

A monoid is $(M, +)$ where M is a set and $+$ is an associative binary operation on M having identity.

Example:

$(\{0, 1, 2, \dots\}, +)$. **Identity = 0.**

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Mathematically...

...a state machine is much like a **monoid**.

A monoid is $(M, +)$ where M is a set and $+$ is an associative binary operation on M having identity.

Example:

All finite length words using the letters a, b, c under the concatenation operator: " abc " \bullet " ab " = " $abcab$ ".

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Mathematically...

...a state machine is much like a **monoid**.

A monoid is $(M, +)$ where M is a set and $+$ is an associative binary operation on M having identity.

Example:

All finite length words using the letters a, b, c under the concatenation operator: " abc " \bullet " ab " = " $abcb$ ".
Identity = "".

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From finite state machines to monoids

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From finite state machines to monoids

Certain input words are equivalent for the machine.

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From finite state machines to monoids

Certain input words are equivalent for the machine.

Club them together. You will get finitely many classes.

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$$w_3 = w_1 \bullet w_2 .$$

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Club them together. You will get finitely many classes.

$$[w_3] = [w_1] \bullet [w_2].$$

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Certain input words are equivalent for the machine.

Club them together. You will get finitely many classes.

$$[w_3] = [w_1] \bullet [w_2].$$

This defines a new operation on the equivalence classes, under which they form a monoid.

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From finite state machines to monoids

Certain input words are equivalent for the machine.

Club them together. You will get finitely many classes.

$$[w_3] = [w_1] \bullet [w_2].$$

This defines a new operation on the equivalence classes, under which they form a monoid. This monoid captures the "underlying structure" of the finite machine.

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From finite state machines to monoids

Certain input words are equivalent for the machine.

Club them together. You will get finitely many classes.

$$[w_3] = [w_1] \bullet [w_2].$$

This defines a new operation on the equivalence classes, under which they form a monoid. This monoid captures the "underlying structure" of the finite machine.

The monoid is always finite.

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From monoid to finite state machine

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Start with a finite monoid, $(M, +)$. Then we can define a FSM with state set and input set both equal to M . For any state $s \in M$ and any input $i \in M$ we define the next state as $m + i$.

40+2=42

Some interesting questions

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Some interesting questions

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- **Monoid to FSM to monoid.**

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- **Monoid to FSM to monoid.**
- **FSM to monoid to FSM.**

Some interesting questions

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- **Monoid to FSM to monoid.**
- **FSM to monoid to FSM.**
- **Size of the monoid for a given FSM.**

Some interesting questions

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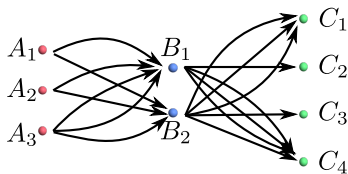
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- Monoid to FSM to monoid.
- FSM to monoid to FSM.
- Size of the monoid for a given FSM.
- Same monoid \implies same transition diagram?

Transfer matrix method



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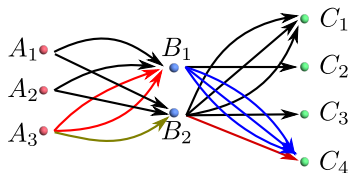
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$$2 \times 3 + 1 \times 1 = 7.$$

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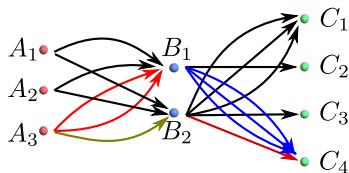
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Transfer matrix method



$$2 \times 3 + 1 \times 1 = 7.$$

$$\begin{matrix} & B_1 & B_2 \\ \begin{matrix} A_1 \\ A_2 \\ A_3 \end{matrix} & \begin{bmatrix} 1 & 1 \\ 1 & 1 \\ \mathbf{2} & \mathbf{1} \end{bmatrix} \end{matrix}$$

$$\begin{matrix} & C_1 & C_2 & C_3 & C_4 \\ \begin{matrix} B_1 \\ B_2 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 & \mathbf{3} \\ 3 & 0 & 1 & \mathbf{1} \end{bmatrix} \end{matrix}$$

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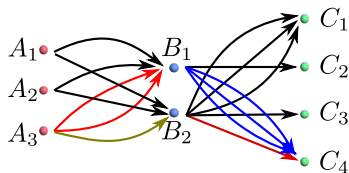
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$$2 \times 3 + 1 \times 1 = 7.$$

$$\begin{matrix} A_1 \\ A_2 \\ A_3 \end{matrix} \begin{matrix} B_1 & B_2 \\ \begin{bmatrix} 1 & 1 \\ 1 & 1 \\ \mathbf{2} & \mathbf{1} \end{bmatrix} \end{matrix} \times \begin{matrix} B_1 & B_2 \\ \begin{matrix} C_1 & C_2 & C_3 & C_4 \\ \begin{bmatrix} 0 & 1 & 0 & \mathbf{3} \\ 3 & 0 & 1 & \mathbf{1} \end{bmatrix} \end{matrix} \end{matrix} = \begin{matrix} A_1 \\ A_2 \\ A_3 \end{matrix} \begin{matrix} C_1 & C_2 & C_3 & C_4 \\ \begin{bmatrix} 3 & 1 & 1 & 4 \\ 3 & 1 & 1 & 4 \\ 3 & 2 & 1 & \mathbf{7} \end{bmatrix} \end{matrix}$$

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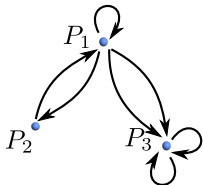
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Same set



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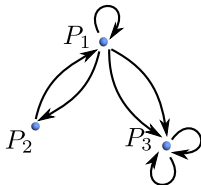
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Same set



$$\begin{array}{c} P_1 \\ P_2 \\ P_3 \end{array} \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix}$$

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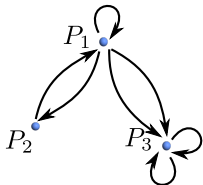
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Same set



$$\begin{matrix} & P_1 & P_2 & P_3 \\ \begin{matrix} P_1 \\ P_2 \\ P_3 \end{matrix} & \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix} \end{matrix} = A, \text{ say.}$$

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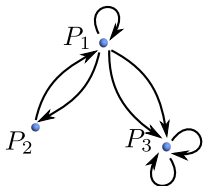
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Same set



$$\begin{matrix} & P_1 & P_2 & P_3 \\ \begin{matrix} P_1 \\ P_2 \\ P_3 \end{matrix} & \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix} \end{matrix} = A, \text{ say.}$$

$$A^2 = \begin{bmatrix} 2 & 1 & 6 \\ 1 & 1 & 2 \\ 0 & 0 & 4 \end{bmatrix}.$$

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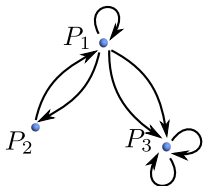
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Same set



$$\begin{matrix} & P_1 & P_2 & P_3 \\ \begin{matrix} P_1 \\ P_2 \\ P_3 \end{matrix} & \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix} \end{matrix} = A, \text{ say.}$$

$$A^2 = \begin{bmatrix} 2 & 1 & 6 \\ 1 & 1 & 2 \\ 0 & 0 & 4 \end{bmatrix}.$$

The (i,j) -th entry of A^k gives the number of paths of length k from P_i to P_j .

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

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Example: a counting problem

Want to count the number (b_n) of sequences with ,  and . Total number of squares is n . Must have two consecutive 's somewhere before three consecutive 's.

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Example: a counting problem

Want to count the number (b_n) of sequences with ■, ■ and ■. Total number of squares is n . Must have two consecutive ■'s somewhere before three consecutive ■'s.

good



bad



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$$55+5=60$$

Solution

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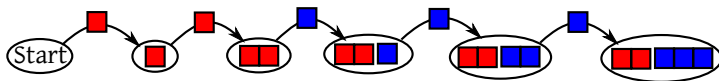
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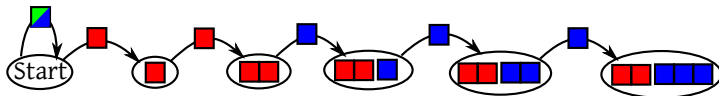
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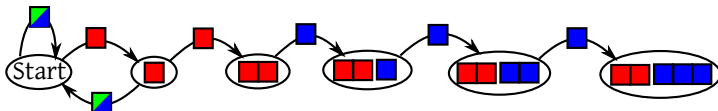
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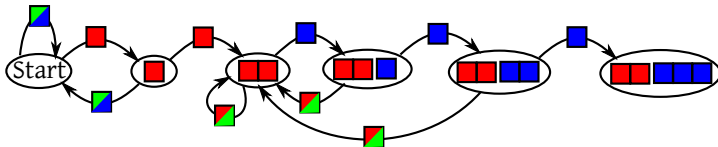
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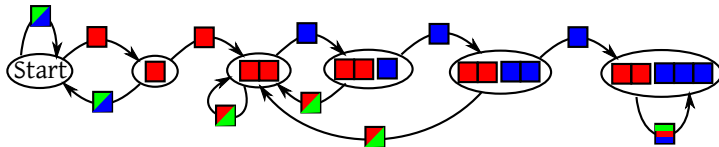
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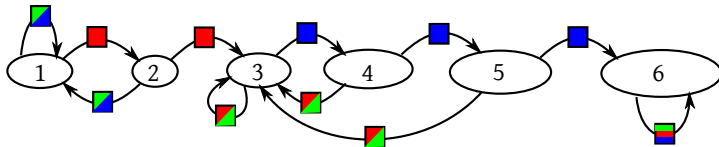
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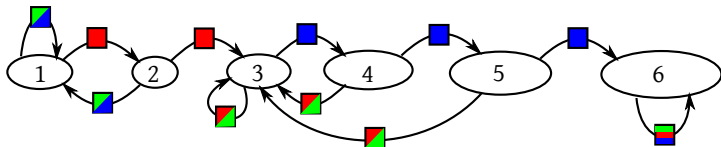
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Solution



$$A = \begin{array}{c|cccccc} & \begin{array}{c} \text{To} \\ \hline \text{From} \end{array} & 1 & 2 & 3 & 4 & 5 & 6 \\ \begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{array} & \begin{bmatrix} 2 & 1 & 0 & 0 & 0 & 0 \\ 2 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 & 1 & 0 \\ 0 & 0 & 2 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 3 \end{bmatrix} \end{array}$$

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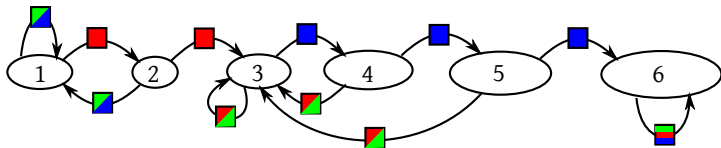
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Solution



$$A = \begin{array}{c|cccccc} & \begin{array}{c} \text{To} \\ \hline \text{From} \end{array} & 1 & 2 & 3 & 4 & 5 & 6 \\ \begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{array} & \begin{bmatrix} 2 & 1 & 0 & 0 & 0 & 0 \\ 2 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 & 1 & 0 \\ 0 & 0 & 2 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 3 \end{bmatrix} \end{array}$$

The (1, 6)-th entry of A^n gives the number of such patterns of length n , i.e. b_n .

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$$60+2=62$$

Example

`http://maxima.cesga.es`

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Example

`http://maxima.cesga.es`

```
A: matrix([2, 1, 0, 0, 0, 0],  
          [2, 0, 1, 0, 0, 0],  
          [0, 0, 2, 1, 0, 0],  
          [0, 0, 2, 0, 1, 0],  
          [0, 0, 2, 0, 0, 1],  
          [0, 0, 0, 0, 0, 3]);
```

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Example

`http://maxima.cesga.es`

```
A: matrix([2, 1, 0, 0, 0, 0],  
          [2, 0, 1, 0, 0, 0],  
          [0, 0, 2, 1, 0, 0],  
          [0, 0, 2, 0, 1, 0],  
          [0, 0, 2, 0, 0, 1],  
          [0, 0, 0, 0, 0, 3]);
```

```
A^^45;
```

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Example

`http://maxima.cesga.es`

```
A: matrix([2, 1, 0, 0, 0, 0],
           [2, 0, 1, 0, 0, 0],
           [0, 0, 2, 1, 0, 0],
           [0, 0, 2, 0, 1, 0],
           [0, 0, 2, 0, 0, 1],
           [0, 0, 0, 0, 0, 3]);
```

`A^^45;`

34585405383887552512	12659136970685939712	863577764631328587776	294671252599925915648	100520591699473448960	1648298555265532254035
25318273941371879424	9267131442515673088	803042825569484668928	274235259431476756480	93630069200979017728	1748819146965005702995
0	0	623927910583739383808	213700320369632837632	73194076032529858560	2043490399564931618643
0	0	573788792804325392384	196527269844473708544	67312168304573120512	2116684475597461477203
0	0	427400640739265675264	146388152065059717120	50139117779413991424	2330384795967094314835
0	0	0	0	0	2954312706550833698643

1648298555265532254035

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Analytically...

The generating function of $\{b_n\}_n$ is the **formal** power series

$$b_0 + b_1 t + b_2 t^2 + b_3 t^3 + \dots .$$

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Analytically...

The generating function of $\{b_n\}_n$ is the **formal** power series

$$b_0 + b_1 t + b_2 t^2 + b_3 t^3 + \dots$$

This is the $(1, 6)$ -th entry of

$$I + At + A^2 t^2 + \dots$$

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Analytically...

The generating function of $\{b_n\}_n$ is the **formal** power series

$$b_0 + b_1 t + b_2 t^2 + b_3 t^3 + \dots .$$

This is the $(1, 6)$ -th entry of

$$I + At + A^2 t^2 + \dots = (I - tA)^{-1}.$$

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Analytically...

The generating function of $\{b_n\}_n$ is the **formal** power series

$$b_0 + b_1 t + b_2 t^2 + b_3 t^3 + \dots.$$

This is the $(1, 6)$ -th entry of

$$I + At + A^2 t^2 + \dots = (I - tA)^{-1}.$$

Just like

$$1 + x + x^2 + \dots = \frac{1}{1 - x} \text{ if } |x| < 1.$$

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 $(1-t*A);$

$$\begin{bmatrix} 1-2t & 1-t & 1 & 1 & 1 & 1 \\ 1-2t & 1 & 1-t & 1 & 1 & 1 \\ 1 & 1 & 1-2t & 1-t & 1 & 1 \\ 1 & 1 & 1-2t & 1 & 1-t & 1 \\ 1 & 1 & 1-2t & 1 & 1 & 1-t \\ 1 & 1 & 1 & 1 & 1 & 1-3t \end{bmatrix}$$

Inverse

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$((I-t*A)^{-1})[1,6];$

Inverse

$$((I-t*A)^{-1})[1,6];$$

$$\frac{t^5}{-12 t^6 - 20 t^5 - 10 t^4 + 6 t^3 + 12 t^2 - 7 t + 1}$$

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$$((I-t*A)^{-1})[1,6];$$

$$\frac{t^5}{-12 t^6 - 20 t^5 - 10 t^4 + 6 t^3 + 12 t^2 - 7 t + 1}$$

From this we get

$$b_{n+5} = 7b_{n+4} - 12b_{n+3} - 6b_{n+2} + 10b_{n+1} + 20b_n + 12b_{n-1}$$

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$$((I-t*A)^{-1})[1,6];$$

$$\frac{t^5}{-12t^6 - 20t^5 - 10t^4 + 6t^3 + 12t^2 - 7t + 1}$$

From this we get

$$b_{n+5} = 7b_{n+4} - 12b_{n+3} - 6b_{n+2} + 10b_{n+1} + 20b_n + 12b_{n-1}$$

How?

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