Recursion and Recurrences

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Example:

```
#include <stdio.h>
int main(void) {
  printf(" The universe is never ending! ");
  main();
  return 0; }
```

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• In its simplest form, the idea of recursion is straight-forward.

Example:

```
 \begin{aligned} & \text{int sum(int } n) \ \{ \\ & \text{if } (n <= 1) \\ & \text{return } n; \\ & \text{else} \\ & \text{return } (n + \text{sum}(n - 1)); \ \} \end{aligned}
```

Example: sum(4)

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Function call	Value returned		
sum(1)	1		
sum(2)	2 + sum(1)	or	2 + 1
sum(3)	3 + sum(2)	or	3 + 2 + 1
sum(4)	4 + sum(3)	or	4 + 3 + 2 + 1

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- The base case is considered.
- then working out from the base case, the other cases are considered.

Simple recursive routines follow a standard pattern!

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Example: sum()

- $sum(n) = n + (n-1) + \cdots + 1 = n + sum(n-1)$.
- The variable *n* is reduced by 1 each time until
- the base case with n = 1 is reached.

$$0 \ ! = 1, \quad n \ ! = \textit{n}(\textit{n}-1) \cdots 3 \cdot 2 \cdot 1 \quad \text{for } \textit{n} > 0$$
 or equivalently,

$$0! = 1, \quad n! = n \cdot ((n-1)!) \quad \text{for } n > 0$$

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For example: $5! = 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 120$.

- Base Case: 0! = 1 and 1! = 1.
- Recursive Case: $n! = n \cdot (n-1)!$.

```
 \begin{array}{ll} \text{int RecFactorial (int n) } \{ & /* \text{ recursive version */} \\ \text{if (n <= 1)} \\ \text{return 1;} \\ \text{else} \\ \text{return (n * RecFactorial (n - 1)); } \} \end{array}
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• Works properly within the limits of integer precision.

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- Works properly within the limits of integer precision.
- Factorial function grows very rapidly!
- RecFactorial(n) runs only a few values of n (upto n = 12!!).
- For n > 12, incorrect values are returned.
- This type of programming error is common!!

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Take Away: Functions that are logically correct can return incorrect values if the logical operations in the body of the function are beyond the integer precision available to the system!!

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\label{eq:continuous_section} \begin{array}{ll} \text{int IterFactorial (int n) } \{ & /^* \text{ iterative version */} \\ \text{int product } = 1; \\ \text{for ( ; n > 1; --n)} \\ \text{product *= n;} \\ \text{return product; } \} \end{array}
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IterFactorial(n): Takes only 1 function call.

Efficiency Considerations

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- Recursion is more elegant.
- Requires fewer variables to make the same calculation.
- Takes care of its bookkeeping by stacking arguments and variables for each invocation.
- This stacking of arguments, while invisible to the user, is still costly in time and space.
- On some machines a simple recursive call with one integer argument can require eight 32-bit words on the stack.

Fibonacci Sequence

Fibonacci sequence is defined recursively as

$$f_1 = 1$$
, $f_2 = 1$, $f_{i+1} = f_i + f_{i-1}$ for $i = 1, 2, ...$

Every element $(i \ge 3)$ is the sum of it's previous two elements.

The sequence begins as $1, 1, 2, 3, 5, \ldots$



Fibonacci Sequence

Consider the following sequence:

```
2/1 = 2.0 (bigger)
 3/2 = 1.5 (smaller)
 5/3 = 1.67 (bigger)
 8/5 = 1.6 (smaller)
 13/8 = 1.625 (bigger)
21/13 = 1.615 (smaller)
34/21 = 1.619 (bigger)
55/34 = 1.618 (smaller)
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Note:

- This sequence seem to be converging!
- It converges to the *golden* ratio.

$$\varphi = \frac{1+\sqrt{5}}{2} \approx 1.6180339887498948482$$

- It is a special number.
- Couple of ways to visually understand it are with
 - a line segment Golden rectangles

$$\begin{array}{c}
a & b \\
\hline
a+b \\
a+b \text{ is to } a \text{ as } a \text{ is to } b
\end{array}$$



• It is an irrational number that is a root of the quadratic equation

$$x^2 - x - 1 = 0$$



- Reciprocal of φ or φ^{-1} :
 - $f_n/f_{n+1} \rightarrow 0.618$ as $n \rightarrow \infty$.
 - This is the reciprocal of φ : 1/1.618 = 0.618.
 - It is highly unusual for the decimal representation of the fractional part of a number and its reciprocal to be exactly the same.
 - This only adds to the mystique of the Golden Ratio and leads us to ask: What makes it so special?

• Some examples:



The ancient temple in Greece fits almost precisely into a golden rectangle.

• Some examples:



1:1.618

Butterflies.

Recursive Fibonacci Sequence: Function Calls

```
 \begin{array}{l} \mathrm{int} \ \mathrm{RecFibonacci} \ (\mathrm{int} \ n) \ \{ \\ \mathrm{if} \ (n <= 1) \\ \mathrm{return} \ n; \\ \mathrm{else} \\ \mathrm{return} \ (\mathrm{RecFibonacci}(n - 1) + \mathrm{RecFibonacci}(n - 2)); \ \} \\ \end{array}
```

Recursive Fibonacci Sequence: Function Calls

Value of n	Value of RecFibonacci(n)	Number of function calls required to recursively compute RecFibonacci(n)
0	0	1
1	1	1
2	1	3
23	28657	92735
24	46368	150049
42	267914296	866988873
43	433494437	1402817465

Requires a large number of function calls even for moderate values of n.

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- The inefficiencies, however, are often of little consequence as in the case of the quicksort algorithm.
- For many applications, recursive code is easier to write, understand, maintain.
- These reasons often prescribe its use.

Towers of Hanoi

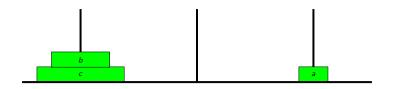
Towers of Hanoi: Problem Statement



- There are three towers.
- ullet n disks of decreasing radius are placed on the $1^{\rm st}$ tower.
- Move all of the disks from the 1st tower to the 3rd tower.
- **Condition:** At no moment of time can a larger disk be placed on top of smaller disks.
- The remaining tower can be used to temporarily hold disks.

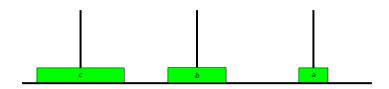


Step 1: Move disks *a* to tower 3.

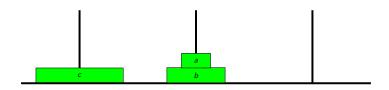


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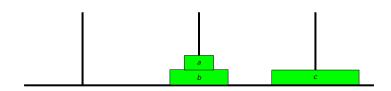
Step 2: Move disks *b* to tower 2.



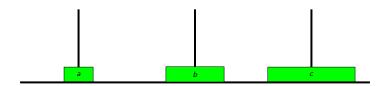
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- **Step 5:** Move disks *a* to tower 1.

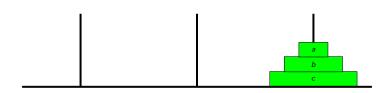


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- **Step 6:** Move disks *b* to tower 3.
- **Step 7:** Move disks *a* to tower 3.





Homework:

- Write a recursive algorithm that solves the Towers of Hanoi problem for *n* disks.
- Implement your algorithm in C.

Recurrences

Recurrence

Definition

A **recurrence relation** is an equation that expresses each element of a sequence $\{a_n\}_{n=0}^{\infty}$ as a function of the preceding ones, i.e,

$$a_n = \psi(a_0, a_1, \ldots, a_{n-1}).$$

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 - The number of instructions in one instance of function call depends on the number of instructions executed when recursive calls are made.
 - In such cases it is easier for us to express it as some recurrence relation of the times/space complexity.
- Appears frequently in the analysis of algorithms.

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Outline

• We briefly discuss few useful technique for solving recurrences.

 Present general solutions of two classes of recurrences that are among the most common recurrences involved in analyzing algorithms.

Intelligent Guesses

- Guessing a solution may seem like a nonscientific method!
- But, keeping our pride aside, it works very well for a wide class of recurrence relations.
- It works even better when we are not trying to find the exact solution, but only an upper bound.
- Why guess? Proving a certain bound is valid is easier than deriving that bound.

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Example:

$$F(3) = F(2) + F(1) = 2$$
, $F(4) = F(3) + F(2) = 3$,...



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- **Note:** By definition we need n-2 steps to compute F(n).
- Would be more convenient to have an explicit (or closed-form) expression for F(n).
 - It would enable us to compute F(n) quickly.
 - We can also compare F(n) with other known functions.



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- Let $F(n) = ca^n$, then we get

$$ca^n = ca^{n-1} + ca^{n-2} \Rightarrow a^2 = a+1$$
 (Characteristic equation).



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- Solving: $a_1 = (1 + \sqrt{5})/2$ (> 0) and $a_2 = (1 \sqrt{5})/2$ (< 0).
- :. $F(n) = \mathcal{O}((a_1)^n)$.
 - Find a constant c such that $c(a_1)^n \ge F(1)$ and F(2).



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- **Solving:** $c_1 = 1/\sqrt{5}$, and $c_2 = -1/\sqrt{5}$.
- Exact solution:

$$F(n) = \frac{1}{\sqrt{5}} \left[\frac{1+\sqrt{5}}{2} \right]^n - \frac{1}{\sqrt{5}} \left[\frac{1-\sqrt{5}}{2} \right]^n.$$



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$$F(n) = \frac{1}{\sqrt{5}} \left[\frac{1+\sqrt{5}}{2} \right]^n - \frac{1}{\sqrt{5}} \left[\frac{1-\sqrt{5}}{2} \right]^n.$$

Note: This idea, can be used to solve recurrences of the form

$$F(n) = b_1 F(n-1) + b_2 F(n-2) + \dots + b_k F(n-k)$$
 (k constant).



Books Consulted

Introduction to Algorithms: A Creative Approach by Udi Manber.

Introduction to Algorithms by Thomas H Cormen, Charles E Leiserson, Ronald L Rivest, Clifford Stein. Thank You for your kind attention!