

Introduction to Algorithms

Subhabrata Samajder



IIIT, Delhi
Summer Semester,
25th April, 2022

Name: Subhabrata Samajder

Research Interests:

- Lattice based cryptography
- Statistical aspects of symmetric key cryptanalysis
- Broadcast Encryption
- Blockchain
- e-Voting
- Random graphs

About Myself

Name: Subhabrata Samajder

E-mail: subhabrata@iiitd.ac.in

Office: B-505 (R & D Block)

Office Hours: By appointment

Course Webpage: On Google classroom

- **Class Code:** s34dfee

Academic Integrity Policy

- Anyone caught cheating or copying will be penalised.
- Plagiarism cases will be *dealt strictly*.
- Take this opportunity to stay away from plagiarism forever.

Grading plan: Tentative Grading Components

Components	Number	Weightage
MidSem Theory	1	25%
MidSem Lab	1	10%
EndSem Theory	1	25%
EndSem Lab	1	10%
Lab	11 to 13	20%
Quiz and/or Homework	≥ 4	10%

Introduction to Algorithms

Algorithms: In Our Daily Lives



Cooking



Traffic Lights



Google Search



Sorting Vinyl Records



Work Commute



Online Shopping

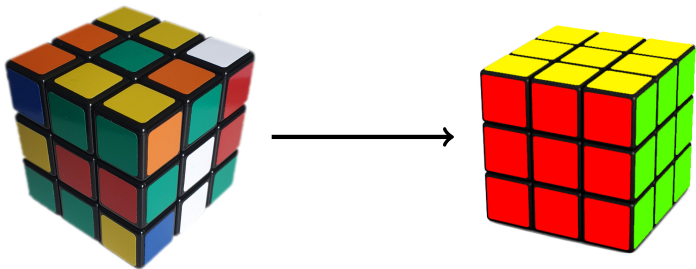
Algorithms: Rubik's Cube

Solve:



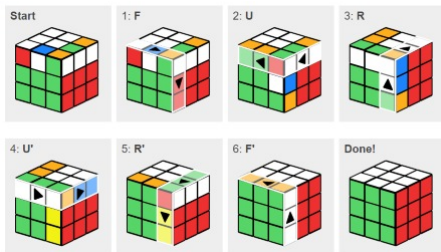
Algorithms: Rubik's Cube

Solve:



Algorithms: Rubik's Cube

Solve:



“What is an algorithm?”

“What is an algorithm?”

Intuitive answer: It is a finite sequence of elementary operations with the objective of performing some (computational) task.

“What is an algorithm?”

Intuitive answer: It is a **finite sequence** of **elementary operations** with the objective of performing some (computational) task.

Elementary Operations

“How elementary is ‘elementary?’”

Elementary Operations

“How elementary is ‘elementary?’”

The **elementary operations** that we will consider will be at a higher level and include arithmetic and logical operations.

Algorithms: Finiteness \Rightarrow an algorithm must **stop**.

- **Example:** Compute $(a + b) * (c + d)$

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Algorithms: Finiteness \Rightarrow an algorithm must **stop**.

- **Example:** Compute $(a + b) * (c + d)$

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Computational Method: A procedure that has all of the characteristics of an algorithm except that it possibly *lacks finiteness*.

- **Example:** `while(1){}`

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

- We emphasise on the sequential nature of the procedure.
- Any permutation of this sequence *does not* give the same desired output.
 - **Example:** $t_3 = t_1 * t_2; \quad t_2 = c + d; \quad t_1 = a + b$, is not the same as the algorithm above.

Finite Sequence

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Note: Sometimes different orderings of the operations may give rise to the *same* result.

- **Example:**

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2; \text{ and}$$

$$t_1 = c + d; \quad t_2 = a + b; \quad t_3 = t_1 * t_2.$$

Finite Sequence

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Note: Sometimes different orderings of the operations may give rise to the *same* result.

- **Example:**

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2; \text{ and}$$

$$t_1 = c + d; \quad t_2 = a + b; \quad t_3 = t_1 * t_2.$$

- **Note:** $t_1 = a + b$ and $t_2 = c + d$ can be executed independently of each other.
- **Single computing unit (a processor):** *Sequential execution*.
- **Two computing unit:** Can be executed simultaneously!

Finite Sequence

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Note: Sometimes different orderings of the operations may give rise to the *same* result.

- **Example:**

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2; \text{ and}$$

$$t_1 = c + d; \quad t_2 = a + b; \quad t_3 = t_1 * t_2.$$

- **Note:** $t_1 = a + b$ and $t_2 = c + d$ can be executed independently of each other.
- **Single computing unit (a processor):** *Sequential execution*.
- **Two computing unit:** Can be executed simultaneously! Give rise to the area of *parallel algorithms*.

Finite Sequence

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2.$$

Note: Sometimes different orderings of the operations may give rise to the *same* result.

- **Example:**

$$t_1 = a + b; \quad t_2 = c + d; \quad t_3 = t_1 * t_2; \text{ and}$$

$$t_1 = c + d; \quad t_2 = a + b; \quad t_3 = t_1 * t_2.$$

- **Note:** $t_1 = a + b$ and $t_2 = c + d$ can be executed independently of each other.
- **Single computing unit (a processor):** *Sequential execution*.
- **Two computing unit:** Can be executed simultaneously! Give rise to the area of *parallel algorithms*.

We would only be concentrating on sequential algorithms!!

Inputs and Output

Recall: The purpose of an algorithm is to perform some task.

Inputs and Output

Recall: The purpose of an algorithm is to perform some task.

Inputs: Can take *several* inputs.

- *Example:* a, b, c, d .

Output: Algorithms produce *an* output.

- *Example:* $(a + b) * (c + d)$.

Inputs and Output

Recall: The purpose of an algorithm is to perform some task.

Inputs: Can take *several* inputs.

- *Example:* a, b, c, d .

Output: Algorithms produce *an* output.

- *Example:* $(a + b) * (c + d)$.
- The relation of the output to the input defines the *computational task* of the algorithm.

Inputs and Output

Recall: The purpose of an algorithm is to perform some task.

Inputs: Can take *several* inputs.

- *Example:* a, b, c, d .

Output: Algorithms produce *an* output.

- *Example:* $(a + b) * (c + d)$.
- The relation of the output to the input defines the *computational task* of the algorithm.
- **Simplest case:** Binary valued output (*Decision problem*).

- **Simplest case:** Binary valued output (*Decision problem*).

Example: Searching Problem

- **I/P:** A list L of integer values and another value v .
- **Question:** Does $v \in L$?
- **O/P:** 'yes' if $v \in L$; else it returns 'no'.

Note: *Decision problems appear rather simple but much of the sophistication of the area of algorithms can be discovered by studying such algorithms!!*

'Efficient algorithms?'

- **High level view:** Efficiency \equiv requiring little 'resources'.

'Efficient algorithms?

- **High level view:** Efficiency \equiv requiring little 'resources'.
- Here, resources \equiv the **time** of execution and the **space** required by the algorithm.

Resources of an Algorithm

- **Time:** # steps required by the algorithm to produce its output.
 - **Assumption:** Each elementary operation requires *unit time*.
 - \therefore # steps = time required by the algorithm.

Resources of an Algorithm

- **Time:** # steps required by the algorithm to produce its output.
 - **Assumption:** Each elementary operation requires *unit time*.
 - \therefore # steps = time required by the algorithm.
- **Space:** # *temporary* variables.

Resources of an Algorithm

- **Time:** # steps required by the algorithm to produce its output.
 - **Assumption:** Each elementary operation requires *unit time*.
 - \therefore # steps = time required by the algorithm.
- **Space:** # *temporary* variables.

Example: Our algorithm for finding $(a + b) * (c + d)$.

- Temporary variables = t_1, t_2 and t_3 .
- \therefore space required is 3.

Resources of an Algorithm

- **Time:** # steps required by the algorithm to produce its output.
 - **Assumption:** Each elementary operation requires *unit time*.
 - \therefore # steps = time required by the algorithm.
- **Space:** # *temporary* variables.

Example: Our algorithm for finding $(a + b) * (c + d)$.

- Temporary variables = t_1, t_2 and t_3 .
 - \therefore space required is 3.
-
- **Other resources:** For example, power consumption is important for battery operated devices.

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Example: Consider our searching problem.

- \uparrow in size of the list \Rightarrow algorithm takes more time.

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Example: Consider our searching problem.

- \uparrow in size of the list \Rightarrow algorithm takes more time.
-
- Thus, one has to factor in the size(s) of the input(s) while talking about algorithmic efficiency.

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Example: Consider our searching problem.

- \uparrow in size of the list \Rightarrow algorithm takes more time.
- Thus, one has to factor in the size(s) of the input(s) while talking about algorithmic efficiency.
- **Note:** Set of all possible inputs is *typically infinite*.

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Example: Consider our searching problem.

- \uparrow in size of the list \Rightarrow algorithm takes more time.
- Thus, one has to factor in the size(s) of the input(s) while talking about algorithmic efficiency.
- **Note:** Set of all possible inputs is *typically infinite*.
- **Size of inputs:** A *function* from the *set of all possible inputs* to \mathbb{Z}^+ .

Size of input(s)

- **Intuitively:** Time taken by an algorithm will depend on the size(s) of its input(s).

Example: Consider our searching problem.

- \uparrow in size of the list \Rightarrow algorithm takes more time.
- Thus, one has to factor in the size(s) of the input(s) while talking about algorithmic efficiency.
- **Note:** Set of all possible inputs is *typically infinite*.
- **Size of inputs:** A *function* from the *set of all possible inputs* to \mathbb{Z}^+ .
- Fixing a positive integer n fixes the set of all inputs of size n and this is a *typically a finite set*.

Size of input(s)

- **Note:** The set of all possible inputs depend on the algorithm and so does the size function.

Size of input(s)

- **Note:** The set of all possible inputs depend on the algorithm and so does the size function.

Example:

- *Search Problem:* $|L|$.
- *Arithmetic Problem?*

Size of input(s)

- **Note:** The set of all possible inputs depend on the algorithm and so does the size function.

Example:

- *Search Problem:* $|L|$.
- *Arithmetic Problem:*
 - Additions: 2
 - Multiplications: 1
 - Time: $2 \times \text{Cost of Additions} + 1 \times \text{Cost of Multiplication}$

Size of input(s)

- **Note:** The set of all possible inputs depend on the algorithm and so does the size function.

Example:

- *Search Problem:* $|L|$.
- *Arithmetic Problem:* $\max\{\log_2 a, \log_2 b, \log_2 c, \log_2 d\}$.
 - Additions: 2
 - Multiplications: 1
 - Time: $2 \times \text{Cost of Additions} + 1 \times \text{Cost of Multiplication}$

Runtime Function of an Algorithm

$t(n)$: # steps required by the algorithm on an input of size n .

Runtime Function of an Algorithm

$t(n)$: # steps required by the algorithm on an input of size n .

Note:

- # steps can vary across two different inputs of size n .

Runtime Function of an Algorithm

$t(n)$: # steps required by the algorithm on an input of size n .

Note:

- # steps can vary across two different inputs of size n .
- \therefore given n , one *cannot define a unique $t(n)$* such that the algorithm requires *exactly $t(n)$ steps* on any input of size n .

Two Ways to Tackle this Problem

- 1 **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .

Two Ways to Tackle this Problem

- 1 **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .
 - May not present a proper picture of the performance.

Two Ways to Tackle this Problem

- 1 **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .
 - May not present a proper picture of the performance.
 - May take a rather long time only for a few inputs of size n .

Two Ways to Tackle this Problem

- ① **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .
- May not present a proper picture of the performance.
 - May take a rather long time only for a few inputs of size n .

Example: Quick Sort.

Two Ways to Tackle this Problem

- ① **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .
- May not present a proper picture of the performance.
 - May take a rather long time only for a few inputs of size n .

Example: Quick Sort.

- Labelling such an algorithm as inefficient is inappropriate.

Two Ways to Tackle this Problem

- ① **Worst-case time complexity:** $t(n)$ is the *maximum* of the different numbers of steps that the algorithm requires for different inputs of size n .

- May not present a proper picture of the performance.
- May take a rather long time only for a few inputs of size n .

Example: Quick Sort.

- Labelling such an algorithm as inefficient is inappropriate.

- ② **Average-case time complexity:** Considers the average case behaviour of the algorithm.

- For each n , the set of all inputs of size n is assumed to be finite.
- Define a *uniform distribution* on this set.
- Then the time function $T(n)$ becomes a *random variable*.
- *Average-case time complexity* = $E[T(n)]$ (function of n).

Runtime Function of an Algorithm (Cont.)

- We Will mostly focus on the worst-case time complexity.

Runtime Function of an Algorithm (Cont.)

- We Will mostly focus on the worst-case time complexity.
- Analogously, one can also formulate the worst-case and average-case *space* required by an algorithm.

Arithmetic Problem

$f(a, b, c, d)$:

$$t_1 = a + b$$

$$t_2 = c + d$$

$$t_3 = t_1 * t_2$$

return t_3

Arithmetic Problem

$f(a, b, c, d)$:

$$t_1 = a + b$$

$$t_2 = c + d$$

$$t_3 = t_1 * t_2$$

return t_3

- **Basic operation:** 2 Addition and 1 multiplication

Arithmetic Problem

$f(a, b, c, d)$:

$$t_1 = a + b$$

$$t_2 = c + d$$

$$t_3 = t_1 * t_2$$

return t_3

- **Basic operation:** 2 Addition and 1 multiplication
 - Depends on the size of integers a, b, c and d .
 - The sizes of a, b, c and d can vary.
 - Assume that $n = \max\{\lceil \log_2 a \rceil, \lceil \log_2 b \rceil, \lceil \log_2 c \rceil, \lceil \log_2 d \rceil\}$.
 - Adding two n -bit integers take time proportional to n .
 - Multiplying two n -bit integers take time proportional to $n^{\log_2 3}$.

Arithmetic Problem

$f(a, b, c, d)$:

$$t_1 = a + b$$

$$t_2 = c + d$$

$$t_3 = t_1 * t_2$$

return t_3

- **Basic operation:** 2 Addition and 1 multiplication
 - Depends on the size of integers a, b, c and d .
 - The sizes of a, b, c and d can vary.
 - Assume that $n = \max\{\lceil \log_2 a \rceil, \lceil \log_2 b \rceil, \lceil \log_2 c \rceil, \lceil \log_2 d \rceil\}$.
 - Adding two n -bit integers take time proportional to n .
 - Multiplying two n -bit integers take time proportional to $n^{\log_2 3}$.
- **Size of input:** n .

Arithmetic Problem

$f(a, b, c, d)$:

$$t_1 = a + b$$

$$t_2 = c + d$$

$$t_3 = t_1 * t_2$$

return t_3

- **Basic operation:** 2 Addition and 1 multiplication
 - Depends on the size of integers a, b, c and d .
 - The sizes of a, b, c and d can vary.
 - Assume that $n = \max\{\lceil \log_2 a \rceil, \lceil \log_2 b \rceil, \lceil \log_2 c \rceil, \lceil \log_2 d \rceil\}$.
 - Adding two n -bit integers take time proportional to n .
 - Multiplying two n -bit integers take time proportional to $n^{\log_2 3}$.
- **Size of input:** n .
- **Time complexity:** proportional to $n^{\log_2 3}$.

Books Consulted

- ① Chapter 2 of *A Course on Cooperative Game Theory* by Satya R. Chakravarty, Palash Sarkar and Manipushpak Mitra.
- ② *Introduction to Algorithms: A Creative Approach* by Udi Manber.

Thank You for your kind attention!