



Database and Information Systems

Course Roadmap

Chapter 1

Introduction to Databases

Chapter 2

Integrity Constraints and ER Model

Chapter 3

Relational Databases and Schema Refinement

Chapter 4

Query Language

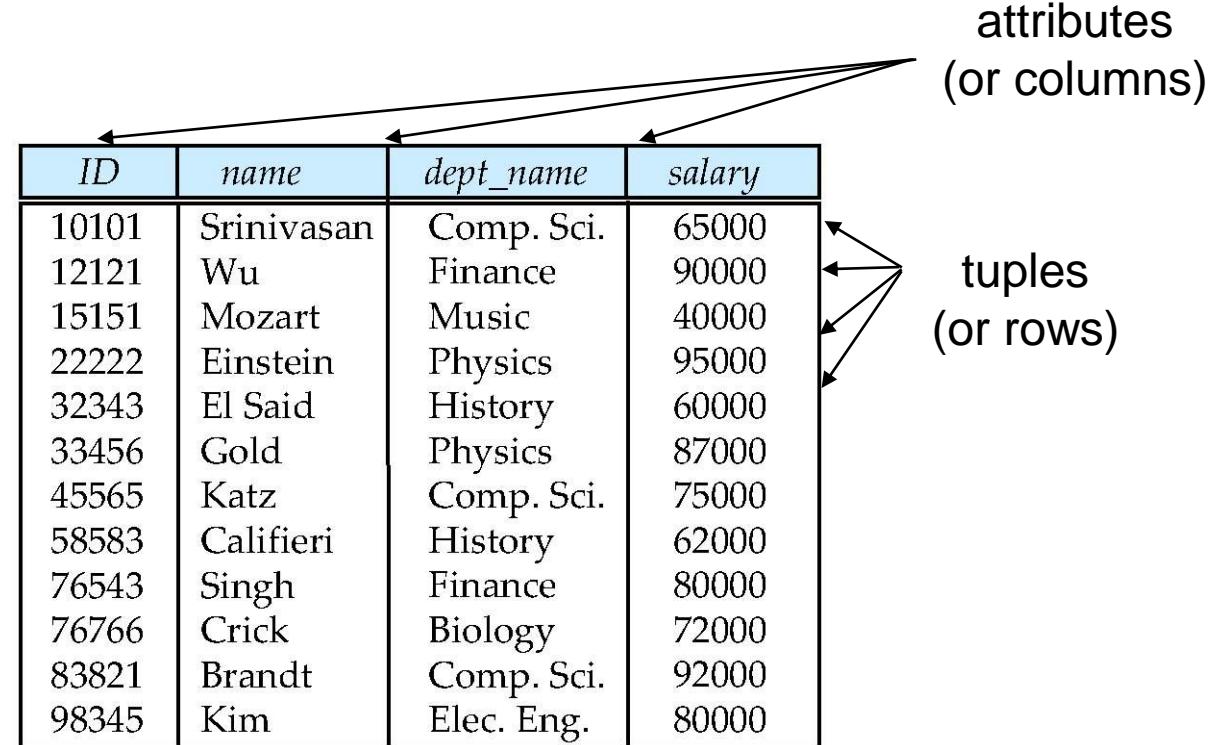
Chapter 5

Transaction and Concurrency Control

Chapter 6

Indexing

Example of Relation



| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 12121 | Wu | Finance | 90000 |
| 15151 | Mozart | Music | 40000 |
| 22222 | Einstein | Physics | 95000 |
| 32343 | El Said | History | 60000 |
| 33456 | Gold | Physics | 87000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 58583 | Califieri | History | 62000 |
| 76543 | Singh | Finance | 80000 |
| 76766 | Crick | Biology | 72000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |

Table: Faculty



Attribute Types

- Columns are attributes
- Rows are tuples or records
- The set of allowed values for each attribute is called the **domain** of the attribute
- Attribute values are (normally) required to be **atomic**; that is, indivisible



Candidate Key

- Key
 - Attribute of a relation/table
- Candidate Key
 - Attribute that **uniquely identify any two tuples** in a table
 - E.g., In Faculty table, Candidate Key (CK): {ID}
 - To identify if two faculties are same or different
 - In Student table, CK: {roll no, aadhaar no, registration no, email id}
 - To identify if two students are same or different
 - In a Table, there can be more than one CKs



Candidate Key

- Question?
 - Can we use DOB as CK?



Candidate Key

■ Question

- Can we use name as CK?



Primary Key

- Primary Key
 - One of the candidate keys that is not Null: **Unique + Not Null**
 - A Table can have **only one Primary Key**
- Examples
 - In Student table, Primary Key can be either Roll No. or Registration No.
 - In Aadhaar Card Database, Primary Key can be Aadhaar No.



Primary Key

■ Question?

- In Student Table, Aadhaar card can be one of the possible Primary Keys?



Primary and Alternate Keys

- SQL query to create Primary Key:
 - CREATE TABLE Student (
 RollNo int NOT NULL,
 LastName varchar(255) NOT NULL,
 FirstName varchar(255),
 Age int,
 PRIMARY KEY (RollNo));
 - Primary Key is usually assigned by the organization
- Alternate Key
 - Set of **candidate keys that are not primary key**



Super Key

- Combination of all possible attributes which can uniquely identify two tuples in a table
- **Super set of a candidate is a super key**
 - Minimal super key is a candidate key
- For Example
 - Consider a relation/table **student(id, name)**, where id is a candidate key
 - Possible super keys = {id, (id name)}



Class Activity

- How many possible super keys
 - A set of n attributes with one attribute as candidate key

$$2^{n-1}$$



Class Activity

- How many possible super keys
 - A set of n attributes with two combined attribute as candidate key (composite key as candidate key)

$$2^{n-2}$$



Class Activity

- How many possible super keys
 - A set of n attributes with two attributes as candidate keys

$$\begin{aligned} & 2^{n-1} + 2^{n-1} - 2^{n-2} \\ = & 3 \cdot 2^{n-2} \end{aligned}$$



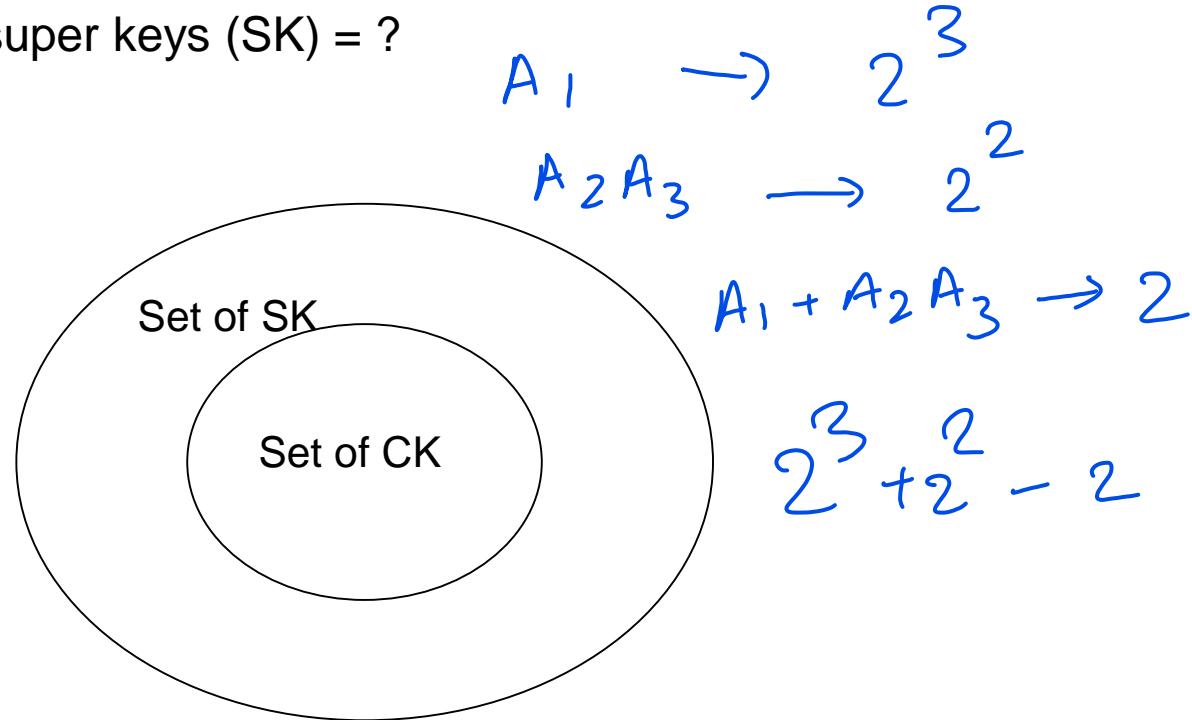
Class Activity

- Minimum and maximum super keys in a relation of n attributes?

$$1 \quad 2^n - 1$$

Super Key

- Example: $R(A_1, A_2, A_3, A_4)$, Candidate keys (CK) = $\{A_1, A_2A_3\}$
 - No of super keys (SK) = ?





Class Activity

- Consider a relation R(A₁, A₂, A₃, …., A_n), where candidate keys are {A₁A₂, A₂A₃}
 - How many possible super keys?

$$A_1 A_2 \rightarrow 2^{n-2}$$

$$A_2 A_3 \rightarrow 2^{n-2}$$

$$A_1 A_2 A_3 \rightarrow 2^{n-3}$$

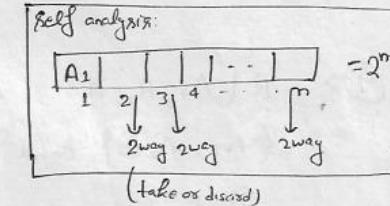
$$2^{n-2} + 2^{n-2} - 2^{n-3}$$

Super Key

Ques ① ⇒ Assume relation has n attributes with A_1 is cand. key how many SK's possible?

Sol ⇒

A_1
 $A_1 A_2$
 $A_1 A_3$
 $A_1 A_4$
 $A_1 A_2 A_3$
 \vdots
 $A_1 A_2 A_3 \dots A_N$



\Downarrow
 2^{n-1} Super keys

Ques ② ⇒ $R(A_1, A_2, \dots, A_N)$

$\{A_1, A_2\}$: cand key
no. of SK's $\geq 2^{n-2}$

$\{A_1 A_2\}$: combinedly
cand key

Ques ③ ⇒ $R(A_1, \dots, A_N)$

$\{A_1, A_2\}$: cand key
no. of SK's = ?

Common SK: $(A_1 A_2) \{ A_3 \dots A_N \}$

Total SK's possible = $2^{n-1} + 2^{n-1} - 2^{n-2}$

| A_1 | A_2 | Common SK |
|-------------------------|-------------------------|-----------------------------------|
| $A_1 A_2$ | $A_2 A_1$ | $(A_1 A_2) / \{ A_3 \dots A_N \}$ |
| $A_1 A_3$ | $A_2 A_3$ | \vdots |
| $A_1 A_2 A_3$ | $A_2 A_1 A_3$ | 2^{n-2} |
| \vdots | \vdots | |
| $A_1 A_2 A_3 \dots A_N$ | $A_2 A_1 A_3 \dots A_N$ | |

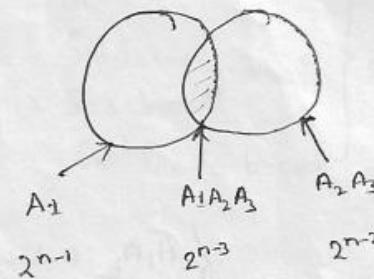
Question: Can you tell if $A_2 A_3$ is a super key?

Super Key

$$\textcircled{4} \Rightarrow R(A_1, A_2, A_3, \dots, A_N)$$

$\{A_1, A_2, A_3\}$ cand key
 $SK's = ?$

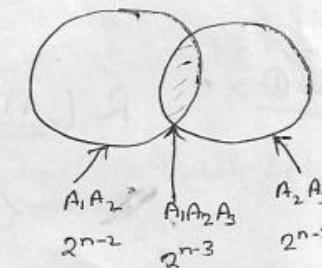
$$SK's = 2^{n-1} + 2^{n-2} - 2^{n-3}$$



$$\textcircled{5} \Rightarrow R(A_1, \dots, A_N)$$

$\{A_1, A_2, A_n, A_3\}$ cand key
 $SK's = ?$

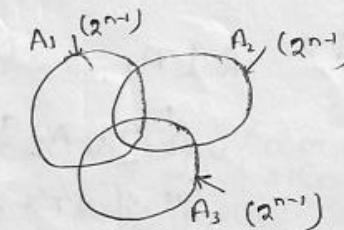
$$SK's = 2^{n-2} + 2^{n-2} - 2^{n-3}$$



$$\textcircled{6} \Rightarrow R(A_1, \dots, A_N)$$

Cand key: $\{A_1, A_2, A_3\}$

$$\begin{aligned} \# of SK's &= 2^{n-1} + 2^{n-1} + 2^{n-1} + 2^{n-3} - 32^{n-2} \\ &= 7 \cdot 2^{n-3} \\ C(A_1 \cup A_2 \cup A_3) &= C(A_1) + C(A_2) + C(A_3) - C(A_1 \cap A_2) \\ &\quad - C(A_2 \cap A_3) - C(A_3 \cap A_1) \\ &\quad + C(A_1 \cap A_2 \cap A_3) \end{aligned}$$





Foreign Key

■ Foreign Key

- Attribute or set of attributes that references to primary key of same table or another table
- Used to relate one table with other table
- Maintains referential integrity
- In a Table, there can be more than one foreign key

■ Integrity

- Maintain same value in database

■ Foreign key integrity

- Maintains referential integrity while inserting deleting and updating in the database
- Example: Roll no 1 belongs to a CSE student, Ram



Foreign Key: Example

| <u>Roll_no (pk)</u> | Name | Address |
|---------------------|--------|-----------|
| 1 | Ram | Delhi |
| 2 | Subham | Indore |
| 3 | Shyam | Delhi |
| 4 | Rohan | Mumbai |
| 5 | Bharat | Bangalore |

Base Table/Referenced Table: **Student**

| <u>Course_id</u> | <u>Course_name</u> | <u>Roll_no (fk)</u> |
|------------------|--------------------|---------------------|
| C1 | Database | 1 |
| C2 | Data Mining | 2 |
| C3 | CN | 1 |
| C4 | C | 3 |
| C5 | OS | 5 |

Referencing Table: **Course**

- To relate two tables, one attribute should be common in two tables
 - In the above tables, Roll_no is common and that is also acting as a Foreign Key
 - What is the meaning of **Roll_no** in Course table is taking reference from **Roll_no** in Student table?



Foreign Key

■ How to create Foreign Key

- CREATE TABLE Course (
 Course_id Varchar(10),
 Course_name Varchar(20),
 Roll_no int,
 PRIMARY KEY (Course_id),
 FOREIGN KEY (Roll_no) REFERENCES Student(Roll_no)
);



Foreign Key: Class Activity

- Insertion in referenced table:
 - Will not cause problem
 - May cause problem
 - Will cause problem



Foreign Key: Class Activity

■ Deletion in referenced table:

- Will not cause problem
- May cause problem
- Will cause problem



Foreign Key: Class Activity

■ Updation in referenced table:

- Will not cause problem
- May cause problem
- Will cause problem



Foreign Key: Class Activity

■ Insertion in referencing table:

- Will not cause problem
- May cause problem
- Will cause problem



Foreign Key: Class Activity

■ Deletion in referencing table:

- Will not cause problem
- May cause problem
- Will cause problem



Foreign Key: Class Activity

■ Updation in referencing table:

- Will not cause problem
- May cause problem
- Will cause problem



Referential Integrity

- Insertion in Referenced Table or Base Table
 - No violation
- Deletion or updation in Referenced Table or Base Table
 - May create problem
- Insertion and updation in Referencing Table
 - May create problem
- Deletion in Referencing Table
 - No violation

| <u>Roll_no (pk)</u> | Name | Address |
|---------------------|--------|-----------|
| 1 | Ram | Delhi |
| 2 | Subham | Indore |
| 3 | Shyam | Delhi |
| 4 | Rohan | Mumbai |
| 5 | Bharat | Bangalore |

Referenced Table: Student

| <u>Course_id</u> | <u>Course_name</u> | <u>Roll_no (fk)</u> |
|------------------|--------------------|---------------------|
| C1 | Database | 1 |
| C2 | Data Mining | 2 |
| c3 | CN | 3 |
| c4 | C | 3 |
| c5 | OS | 5 |

Referencing Table: Course



Maintain Referential Integrity

- Deletion in Referenced Table
 - On Delete Cascade
 - ▶ If referential integrity violation occurs, delete corresponding record from both the tables
 - On Delete No Action
 - ▶ Referenced attribute value deletion is restricted, if this attribute is referred by foreign key of referencing table
 - If it is essential to delete, delete in referencing table then in referenced table
 - On Delete Set Null
 - ▶ If referential integrity violation occurs, place NULL in the corresponding foreign key attribute



Class Activity

- Can you always apply On Delete Set Null?



Maintain Referential Integrity

- Updation in Referenced Table
 - On Update Cascade
 - On Update No Action
 - On Update set Null
- Insertion and Updation in Referencing Table
 - If any violation, restrict operations



Foreign Key

■ How I will remember!!

- GUI
- DDU



ER Model

■ ER Model

- Logical representation of database
- Used for high level database design
- Has an associated diagrammatic representation, the **ER diagram**, which can express the overall logical structure of a database graphically
 - Creates a blueprint of database

■ Main components in ER Model

- Attribute, Entity, Relationship



ER Model

- Entity
 - An object in real world
 - Example: Student, course, faculty
- Attribute
 - Characteristics of an entity
 - Example: {roll no, age, address} can be attribute of Student entity
- Relationship
 - Connection or association between entities

Entity Sets

- An **entity** is an object that exists and is distinguishable from other objects
 - Example: specific person, company, event, plant
- An **entity set** is a set of entities of the same type that share the same properties
 - Example: set of all persons, companies, trees, holidays
- An entity is represented by a set of attributes; i.e., descriptive properties possessed by all members of an entity set
 - Example:
instructor = (ID, name, salary)
course= (course_id, title, credits)
- A subset of the attributes form a **primary key** of the entity set



Entity Sets -- *instructor* and *student*

| | |
|-------|------------|
| 76766 | Crick |
| 45565 | Katz |
| 10101 | Srinivasan |
| 98345 | Kim |
| 76543 | Singh |
| 22222 | Einstein |

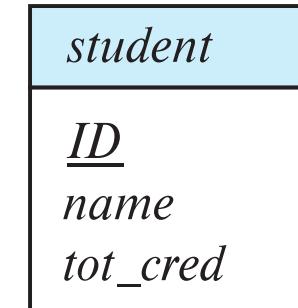
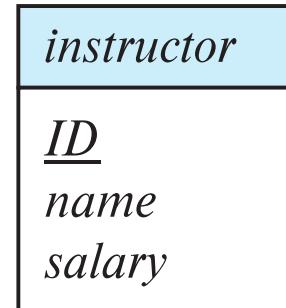
instructor

| | |
|-------|---------|
| 98988 | Tanaka |
| 12345 | Shankar |
| 00128 | Zhang |
| 76543 | Brown |
| 76653 | Aoi |
| 23121 | Chavez |
| 44553 | Peltier |

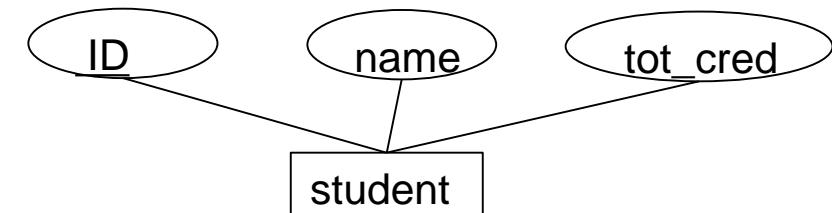
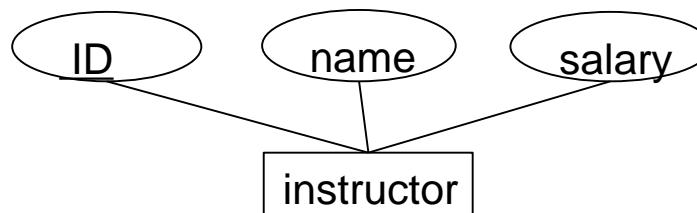
student

Representing Entity sets in ER Diagram

- Rectangles represent entity sets
- Attributes listed inside entity rectangle
 - Or ellipse represent attribute
 - Underline indicates primary key attributes



- Alternate representation



Relationship Sets

- A **relationship** is an association among several entities

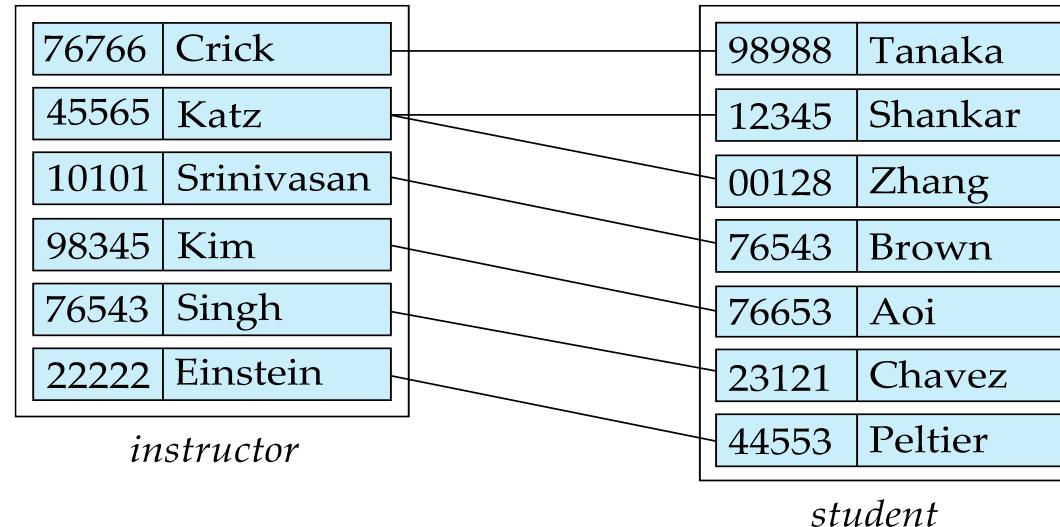
Example:

44553 (Peltier)
student entity

advisor
relationship set

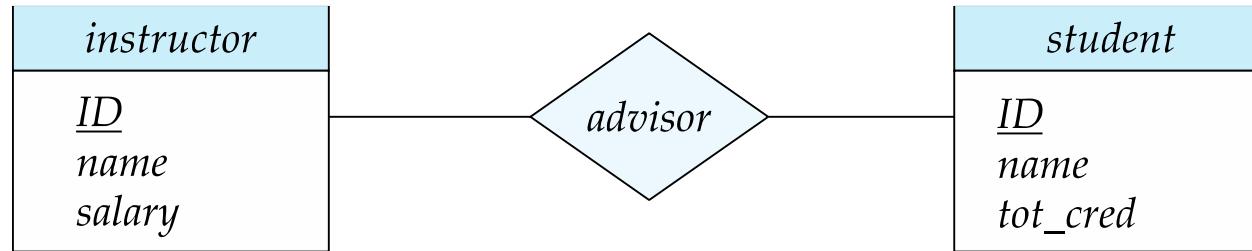
22222 (Einstein)
instructor entity

- A **relationship set** is a mathematical relation among $n \geq 2$ entities, each taken from entity sets
- Pictorially, we draw a line between related entities.



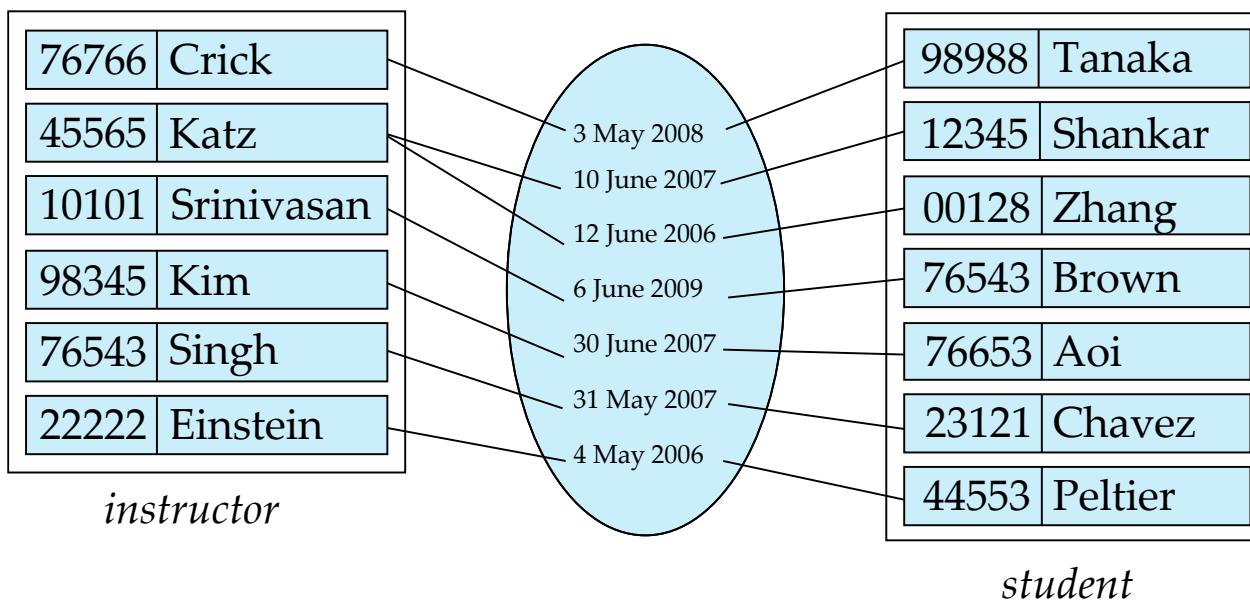
Representing Relationship Sets via ER Diagrams

- Diamonds represent relationship sets.

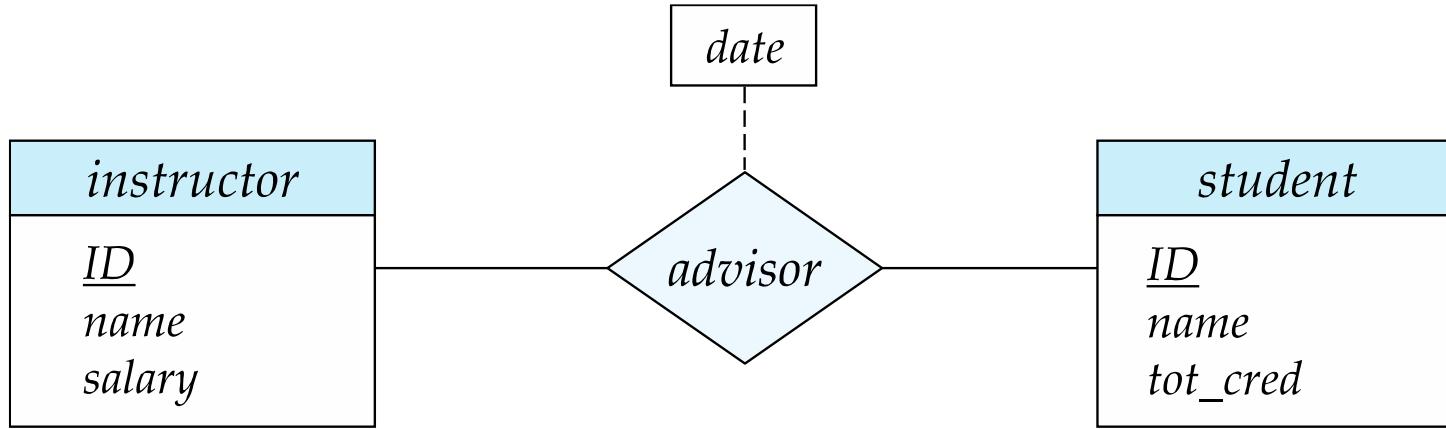


Relationship Sets (Cont.)

- An attribute can also be associated with a relationship set.
- For instance, the *advisor* relationship set between entity sets *instructor* and *student* may have the attribute *date* which tracks when the student started being associated with the advisor



Relationship Sets with Attributes



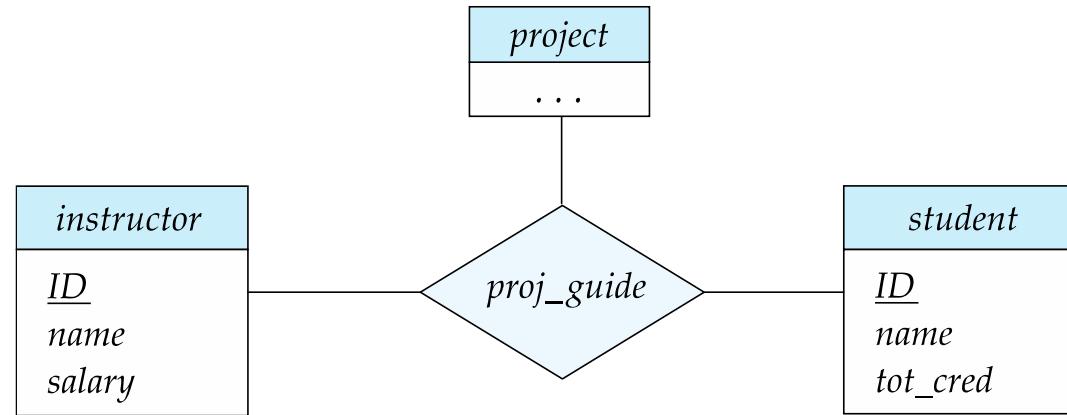


Degree of a Relationship Set

- Binary relationship
 - Involve two entity sets (or degree two)
 - Most relationship sets in a database system are binary
- Relationships between more than two entity sets are rare. Most relationships are binary
 - Example: *students* work on research *projects* under the guidance of an *instructor*.
 - Relationship *proj_guide* is a ternary relationship between *instructor*, *student*, and *project*

Non-binary Relationship Sets

- Most relationship sets are binary
- There are occasions when it is more convenient to represent relationships as non-binary
- E-R Diagram with a Ternary Relationship





Attributes

- Attribute types:
 - **Simple** and **composite** attributes
 - Simple Attribute cannot be divided further
 - Example: student age
 - Composite attribute can be divide further
 - Example: student name (first name, middle name, last name), student address
 - **Single-valued** and **Multi-valued** attributes
 - Single-valued attribute has only one value
 - Example: Student registration_number
 - Multi-valued attribute has more than one vlaue
 - Example: Student phone_numbers, address
 - Complex attributes
 - Composite + Multi-valued
 - Example: Two address of a student



Class Activity

- Can you tell few examples of single valued attributes?



Class Activity

- Can you tell few examples of multi valued attributes?

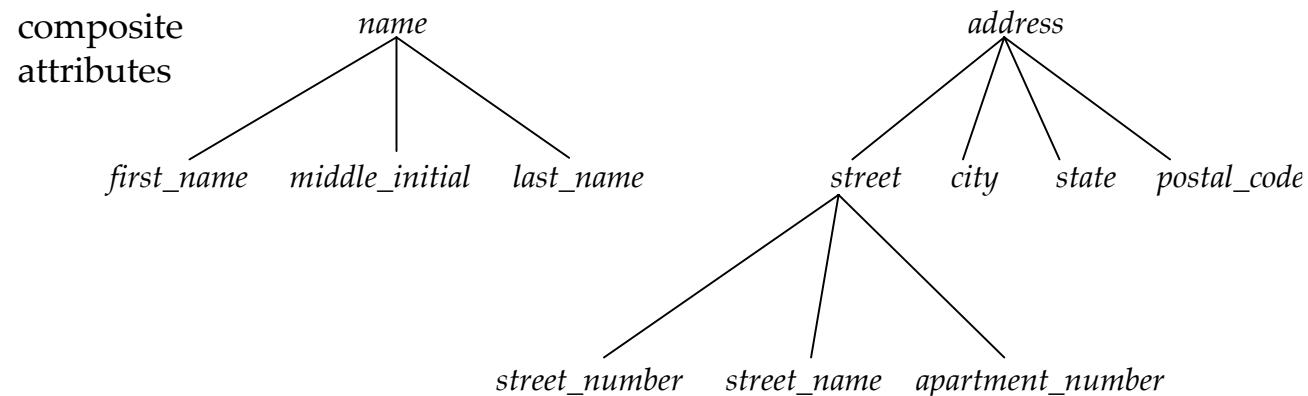


Attributes

- Attribute types
 - Stored attributes
 - Cannot be derived
 - Example: date_of_birth
 - Derived attributes
 - Can be computed from other attributes
 - Example: age given date_of_birth
 - Key and non-key attributes
 - Key is an unique attribute
 - Example: registration number is a key attribute in student entity
- **Domain** – the set of permitted values for each attribute
- Representation of different types of attributes
 - Derived: represented in dotted eclipse
 - Multivalued: represented in double eclipse
 - Key Attribute: underlined in eclipse

Composite Attributes

- Composite attributes allow us to divide attributes into subparts (other attributes).

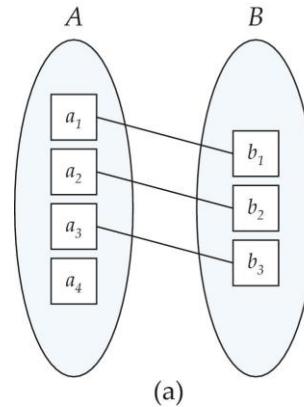




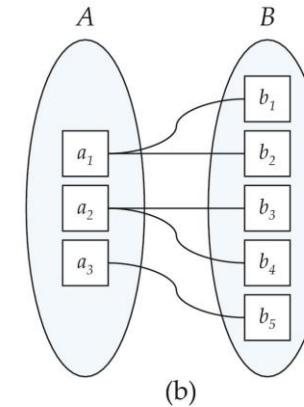
Mapping Cardinality Constraints

- Express the number of entities to which another entity can be associated via a relationship set
- Most useful in describing binary relationship sets
 - **How two entities are associated with each other**
- For a binary relationship set the mapping cardinality must be one of the following types:
 - One to one
 - One to many
 - Many to one
 - Many to many

Mapping Cardinalities



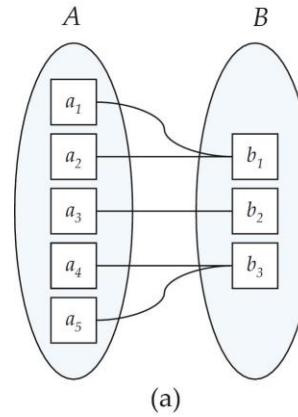
One to one



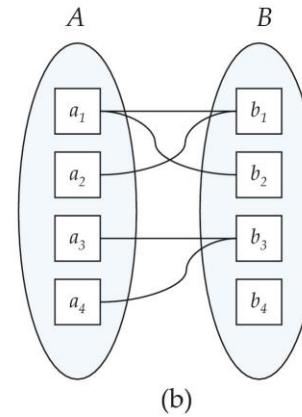
One to many

Note: Some elements in A and B may not be mapped to any elements in the other set

Mapping Cardinalities



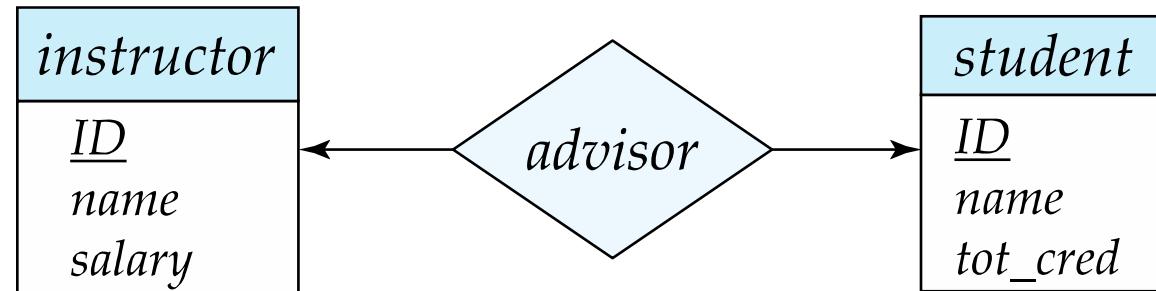
Many to one



Many to many

Representing Cardinality Constraints in ER Diagram

- We express cardinality constraints by drawing either a directed line (\rightarrow), signifying “one”, or an undirected line ($-$), signifying “many”, between the relationship set and the entity set.
- **One-to-one relationship** between an *instructor* and a *student* :
 - A *student* is associated with at most one *instructor* via the relationship *advisor*
 - Similarly, an *instructor* is associated with at most one *student* with the same relationship



- Example: HOD manages Department

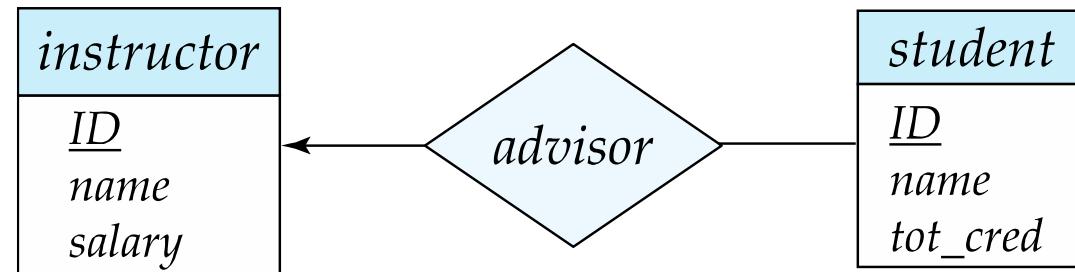


One-to-One Relationship

- Can you draw an ER diagram for HOD Manage Department?

One-to-Many Relationship

- One-to-many relationship between an *instructor* and a *student*
 - A student is associated with at most one instructor via *advisor*
 - An instructor is associated with several (including 0) students via *advisor*



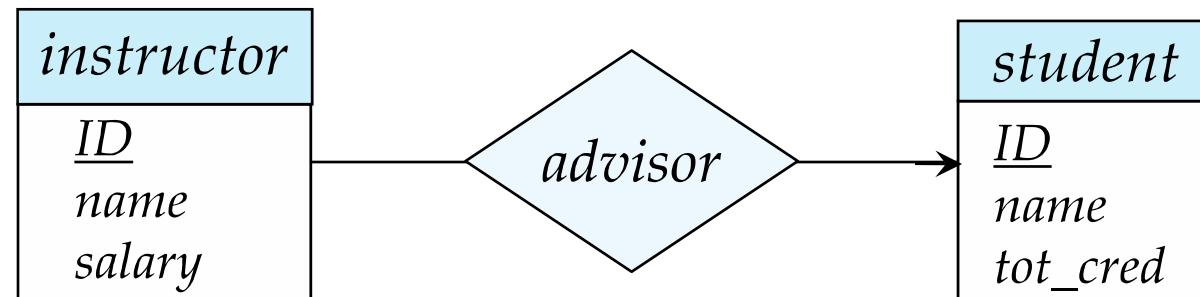


One-to-Many Relationship

- Can you draw an ER diagram for Customer Give Order?

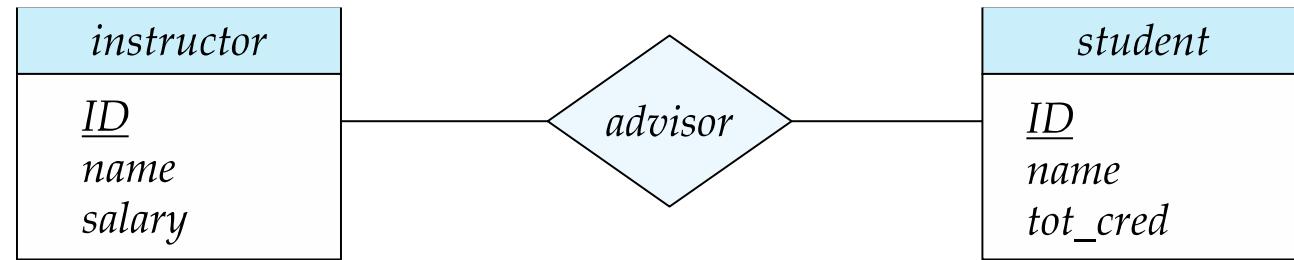
Many-to-One Relationships

- In a many-to-one relationship between an *instructor* and a *student*,
 - A student is associated with several (including 0) instructors via *advisor*
 - An instructor is associated with at most one student via *advisor*,



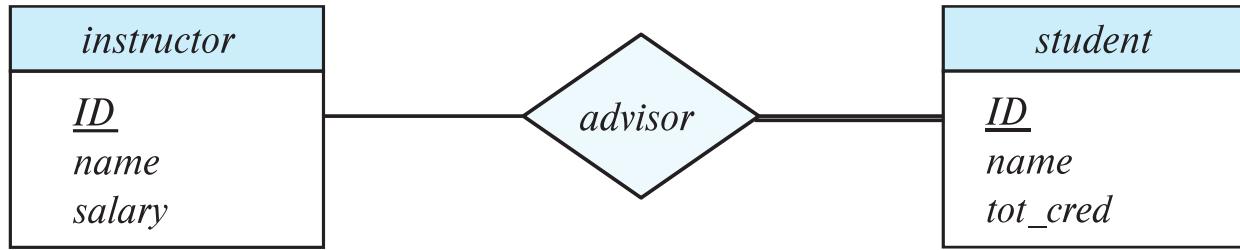
Many-to-Many Relationship

- An instructor is associated with several students via *advisor*
- A student is associated with several instructors via *advisor*



Total and Partial Participation

- **Total participation** (indicated by double line): every entity in the entity set participates in at least one relationship in the relationship set



- Participation of *student* in *advisor* relation is total
 - Every *student* must have an associated *instructor*
- **Partial participation:** Some entities may not participate in any relationship in the relationship set
 - Example: participation of *instructor* in *advisor* is partial

Total and Partial Participation

Participation :-

If every entity of entity set relating to relationship set then called TOTAL PARTICIPATION otherwise PARTIAL PARTICIPATION



- every dept there should be manager



Weak Entity Sets and Self Referential Relationship

- Weak entity set
 - Entity set with no key
- Attributes of weak entity set may not be able to differentiate the records
- Weak entity set is allowed in design of ER diagram
- RDBMS does not allow weak entity set
 - Combine relationship with entity

- Self Referential Relationship
 - One entity of entity set is related to other entity of same entity set
 - Example: In a company, a junior reports to a senior



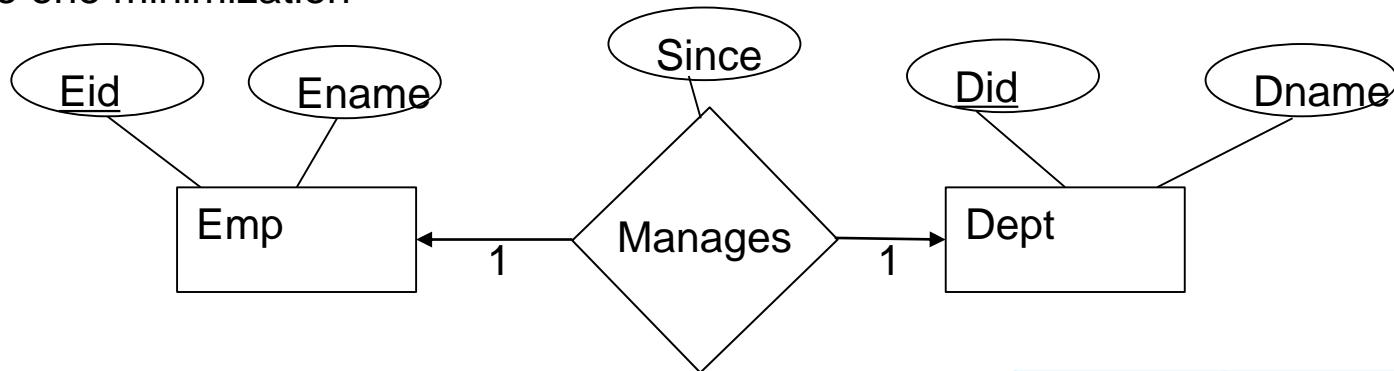
ER Diagram Minimization

■ ER Diagram Minimization

- Convert ER Diagram into minimal such that minimum number of RDBMS tables would be formed
 - ▶ To reduce the number of overhead and complexity
- One to one minimization
- One to many minimization
- Many to one minimization
- Many to many minimization

ER Diagram Minimization

One to one minimization



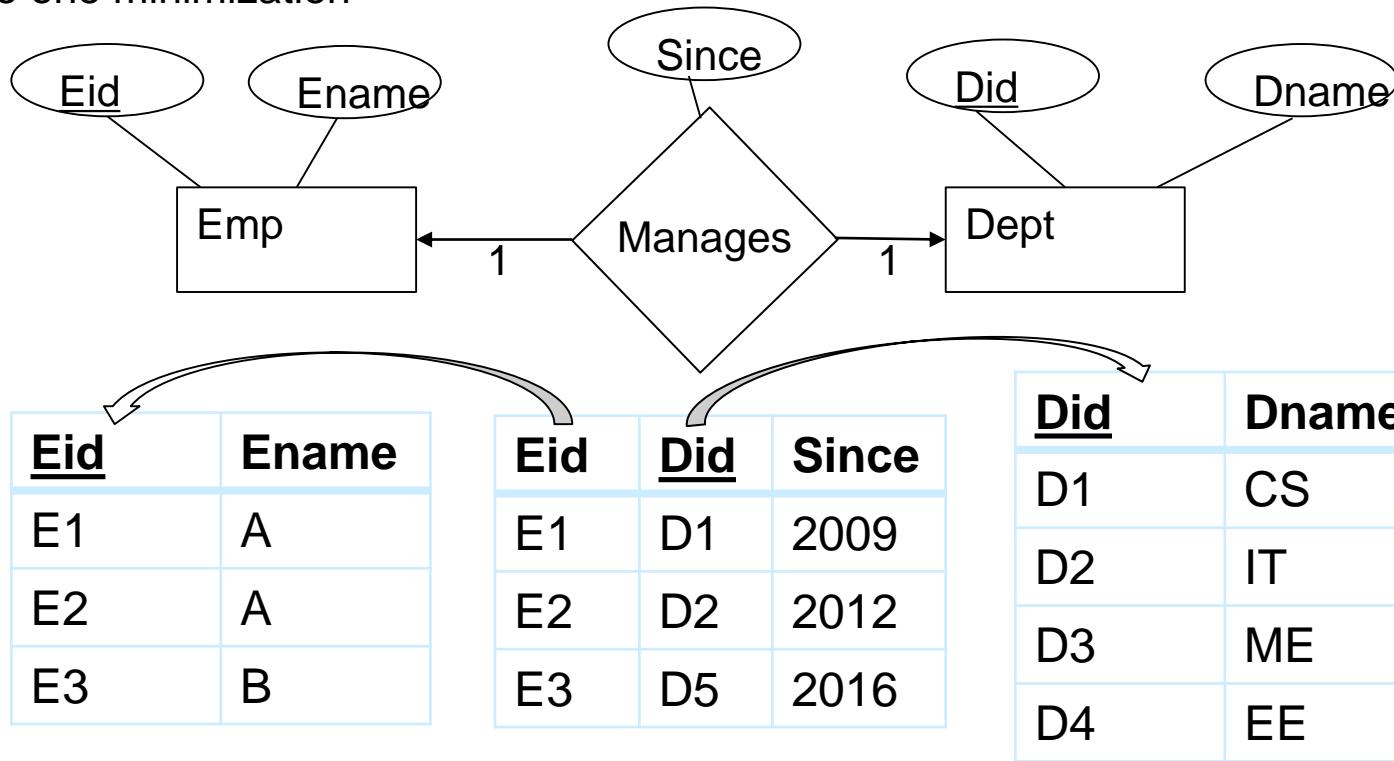
| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

- What will be attributes in relationship table, Manages?
- What will be Primary Key (PK) in relationship table, Manages?

ER Diagram Minimization

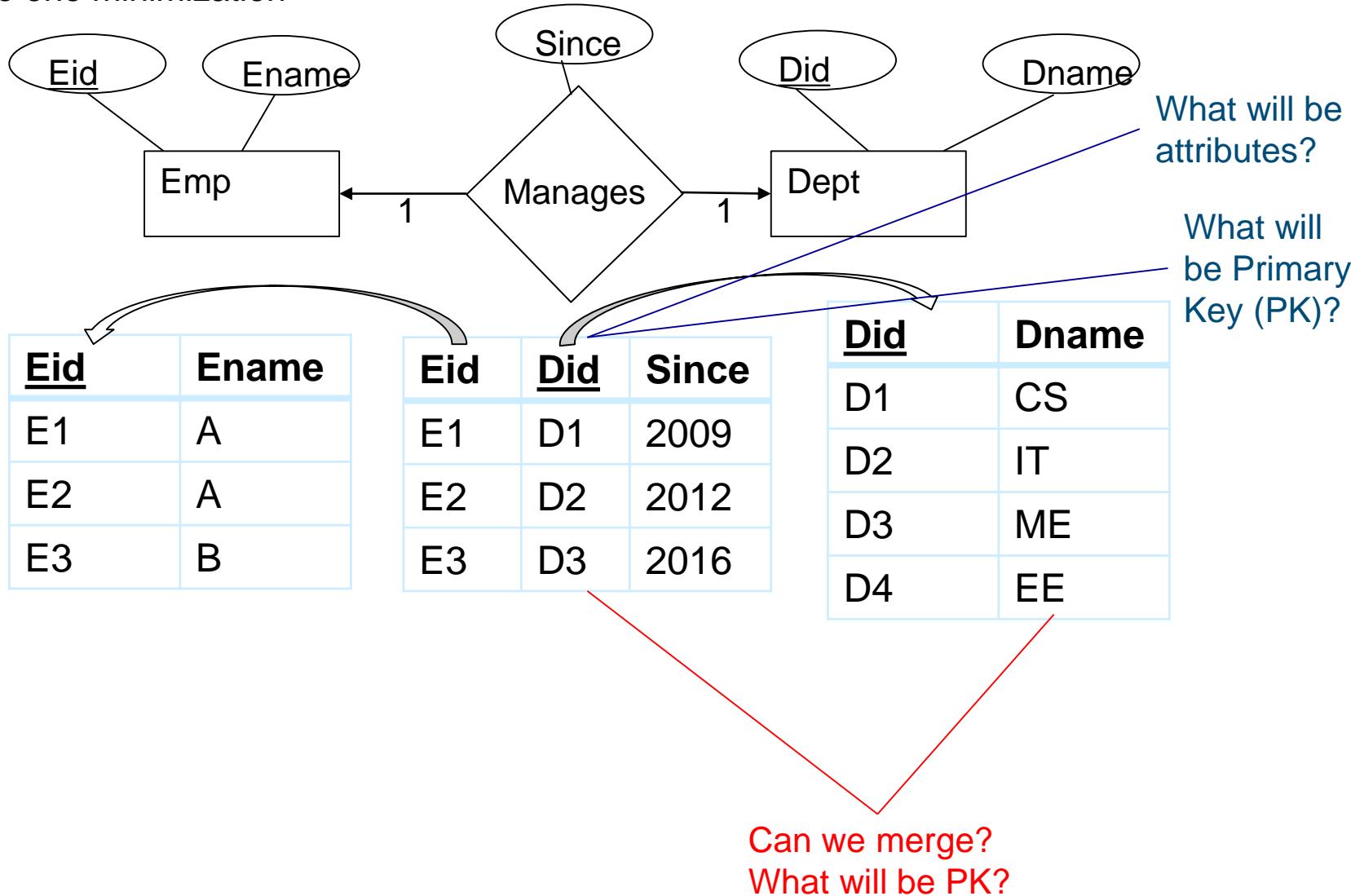
One to one minimization



- Can you tell if Managers Table is correct?

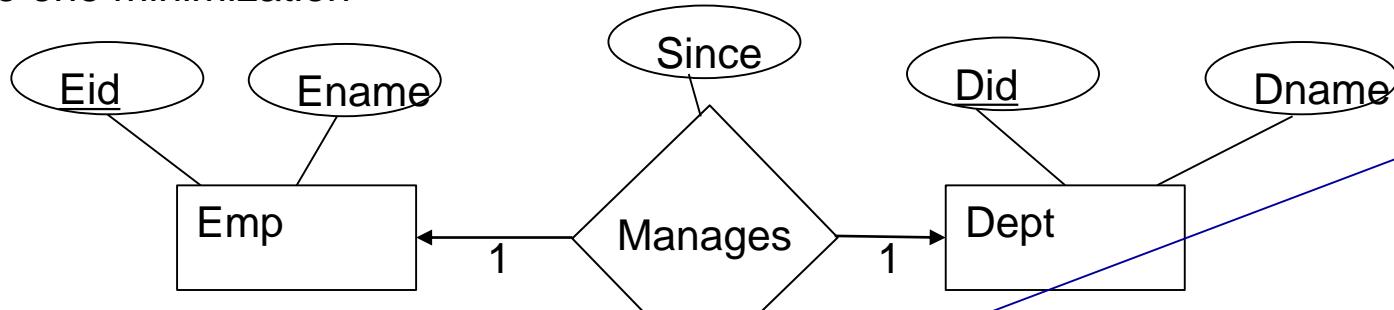
ER Diagram Minimization

One to one minimization



ER Diagram Minimization

One to one minimization



What will be attributes?

What will be Primary Key (PK)?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Eid</u> | <u>Did</u> | Since |
|------------|------------|-------|
| E1 | D1 | 2009 |
| E2 | D2 | 2012 |
| E3 | D3 | 2016 |

| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

Can we merge?
What will be PK?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

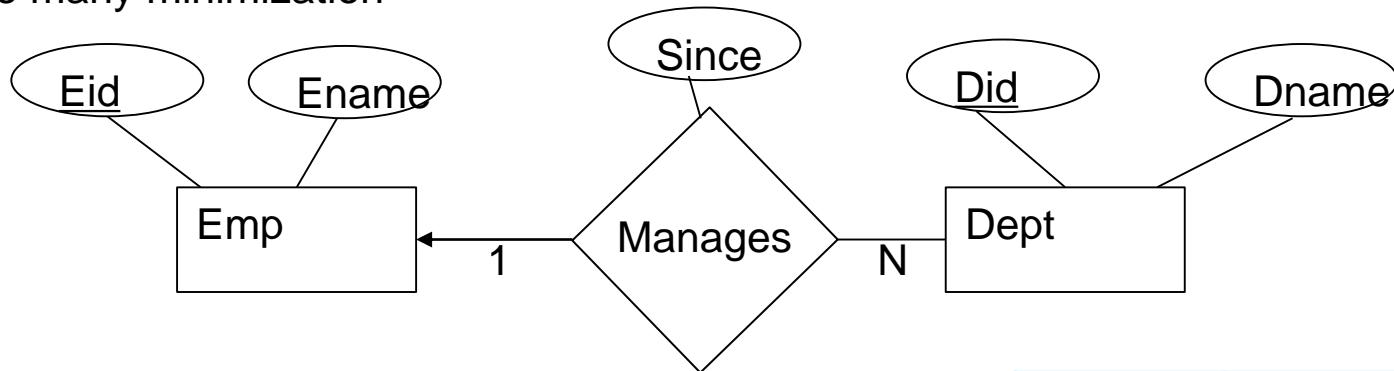
| <u>Did</u> | Dname | <u>Eid</u> | Since |
|------------|-------|------------|-------|
| D1 | CS | E1 | 2009 |
| D2 | IT | E2 | 2012 |
| D3 | ME | E3 | 2016 |
| D4 | EE | NULL | NULL |

Can we merge?
What will be PK?



ER Diagram Minimization

One to many minimization



| <u>Eid</u> | Ename |
|------------|--------------|
| E1 | A |
| E2 | A |
| E3 | B |

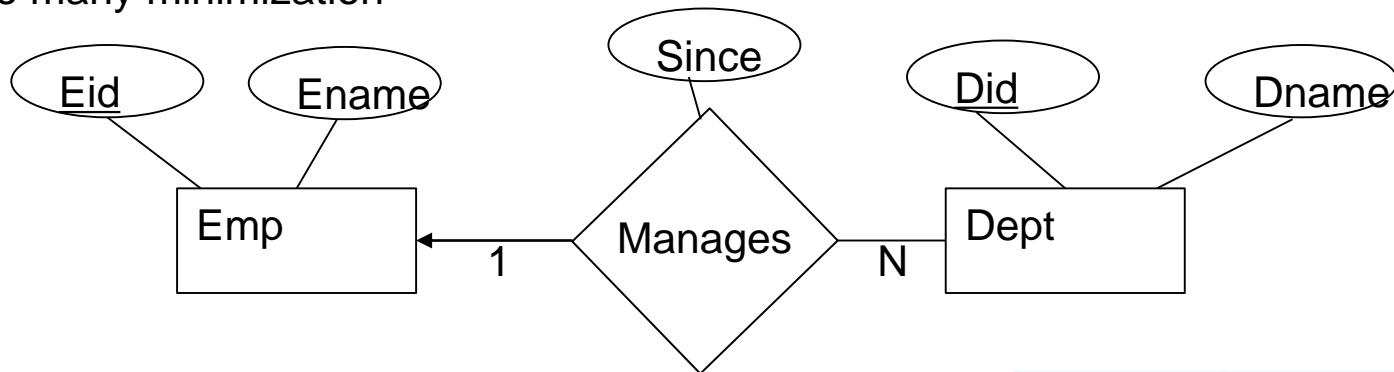
| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |

| <u>Did</u> | Dname |
|------------|--------------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

What will be Primary Key in Relationship Table, Manages (PK)?

ER Diagram Minimization

One to many minimization



| <u>Eid</u> | <u>Ename</u> |
|------------|--------------|
| E1 | A |
| E2 | A |
| E3 | B |

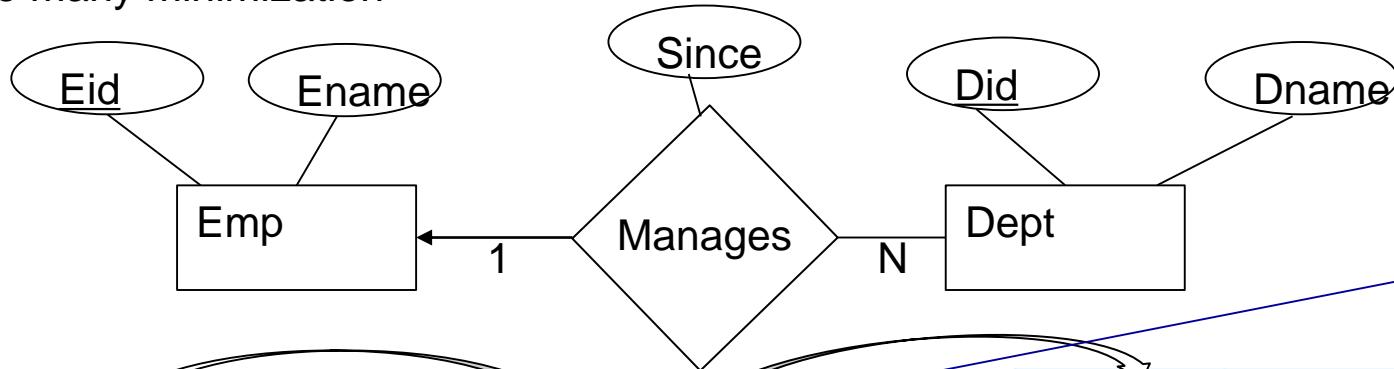
| <u>Eid</u> | <u>Did</u> | <u>Since</u> |
|------------|------------|--------------|
| E1 | D1 | 2009 |
| E1 | D2 | 2012 |
| E2 | D3 | 2016 |

| <u>Did</u> | <u>Dname</u> |
|------------|--------------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

What will be Primary Key in Relationship Table, Manages (PK)?
 How I will remember? Easy way?

ER Diagram Minimization

One to many minimization



What will
be Primary
Key (PK)?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Eid</u> | <u>Did</u> | Since |
|------------|------------|-------|
| E1 | D1 | 2009 |
| E1 | D2 | 2012 |
| E2 | D3 | 2016 |

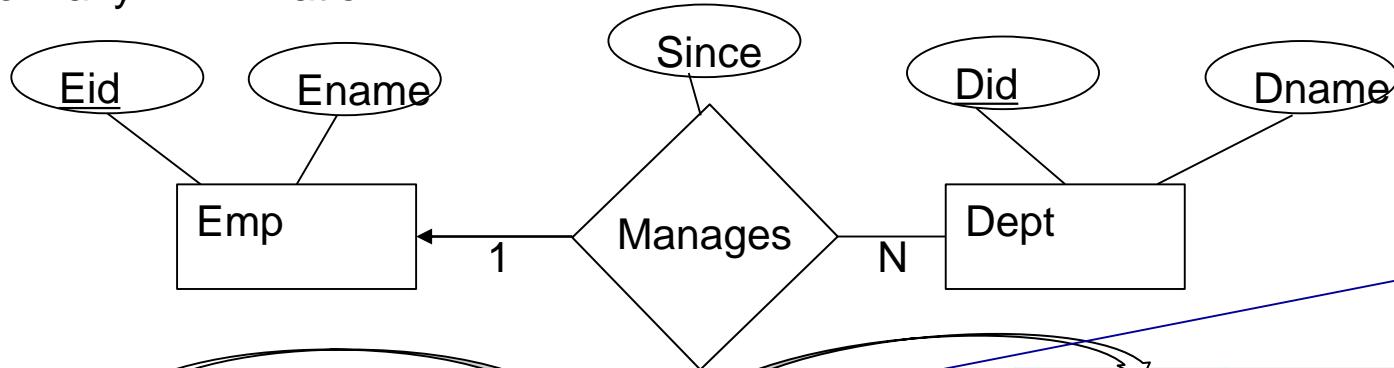
| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

Can we
merge?



ER Diagram Minimization

One to many minimization



What will
be Primary
Key (PK)?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Eid</u> | <u>Did</u> | Since |
|------------|------------|-------|
| E1 | D1 | 2009 |
| E1 | D2 | 2012 |
| E2 | D3 | 2016 |

| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

Can we
merge?

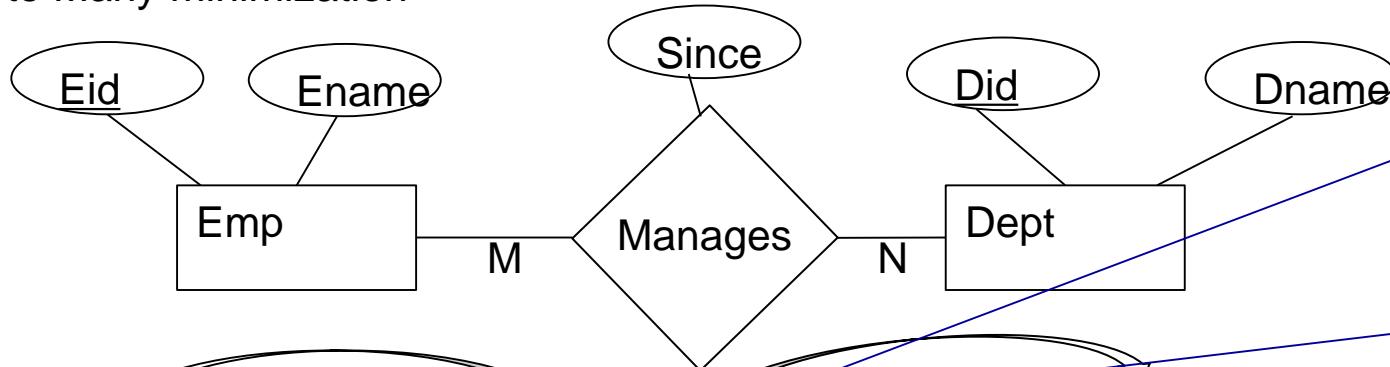
| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Did</u> | Dname | <u>Eid</u> | Since |
|------------|-------|------------|-------|
| D1 | CS | E1 | 2009 |
| D2 | IT | E1 | 2012 |
| D3 | ME | E2 | 2016 |
| D4 | EE | NULL | NULL |

Can we
merge?

ER Diagram Minimization

Many to Many minimization



What will be attributes?

What will be Primary Key (PK)?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Eid</u> | <u>Did</u> | Since |
|------------|------------|-------|
| E1 | D1 | 2009 |
| E1 | D2 | 2012 |
| E3 | D2 | 2016 |

| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

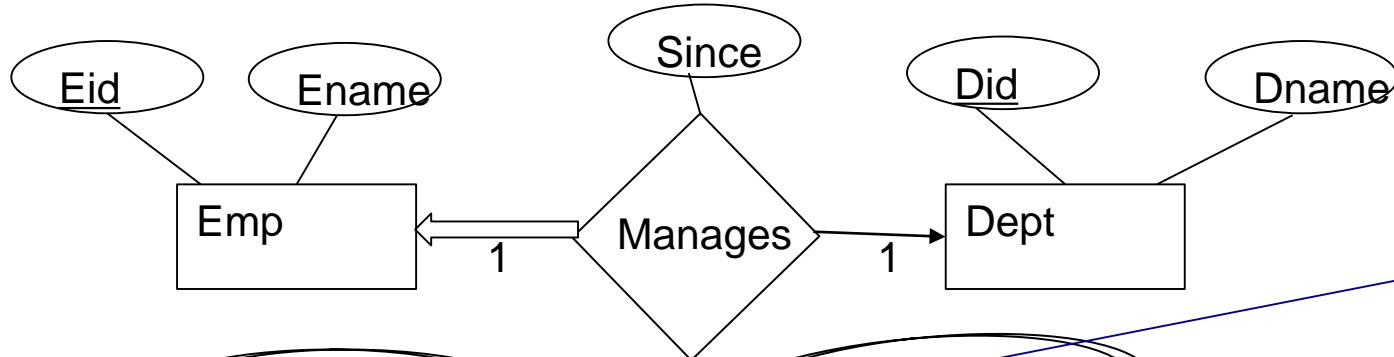
Can we merge?
What will be PK?

Can we merge?
What will be PK?



ER Diagram Minimization

One to one minimization with total participation



What will
be Primary
Key (PK)?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Eid</u> | <u>Did</u> | Since |
|------------|------------|-------|
| E1 | D1 | 2009 |
| E2 | D2 | 2012 |
| E3 | D3 | 2016 |

| <u>Did</u> | Dname |
|------------|-------|
| D1 | CS |
| D2 | IT |
| D3 | ME |
| D4 | EE |

Can we
merge?

| <u>Eid</u> | Ename |
|------------|-------|
| E1 | A |
| E2 | A |
| E3 | B |

| <u>Did</u> | Dname | <u>Eid</u> | Since |
|------------|-------|------------|-------|
| D1 | CS | E1 | 2009 |
| D2 | IT | E2 | 2012 |
| D3 | ME | E3 | 2016 |
| D4 | EE | NULL | NULL |

Can we
merge?



References

- Silberschatz, Abraham, Henry F. Korth, and Shashank Sudarshan. *Database system concepts*. Vol. 6. New York: McGraw-Hill, 1997.
- Ramez Elmasri, Shamkant B. Navathe. *Fundamentals of Database Systems*. Edition 6. Pearson, 2010.