

# RECURSION THEOREM

21 February 2024 07:23 PM

## SELF-REFERENCE

Let's begin by making a Turing machine that ignores its input and prints out a copy of its own description. We call this machine *SELF*. To help describe *SELF*, we need the following lemma.

### LEMMA 6.1

There is a computable function  $q: \Sigma^* \rightarrow \Sigma^*$ , where if  $w$  is any string,  $q(w)$  is the description of a Turing machine  $P_w$  that prints out  $w$  and then halts.

**PROOF** Once we understand the statement of this lemma, the proof is easy. Obviously, we can take any string  $w$  and construct from it a Turing machine that has  $w$  built into a table so that the machine can simply output  $w$  when started. The following TM  $Q$  computes  $q(w)$ .

$Q =$  "On input string  $w$ :

1. Construct the following Turing machine  $P_w$ .  
 $P_w =$  "On any input:
  1. Erase input.
  2. Write  $w$  on the tape.
  3. Halt."
2. Output  $\langle P_w \rangle$ ."

The Turing machine *SELF* is in two parts,  $A$  and  $B$ . We think of  $A$  and  $B$  as being two separate procedures that go together to make up *SELF*. We want *SELF* to print out  $\langle SELF \rangle = \langle AB \rangle$ .

Part  $A$  runs first and upon completion passes control to  $B$ . The job of  $A$  is to print out a description of  $B$ , and conversely the job of  $B$  is to print out a description of  $A$ . The result is the desired description of *SELF*. The jobs are

For  $A$  we use the machine  $P_{\langle B \rangle}$ , described by  $q(\langle B \rangle)$ , which is the result of applying the function  $q$  to  $\langle B \rangle$ . Thus part  $A$  is a Turing machine that prints out  $\langle B \rangle$ . Our description of  $A$  depends on having a description of  $B$ . So we can't complete the description of  $A$  until we construct  $B$ .

defined in terms of  $B$ . That would be a *circular* definition of an object in terms of itself, a logical transgression. Instead, we define  $B$  so that it prints  $A$  by using a different strategy:  $B$  computes  $A$  from the output that  $A$  produces.

$A = P_{\langle B \rangle}$ , and

$B =$  "On input  $\langle M \rangle$ , where  $M$  is a portion of a TM:

1. Compute  $q(\langle M \rangle)$ .
2. Combine the result with  $\langle M \rangle$  to make a complete TM.
3. Print the description of this TM and halt."

If we now run *SELF* we observe the following behavior.

1. First  $A$  runs. It prints  $\langle B \rangle$  on the tape.
2.  $B$  starts. It looks at the tape and finds its input,  $\langle B \rangle$ .
3.  $B$  calculates  $q(\langle B \rangle) = \langle A \rangle$  and combines that with  $\langle B \rangle$  into a TM description,  $\langle SELF \rangle$ .
4.  $B$  prints this description and halts.

### THEOREM 6.3

**Recursion theorem** Let  $T$  be a Turing machine that computes a function  $t: \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ . There is a Turing machine  $R$  that computes a function  $r: \Sigma^* \rightarrow \Sigma^*$ , where for every  $w$ ,

$$r(w) = t(\langle R \rangle, w).$$

**Theorem:** For any TM  $T$  there is a TM  $R$  where for all  $w$   $R$  on input  $w$  operates in the same way as  $T$  on input  $\langle w, R \rangle$ .

*SELF* = "On any input:

1. Obtain, via the recursion theorem, own description  $\langle SELF \rangle$ .
2. Print  $\langle SELF \rangle$ ."

### THEOREM 6.5

$A_{TM}$  is undecidable.

**PROOF** We assume that Turing machine  $H$  decides  $A_{TM}$ , for the purposes of obtaining a contradiction. We construct the following machine  $B$ .

$B =$  "On input  $w$ :

1. Obtain, via the recursion theorem, own description  $\langle B \rangle$ .
2. Run  $H$  on input  $\langle B, w \rangle$ .
3. Do the opposite of what  $H$  says. That is, *accept* if  $H$  rejects and

**PROOF** The proof is similar to the construction of *SELF*. We construct a TM  $R$  in three parts,  $A$ ,  $B$ , and  $T$ , where  $T$  is given by the statement of the theorem; a schematic diagram is presented in the following figure.

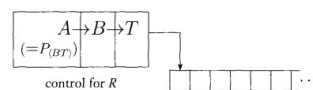


FIGURE 6.4  
Schematic of  $R$

Here,  $A$  is the Turing machine  $P_{\langle BT \rangle}$  described by  $q(\langle BT \rangle)$ . To preserve the input  $w$ , we redesign  $q$  so that  $P_{\langle BT \rangle}$  writes its output following any string preexisting on the tape. After  $A$  runs, the tape contains  $w(BT)$ .

Again,  $B$  is a procedure that examines its tape and applies  $q$  to its contents. The result is  $\langle A \rangle$ . Then  $B$  combines  $A$ ,  $B$ , and  $T$  into a single machine and obtains its description  $\langle ABT \rangle = \langle R \rangle$ . Finally, it encodes that description together with  $w$ , places the resulting string  $\langle R, w \rangle$  on the tape, and passes control to  $T$ .

**Proof of Theorem:**  $R$  has three parts:  $A$ ,  $B$ , and  $T$ .

$T$  is given

$A = P_{\langle BT \rangle}$

$B =$  "1. Compute  $q(\text{tape contents after } w)$  to get  $A$ .

2. Combine with  $BT$  to get  $ABT = R$ .

3. Pass control to  $T$  on input  $\langle w, R \rangle$ ."



### THEOREM 6.5

$A_{TM}$  is undecidable.

**PROOF** We assume that Turing machine  $H$  decides  $A_{TM}$ , for the purposes of obtaining a contradiction. We construct the following machine  $B$ .

$B =$  "On input  $w$ :

1. Obtain, via the recursion theorem, own description  $\langle B \rangle$ .
2. Run  $H$  on input  $\langle B, w \rangle$ .
3. Do the opposite of what  $H$  says. That is, *accept* if  $H$  rejects and *reject* if  $H$  accepts."

Running  $B$  on input  $w$  does the opposite of what  $H$  declares it does. Therefore  $H$  cannot be deciding  $A_{TM}$ . Done!

### DEFINITION 6.6

If  $M$  is a Turing machine, then we say that the *length* of the description  $\langle M \rangle$  of  $M$  is the number of symbols in the string describing  $M$ . Say that  $M$  is *minimal* if there is no Turing machine equivalent to  $M$  that has a shorter description. Let

$$MIN_{TM} = \{ \langle M \rangle \mid M \text{ is a minimal TM} \}.$$

### THEOREM 6.7

$MIN_{TM}$  is not Turing-recognizable.

### THEOREM 6.8

Let  $t: \Sigma^* \rightarrow \Sigma^*$  be a computable function. Then there is a Turing machine  $F$  for which  $t(\langle F \rangle)$  describes a Turing machine equivalent to  $F$ . Here we'll assume that if a string isn't a proper Turing machine encoding, it describes a Turing machine that always rejects immediately.

**Theorem:** For any computable function  $f: \Sigma^* \rightarrow \Sigma^*$ , there is a TM  $R$  such that  $L(R) = L(S)$  where  $f(\langle R \rangle) = \langle S \rangle$ .

Given

$A = P_{(BT)}$

$B =$  "1. Compute  $q$ (tape contents after  $w$ ) to get  $A$ .

2. Combine with  $BT$  to get  $ABT = R$ .

3. Pass control to  $T$  on input  $\langle w, R \rangle$ ."

$P_{(BT)}$

Compute  $A$   
from tape

**PROOF** Assume that some TM  $E$  enumerates  $MIN_{TM}$  and obtain a contradiction. We construct the following TM  $C$ .

$C =$  "On input  $w$ :

1. Obtain, via the recursion theorem, own description  $\langle C \rangle$ .
2. Run the enumerator  $E$  until a machine  $D$  appears with a longer description than that of  $C$ .
3. Simulate  $D$  on input  $w$ ."

Because  $MIN_{TM}$  is infinite,  $E$ 's list must contain a TM with a longer description than  $C$ 's description. Therefore step 2 of  $C$  eventually terminates with some TM  $D$  that is longer than  $C$ . Then  $C$  simulates  $D$  and so is equivalent to it. Because  $C$  is shorter than  $D$  and is equivalent to it,  $D$  cannot be minimal. But  $D$  appears on the list that  $E$  produces. Thus we have a contradiction.

**PROOF** Let  $F$  be the following Turing machine.

$F =$  "On input  $w$ :

1. Obtain, via the recursion theorem, own description  $\langle F \rangle$ .
2. Compute  $t(\langle F \rangle)$  to obtain the description of a TM  $G$ .
3. Simulate  $G$  on  $w$ ."

Clearly,  $\langle F \rangle$  and  $t(\langle F \rangle) = \langle G \rangle$  describe equivalent Turing machines because  $F$  simulates  $G$ .

## Check-in 11.1

Implementations of the Recursion Theorem have two parts, a Template and an Action. In the TM and English implementations, which is the Action part?

- (a) A and the upper phrase
- (b) A and the lower phrase
- (c) B and the upper phrase
- (d) B and the lower phrase.

Write the following twice, the second time in quotes

"Write the following twice, the second time in quotes"

Write the following twice, the second time in quotes

"Write the following twice, the second time in quotes"

action

## Check-in 11.2

Can we use the Recursion Theorem to design a TM  $T$  where  $L(T) = \{ \langle T \rangle \}$ ?

- (a) Yes.
- (b) No.

MIT  
OCW

### Check-in 11.3

Let  $A$  be an infinite subset of  $MIN_{TM}$ .  
Is it possible that  $A$  is T-recognizable?

(a) Yes.

☒ (b) No.

You can have lang which are not turing recog but have infinite turing recog subsets