

Monday, November 20, 2023 at 15:12:03 Eastern Standard Time

Subject: FW: Methodological document
Date: Wednesday, October 4, 2023 at 2:20:12 PM Eastern Daylight Time
From: Clinton Tepper
To: Arnav Sheth
Attachments: image001.png, image002.png, image003.png

From: Clinton Tepper <ctepper@icapitalnetwork.com>
Date: Friday, September 29, 2023 at 2:20 PM
To: Anthony Polemis <anthony.polemis@icapitalnetwork.com>
Cc: Weidong Jin <weidong.jin@icapitalnetwork.com>
Subject: Methodological document

Hi Anthony,

Following up on part of our discussion from earlier in the week- I spoke with Arnav in depth about getting you a methodological doc given your point about it being central to business development efforts. After a bunch of discussion, we got to a place where we can commit to giving you a doc to work with by EOY. There are a couple of caveats:

1. While the document will be self-contained, it is a first step, in the sense that the doc in March/April may be much better than the doc in Dec/Jan. I'd think of it as a late stage working draft.
2. The version we send to you is going to be a technical doc for highly sophisticated quants with comprehensive methodological information (hence it will be owned by quant research). I think we are on the same page there. An implication- the main doc will not be in Word- it will be in LaTeX. However, if you or someone else needs to extract a portion use in a Word doc for a more marketing centric version, we can help extract the text for you.
3. I expect any back-and-forth with compliance to take place in Q1, so it may be case-by-case with respect to who we can actually send it to and when.
4. The emphasis will be on thoroughness as opposed to protecting our IP. I do think the IP issues are important, but I'd rather have a document that meets the full disclosure scenario and redact as circumstances dictate.

I hope this works for you. Happy to answer any questions as well.

Best,
Clinton

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