

Submission instructions: Your answers should be submitted to Canvas as a PDF.

1. Practice the "guess and check" substitution method by solving the following recurrence relation:

$$\begin{aligned} T(n) - O(n) &= T(n/2) \\ 2T(n) &= 2T(n/2) + O(n) \end{aligned}$$

a. Check if $T(n) \in O(n^3)$

$$\begin{aligned} T(n) &\leq cn^3 \\ 2c(n/2)^3 + O(n) &\leq cn^3 \end{aligned}$$

b. Check if $T(n) \in O(n^2)$

$$(n^3/4)c + O(n) \leq cn^3$$

c. Check if $T(n) \in O(n)$

$$O(n) \leq \frac{3cn^3}{4}$$

d. Based on your results, what is the tightest upper bound you found for $T(n)$?

This holds true

$$\begin{aligned} T(n) &\leq cn^2 \\ 2c(n/2)^2 + O(n) &\leq cn^2 \end{aligned}$$

$$\frac{cn^2}{2} + O(n) \leq cn^2$$

$$O(n) \leq \frac{cn^2}{2}$$

This holds true

$$c) 2c(n/2) + O(n) \leq cn$$

$$2cn + O(n) \leq cn$$

$$O(n) \leq -cn \text{ This is not possible}$$

$$a) T(n) = 2T(n/2) + O(n)$$

$$\leq 2 \cdot c \cdot \frac{n^3}{8} + c_1 \cdot n$$

$$\frac{cn^3}{4} + c_1 n \leq cn^3/n^3$$

$$\frac{c}{4} + c_1 \cdot \frac{1}{n^2} \leq c$$

d) $T(n) \in O(n^2)$ is the tightest ^{upper} bound from the sub. method

$$\frac{c_1}{n^2} \leq \frac{3}{4}c$$

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Induction Goal: $T(k) \in O(k^3); k < n$

$$T(n/2) \leq \left(\frac{n}{2}\right)^3$$

$$T(n/2) \leq c \cdot \frac{n^3}{8}$$

Sub into eq:

$$T(n) \leq 2 \cdot c \cdot \frac{n^3}{8} + \underbrace{c_0 n}_{O(n) \text{ term}}$$

$$2c \cdot \frac{n^3}{8} + c_0 n \leq cn^3 \text{ for all } n \geq n_0$$

$$\frac{1}{4}c + c_0 \cdot \frac{1}{n^2} \leq c$$

$$c_0 \cdot \frac{1}{n^2} \leq \frac{3}{4}c$$

$$\frac{4}{3} \cdot c_0 \cdot \frac{1}{n^2} \leq c$$

let $n_0 = 2$:

$$c \geq \frac{4}{3}c_0 \cdot \frac{1}{2^2} = \frac{c_0}{3}$$

For any $c \geq \frac{c_0}{3}$

where c_0 is the bounding constant for the $O(n)$ term, the induction goal holds for $n_0 = 2$

$$IG: T(n) \leq cn^2$$

$$IH: T(\frac{n}{2}) \in O(n^2)$$

$$b) T(n) = 2T(\frac{n}{2}) + O(n)$$

$$\leq 2(c(\frac{n}{2})^2) + c_1 n \rightarrow \text{plug } T(\frac{n}{2}) \text{ for } T(n)$$

$$= \frac{2(c \frac{n^2}{4}) + c_1 n}{n^2} \leq \frac{cn^2}{n^2}$$

$$= \frac{c}{1} \leq \frac{c}{2} \Rightarrow \frac{1}{4} \leq \frac{1}{2}$$

$$n_0 = 4$$

$$c = 1$$

$$c_0 = 1$$

$$T(n) \in O(n^2)$$

$$c) k = \frac{n}{2}, \text{ Induction Hypothesis: } T(\frac{n}{2}) \leq c(\frac{n}{2}) \text{ for all } k < n$$

$$cn + c_1 n \leq cn$$

$$c_1 n \leq 0$$

but $c_1 > 0 \therefore$ breaks rule and $T(n) \notin O(n)$

2. For each of the following recurrence relations, determine which of the three cases of the Master's theorem applies.

a. $T(n) = 2T(n/2) + \Theta(n)$
 a b $f(n)$

b. $T(n) = 8T(n/2) + \Theta(n^2)$

c. $T(n) = 3T(n/4) + n \log n$

$f(n) = O(n)$
 $T(n) = \Theta(n \log n)$

a) $\Theta(n) \equiv n^{\log_2 2} = n = \text{Case 2 of master theorem}$

b) $\Theta(n^2) \equiv n^{\log_2 8} = n^3 \rightarrow n^3 \text{ dominates } f(n) = \Theta(n^2) \text{ therefore it is}$

$\hookrightarrow \Theta(n^2) \in O(n^{3-1}) \Rightarrow \text{Case 1 of the master theorem}$

$\Theta(n^2) \in O(n^2) \Rightarrow T(n) = \Theta(n^3)$

c) $n \log n \equiv n^{\log_4 3}$
 \downarrow

$\log_4 3 \log(n)$

$f(n) = n \log n \text{ dominates } \log_4 3 \log(n), \text{ therefore}$
 it is case 3 of the master theorem

3. For each of the following recurrence relations: (1) find a reasonably tight bound in terms of big-o using the substitution method and (2) check your solution by also solving the recurrence relation with the Master method. Show all work.

a) $T(n) = 2T(n/2) + n$ $2 \leq (n/2)^2 + O(n) \leq cn^2$ $2) n \equiv n^{\log_2 2}$

1) $T(n) \in O(n^2)$

$\frac{cn^2}{2} + O(n) \leq cn^2$

$T(n) \leq cn^2$

b) $T(n) = 4T(n/4) + 1$

$O(n) \leq \frac{cn^2}{2} \checkmark$

$T(n) \in O(n^2)$

$4(\frac{cn^2}{4}) + 1 \leq cn^2$

$T(n) \leq cn^2$

$\frac{n^2 c}{4} + 1 \leq \frac{n^2 c}{4}$
 $1 \leq \frac{3}{4} n^2 c \checkmark$

c) $T(n) = 9T(n/3) + n$

$T(n) \in O(n^2)$

$9 \log_3 n T(\frac{n}{3 \log_3 n}) + n \log_3 n$

$9i T(\frac{n}{3^i}) + i(n) \quad 9(c(n/3)^2) + n \leq cn^2$
 $\log_3 n = i$
 $cn^2 + n \leq cn^2$

d) $T(n) = 3T(n/4) + n \log n$

$c + \frac{1}{n} \leq c$
 $c \leq c - \frac{1}{n} \checkmark$

$T(n) \in O(n \log n)$

$3(\frac{cn}{4} \log(n/4)) + n \log n \leq cn \log n$

$\frac{3}{4} cn \log(\frac{n}{4}) + n \log n \leq cn \log n$

$\frac{3}{4} nc (\log n - \log 4) + n \log n \leq nc \log n$

$\frac{3}{4} c \log n - (\frac{3}{4} c \log 4) + \log n \leq c \log n$

$\frac{3}{4} c + 1 \leq c$
 $1 \leq \frac{1}{4} c \checkmark$

$3/4 n \log(n/4) \leq cn \log n$
 $3/4 n \log(n/4) \leq cn \log n$
 $f(n) = \Omega(n^{\log_4 3 + \epsilon})$

$n = n$, case 2 M.T. \checkmark
 $T(n) = \Theta(n^{\log_2 2} \cdot \log n) = \Theta(n \log n)$

$1 \equiv n^{\log_4 4}$
 $1 \leq n$, case 1 M.T. \checkmark
 $T(n) = \Theta(n \log n)$

$n \equiv n^{\log_3 9}$

$n \leq n^2$, case 1 M.T.

$f(n) = O(n^{2-\epsilon})$
 $T(n) = \Theta(n^2)$

$n \log n \equiv n^{\log_4 3}$
 $n \log(n) \equiv \log_4 3 \log(n)$
 $n \geq \log_4 3$

Case 3, M.T. \checkmark
 $T(n) = \Theta(n \log(n))$

4. The pseudocode for binary search is given below. The function takes an array A, a query item key, a starting index i, and an ending index j. (Assume that it is initially called with $i = 1$ and $j = A.length$.)

```

BINARY-SEARCH(A, key, i, j)
  if i > j
    return NIL
  mid = (i+j)/2
  if A[mid] == key
    return mid
  elif key < A[mid]
    return BINARY-SEARCH(A, key, i, mid - 1)
  elif key > A[mid]
    return BINARY-SEARCH(A, key, mid + 1, j)

```

Handwritten annotations:

- Base case $O(1)$ (next to `if i > j`)
- Divide $O(1)$ (next to `mid = (i+j)/2`)
- Conquer $O(\log n)$ (next to the recursive calls)

a) Specify which steps correspond to the divide and conquer steps in the divide and conquer framework. Note that binary search does not have a combine step.

b) Give the run time for each step in the divide and conquer framework.

c) Write the recurrence relation. $T(n) = T(n/2) + O(1)$

Handwritten annotations: $a = 1, b = 2, f(n) = 1$

d) Solve the recurrence relation.

e) Why can we describe the run time of binary search using O notation but not Θ notation?

Binary Search can be described using O notation because it provides an upper bound on the runtime of the algorithm. BS doesn't provide a tight lower bound, which Θ needs.

d) $K \leq \log_2(n)$ using the M.M., Binary Search falls under Case 2.

Handwritten annotations:

- $1 \equiv \log_2$
- $1 = n^0$
- $1 = 1 \checkmark$

Definitions

$\Theta(g(n)) = \{ f(n) : \text{there exists positive constants } c_1, c_2, \text{ and } n_0 \text{ such that}$

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0 \}$$

$O(g(n)) = \{ f(n) : \text{there exists positive constants } c \text{ and } n_0 \text{ such that}$

$$0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0 \}$$

$\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$

$$0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0 \}$$

Master theorem: Let $a \leq 1$ and $b > 1$ be constants, let $f(n)$ be an asymptotically positive function and let $T(n)$ be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n)$$

Then $T(n)$ has the following asymptotic bounds:

1. If $f(n) \in O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then $T(n) \in \Theta(n^{\log_b a})$.
2. If $f(n) \in \Theta(n^{\log_b a})$, then $T(n) \in \Theta(n^{\log_b a} \log n)$.
3. If $f(n) \in \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $a f(n/b) \leq c f(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) \in \Theta(f(n))$.