# Alexander Robey

3401 Walnut Street Philadelphia, PA 19104 ⊠ arobey1@upenn.edu '• https://arobey1.github.io/

## Education

- 2018-present PhD, Electrical and Systems Engineering, University of Pennsylvania
  - 2014-2018 Bachelor of Science, Engineering, Swarthmore College
  - 2014-2018 Bachelor of Arts, Mathematics, Swarthmore College

# Work Experience

- 2022-2023 Student researcher, Google Cloud Al
  - 2022 Research intern, Google Cloud Al
  - 2017 Research intern, Center for Applied Scientific Computing, Lawrence Livermore National Laboratory
- 2016, 2018 Research assistant, Department of Engineering, Swarthmore College

## Fellowships

- 2023 Amazon AWS Research Fellowship
- 2018 Dean's Fellowship, Department of Electrical and Systems Engineering, University of Pennsylvania
- 2016 Undergraduate Research Fellowship, Department of Engineering, Swarthmore College

#### Awards

- 2023 Best Paper Award, 2nd AdvML-Frontiers Workshop at ICML 2023.
- 2022 Outstanding Reviewer Award, NeurIPS 2022.
- 2022 Outstanding Reviewer Award, ICML 2022.
- 2021 Outstanding Reviewer Award, ICLR 2021.
- 2021 Outstanding Reviewer Award, NeurIPS 2021.
- 2020 Outstanding Reviewer Award, ICML 2020.
- 2020 Teaching Assistant of the Year, Department of Electrical and Systems Engineering, University of Pennsylvania.

#### Refereed Conference Papers

- 2023 Haoze Wu\*, Teruhiro Tagomori\*, **Alexander Robey**\*, Fengjun Yang\*, Nikolai Matni, George J. Pappas, Hamed Hassani, Corina Pasareanu, and Clark Barrett. Toward certified robustness against real-world distribution shifts. In *IEEE Conference on Secure and Trustworthy Machine Learning (SaTML)*. IEEE, 2023.
- 2022 Allan Zhou, Fahim Tajwar, **Alexander Robey**, Tom Knowles, George J. Pappas, Hamed Hassani, and Chelsea Finn. Do Deep Networks Transfer Invariances across Classes? In *International Conference on Learning Representations*, 2022.
- 2022 Anton Xue, Lars Lindemann, Alexander Robey, Hamed Hassani, George J. Pappas, and Rajeev Alur. Chordal Sparsity for Lipschitz Constant Estimation of Deep Neural Networks. In 2022 61st IEEE Conference on Decision and Control (CDC). IEEE, 2022.
- 2022 Stephen Tu, **Alexander Robey**, Tingnan Zhang, and Nikolai Matni. On the Sample Complexity of Stability Constrained Imitation Learning. In *Learning for Dynamics and Control*. PMLR, 2022.
- 2022 **Alexander Robey**, Luiz F. O. Chamon, George J. Pappas, and Hamed Hassani. Probabilistically Robust Learning: Balancing Average-and Worst-case Performance. In *International Conference on Machine Learning*. PMLR, 2022.

- 2022 Cian Eastwood\*, Alexander Robey\*, Shashank Singh, Julius von Kügelgen, Hamed Hassani, George J. Pappas, and Bernhard Schölkopf. Probable domain generalization via quantile risk minimization. Advances in Neural Information Processing Systems, 2022.
- 2021 **Alexander Robey**\*, Luiz F. O. Chamon\*, George J. Pappas, Hamed Hassani, and Alejandro Ribeiro. Adversarial Robustness with Semi-Infinite Constrained Learning. In *Advances in Neural Information Processing Systems*, 2021.
- 2021 **Alexander Robey**, George J. Pappas, and Hamed Hassani. Model-Based Domain Generalization. In *Advances in Neural Information Processing Systems*, 2021.
- 2021 **Alexander Robey**, Lars Lindemann, Stephen Tu, and Nikolai Matni. Learning Robust Hybrid Control Barrier Functions for Uncertain Systems. *IFAC Conference on Analysis and Design of Hybrid Systems*, 2021.
- 2021 **Alexander Robey**, Arman Adibi, Brent Schlotfeldt, George J. Pappas, and Hamed Hassani. Optimal Algorithms for Submodular Maximization with Distributed Constraints. In *Learning for Dynamics and Control*. PMLR, 2021.
- 2021 Lars Lindemann, Haimin Hu, Alexander Robey, Hanwen Zhang, Dimos V Dimarogonas, Stephen Tu, and Nikolai Matni. Learning Hybrid Control Barrier Functions from Data. Conference on Robot Learning. PMLR, 2021.
- 2020 **Alexander Robey**\*, Haimin Hu\*, Lars Lindemann, Hanwen Zhang, Dimos V Dimarogonas, Stephen Tu, and Nikolai Matni. Learning Control Barrier Functions from Expert Demonstrations. In *2020 59th IEEE Conference on Decision and Control (CDC)*, pages 3717–3724. IEEE, 2020.
- 2019 Mahyar Fazlyab, **Alexander Robey**, Hamed Hassani, Manfred Morari, and George Pappas. Efficient and Accurate Estimation of Lipschitz Constants for Deep Neural Networks. In *Advances in Neural Information Processing Systems*, pages 11427–11438, 2019.

# Journal Papers

- 2022 Edgar Dobriban, Hamed Hassani, David Hong, and **Alexander Robey**. Provable Tradeoffs in Adversarially Robust Classification. *IEEE Transactions on Information Theory*. IEEE, 2022.
- 2018 **Alexander Robey** and Vidya Ganapati. Optimal Physical Preprocessing for Example-based Super Resolution. *Optics Express*, volume 26, pages 31333–31350. Optical Society of America, 2018.

# Preprints

- 2023 **Alexander Robey**\*, Fabian Latorre\*, George J. Pappas, Hamed Hassani, and Volkan Cevher. Adversarial training should be cast as a non-zero-sum game. *arXiv preprint arXiv:2306.11035*, 2023.
- 2023 **Alexander Robey**, Eric Wong, Hamed Hassani, and George J Pappas. Smoothllm: Defending large language models against jailbreaking attacks. *arXiv preprint arXiv:2310.03684*, 2023.
- 2023 Patrick Chao, **Alexander Robey**, Eric Wong, Hamed Hassani, George J. Pappas, and Edgar Dobriban. Jailbreaking Ilms in twenty questions. *arXiv preprint arXiv:2310.08419*, 2023.
- 2021 Lars Lindemann, **Alexander Robey**, Lejun Jiang, Stephen Tu, and Nikolai Matni. Learning Robust Output Control Barrier Functions from Safe Expert Demonstrations. *arXiv preprint arXiv:2111.09971*, 2021.
- 2020 **Alexander Robey**, Hamed Hassani, and George J. Pappas. Model-Based Robust Deep Learning. *arXiv* preprint arXiv:2005.10247, 2020.

#### **Patents**

2020 **Alexander Robey**, Hamed Hassani, and George J Pappas. Model-Based Robust Deep Learning, 2020. United States Provisional Patent 63/034,355.

## **Professional Activities**

#### Organizing

- 2022 ECCV workshop on Adversarial Robustness in the Real World.
- 2021 ICCV workshop on Adversarial Robustness in the Real World.

#### Reviewing (conferences)

Neural Information Processing Systems (NeurIPS)

International Conference on Machine Learning (ICML)

International Conference on Learning Representations (ICLR)

The AAAI Conference on Artifical Intelligence (AAAI)

International Conference on Cyber-Physical Systems (ICCPS)

Learning for Dynamics and Control (L4DC)

Conference on Decision and Control (CDC)

American Control Conference (ACC)

International Conference on Computer Vision (ICCV)

European Conference on Computer Vision (ECCV)

International Symposium on Information Theory (ISIT)

#### Reviewing (journals)

Journal of Machine Learning Research (JMLR)

Transactions on Machine Learning Research (TMLR)

IEEE Transactions on Pattern Analysis and Machine Intelligence (PAMI)

IEEE Transactions on Neural Networks and Learning Systems

IEEE Transactions on Knowledge and Data Engineering

IEEE Transactions on Artificial Intelligence

IEEE Robotics and Automation Letters

Transactions on Automatic Control (TAC)

SIAM Journal on Mathematics of Data Science (SIMODS)

Springer Nature Journal on Machine Learning

Springer International Journal on Computer Vision (IJCV)

## Reviewing (workshops & special tracks)

- 2023 R0-FoMo:Robustness of Few-shot and Zero-shot Learning in Large Foundation Models (NeurIPS)
- 2023 Distribution Shifts: New Frontiers with Foundation Models (NeurIPS)
- 2023 Adversarial Robustness in the Real World (ICCV)
- 2023 Out-of-Distribution Generalization in Computer Vision (ICCV)
- 2023 Adversarial Machine Learning Frontiers (ICML)
- 2023 Blog post track (ICLR)
- 2023 Workshop on Domain Generalization (ICLR)
- 2023 Safe and Robust AI special track (AAAI)
- 2022 Distribution Shifts (NeurIPS)
- 2022 Robustness in Sequence Modeling (NeurIPS)
- 2022 Out-Of-Distribution Generalization in Computer Vision (ECCV)
- 2022 Adversarial Robustness in the Real World (ECCV)
- 2022 Adversarial Machine Learning Frontiers (ICML)
- 2021 Distribution Shifts: Connecting Methods and Applications (NeurIPS)
- 2021 Adversarial Robustness in the Real World (ICCV)
- 2020 Adversarial Robustness in the Real World (ECCV)

#### Technical Skills

Programming languages: Python, MATLAB, JavaScript, HTML, CSS, R, C/C++, SQL (Postgres), Verilog

HDL, LaTeX

Frameworks: Pytorch, TensorFlow, Jax, Django, Slurm

# Teaching Experience

Spring 2022 ENGR 56: Modeling and Optimization for Engineering, Swarthmore College (guest lecturer)

Spring 2021 ESE 605: Modern Convex Optimization, University of Pennsylvania (teaching assistant)

Spring 2020 ESE 290: Introduction to Research Methodologies, University of Pennsylvania (teaching assistant)

- Fall 2020 ESE 530: Elements of Probability Theory, University of Pennsylvania (teaching assistant)
- Fall 2019 ESE 530: Elements of Probability Theory, University of Pennsylvania (teaching assistant)
- Spring 2018 ENGR 019: Numerical Methods for Engineering Applications, Swarthmore College (teaching assistant)
  - Fall 2017 ENGR 011: Electrical Circuit Analysis, Swarthmore College (teaching assistant)
- Spring 2017 ENGR 012: Linear Physical Systems Analysis, Swarthmore College (teaching assistant)
  - Fall 2016 ENGR 011: Electrical Circuit Analysis, Swarthmore College (teaching assistant)
- Spring 2016 ENGR 006: Engineering Mechanics, Swarthmore College (teaching assistant)

#### Selected Talks

- Oct. 2023 SmoothLLM: Defending Large Language Models Against Jailbreaking Attacks, EnCORE Institute for Emerging CORE Methods in Data Science
- July 2023 Adversarial Training Should Be Cast as a Non-Zero-Sum Game, ICML workshop on New Frontiers in Adversarial Machine Learning
- Nov. 2022 Learning Under Robustness Constraints: From perturbations to Distribution Shifts, UCSD
- Oct. 2022 Learning Under Robustness Constraints, INFORMS Annual Meeting
- July 2022 Probabilistically Robust Learning: Balancing Worst- and Average-case Performance, ICML
- Mar. 2022 Probabilistically Robust Learning: Balancing Worst- and Average-case Performance, UCSD
- Mar. 2022 Toward Robust, Generalizable Deep Learning, Swarthmore College
- Dec. 2021 Model-Based Domain Generalization, CDC Workshop on Robust Deep Learning-Based Control
- Oct. 2021 Robustness against Natural Variation: Theory and Practice, University of Pennsylvania
- Sept. 2021 Robustness against Natural Variation: Theory and Practice, Simons Foundation
- July 2021 Learning Robust Hybrid Control Barrier Functions for Uncertain Systems, ADHS
- Apr. 2021 Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data, Italian Conference on CyberSecurity (ITASEC), AI for Security and Security for AI Workshop
- Mar. 2021 Model-Based Domain Generalization, Simons Foundation
- Dec. 2020 Learning Control Barrier Functions from Expert Demonstrations, CDC
- Nov. 2020 Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data, Intel
- Oct. 2020 Generalizing to Natural Out-of-Distribution Data, C3.ai workshop on the Analytical Foundations of Deep Learning
- Sept. 2020 Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data, Intel
- Sept. 2020 *Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data*, Data Augmentation and Equivariance Workshop
- Aug. 2020 Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data, ECCV workshop on Adversarial Robustness in the Real World
- July 2020 Model-Based Robust Deep Learning, Stanford University
- Dec. 2019 Efficient and Accurate Estimation of Lipschitz Constants of Deep Neural Networks, NeurlPS
- May 2018 Computationally Expediting Fourier Ptychographic Miscroscopy, Swarthmore College

#### Selected Poster Presentations

- Oct. 2023 SmoothLLM: Defending Large Language Models Against Jailbreaking Attacks, Yale Foundations of Data Science workshop on Theory and Practice of Foundation Models
- July 2023 Adversarial Training Should Be Cast as a Non-Zero-Sum Game, ICML workshop on New Frontiers in Adversarial Machine Learning
- Feb. 2023 Toward Certified Robustness Against Real-World Distribution Shifts, SaTML
- Dec. 2022 Probable Domain Generalization via Quantile Risk Minimization, NeurIPS
- Oct. 2022 Probabilistically Robust Learning: Balancing Average and Worst-case Performance, NSF
- Sept. 2022 Probabilistically Robust Learning: Balancing Average and Worst-case Performance, Simons Foundation
- Sept. 2022 Probabilistically Robust Learning: Balancing Average and Worst-case Performance, The Institute for Emerging CORE Methods in Data Science
- July 2022 Probabilistically Robust Learning: Balancing Average and Worst-case Performance, ICML

July 2022	Toward Certified Robustness Against Real-World Distribution Shifts, ICML workshop on Formal Verification of Machine Learning
Apr. 2022	Do Deep Networks Transfer Invariance Across Classes?, ICLR
Dec. 2021	Adversarial Robustness via Semi-Infinite Constrained Learning, NeurIPS
Dec. 2021	Model-Based Domain Generalization, NeurIPS
Sept. 2021	Model-Based Domain Generalization, Simons Foundation
July 2021	Model-Based Robust Deep Learning: Generalizing to Natural Out-of-Distribution Data, ICML workshop of Uncertainty and Robustness in Deep Learning
June 2021	Optimal Algorithms for Submodular Maximization with Distributed Constraints, L4DC
Nov. 2020	Learning Hybrid Control Barrier Functions from Data, CoRL
Dec. 2019	Efficient and Accurate Estimation of Lipschitz Constants of Deep Neural Networks, NeurIPS
Aug. 2017	Quantifying the Impact of Factors Affecting Communication Performance, Lawrence Livermore National Laboratory
Sept 2016	Rird-Window Collision Prevention Swarthmore College