Filter Design

EE23BTECH11009 - AROSHISH PRADHAN*

April 12, 2024

1 Introduction

We are supposed to design the equivalent FIR and IIR filter realizations for a band-pass filter with pass band: $9.4~\mathrm{kHz}$ and $10.6~\mathrm{kHz}$.

2 Filter Specifications

The sampling rate for the filter has been specified as $F_s=48~\mathrm{kHz}.$

2.1 The Digital Filter

- 1. Tolerances: The pass-band (δ_1) and stop-band (δ_2) tolerances are given to be equal, so we let $\delta_1 = \delta_2 = \delta = 0.15$.
- 2. Pass-band: The pass-band of the filter is calculated as follows:

$$j = (r - 11000) \bmod \sigma$$

where,

$$r = \text{Roll Number}$$

= 11009
 $\sigma = \text{Sum of digits of roll number}$
= 11
 $\implies j = 9$

The pass band is then given by:

$$\begin{aligned} 4 + 0.6(j) & to 4 + 0.6(j+2) \\ \Longrightarrow 9.4 \text{kHz to } 10.6 \text{kHz} \end{aligned}$$

Hence, the un-normalized discrete time filter pass-band frequencies are:

$$F_{p1} = 10.6 \,\text{kHz}$$

 $F_{p2} = 9.4 \,\text{kHz}$

If the un-normalized discrete-time (natural) frequency is F, the corresponding normalized digital filter (angular) frequency is given by $\omega = 2\pi \left(\frac{F}{F_s}\right)$. Therefore,

$$\omega_{p1} = 2\pi \frac{F_{p1}}{F_s} = 0.441\pi$$

$$\omega_{p2} = 2\pi \frac{F_{p2}}{F_s} = 0.391\pi$$

The centre frequency is then given by $\omega_c = \frac{\omega_{p1} + \omega_{p2}}{2} = 0.416\pi$.

3. Stop-band: The transition band for band-pass filters is $\Delta F = 0.3$ kHz on either side of the pass-band. Hence, the un-normalized stop-band frequencies are:

$$F_{s1} = 10.6 + 0.3 = 10.9 \,\text{kHz}$$

 $F_{s2} = 9.4 - 0.3 = 9.1 \,\text{kHz}$

The corresponding normalized frequencies are:

$$\omega_{s1} = 0.454\pi$$
$$\omega_{s2} = 0.379\pi$$

The above parameters are summarized in the table below:

Paramter	Value	Description
F_{p_1}	10.6 kHz	Unnormalized passband upper frequency
F_{p_2}	9.4 kHz	Unnormalized passband lower frequency
ω_{p_1}	0.441π	Normalized passband upper frequency
ω_{p_2}	0.391π	Normalized passband lower frequency
ΔF	$0.3 \mathrm{kHz}$	Transition Band
F_{s_1}	10.9kHz	Unnormalized stopband upper frequency
F_{s_2}	9.1kHz	Unnormalized stopband lower frequency
ω_{s_1}	0.454π	Normalized stopband upper frequency
ω_{s_2}	0.379π	Normalized stopband lower frequency

Table 1: Input Paramters

2.2 The Analog filter

In the bilinear transform, the analog filter frequency (Ω) is related to the corresponding digital filter frequency (ω) as $\Omega = \tan \frac{\omega}{2}$. Using this relation, we

obtain the analog pass-band and stop-band frequencies as:

$$\Omega_{p1} = \tan\left(\frac{\omega_{p_1}}{2}\right) = 0.8298$$

$$\Omega_{p2} = \tan\left(\frac{\omega_{p_2}}{2}\right) = 0.7051$$

$$\Omega_{s1} = \tan\left(\frac{\omega_{s_1}}{2}\right) = 0.8649$$

$$\Omega_{s2} = \tan\left(\frac{\omega_{s_2}}{2}\right) = 0.6772$$

respectively.

3 The IIR Filter Design

Filter Type: We are supposed to design filters whose stop-band is monotonic and pass-band equiripple. Hence, we use the *Chebyschev approximation* to design our band-pass IIR filter.

3.1 The Analog Filter

1. Low Pass Filter Specifications: If $H_{a,BP}(j\Omega)$ be the desired analog band pass filter, with the specifications provided in Section 2.2, and $H_{a,LP}(j\Omega_L)$ be the equivalent low pass filter, then

$$\Omega_L = \frac{\Omega^2 - \Omega_0^2}{B\Omega} \tag{1}$$

where

$$\Omega_{0} = \sqrt{\Omega_{p1}\Omega_{p2}} = 0.7649$$

$$B = \Omega_{p1} - \Omega_{p2} = 0.1247$$

$$\implies \Omega_{L_{p_{1}}} = \frac{\Omega_{p_{1}}^{2} - \Omega_{p_{1}}\Omega_{p_{2}}}{(\Omega_{p_{1}} - \Omega_{p_{2}})\Omega_{p_{1}}} = 1$$

The low pass filter has the pass-band edge at $\Omega_{Lp}=1$ and stop-band edges at

$$\Omega_{L_{s_1}} = \frac{\Omega_{s_1}^2 - \Omega_0^2}{B\Omega_{s_1}} = 1.5111$$

$$\Omega_{L_{s_2}} = \frac{\Omega_{s_2}^2 - \Omega_0^2}{B\Omega_{s_2}} = -1.4976$$

We choose the stop-band edge of the analog low pass filter as $\Omega_{Ls} = \min(|\Omega_{Ls_1}|, |\Omega_{Ls_2}|) = 1.4976$.

2. The Low Pass Chebyschev Filter Parameters: The magnitude squared of the Chebyschev low pass filter is given by

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + \epsilon^2 c_N^2 \left(\frac{\Omega_L}{\Omega_{L_p}}\right)}$$
 (2)

where $c_N(x)$ is the Chebyshev Polynomial of the first kind of order N, given by:

$$c_N(x) = \begin{cases} \cos(N\cos^{-1}(x)) & \text{if } |x| \le 1\\ \cosh(N\cosh^{-1}(x)) & \text{if } x \ge 1 \end{cases}$$

where N and ϵ are design parameters. Since $\Omega_{Lp}=1,$ (2) may be rewritten as

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + \epsilon^2 c_N^2(\Omega_L)}$$
(3)

Also, the design paramters have the following constraints

$$\frac{\sqrt{D_2}}{c_N(\Omega_{Ls})} \le \epsilon \le \sqrt{D_1},$$

$$N \ge \left[\frac{\cosh^{-1} \sqrt{D_2/D_1}}{\cosh^{-1} \Omega_{Ls}} \right],$$
(4)

where

$$D_1 = \frac{1}{(1-\delta)^2} - 1 = 0.384$$
$$D_2 = \frac{1}{\delta^2} - 1 = 43.444$$

After substituting $\delta=0.15$ and $\Omega_{L_s}=1.4976$, we obtain $N\geq 4$ and $0.2897\leq \epsilon\leq 0.6197$. In Fig. 1, we plot $|H(j\Omega)|$ for a range of values of ϵ , for N=4. We find that for larger values of ϵ , $|H(j\Omega)|$ decreases in the transition band. We choose $\epsilon=0.4$ for our IIR filter design.

Listing 1: Code for Figure 1

wget

3. The Low Pass Chebyshev Filter: Thus, we obtain

$$|H_{a,LP}(j\Omega_L)|^2 = \frac{1}{1 + 0.16c_4^2(\Omega_L)}$$
 (5)

where,

$$c_4(x) = 8x^4 - 8x^2 + 1. (6)$$

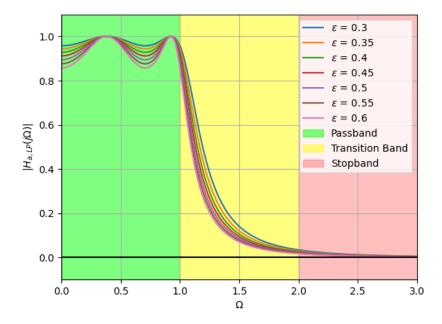


Figure 1: Analog Low-Pass Frequency Response for $0.3 \le \epsilon \le 0.6$

The poles of the frequency response in (5) lying on the left half of the Argand Plane are in general obtained as

$$s_k = r_1 \cos(\phi_k) + jr_2 \sin(\phi_k) \tag{7}$$

where

$$\phi_k = \frac{\pi}{2} + \frac{(2k+1)\pi}{2N}, k = 0, 1, \dots, N-1$$

$$r_1 = \frac{\beta^2 - 1}{2\beta}, r_2 = \frac{\beta^2 + 1}{2\beta}, \beta = \left[\frac{\sqrt{1 + \epsilon^2} + 1}{\epsilon}\right]^{\frac{1}{N}}$$
(8)

Values of s_k using (7) are given in the table below:

Note that the poles of frequency response in (5) are calculated through the below code separately and plotted in Figure 2.

Listing 2: Code for Figure 2

s_k	Value
s_0	-0.162 + 1.003j
s_1	-0.391 + 0.415j
s_2	-0.391 - 0.415j
s_3	-0.162 - 1.003j

Table 2: Poles on left half of complex plane

s_k	Value
s_0	-0.162 + 1.003j
s_1	-0.391 + 0.415j
s_2	-0.391 - 0.415j
s_3	-0.162 - 1.003j
s_4	0.162 + 1.003j
s_5	0.391 + 0.415j
s_6	0.391 - 0.415j
s_7	0.162 - 1.003j

Table 3: Poles of frequency response in (5)

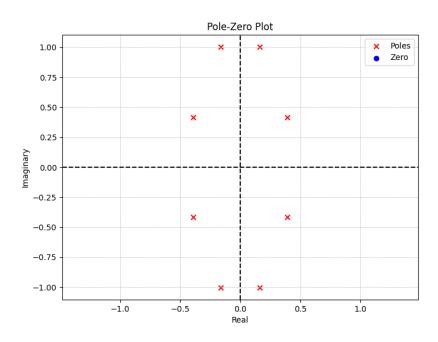


Figure 2: Pole-Zero Plot of frequency response of (5)

Thus, for even N, the low-pass stable Chebyshev filter, with a gain G_{LP} has the form

$$H_{a,LP}(s_L) = \frac{G_{LP}}{\prod_{k=1}^{\frac{N}{2}-1} (s_L^2 - 2r_1 \cos \phi_k s_L + r_1^2 \cos^2 \phi_k + r_2^2 \sin^2 \phi_k)}$$

$$= \frac{G_{LP}}{(s - s_0)(s - s_1)(s - s_2)(s - s_3)}$$
(10)

Substituting $N=4,\,\epsilon=0.4$ and $H_{a,LP}(j)=\frac{1}{\sqrt{1+\epsilon^2}},$ from (8) and (9), we obtain

$$H_{a,LP}(s_L) = \frac{0.3125}{s_L^4 + 1.1068s_L^3 + 1.6125s_L^2 + 0.9140s_L + 0.3366}$$
(11)

In Figure 3 we plot $|H(j\Omega)|$ using (5) and (11), thereby verifying that our low-pass Chebyschev filter design meets the specifications.

Listing 3: Code for Figure 3

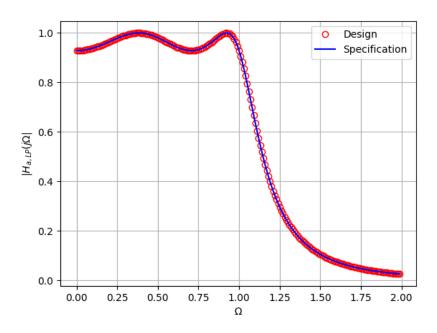


Figure 3: Plot of Frequency Response obtained from specifications in (5) and design in (11)

4. The Band Pass Chebyschev Filter: The analog band-pass filter is obtained from (11) as follows. From (1):

$$\Omega_L = \frac{\Omega^2 - \Omega_0^2}{B\Omega} \tag{12}$$

$$\implies j\Omega_L = \frac{\Omega_0^2 - \Omega^2}{Bj\Omega} \tag{13}$$

$$=\frac{\Omega_0^2 + (j\Omega)^2}{Bj\Omega} \tag{14}$$

$$\implies s_L = \frac{s^2 + \Omega_0^2}{Bs} \tag{15}$$

Hence,

$$H_{a,BP}(s) = G_{BP}H_{a,LP}(s_L)|_{\substack{s_L = \frac{s^2 + \Omega_0^2}{Bs}}},$$
 (16)

where G_{BP} is the gain of the band-pass filter. The below code evaluates G_{BP} and finds the coefficients of $H_{a,BP}$. We get $G_{BP} = 1.077$ by evaluating gain such that $H_{a,BP}(j\Omega_{p1}) = 1$.

$$H_{a,BP}(s) = \frac{7.55642 \times 10^{-5} s^4}{s^8 + 0.138015 s^7 + 2.36536 s^6 + 0.244019 s^5 + 2.08328 s^4 + 0.142769 s^3 + 0.809685 s^2 + 0.0276411 s + 0.117176} (17)$$

In Figure 4, we plot $|H_{a,BP}(j\Omega)|$ as a function of Ω for both positive as well as negative frequencies. We find that the pass-band and stop-band frequencies in the figure match well with those obtained analytically through the bilinear transformation.

Listing 4: Code for Figure 4

wget

3.2 The Digital Filter

From the bilinear transformation, we obtain the digital band-pass filter from the corresponding analog filter as

$$H_{d,BP}(z) = GH_{a,BP}(s)|_{s=\frac{1-z^{-1}}{1+z^{-1}}}$$
 (18)

where G is the gain of the digital filter. From (17) and (18), we obtain

$$H_{d,BP}(z) = G\frac{N(z)}{D(z)} \tag{19}$$

All coefficients and G are calculated from the below code.

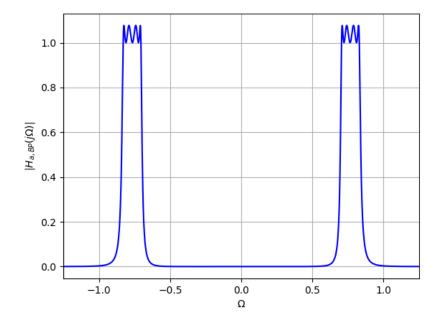


Figure 4: Analog Band-Pass Frequency Response from (17)

We get:

$$G = 7.55642 \times 10^{-5} \tag{20}$$

$$N(z) = 1 - 4z^{-2} + 6z^{-4} - 4z^{-6} + z^{-8}$$
(21)

$$D(z) = 5.8230569z^{-8} - 12.4205486z^{-7} + 34.1023786z^{-6} - 42.2777094z^{-5}$$

$$+ 58.95155z^{-4} - 44.1531786z^{-3} + 37.1935974z^{-2} - 14.1500354z^{-1}$$

$$+ 6.9279451$$
(22)

The plot of $|H_{d,BP}(z)|$ with respect to the normalized angular frequency (normalizing factor π) is available in Figure 5. Again we find that the pass-band and stop-band frequencies meet the specifications well enough.

Listing 6: Code for Figure 5

wget

4 The FIR Filter

We design the FIR filter by first obtaining the (non-causal) low-pass equivalent using the Kaiser window and then converting it to a causal band-pass filter.

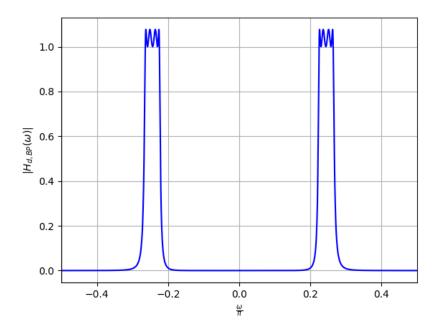


Figure 5: The magnitude response of the band-pass digital filter

4.1 The Equivalent Low-Pass Filter

The low-pass filter has a pass-band frequency ω_l and transition band $\Delta\omega=2\pi\frac{\Delta F}{F_s}=0.0125\pi.$ The stop-band tolerance is δ .

1. The pass-band frequency ω_l is defined as:

$$\omega_l = \frac{\omega_{p1} - \omega_{p2}}{2} = 0.025\pi \tag{23}$$

Substituting the values of ω_{p1} and ω_{p2} from section 2.1, we obtain $\omega_l = 0.025\pi$.

2. The impulse response $h_{lp}(n)$ of the desired low-pass filter with cutoff frequency ω_l is given by

$$h_l(n) = \frac{\sin(n\omega_l)}{n\pi} w(n), \tag{24}$$

where w(n) is the Kaiser window obtained from the design specifications.

4.2 The Kaiser Window

The Kaiser window is defined as

$$w(n) = \frac{I_0 \left[\beta N \sqrt{1 - \left(\frac{n}{N}\right)^2}\right]}{I_0(\beta N)}, \quad -N \le n \le N, \quad \beta > 0$$

$$= 0 \quad \text{otherwise}, \quad (25)$$

where $I_0(x)$ is the modified Bessel function of the first kind of order zero in x and β and N are the window shaping factors. In the following, we find β and N using the design parameters in section 2.1.

1. N is chosen according to

$$N \ge \frac{A - 8}{4.57\Delta\omega},\tag{26}$$

where $A = -20 \log_{10} \delta$. Substituting the appropriate values from the design specifications, we obtain A = 16.4782 and $N \ge 48$.

2. β is chosen according to

$$\beta N = \begin{cases} 0.1102(A - 8.7) & A > 50\\ 0.5849(A - 21)^{0.4} + 0.07886(A - 21) & 21 \le A \le 50\\ 0 & A < 21 \end{cases}$$
 (27)

In our design, we have A=16.4782<21. Hence, from (27) we obtain $\beta=0.$

3. We choose N=100, to ensure the desired low pass filter response. Substituting in (25) gives us the rectangular window

$$w(n) = 1, -100 \le n \le 100$$

= 0 otherwise (28)

From (24) and (28), we obtain the desired low-pass filter impulse response

$$h_{lp}(n) = \frac{\sin(\frac{n\pi}{40})}{n\pi} - 100 \le n \le 100$$

$$= 0, \quad \text{otherwise}$$
(29)

Listing 7: Code for Figure 6

wget

The magnitude of the Frequency Response of low-pass filter is plotted in Figure 7.

Listing 8: Code for Figure 7

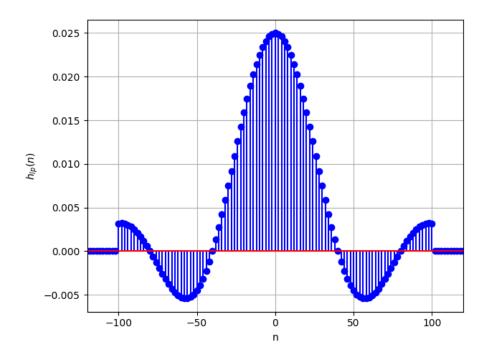


Figure 6: Plot of FIR Low-Pass Filter Impulse Response

4.3 The FIR Band-pass Filter

The centre of the pass-band of the desired band-pass filter was found to be $\omega_c = 0.416\pi$ in Section 2.1. The impulse response of the desired band-pass filter is obtained from the impulse response of the corresponding low-pass filter as

$$h_{bp}(n) = 2h_{lp}(n)\cos(n\omega_c) \tag{30}$$

Thus, from (29), we obtain (plotted in Figure 8)

$$h_{bp}(n) = \frac{2\sin(\frac{n\pi}{40})\cos(0.416n\pi)}{n\pi} - 100 \le n \le 100$$

$$= 0, \qquad \text{otherwise}$$
(31)

Listing 9: Code for Figure 8

wget

The magnitude response of the FIR band-pass filter designed to meet the given specifications is plotted in Figure 9.

Listing 10: Code for Figure 9

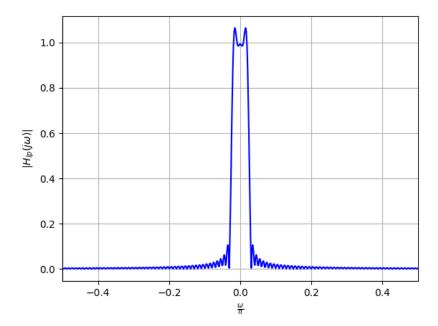


Figure 7: Plot of FIR Low-Pass Filter Frequency Response

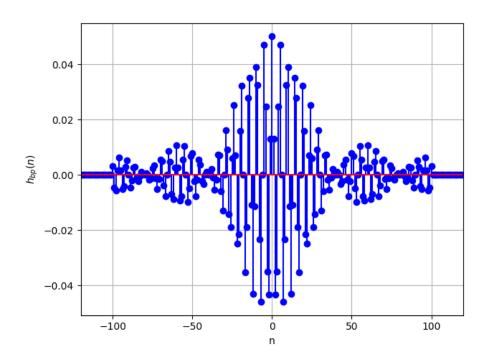


Figure 8: Plot of FIR Band-Pass Filter Impulse Response

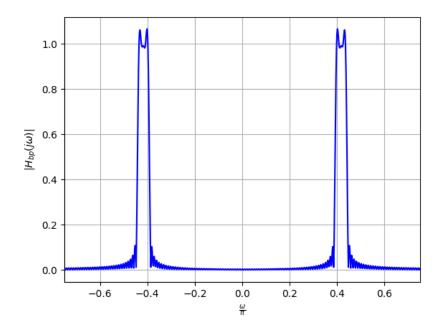


Figure 9: Plot of FIR Band-Pass Filter Frequency Response